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Biodegradable nanoparticles composed of enantiomeric poly(γ -glutamic acid)-*graft*-poly-(lactide) copolymers as vaccine carriers for dominant induction of cellular immunity

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The design of particulate materials with controlled degradation at desired sites is important in applications for drug/vaccine/gene delivery systems. Amphiphilic biodegradable polymeric nanoparticles are promising vaccine delivery carriers due to their ability to stably maintain antigens, provide tailored release kinetics, effectively target, and function as adjuvants. In this study, we report that stereocomplex nanoparticles (SC NPs) composed of enantiomeric poly(γ -glutamic acid)-*graft*-poly(lactide) (γ -PGA-PLA) copolymers are excellent protein delivery carriers for vaccines that can deliver antigenic proteins to dendritic cells (DCs) and elicit potent immune responses. We prepared ovalbumin (OVA)-encapsulated γ -PGA-PLA SC NPs (OVA-SC NPs) and isomer NPs. These NPs were efficiently taken up by DCs and also affected the intracellular degradation of the encapsulated OVA. The degradation of OVA encapsulated into the SC NPs was attenuated as compared to free OVA and the corresponding isomer NPs. Interestingly, immunization with OVA-SC NPs predominantly induced antigen-specific cellular immunity. The crystalline structure of inner NPs consisting of PLA had a significant impact on the degradation profiles of NPs and the release/degradation behavior of encapsulated antigens and thus the efficiency of immune induction. Our findings suggest that the γ -PGA-PLA SC NPs are suitable for protein-based vaccines that are used to induce cellular immunity, such as for infectious diseases, cancer, allergies and autoimmune diseases.

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1. Introduction

Vaccine adjuvants and delivery systems are used to improve the potency of the immune response to co-administered antigens.¹ Aluminum compounds (Alum) are the most widely used vaccine adjuvants and are employed in diphtheria, tetanus, pertussis, hepatitis B and pneumococcus vaccines. It has been proposed that Alum acts through the formation of a depot that induces the sustained release of the adsorbed antigen at the injection site.² Alum adjuvant activity is associated with enhanced antibody responses (humoral immunity). However, aluminum-based adjuvants can induce local reactions and fail to generate strong cell-mediated immunity. As a consequence, there is a great need to develop novel adjuvants and delivery systems for the next generation of vaccines.

Recently attention has been directed toward the utility of biodegradable nanoparticles (NPs) as delivery carriers for vaccines.^{3,4} A vaccine antigen is either encapsulated within or

immobilized onto the surface of the NPs. By encapsulating the antigenic component, NPs provide a method for delivering antigens which may otherwise degrade and diffuse rapidly upon injection. A key challenge in NP-based vaccine development is the efficient targeting of antigen-presenting cells (APCs), such as dendritic cells (DCs) and macrophages.⁵ Exogenous particulate antigens are normally internalized by APCs, degraded and processed in the endosome/lysosomes, and presented on the MHC class II pathway, which is involved in T helper cell activation. In contrast, endogenous antigens are generally processed and presented *via* the MHC class I pathway, which is involved in cytotoxic T lymphocyte (CTL) activation (cellular immunity).⁶ DCs have the unique ability to present exogenous antigens on MHC class I molecules. MHC class I-restricted antigen presentation by exogenous antigens is possible if the antigens escape from the lysosomes into the cytoplasm rather than by being degraded in the lysosomes.⁷ Therefore, it is suggested that not only the cell uptake process of the particulate antigens but also the intracellular distribution and degradation of encapsulated antigens are important for the induction and regulation of antigen-specific immune

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1 responses. Moreover, it is expected that the desired immune
2 response can be manipulated by controlling the process of
3 antigen degradation in APCs.

4 Recently, the self-assembly of amphiphilic block copoly-
5 mers or hydrophobically-modified polymers has been exten-
6 sively studied in the field of drug delivery systems.^{8,9} In
7 general, amphiphilic block/graft copolymers can self-assemble
8 to form nano-sized micelles consisting of a hydrophilic outer
9 shell and a hydrophobic inner core in aqueous solution.
10 Amphiphilic block copolymers such as poly(ethylene glycol)-
11 poly(lactic acid) (PEG-PLA) are very attractive for drug delivery
12 applications.¹⁰ These systems can be used to provide targeted
13 cellular delivery of drugs, to avoid any toxic effects, and to
14 protect therapeutic agents against enzymatic degradation
15 (nucleases and proteases), especially in the case of protein,
16 peptide and nucleic acid drugs. Moreover, poly(L-lactide)/
17 poly(D-lactide) (PLLA/PDLA)-containing copolymers of various
18 architectures were synthesized to form stereocomplex (SC)
19 composites.⁸ It has been reported that PLLA/PDLA mixtures in
20 solution form SCs with distinctive physical and chemical
21 stability due to their van der Waals interactions.^{11,12} It is well
22 known that the physical and mechanical properties of a SC are
23 largely dependent on its level of crystallinity. Application of
24 this interaction for NP formation was first employed in micelle
25 stabilization by Kang *et al.* with block copolymer micelles
26 obtained through the self-assembly of equimolar mixtures of
27 PEG-PLLA and PEG-PDLA in water.¹³ These SC micelles
28 possessed partially crystallized cores and exhibited kinetic
29 stability superior to micelles prepared with isotactic or racemic
30 polymers alone. Other groups have used SC formation between
31 enantiomeric PLA to fabricate or stabilize polymeric micelles
32 or NPs with hydrophilic segments including PEG, poly(*N*-iso-
33 propylacrylamide) (PNIPAM), poly(L-histidine) or dextran.¹⁴⁻¹⁷
34 The hydrolytic degradation of PLA is affected by different
35 factors such as the chemical configuration, molecular
36 weight, crystallinity and environmental conditions.¹⁸ This
37 suggests the possibility of tuning the degradation rate over a
38 wide range of homopolymer PLLA, PDLA and stereocomplexed
39 PLA composites.

