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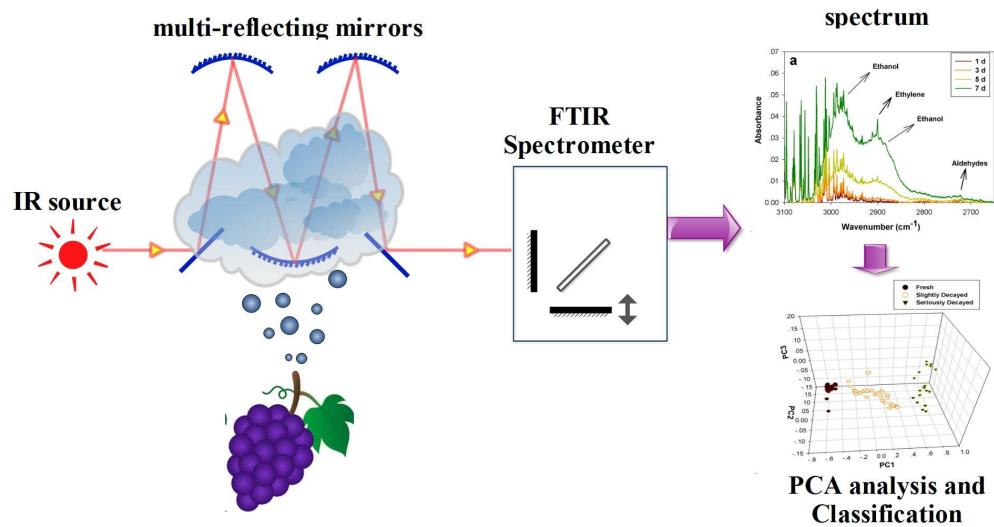


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Infrared spectroscopy and sensor array had been use to differentiate the grapes in different spoilage stages *via* their volatiles.

1 **Analysis and Discrimination of Grape Spoilage via Volatiles:**
2 **A Comparison between Long Optical Path**
3 **Fourier-transform-infrared Spectroscopy and Sensor Arrays**

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8 **Abstract:**

9 Fruits release specific volatiles as vapors during spoilage that can provide information
10 about the spoilage stages of fruits. We used long optical path Fourier Transform
11 Infrared Spectroscopy (FTIR) and sensor arrays comprising carbon dioxide and
12 ethanol sensors to study the grape spoilage process synchronously. The results
13 revealed that specific volatiles, such as carbon dioxide, ethanol and esters, are
14 released from grapes during spoilage. The presence and concentrations of these
15 compounds gradually changes with storage time. Through chemometrics analysis, the
16 infrared spectra of volatiles from different spoilage stages of grapes were successfully
17 classified. As a simple form of instrumentation, the sensor arrays also have the ability
18 to discriminate whether the grapes have decayed. We established a Soft Independent
19 Modeling of Class Analogy (SIMCA) model to classify the grape samples into
20 different spoilage stages, and the model according to different quantities of grapes is
21 also discussed. This study demonstrates that it is possible to characterize grape
22 spoilage by analyzing the released volatiles.

23 **Keywords:** volatiles; FTIR; grape; PCA; SIMCA

1. Introduction

Grapes are a popular fruit worldwide, and they are also the main raw material for juice and wine production¹. However, grapes readily decay at room temperature. The analysis, monitoring and prediction of grape spoilage are of great importance. Furthermore, the monitoring of the grape fermentation process is particularly important in wine production¹.

The volatiles vaporized from vegetables and fruits vary in composition and concentration depending on the quality², freshness³, storage environment³⁻⁶, harvest maturity^{7, 8}, surface processing methods⁹ and on the specific microorganism composition and respiration¹⁰. These volatiles can thus be used in food quality analysis¹¹. Researchers have collected and analyzed the volatiles from grapes and found that they include alcohols, esters, aldehydes and carbon dioxides¹²⁻¹⁵. The concentrations of the above gases were found to vary with the grape variety, storage conditions, ripeness and surface processing methods as well as with the microorganism species^{1, 13, 15}. For the analysis of volatiles from food, GC-MS is commonly used and can provide high sensitivity and precision but requires an appropriate sampling process^{16, 17}. Various new methods and instruments for sampling volatiles from fruits have been developed^{18, 19}. However, these methods require complex protocols and cannot achieve rapid and continuous measurements. Some studies have used E-nose to analyze the volatiles from food and demonstrated that food spoilage can be characterized through chemometrics analyses^{17, 20-26}, but E-nose is not suitable for on-line measurements since it is complex and costly.

