PCCP

Accepted Manuscript

This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](http://www.rsc.org/Publishing/Journals/guidelines/AuthorGuidelines/JournalPolicy/accepted_manuscripts.asp).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](http://www.rsc.org/help/termsconditions.asp) and the **Ethical guidelines** still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

www.rsc.org/pccp

ARTICLE TYPE

Cite this: DOI: 10.1039/c0xx00000x

www.rsc.org/xxxxxx

Simultaneous SERS and surface-enhanced fluorescence from dyeembedded metal core-shell nanoparticles

Yan Zhou and Peng Zhang *

Received (in XXX, XXX) Xth XXXXXXXXX 20XX, Accepted Xth XXXXXXXXX 20XX ⁵**DOI: 10.1039/b000000x**

We demonstrate a methodology to prepare Au-core/Ag-shell nanoparticles displaying both SERS and surface-enhanced fluorescence (SEF) activities simultaneously by embedding dye molecules between the core and the shell. Polyelectrolytes ¹⁰**are used to adjust the spacing and the dye position between the core and the shell. Layer-by-layer polyelectrolyte**

deposition can serve as an effective and flexible way to introduce various types of dye molecules into the nanostructures. Results from the spectral measurements shed ¹⁵**light to the intricacy between SERS and SEF.**

Surface-enhanced Raman scattering (SERS) has been widely studied and applied for over three decades.^[1] Raman scattering of molecules, despite being an inefficient process with very small cross-sections, can be greatly enhanced by orders of magnitude

- ²⁰when the molecules reside in the vicinity of some metal nanostructures, those of silver and gold in particular.^[2] It is generally agreed that SERS enhancement includes an electromagnetic field enhancement as the dominant contribution, and a chemical enhancement as the minor contribution.^[3] The
- ²⁵highly enhanced Raman signals have allowed SERS to become a useful tool in a number of studies. $[4]$ Due to the inherently narrow and fingerprint-specific spectra, SERS offers a greater degree of multiplexing capability, as compared to the more widely used fluorescence-based detection schemes.[5]
- Similar to the SERS effect, the fluorescence from a dye molecule may sometimes be increased when it is positioned near a metal nanostructure, a phenomenon known as surface-enhanced fluorescence (SEF).^[6] It is considered that SEF is attributed by:^[7] 1) enhanced absorption of light by the dye molecule due to the
- ³⁵increased electromagnetic field around the metal nanoparticles; and 2) non-radiative coupling from the excited state of the dye molecule to the localized surface plasmon of the metal nanostructures, which is subsequently radiated by the nanostructures. Unlike SERS, a metal surface may either quench
- ⁴⁰or enhance fluorescence of the nearby dye molecules. Early studies have indicated that a continuous transition from fluorescence quenching to fluorescence enhancement would occur as the metal-dye distance increases.[8] Yet it is still nonconclusive regarding the dye-metal distance where the metal
- ⁴⁵would start to enhance the fluorescence, ranging from 10 nm to $1 - 2$ nm.^[7a,9]

Reports of simultaneous SEF and SERS observations are few

and far between, partly because strong SERS usually occurs when the molecule and metal are very close, where fluorescence tends 50 to be quenched.^[10] Still, the combination of SEF and SERS onto single nanoparticles is highly desirable to improve the accuracy and sensitivity in detection applications, which has drawn increasing attention in recent years to study the two effects on the same nanostructures.^[11] In this report, we demonstrate a ⁵⁵methodology to prepare Au-core/Ag-shell nanoparticles displaying both SEF and SERS activities simultaneously by embedding dye molecules between the core and the shell. Polyelectrolytes deposited layer-by-layer onto the Au-core serve as the spacer to adjust the distance between the Au core and the ⁶⁰Ag shell. By conjugating some polyelectrolytes with the dye molecules before assembling them layer-by-layer onto the gold core, the relative position of the dye molecules between the core and the shell can be controlled. The method is easy to implement, and can readily include a variety of dye molecules. We believe it ⁶⁵is the first time such method is employed to realize SEF and SERS simultaneously on metal nanoparticles.