40 In a previous study, we prepared biodegradable NPs com-
41 posed of poly(γ -glutamic acid) (γ -PGA) as a hydrophilic back-
42 bone and enantiomeric PLA as a hydrophobic side chain.
43 These γ -PGA-*graft*-PLA (γ -PGA-PLA) copolymers could form
44 NPs, and SC crystallites were formed in the case of the mixture
45 of γ -PGA-PLLA and γ -PGA-PDLA copolymers.¹⁹ Moreover, the
46 SC NPs exhibit a lower critical aggregation concentration (CAC)
47 as well as stronger kinetic stability compared with the corre-
48 sponding isomer NPs. We hypothesize that the crystalline
49 structure of NPs would have a significant impact on the
50 degradation profiles of NPs and the release/degradation
51 behavior of encapsulated antigens and thus the efficiency
52 of immune induction. In this study, we investigated
53 the efficacy of γ -PGA-PLA SC NPs on cellular uptake, intra-
54 cellular degradation of protein encapsulated into the NPs
55 *in vitro* and immune induction of protein-encapsulated SC NPs
in vivo.

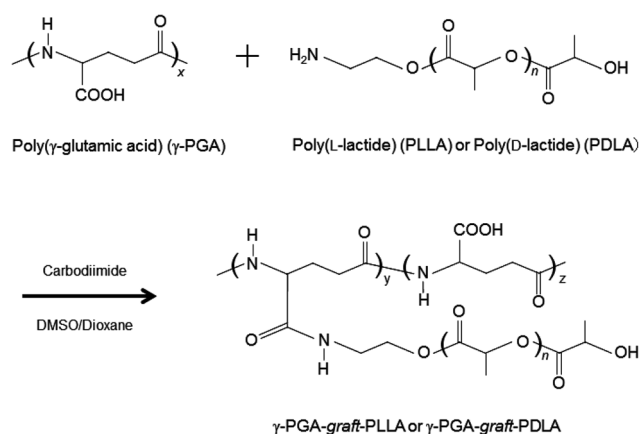
2. Materials and methods

2.1. Materials and reagents

1 L-Lactide and D-lactide were purchased from Purac Biochem BV
2 (Gorinchem, the Netherlands) and were re-crystallized twice
3 from ethyl acetate prior to use. Poly(γ -glutamic acid) (γ -PGA,
4 number average molecular weight: $M_n = 75$ kDa; molecular
5 weight distribution: $M_w/M_n = 2.0$), stannous octanoate
6 [$\text{Sn}(\text{Oct})_2$], ovalbumin (OVA) and other chemicals were pur-
7 chased from Wako Pure Chemical Industries (Tokyo, Japan).
8 *N*-Boc-ethanolamine (Boc: *t*-butoxycarbonyl), 1-ethyl-3-
9 (3-dimethylaminopropyl)-carbodiimide (EDC) and 4-(*N,N*-
10 dimethylamino)pyridine (DMAP) were purchased from Sigma
11 (St. Louis, MO), and were used as received.

2.2. Synthesis and preparation of γ -PGA-PLA NPs

12 PLAs ($M_n = 2.5$ kDa) and γ -PGA-PLA copolymers (M_n of γ -PGA
13 = 10 kDa) were synthesized as previously described.¹⁹ The
14 amphiphilic graft copolymers γ -PGA-PLLA and γ -PGA-PDLA
15 were synthesized *via* a combination of ring opening polymeriz-
16 ation and coupling reactions (Scheme 1). The grafting degree
17 of PLA, the number and hydrophobic content (%) of the PLAs
18 in the copolymer were calculated from ¹H NMR (solvent
19 DMSO-*d*₆). The PLA content (wt%) was calculated by the fol-
20 lowing equation: PLA content (wt%) = $[(M_w \text{ of PLA} \times \text{the}$
21 $\text{number of grafted PLAs}) / (M_w \text{ of } \gamma\text{-PGA-PLA})] \times 100$. In this
22 study, γ -PGA-PLA copolymers with 5–6 PLA chains per γ -PGA
23 (PLA content: 55–60 wt%) were used. NPs composed of γ -PGA-
24 PLLA (γ -PGA-PLLA NPs) and γ -PGA-PLLA/ γ -PGA-PDLA (γ -PGA-
25 PLA SC NPs) were prepared by a precipitation and dialysis
26 method. First, γ -PGA-PLLA and an equal molar mixture of
27 PLLA and PDLA copolymers were dissolved in DMSO, a solvent
28 for both γ -PGA and PLA, to a concentration of 10 mg ml⁻¹.
29 Then, phosphate buffer saline (PBS; 10 mM, pH 7.4) was
30 added dropwise into the copolymer solution and vigorously
31 stirred until the water content reached 50 vol%. The solutions
32 were transferred into a dialysis tube (MWCO: 1000 Da)
33 for dialysis against pure water. After dialysis, the particle size
34 and distribution were measured by a dynamic light scattering



Scheme 1 Synthesis of γ -PGA-*graft*-PLA copolymers.