Infrared spectroscopy is considered an effective method for gas measurement²⁷. Because it is fast, flexible and provides the ability to measure gases on-line, infrared

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4 48 spectroscopy has been used for qualitative and quantitative measurements of
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6 49 hazardous gases, emissions from volcanic eruptions, greenhouse gases emissions,
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8 50 *etc*²⁸⁻³³. Harren *et al.* used infrared laser spectroscopy to measure the ethylene, ethane
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10 51 and methane vaporized from plant leaves³⁴. In our previous study, we used Fourier
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12 52 Transform Infrared Spectroscopy (FTIR) to detect the volatiles from grapes during
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14 53 spoilage and found that the concentrations of ethanol and esters increased with storage
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16 54 time³⁵. However, the sensitivity of the spectroscopy system was limited due to its
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18 55 short optical path. More recently, we designed a long optical path system consisting of
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20 56 multi-reflecting mirrors to enhance the sensitivity of the system. This system was used
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22 57 to analyze the volatiles from strawberries and successfully identified new volatile
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24 58 compounds³⁶.

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30 59 In this study, we observed and analyzed the volatiles from grapes during spoilage
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32 60 by the simultaneous use of long optical path FTIR and sensor arrays comprising
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34 61 carbon dioxide and ethanol sensors. To the best of our knowledge, this is the first
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36 62 study to examine the volatiles from fruits using spectroscopy combined with sensor
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38 63 arrays. The aims of this study were: 1) to observe the characteristic compositions of
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40 64 grape volatiles during spoilage and their changing properties; 2) to demonstrate
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42 65 whether infrared spectroscopy and sensor arrays can be used for grape spoilage
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44 66 discrimination; and 3) to establish a model to classify the grape samples into different
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46 67 spoilage degrees and discuss the influence of the grape quantity.
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55 69 **2. Materials and methods**

56 70 **2.1 Grape samples**

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71 The grape samples used in the experiment were of the “Jufeng” variety from

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4 72 Xiaotangshan (Changping district, Beijing, China). Three groups of 2000 g grape
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6 73 samples that had not been cleaned and pre-treated were placed into 3 identical plastic
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8 74 containers (0.5 m x 0.3 m x 0.3 m). To study the influence of the grape quantity, we
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10 75 also placed 200 g grape samples into identical containers. There were four ventilation
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12 76 holes on the top of each container, each with a diameter of 3 cm. The bottom of the
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14 77 container was connected to a gas cell with a rubber pipe. The experiment was
15
16 78 performed at room temperature (22°C). The appearance and aroma of the grapes did
17
18 79 not change in the first 3 days, but the grapes developed a soft surface on the 5th day
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20 80 and began to develop obvious mildew on the 7th day.
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25 81 2.2 Instrumentation

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28 82 A Vertex 70 FTIR spectrometer (Bruker Ltd., Germany) was used in this study
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30 83 with a liquid-nitrogen-cooled MCT detector. We used an air-cooled ceramic
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32 84 mid-infrared/far-infrared light source. The spectral range was set to 600- 4000 cm⁻¹
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34 85 with a spectral resolution of 0.5 cm⁻¹. A 1 L vacuum air pump, FY-1H (ALUE Ltd.,
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36 86 Shenyang, China), was used. A CycloneTM C2 gas cell (Specac Ltd., UK.) was used.
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38 87 Six reflecting mirrors were used to extend the optical path of the system to 2 m. Our
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40 88 previous study demonstrated that the system sensitivity was significantly enhanced
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42 89 compared with that of a common FTIR spectrometer³⁶.
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48 90 The sensor array comprised a carbon dioxide sensor and an ethanol sensor. A
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50 91 COZIRTM Non-Dispersive Infrared Radiation (NDIR) CO₂ sensor (GSS Ltd., UK.)
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52 92 was used with a measuring range of 0- 100% and a precision of 70 ppm. The ethanol
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54 93 sensor used was an electrochemical sensor, C2H5OH-1000 (Membrapor Ltd.,
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56 94 Switzerland), with a measurement range of 0-1000 pm and a precision of 20 ppm. We
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58 95 designed the signal processing, control, data collection, display and memory module
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3 96 of the sensor array system, which could record the measurement results automatically.
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5 97 The CO₂ sensor was calibrated before the experiment to reflect the actual
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8 98 concentration of CO₂. We did not calibrate the ethanol sensor because the aim of our
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10 99 study was to determine the relationship between the spoilage process and the output of
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13 100 the sensor without considering the concentration. Moreover, the baseline of the
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15 101 ethanol sensor output was subtracted every 2 hours by placing the sensor in air.
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18 102 **2.3 Spectral measurements**

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21 103 The experiment lasted 8 days. The method of exhausting the volatiles into the
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23 104 gas cell was described in our previous publication. The absorbance spectra were
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25 105 calculated and recorded every 2-3 hours using the spectrum in vacuum as a reference.
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28 106 The sensor array was directly placed into the grape container, and the outputs of the
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30 107 sensors were recorded automatically.
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33 108 **2.4 Spectral data processing**

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37 109 The original spectra were collected using OPUS7.0 software. The pre-processing
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39 110 methods, including baseline correction, low pass filtering and smoothing, were
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41 111 performed in SigmaPlot 12.0. Unscrambler 9.7 was used to perform Principal
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43 112 Component Analysis (PCA) and establish the Soft Independent Modeling of Class
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45 113 Analogy (SIMCA) model.
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50 115 **3. Results and discussion**

