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ARTICLE TYPE

# Enantioselective Synthesis of Benzazepinoindoles Bearing Trifluoromethylated Quaternary Stereocenters Catalyzed by Chiral Spirocyclic Phosphoric Acids†

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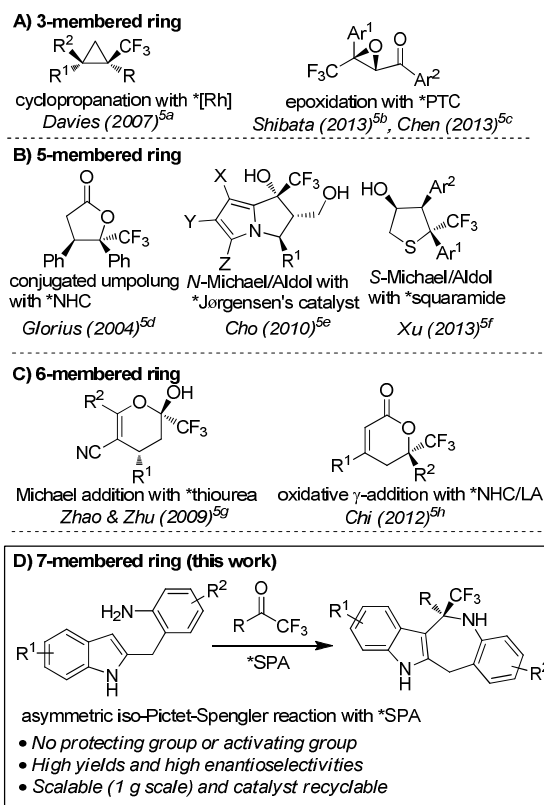
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The first highly enantioselective iso-Pictet-Spengler reaction of C-2-linked o-aminobenzylindoles with trifluoromethyl ketones was developed using chiral spirocyclic phosphoric acids as organocatalysts, which afforded optically active benzazepinoindoles bearing trifluoromethylated quaternary stereocenters.

Chiral trifluoromethylated compounds have showed a great diversity of superior biological properties mainly due to improved chemical and metabolic stability, lipophilicity, and membrane permeability of the molecules.<sup>1</sup> In particular, some biologically active molecules with a CF<sub>3</sub>-containing cyclic quaternary stereocenter are representative examples, including HIV reverse transcriptase inhibitor (Efavirenz),<sup>2a</sup> progesterone receptor antagonist,<sup>2b</sup> NK-1 receptor antagonist (CJ-17493),<sup>2c</sup> and antimalarial agents (Fluoroartemisinin)<sup>2d</sup>.

Thus, great efforts have been devoted to the synthesis of functionalized molecules with a CF<sub>3</sub>-containing stereocenter.<sup>3</sup> In particular, the catalytic enantioselective construction of trifluoromethylated quaternary stereocenters has recently generated a tremendous amount of interest.<sup>4</sup> In this context, a facile and flexible asymmetric catalytic cyclization reaction for the construction of cyclic quaternary stereocenters bearing a CF<sub>3</sub> moiety has not been well explored and remains a challenging project in organic synthesis. To date, only a few examples with a 3, 5 or 6-membered ring have been documented (Scheme 1).<sup>5</sup> These elegant asymmetric cyclization reactions were realized by transition metal catalysis<sup>5a</sup> or cooperative catalysis of chiral N-heterocyclic carbene (NHC) and Lewis acid,<sup>5h</sup> as well as organocatalysis with chiral phase-transfer catalyst (PTC),<sup>5b, 5c</sup> NHC,<sup>5d</sup> Jørgensen's catalyst,<sup>5e</sup> squaramide<sup>5f</sup> and thiourea<sup>5g</sup>. In spite of these notable advances, to the best of our knowledge, there is no example thus far reported for the catalytic asymmetric cyclization reaction for the synthesis of CF<sub>3</sub>-containing seven-membered heterocycles. Therefore, the development of a novel and elegant method to meet this challenge is highly desirable.

