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1 **A facile route for the synthesis of nanostructured oxides and hydroxides of**
2 **cobalt using laser ablation synthesis in solution (LASIS)**

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8 **Abstract**

9 We used pulsed laser ablation synthesis in solution (LASIS) to produce cobalt
10 oxide/hydroxide nanoparticles (NPs) with tailored size, morphology and structure at
11 different laser fluences, wavelengths (532 and 1064 nm) and solvent conditions.
12 Specifically, LASIS on bulk Co in the presence and absence of O₂ in aqueous solution
13 initially produces cobalt monoxide (CoO) and single crystal β-cobalt hydroxide
14 (β-Co(OH)₂) nanoparticles (NPs) respectively, that finally transform into cobaltous
15 oxide (Co₃O₄) through oxidation and/or thermal decomposition. Transmission
16 electron microscopy (TEM) and scanning mobility particle sizer (SMPS)
17 measurements on the final products reveal bimodal size distributions of agglomerated
18 NPs (for 1064 and 532 nm laser) at low laser fluences, where the ablation mechanism
19 is dominated by vaporization and normal boiling. In contrast, the more efficient and
20 predominant explosive boiling at higher laser fluences produces mono-modal size
21 distributions of spherical shaped primary NPs in agglomerates. Furthermore, higher
22 absorbance of 532 nm laser by solution-phase colloidal NPs re-ablates them into
23 spherical shapes of larger sizes (~13-22 nm) as compared to the ones from 1064 nm
24 LASIS (~10-14 nm), while rendering 532 nm LASIS less productive than 1064 nm
25 LASIS over extended time. Finally, Co₃O₄ nanorods with enhanced localized surface
26 plasmon resonance (LSPR) are synthesized at high pH (pH≥13) and low laser fluence
27 (<5 mJ/cm²) conditions. Such nanostructured materials are promising candidates as
28 photocatalysts or additives in nanocomposite materials with enhanced light absorption
29 properties.

30

31 **Introduction:**

32 In recent years, laser ablation synthesis in solution (LASIS) has attracted
33 tremendous research interests¹⁻⁵ among the diverse nanoparticle (NP) synthesis routes.
34 The specific interest in LASIS arises due to its distinctive advantages of being a
35 simple, elegant and yet chemical-free technique that requires minimal manual
36 operation and low start-up cost.^{2,6-10} In the past, LASIS has been used to synthesize
37 various types of NPs comprising of heavy metals,^{5,8} transition metals,^{3,11}

1 inter-metallic nano-colloids.^{12,13} Additionally, a few morphologically complex
2 nanostructures such as core-shell,^{14,15} and hollow¹⁶ NPs have also been synthesized
3 using LASIS. Such synthesis routes become critical for the production of
4 metal/intermetallic NPs with controlled size, shape, structure, and surface chemistry
5 that finds wide applications in catalytic fields.^{3,17-19} Although highly suited for its
6 ability to produce controlled nanostructures in the absence of any external chemical
7 reagents and/or, surfactants/ligands, LASIS bears the disadvantages of relatively low
8 productivity and poor control on shape/size.^{2,10}

9 Added to this, the complex physics of laser-matter interactions involved during
10 the nucleation of NPs via LASIS is still not clearly understood.^{10,20} Unlike the
11 relatively straight forward NP formation mechanism during laser ablation in gas
12 phase,²¹ the pulsed laser beam during LASIS creates a confined plasma plume with
13 extremely high temperature and pressure on the metal target surface that results in
14 thermal vaporization of the metal target (explosive boiling).²⁰ Previous works have
15 reported that the seeding NPs start to form inside an oscillating cavitation bubble
16 resulting from the expanding plasma plume as well as undergo collisional quenching
17 at the bubble-liquid interface when the bubbles collapse.²² Overall, the entire LASIS
18 process can be divided into six stages within the first few milliseconds of the onset of
19 ablation, i.e., laser pulse penetration in the liquid, absorption of laser pulse by target,
20 ablated material detachment from target, plasma plume evolution and quenching,
21 expansion and collapse of cavitation bubble, NP growth and aggregation.² Among all
22 these stages, the detachment of ablated material plays a critical role in initiating the
23 nucleation process, which is dominated by mainly three types of ablation mechanisms,
24 vaporization, normal boiling and explosive boiling.²⁰ The onset of these mechanisms
25 are controlled by the target surface temperature, which is dictated by laser fluence
26 (laser energy per unit area) and pulse duration time. Specifically, vaporization refers
27 to the emission of particles by sublimation or evaporation due to electron-phonon
28 coupling, and theoretically, can occur at any laser fluence. Normal boiling, with low
29 laser energy requirement usually induces heterogeneous nucleation and is strongly
30 affected by the bubble diffusion. Finally, explosive boiling initiates the most efficient

1 ablation mechanism at superheating conditions that results in homogeneous
2 nucleation at a much higher energy threshold value than normal boiling.^{2,20}

3 The structures, shapes and properties of NPs synthesized by LASIS are dictated
4 by the laser parameters and environmental conditions of the experiment. The former
5 includes laser fluence,^{5,20} wavelength,²³ pulse duration time,²⁴ repetition rate, spot
6 area, etc. Both laser fluence and pulse duration time play the crucial role in
7 determining how much heat can be absorbed by the target during the ablation process,
8 thereby controlling the target surface temperature and the corresponding ablation
9 mechanism.⁵ The choice of laser wavelength mainly affects the laser energy
10 penetration through the liquid column and the re-absorption by solution-phase
11 colloidal NPs.²³ This, in turn, controls the extent of “re-ablation” of the
12 already-formed NPs in solution, thereby modifying the final sizes and shapes of
13 synthesized NPs. In this regard, shorter laser wavelengths usually bear larger impact
14 on the re-structuring of solution phase NPs due to higher absorption by the particles.²⁰
15 On the other hand, the environmental parameters such as solution-phase oxygen
16 content and pH value also influence the composition as well as the shape and size of
17 the synthesized NPs by controlling the solution-phase ion concentration that dictates
18 the nanocrystal growth directions.^{25,26} To this end, previous studies by P. Blandin et al.
19 indicated the ability to control size and surface oxidation of crystalline Si-based NPs
20 by tuning the amount of dissolved oxygen in water during LASIS.²⁷ On a similar note,
21 previous work by S. C. Singh et al. have also shown that injecting solution phase
22 oxygen during LASIS on Zn reduced the particle size and Zn(OH)₂/ZnO ratio but
23 increased the crystallinity of products.²⁸

