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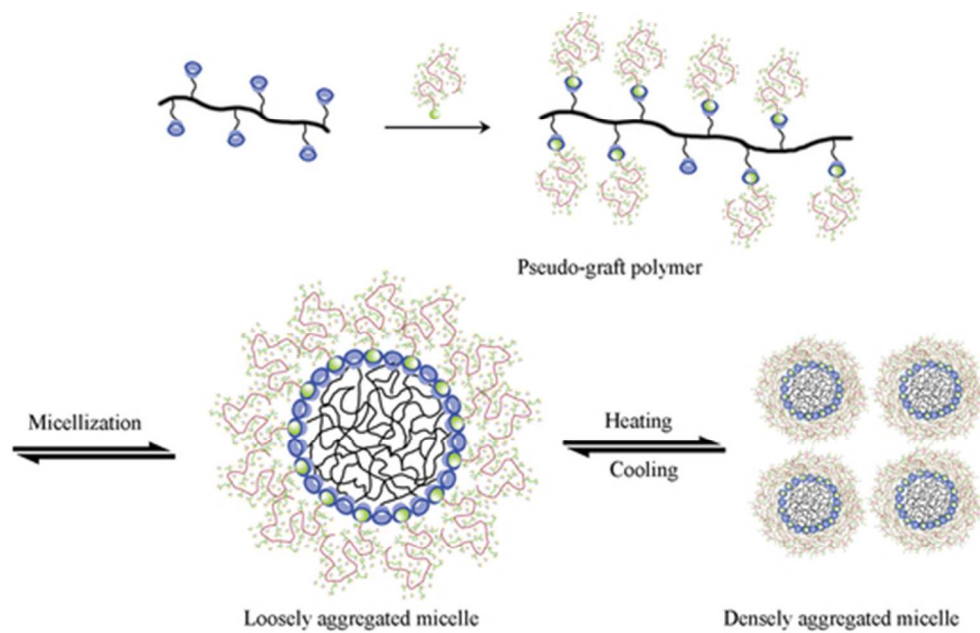


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Thermoresponsive and self-assembly behaviors of pseudo-graft polymer based on adamantyl-terminated poly (oligo (ethylene glycol) methacrylate and homopolymer with cyclodextrin pendants.
44x28mm (300 x 300 DPI)

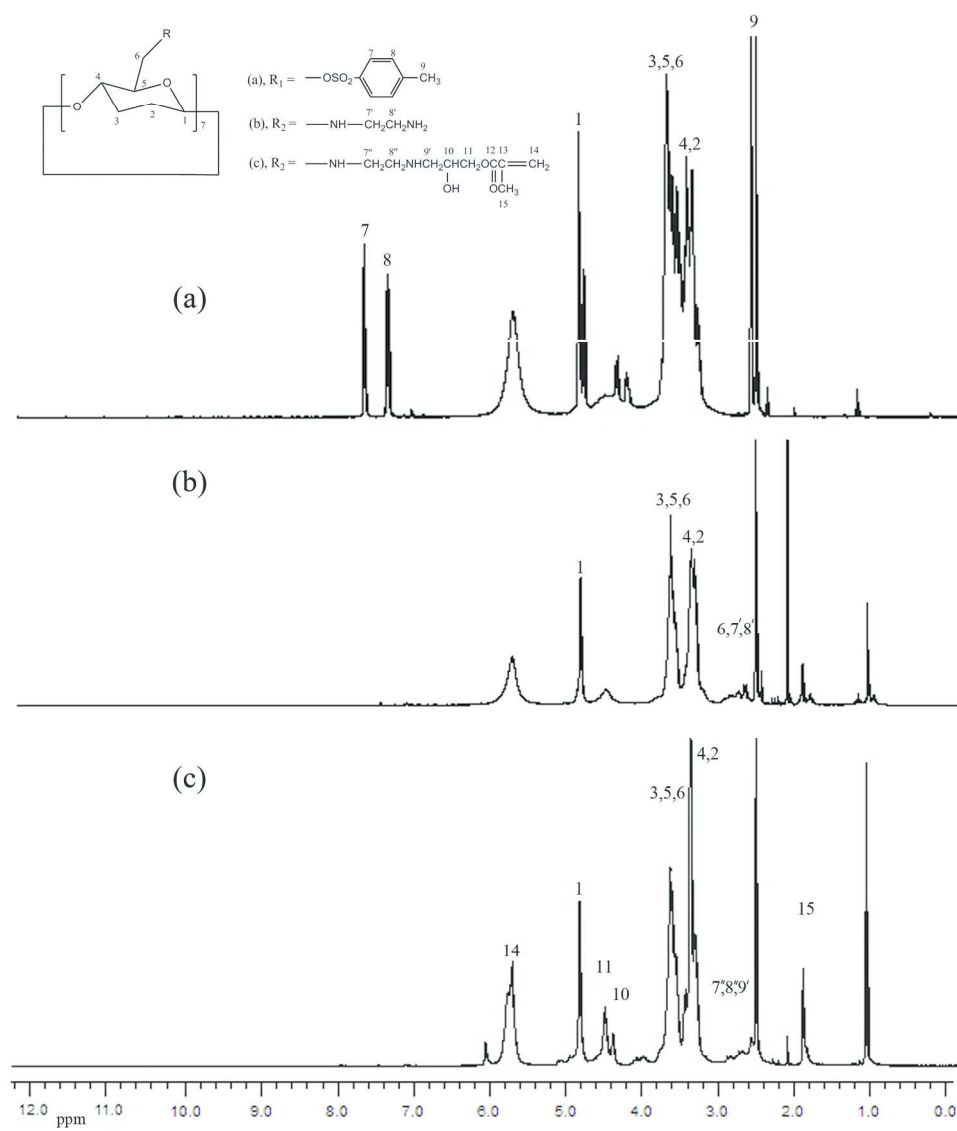


Figure 1. ^1H NMR spectra of β -CD based monomers (TCD and ECD) and GCD.
104x120mm (600 x 600 DPI)

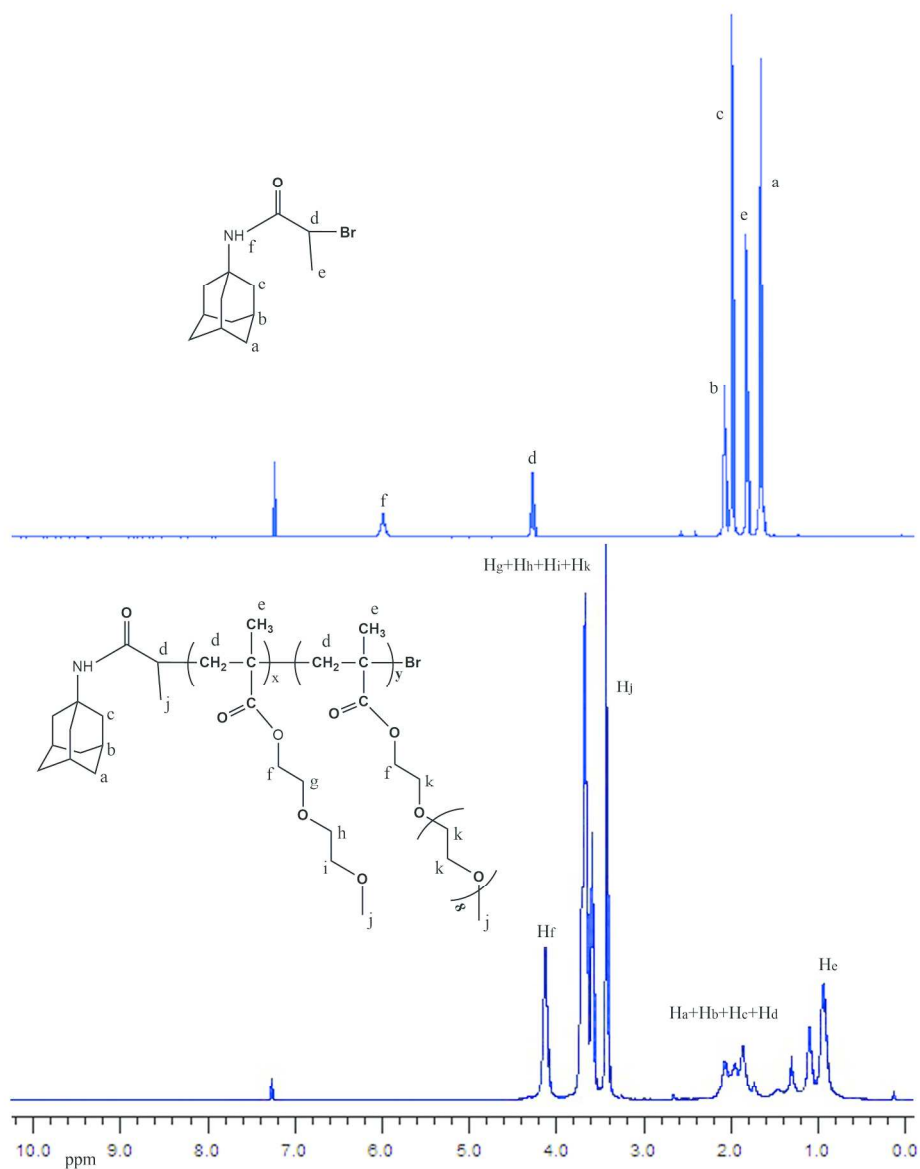


Figure 2. ¹H NMR spectra of AdBB and a Ad-terminated P(MEO2MA-co-OEGMA) copolymer (A1) containing 5 mol % of OEGMA per chain recorded in CDCl₃.
216x262mm (600 x 600 DPI)