 The general strategy of synthesizing the dye-embedded metal core-shell nanostructures is to coat the core nanoparticles with polyelectrolytes of alternate charges layer-by-layer before a final 70 metal layer is deposited.^[12] The two polyelectrolytes used in this study are polyacrylic acid (PAA) and polyallylamine hydrochloride (PAH), and the dye used is toluidine blue O (TBO). Some PAA polyelectrolytes are conjugated with TBO via the commonly used EDC-NHS method. Note that only a small ⁷⁵fraction of the amino groups on PAA are labeled by the TBO molecules. AuNPs are first synthesized through thermal reduction of $HAuCl₄$ by citrate,^[13] and washed thoroughly and redispersed in DI water. These AuNPs display negative surface charges. A layer of positively charged PAH is then adsorbed onto the 80 AuNPs, followed by the adsorption of a negatively charged TBOconjugated PAA layer. Hereafter, the layer assembly PAH-(PAA-TBO) is denoted as "1". Deposition of multiple polyelectrolyte layers is followed by alternating PAH and PAA adsorptions. Polyelectrolytes of lower molecular weights are chosen so that 85 the polymer coils would not extend far beyond the Au core. Lastly, a silver shell is deposited on top of the polyelectrolytes to complete the TBO-embedded Au-core/Ag-shell nanostructure. The final nanoparticles are very stable and readily dispersible in water. All experimental details are provided in the supporting ⁹⁰material. By substituting PAA-TBO for the different PAA layer, we can control the distance between the TBO molecules and the

Au core, and that between TBO and the Ag shell. The thickness of the Ag shell can be adjusted by changing the amount of $AgNO₃$ solution used in the deposition of the Ag-shell. This scheme can be extended to embed other dye molecules, as long as ⁵they can be conjugated to one of the polyelectrolytes.

15 Figure 1. (Left) UV–vis spectra of AuNPs, Au@1, Au@1@Ag and TBO. (Right) TEM image of Au@1@Ag.

- Figure 1 shows the UV-vis spectra and TEM image of the TBO-embedded Au-core/Ag-shell nanoparticles. The extinction spectrum of Au@1 includes the typical plasmon band of AuNPs (-520 nm) and the absorption band of TBO (-620 nm) . The spectrum of Au@1@Ag contains a broad shoulder band at ~400
- ²⁵nm and a slightly blue-shifted AuNP plasmon band, both indicating the formation of the Ag-shell on the Au@1 nanoparticles. A distinct core-shell structure can be observed in the TEM image. The polyelectrolytes are too light to be seen in TEM, resulting in a small gap of 1~2 nm between the Au-core
- ³⁰and Ag-shell. Collectively, these results suggest that, when $AgNO₃$ is reduced in the presence of $Au@1$ nanoparticles under the reaction conditions, Ag tend to deposit onto the surface of Au@1 instead of forming pure Ag nanoparticles in the solution.
- Results from spectral measurements under 633 nm laser show ³⁵that all samples of the TBO-embedded Au-core/Ag-shell nanoparticles exhibit strong and reproducible SEF and SERS signals simultaneously. Figure 2A shows the combined fluorescence and Raman spectra of Au@1 and Au@1@Ag. We are able to determine the enhancement factors (EFs) for both SEF
- 40 and SERS of the dye molecules embedded in the Au-core/Agshell nanoparticles. Experimentally, this is done by removing the Au-core and Ag-shell of the TBO-embedded Au-core/Ag-shell nanoparticles with sodium cyanide (NaCN), and comparing the spectra of the sample before and after the treatment. Note that
- ⁴⁵NaCN itself has little effect on the intensity of TBO fluorescence. The spectrum of the NaCN-treated sample is also shown in Figure 2A. Using the integrated intensity from 640 nm to 780 nm, we calculate the SEF EFs of Au@1 and Au@1@Ag to be 6.0 and 6.9, respectively. The results show that the Au core plays an
- ⁵⁰important role on the fluorescence enhancement in both Au@1 and Au@1@Ag. In contrast, the Ag shell does not appear to enhance the fluorescence significantly. In some cases as shown in Figures 2B and 3C, the Ag shell actually quenches the fluorescence.
- ⁵⁵The EF estimation of SERS can be done similarly and as detailed in a previous study.^[12] Because of the strong fluorescence of TBO under the 633 nm excitation, we used a 785 nm laser instead to determine the SERS EFs. Detailed