24 Specifically, cobalt oxide (CoO_x) NPs in two oxidation states, namely cobalt (II)
25 monoxide (CoO) and cobaltous (II,III) oxide (Co₃O₄), have attracted significant
26 interest in the research community as one of the most promising earth-abundant
27 transition metal oxides with catalytic activities towards water oxidation
28 (photocatalysis), carbon monoxide (CO) oxidation, toluene oxidation, hydrogen
29 evolution, etc.^{3,19,29–33} Our specific interest in CoO_x NPs stems from their application
30 as photocatalysts for solar water-splitting in an effort to mimic the photosynthetic

1 systems.^{3,19,34,35} On the other hand, β -cobalt hydroxide (β -Co(OH)₂), an intermediate
2 en route to Co₃O₄ formation, has high energy density and bears great potential for its
3 use as electronic capacitors and electrode materials in Li-Ion batteries.^{36–38} Previous
4 studies have reported chemical routes for the synthesis of CoO_x NPs^{29,32,39–42} and
5 β -Co(OH)₂ nanocrystals^{43,44} of various sizes and shapes. However, such synthesis
6 routes inevitably leave unwanted chemicals including surfactants, leftover reducing
7 agents and different kinds of by-products on the catalyst surface that dramatically
8 retard their performances.^{45,46} In recent years, laser ablation techniques have been
9 successfully utilized to synthesize Co₃O₄ NPs that indicate higher catalytic activities
10 for water oxidation, as compared to the ones synthesized via wet chemical
11 techniques.³ Such enhancement in catalytic activities is mainly attributed to the green
12 synthesis route of LASIS that prevents any surface contamination from excess
13 chemicals. However, few studies have explored in details the role of experimental and
14 laser parameters in fine-tuning the laser ablation mechanism to enable systematic
15 tailoring of the shape, structure and chemical composition of CoO_x/ β -Co(OH)₂ NPs
16 synthesized via LASIS.

17 The present study uses an in-house designed LASIS setup to investigate the
18 capabilities of LASIS to synthesize CoO_x/ β -Co(OH)₂ nanostructures with tailored size,
19 morphology and composition by tuning various solution-phase experimental as well
20 as laser parameters. Specifically, the role of laser wavelengths (1064 and 532 nm),
21 laser fluences, aging time, ablation time, oxygen content and pH conditions in
22 solution are systematically studied to provide a detailed mechanistic picture behind
23 the controlled synthesis of various nanostructures using LASIS.

24

25 **Experimental:**

26 **A. LASIS setup**

27 The in-house built LASIS setup, as shown by the schematic in Fig. 1, houses a
28 Q-switched Nd:YAG pulsed laser (Manufacturer: Brilliant Inc.; Model: Brilliant Easy)
29 equipped with 1st and 2nd harmonic generators that provide 532 nm and 1064 nm

1 wavelengths of 165 and 330 nm/full pulse energy, respectively at 10 Hz repetition rate
2 and 4 ns pulse duration. The laser beam is focused at the surface of a metal target
3 immersed in a desired liquid medium inside a sealed stainless steel reactor cell. In the
4 current design, the laser beam is focused with a convex lens (focal length: 75 mm),
5 and the focused beam passes through a high damage threshold tested laser window on
6 the cell. The cell is provided with four side-viewing windows to accurately view and
7 adjust the laser focal point. A gas inlet and outlet on the cell allows for suitable
8 purging with inert gases. The metal target platform, mounted on a stepper motor,
9 rotates continuously to enable uniform ablation from the surface. The reactor cell is
10 also provided with heating rods along with a thermocouple for accurate monitoring of
11 solution temperature and a sonic dismembrator for in situ de-agglomeration of the
12 synthesized NPs. Additionally, a programmable injection unit is attached to the cell
13 that allows for simultaneous introduction of metal salt precursor solutions for
14 chemical reduction methods.

15 **B. LASIS on Co**

16 The Co pellets bought from Kurt J. Lesker (99.95% purity, 1/4" diameter×1/4"
17 height) were used as targets, which were covered by 35 ml of de-ionized water
18 (DI-water; Purity = 99.9%; Conductivity = 18.2 MΩ/cm at 25°C) during laser ablation.
19 The distance between the target and water surface was measured to be 5 cm, and the
20 corrected focal length was estimated to be 85 mm upon taking into account the
21 refraction through water. Based on these measurements, the lens to surface distance
22 (LTSD) was accurately determined for both laser wavelengths. For comparison of Co
23 NPs generated via LASIS at different laser fluences, all samples were ablated for 15
24 min at room temperature. All experiments were conducted with simultaneous
25 ultrasonication. The Co target was rotated by the stepper motor at a speed of 0.3 rpm
26 during ablation. The aging test was conducted in standard ambient temperature and
27 pressure, i.e., 298.15 K and 101 kPa. For O₂ free LASIS, N₂ was purged in water for
28 30 min before experiments and purged above water surface during experiments. The
29 oxygen concentration in solution measured before and after ablation process is 0.32

1 mg/L and 0.35 mg/L respectively as compared to the corresponding value of ~5 mg/L
2 for dissolved O₂ at atmospheric pressure in unpurged solution. The small increase of
3 O₂ concentration is attributed to the minor contamination during the measurement
4 using the oxygen meter. The resulting oxygen to NP weight ratio is calculated to be
5 around 1:100. For re-ablation experiments, NP colloids were transferred to a 30 ml
6 vial, and subsequently, ablated with the laser beam being focused at the center of the
7 solution. For NP productivity tests, ablation was carried out for different periods of
8 time using the unfocused laser beam (0.3 J/cm² for both 1064 nm and 532 nm laser).
9 For different pH studies, HCl (Cole-Parmer, 36.5-38%) and KOH (Fisher Scientific,
10 >85%) were used as the acid and base reagents for the desired acidic and alkaline
11 conditions. The obtained colloidal solution was centrifuged at 5000 rpm for 15 min
12 and then washed/decanted with DI-water for two times.

13 C. Characterization

14 A Zeiss Libra 200MC monochromated transmission electron microscope (TEM)
15 was used with an accelerating voltage of 200 kV for regular TEM, selected area
16 diffraction (SAED) and high resolution image (HRTEM) analysis.