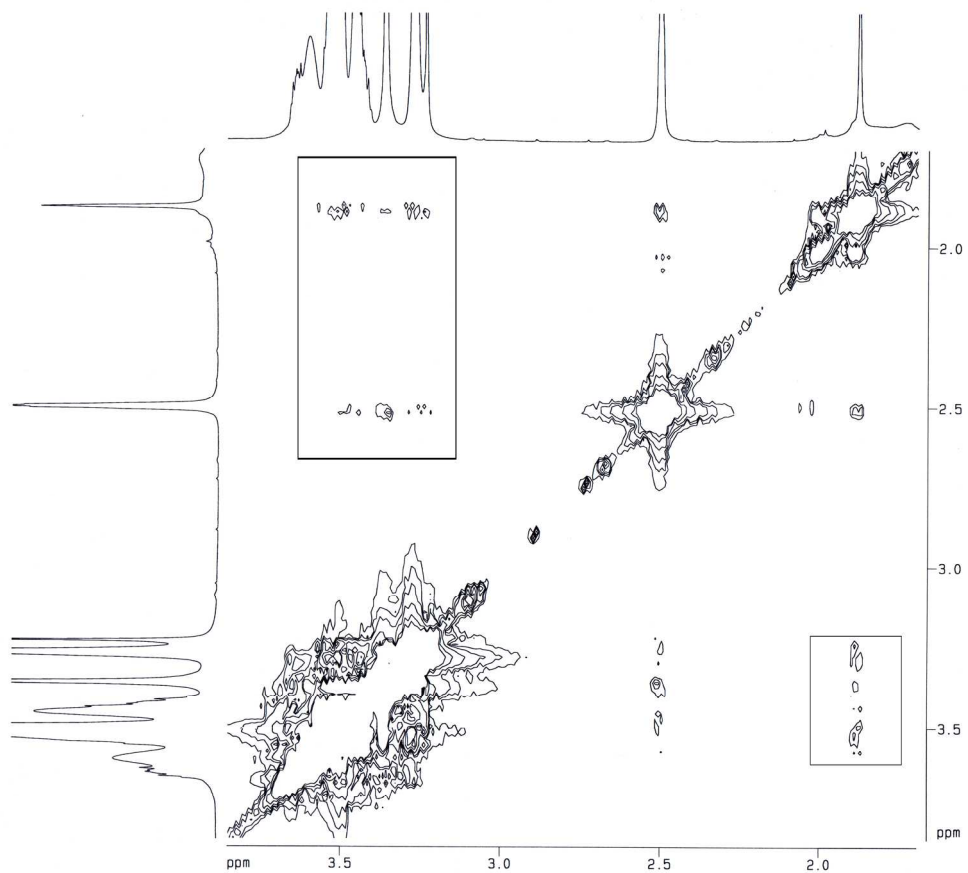


Figure 3. 2D ¹H NOESY spectrum of the host-guest mixture of PGCD host and Ad-POEGMA guest in DMSO-d₆ at 25 °C.
195x175mm (300 x 300 DPI)

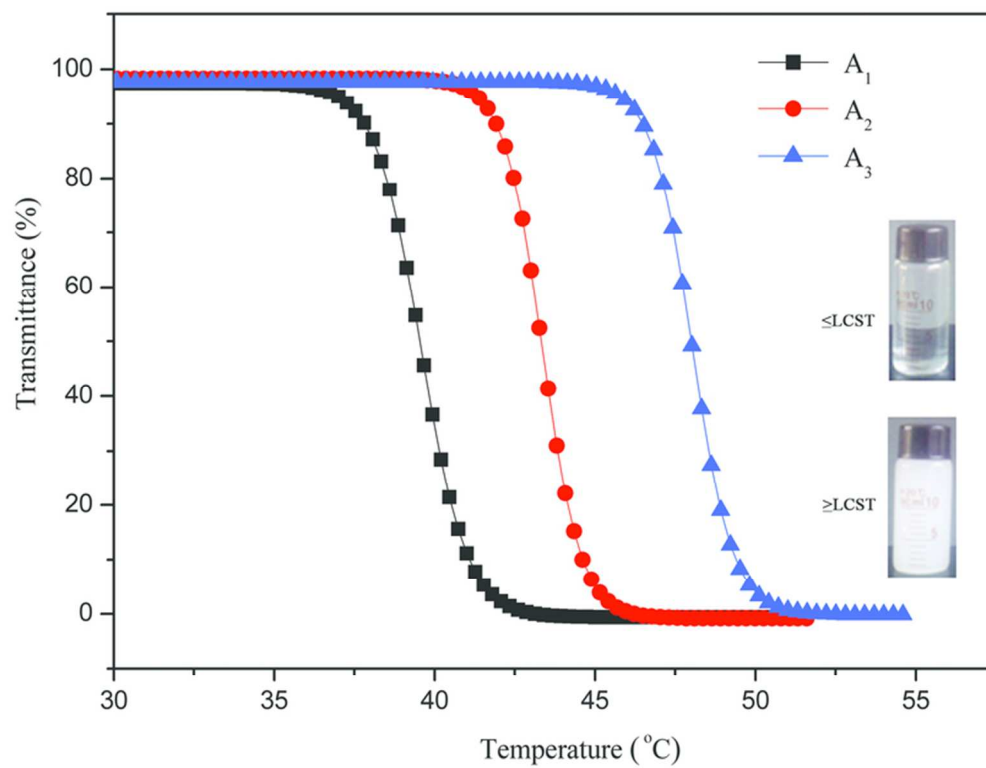


Figure 4. Plots of transmittance as a function of temperature measured for aqueous solutions (3 mg mL⁻¹) of Ad-POEGMAs (A1, A2 and A3).
69x53mm (300 x 300 DPI)

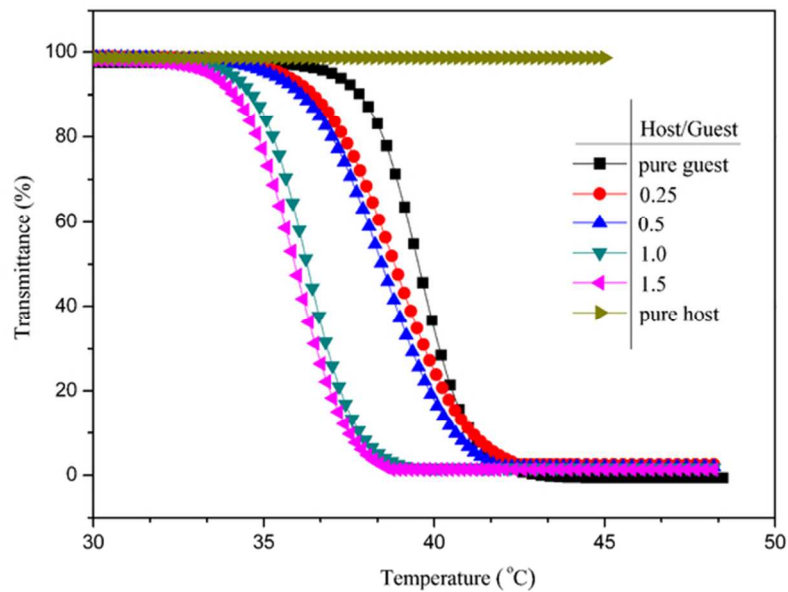


Figure 5. Turbidity variations of aqueous solutions of Ad-POEGMAs (A1) (guest) as a function of temperature upon the addition of PGCD (host). $C_{\text{guest}} = 3 \text{ mg mL}^{-1}$ (pure guest); $n_{\text{PGCD/AD-POEGMA}} = 0.25, 0.5, 1, 1.5$ separately.
63x44mm (300 x 300 DPI)