Over the past few years, the catalytic asymmetric Pictet-Spengler reaction for construction of chiral N-heterocycle frameworks has attracted enormous attention and witnessed significant progress.<sup>6</sup> However, there is still no general solution to a catalytic, highly enantioselective Pictet-Spengler reaction



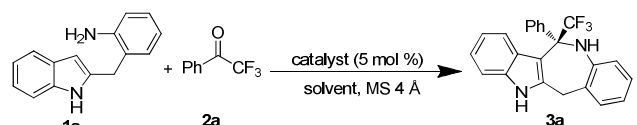
**Scheme 1** Approaches to the construction of cyclic quaternary stereocenters bearing a CF<sub>3</sub> moiety through asymmetric catalytic cyclization reactions. \* = chiral reagent.

with simple ketone substrates.<sup>7</sup> We recently developed a novel class of chiral spirocyclic phosphoric acids (SPAs), which proved to be highly efficient in asymmetric catalysis.<sup>8,9</sup> Employing such chiral SPAs we now report the first highly enantioselective catalytic iso-Pictet-Spengler reaction of C-2-linked o-

aminobenzylindoles with commercial trifluoromethylated ketones to provide optically active benzazepinoindoles bearing trifluoromethylated quaternary stereocenters (Scheme 1, D).<sup>10</sup>

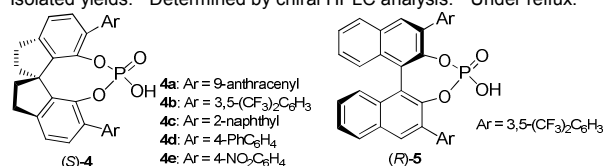
In our initial study, we examined the model reaction between C-2-linked o-aminobenzylindole (**1a**) and phenyl trifluoromethyl ketone (**2a**) using chiral phosphoric acid catalysts in chloroform in the presence of powdered 4Å molecular sieves. The reactions were run at 35 °C with a catalyst loading of 5 mol % and were stopped after 2 days. To our delight, the corresponding cyclization product **3aa** was obtained with 92% *ee*, albeit in a low yield when (*S*)-**4a**, with bulky 6,6'-bis(9-anthracenyl) moieties, was used as the catalyst (Table 1, entry 1). We next screened chiral SPAs with various substituents on the 6,6'-positions, and found that (*S*)-**4b**, with 6,6'-bis(3,5-bis-trifluoromethylphenyl) moieties, gave the highest yield and excellent enantioselectivity (90% yield and 93% *ee*, Table 1, entry 2), whereas (*S*)-**4e**, with 6,6'-bis(4-nitrophenyl) moieties, exhibited no catalytic activity even under reflux (Table 1, entry 5). Furthermore, it should be noted that with a BINOL-based phosphoric acid (PA) catalyst system<sup>11</sup> (*R*)-**5**, with 3,3'-bis(3,5-bis-trifluoromethylphenyl) moieties, only 20% yield was obtained and 84% *ee* was observed (Table 1, entry 6). The absolute configuration of the product was coincident with that of the product formed with the (*S*)-**4b** catalyst because (*S*)-PA and (*S*)-SPA are considered to be "pseudoenantiomers" owing to the difference in nomenclature. Subsequent optimization suggested that the solvent remarkably effected the catalytic activity. The use of 1,2-dichloroethane as a solvent provided both excellent yield and enantioselectivity (95%

**Table 1** Optimization of reaction parameters<sup>a</sup>



Entry	Catalyst	Solvent	t [h]	Yield (%) <sup>b</sup>	<i>ee</i> (%) <sup>c</sup>
1	( <i>S</i> )- <b>4a</b>	CHCl <sub>3</sub>	48	35	92
2	( <i>S</i> )- <b>4b</b>	CHCl <sub>3</sub>	48	90	93
3 <sup>d</sup>	( <i>S</i> )- <b>4c</b>	CHCl <sub>3</sub>	48	10	86
4	( <i>S</i> )- <b>4d</b>	CHCl <sub>3</sub>	48	50	93
5 <sup>d</sup>	( <i>S</i> )- <b>4e</b>	CHCl <sub>3</sub>	48	trace	-
6	( <i>R</i> )- <b>5</b>	CHCl <sub>3</sub>	48	20	84
7	( <i>S</i> )- <b>4b</b>	CH <sub>2</sub> Cl <sub>2</sub>	24	90	88
8	( <i>S</i> )- <b>4b</b>	CH <sub>2</sub> ClCH <sub>2</sub> Cl	24	95	93
9 <sup>d</sup>	( <i>S</i> )- <b>4b</b>	CH <sub>3</sub> CN	48	trace	-
10 <sup>d</sup>	( <i>S</i> )- <b>4b</b>	THF	48	trace	-
11	( <i>S</i> )- <b>4b</b>	toluene	24	85	89