17 The NP size distributions in aerosol form were collected via scanning mobility
18 particle sizerTM (SMPSTM) spectrometer made by TSI Inc. (Model: 3936). The
19 aerosolized NPs were generated using an atomizer operating at 30 psi (g) gas pressure.
20 The sample flow rate and sheath flow rate were set to be 1.5 lpm and 15 lpm
21 respectively. The accuracy of SMPS is reported to be 0.05 nm.

22 Raman spectra were measured via a Renishaw M1000 micro-Raman
23 spectrometer with 532 nm, 900 μw laser excitation and 120 s acquiring time. Samples
24 for Raman spectra were made by drop casting NP solution on an aluminum foil. The
25 measured Raman shifts were calibrated against a cyclohexane standard.

26 Inductively coupled plasma optical emission spectroscopy (ICP-OES) (Perkin
27 Elmer, Optima 4300 DV) was used to measure the concentration of NPs. Standard
28 cobalt dichloride solution (≥99%) was used for calibration. UV-Vis absorption
29 measurement (Biotek, Synergy H1) was scanned over the wavelength range of 300nm

1 to 900nm at a scan rate of 2 nm/step.

2

3 **Results and discussion:**

4 **A. Chemical pathway for the products during LASIS on Co**

5 The products from LASIS (532 nm laser) on Co with different aging time and
6 dissolved oxygen amount were first investigated. TEM images and corresponding
7 selected area electron diffraction (SAED) patterns for fresh and aged NPs produced
8 via LASIS on cobalt (shown in Fig. 2) indicate large networks of heavily coalesced
9 nanostructures for freshly synthesized products, as seen from Fig. 2 (a).
10 Corresponding SAED pattern in Fig. 2 (c) exhibits four diffraction rings with
11 diameters of 8.1, 9.39, 13.3, and 15.4 (1/nm) that agrees well with the (111), (200),
12 (220) and (311) lattice planes of cobalt monoxide (CoO) (JCPDS #43-1004), as
13 indicated in Table 1 comparing the transformed d-spacings with the respective
14 standard values. However, after three days of ageing, the aforementioned
15 nanostructures transform into dendritic agglomerates of spherical NPs (Fig. 2 (b)).
16 The respective SAED patterns indicate the diffraction rings for CoO to evolve into
17 those for cobaltous oxide (Co₃O₄) (JCPDS #43-1003), as demonstrated in Fig. 2 (d)
18 and Table 1. The above phenomena indicate that LASIS on Co initially produces CoO
19 that, over a period of time, further oxidizes to Co₃O₄ in the colloidal solution. These
20 results reveal the chemical pathway for the formation of Co₃O₄ via intermediate
21 oxidization states during LASIS, as expected under extreme temperature conditions
22 (>1000 °C) and is contrary to the commonly reported Co₃O₄ as the direct product of
23 LASIS on Co.³ The findings are further confirmed by the respective d-spacings from
24 HRTEM images in Figs. 3 (a) & (b) as well as Raman spectra in Figs. 3 (c) & (d),
25 where the two main peaks for Raman shifts at ~693 cm⁻¹ and ~484 cm⁻¹ correspond to
26 the Raman active modes (E_g and A_{1g}) for Co(II, III)O_x. Specifically, the peak at ~523
27 cm⁻¹ is assigned to Raman active mode of A_{2g}, a distinctive peak for Co₃O₄,⁴⁷ as also
28 supported by standard Raman spectra for CoO and Co₃O₄ (Fig. S3 in supplementary
29 information). The obvious A_{2g} peak at 524 cm⁻¹ in Fig. 3 (d) indicates the strong

1 presence of Co_3O_4 in the sample aged for three days as compared to a weak presence
2 of the peak in Fig. 3 (c). The two small peaks for typical Co_3O_4 sample missing at 197
3 nm and 624 nm for the aged sample in Fig. 3 (d) is possibly due to the low sample
4 deposition amount. Bearing in mind that the standard Raman spectra is for bulk Co_3O_4
5 samples, the signal intensities for the 197 nm and 624 nm spectral lines most probably
6 have been too small to be detected above the background noise in the spectral profile.
7 For all those characterizations, the presence of a small portion of Co_3O_4 NPs for the
8 fresh sample can possibly be a result of some oxidation during sample deposition.

9 It needs to be highlighted here that the aforementioned syntheses were carried
10 out in aqueous solutions containing O_2 . In order to investigate the role of dissolved O_2
11 on CoO_x NP formation during LASIS, control experiments were carried out in O_2 free
12 DI-water by purging N_2 throughout the experiment. The results, as seen from dark
13 field images of scanning mode TEM (STEM) in Fig. 4 (a), exhibit the formation of
14 much larger hexagonal sheets (50-400 nm in diameter) that are confirmed to be single
15 crystal $\beta\text{-Co(OH)}_2$ (JCPDS #30-0443) NPs from SAED and HRTEM analyses, as
16 indicated in Fig. 4 (b) and (c). Again, the presence of a small amount of Co_3O_4 NPs in
17 these images is suspected to arise due to oxidation during TEM sample preparations.
18 The formation of this metastable $\beta\text{-Co(OH)}_2$ intermediate is primarily ascribed to the
19 lack of O_2 in water that promotes the reaction pathway between ablated Co^{2+} ions and
20 OH^- from water. However, after aging for three days, all the metastable $\beta\text{-Co(OH)}_2$
21 single crystals get fully oxidized into stable Co_3O_4 NPs in the colloidal solution, as
22 indicated by the SAED patterns in Fig. 4 (d).

23 The chemical pathways, depicted schematically in Fig. 5, for the products
24 evolution during LASIS on Co is believed to initiate the formation of two metastable
25 reaction intermediates, i.e., CoO and $\beta\text{-Co(OH)}_2$, in the presence and absence of
26 dissolved O_2 in the aqueous solution respectively. The formation of the intermediate
27 Co^{2+} oxidation state can be ascribed to the ultrafast quenching rate due to the extreme
28 temperature differences between plasma plume and liquid environment that initiates
29 the partially oxidized and metastable crystallization states. Consequently, both
30 intermediates transform to the final product of Co_3O_4 NPs through direct oxidation

1 and/or thermal decomposition during the ageing process in aqueous solutions even at
2 standard ambient conditions. The chemical pathways discussed above also apply to
3 the 1064 nm laser, as shown in the supporting information (Fig. S8).