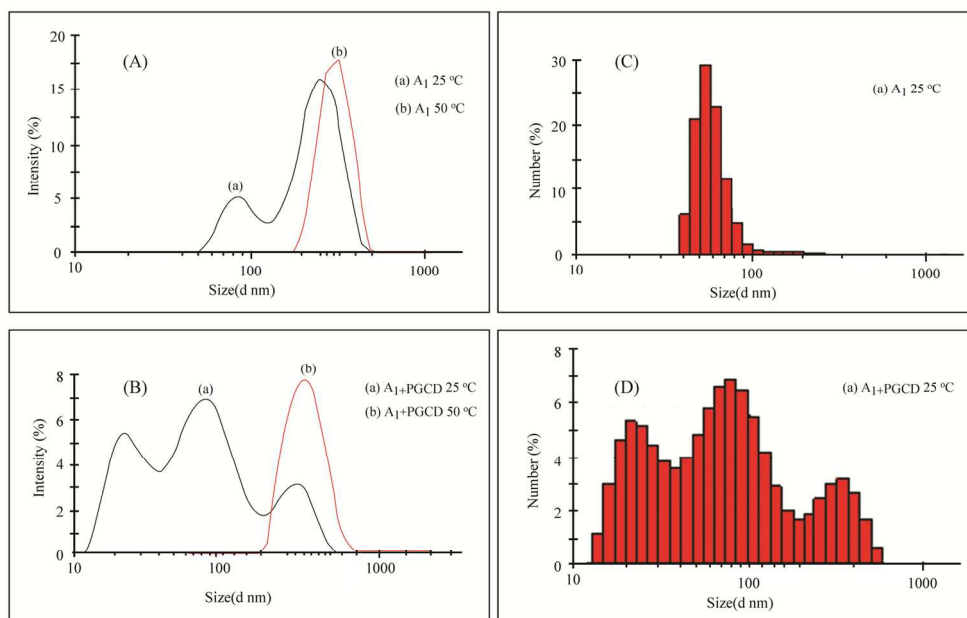


Figure 6. Size distributions of the aggregates formed by A1 (A and C), and A1+PGCD (B and D) in aqueous solution (3 mg mL⁻¹) at 25 and 50 °C, separately.
150x97mm (300 x 300 DPI)

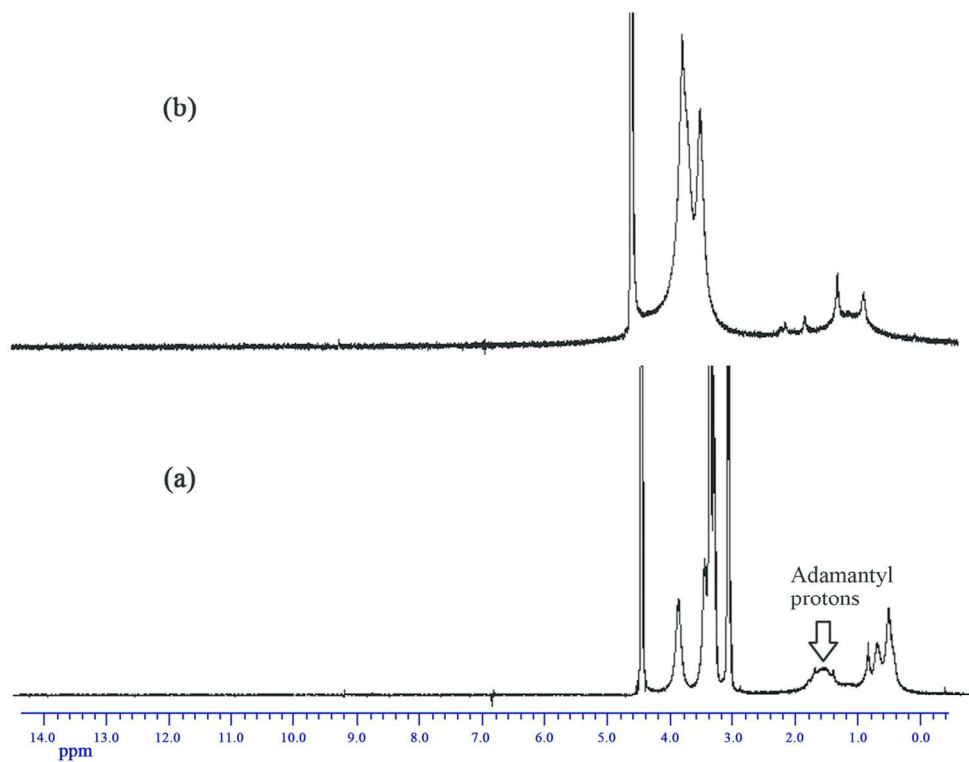


Figure 7. ^1H NMR spectra of a copolymer P(MEO2MA-co-OEGMA) containing 5 mol % of OEGMA per chain recorded in D_2O at 25 and 50 $^\circ\text{C}$.
60x47mm (600 x 600 DPI)