(DLS) method using a Zetasizer Nano ZS (Malvern Instruments, UK).

2.3. X-ray diffraction (XRD) measurements

The XRD patterns of γ -PGA-PLA NPs were obtained from a RIGAKU RINT2000 apparatus. $\text{CuK}\alpha$ ($\lambda = 0.154$ nm) was used as the X-ray source, and the patterns were measured at 40 kV and 200 mA with a Ni filter. The samples were examined at 2θ equal to 5 – 30° . The patterns were then curve-resolved into amorphous hollow and crystalline reflection peaks. After dividing the reflection peaks into homo-crystals ($2\theta = 17$ and 19°) and stereocomplex crystals ($2\theta = 12$, 21 and 24°), their crystallinities were estimated. In this study, γ -PGA-PLLA NPs (isomer) with a homo-crystallinity of 40% and γ -PGA-PLA SC NPs with a stereocomplex crystallinity of 58% were used.

2.4. Preparation of OVA-encapsulated γ -PGA-PLA NPs

To prepare the OVA-encapsulated γ -PGA-PLLA NPs (OVA-L NPs) and γ -PGA-PLA SC NPs (OVA-SC NPs), 2 mg of OVA was dissolved in 1 ml of PBS and slowly added to 1 ml of the DMSO solution of γ -PGA-PLLA isomers or a mixture of γ -PGA-PLLA and γ -PGA-PDLA (10 mg ml^{-1}) with stirring. The resulting solution was centrifuged at 15 000 rpm for 10 min, rinsed with PBS twice, and re-suspended in PBS at a concentration of 10 mg ml^{-1} . OVA-encapsulated NPs (OVA-NPs) were added to DMSO to dissolve the NPs, and the OVA loading content was measured by the Lowry method. The morphology of OVA-NPs was observed by scanning electron microscopy (SEM) (JEOL JSM-6701F) at 6 kV. A drop of the NP suspension was placed on a glass surface, which was fixed on metallic supports with a carbon tape. After drying, the samples were coated with osmic acid.

2.5. *In vitro* release of OVA from γ -PGA-PLA NPs

The release experiment was carried out *in vitro* as follows: OVA-L NPs and OVA-SC NPs (1 mg ml^{-1} OVA, 10 mg ml^{-1} NPs) were suspended in 50 mM acetate (pH 5), phosphate (pH 7.4) and carbonate (pH 10) buffer and then placed in a microtube at 37°C . At different time intervals, 100 μl samples were withdrawn and centrifuged at 15 000 rpm for 10 min. The amount of OVA released into the supernatant was then determined by the Lowry method.

2.6. Kinetics of the cellular uptake of OVA-NPs

Mouse bone marrow-derived dendritic cells (DCs) were generated, as previously reported.²⁰ FITC-labeled OVA (F-OVA) (Molecular Probes, Eugene, OR) was used to evaluate the uptake of F-OVA-NPs by DCs. To determine the kinetics of the F-OVA-NP uptake, the cells (1×10^5 cells per 100 μl) were incubated with a defined concentration of F-OVA alone, F-OVA-encapsulated γ -PGA-PLLA or γ -PGA-PLA SC NPs (F-OVA-L NPs or F-OVA-SC NPs) for 30 min at 37°C . F-OVA-NPs containing 100 μg of OVA per mg of NPs were used. To quantify the amount of intracellular F-OVA-NPs, the cells incubated with F-OVA-NPs were washed thoroughly with PBS and then dissolved with 0.5% Triton X-100 in 0.2 N NaOH solution for 1 h at room

temperature; then the fluorescence intensity of the lysates was measured by a fluorescence microplate reader (Fluoroskan Ascent FL, Thermo Fisher Scientific Inc., USA). The fluorescence incorporation was calculated as the amount of F-OVA uptake per cell.

2.7. Intracellular degradation of OVA encapsulated into the NPs

DQ OVA (Molecular Probes, Eugene, OR) was used to evaluate the intracellular degradation of OVA-NPs. DQ OVA is a self-quenched OVA conjugate that exhibits fluorescence after degradation. DQ OVA-encapsulated NPs (DQ OVA-L NPs and DQ OVA-SC NPs) containing 100 μg of OVA per mg of NPs were prepared by the same method as described above. DCs (5×10^5 cells per ml) were incubated with DQ OVA alone (100 μg ml^{-1}), DQ OVA-L NPs (3 μg ml^{-1} DQ OVA, 30 μg ml^{-1} NPs) or DQ OVA-SC NPs (1.5 μg ml^{-1} DQ OVA, 15 μg ml^{-1} NPs) for 30 min at 37°C . The amount of intracellular DQ OVA was regulated to be the same. After incubation, the cells were washed with PBS, and the NP phagocytosed cells were incubated with PBS for up to 3 h at 37°C . After incubation for the prescribed time period, the cell-associated fluorescence (the fluorescence intensity of the degraded DQ OVA) was measured by flow cytometry (FCM) (Cytomics FC500, Beckman Coulter, US).

