# ChemComm

## Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/chemcomm

# Chemical Communications RSCPublishing

## COMMUNICATION

## **Modification of Fulleropyrazolines Modulates Their Cleavage by Light**

Cite this: DOI: 10.1039/xoxxooooox

Reida N. Rutte,<sup>a</sup> Thomas B. Parsons<sup>a</sup> and Benjamin G. Davis<sup>a</sup>

Received ooth May 2014, Accepted ooth ?? 2014

DOI: 10.1039/x0xx00000x

www.rsc.org/

The extraordinary electrochemistry and the tunability of their energy levels allows the use of fulleropyrazolines in photovoltaics and charge-transfer systems. Here we show that substitution in position 1 tunes photolytic stability; electrondonating groups facilitate 1,3-dipolar cycloreversion to fullerene. This discovery has implications not only for photovoltaic stability but also highlights a potential strategy for photo-controlled fullerene release systems ('photo-caged' / 'photo-activated' fullerene).

The unique electronic properties of fulleropyrazolines have enabled their use in photovoltaics.<sup>1</sup> Their photoactivity arises from the ability of fulleropyrazolines to undergo photonic excitement, charge separation and stabilisation, and then subsequent recombination (Scheme 1).<sup>2</sup> The effect of altering the C-3 pyrazoline substituent has been investigated both experimentally<sup>1a, 2c</sup> and computationally.<sup>2d</sup> Modification of fulleropyrazolines at C-3 is essential for the energy transfer required in photovoltaic applications.<sup>3</sup> Substituents on the *N*-phenyl group (N-1) showed stronger electronic interaction with the fullerene moiety and were found to be essential for the charge transfer process.<sup>4</sup> However, to the best of our knowledge, the stability of fulleropyrazolines towards light has not been previously investigated.



**Scheme 1** Fulleroheterocycles can charge separate upon photoirradiation, Substituents have potential to tune reactivity & stability and to deliver cargo.

The fundamental properties of photovoltaic substrates are the excitation energy and the lifetime of charge separated species. The lifetime of these substrates, especially fulleropyrazolines, may be

tuned by incorporation of groups that can participate in charge transfer and stabilise or destabilise the radical cation formed upon excitation and charge separation.<sup>2a, 5</sup> Intermediates of this type have the potential to disproportionate, as reported recently during the photocleavage of *para*-dimethylaminophenyl fulleroisoxazoline.<sup>6</sup> Yet, it is notable that despite the wide range of cycloaddition-type reactions which have been utilised in functionalization of fullerenes, the only reports of photoinduced cycloreversion (defunctionalization) are limited to the [3+2]-isoxazoline systems and the inefficient [2+2] reaction.<sup>7</sup> Limitations of these reactions are the uncontrollable equilibrium state of the photocycloaddition/-reversion as well as their lack of functionality.

Unlike isoxazolines, pyrazolines possess a second nitrogen atom (N-2) enabling further functionalization. This intriguing substrate class inspired us to combine dual functionalization and photocleavage in modified fulleropyrazolines (Scheme 1) for photoinduced cycloreversion as a technologically useful delivery system for drugs,<sup>8</sup> dyes,<sup>9</sup> or targeting moieties.<sup>10</sup> A representative range of 1,3-disubstituted fulleropyrazolines was prepared from the appropriate aryl hydrazones, which in turn were readily accessed by condensation of the corresponding 4-substituted-benzaldehydes & phenylhydrazines (Table 1).<sup>5b</sup> Treatment of aryl hydrazones 1-4 with N-bromosuccinimide and triethylamine generated the nitric imine in  $situ^{4a, 11}$  which in the presence of C<sub>60</sub> fullerene gave the [3+2] fulleropyrazoline cycloadducts 6-9 in 15-51% yield. In all cases the pyrazoline was formed at the dipolarophilic 6,6-bond<sup>+,12</sup> Hydrazone 5 and fulleropyrazoline 10 were found to be very unstable in solution and could not be synthesised by this approach; instead basic milling<sup>13</sup> was used to generate 5 which was then halogenated with (diacetoxyiodo)benzene<sup>4d</sup> to give 10. The labile amino derivative 11 was accessed by reduction of 7 using tin.<sup>4c</sup> To introduce a putative carboxylate handle for further, future modification, the benzoic acid derivative 13 was also accessed by photolytic generation of the 1,3-dipole from tetrazole 12.<sup>14</sup>

