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## COMMUNICATION

# Water-soluble porphyrin nanospheres: Enhanced photo-physical properties via cyclodextrin driven double self-inclusion

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**We describe a method to construct water-soluble porphyrinic nanospheres with enhanced photo-physical properties as a result of precluding (via intra-molecular host-guest interactions) the individual porphyrins units from aromatic-aromatic stacking.**

Porphyrins and their congeners are being intensely investigated as building blocks for the construction of functional nanomaterials.<sup>1</sup> This interest is due to the attractive photo-physical properties of porphyrin assemblies leading to potential applications, *inter alia*, in solar energy harvesting, sensing, photoconductance, and catalysis.<sup>2</sup> Indeed, porphyrinic nanostructures of diverse architectures (including rings,<sup>2a,3</sup> rods,<sup>3,4</sup> wires,<sup>5</sup> tubes<sup>6</sup>, and sheets<sup>7</sup>) have been explored. Particular attention has focused on the development of water compatible porphyrin nanospheres<sup>8</sup> (NSs) since such systems can be used as agents for biological imaging and photodynamic therapy (PDT).<sup>9</sup> However, a significant problem in the development of water compatible porphyrinic nanostructures (especially for imaging and PDT applications) is that the porphyrin macrocycles tend to aggregate via aromatic-aromatic stacking leading to diminished photo-physical properties including decreased photon absorption and fluorescence.<sup>2e,8a,c,d,10</sup>

Herein we describe a facile method to construct water-soluble porphyrinic NSs wherein the individual porphyrin units have significantly attenuated stacking. In particular, we show, for the first time, that the concept of self-inclusion via double intra-molecular  $\beta$ -cyclodextrin ( $\beta$ -CD) based host-guest interactions, can be used in tandem with NS forming conditions (via self-organization of the porphyrin units) leading to well-defined porphyrin NSs (Figure 1). Importantly, these NSs display superior fluorescence and singlet-oxygen generation properties compared to analogously prepared NSs composed of porphyrins that lack the self-encapsulating  $\beta$ -CD units and therefore do undergo stacking.

It is well-known that permethylated  $\beta$ -cyclodextrins (PM $\beta$ CDs) can form inclusion complexes with tetraphenyl porphyrins in water via an induced-fit mechanism (wherein the  $\beta$ -CD units bind to the meso-phenyl position and a portion of the porphyrin core<sup>11</sup>). Further, the groups of Kano and Liu have shown that when the  $\beta$ -CD is covalently attached, in a *para* fashion, to the meso-phenyl

positions of porphyrins via its primary face, the glucopyranose unit directly linked to the porphyrin can rotate about its glycosidic linkages resulting in self-inclusion wherein the larger secondary rim of the PM $\beta$ CD is directed onto the macrocycle core.<sup>12</sup> Since inclusion complex formation/molecular encapsulation of dyes typically leads to prevention of dye-based aggregation,<sup>13</sup> we were eager to explore whether porphyrins flanked with PM $\beta$ CD units could be used as precursors to develop porphyrin NSs with attenuated aromatic-aromatic stacking.

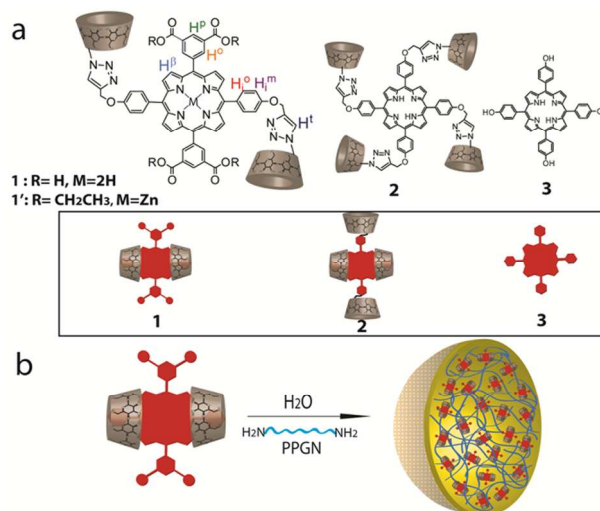


Figure 1. (a) Structures and self-inclusion complexes (inset) of porphyrins **1**, **2** and **3**. (b) Assembly scheme for porphyrin containing nanospheres.