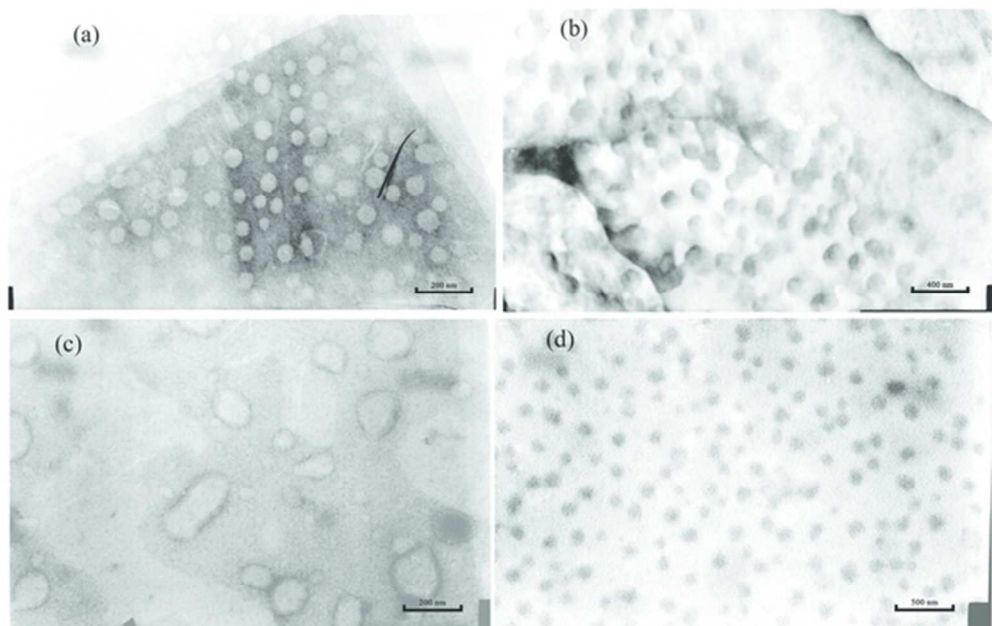
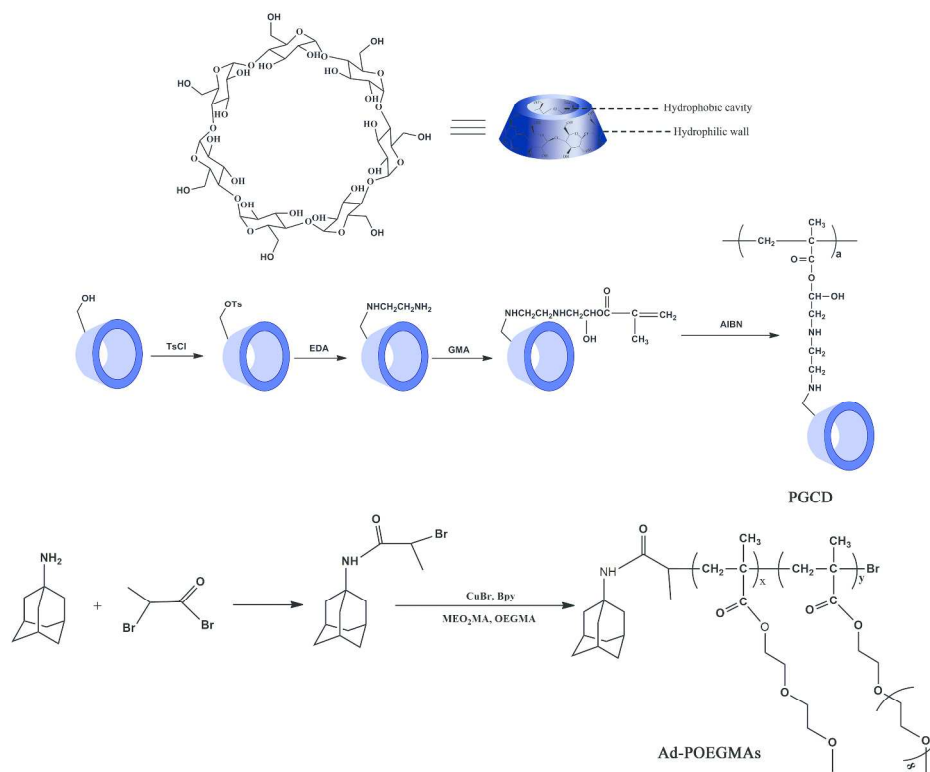
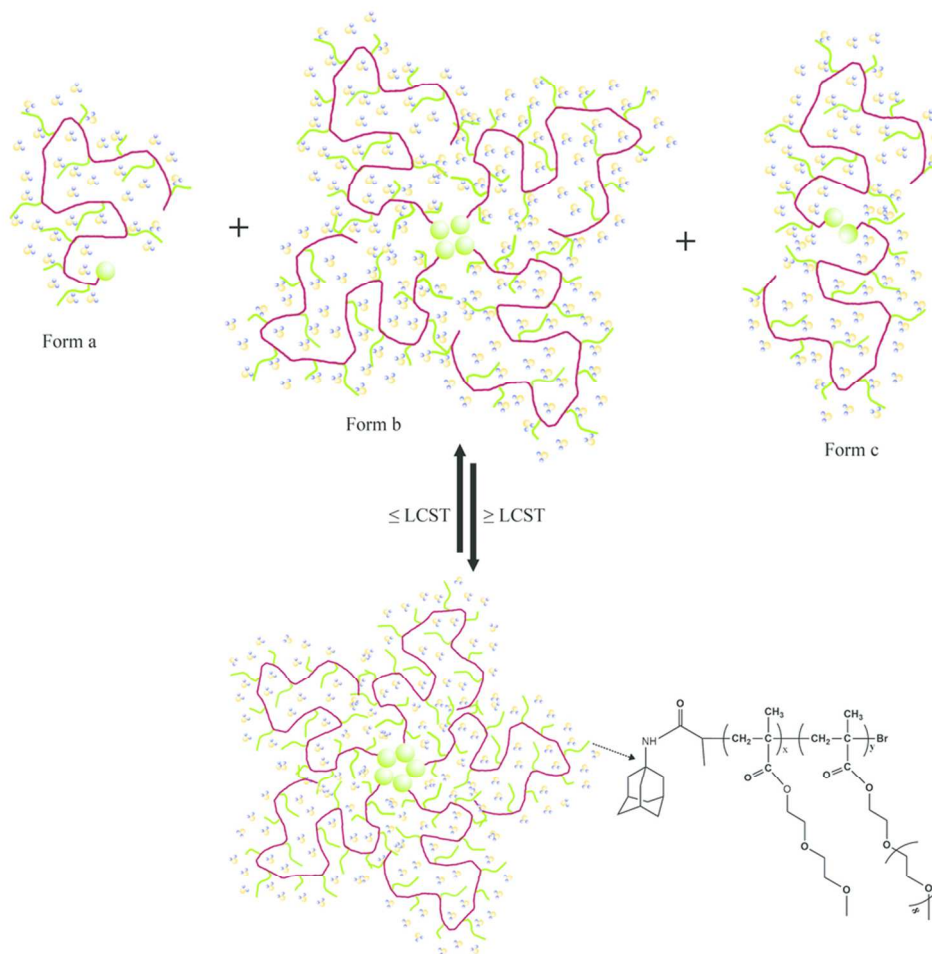


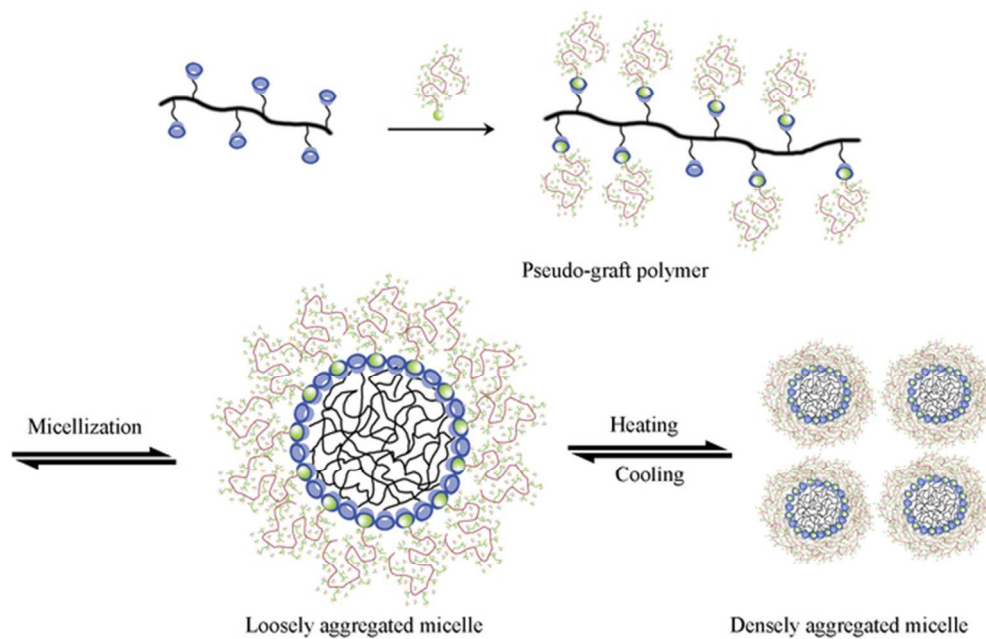
Figure 8. Typical TEM images obtained by aqueous solutions of A1 (a and b) and A1+PGCD (c and d) (3 mg mL^{-1}) at 25 and 50 °C. 51x35mm (300 x 300 DPI)



Scheme 1. Synthetic routes employed for the preparation of CD based monomers and PGCD, and ATRP initiator AdBB and Ad-POEGMAs.
173x137mm (600 x 600 DPI)



Scheme 2. Schematic illustration of the dynamic self-aggregation and self-disaggregation mechanism of Ad-POEGMAs in water during heating and cooling.
88x87mm (300 x 300 DPI)



Scheme 3. The procedures for inclusion complexation, the thermally-induced dehydration and subsequent self-assembly of the pseudo-graft polymer based on Ad-POEGMAs and PGCD.
58x38mm (300 x 300 DPI)

1 **Pseudo-graft polymer based on adamantyl-terminated poly (oligo (ethylene**
2 **glycol) methacrylate and homopolymer with cyclodextrin as pendant: its**
3 **thermoresponsivity through polymeric self-assembly and host-guest**
4 **inclusion complexation**

5
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12

13 **ABSTRACT**

14 Series of well-defined adamantyl-terminated thermally-responsive copolymers (Ad-
15 POEGMAs) were synthesized by atom transfer radical polymerization (ATRP), in which 2-
16 (2-methoxyethoxy) ethyl methacrylate (MEO₂MA) and oligo (ethylene glycol) methacrylate
17 (OEGMA) served as the thermosensitive building blocks. Meanwhile, cyclodextrins (CDs) as
18 bulky pendent grafted polymer (PGCD) was synthesized by homopolymerization of
19 aminoethyl methacrylate β -cyclodextrin (GCD). The thermal-responsive behaviors were
20 investigated by combination of ¹H NMR, UV-vis spectroscopy, dynamic light scattering
21 (DLS), and transmission electron microscopy (TEM). In comparison to other thermal-
22 responsive copolymers based on POEGMAs, Ad-POEGMAs exhibited unusual thermally
23 induced aggregation process. The Ad group assembled and POEGMA chains associated to
24 produce stable water soluble nano aggregates, followed by a rearrangement process at the
25 second thermal transition. Moreover, it was found that supramolecular pseudo-graft

26 noncovalently connected polymer via inclusion complexation in aqueous solution was formed.
27 This pseudo-graft polymer underwent a reversible temperature-induced transition from
28 solution to micelle under suitable conditions. The cyclodextrin (CD) moiety attached to the
29 main chain played two roles. As supramolecular host moieties, CDs formed inclusion
30 complexes with guest-ended polymers, leading to graft-like polymers. As bulky hydrophilic
31 moieties, CDs stabilized the micelles induced by the coil-to-globule transition of POEGMA
32 segments.