4 **B. Effect of laser parameters during LASIS on Co**

5 A detailed investigation on the evolution of final products during LASIS on Co is
6 presented for two laser wavelengths (1064 nm and 532 nm) and different laser
7 fluences through TEM images and PSD data in Figs. 6 & 7. The results presented here
8 are for the final products of Co_3O_4 NPs after the ageing process. It is observed that
9 ablation with 1064 nm laser at low fluence (0.9 J/cm^2) produces sparsely distributed
10 NPs that have smaller sizes but irregular shapes. Corresponding particle size
11 distribution (PSD) data from SMPS measurements on the aerosolized colloidal
12 suspensions of NPs indicate a bimodal distribution with the dominant peak at ~ 8
13 nm and a secondary minor peak at ~ 30 nm, as seen from Fig. 6 (d). The observed size and
14 morphology characteristics are indicative of vaporization and normal boiling as the
15 dominant ablation mechanism, as explained later. In contrast, higher fluence (41 and
16 107 J/cm^2) ablation generates large concentration of agglomerates (Figs. 6 (b) & (c))
17 that comprises of spherical NPs with mono-modal size distribution (median size ~ 12
18 and 14 nm respectively). The respective PSDs in Figs. 6 (e) & (f) corroborate these
19 observations by indicating the bimodal peaks being replaced by mono-modal peaks.

20 LASIS with 532 nm laser exhibit similar trends in NP morphology as observed
21 for 1064 nm laser (TEM images in Figs. 7 (a)–(c)) except that the low laser fluence
22 ablation generates dendritic NPs, as shown by Fig. 7 (a). The formation of dendritic
23 NPs can probably be explained by the higher absorbance of 532 nm laser as compared
24 to that of 1064 nm laser which promote extensive re-ablation and restructuring
25 leading to neck formations among the already formed colloidal CoO_x NPs in the
26 solution. The higher absorbance level of 532 nm is also indicated by UV-Vis
27 spectroscopy data in the supporting documents and will be discussed later.
28 Furthermore, the corresponding PSD data for 532 nm indicates a familiar shift, as
29 observed in earlier 1064 nm case studies, from bimodal to mono-modal distribution

1 upon transitioning from low (1 J/cm^2) to high (43 and 114 J/cm^2) laser fluence cases
2 respectively, as seen from Figs. 7 (d)-(f). The only observable difference in this case is
3 that the NPs produced by 532 nm LASIS exhibits a higher average particle size
4 ($\sim 13\text{--}22 \text{ nm}$) than the ones synthesized with 1064 nm laser ($\sim 10\text{--}14 \text{ nm}$). A possible
5 explanation for the larger average particle sizes in this case is the coalescence and
6 restructuring resulting from the re-ablation of already formed colloidal NPs by the
7 highly absorptive 532 nm laser.

8 The aforementioned results are believed to be mainly consequences of the
9 different ablation mechanisms. The commonly observed shifts in the PSDs from
10 bi-modal to mono-modal peaks for low to high laser fluences, as reported above for
11 532 and 1064 nm LASIS cases, is possibly related to the onset of the more efficient
12 explosive boiling as the ablation mechanism at higher laser energy. To be more
13 specific, it is observed that during low fluence ablation, small bubbles form on the
14 surface of the Co target, but the ablation process does not affect the bulk of the water
15 body. This indicates vaporization and normal boiling as the two dominant ablation
16 mechanisms under low laser fluence, wherein the nucleation of NPs are partly
17 initiated by electron-phonon coupling within the plasma plume. This process largely
18 produces charged NPs that do not undergo much aggregation and growth due to
19 electrostatic repulsion.² At higher laser fluence, the dominant and more efficient
20 explosive boiling generate much higher thermal energy in the plasma plume, as also
21 physically observed by the drastic splashing of water around the laser beam
22 accompanied by audible percussions. We believe that such high energy processes
23 promote aggregation and coalescence that result in the mono-modal distribution of the
24 primary NPs, as indicated earlier in Figs. 6 & 7.

25 Furthermore, calculated NP productivity per unit ablation area from ICP-OES
26 measurement on NPs synthesized from LASIS using 1064 nm and 532 nm lasers, are
27 compared in Fig. 8 (a). The NP generation increases with laser fluence up to a critical
28 value of $\sim 20\text{--}40 \text{ J/cm}^2$, beyond which the productivity of NPs starts to decrease for
29 both 1064 nm and 532 nm lasers. The initial increase at low laser fluence is due to the
30 transfer in ablation mechanism from vaporization and normal boiling to the more

1 effective explosive boiling. However, any further increase of the laser fluence induces
2 secondary plasma at the liquid air interface which self-absorbs much of the laser
3 power, thereby weakening the amount of energy that finally reaches the metal target.⁵
4 In order to confirm this phenomenon, focused laser energy reaching the target after
5 penetrating through an equivalent column of water was measured using a power meter.
6 The results, as seen from Fig. 8 (b), reveal that the laser energy reaching the target
7 surface indeed starts diminishing beyond a laser fluence of $\sim 30 \text{ J/cm}^2$, which
8 corresponds to the laser fluence of peak productivity in Fig. 8 (a). However, the
9 percentage of penetrated energy over the original laser energy starts decreasing at
10 even lower fluence ($\sim 15\text{-}20 \text{ J/cm}^2$). These measurements clearly support the
11 explanation for the onset of self-absorption of laser energy by secondary plasma at
12 liquid-air interface beyond the laser fluence of $\sim 15\text{-}20 \text{ J/cm}^2$.

13 In relation to productivity, Fig. 8 (a) also indicates that at any laser fluence, 532
14 nm laser promotes a relatively higher ablation efficiency than 1064 nm laser. This is
15 in agreement with previous studies indicating that LASIS with smaller wavelength
16 lasers have a greater ability to ablate metal sub-surfaces, thereby providing higher
17 ablation efficiency.² Here, it needs to be noted that the results are only for the first 15
18 minutes of ablation. For a detailed investigation on the effects of laser wavelengths on
19 the ablation efficiency, NP concentrations are measured over longer periods of
20 ablation time. The results, as shown in Fig. 8 (c), clearly demonstrate that the 532 nm
21 laser produces higher NP concentration and larger ablation efficiency than 1064 nm
22 laser during the first hour, which is in accordance with Fig. 8 (a). However, while
23 LASIS with 1064 nm laser exhibits a continuous linear increase in NP concentration
24 in time, LASIS with 532 nm laser indicates an increase in NP concentration up till an
25 upper limiting value of $\sim 80 \text{ mg/l}$, beyond which it plateaus off. Hence, continued
26 ablation for two hours led to a distinctly higher concentration of NPs produced by
27 1064 nm laser as compared to those produced by the 532 nm laser. These results also
28 corroborate our earlier theory that the high absorption of 532 nm laser by the
29 suspended Co_3O_4 NPs in solution can significantly retard the ablation process.