In particular, we focused on porphyrin **1** (Figure 1a) that contains two PM $\beta$ CD arms at the 5 and 15 meso-phenyl positions and two dicarboxylatophenyl arms at the 10 and 20 meso-phenyl positions. The PM $\beta$ CD arms of **1** were expected to self-encapsulate the macrocycle from opposite ends (Figure 1a, inset) whilst the *meta*-substituted dicarboxylatophenyl units would provide steric hindrance thereby minimizing any potential inter-molecular host-guest interactions.<sup>12a</sup> In addition, we investigated porphyrin **2** appended with four PM $\beta$ CD units that was also

expected to form a double self-inclusion complex in water.<sup>12b</sup> The synthesis of porphyrins **1** and **2** are provided in the ESI. Commercially available porphyrin **3** lacking PM $\beta$ CD arms was included in our studies as a control as it should readily undergo stacking in water.

<sup>1</sup>H-NMR experiments were performed to determine whether the PM $\beta$ CD linked porphyrins form self-inclusion complexes. We first investigated the tetra ethyl ester version of porphyrin **1** (i.e., **1'**, which is a Zn containing synthetic precursor of **1**) in CDCl<sub>3</sub>, since the bulky ester appendages and the non-polar nature of CDCl<sub>3</sub> were expected to constrain **1'** into a non self-encapsulated conformation. As illustrated in Figure 2a top, porphyrin **1'** exhibits sharp and well-resolved resonances corresponding to the porphyrin  $\beta$ -pyrrole protons (H <sup>$\beta$</sup> ), phenyl protons (H<sup>p</sup>, H<sup>o</sup>, H<sub>i</sub><sup>o</sup>, and H<sub>i</sub><sup>m</sup>), and the triazole protons (H<sup>t</sup>). In marked contrast, the spectra of hydrolyzed porphyrin **1** in D<sub>2</sub>O (Figure 2a, bottom) shows significant up-field shifts with most of the resonances being substantially broadened. These NMR shifts indicate that the chemical environment of the low-field protons are drastically changed when going from **1'** in CDCl<sub>3</sub> to **1** in D<sub>2</sub>O, suggesting the formation of a self-inclusion complex for porphyrin **1** in D<sub>2</sub>O. In addition, for the case of **1** in D<sub>2</sub>O, new proton signals appear in the 2 – 3 ppm region (ESI-S1) that are ascribed to the PM $\beta$ CD protons (via 2D ROESY experiments, ESI-S6) that are close to the porphyrin and benzene rings. These results are consistent with other porphyrins linked to PM $\beta$ CDs via the *para*-position of the phenyl rings, wherein the larger rim of the PM $\beta$ CD toroid engulfs the porphyrin macrocycle.<sup>12a</sup>

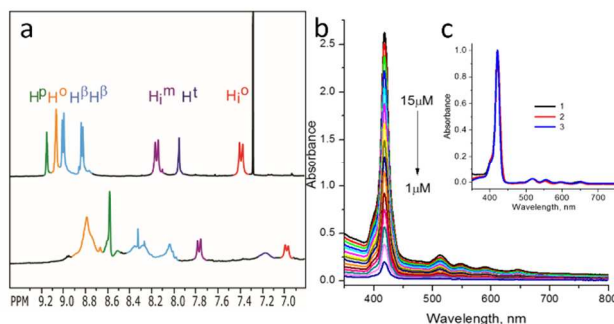


Figure 2. (a) Downfield region <sup>1</sup>H-NMR spectra of (top) porphyrin **1'** in CDCl<sub>3</sub>, and (bottom) porphyrin **1** in D<sub>2</sub>O (0.1 M phosphate buffer at pH = 7.0). (b) UV-vis spectra of porphyrins **1** at varying concentrations in H<sub>2</sub>O. (c) Normalized UV-vis spectra of porphyrins **1-3** in THF ( $\lambda_{\max}$  = 421 nm).