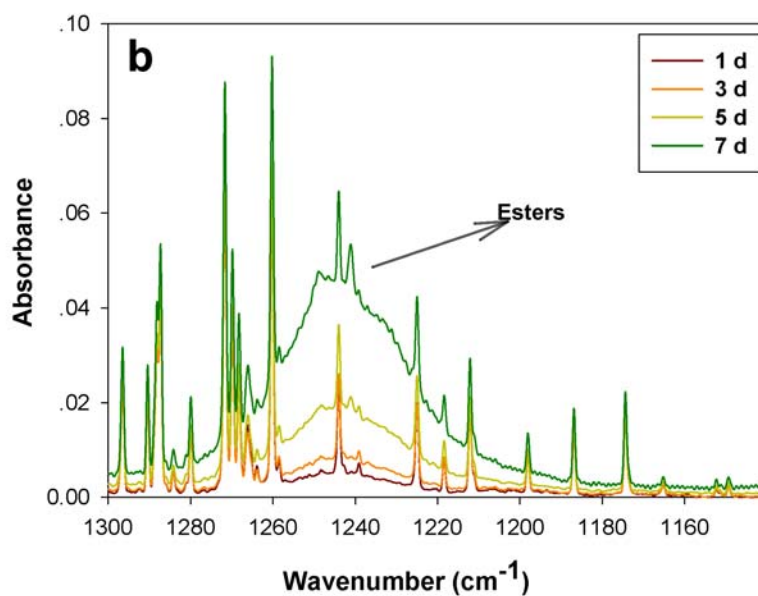
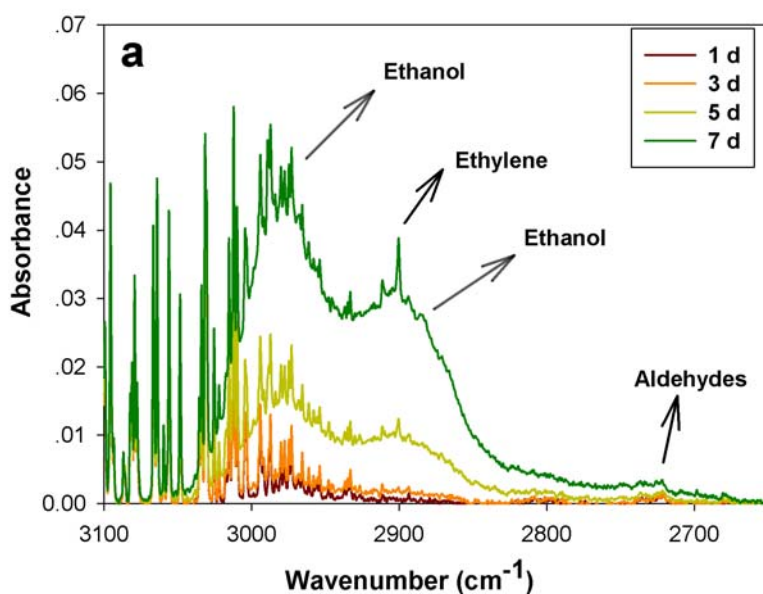
51 116 **3.1 Spectral characteristics of the volatile compounds**

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55 117 Fig. 1 shows the infrared spectra of the volatiles from the grapes stored in the 1st,
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57 118 3rd, 5th and 7th day (the grapes were slightly decayed in the 5th day and seriously
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4 119 decayed in the 7th day). The peaks at 3100-2750 cm⁻¹, 1150-950 cm⁻¹ and 950-800
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6 120 cm⁻¹ represent the three characteristic bands of ethanol³⁷, which is vaporized from
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8 121 fruits during spoilage due to anaerobic respiration. It can be observed from Fig. 1(a)
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10 122 and (c) that the decayed grapes vaporized much more ethanol than the fresh ones. The
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12 123 three characteristic bands were very wide, and some absorption peaks from other
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14 124 compounds were overlapped with them. A previous study demonstrated that geraniol
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16 125 is one of the main components of grape aroma¹⁴. We believe that the 1120-840 cm⁻¹
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18 126 spectral band may be due to geraniol (Fig. 1 (c))³⁷, which is overlapped with the peaks
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20 127 from ethanol. Linalool is also considered to be present in the aroma of grapes¹⁴, but it
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22 128 is difficult to observe in the spectra of volatiles because it has similar bands to those
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24 129 of ethanol. Esters are the main components in the volatiles of grapes because of the
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26 130 esterification reaction during grape decay¹⁵. The 1300-1140 cm⁻¹ band may be caused
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28 131 by ethyl acetate (C₄H₈O₂)³⁷, which is considered to be the main ester in fruit
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30 132 volatiles¹⁵. Fig. 1 (b) demonstrates that fresh grapes also release ethyl acetate vapor,
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32 133 but its concentration is much lower than that in decayed grapes. Methyl anthranilate is
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34 134 known to be a special compound in grape volatiles¹². The 1170-990 cm⁻¹ spectral band
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36 135 in Fig. 1 (c) is likely caused by methyl anthranilate, which is overlapped with the wide
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38 136 band of ethanol³⁷.