2.8. Immunization of mice with OVA-NPs

Female C57BL/6J (H-2K^b, 6 weeks old) mice were purchased from Charles River (Yokohama, Japan). All experiments were approved by Osaka University, and were carried out in accordance with the institutional guidelines for animal experimentation. C57BL/6J mice (3 mice per group) were immunized subcutaneously once or twice with PBS, OVA (10 μg), OVA (10 μg) mixed with incomplete Freund's adjuvant (OVA + IFA), OVA (10 μg) mixed with Alum (100 μg) (OVA + Alum), OVA-L NPs or OVA-SC NPs (10 μg OVA and 100 μg NPs) on days 0 and 7. On day 7 after the last immunization, spleen cells and blood were collected.

2.9. ELISPOT assay and ELISA

Interferon (IFN)- γ -producing cells were determined using an enzyme-linked immunospot (ELISPOT) kit for mouse IFN- γ (BD Biosciences). The spleen cells (4×10^5 cells per 200 μl per well) isolated by density gradient centrifugation were either stimulated *in vitro* with 1 μg ml^{-1} of OVA_{257–264} peptide (SIINFEKL) or unstimulated (negative control) in a 96-well ELISPOT plate. The plate was incubated for 24 h at 37°C . The IFN- γ -producing cells in the splenocyte populations were measured using a commercially available kit according to the manufacturer's instructions. The data were expressed as the mean spot forming units (SFU) per 4×10^5 cells \pm standard deviation (SD). OVA specific IgG antibody levels in immunized mouse serum were measured by an enzyme-linked immunosorbent assay (ELISA) as previously described.²¹

3. Results and discussion

3.1. Preparation of OVA-encapsulated γ -PGA-PLA NPs

In order to study the release behavior, cellular uptake, intracellular degradation and the induction of immune response by γ -PGA-PLA NPs, OVA-, F-OVA- or DQ OVA-encapsulated NPs were prepared. OVA dissolved in PBS was added to a γ -PGA-PLLA or γ -PGA-PLLA/PDLA mixture in DMSO solution. The obtained NPs were investigated for their protein loading capability. OVA, F-OVA and DQ OVA were successfully encapsulated into the γ -PGA-PLLA and γ -PGA-PLA SC NPs. The encapsulation efficiency was found to be in the range of 50–60%, and the amount of encapsulated OVA per NP weight was almost the same, despite the differences in homopolymer and SC. In this study, OVA-NPs with an OVA loading of 100 μg per mg of NPs were used for these experiments. The size of the OVA-encapsulated γ -PGA-PLLA (isomer) NPs (OVA-L NPs) and γ -PGA-PLA SC NPs (OVA-SC NPs) re-dispersed in PBS was measured by DLS. The OVA-SC NPs showed a monodispersed size distribution with a mean diameter of 440 nm (polydispersity index: PDI = 0.30) (Fig. 1a). In contrast, the size distribution of OVA-L NPs exhibited two peaks (65 and 330 nm, PDI = 0.24). The formation of these NPs was accomplished due to the amphiphilic characteristic of γ -PGA-PLA. In the case of SC NPs, when the equimolar mixture of enantiomeric copolymers came into contact with the non-solvent PBS containing OVA under stirring, the association of the enantiomeric PLA chains took place very quickly before the solution went into microscopic phase separation and finally formed the stereocomplex cores of the NPs. It was considered that the SC formation plays an important role in controlling the size distribution of the NPs. The particle size was increased when OVA was encapsulated, and the size of the OVA-NPs increased with increasing OVA content (data not shown), likely due to an increase in the swelling capacity due to the hydrophilic properties of OVA. Furthermore, it could be observed from the SEM observation that the OVA-SC NPs were spherical in shape (Fig. 1b). The OVA-NPs had a strongly negative zeta potential (-25 mV) in PBS. The

negative zeta potential was due to the ionization of the carboxyl groups of γ -PGA located near the surfaces.

3.2. Release behavior of OVA encapsulated into the γ -PGA-PLA NPs

To study the stability and protein release behavior, OVA-L NPs and OVA-SC NPs were simply suspended in buffers of pH 5, 7.4 and 10, and then the OVA release *in vitro* was determined. As shown in Fig. 2, the OVA encapsulated into both NPs were not released (less than 10%) at pH 5 and 7.4, even after 6 days. The OVA encapsulated into the γ -PGA-PLA NPs was stable under acidic and neutral conditions. In the case of NPs prepared from amphiphilic PLA-PEG block copolymers, hydrophobic interactions between the hydrophobic regions of protein and the hydrophobic PLA blocks were observed.²² Therefore, it is

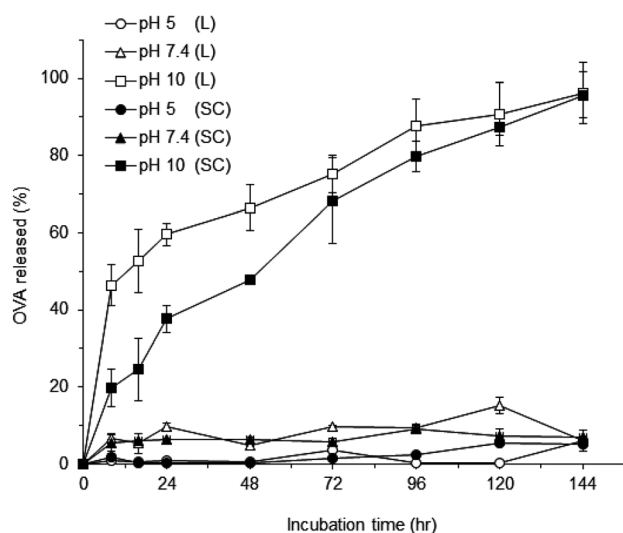


Fig. 2 OVA release profiles of OVA-L NPs (white circle and triangle) and OVA-SC NPs (black circle and triangle). The release of OVA from the NPs with an OVA content of 100 μg mg^{-1} NP was carried out at 37 $^{\circ}\text{C}$ at pH 7.4 (circle) or pH 10 (triangle). The results are presented as means \pm SD ($n = 3$).