30 A detailed investigation of the physics behind the aforementioned observations is

1 presented in Fig. 8 (d), indicating a comparison for the percentage of unfocused laser
2 energy that penetrates through the colloidal suspension of CoO_x NPs in solution as
3 well as an equivalent amount of DI-water for both 1064 nm and 532 nm lasers. The
4 results indicate that for 1064 nm laser, ~65% of the original beam energy penetrates
5 through the DI-water, as compared to ~85% of the beam energy that penetrates for
6 532 nm laser. The greater energy penetration (%) for 532 nm laser in water is mainly
7 due to its higher photon energy, while a large amount of the 1064 nm laser energy is
8 lost in thermal heating of the water. In contrast, for laser beams passing through
9 colloidal solutions, the laser energy penetration (%) for 1064 nm laser barely changes
10 as compared to only 30-40% of the 532 nm laser energy that reaches the target
11 through the colloidal solution. These observations further demonstrate the higher
12 absorbance of 532 nm laser by the colloidal NPs than that for 1064 nm laser. Such
13 phenomenon can be attributed to the higher photon energy in 532 nm laser that is able
14 to overcome the band gap barrier for electronic excitations in the colloidal NPs
15 thereby accounting for the absorbance energy loss.

16 The mechanistic picture behind the effect of the re-ablation process due to the
17 enhanced absorbance of 532 nm laser by colloidal NP suspensions is revealed through
18 a series of re-ablation experiments conducted using 1064 nm and 532 nm lasers on
19 identical NP solution samples. The results as shown by TEM images in Figs. 9 (a)-(c),
20 compares the TEM image of an original LASIS generated NP sample in Fig. 9 (a)
21 with TEM images of the same sample after 15 min of re-ablation using 1064 nm and
22 532 nm lasers, as seen from Figs. 9 (b) and (c) respectively. The TEM images along
23 with the corresponding size distributions in Figs. 9 (d)-(f) collected from SMPS
24 measurements, indicate that re-ablation by 532 nm laser generates spherical NPs with
25 increased sizes (see Figs. 9 (c) & (f)). In contrast, colloidal NPs re-ablated by 1064
26 nm laser exhibit similar shape (Fig. 9 (b)) and size distributions (Fig. 9 (e)) as the
27 ones in the original sample (Figs. 9 (a) & (d)). These observations are in accordance
28 with our average size comparison results (Table S1 in supplementary data), and agree
29 well with our earlier hypothesis that re-ablation by the more absorptive 532 nm laser
30 can restructure and coalesce the colloidal NPs into uniform spherical shapes, thereby

1 increasing the peak NP sizes while weakening the primary ablation at the target
2 surface. Such processes lower the overall productivity of NPs, but promote the
3 restructuring of solution phase NPs into more uniform size and shapes.

4 **C. Effect of solution-phase pH during LASIS on Co**

5 In this final section, the effect of solution phase protonation on the ablation
6 mechanism is studied by carrying out LASIS on Co target at different pH values of
7 pH=7, pH=13 and pH=14 respectively. TEM images in Fig. 10 indicate that unlike the
8 regular dendritic Co_3O_4 NPs formed at pH=7 (Fig. 10 (a)), the alkaline condition of
9 pH=13 produces a large amount of Co_3O_4 nanorods (NRs) with average diameter of
10 ~ 5 nm and length of ~ 100 -200 nm (Fig. 10 (b)). Upon increasing the alkalinity to
11 pH=14, the average diameter of the NRs increases to ~ 15 nm while keeping the
12 similar length (Fig. 10 (c)). The corresponding HRTEM images and SAED patterns,
13 as shown in Figs. 10 (d) & (e), demonstrate that both the NR structures at pH = 13
14 and 14 are composed of pure Co_3O_4 , with (111) surface ($d=0.466$ nm) exposed to the
15 surface. Our proposed chemical pathway for Co_3O_4 formation is depicted as following:
16 first of all, both $\beta\text{-Co}(\text{OH})_2$ and Co_3O_4 are more stable and prone to be formed in
17 alkaline condition due to the reduced redox potential (see the Pourbaix-diagram of Co
18 in Fig. S5). Secondly, the low solubility of $\text{Co}(\text{OH})_2$ in alkaline condition promotes
19 the thermal decomposition of the insoluble $\text{Co}(\text{OH})_2$ into Co_3O_4 under LASIS
20 conditions. The presence of $\beta\text{-Co}(\text{OH})_2$ crystals is shown in Figs. 10 (b) & (c), as also
21 confirmed by HRTEM images and SAED patterns for the crystal lattices (refer to Fig.
22 S4 in supporting documents). Finally, the presence of large alkali metal K^+ ions in
23 solution induces electrostatic interactions with closely-packed (111) lattice planes of
24 Co_3O_4 , that promotes the growth of $\beta\text{-Co}_3\text{O}_4$ NRs along the selective direction of the
25 (111) plane.⁴⁸ Since decrease of redox potentials and presence of K^+ ions are both
26 facilitated by the solution-phase alkaline conditions, the growth of Co_3O_4 nanorods is
27 predicted to be induced in the free liquid outside the laser induced cavitation bubble.
28 The optical properties of the NP/NR samples produced under different pH conditions
29 are revealed by the UV-Vis absorption spectra shown in Fig. 10 (f). Here, we have