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46 137 In addition to ethanol and esters, aldehydes, ethylene and carbon dioxide are also
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48 138 known to comprise the volatiles from decayed fruits¹. The 2349 cm⁻¹ band is a
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50 139 characteristic band of carbon dioxide but was too strong in our experiment for the
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52 140 long optical path and was not suitable for quantitative analysis (its absorbance was
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54 141 over 0.3). A weaker spectral band, 2285-2170 cm⁻¹, was therefore used to analyze CO₂
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56 142 (as shown in Fig. 1 (d))³⁷. This band contains a wider peak and several narrow peaks,
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58 143 all of which are caused by the absorbance of CO₂. Aldehydes have been demonstrated

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4 144 as a compound in various types of grapes¹⁵. The spectral peaks at 2830-2600 cm⁻¹
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6 145 may be from aldehydes³⁷, which are present in both fresh and decayed grapes, with no
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8 146 obvious difference. Ethylene is considered to be a characteristic vapor released from
9
10 147 mature fruits¹³. The absorbance peaks at 3010-2950 cm⁻¹ are most likely due to
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12 148 ethylene³⁷, which was present in low quantities in the fresh grapes but in high
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14 149 quantities in the decayed grapes.



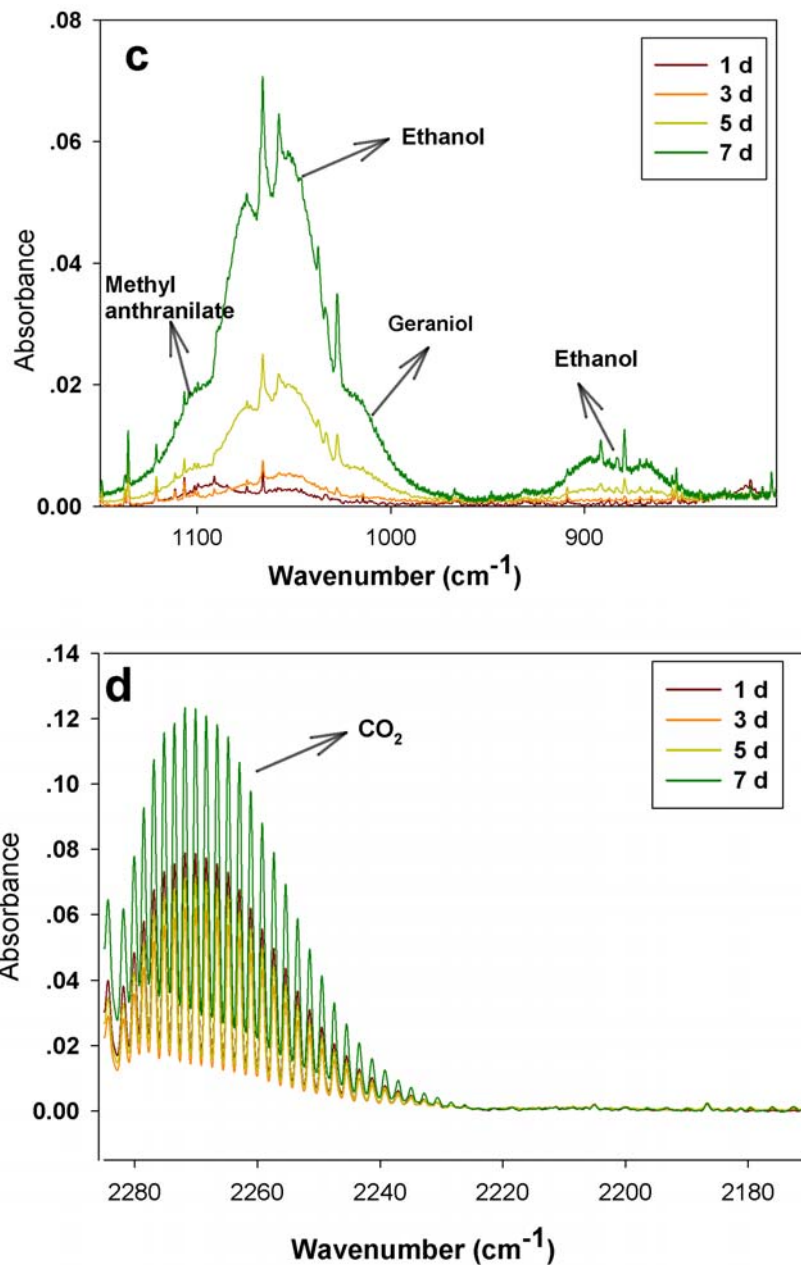
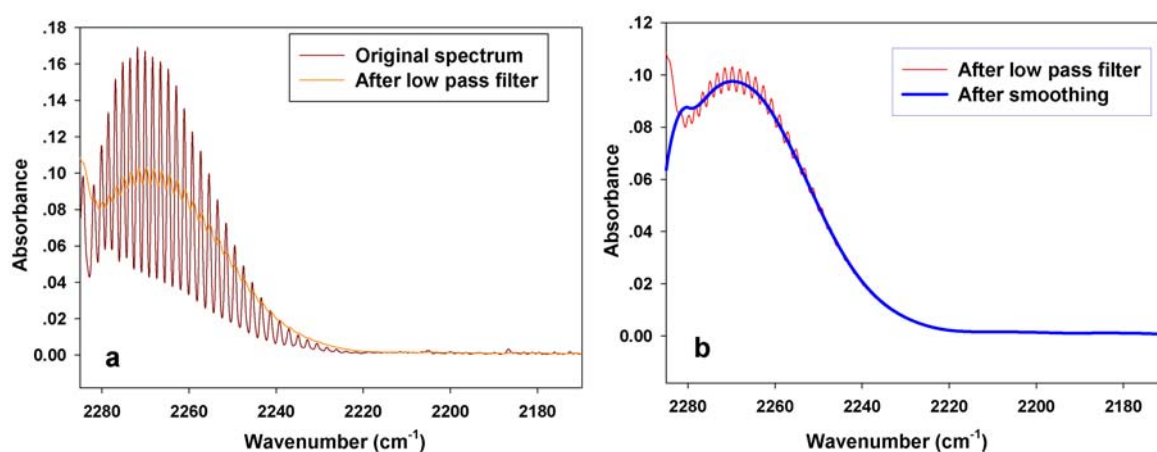