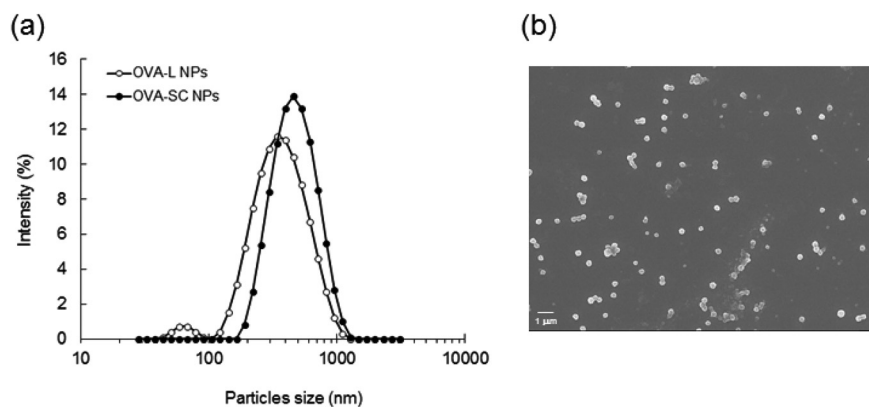


Fig. 1 Size distribution of OVA-L NPs and OVA-SC NPs (a) and SEM images of OVA-SC NPs (b). OVA (2 mg ml^{-1}) dissolved in PBS was added to the γ -PGA-PLLA or γ -PGA-PLLA/PDLA mixture (10 mg ml^{-1}) in DMSO solution at the same volume. After purification, the size of the NPs was measured in PBS by DLS.

suggested that the hydrophobic interactions between the hydrophobic regions of OVA and the hydrophobic PLA part in the γ -PGA-PLA NPs is attributed to the stability of OVA-NPs. However, as the OVA-NPs are formed by amphiphilic γ -PGA-PLA graft copolymers, it is hypothesized that the core of the NPs is formed not only by the PLA, but also by the γ -PGA of the main chain. Thus, γ -PGA may also be related to the interaction between the protein and the NPs. On the other hand, a significant amount of OVA was released at pH 10. The behavior of polyester degradation is controlled by a number of factors, such as pH, crystallinity, stereochemical structure, molecular weight, and the presence of low molecular weight compounds.^{23–25} The OVA was gradually released from the OVA-L NPs and OVA-SC NPs at pH 10, and the release percentage was about 20 and 50% in the initial period within 8 h, respectively. A significant difference in the release profile between OVA-L NPs and OVA-SC NPs was observed during an early period (from 8 to 48 h) of incubation. The OVA release rate of OVA-L NPs was higher than that of OVA-SC NPs. It has been reported that no significant hydrolysis occurred when γ -PGA was incubated at pH 7 or pH 10 at 37 °C. Even after 60 days of incubation at pH 7 or pH 10, only approximately 10% of the γ -PGA had been hydrolyzed.²⁶ These results suggest that OVA releasing from the NPs at pH 10 can be attributed to the degradation of PLA. The reason for the increased stability of OVA-SC NPs is suggested to be intermolecular crystallization of PLLA/PDLA, which results in a larger number of tie chains between the crystallites and a more dense packing of the chains also in the amorphous phase.²⁷ Furthermore, the solubility of γ -PGA is increased upon increasing the pH, due to ionization of carboxyl groups containing γ -PGA. Thus, OVA releasing from the NPs at pH 10 may be related to the solubility of the γ -PGA backbone.

3.3. Uptake of F-OVA-NPs by DCs

To evaluate the uptake behavior of OVA-NPs by DCs, the cells were incubated with F-OVA NPs for the defined OVA and NP concentrations. To quantify the amount of F-OVA NPs taken up by DCs, the cells incubated with each concentration of F-OVA alone, F-OVA-L NPs or F-OVA-SC NPs were dissolved with a surfactant. The fluorescence intensity of the lysates was then measured. Fig. 3 shows the cellular uptake kinetics of F-OVA NPs as a function of the concentration of F-OVA NPs or F-OVA alone. The uptake of F-OVA-L NPs and F-OVA-SC NPs increased with increasing concentration of the NPs, indicating that the uptake was dose dependent. F-OVA-SC NPs were more efficiently taken up than the F-OVA-L NPs. When 15 $\mu\text{g ml}^{-1}$ (carrying 1.5 $\mu\text{g ml}^{-1}$ F-OVA) of F-OVA-SC NPs, 30 $\mu\text{g ml}^{-1}$ (carrying 3 $\mu\text{g ml}^{-1}$ F-OVA) of F-OVA-L NPs or 100 $\mu\text{g ml}^{-1}$ of F-OVA alone were pulsed, the same amount of OVA was taken up by the cells. In the case of F-OVA alone, an approximately 60-fold higher concentration was required to elicit a similar amount of intracellular OVA as compared to the F-OVA-SC NPs. These results showed that the γ -PGA-PLA NPs efficiently delivered the encapsulated protein into the cells. The reason why the uptake of F-OVA-SC NPs was higher than that of F-OVA-L NPs is