Furthermore, UV-vis spectroscopy (Figure 2b) showed that porphyrin **1** does not undergo appreciable stacking in water as the Soret band is sharp and the  $\lambda_{\max}$  (418 nm) is close to the  $\lambda_{\max}$  for the monomeric porphyrin in organic solvents (such as THF (Figure 2c) and CHCl<sub>3</sub>). Additionally, the concentration dependent UV-vis showed that the  $\lambda_{\max}$  traced linearly with increasing concentration (1 – 15 μM) suggesting no appreciable stacking of **1** under these concentrations (ESI-S9). <sup>1</sup>H-NMR and UV-vis characterization of free-base porphyrin **2** were also consistent with inclusion complex formation in aqueous medium (See ESI-S7 and ESI-S10).<sup>12b</sup> In contrast to **1** and **2**, porphyrin **3** forms an essentially colorless solution with black precipitates in water (even at 5 μM concentration) suggesting poor aqueous solubility as a result of aggregation. Further, when the precipitate of **3** is filtered off, the remaining solution containing soluble

porphyrin **3** displays a slight red shift in the Soret band ( $\lambda_{\max}$  = 424 nm) and, moreover, the whole spectrum is significantly broadened (ESI-S11), indicating that porphyrin **3** readily stacks in water.

After determining that porphyrins **1** and **2** form self-inclusion complexes in water whilst porphyrin **3** forms stacked aggregates and precipitates, we next investigated nanoparticle formation by introducing the porphyrins dissolved in THF into an aqueous solution containing an agglomeration inhibitor (Figure 1b). Such a mixed solvent method is known to be effective in preparing porphyrinic nanoparticles, albeit where the porphyrins are typically aggregated.<sup>8c</sup> We chose poly(propylene glycol) bis(2-aminopropyl ether) with a molecular weight of 2000 (PPGN) as the agglomeration inhibitor because this polymer is (a) water soluble as it includes repeating ether units and terminal amines, and (b) cannot be threaded into the PM $\beta$ CD arms<sup>14</sup> and thus should not compete for PM $\beta$ CD inclusion. Briefly, 50 μL of 3 mM porphyrins **1-3** in THF were injected into a 2 mL deionized water solution containing one equivalent of PPGN, while stirring vigorously. The resultant yellow-green solution was stirred for 4 hours, at which point THF was removed by evaporation under reduced pressure. The resulting aqueous solution was filtered (using a 0.45 μm filter) and collected.

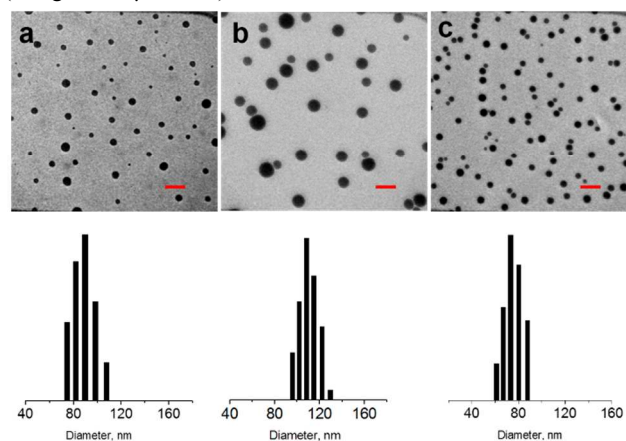


Figure 3. TEM images (top) and DLS histograms (bottom) of nanospheres formed by porphyrins (a) **1**, (b) **2**, and (c) **3**. Red scale bar is 200 nm.