1 confirmed through ICP-OES measurements that all three samples measured contain
2 the same Co concentrations. The results indicate that the overall absorbance for all the
3 NP/NR samples is enhanced as pH increases from 7 to 14, which is partially due to the
4 relative stability of Co_3O_4 NPs under alkaline conditions. However, the dramatic
5 improvement in the absorption peak at ~ 410 nm (~ 3 eV), specifically for pH=13
6 sample, can be ascribed to the intensified LSPR that is strongly affected by sizes and
7 shapes of nanostructured materials.⁴⁹ It is well-known that critical values for NP sizes
8 dictate the enhancement or dampening of LSPR. Specifically, the LSPR peak for NRs
9 is strongly impacted by the length-to-diameter-ratio (LTDR).⁴⁹ In this regard, the
10 specific size and LTDR (~ 20 -40) for the NRs (diameter of ~ 5 nm and length of
11 ~ 100 -200 nm) formed at pH=13 promotes the LSPR peak at 410 nm, as compared to
12 the absence of the similar peak for the ones formed at pH=14 with an average
13 diameter of 15 nm, as seen in Fig. 10 (e). Instead, the absorbance for the NRs at
14 pH=14 case exhibits an overall higher absorbance at longer wavelengths of ~ 500 -700
15 nm, which can be possibly attributed to its much smaller LTDR (~ 7 -13). It needs to be
16 pointed out here that the Co_3O_4 NRs are observed to form only at low laser fluence
17 (< 5 mJ/cm²) cases in alkaline conditions. High fluence LASIS or RA completely
18 reshapes them into spherical NPs (see Fig. S6). These observations further support the
19 formation of the NRs as a result of ripening processes in the free liquid at an optimal
20 temperature after the collapse of the cavitation bubble, since the solution-phase high
21 temperatures induced by the otherwise high laser fluence conditions make them
22 thermally unstable. Besides, we have also investigated LASIS on Co at other pH
23 values, i.e., pH=2, 3, 10, and 12. However, our TEM results for LASIS products under
24 low pH conditions (pH=2, 3) indicate similar morphologies for the NPs as obtained
25 under pH=7 conditions, with the exception that the productivity is dramatically
26 reduced due to the instability of metal oxides/hydroxides in acidic conditions. The
27 pH=10 sample also shows similar NP morphology. On the other hand, sparse
28 distribution of nanorods (NRs) are seen in the products under pH=12 conditions,
29 thereby indicating that pH=12 is the threshold for NR formation. Our on-going
30 investigations are focused on understanding and tuning the chemical physics behind

1 the formation of the NRs, in order to tailor their structure-property relations for their
2 applications as water splitting catalysts or as doping materials for enhanced light
3 absorption in photocatalysts.

4

5 **Conclusion**

6 In this article, we have presented a facile route for tailored synthesis of
7 nanostructured oxides/hydroxides of Co using an in-house designed laser ablation
8 synthesis in solution (LASIS) set-up that can be tuned for various experimental
9 parameters. Specifically, we investigate the effects of laser parameters (wavelength,
10 laser fluence) and solution phase properties (O_2 content, pH) on the size, shape,
11 structure and composition of the resultant nanostructured materials produced from
12 LASIS on bulk Co targets in aqueous solutions. Our results indicate that the chemical
13 pathway during LASIS on Co in water leads to the formation of metastable
14 intermediates such as CoO and single crystal β -Co(OH)₂ NPs in the presence and
15 absence of solution-phase O_2 respectively. Both intermediates transform into Co₃O₄
16 NPs as the final products through oxidation and/or thermal decomposition in solution
17 phase. In addition, we establish the role of the physics behind the different ablation
18 mechanisms in tailoring the size and morphology of the final Co₃O₄ NPs. For both
19 1064 and 532 nm lasers, vaporization and normal boiling mechanism during LASIS at
20 low laser fluence ($<20 \text{ mJ/cm}^2$) dictates the formation of sparsely agglomerated NPs
21 of bimodal size distribution. In contrast, the more efficient and explosive boiling
22 presides as the dominant mechanism over the former two during LASIS at higher
23 laser fluences that results in mono-modal size distributions of spherical shaped
24 primary NPs in the agglomerates formed. Furthermore, we demonstrate that the high
25 absorbance of 532 nm laser by solution-phase colloidal NPs promotes their
26 re-ablation into spherical structures with increased average sizes. This is evident from
27 the higher mean particle sizes (~ 13 – 22 nm) for NPs produced by 532 nm LASIS as
28 compared to the ones synthesized with 1064 nm LASIS (~ 10 – 14 nm). To this end, we
29 conclude that although the 532 nm laser indicates higher energy penetration through

1 the aqueous solutions, its self-absorption by colloidal NPs renders it less productive
2 than ablation with 1064 nm laser over extended period of time. Finally, LASIS on Co
3 in alkaline aqueous solutions ($\text{pH} \geq 13$) and low laser fluence conditions ($< 5 \text{ mJ/cm}^2$)
4 produce Co_3O_4 nanorods (NRs) that exhibit enhanced LSPR properties in the visible
5 range of $\sim 400\text{--}410 \text{ nm}$ wavelength. The aforementioned understanding of the
6 chemical physics of laser ablation for different laser and solution phase parameters is
7 fundamental to our critical design of LASIS for tailored synthesis of a wide variety
8 metal/metal oxide nanostructured materials in future. In turn, the ability to synthesize
9 such tailored complex nanostructures with unique optical properties via a facile,
10 “green” synthesis route, as presented here, is promising for tuning their
11 structure-property relations for applications such as photocatalysis and doping
12 materials in nanocomposites with enhanced light absorption properties.

13

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32

Figures:

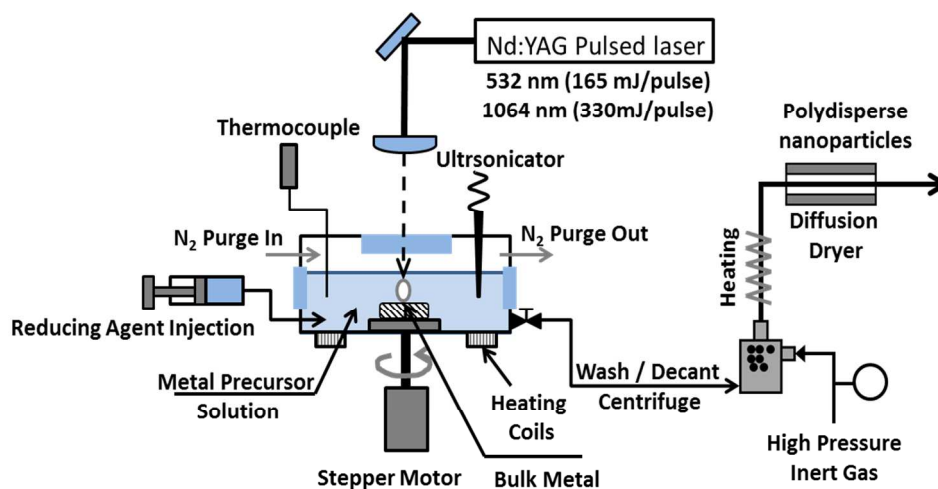


Fig. 1 Schematic diagram of the designed multi-functional LASIS setup

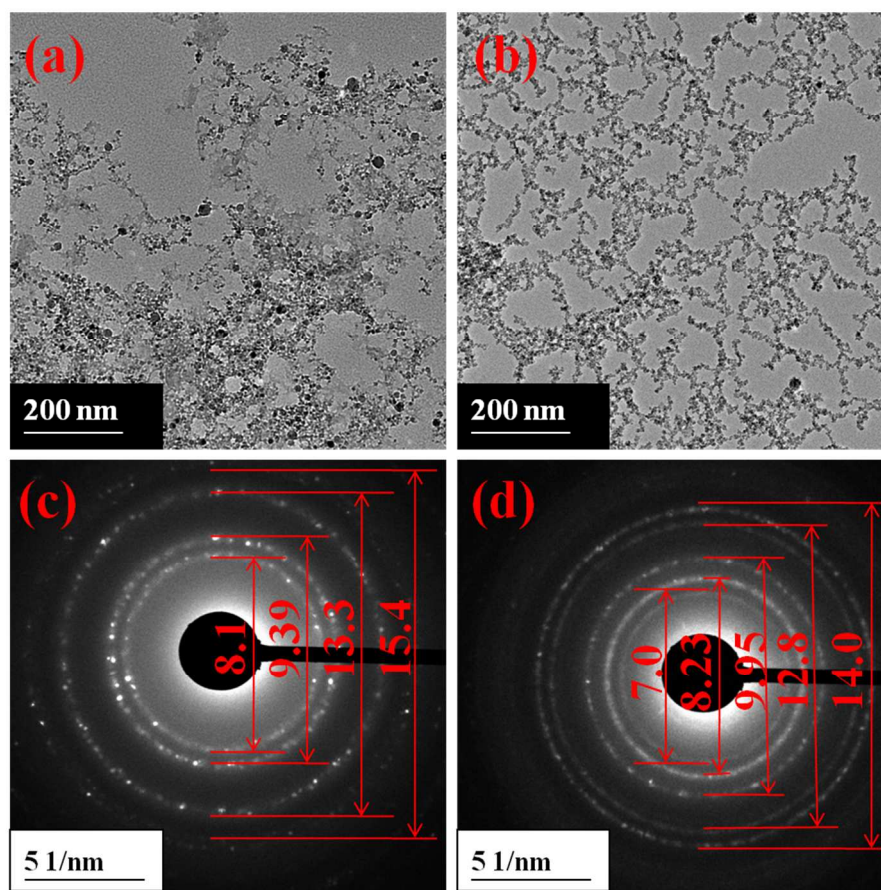


Fig. 2 TEM images (**top**) and the corresponding SAED patterns (**bottom**) showing evolution of CoO_x NPs from LASIS by 532 nm laser at 1 J/cm^2 : (a), (c) fresh sample; (b), (d) aged for three days.

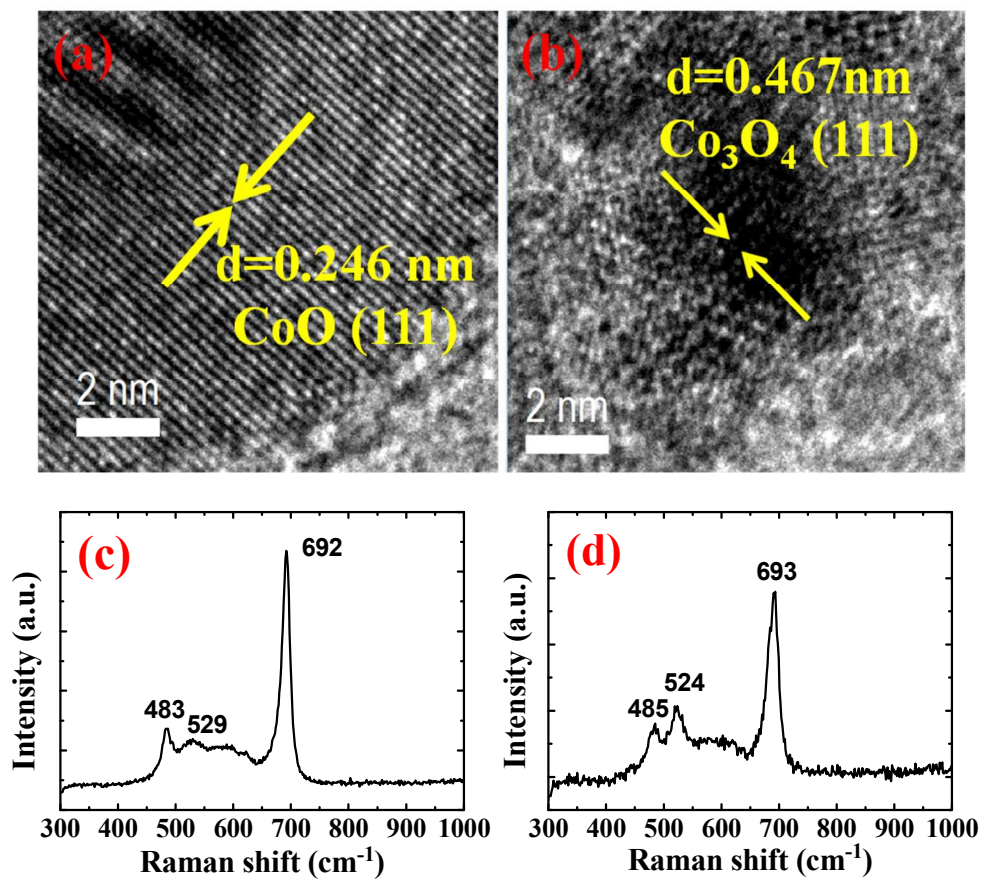


Fig. 3 (a), (b) HRTEM images, and (c), (d) Raman spectra for CoO_x NPs prepared by 532 nm laser at laser fluence of 1 J/cm^2 ; (a), (c) fresh sample; (b), (d) aged for three days.