information of SERS EF calculation is included in the supporting 60 material. The SERS EFs of Au@1 and Au@1@Ag are calculated to be 8.3×10^3 and 3.0×10^5 , respectively, under 785 nm excitation. While the Au-core is SERS-active, it is obvious that the presence of the Ag shell greatly enhances the SERS signals of the embedded TBO, as compared to the sample prior to the silver ⁶⁵deposition. It should be emphasized that all fluorescence and Raman measurements in this study were done with liquid samples. Thus, the EFs (both SEF and SERS) in this report refer to the average values for the nanoparticles in the solution, and not that of individual nanoparticles. Note that, when depositing the ⁷⁰polyelectrolytes (including the TBO-conjugated ones) onto the Au-core, the nanoparticles were thoroughly washed after each

layer. There is little possibility of free TBO molecules adsorbed to any Ag nanoparticles that might be formed during the Ag-shell coating process.

Figure 2. (A) SERS spectra of Au@1 and Au@1@Ag excited at 633 nm under the same conditions. (B) SERS spectra of Au@1@Ag prepared by 80 adding different amounts of 1 mM AgNO₃ in the deposition step. a) 0 μ L; b) 100 µL; c) 200 µL; d) 300 µL; e) 400 µL; f) 500 µL. Inset shows the SERS intensity of the 1620 cm⁻¹ peak (at \sim 705 nm) vs. the amount of AgNO₃ added.

85 We have previously shown that SERS enhancement of reporters embedded in the Au-core/Ag-shell nanoparticles is very sensitive to the Ag shell thickness.^[12] Here, we find that the same applies to the SEF enhancement. As shown in Figure 2B, the SEF and SERS enhancements are both affected by the Ag shell ₉₀ thickness. When Au@1 reacts with a small amount of AgNO₃, resulting in incomplete or very thin Ag shell, both the SEF and SERS signals of the embedded TBO are moderate. As the amount of AgNO₃ increases, both the SEF and SERS signal of TBO increases markedly, probably due to the formation of a complete ⁹⁵Ag shell. Eventually, the SERS signals decrease when there is a fairly large amount of $AgNO₃$ added, probably because the Ag shell becomes too thick.

 We have investigated the effect of the position of the TBO molecules between the core and the shell on their SEF and SERS ¹⁰⁰EFs, by placing the embedded TBO in different electrolyte layers. Figure 3 shows the spectra of a number of different nanoparticles. These Au-core/Ag-shell nanoparticles, $Au@1-(PAH-PAA)_2@Ag$, $Au@(PAH-PAA)-1-(PAH-PAA)@Ag$ and $Au@(PAH-PAA)_{2}$ 1@Ag, have TBO embedded in the second, fourth and sixth ¹⁰⁵layer, respectively. Their SEF and SERS spectra are similar in shape but with different intensities. The amount of TBO molecules in each nanoparticle is approximately the same, based on the similar fluorescence intensities of the NaCN-treated samples.

110

Figure 3. SERS spectra of three series of Au-core/Ag-shell nanoparticles, (A) Au@1-(PAH-PAA)2@Ag, (B) Au@(PAH-PAA)-1-(PAH-PAA)@Ag, and (C) Au@(PAH-PAA)₂-1@Ag, respectively, collected ¹⁵under the same conditions.

 Comparison among the three series of Au-core/Ag-shell nanoparticles leads to some interesting observations. In samples containing the Au core alone without the Ag shell, there is limited ²⁰SERS enhancement. The closer the TBO molecules to the Au core, the higher the SERS EF. In samples containing both Au core and Ag shell, the distance between the embedded TBO