We found that the modified fullerenes were soluble in a number

of solvents. 1,3-Dipolar cycloreversion of fulleropyrazolines would, in principle, release pristine fullerene. Initial investigations into the photocleavage reaction were conducted in degassed toluene with an excess of maleic anhydride. Based on previous reversible cleavage reactions<sup>6, 15</sup> we initially included this trapping alkene in the reaction mixture to prevent re-addition of the released 1,3-dipole with free C<sub>60</sub>. Photocleavage reactions were monitored by t.l.c., HPLC, NMR, and mass spectrometry. Where possible, the photocleavage products were isolated and fully characterised († see ESI). Various light sources of different  $\lambda_{max}$  were investigated. Medium-pressure mercury, handheld U.V. ( $\lambda = 365 \text{ nm}$ ), Rayonet ( $\lambda = 350 \text{ nm}$ ), filtered medium-pressure mercury ( $\lambda = 313$  nm),<sup>16</sup> and commercial halogen lamps all gave cycloreversion products with comparable efficiency (60-75% conversion after 1.5 hours). For ease of practicality all subsequent experiments used the Hg lamp.



14	25% 15
Reagents & conditions: a) aldehyde,	hydrazine, AcOH, EtOH, 78°C; <sup>5b</sup> b)
aldehyde, hydrazine, NaOH, silica, 4	0 min; <sup>13</sup> c) hydrazone, NBS, CHCl <sub>3</sub> , r.t.,
30 min; then C <sub>60</sub> , NEt <sub>3</sub> , toluene, micr	owave; $5a, 12$ d) hydrazone, C <sub>60</sub> ,
(diacetoxyiodo)benzene, toluene, 40°	C, 3.5 h; <sup>4d</sup> e) Sn/HCl(aq), CHCl <sub>3</sub> , reflux,
6 h, 6%; <sup>4c</sup> f) tetrazole, $C_{60}$ , toluene, H	Ig-lamp <sup>14</sup>

Fulleropyrazolines which possessed a para-methoxyphenyl substituents on N-1 (7, 10, 11, and 13) underwent photo-induced cycloreversion to produce  $C_{60}\xspace$  and  $C_{60}O$  whereas those carrying a para-nitro group (6 and 9) were unreactive under the same conditions.<sup>§</sup> We speculated that the strong positive mesomeric effect of the methoxy group<sup>17</sup> stabilises the development of the ensuing radical cation during the transition state of the 1,3-dipolar cycloreversion (Scheme 2). This was confirmed by fulleropyrazoline 8 that bears a less +M para-chloro substituent, which was cleaved but far more slowly than the methoxy analogue. The importance of the substituent at N-1 confirmed a key role of this atomic centre, perhaps through the lone pair of the sp<sup>3</sup> nitrogen, in charge transfer processes.4d

Table 2 Photocleavage of Fulleropyrazolines				
$R^{1} \longrightarrow N \longrightarrow R^{2} \longrightarrow O \longrightarrow $				
R <sup>1</sup>	$\mathbf{R}^2$	Fulleropyrazoline	Result	
NO <sub>2</sub>	$NO_2$	6	no reaction	
NO <sub>2</sub>	OMe	7	cleavage	
NO <sub>2</sub>	Cl	8	cleavage	
OMe	$NO_2$	9	no reaction	
OMe	OMe	10	cleavage	
NH <sub>2</sub>	OMe	11	cleavage	
СООН	OMe	13	cleavage	
CONH <sub>2</sub> -Gly-OBu <sup>t</sup>	OMe	15	cleavage	

Reagents & conditions: toluene or chloroform [1 mM], 20 eq. maleic anhydride, medium pressure mercury lamp, 4 min-12 h

Notably, photocleavage of fulleropyrazolines gave not just pristine  $C_{60}$  16 but a mixture of monooxidised  $C_{60}O$  17 with traces of  $C_{60}O_n$ in varying ratios. The proportions of C<sub>60</sub> and C<sub>60</sub>O obtained did not show any simple correlation with reaction conditions (solvent, trapping alkene, degassed or anhydrous solvent, irradiation wavelength)<sup>†</sup>. Irradiation of pristine  $C_{60}$  gave oxidation to  $C_{60}O$  as previously reported.<sup>18</sup> Oxidation of C<sub>60</sub> occurred only at a 6,6-bond, an observation which is in accordance with previous reports.<sup>19</sup> Surprisingly, the use of non-degassed solvent shortened the reaction time to around one quarter, but only marginally increased the ratio of C<sub>60</sub>O/C<sub>60</sub>. These results together suggested that C<sub>60</sub>O resulted from the in situ generation of singlet oxygen and subsequent reaction with the photo-released C<sub>60</sub> and not as a direct result of photo-cleavage.