The porphyrin containing NSs were first probed by transmission electron microscopy (TEM). The TEM samples were prepared by placing a drop of aqueous solution containing porphyrin NSs ([porphyrin]  $\approx$  0.1 mM) onto a carbon coated copper grid, followed by evaporation of the aqueous solvent. As shown in the TEM images and confirmed via scanning electron microscopy (ESI-S12), all three porphyrins formed spherical nanoparticles with diameters in the 100 nm range. Interestingly, the smaller size porphyrins formed NSs with slightly shorter diameters than the larger porphyrins.<sup>15</sup> In order to gather more quantitative information of the nanoparticle sizes, solution based dynamic light scattering studies (DLS) were conducted. These experiments, are consistent with the microscopy images, and provided an average diameter of 88, 110, and 80 nm for NSs formed by porphyrins **1**, **2**, and **3**, respectively.

The absorption characteristics of the nanoparticles were next probed. Interestingly, the NSs formed by porphyrins **1** and **2** showed sharp absorption profiles (Figure 4a). Not surprisingly,

the NSs formed by porphyrin **3** showed a more broadened and red-shifted spectrum (although not as broadened as **3** in the absence of PPGN). More importantly, when the NSs are excited at an absorbance matched wavelength (427 nm), the fluorescence from the porphyrin **3** containing NSs is substantially lower than the fluorescence of NSs composed of porphyrins **1** or **2** (Figure 4b). The fluorescence quantum yield for NSs composed of porphyrins **1**, **2** and **3** were estimated to be 0.029, 0.024 and 0.002 in water respectively, when tetraphenylporphyrin sulfonate (0.08) was used as the standard.

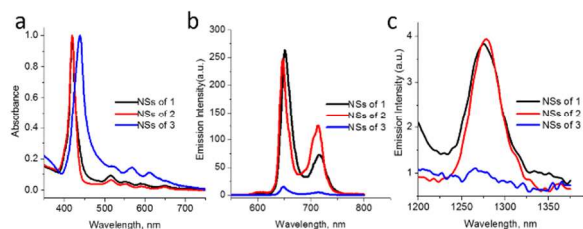


Figure 4. (a) Normalized UV-vis spectra of NSs composed of **1**, **2** and **3** in water. (b) Fluorescence spectra of NSs composed of **1-3** in water (excited at 427 nm; absorbance matched). (c) Emission spectra of singlet oxygen generated by nanospheres containing **1-3** in D<sub>2</sub>O in air saturated solution (excited at 419 nm; absorbance matched).

While enhancing the fluorescence properties of porphyrinic NSs is salient for imaging applications, another important photo-physical property of porphyrin NSs that needs to be optimized is the photo-induced generation of singlet oxygen, as this can lead to nanoparticles with therapeutic properties. To determine the singlet oxygen generation capacities of the porphyrin containing NSs, the luminescence of singlet oxygen was directly investigated. As shown in Figure 4c, the NSs composed of porphyrins **1** and **2** clearly induce the production of singlet oxygen as observed by an emission peak at 1275 nm. On the other hand, no significant luminescence at 1275 nm is observed when the NSs containing porphyrin **3** was probed. Taken together, these emission experiments provide strong evidence that precluding porphyrin-based stacking by intra-molecular self-inclusion can lead to porphyrinic NSs with enhanced photo-physical properties.

## Conclusions

In summary, we have described a straightforward method to prepare water-soluble porphyrinic NSs wherein porphyrin based aromatic-aromatic stacking is inhibited as a result of accessing intra-molecular host-guest interactions. Preventing stacking significantly enhances the fluorescence and singlet oxygen generation capabilities of the porphyrin NSs. We are currently investigating these porphyrin NSs for biological imaging and therapeutic applications.

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## Notes and references

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Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/c000000x/

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**Table of Content Graphic**

Water-soluble porphyrinic nanospheres with enhanced photo-physical properties were constructed by using porphyrin precursors with attenuated stacking capabilities as a result of undergoing intra-molecular host-guest interactions.