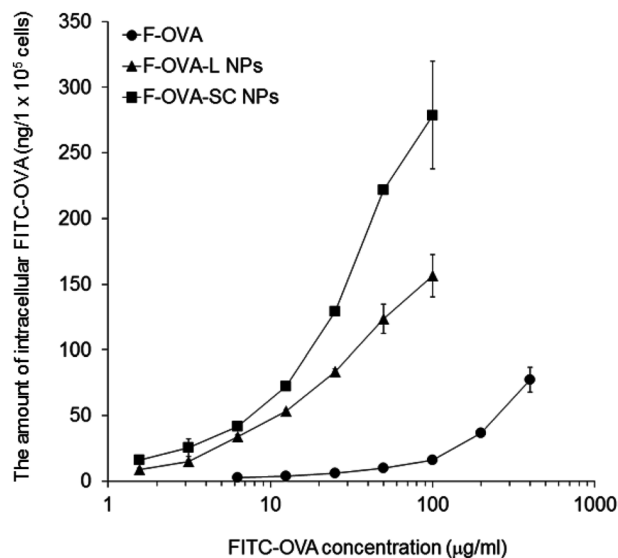


Fig. 3 The dose dependency on the uptake of F-OVA-NPs by DCs. The cells were cultured with the indicated concentrations of F-OVA alone, F-OVA-L NPs or F-OVA-SC NPs for 30 min at 37 °C. To quantify the amount of intracellular F-OVA, the cells were dissolved, and then the fluorescence intensity of the lysates was measured. The fluorescence incorporation was calculated as the uptake amount of F-OVA per cell ($n = 3$).

not clear. The difference may be attributed to the size difference between these NPs.

In general, virus-sized particles (20–200 nm) are usually taken up by endocytosis *via* clathrin-coated vesicles, caveolae or their independent receptors. Larger-sized particles (0.5–5 μm) are taken up by macropinocytosis, and particles greater than 0.5 μm are predominantly taken up by phagocytosis.²⁸ It has been reported that DCs and macrophages are capable of ingesting polystyrene or PLA particles ranging from 50 nm to 5 μm in diameter, and that particles with a diameter of 500 nm or less are optimal for uptake by DCs.²⁹ In this study, 400 nm-sized F-OVA-NPs were taken up more efficiently than F-OVA alone by the DCs, and the uptake of F-OVA-NPs was inhibited at 4 °C (data not shown), which suggests that the F-OVA-NPs were phagocytosed mainly *via* the endocytosis pathway. In addition, the cytotoxicity of the OVA-L NPs and OVA-SC NPs was evaluated *in vitro* by a cytotoxicity test using DCs. The surviving cells after 24 h incubation were evaluated by the trypan blue exclusion assay. DC viability as a function of the concentration of NPs was investigated. The cellular viability was maintained at levels higher than 90% for both NPs, even at high concentrations (1 mg ml^{-1}) (data not shown). This implied that these γ -PGA-PLA NPs could be useful as protein carriers without any significant cytotoxic effects.

3.4. Intracellular degradation of OVA-NPs

The intracellular degradation of encapsulated OVA in the NPs was investigated using a pH-insensitive self-quenched OVA conjugate (DQ OVA) that exhibits bright-green fluorescence upon proteolytic degradation. DQ OVA-encapsulated γ -PGA-

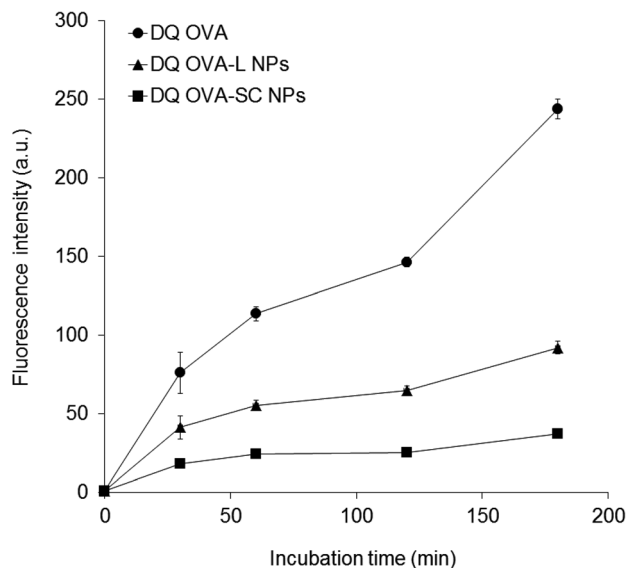


Fig. 4 Intracellular degradation behavior of DQ OVA-NPs in DCs. The cells were incubated with DQ OVA alone ($100 \mu\text{g ml}^{-1}$), F-OVA-L NPs ($3 \mu\text{g ml}^{-1}$ DQ OVA, $30 \mu\text{g ml}^{-1}$ NPs) or F-OVA-SC NPs ($1.5 \mu\text{g ml}^{-1}$ DQ OVA, $15 \mu\text{g ml}^{-1}$ NPs) for the indicated time periods at 37°C . The degraded DQ OVA (green) was observed using a FCM ($n = 3$).