To test the necessity and role of dipolarophile traps, various alkenes were investigated as additives in the other photocycloreversion of fulleropyrazoline 7 (Table S2). The rate of the photocleavage could be improved in the presence of 3,3dimethylallylbromide or *para*-chlorostyrene. Pleasingly the cycloreversion also proceeded efficiently without alkene (Table S2,

Entry 8), thus confirming the utility of this process even in the absence of a trapping partner.

The reaction rate for photocleavage showed clear solvent effects; it was enhanced in more polar solvents such as chloroform and benzonitrile and further enhanced in mixed aqueous/ organic solvent systems. This supports suggested formation of a chargeseparated diradical species (Scheme 2). Notably in the presence of base (pyridine as solvent, DABCO as additive) the photocleavage does not occur, presumably because the putative pyrazoline radical cation is quenched under these conditions.<sup>20</sup> In this mechanism, under photoirradiation fulleropyrazolines form a charge separated diradical species.<sup>2c, 3, 5b, 6, 21</sup> The positive charge that is formed can be stabilised particularly effectively by the *N*-1 methoxy group, which promotes bond cleavage to release free C<sub>60</sub>. The breakdown products are discussed further in the ESI.

Finally to test utility in future applications, the reaction was tested in various mixed aqueous solvent systems (see ESI); pleasingly, it proceeded efficiently in all and with rates enhanced by the presence of water. This could vitally enable the use of fulleropyrazolines under conditions suitable for biologically-relevant photo-controlled substrates when *e.g.* used in putative drug-delivery systems or for '1,3-dipole delivery' to olefin-containing biomolecules. The proof-of-principle for such a system was demonstrated by the construction of the amino-acid-carrying fulleropyrazoline **15** and subsequent successful 'photo-release' under aqueous conditions (see ESI).





In conclusion we have shown that the reactivity of fulleropyrazolines may be tuned to enable highly efficient photocleavage. The reaction proceeds efficiently in various solvent systems and without the need for additional trapping agents to remove 1,3-dipolar species generated *in situ*. An electron donating substituent on N-1 of the pyrazoline is sufficient to enable the reaction to proceed; various substituents are tolerated at C-3. This synthetic flexibility and the aqueous compatibility could enable the use of  $C_{60}$  fullerenes as carriers for prodrugs or other photocontrolled elements.

## Notes and references

We thank the EU FP7/2007-2013/ (REA grant  $n^{\circ}$  290023) for funding and Dr S. van Berkel for tetrazole **12**.

<sup>*a*</sup> University of Oxford, Chemistry Research Laboratory, 12 Mansfield Road, Oxford, OX1 3TA, UK. Email: <u>Ben.Davis@chem.ox.ac.uk</u>

† Electronic Supplementary Information (ESI) available. See DOI: 10.1039/c000000x/

§ This cleavage process was induced by exposure to light from a medium pressure mercury lamp and did not occur when 7, 10, 11, and 13 were subjected to heat. Cycloreversion of fulleropyrazolines was previously reported to occur only in the presence of  $Cu(OTf)_{2}$ .<sup>15</sup>