Fig. 1 Spectral characteristics of the volatiles from the grapes stored in the 1st, 3rd, 5th and 7th day

3.2 Changing spectral properties during the spoilage process

The above results demonstrate that there are obvious differences between the spectra of volatiles from fresh and decayed grapes. Furthermore, we also aimed to characterize the spectral changes during the 8-day spoilage process. According to the

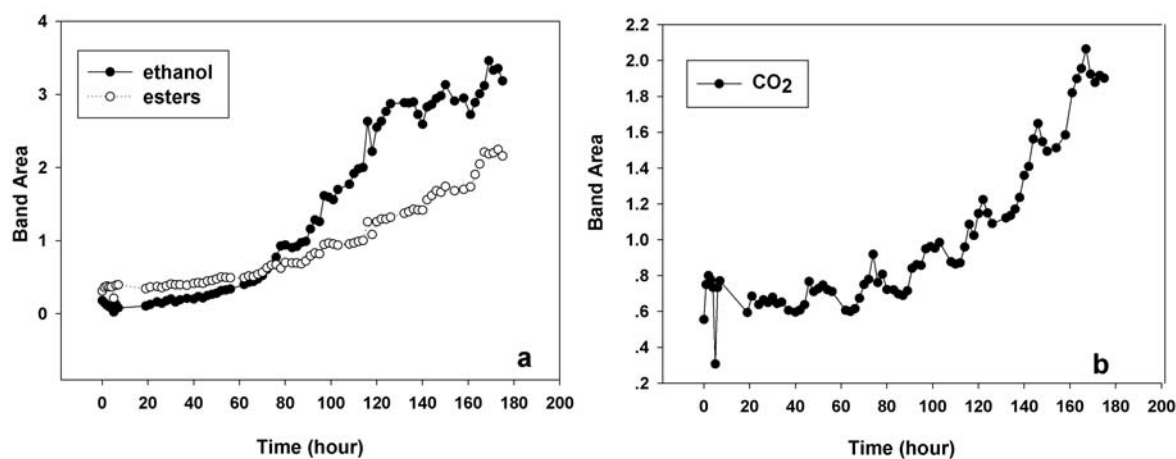
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4 161 Beer–Lambert law, the absorbance intensity is proportional to the concentration for a
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6 162 fixed optical path, so it is possible to calculate the concentration by measuring the
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8 163 heights or areas of the characteristic bands²⁷. We used the band area of 1150-950 cm⁻¹
9
10 164 to measure the concentration of ethanol and the band area at 1300-1140 cm⁻¹ for esters.
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12 165 For the analysis of CO₂ because of its strong absorption, we used the 2285-2170 cm⁻¹
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14 166 band, which includes a low frequency signal overlapping with high frequency signals
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16 167 that complicate analysis. To remove the high frequency signals, we pre-processed the
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18 168 original spectra using low-pass filtering (Fig. 2 (a)) followed by smoothing (Fig. 2
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20 169 (b)). Then, the spectrum only contained a wide character band that could be used for
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25 170 CO₂ measurement.