33 **Introduction**

34 Polymers respond with a property alteration towards environmental changes are often
35 referred to as “smart” polymeric systems because they exhibit reversible property changes in
36 response to changes in external conditions such as pH, temperature, ionic strength, light
37 irradiation, mechanical force, electric and magnetic fields, and analyte of interest (e.g., ions,
38 bioactive molecules, etc.) or an integration of them.¹⁻⁷ In cases where the external trigger is
39 temperature, the polymer is said to exhibit thermo-responsive property. Poly(N-
40 isopropylacrylamide) (PNIPAM) is one of the most popular thermal-responsive polymers,
41 which possesses dramatic and reversible phase transition behavior in aqueous solution and a
42 lower critical solution temperature (LCST).⁸⁻¹¹ The progress in the design and application of
43 PNIPAM based thermo-responsive polymers during the last decades was already covered in a
44 range of review articles.^{12,13} Recently, series of methacrylate-based polyethylene glycol(PEG)
45 polymers (POEGMAs) substituted with oligo(ethylene glycol) (OEG) units as side chains
46 were reported to possess similar or even superior thermosensitivity than PNIPAMs, which
47 have attracted a great deal of attention.¹⁴⁻²⁰ POEGMAs have good phase transition
48 reversibilities and tunable lower critical solution temperatures (LCSTs) in aqueous medium,
49 and the biocompatibility of POEGMAs is also excellent and attractive. Moreover, by the
50 copolymerization of different OEGMA monomers with different side chain lengths and by

51 varying the feed molar ratio of the comonomers, the LCSTs of POEGMAs can be
52 conveniently adjusted. To date, great interests have been evoked for the design of POEGMA
53 based polymers, and these polymers have been widely incorporated into dendrimers,
54 microgels, block or comb-like copolymers, polymeric brushes and gold surfaces which
55 endow these materials with fascinating properties. Furthermore, although studies have
56 indicated that the thermal-responsive mechanism of the POEGMAs can also be regarded as
57 the consequence of the competition between hydrophilic polymer-water interactions and
58 hydrophobic polymer-polymer interactions, the role of POEGMAs in a thermally-induced
59 self-assembly process, especially when POEGMAs serve as thermosensitive components for
60 composite systems composed of different components has still not been sufficiently
61 studied.²¹⁻²³

62 Recently, compared to traditional chemistry based on covalent bonds, supramolecular
63 chemistry based on non-covalent bonds has become a powerful way to construct
64 supramolecular system in a facile and dynamic way. A variety of subtle non-covalent
65 interactions, such as hydrogen bonding, metal-ligand coordination interaction, electrostatic
66 attraction, host-guest inclusion complexation, etc. have been widely used as the driving
67 forces to construct supramolecular systems.²⁴⁻³⁴ Therefore, based on those thoughts, well-
68 defined Ad-POEGMAs was firstly synthesized and applied in order to fine tune the LCSTs of
69 the PEG based thermo-responsive polymers. Moreover, this work focused on self-assembly
70 behavior of composite system between Ad-POEGMAs as guest consisting of POEGMAs as
71 thermal blocks, and PGCD as multi-host with pendent CD cavities via inclusion
72 complexation. The design of this special composite system is based on the following facts
73 and considerations: the main part of Ad-POEGMAs is the thermal sensitive POEGMA
74 segments, so thermal induced self-assembly is possible; moreover, CD species is a bulky
75 group which endows the PGCD with some characters of a comb-like polymer, The

76 hydrophobic interior cavity of β -CD can accommodate a variety of guest molecules. Among
77 them, the β -CD/Ad pair is well-known due to its high association constant, so PGCD may
78 show some peculiar characters in assembly. Finally, the CD cavities as hosts are available for
79 post-polymerization modifications by POEGMA with Ad guest end via inclusion
80 complexation to construct complex supramolecular system. In the current work, this
81 supramolecular system was formed with mixtures of Ad-POEGMAs and PGCD due to the
82 inclusion complexation between terminal CD and Ad moieties. Possessing thermoresponsive
83 POEGMAs, the obtained supramolecular pseudo-graft polymer is expected to exhibit
84 intriguing aggregation properties in aqueous solution.

85 **Results and Discussion**

86 **Synthesis and structure analysis of β -CD based monomers and PGCD homopolymer**

87 Herein, we report systematically on an efficient and preparatively simple approach for the
88 generation of β -CD based monomers with high purity and excellent yield, which all were
89 confirmed by element analysis, IR, ^1H NMR, ^{13}C NMR and MALDI-TOF measurements, the
90 detailed synthesis processes of β -CD based monomers are shown in our previous reports.³⁵
91 The synthetic route of TCD, ECD and GCD are shown in Scheme 1 and the ^1H NMR spectra
92 of TCD, ECD and GCD are shown in Fig. 1. The water soluble PGCD was prepared by
93 homopolymerization, and the SEC polydispersity index (M_w/M_n) of PGCD was low at 1.19.

94 **Synthesis and structure analysis of AdBB and Ad-terminated P(MEO₂MA-co-OEGMA) 95 copolymers (Ad-POEGMAs)**

96 AdBB initiator was synthesized by 2-bromopropionyl bromide and 1-adamantanamine with
97 high purity and yield. Using AdBB as the initiator, and MEO₂MA and OEGMA as
98 comonomers, Ad-terminated polymers, Ad-POEGMAs, were synthesized by atom transfer
99 radical polymerization (ATRP). As reported by Lutz et al., increasing the feed molar ratio of
100 MEO₂MA to OEGMA leads to a decrease in the LCST of POEGMAs. Therefore, three Ad-

101 POEGMAs named A₁, A₂, and A₃ with molar ratios of MEO₂MA to OEGMA being 95:5,
 102 90:10, and 85:15, respectively, were used in this study. The polymerization condition and
 103 results are summarized in Table 1. Fig. 2 shows the ¹H NMR spectra of AdBB and A₁.
 104 Besides the resonance peaks of the POEGMAs segment in the range of δ = 0.8–4.1 ppm, the
 105 characteristic signals of Ad segment, could also be observed at δ = 1.6-2.1 ppm, indicating
 106 that Ad-POEGMAs was successfully synthesized, although the signals of Ad moiety were
 107 partly overlapped by the relatively signals of the main-chain methylene protons of main
 108 chains at δ = 1.3-2.4 ppm.

109 Table 1. Summary of structural parameters of PGCD and Ad-terminated POEGMA
 110 copolymers (A₁, A₂ and A₃).

Samples	Initiator	$n_{\text{MEO}_2\text{MA}}:n_{\text{OEGMA}}^c$	M_n, SEC^d	M_w, SEC^d	PDI^d
PGCD ^a	/	/	11950	14220	1.19
A ₁ ^b	AdBB	95:5	23163	30427	1.32
A ₂ ^b	AdBB	90:10	29610	39604	1.35
A ₃ ^b	AdBB	85:15	34667	46535	1.34

111 (a) Synthesized via the homopolymerization of aminoethyl methacrylate β -cyclodextrin
 112 (PGCD); (b) Synthesized by the ATRP of MEO₂MA and OEGMA using AdBB as initiator; (c)
 113 mole ratio (%) of MEO₂MA and OEGMA in feed; (d) Molecular weights and molecular
 114 distributions (M_w/M_n , PDI) were determined by SEC using THF as eluent relative to
 115 polystyrene standards.

116 Fig. 3 presents the 2D ¹H NOESY spectrum of the mixture of AD-POEGMA (A₁) guest
 117 and PGCD host in DMSO-d₆, which provided a direct evidence for the formation of the
 118 pseudo-graft PGCD/AD-POEGMA polymer. The NOESY cross-peaks between the signals at
 119 3.0-4.0 ppm ascribed to the inner protons C(3)H and C(5)H of β -CD segments and the signals
 120 at 1.6-2.1 ppm assigned to the methine protons(Hb) and the methylene protons(Ha and Hc) of

121 adamantyl moieties were clearly observed, indicated that AD moieties were included in the
122 cavity of β -CD, consequently, the Ad-POEGMAs were successfully synthesized by atom
123 transfer radical polymerization.