- ¶ handheld lamp at  $\lambda$  = 365 nm gave 22% conversion after 3.5 hours.
- (a) J. L. Delgado, N. Martín, P. de la Cruz and F. Langa, *Chem. Soc. Rev.*, 2011, 40, 5232; (b) F. Langa and F. Oswald, *Targets in Heterocyclic Systems*, 2004, 8, 120.
- 2 (a) D. M. Guldi and M. Prato, Acc. Chem. Res., 2000, 33, 695; (b) F. Langa, P. de la Cruz, E. Espildora, A. de la Hoz, J. L. Bourdelande, L. Sanchez and N. Martin, J. Org. Chem., 2001, 66, 5033; (c) A. Gouloumis, F. Oswald, M. E. El-Khouly, F. Langa, Y. Araki and O. Ito, Eur. J. Org. Chem., 2006, 2006, 2344; (d) J. J. Oviedo, M. E. El-Khouly, P. de la Cruz, L. Pérez, J. Garín, J. Orduna, Y. Araki, F. Langa and O. Ito, New J. Chem., 2006, 30, 93.
- 3 F. Langa and F. Oswald, C. R. Chim., 2006, 9, 1058.
- 4 (a) Y. Matsubara, H. Tada, S. Nagase and Z.-i. Yoshida, J. Org. Chem., 1995, 60, 5372; (b) F. Langa, P. de la Cruz, J.L. Delgado, E. Espíldora, M.J. Gómez-Escalonilla and A. de la Hoz, J. Mat. Chem., 2002, 12, 2130; (c) J. L. Delgado, P. de la Cruz, V. López-Arza and F. Langa, Tetrahedron Lett., 2004, 45, 1651; (d) B. Lu, J. Zhang, J. Li, J. Yao, M. Wang, Y. Zou, S. Zhu, Tetrahedron, 2012, 68, 8924.
- 5 (a) J. L. Delgado, P. de la Cruz, V. López-Arza, F. Langa, D. B. Kimball, M. M. Haley, Y. Araki and O. Ito, *J. Org. Chem.*, 2004, **69**, 2661; (b) J. L. Delgado, P. de la Cruz, V. López-Arza, F. Langa, Z. Gan, Y. Araki and O. Ito, *Bull. Chem. Soc. Jpn.*, 2005, **78**, 1500.
- 6 F. Hörmann, W. Donaubauer, F. Hampel and A. Hirsch, *Chem. Eur. J.*, 2012, **18**, 3329.
- 7 (a) X. Zhang, A. Fan and C. S. Foote, *J. Org. Chem.*, 1996, **61**, 5456;
  (b) G. Vassilikogiannakis and M. Orfanopoulos, *J. Am. Chem. Soc.*, 1997, **119**, 7394; (c) *Tetrahedron Lett.*, 1997, **38**, 4323; (d) *J. Org. Chem.*, 1999, **64**, 3392.
- 8(a) T. Da Ros M. Prato, *Chem. Commun.*, 1999, 663; (b) H.-C. Wu, X. Chang, L. Liu, F. Zhao and Y. Zhao, *J. Mat. Chem.*, 2010, **20**, 1036.
- 9 E. M. Sletten, C.R. Bertozzi, *Angew. Chem., Int. Ed.*, 2009, **48**, 6974.
- 10 S. Aoki, N. Matsuo, K. Hanaya, Y. Yamada and Y. Kageyama, *Bioorg. Med. Chem.*, 2009, 17, 3405.
- 11 R. Huisgen, J. Org. Chem., 1976, 41, 403.
- 12 P. de la Cruz, A. Diaz-Ortiz, J. J. Garcia, M. J. Gomez-Escalonilla, A. de la Hoz and F. Langa, *Tetrahedron Lett.*, 1999, **40**, 1587.
- 13 A. R. Hajipour, I. Mohammadpoor-Baltork and M. Bigdeli, J. Chem. Res., 1999, 570.
- 14 C. Rodriguez-Emmenegger, C. M. Preuss, B. Yameen, O. Pop-Georgievski, M. Bachmann, J. O. Mueller, M. Bruns, A. S. Goldmann, M. Bastmeyer and C. Barner-Kowollik, *Advanced Materials*, 2013, 25, 6123.
- 15 J. L. Delgado, F. Oswald, F. Cardinali, F. Langa and N. Martin, J. Org. Chem., 2008, 73, 3184.
- 16 M. Kasha, J. Opt. Soc. Am., 1948, 38, 929.
- 17 L. P. Hammett, J. Am. Chem. Soc., 1937, 59, 96.
- 18 K. M. Creegan, J. L. Robbins, W. K. Robbins, J. M. Millar, R. D. Sherwood, P. J. Tindall, D. M. Cox, A. B. Smith III, J. P. McCauley, D. R. Jones and R. T. Gallagher, J. Am. Chem. Soc., 1992, 114, 1103.
- 19 R. G. Bulgakov, Z. S. Kinzyabaeva, L. M. Khalilov and V. M. Yanybin, *Russ. J. Org. Chem.*, 2011, 46, 1776.
- 20 (a) Y.-P. Sun, B. Ma and G. E. Lawson, *Chem. Phys. Lett.*, 1995, 233, 57; (b) G. E. Lawson, A. Kitaygorodskiy and Y.-P. Sun, *J. Org. Chem.*, 1999, 64, 5913.
- 21 N. Armaroli, G. Accorsi, J.-P. Gisselbrecht, M. Gross, V. Krasnikov, D. Tsamouras, G. Hadziioannou, M. J. Gómez-Escalonilla, F. Langa, J.-F. Eckert and J.-F. Nierengarten, *J. Mat. Chem.*, 2002, **12**, 2077.

# **ChemComm Accepted Manuscript**