PLA NPs (DQ OVA-L NPs and DQ OVA-SC NPs) were prepared to study their degradation properties. DCs were incubated with DQ OVA alone, DQ OVA-L NPs or DQ OVA-SC NPs for up to 3 h at 37°C , and then observed using a FCM. Since the NPs influenced the amount of OVA uptake by DCs, DQ OVA alone and DQ OVA-NPs were pulsed into the cells under conditions where an equal amount of DQ OVA was taken up by the cells. Fig. 4 shows intracellular degradation of DQ OVA in DCs as a function of time. The uptake of DQ OVA alone ($100 \mu\text{g ml}^{-1}$ pulsed) by the cells resulted in the early degradation of OVA. As the incubation time increased, the DQ OVA fluorescence became more intense inside the cells. As expected, the degradation of OVA encapsulated into the NPs was attenuated as compared to the free DQ OVA. Soluble DQ OVA was degraded much faster than the encapsulated DQ OVA. A difference in OVA degradation kinetics was observed between DQ OVA-L NPs and DQ OVA-SC NPs; the OVA degradation in the DQ OVA-SC NPs was less than in the DQ OVA-L NPs. These results indicated that the crystalline structure of stereocomplexed PLA in the NPs is an important factor for the degradation of encapsulated protein. In this study, OVA-L NPs with a homo-crystallinity of 40% and OVA-SC NPs with a SC crystallinity of 58% were used. Therefore, the crystallinity itself may affect the degradation behavior of OVA.

Several studies have demonstrated that antigen-conjugated nano/microparticles affect the intracellular degradation of antigens. Cruz *et al.* reported that antigens encapsulated into poly(lactic-co-glycolic acid) (PLGA) particles were more slowly degraded within the lysosomal compartment of human DCs as compared to soluble antigen.³⁰ Tran *et al.* also reported that OVA-adsorbed polystyrene nanoparticles (50 nm) showed the

greatest degradation of OVA in DCs, and that the degradation was less evident for antigens adsorbed onto both 500 nm and 3 μm particles. The size of the polystyrene particles affected the phagosomal pH, and the different phagosomal pH profiles resulted in varying levels of OVA degradation.³¹ We hypothesized that the differences in degradation due to SC crystallization of PLA could be attributed to differences in the intracellular degradation of OVA. In the case of γ -PGA-PLA NPs, the OVA degradation of DQ OVA-SC NPs was slower as compared to DQ OVA-L NPs. In general, PLA degradation has focused mainly on hydrolytic or enzymatic degradation. It has been reported that the biodegradation of PLA is strongly affected by its level of crystallinity and crystal forms.¹⁸ Several reports showed that the crystalline part of the PLA was more resistant to degradation than the amorphous part, and that the rate of degradation decreases with an increase in crystallinity.^{32,33} Only a few studies, however, deal with the degradation behavior of stereocomplexation crystallites. Tsuji *et al.* and Lee *et al.* reported that PLA SC has a higher hydrolysis-resistance compared with isomer PLLA or PDLA when it is hydrolyzed in PBS (pH 7.4) at 37°C or in enzyme.^{34,35} In Fig. 2, the OVA encapsulated into both the NPs was not released at acidic pH (pH 5) *in vitro*, which corresponds to pH of the endosome. The result suggests that the encapsulated OVA is not released in the endosome by pH effect. It is thought that the retardation of the hydrolysis of PLA SC is mainly due to a strong interaction between the L- and D-lactide unit sequences, which prevents the penetration of water or enzyme into the crystal structure. Based on these reports, the differences in the intracellular degradation of OVA might contribute to the high SC crystallization and the higher stability and hydrolysis-resistance of the OVA-SC NPs.

3.5. Induction of antigen-specific immune responses by OVA-NPs

The immune responses were investigated in mice after immunization with OVA-SC NPs. To evaluate the induction of cellular and humoral immunities, the mice were subcutaneously immunized 1 or 2 times at intervals of 7 days with OVA alone, OVA + IFA, OVA + Alum, OVA-L NPs or OVA-SC NPs. Splenocytes from the mice immunized with OVA-NPs were examined for their ability to induce IFN- γ -producing cells by ELISPOT assays. Fig. 5a shows that the mice immunized with OVA-SC NPs demonstrated the efficient induction of IFN- γ -producing cells following *in vitro* stimulation with a CTL epitope peptide in the OVA. This activity was significantly higher than that observed for OVA + IFA. Interestingly, the induction level of antigen-specific cellular immunity by OVA-SC NPs was higher than that of OVA-L NPs. This result suggests that the induction level of antigen-specific cellular immunity could be enhanced by changing the crystalline structure of γ -PGA-PLA NPs.