171
172 **Fig. 2 The pre-processing method to remove the high frequency signals of CO₂ spectral**
173 **bands**

174 Fig. 3 shows the changing levels of ethanol, esters and CO₂ during grape
175 spoilage calculated by the above analytical methods. It is demonstrated that the
176 concentrations of all three vapors increased with storage time. Compared with the
177 esters, the concentration of ethanol increased more rapidly, especially in the period
178 from 80-140 h, in which the grapes decayed aggressively. Our previous study
179 demonstrated that esters decrease during the serious spoilage period of strawberries³⁶,

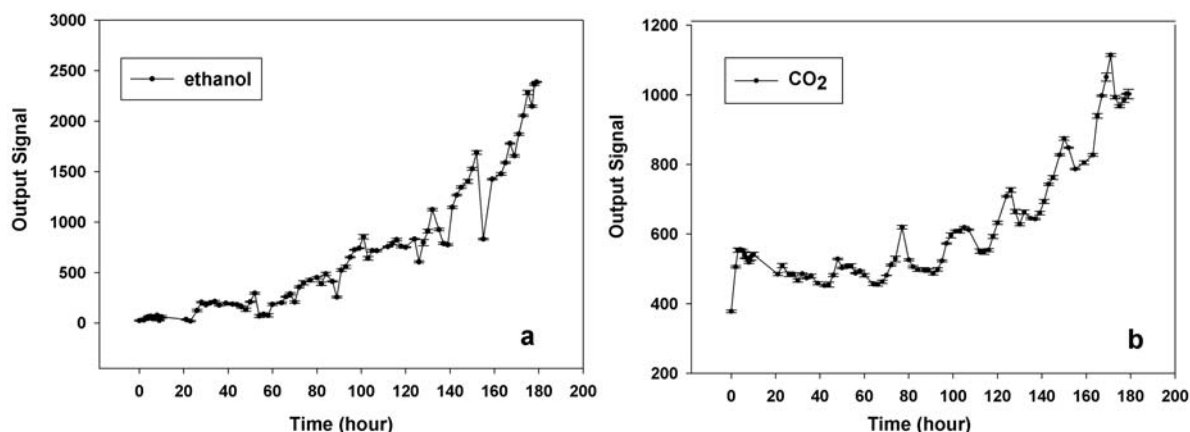
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4 180 but this was not observed in the current study. The concentration of CO₂ also
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6 181 increased with storage but was not obvious in the earlier stage of spoilage. Unlike the
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8 182 changes in ethanol and esters, the changes in CO₂ showed a periodic behavior that
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10 183 may have been caused by microbial respiration. It can also be studied from Fig.3(a)
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12 184 that the curve is nearly flat in the first 1-3 days, then the slopes become positive till
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14 185 the 5th day and then it goes almost flat again. That is consistent with the freshness of
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16 186 the grapes that they were fresh in the 1-3 days, slightly decayed in the 5-6 days and
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18 187 seriously decayed in the 7-8 days.



188

189 **Fig. 3 The changing of ethanol, esters and CO₂ spectral characteristics during grape spoilage**

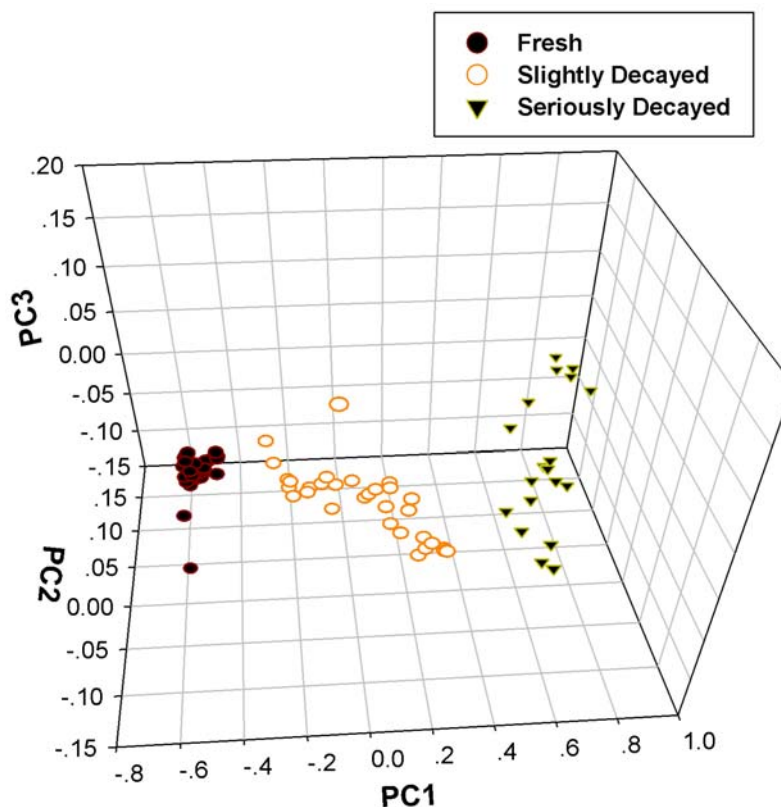
190 The sensor array was also used to measure changes in volatiles during spoilage,
191 as shown in Fig. 4. The error bars in the figure were calculated from 10 continuous
192 measurements. The changes in the CO₂ concentrations showed similar behavior to
193 that observed by infrared spectroscopy, which also showed a periodic trend. The
194 changes in the output of the ethanol sensor were also similar to the spectroscopy
195 results, but with slight differences that were most likely caused by the low sensitivity
196 of the sensor and the low concentration of the ethanol vapor. The above results
197 demonstrate that both infrared spectroscopy and sensor arrays have the ability to
198 discriminate between fresh and decayed grapes.