124 **Thermoresponsive behavior of the Ad-POEGMAs and host-guest inclusion** 125 **complexation system**

126 Polyethylene glycol (PEG) and its derivatives are well known for its highly hydrophilic or
127 water-soluble nature, and exhibit LCSTs in aqueous solution.¹⁴⁻¹⁹ They are water-soluble and
128 water-insoluble below and above their LCSTs. The mechanism proposed for explaining the
129 thermo-responsiveness of POEGMAs is summarized as follows: the conformationally
130 favored formation of H-bonds between the ether oxygens of poly (ethylene glycol) (PEG) and
131 water hydrogens is one of the key factors responsible for the unusual water solubility of these
132 PEG type polymers.^{21,22} Thus, the Ad-POEGMAs were expected to self-assemble into nano-
133 sized aggregates or micelles in their aqueous solutions by altering temperature, moreover, the
134 interesting thermoresponsive behavior was investigated for the host-guest system comprising
135 of polymeric host PGCD with CD as pendant and polymeric guest Ad segment with
136 POEGMAs in aqueous solution. In this study, the thermally-induced self-assembly behaviors
137 were investigated in detail by a combination of UV-vis, DLS, and TEM and ¹H NMR in
138 D₂O.³⁶

139 **(a) Determination of the LCSTs of Ad-POEGMAs**

140 UV-Vis was first used to determine the LCSTs of the Ad-POEGMAs. The results are shown
141 in Fig. 4. A gradual decline in the solution transmittance could be observed with elevated
142 temperature, indicating that the three Ad-POEGMAs were all thermally-responsive. The
143 LCSTs for A₁, A₂, and A₃ were 37.94 °C, 42.07 °C and 46.71 °C, respectively, and increased
144 with increasing content of OEGMA. Studied have already indicated that the LCSTs of the
145 copolymers of 2-(2-methoxyethoxy) ethyl methacrylate (MEO₂MA) and ω -methoxy

146 (oligoethyleneoxy) ethyl methacrylate (OEGMA) increased significantly with increasing
147 content of OEGMA. In this study, in contrast to previous reports, the Ad group was firstly
148 introduced to POEGMAs system, but similar results were further confirmed for different
149 POEGMA copolymers with hydrophobic Ad groups. However, the difference for Ad-
150 POEGMAs is that the LCSTs are higher than those POEGMA copolymers without Ad groups.

151 **(b) Determination of the LCSTs of the supramolecular system of Ad-POEGMAs and**
152 **PGCD.**

153 In this study, the interesting thermoresponsive behavior was further investigated for the host-
154 guest system comprising of polymeric guest Ad-POEGMAs and host PGCD in aqueous
155 solution. Both the host and the guest polymers used in this study were all soluble at room
156 temperature. Fig. 5 shows the information on the thermoresponsive behaviors of a series of
157 mixtures of PGCD and Ad-POEGMAs with varied host/guest ratio. It could be seen that
158 individual aqueous pure guest exhibited thermoresponsive profile, but the host polymer
159 exhibited none thermoresponsive profile. When the two components were mixed together, the
160 LCSTs of the host-guest supramolecular systems decreased, finally when the adding amount
161 of polymeric host PGCD increased to some extent, the LCST became almost saturated when
162 the composite system involved a 1:1 complexation between adamantyl moiety and β -CD core.
163 The detailed experimental results for the aqueous mixtures of A₁ and PGCD were given
164 below as a typical example.

165 Fig. 5 shows that the LCST of pure guest (A₁) was 37.94 °C somewhat higher than body
166 temperature promising for biomedical application, and shifted to a lower temperature upon
167 the addition of the host (PGCD). The LCST significantly changed with a LCST decrease
168 (Δ LCST, referenced to pure guest) of 1.45 °C, 1.74 °C, 3.13 °C and 3.65 °C when the
169 host/guest ratio is 0.25, 0.5, 1.0 and 1.5. At last, the LCST became almost unchanged
170 indicating that the composite system involved complexation equilibrium between adamantyl

171 moiety (Ad) and β -CD core. The Ad-terminated POEGMAs could be incorporated to the β -
172 CD core via inclusion complexation to form a pseudo-graft supramolecular polymer. Through
173 forming the pseudo-graft polymer, such networks stabilized not only by single CD/Ad
174 complexes but also by pairs of CD/Ad complexes, the hydrophilic POEGMA arms on guest
175 polymer contributed to increase the water solubility of the supramolecular polymeric system.
176 However when the adding amount of PGCD exceeded the requirement of complexation
177 equilibrium, the excess PGCD reduced the thermal sensitivity of this supramolecular system.

178 **Size and morphology of the Ad-POEGMAs and host-guest inclusion complexation** 179 **system**

180 **(a) Size and morphology of the assemblies formed of Ad-POEGMAs**

181 Although researchers have demonstrated that comb-like polymers with multiple PEG side
182 chains generally adopt a compact coil conformation in water, the existence of intermolecular
183 associations resulting in the formation of larger aggregates such as polymer vesicles was also
184 suggested by researchers.^{37,38} Moreover, previous reports have already indicated that
185 hydrophilic propionate-terminated poly(MEO₂MA-OEGMA) solution which had the
186 coexistence of small particles with a hydrodynamic radius (Rh) less than 10 nm.²¹ Thus, in
187 the present case, it is important to study the exact conformation of Ad-POEGMAs in aqueous
188 solutions above and below the LCSTs. Fig. 6 shows the typical size distributions measured by
189 dynamic light scattering (DLS) for an aqueous solution (3 mg mL⁻¹) of the Ad-POEGMAs
190 (A₁) containing 5 mol % of OEGMA. This solution was optically clear at room temperature
191 but the DLS intensity distribution indicated the coexistence of small particles with a
192 hydrodynamic radius (Rh) of approximately 10-100 nm and larger aggregates having a radius
193 of roughly 350 nm, and the latter were indeed a negligible minority, as evidenced by the
194 number distribution shown. Hence, the size distributions of the Ad-POEGMAs in aqueous
195 solutions presented a wide poly-dispersity with large hydrodynamic radius below the LCSTs,

196 indicating that Ad-POEGMAs not only exist as a random coil conformation in aqueous
197 solution but also with pseudo aggregates. However, as a matter of fact, under lower
198 temperature ($<LCSTs$), the Ad-POEGMAs were still easily soluble.

199 According to previous report, the self-assembly mechanism of random copolymer of
200 P(MEO₂MA-co-OEGMA) is a four consecutive conformation changes “hydrated chains-
201 dehydrated chains-loosely aggregated micelles -densely aggregated micelles” during the self-
202 aggregation process.^{39,40} Therefore, A reasonable explanation could be summed as follows for
203 Ad-POEGMAs, just like shown in scheme 2, the special hydrophobic Ad groups connect to
204 POEGMAs covered in PEG chains or self assembled partly resulted for different size
205 aggregates in their aqueous solutions. A plausible structure of aggregates should be a
206 structure with the hydrophobic adamantyl (Ad) chains towards the interior while the
207 hydrophilic POEGMAs chains exposed and stretched in water by the hydrophilic-hydrophilic
208 interactions, therefore behaved water-soluble. As the temperature increasing, the partly
209 dehydration of PEG side chains which collapsed first to get close to the hydrophobic
210 backbones or Ad group, and then distorted to expose hydrophilic ether oxygen groups to the
211 outer shell of polymer chains as much as possible. When the temperature rose above the
212 LCSTs, it was found obviously that Ad-POEGMAs could self-assemble into nano-sized
213 aggregates in their aqueous solutions. The uniform aggregates formed with values ranging
214 from 150 nm to 250 nm with a Z-average diameter ($DZ=181nm$, $PDI=0.116$) revealing the
215 occurrence of thermally-induced self-assembly of the Ad-POEGMAs. However, the size of
216 this nano-sized aggregates of Ad-POEGMAs was obvious larger than that of previous reports
217 about P(MEO₂MA-co-OEGMA) copolymers resulted from the Ad group.

218 In order to further confirm the self-assembly mechanism, Ad-POEGMAs (A_1) was
219 investigated by ¹H NMR in various deuterated solvents. Fig. 7 compares the spectra
220 measured for the Ad-POEGMAs (A_1) in deuterated water (D₂O) at 25 °C and 50 °C. As

221 shown in Fig. 2, in deuterated chloroform, all the protons of A₁ lead to sharp and intense
222 signals, which suggested that the macromolecule was uniformly molecularly dissolved.
223 Rather different results were observed in D₂O. In D₂O at 25 °C and 50 °C, the peaks
224 corresponding to the protons of the oligo (ethylene glycol) side chains remained sharp, but
225 the signals of the protons belonging to the Ad group and backbone or located in close
226 proximity of the backbone $\delta=1.6-2.1$ ppm considerably reduced and broadened as compared
227 to those observed in CDCl₃. In D₂O at 50 °C, the signals of the protons belonging to the Ad
228 group were disappeared compared to those observed in D₂O at 25 °C. Based on the analysis
229 above, it could be concluded that the nano-assemblies formed by the Ad-POEGMAs were
230 stabilized by the Ad segment aggregated and POEGMAs chains dehydrated and aggregated
231 jointly through hydrophobic-hydrophobic interactions.