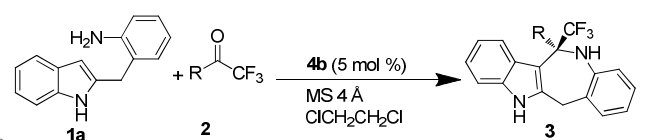
<sup>a</sup> Reaction conditions: catalyst (5 mol%, 0.005 mmol), **1a** (0.1 mmol), **2a** (0.12 mmol), molecular sieves (MS 4Å, 0.1 g), solvent (0.6 mL), 35 °C. <sup>b</sup> Isolated yields. <sup>c</sup> Determined by chiral HPLC analysis. <sup>d</sup> Under reflux.



yield and 93% *ee*, Table 1, entry 8), whereas the reaction hardly occurred in coordinating solvents, such as CH<sub>3</sub>CN or THF even at reflux temperature (Table 1, entries 9 and 10).

We next turned our attention to assessing the substrate scope of the reaction. The optimized reaction conditions summarized in entry 8 in Table 1 were firstly used to study the scope of a series of commercially available trifluoromethyl ketones. All the reactions with aromatic trifluoromethyl ketones (**2a-2o**) provided the expected products **3aa-3ao** in good yields (80-95%) with high enantiomeric excess (87->99.5%) (Table 2). Product **3aa** is a crystalline compound, and the *ee* could be easily increased to 99% by one simple recrystallization (Table 2, entry 1). For substrates **2b-2e** bearing a halogen X (X = F, Cl, Br or I) in the para position of the aromatic ring, the reactions occurred readily to give the desired products in high yields and excellent enantioselectivities (Table 2, entries 2-5), and the halogen-substituted products can participate in subsequent transformations such as cross-coupling reactions. We found that the best level of stereocontrol was obtained for 4-nitrophenyl trifluoromethyl ketone **2h** which possesses a strong electron-withdrawing group (>99.5% *ee*, Table 2, entry 8). *Meta*-substituents on the aryl ring of trifluoromethyl ketones (**2i-2k**) had a negligible impact on the yield and enantioselectivity (Table 2, entries 9-11). Notably,

**Table 2** Scope of the reaction with respect to the trifluoromethyl ketone<sup>a</sup>



Entry	R	Product	Yield (%) <sup>b</sup>	<i>ee</i> (%) <sup>c</sup>
1	Ph ( <b>2a</b> )	<b>3aa</b>	95	93 (99) <sup>d</sup>
2	4-FC <sub>6</sub> H <sub>4</sub> ( <b>2b</b> )	<b>3ab</b>	95	94
3	4-ClC <sub>6</sub> H <sub>4</sub> ( <b>2c</b> )	<b>3ac</b>	88	95
4	4-BrC <sub>6</sub> H <sub>4</sub> ( <b>2d</b> )	<b>3ad</b>	92	96
5 <sup>e</sup>	4-IC <sub>6</sub> H <sub>4</sub> ( <b>2e</b> )	<b>3ae</b>	88	92
6	4-CF <sub>3</sub> C <sub>6</sub> H <sub>4</sub> ( <b>2f</b> )	<b>3af</b>	92	93
7 <sup>e</sup>	4-CNC <sub>6</sub> H <sub>4</sub> ( <b>2g</b> )	<b>3ag</b>	84	93
8 <sup>e</sup>	4-NO <sub>2</sub> C <sub>6</sub> H <sub>4</sub> ( <b>2h</b> )	<b>3ah</b>	86	>99.5
9	3-FC <sub>6</sub> H <sub>4</sub> ( <b>2i</b> )	<b>3ai</b>	95	96
10	3-BrC <sub>6</sub> H <sub>4</sub> ( <b>2j</b> )	<b>3aj</b>	87	95
11 <sup>e</sup>	3-CF <sub>3</sub> C <sub>6</sub> H <sub>4</sub> ( <b>2k</b> )	<b>3ak</b>	87	90
12 <sup>e</sup>	3,5-F <sub>2</sub> C <sub>6</sub> H <sub>3</sub> ( <b>2l</b> )	<b>3al</b>	82	94
13	3,5-Cl <sub>2</sub> C <sub>6</sub> H <sub>3</sub> ( <b>2m</b> )	<b>3am</b>	80	96
14	3,4-F <sub>2</sub> C <sub>6</sub> H <sub>3</sub> ( <b>2n</b> )	<b>3an</b>	90	96
15	4-MeC <sub>6</sub> H <sub>4</sub> ( <b>2o</b> )	<b>3ao</b>	93	87
16	benzyl ( <b>2p</b> )	<b>3ap</b>	93	55