199
200 **Fig. 4 The changing of the outputs of the sensor array during grape spoilage**

201 202 **3.3 PCA analysis of the infrared spectra of the volatiles from grapes**

203 The above analysis demonstrates that several types of compounds show changing
204 levels during grape spoilage, so PCA analysis was used to reduce the dimension of the
205 data and to allow discrimination of the decayed grapes³⁸. In this experiment, the
206 grapes stored for 1-3 d were considered as fresh, while those at 4-6 d were slightly
207 decayed and those at 7-8 d were seriously decayed. The morning of the 4th day and
208 the afternoon of the 6th day were considered transition periods and were not included
209 in the analytical data. PCA analysis was performed using the spectral data in the range
210 of 3100-2750 cm⁻¹ and 1300-800 cm⁻¹, which covers the majority of the spectral
211 characteristics of ethanol and esters. The number of Principal Component (PC) was
212 set to 10. Fig. 5 shows the PCA map and demonstrates that the three groups of
213 samples can be easily classified. Several samples were outside the classification areas,
214 perhaps due to small differences in the operations. These results indicate that the
215 volatiles from fresh, slightly decayed and seriously decayed grapes displayed obvious
216 differences in their infrared spectra.



217

218 **Fig. 5 PCA analytical results for the grapes of different spoilage stages using infrared spectra**

219 We also analyzed the three groups of samples using the output of the sensor array.
220 Fig. 6 shows that most of the samples can be classified, while some points are
221 overlapped. As shown in Fig. 4, the output of the sensor array was somewhat variable
222 for the low concentrations of the volatiles. The sensor array was able to classify the
223 decayed grapes, but its sensitivity was lower than that of infrared spectroscopy. If we
224 were to establish a linear model to attempt to discriminate the three groups of samples,
225 there might be some errors. However, the performance of the model would be much
226 better if only fresh and seriously decayed grapes were analyzed.

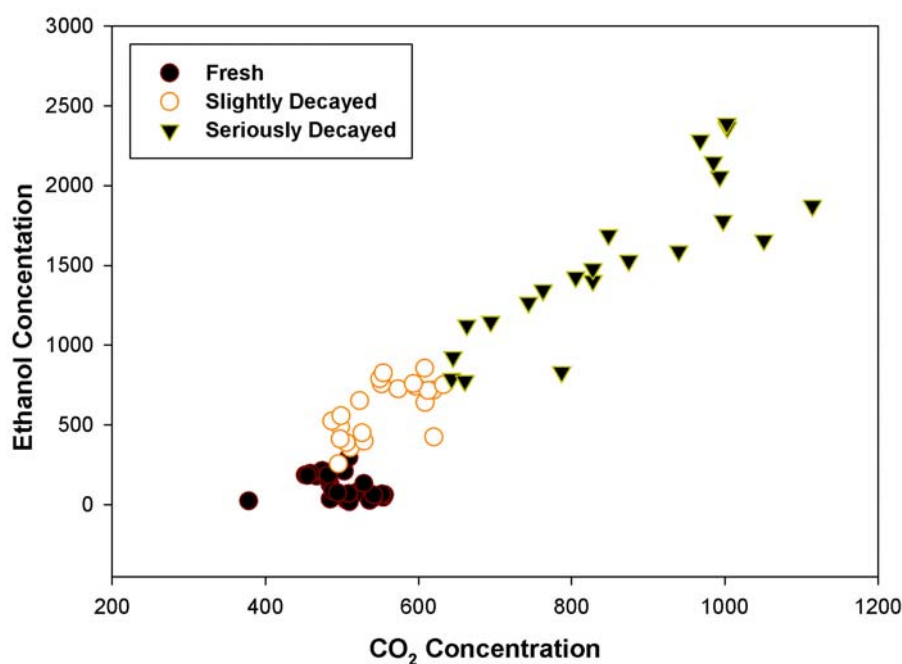


Fig. 6 Analytical results for the grapes of different spoilage stages using sensor array

3.4 SIMCA model for classifying grapes into different spoilage degrees

To further study the ability of infrared spectroscopy to classify grape spoilage, we established a Soft Independent Modeling of Class Analogy (SIMCA) model based on PCA analysis³⁸. The correction sets included 10 samples for each group (fresh, slightly decayed and seriously decayed), and each group contained at least one sample for each day. Other samples were included in the prediction sets. As shown in Tab. 1, the correct classification rates for the three groups of samples were all 100%. However, 3 fresh grapes were classified as both fresh and slightly decayed. These 3 samples were from 1500 h, 1800 h and 2100 h on day 3, which are in the transition period between fresh and slightly decayed, suggesting that the volatiles may have already evolved considerably.