232 **(b) Size and morphology of the assemblies formed of host-guest inclusion complexation**
233 **system**

234 DLS is proved to be a sensitive method to trace the formation of noncovalently connected
235 nano-assemblies according to the changes in particle size and scattered light intensity.^{41,42} The
236 changes of micelle size with temperature (25 °C and 50 °C) for the 1:1 host- guest system of
237 Ad-POEGMAs (A₁) and PGCD are shown in Fig. 6. It could be seen that the values of nano-
238 assemblies size were with a hydrodynamic radius (R_h) of approximately 10-300 nm, and kept
239 unevenly under lower temperature (<LCST). However, the values increased dramatically to a
240 200-350 nm with a Z-average diameter (DZ=273nm, PDI=0.121) when the temperature was
241 increased above LCST. This increase could be attributed to a change in the refractive index of
242 the guest molecule as its POEGMAs arms underwent a phase transition from random coil to
243 condensed globule.^{15,43,44} This change indicated clearly that the micelles began to form at
244 around LCST, and this result from DLS study was consistent with that following TEM
245 investigation.

246 The self-assembly mechanism of the host-guest composite system was further confirmed by
247 comparing the TEM images of the polymeric solutions, which were prepared at 25 °C and 50
248 °C. Fig. 8 shows the representative TEM micrographs of noncovalently connected micelles
249 formed from Ad-POEGMAs (A_1), and 1:1 host-guest mixtures of Ad-POEGMAs (A_1) and
250 PGCD at 25, and 50 °C, respectively. From TEM micrographs, At 25 °C, the Ad-POEGMAs
251 dissolved in water as uneven and loose single- or multi-molecular spherical particles with a
252 diameter ranging from 10 nm to 100 nm, whereas bigger micelles (150-230 nm in diameter)
253 were observed for sample solution prepared and dried at 50 °C, showing that the
254 noncovalently connected micelles formed at higher temperature had bigger size. In contrast,
255 spherical uneven and loose nano-assembled vesicles were observed at 25 °C for host-guest
256 mixtures of A_1 and PGCD, which agreed quite well with the results measured by DLS at the
257 same temperature, demonstrating that nano-sized aggregates indeed formed via the inclusion
258 complexation of the Ad-POEGMAs and PGCD. For the host-guest composite system of A_1
259 and PGCD, dense dark spherical particles could be observed, which was especially clear for
260 the sample prepared and dried at 50 °C, this was due to the action of the staining agent,
261 phosphotungstic acid, which stains the hydrophobic segments to a greater extent. However, it
262 was found that the diameters of the micelles from the TEM micrographs were clearly smaller
263 than those from DLS measurements. This could be related to the fact that DLS measures the
264 hydrodynamics diameter of the micelles in an aqueous environment whereas the TEM
265 micrographs show the dehydrated solid state of the micelles.¹⁷

266 Furthermore, obvious differences in morphology could be observed by comparing the
267 TEM images of the nano-assemblies. The nano-assemblies constructed from Ad-POEGMAs
268 show relatively light aggregates below the LCST, and dark condensed globule above the
269 LCST, presenting typical micellar characteristic of POEGMAs based polymers.^{39,45} For the
270 ones formed from host-guest mixtures of A_1 and PGCD, a strong contrast between the light

271 center and the dark thin periphery is seen below the LCST, which is characteristic of a
272 vesicular nano-structure.^{46,47} When the temperature rose above the LCST, densely aggregates
273 formed. Hence, from the results of DLS and TEM it was found that nano-assemblies with
274 different morphology could be constructed from Ad-POEGMAs and the supramolecular
275 system of Ad-POEGMAs and PGCD by simply elevating the temperature, with Ad-
276 POEGMAs forming micelle-like nano-structures and the supramolecular system of Ad-
277 POEGMAs and PGCD organized into vesicle-like nano-aggregates. The procedures for
278 inclusion complexation, the thermally-induced dehydration and subsequent self-assembly of
279 the pseudo-graft polymer based on Ad-POEGMAs and PGCD have been illustrated in
280 Scheme 3.

281 **Conclusions**

282 The thermally-responsive Ad-POEGMAs were synthesized and shown tunable LCSTs
283 behavior in aqueous solution, which could self-assemble into noncovalently connected
284 micelles with the Ad segments as the core and hydrophilic POEGMAs as the corona.
285 Moreover, a composite system was designed using Ad-terminated POEGMAs and PGCD,
286 which was able to form thermo-responsive pseudo-graft polymer in aqueous solution via
287 host-guest complexation between the β -CD core of the host polymer and the adamantyl
288 moiety of the guest polymer. Such pseudo-graft polymer stabilized not only by single CD/Ad
289 complexes but also by pairs of CD/Ad complexes. The pseudo graft polymer could self-
290 assemble to form nano-sized aggregation above and below the LCST in aqueous solution. As
291 a result of its tunability of thermoresponsive behavior, noncovalent connected micelle
292 forming ability and potential biocompatibility, the pseudo graft polymer can be of potential
293 interest for applications in biomedical science.

294 **Materials and methods**

295 **Materials**

296 β -Cyclodextrin was purchased from Sigma and used after recrystallization from water and
297 drying at 100 °C under vacuum. Glycidyl methacrylate (GMA) was purchased from TCI,
298 Japan. p-Toluenesulfonyl chloride (p-TsCl), ethanediamine and azoisobutyronitrile (AIBN)
299 were all purchased from Sigma, China. Copper(I) bromide was washed with glacial acetic
300 acid in order to remove any soluble oxidized species, filtered, washed with ethanol, and dried.
301 2-bromopropionyl bromide, 1-adamantanamine and 2, 2'-bipyridine were all obtained from
302 Aldrich. 2-(2-Methoxyethoxy) ethyl methacrylate (MEO₂MA, M_n = 188 g/mol), oligo
303 (ethylene glycol) methyl ether methacrylate (OEGMA, M_n = 475 g/mol) were all acquired
304 from Aladdin. Tetrahydrofuran (THF) was initially dried over sodium wire and refluxed over
305 potassium for 3 days before use. Dichloromethane (CH₂Cl₂), N, N-Dimethylformamide
306 (DMF) was refluxed over CaH₂ before use, separately. Ethanol was refluxed over CaH₂
307 before use. All other reagents were used as received without further purification.

308 **Characterization**

309 Fourier transform infrared (FT-IR) spectra were recorded on a Nicolet 5100 spectrometer by
310 KBr sample holder method in the fundamental region of 400-4000 cm⁻¹. ¹H NMR spectra
311 were obtained on a Bruker DMX-400 spectrometer. Deuterated chloroform (CDCl₃),
312 deuterated water (D₂O), or deuterated dimethyl sulfoxide (DMSO-d₆) was used as the solvent.
313 The MALDI-TOF mass spectrum was recorded in the reflector mode on a Bruker autoflex III
314 smartbean mass spectrometer using a nitrogen laser and α -cyano-4-hydroxycinnamic acid
315 (CCA) was used as the matrix. The number-average molecular weight (M_n) and
316 polydispersity index (M_w/M_n) of each polymer were determined at 35 °C using a Waters
317 1515 size exclusion chromatograph (SEC) equipped with a Waters 2414 refractive index (RI)
318 detector. DMF or THF was used as the eluant and the columns used were the styragel HR3
319 and HR4 columns calibrated by narrow PS standards.

320 The LCSTs of the polymers was determined by UV-vis spectroscopy (U-3010
321 Spectrophotometer). The transmittance of the polymeric aqueous solution (3 mg mL⁻¹) was
322 recorded at temperatures ranging from 20 °C to 65 °C. The lower critical solution temperature
323 (LCST) value of the aqueous polymer solution at a specific concentration was determined as
324 the temperature corresponding to 10% decrease in the optical transmittance. The
325 hydrodynamic diameters (Dh) of the capsules and their polydispersity indices (PDI) were
326 determined by dynamic light scattering (DLS) on a Malven Zetasizer Nano System (Nano-
327 zs90). The solutions were passed through 0.45 µm filters before DLS measurements. The
328 measurements were conducted in a 3.0 mL quartz cuvette, using a 670 nm diode laser, and
329 the scattering angle used was 90°.

330 The micro morphology was visualized by transmission electron microscopy (TEM), the
331 samples were prepared by placing polymer aqueous solution on copper grids in a biochemical
332 incubator thermostatted at 25 °C (or 50 °C), and stained with phosphotungstic acid before
333 TEM observation on a JEM-100CX II microscope operated at 80 kV.