- molecules and the Ag shell plays an important role in the SERS enhancement. In particular, the $Au@(PAH-PAA)_2-1@Ag$ ²⁵nanoparticles display much higher SERS signals than the other two Au-core/Ag-shell nanoparticles. This is intriguing in that,
- while the whole volume between the Au core and Ag shell is a "hot zone" for SERS, the layer closest to the Ag-shell appears to experience the largest enhancement, probably because Ag is a ³⁰better enhancer than Au.
- The scenario is more complex for the SEF enhancement. The Au cores alone consistently enhance the fluorescence in Au@1- (PAH-PAA)₂, Au@(PAH-PAA)-1-(PAH-PAA) and Au@(PAH- PAA ₂-1 nanoparticles. As the distance between TBO and Au
- ³⁵core increases, the SEF EF also increases. The SEF EFs of these Au-core nanoparticles are calculated to be 3.6, 6.4 and 25.6, respectively. The distances between the Au core and the TBO molecules in these nanoparticles are rather short, definitely less than 5 nm. The fact that they all display SEF could be due to: 1)
- ⁴⁰the polyelectrolyte layer(s) may reduce the potential quenching by the Au core; and 2) the localized electromagnetic field is very strong.^[14] Consider that SERS EFs are moderate for these Aucore nanoparticles, we suggest that the reduction in quenching by metal mostly account for the SEF in these nanoparticles. In
- 45 contrast, while the Ag shell in Au@1-(PAH-PAA)₂@Ag and Au@(PAH-PAA)-1-(PAH-PAA)@Ag further enhances the fluorescence, it slightly quenches the fluorescence in Au@(PAH-PAA)₂-1@Ag. The SEF EFs of these Au-core/Ag-shell nanoparticles are 9.1, 11.4 and 23.2, respectively. Note that
- 50 Au@(PAH-PAA)₂-1@Ag displays the highest SERS EF among all tested samples, indicating a very strong electromagnetic field. We thus attribute its slightly lower SEF EF to the quenching by

Ag shell as there is no extra layer between the TBO molecules and the Ag shell. These results suggest that a strong, localized ⁵⁵electromagnetic field is the main driving force behind both SERS and SEF. In addition, for SEF it is important to avoid or reduce the potential quenching by the metal via non-radiative loss. Both factors should be taken into consideration in order to achieve maximal SEF EFs.

60 In conclusion, we demonstrate a methodology to prepare Aucore/Ag-shell nanoparticles displaying both SEF and SERS activities simultaneously. Polyelectrolytes can be used to adjust the spacing and the dye position between the core and the shell. Layer-by-layer polyelectrolyte deposition can serve as an ⁶⁵effective and flexible way to introduce various types of dye molecules into the nanostructures. The SEF enhancement appears to be more sensitive than the SERS enhancement to the position of the dye molecules. The results shed light to the intricacy between SEF and SERS. Such nanostructures should be useful for ⁷⁰SEF and SERS based applications.

 Supports from the US National Science Foundation (CBET-0931677, CBET-1065633) are gratefully acknowledged.

Notes and references

- ⁷⁵*Department of Chemistry, University of Cincinnati, Cincinnati, OH 45221. Fax: 513-556-9239; Tel: 513-556-9222. E-mail:*
	- *peng.zhang@uc.edu*

† Electronic Supplementary Information (ESI) available: Experimental details. See DOI: 10.1039/b000000x/