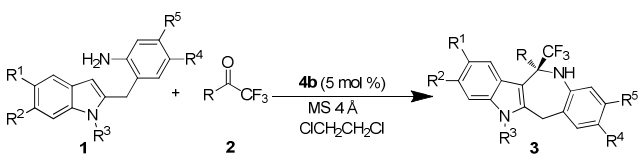
<sup>a</sup> Reaction conditions: **4b** (5 mol%, 0.005 mmol), **1** (0.1 mmol), **2** (0.12 mmol), molecular sieves (MS 4Å, 0.1 g), 1,2-dichloroethane (0.6 mL), 35 °C, for 24-48 h. <sup>b</sup> Isolated yields. <sup>c</sup> Determined by chiral HPLC analysis. <sup>d</sup> Data in parentheses was obtained after single recrystallization. <sup>e</sup> Under reflux.

trifluoroacetophenones (**2i-2n**), with two additional substituents on the aromatic ring, could also serve as substrates in this reaction (Table 2, entries 12-14). Introduction of an electron-donating group resulted in a slightly lower *ee* (Table 2, entry 15).

Switching to the trifluoromethyl alkyl ketone (**2p**) afforded significantly diminished enantioselectivity (Table 2, entry 16).

The effects of *o*-aminobenzylindole substitution were then evaluated under the optimized conditions (Table 3). Good yields (75-98%) and high enantioselectivities (81-96% *ee*) were achieved with substrates **1** bearing either electron-withdrawing or electron-donating groups when the reactions were conducted at elevated temperatures and with a prolonged reaction time, although these substrates **1b-1d** showed slightly reduced reactivity (Table 3, entries 1-9). When *N*-benzylindole derivative (**1e**) was prepared and treated with phenyl trifluoromethyl ketone (**2a**), no desired product was observed even under reflux (Table 3, entry 10). We suspect that the hydrogen atom on the N atom of the indole is crucial for the activation of the substrate by SPAs **4** in this iso-Pictet-Spengler reaction (*vide infra*).