334 **Sample Synthesis**

335 **(a) Synthesis of mono-6-OTs-CD (TCD).** For the synthesis of TCD was synthesized
336 according to previous literature with a few modifications.^{33,34} β-CD (24 g) was suspended in
337 180 mL of water, NaOH (2.623 g) in 20 mL of water was added dropwise over 30 min, and
338 reacted under vigorous agitation at 0 °C for a period of 1 h. then p-toluenesulfonyl chloride
339 (4.032 g) in 20 mL of acetonitrile was added dropwise over 1 h, causing immediate formation
340 of a white precipitate. After 3 h of stirring at 20 °C filtered off unreacted toluenesulfonyl
341 chloride, the solution was neutralized and refrigerated, and then the precipitate was recovered
342 by suction filtration and recrystallization in water. The sample obtained was dried at 60 °C for
343 48 h in a vacuum oven, and TCD was obtained as a white solid (5.14 g yield: 21.40%). IR
344 (KBr, cm⁻¹): 3388 (s, OH), 2928 (w, CH₂), 1597 (s, Ph). ¹H NMR (400 MHz, DMSO-*d*₆): δ

345 7.42 (2H), 7.74 (2H), 4.75 (7H), 3.49-3.64 (28H), 3.28-3.36 (14H), 2.42 (3H). ^{13}C NMR
346 (DMSO): δ 144.9 (s), 132.7 (s), 129.9 (s), 127.6 (s), 102.3 (m), 81.5 (m), 71.9-73.1 (m), 69.8,
347 69.0, 59.5 (t), 21.3 (s) ppm. MALDI-TOF on CCA matrix (TCD + Na^+) m/z calcd for
348 $\text{C}_{49}\text{H}_{76}\text{NaO}_{37}\text{S}$ (TCD + Na^+) 1311.37, measured 1311.13.

349 **(b) Synthesis of mono (6-amino-6-deoxy)- β -CD (ECD).** 5.0 g of TCD was reacted with
350 excess amount of EDA (30 ml) in 20 ml DMF at 80 $^{\circ}\text{C}$ for 6 h. After the reaction was
351 completed, the mixture was allowed to cool to room temperature, and then precipitated with
352 acetone. The sample obtained was dried at 40 $^{\circ}\text{C}$ for 72h in a vacuum oven, and a white solid
353 of ECD were obtained (3.96 g yield: 79.10%). IR (KBr, cm^{-1}): 3388 (s, OH, NH_2), 2928 (w,
354 CH_2). ^1H NMR (400 MHz, $\text{DMSO}-d_6$): δ 4.85 (7H), 3.54-3.64 (28H), 3.27-3.41 (14H), 2.72-
355 2.92 (4H). ^{13}C NMR (DMSO): δ 102.0 (s), 81.6 (s), 72.1-73.1 (m), 60.0 (s), 40.1-39.5 (m)
356 ppm. MALDI-TOF on CCA matrix (ECD + Na^+) m/z calcd for $\text{C}_{44}\text{H}_{76}\text{N}_2\text{NaO}_{34}$ (ECD + Na^+)
357 1199.42, measured 1199.20.

358 **(c) Synthesis of aminoethyl methacrylate β -cyclodextrin (GCD).** 5.98g ECD was
359 dissolved in 30 ml DMF and a small amount inhibitor was added as. Then 2.49g GMA was
360 added dropwise. After the reaction was completed, the mixture was allowed to cool to room
361 temperature, then precipitated with acetone, and finally dried at room temperature for 2 d
362 under vacuum. (4.90 g yield: 82.0%). IR (KBr, cm^{-1}): 3388 (s, OH), 2928 (w, CH_2), 1720 (w,
363 $\text{C}=\text{O}$). ^1H NMR (400 MHz, $\text{DMSO}-d_6$): δ 6.05 (2H), 4.81 (7H), 4.48 (1H), 3.54-3.62 (28H),
364 3.29-3.43 (14H), 2.72-2.92 (6H), 1.87 (3H). ^{13}C NMR: (DMSO) δ 166.6 (s), 135.9 (s), 125.8
365 (s), 102.0 (s), 81.6 (s), 72.0-73.1 (m), 66.9 (s), 59.9 (s), 56.1 (s), 40.1-39.5 (m), 20.6 (t), ppm.
366 MALDI-TOF on CCA matrix (GCD + Na^+) m/z calcd for $\text{C}_{51}\text{H}_{86}\text{N}_2\text{NaO}_{37}$ (GCD + Na^+)
367 1341.48, measured 1341.09.

368 **(d) Homopolymerization of aminoethyl methacrylate β -cyclodextrin (PGCD).** Radical
369 copolymerizations of GCD were initiated by AIBN. A typical experiment for the

370 polymerization procedure is as follows: in a 50 mL three neck round-bottom flask, GCD (1.5
371 g) and AIBN (0.1 g) were mixed with 10 mL of DMF. The solution was bubbled under argon
372 for 30 min at room temperature to remove free oxygen. The flask was then immersed in an oil
373 bath thermo stated at 80 °C and the reaction was maintained for 6h under argon.
374 Homopolymer was directly precipitated by acetone and washed at least three times using a
375 large amount of acetone, and then dried at 50 °C under vacuum to yield a fine white powder.

376 **(e) Synthesis of 1-adamantyl-2-bromoisobutyrate (AdBB).** The ATRP initiator, AdBB,
377 was prepared by the esterification reaction of 1-adamantanol with 2-bromoisobutyryl bromide.
378 A 100 mL round-bottom flask was charged with 1-adamantanamine (3.03g), TEA (3.04 g),
379 and dry CH₂Cl₂ (30 mL). The mixture was cooled to 0 °C in an ice-water bath; 2-
380 bromopropionyl bromide (6.48 g), in THF (20 mL) was then added dropwise over 2 h. After
381 the addition was completed, the reaction mixture was stirred at 0 °C for another 2 h and then
382 at 30 °C for 24 h. The mixture was allowed to cool to room temperature and removed the
383 insoluble salts by suction filtration. The organic solution was washed with aqueous sodium
384 bicarbonate solution (2×100 mL) and distilled water (2×100 mL). Then the organic solution
385 was dried with anhydrous magnesium sulfate and concentrated by rotary evaporator. The
386 concentrated syrup purified by silica gel column chromatography using ethyl
387 acetate/petroleum ether (1:3 v/v) as the eluent. After removed the solvents by a rotary
388 evaporator and dried in vacuum oven at 50 °C for 2 d. AdBB was obtained as a white solid
389 (1.24 g, yield: 82%). ¹H NMR (CDCl₃): δ (ppm) 5.99 (1H, NHCO), 4.25-4.30 (1H,
390 BrCHCH₃), 2.07 (3H,-CH), 1.98 (6H,-CH₂), 1.81 (3H, CH₃-Br), 1.63 (6H, CH₂). ¹³C NMR
391 (CDCl₃): δ (ppm) 168.1 (C=O), 52.3(C-O), 46.1 (BrCH), 41.1 (CH₂), 36.2 (CH₂), 29.3 (CH₃),
392 23.1 (CH).

393 **(f) Synthesis of adamantyl-terminated P(MEO₂MA-co-OEGMA) (Ad-POEGMAs).** Ad-
394 POEGMAs were synthesized by the ATRP of MEO₂MA and OEGMA monomer using

395 AdBB as the initiator. A typical procedure is described as follows: the schlenk tube was
396 purged with dry argon for 30 minutes, a degassed mixture of 2-(2-methoxyethoxy) ethyl
397 methacrylate (95 eq.), oligo (ethylene glycol) methyl ether methacrylate (5 eq.), ethanol
398 (monomers/ethanol ~ 1:1.5 v/v), AdBB (1 eq.) initiator and copper bromide (1 eq.) was added
399 to a Schlenk tube, degassed via three freeze-thaw-pump cycles and back-filled with argon.
400 Then 2, 2'-bipyridyl (2 eq.) were added. The mixture was heated at 60°C in an oil bath for 6 h.
401 The experiment was stopped by opening the flask and exposing the catalyst to air. The final
402 mixture was diluted in ethanol and passed through a short neutral alumina column (200 mesh)
403 in order to remove copper catalyst. Then, the filtered solution was diluted with ethanol and
404 subsequently purified by dialysis in water (molecular weight cut-off: 3500). Last, freeze-
405 drying in vacuum, Ad-POEGMAs were obtained as viscous solid. The synthetic routes
406 employed for the preparation of β -CD based monomers and PGCD, and adamantane-based
407 initiator and adamantyl-terminated POEGMAs are shown in scheme 1.

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