- 80 1 (a) Fleischmann, M.; Hendra, P. J.; McQuillan, A. J. *Chem. Phys. Lett.* **1974**, *26*, 163; (b) Jeanmaire, D. L.; Van Duyne, R. P. *J. Electroanal. Chem.* **1977**, *84*, 1; (c) Moskovits, M. *J. Raman Spectrosc.* **2005**, *36*, 485; (d) Zhang, P.; Guo, Y. *J. Am. Chem. Soc.* ⁸⁵**2009**, *131*, 3808.
- 2 (a) Chan, S.; Kwon, S.; Koo, T. W.; Lee, L. P.; Berlin, A. A. *Adv. Mater.* **2003**, *15*, 1595; (b) Doering, W. E.; Nie, S. *Anal. Chem.* **2003**, *75*, 6171.
- 3 Schatz, G. C.; Van Duyne, R. P. In *Handbook of Vibrational* Spectroscopy; Chalmers, J. M., Griffiths, P. R., Eds.; Wiley & Sons: **2002**.
- 4 (a) Aravind, P. K.; Metiu, H. *Surf. Sci.* **1983**, *124*, 506; (b) Liver, N.; Nitzan, A.; Gersten, J. I. *Chem. Phys. Lett.* **1984**, *111*, 449; (c) Kneipp, K.; Wang, Y.; Kneipp, H.; Perelman, L. T.; Itzkan, I.;
- ⁹⁵Dasari, R. R.; Feld, M. S. *Phys. Re*v*. Lett.* **1997**, *78*, 1667; (d) Jiang, J.; Bosnick, K.; Maillard, M.; Brus, L. *J. Phys. Chem. B.* **2003**, *107*, 9964; (e) Aroca, R. F.; Goulet, P. J.; dos Santos, D. S. Jr.; Alvarez-Puebla, R. A.; Oliveira, O. N. Jr. *Anal. Chem.*, **2005**, *77*, 378; (f) Gandra, N.; Singamaneni. S. *Adv. Mater.* **2012**, *25*, 1022.
- ¹⁰⁰5 (a) Pinkhasova, P.; Puccio, B.; Chou, T.; Sukhishvili, S.; Du, H. *Chem. Commun.*, **2012**, *48*, 9750; (b) Li, W.; Guo, Y.; Zhang, P. *J. Phys. Chem. C.* **2010**, *114,* 7263.
- 6 (a) Aslan, K.; Lakowicz, J. R.; Geddes, C. D. *Anal. Bioanal. Chem.* **2005**, *382*, 926; (b) Lakowicz, J. R.; Ray, K.; Chowdhury, M.; ¹⁰⁵Szmacinski, H.; Fu, Y.; Zhang, J.; Nowaczyk, K. *Analyst.* **2008**, *133*, 1308; (c) Hong, G. S.; Tabakman, S. M.; Welsher, K.; Wang, H. L.; Wang, X. R.; Dai, H. J. *J. Am. Chem. Soc.* **2010**, *132*, 1592.
- 7 (a) Lakowicz, J. R. *Anal. Biochem.* **2005**, *337*, 171; (b) Bardhan, R.; Grady, N. K.; Cole, J. R.; Joshi, A.; Halas, N. J*. ACS Nano.* **2009**, *3,* 110 744; (c) Wang, Y. K.; Yang, T. Y.; Tuominen, M. T.; Achermann, M. *Phys. Rev. Lett.* **2009**, *102*, 163001; (d) Muskens, O. L.; Giannini, V.; Sanchez-Gil, J. A.; Rivas, J. G. *Nano Lett*. **2007**, *7,* 2871.
- 8 (a) Gersten, J.; Nitzan, A. *J. Chem. Phys*. **1981**, *75*, 1139; (b) Wokaun, A.; Lutz, H. P.; King, A. P.; Wild, U. P.; Ernst, R. R. *J.* ¹¹⁵*Chem. Phys*. **1983**, *79*, 509.
-
- 9 (a) Anger, P.; Bharadwaj, P.; Novotny, L. *Phys. Rev. Lett*. **2006**, *96*, 113002; (b) Guerrero, A. R. and Aroca, R. F. *Angew. Chem. Int. Ed*. **2011**, *50*, 665; (c) Gillz, R. and Le Ru, E. C. *Phys. Chem. Chem. Phys*. **2011**, *13*, 16366.
- ⁵10 Li, J. F.; Huang, Y. F.; Ding, Y.; Yang, Z. L.; Li, S. B.; Zhou, X. S.; Fan, F. R.; Zhang, W.; Zhou, Z. Y.; Wu, D. Y.; Ren, B.; Wang, Z. L.; Tian, Z. Q. *Nature* **2010**, *464*, 392.
- 11 (a) Kim, K; Lee, Y. M.; Lee, H. B.; Shin, K. S. *ACS Appl. Mater. Interfaces*, **2009**, *1*, 2174; (b) Gabudean, A. M.; Biro, D; Astilean, S.
- ¹⁰*Nanotechnology.* **2011**, *23***,** 485706; (c) Kim, K; Lee, J. W.; Shin, K. S. *ACS Appl. Mater. Interfaces*. **2012**, *4*, 5498; (d) Gabudean, A. M.; Focsan, M; Astilean, S. *J. Phys. Chem. C*. **2012**, *116*, 12240; (e) Liu, Y; Wu, P. *ACS Appl. Mater. Interfaces.* **2013**, *5*, 5832.
- 12 Zhou, Y; Lee, C; Zhang, J; Zhang, P. *J. Mater. Chem. C*, **2013**, *1*, 15 3695.
	- 13 Frens, G. *Nat. Phys. Sci.* **1973**, *241*, 20.
	- 14 (a) Le Ru, E. C.; Etchegoin, P. G.; Grand, J.; Felidj, N.; Aubard J.; Levi, G. *J. Phys. Chem. C*. **2007**, *111*, 16076; (b) Klimov, V. V. and Guzatov, D. V. *Quantum Electron*. **2007**, *37*, 209.