**Table 3** Scope of the reaction with respect to the *o*-aminobenzylindole substrate<sup>a</sup>

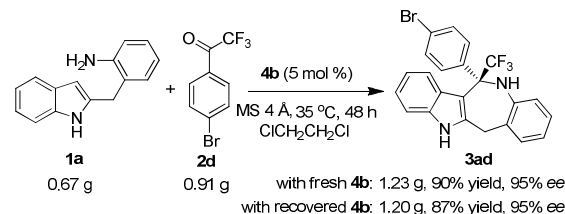


Entry	R <sup>1</sup> /R <sup>2</sup> /R <sup>3</sup> /R <sup>4</sup> /R <sup>5</sup>	R	Product	Yield(%) <sup>b</sup>	ee(%) <sup>c</sup>
1	H/Br/H/H/Br ( <b>1b</b> )	4-FC <sub>6</sub> H <sub>4</sub> ( <b>2b</b> )	<b>3bb</b>	80	88
2	H/Br/H/H/Br ( <b>1b</b> )	4-ClC <sub>6</sub> H <sub>4</sub> ( <b>2c</b> )	<b>3bc</b>	88	90
3	H/Br/H/H/Br ( <b>1b</b> )	4-BrC <sub>6</sub> H <sub>4</sub> ( <b>2d</b> )	<b>3bd</b>	90	96
4	Cl/H/H/Cl/H ( <b>1c</b> )	4-BrC <sub>6</sub> H <sub>4</sub> ( <b>2d</b> )	<b>3cd</b>	95	90
5	Cl/H/H/Cl/H ( <b>1c</b> )	3,5-Cl <sub>2</sub> C <sub>6</sub> H <sub>3</sub> ( <b>2k</b> )	<b>3ck</b>	87	90
6 <sup>d</sup>	H/Me/H/H/Me( <b>1d</b> )	Ph ( <b>2a</b> )	<b>3da</b>	98	81
7	H/Me/H/H/Me( <b>1d</b> )	4-FC <sub>6</sub> H <sub>4</sub> ( <b>2b</b> )	<b>3db</b>	75	84
8 <sup>d</sup>	H/Me/H/H/Me( <b>1d</b> )	4-ClC <sub>6</sub> H <sub>4</sub> ( <b>2c</b> )	<b>3dc</b>	86	93
9 <sup>d</sup>	H/Me/H/H/Me( <b>1d</b> )	4-BrC <sub>6</sub> H <sub>4</sub> ( <b>2d</b> )	<b>3dd</b>	95	90
10	H/H/Bn/H/H ( <b>1e</b> )	Ph ( <b>2a</b> )	<b>3ea</b>	0	-

<sup>a</sup> Reaction conditions: **4b** (5 mol%, 0.005 mmol), **1** (0.1 mmol), **2** (0.12 mmol), molecular sieves (MS 4A, 0.1 g), 1,2-dichloroethane (0.6 mL), reflux for 24-96 h. <sup>b</sup> Isolated yields. <sup>c</sup> Determined by chiral HPLC analysis. <sup>d</sup> 50 °C.

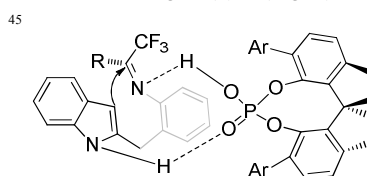
We next carried out a scale-up experiment (3.0 mmol of **1a**) (Scheme 2). This reaction proceeded without compromising the yield or enantioselectivity, and a gram-scale preparation of **3ad** (1.23 g) was realized with 90% yield and 95% *ee* as well as 90% recovery of catalyst **4b**. The recovered catalyst was recycled with

negligible loss in reactivity or stereoselectivity.



**Scheme 2** Gram-scale preparation of **3ad**

The absolute configuration (*S*) of the quaternary stereogenic center in product **3** was determined by X-ray crystallographic analysis of a single crystal of **3aa**.<sup>12</sup> Although the mechanism of this reaction has not been studied in depth, we believe that the bifunctional nature of the chiral phosphoric acid concurrently activates both the nucleophilic group and the electrophilic group of the ketoimine intermediate through hydrogen bonding. In this model, the indole  $\pi$  system attacks the ketimine moiety from the *Si* face, leading to (*S*)-**3** (Fig. 1).<sup>13</sup>



**Fig. 1** Proposed reaction model

In summary, we have presented a general, mild, and flexible method providing access to enantiomerically enriched benzazepinoindoles bearing trifluoromethylated quaternary stereocenters by utilizing the catalytic asymmetric iso-Pictet-Spengler reaction. The reaction employs the powerful and fully recyclable chiral spirocyclic phosphoric acid catalyst **4b**, and involves a simple scalable experimental procedure without protecting group or activating group. Further exploration of the potential of our chiral SPAs in asymmetric catalysis is currently ongoing.

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## Notes and references

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<sup>†</sup> Electronic supplementary information (ESI) available: Experimental procedures, characterization data for all new compounds. CCDC reference numbers 953552 (**3aa**). For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/

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## Graphical Abstract

### Enantioselective Synthesis of Benzazepinoindoles Bearing Trifluoromethylated Quaternary Stereocenters Catalyzed by Chiral Spirocyclic Phosphoric Acids

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SPAs-catalyzed iso-Pictet-Spengler reaction of C-2-linked o-aminobenzylindoles and trifluoromethyl ketones for construction of optically enriched benzazepinoindole derivatives has been realised.