Tab.1 The results of SIMCA model to discriminate different spoilage stages of grapes

no. of grape samples	no. of samples classified as fresh grapes	no. of samples classified as slightly	no. of samples classified as seriously	correct classification

			decayed grapes	decayed grapes	
fresh grapes	15	15	3	0	100% ^a
slightly decayed grapes	16	0	16	0	100%
seriously decayed grapes	12	0	0	12	100%

241 a Three fresh grape samples were classified as both fresh grapes and slightly decayed grapes

242 Another problem in applying the method is the influence of the quantity of
 243 grapes, because the concentrations of volatiles can vary with the quantity of the
 244 sample. We attempted to use a quantity standardization method to pre-treat the spectra
 245 before classification. As described in the experimental section, we used 200 g samples
 246 to study the influence of the grape quantity. From a quantity point of view, the
 247 volatiles from the 2000 g sample should be 10 times greater than those from the 200 g
 248 samples. However, by comparing the spectra of the two quantities of grapes on the
 249 same day, we found that the multiplication factor was approximately 8, which may be
 250 due to the interrelationship between each grape. We multiplied the spectra from the
 251 200 g sample by 8 and mixed them into the spectra from the 2000 g samples. Six 200
 252 g samples were recorded for each spoilage stage; 2 samples were added into the
 253 correction sets and 4 samples were added into the prediction sets. Tab. 2 shows the
 254 results of the new SIMCA model, which demonstrates that the classifications of the
 255 2000 g samples were not altered significantly compared with the classifications of the
 256 previous SIMCA model by adding a sample that was classified as both fresh and
 257 slightly decayed. For the 200 g samples, the fresh and seriously decayed groups could
 258 be classified easily (with correct rates of 100% and 75%), while the slightly decayed
 259 grapes were difficult to discriminate. This result indicates that the SIMCA model
 260 combined with quantity standardization is effective for the classification of fresh and
 261 seriously decayed grapes. We also used the sensor array to measure the volatiles from
 262 the 200 g samples, but the output of the sensor was not stable enough for the low
 263 concentrations of volatiles from the 200 g sample.

264 **Tab.2 The results of SIMCA model considering the influence of the quantities of grapes**

no. of all grape samples (no. of 200 g samples)	no. of all samples (no. of 200 g samples) classified as fresh	no. of all samples (no. of 200 g samples) classified as slightly decayed	no. of all samples (no. of 200 g samples) classified as seriously decayed	correct classification for all samples (200 g samples)
fresh grapes	19 (4)	19 (4)	4 (0)	100% ^a (100%)
slightly decayed grapes	20 (4)	0	17 (1)	85% (25%)
seriously decayed grapes	16 (4)	0	0	93.8% (75%)

265 a Four fresh grape samples were classified as both fresh grapes and slightly decayed grapes

266

267 **4. Conclusions**

268 This study demonstrated that grapes release specific volatiles during spoilage and
 269 that the presence and concentrations of these volatiles changes with the spoilage
 270 degree. Infrared spectroscopy was verified to be an effective tool for the
 271 discrimination of grape spoilage stages based on their volatiles, with the advantages of
 272 being fast, requiring no contact and allowing continuous measurement. To create a
 273 tabletop instrument with a long fixed optical path, we exhausted the volatiles into a
 274 gas cell for measurement. In on-line applications, it is possible to obtain a long optical
 275 path by putting the light source and the spectrometer on the opposite side of a
 276 storehouse³². Because the aim of this experiment was to demonstrate the ability of
 277 infrared spectroscopy to classify grape spoilage degrees, a wide band FTIR
 278 spectrometer is used. For practical use, simple and low cost systems, such as tunable
 279 diode laser absorption spectroscopy (TDLAS) systems, can be considered^{39, 40}.

280 We also studied the ability of sensor arrays to identify decayed grapes, which can
 281 be considered a simplified form of infrared spectroscopy. Although the outputs of the
 282 sensor arrays were not very stable, they still provided the ability to discriminate fresh
 283 and decayed grapes. A number of problems, such as the stability and baseline drift of

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3 284 the sensor, should be considered in field measurements, especially when the quantities
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5 285 of samples are low. We subtracted the baseline of the ethanol sensor every 2 h, but this
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8 286 correction is too complex for use in real-world applications. We believe that an
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10 287 effective way to enhance the performance of the sensor array is to use infrared sensors
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13 288 instead of electrochemical sensors⁴¹, which is similar to a spectroscopy method.

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15
16 289 We also investigated the use of the classification model for different quantities of
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18 290 grapes but did not achieve ideal results. Because of the interrelationship between each
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20 291 grape, the quantity standardization method was not effective. A more effective method
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23 292 may be to design a more flexible quantity compensation method according to the
24
25 293 vapor properties of different quantities of grapes. Another method would be to use
26
27
28 294 concentration changes as the variables instead of the absolute values of the
29
30 295 concentrations and then to establish new classification models.

31
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35
36 297 **Acknowledgments:** This work was supported by National Natural Science
37
38
39 298 Foundation of China (No. 31271614, 31101748 & 61134011).

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