Next, OVA-specific antibody levels in serum were measured by ELISA. Mice immunized with OVA + Alum had high levels of anti-OVA IgG antibody in their sera (Fig. 5b). On the other hand, the OVA-specific IgG antibody response by OVA-L NPs and OVA-SC NPs was lower than that of OVA alone, suggesting

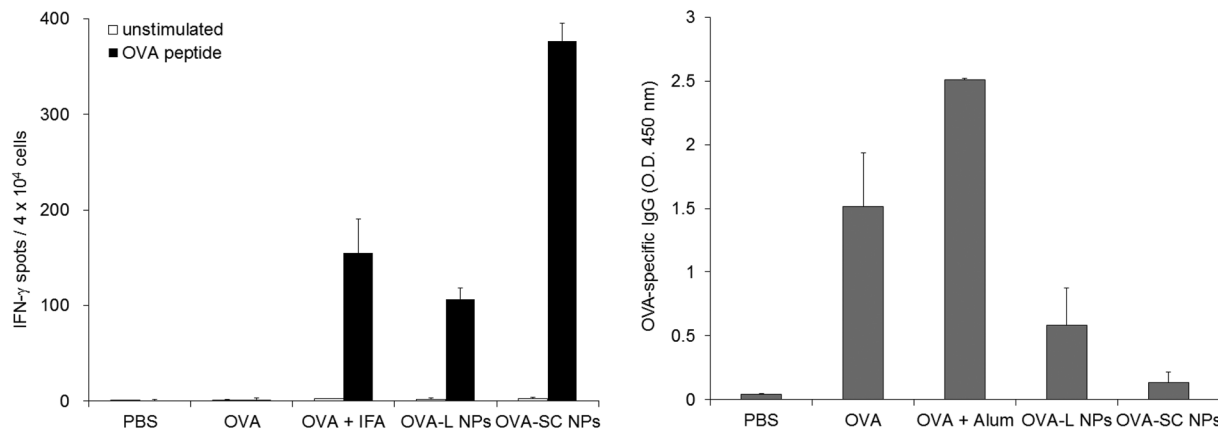


Fig. 5 Induction of cellular and humoral immunities by immunization with OVA-NPs. (a) Mice were subcutaneously immunized one time with PBS, OVA (10 μ g), OVA (10 μ g) mixed with IFA (OVA + IFA), OVA-L NPs or OVA-SC NPs (10 μ g OVA and 100 μ g NPs). Splenocytes were obtained from the immunized mice on day 7 after the last immunization and stimulated with the OVA_{257–264} peptide for 24 h. The number of IFN- γ -producing cells was measured by ELISPOT. The experiments were performed in triplicate. The results represent the means \pm standard deviation (SD) from three mice per group. (b) OVA-specific IgG antibody responses in mice were immunized two times with OVA, OVA mixed with Alum (OVA + Alum), OVA-L NPs or OVA-SC NPs. The levels of the OVA-specific IgG antibody were measured by ELISA. Sera were diluted to 128 times. The absorbance was measured at 450 nm. Results are expressed as the mean \pm SD in each group.

that OVA-NPs have a capacity to strongly induce cellular immunity rather than humoral immunity by subcutaneous immunization.

A major challenge in generating and manipulating immune responses is to create a vaccine system that can efficiently deliver antigens into the cytoplasm of APCs in order to induce antigen-specific cellular immunity. In this study, immunization of the mice with OVA-SC NPs predominantly induced OVA-specific cellular immune responses. It is assumed that the potent induction of the responses by OVA-SC NPs is attributed to antigen leakage from the endosomes into the cytoplasm in the APCs, such as DCs and macrophages. In a previous study, we prepared biodegradable NPs composed of γ -PGA conjugated with L-phenylalanine (Phe) as the hydrophobic segment. Protein-encapsulated γ -PGA-Phe NPs efficiently delivered loaded proteins from the endosomes to the cytoplasm in DCs.^{36,37} The mechanism of endosome escape of the NPs is related to their increased hydrophobicity when carboxylate ions of γ -PGA located near the surfaces become protonated at acidic pH values. The partial protonation of the γ -PGA carboxyl groups at endosomal pH was involved in pH-dependent membrane-disruptive activities.³⁸ In this study, OVA-SC NPs showed a strongly negative zeta potential in PBS. This negative charge of the NP surfaces is due to the carboxyl groups of γ -PGA. It is suggested that the γ -PGA-PLA NPs have an endosome-disruptive activity by the same mechanism as γ -PGA-Phe NPs. The NPs play a crucial role in the release of encapsulated OVA into the cytoplasm and subsequent antigen presentation *via* MHC class I. This behavior of the NPs might be related to their stability against hydrolytic and enzymatic degradation in the cells and at the injection site. Further studies are in progress to determine the cell trafficking behavior, antigen-presentation mechanisms and biodegradation *in vivo* of OVA-SC NPs.

In conclusion, polymeric NPs have attracted increasing interest as drug delivery carriers as well as for peptides, proteins, and DNA. Polymer complexes associated with two or more complementary polymers are widely used in potential applications in the form of particles. In general, electrostatic forces, hydrophobic interactions, hydrogen bonds, van der Waals forces, or combinations of these interactions are available as the driving forces for the formation of polymer complexes. In this study, we focused on stereocomplexation of PLA to stabilize NPs consisting of enantiomeric γ -PGA-PLA graft copolymers for vaccine carriers. We demonstrated that the crystalline structure of PLA in NPs is a key factor to control the release behavior and intracellular degradation of encapsulated protein. Importantly, the stereocomplexed NPs were able to deliver encapsulated antigen to DCs and regulate intracellular degradation of antigen and NPs, thereby modulating the immune response to the antigen. It is possible that γ -PGA-PLA SC NPs carrying vaccine antigens could provide a novel protein-based vaccine capable of inducing strong cellular immunity. These innovative vaccine delivery platforms could facilitate the development of effective vaccine therapy.

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