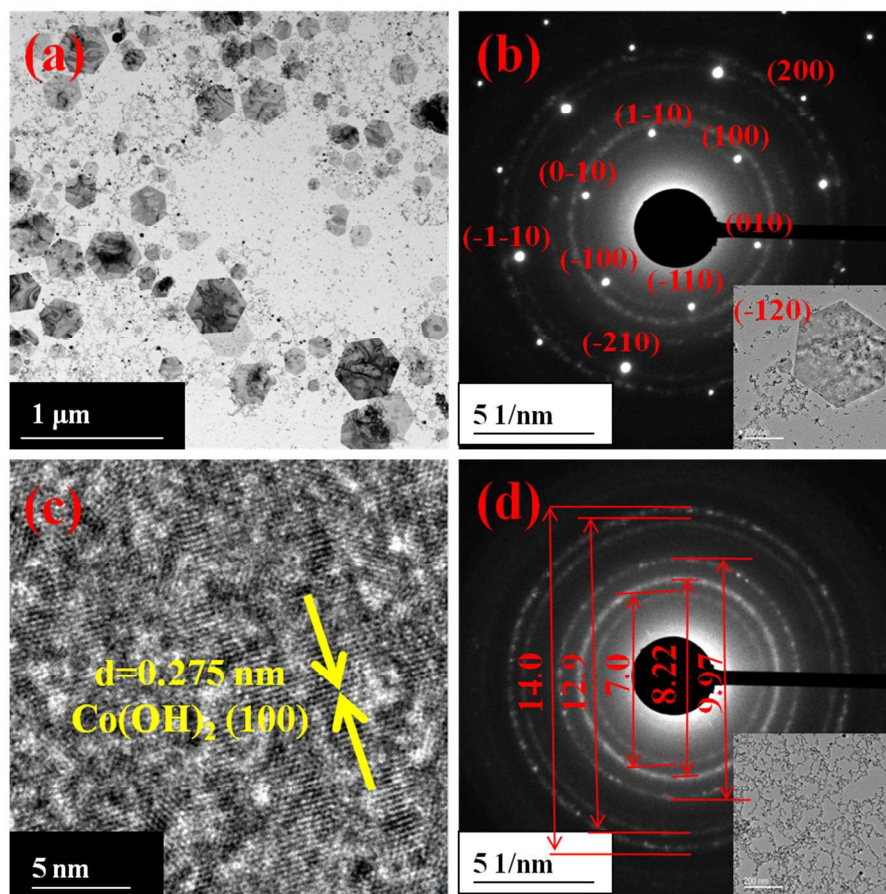


Fig. 4 (a), (b), (c): Freshly prepared NPs from LASIS by 532 nm laser (1 J/cm^2) with N_2 purge generates hexagonal $\beta\text{-Co(OH)}_2$ crystals along with Co_3O_4 NPs; (a) Bright field STEM image; (b) SAED pattern indicating single crystal $\beta\text{-Co(OH)}_2$ NP on $\langle 001 \rangle$ zone axis (Inset: corresponding TEM image); (c) HRTEM image for $\beta\text{-Co(OH)}_2$ crystal showing the lattice d-spacing; (d): SAED pattern of the sample aged for three days indicating Co_3O_4 NPs (Inset: corresponding TEM image, the scale bars are 200 nm).

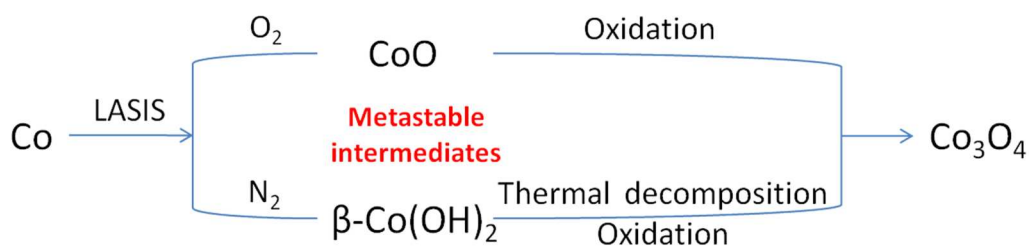


Fig. 5 Chemical pathway for the formation of Co_3O_4 NPs via LASIS on Co with/without dissolved O_2 .

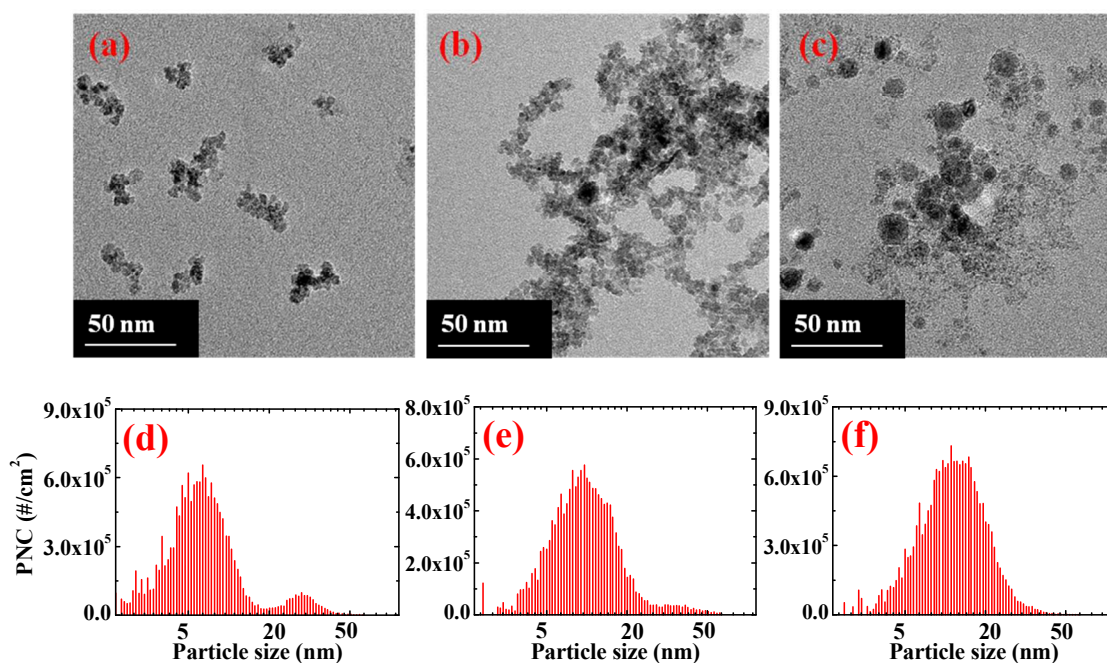


Fig. 6 TEM images (**top**) and particle size distributions (PSD) (**bottom**) for 1064 nm laser ablated Co_3O_4 NPs at different laser fluences: (a), (d) 0.9 J/cm^2 ; (b), (e) 41 J/cm^2 ; (c), (f) 107 J/cm^2 . The vertical axis of PSD shows the particle number concentration (PNC).

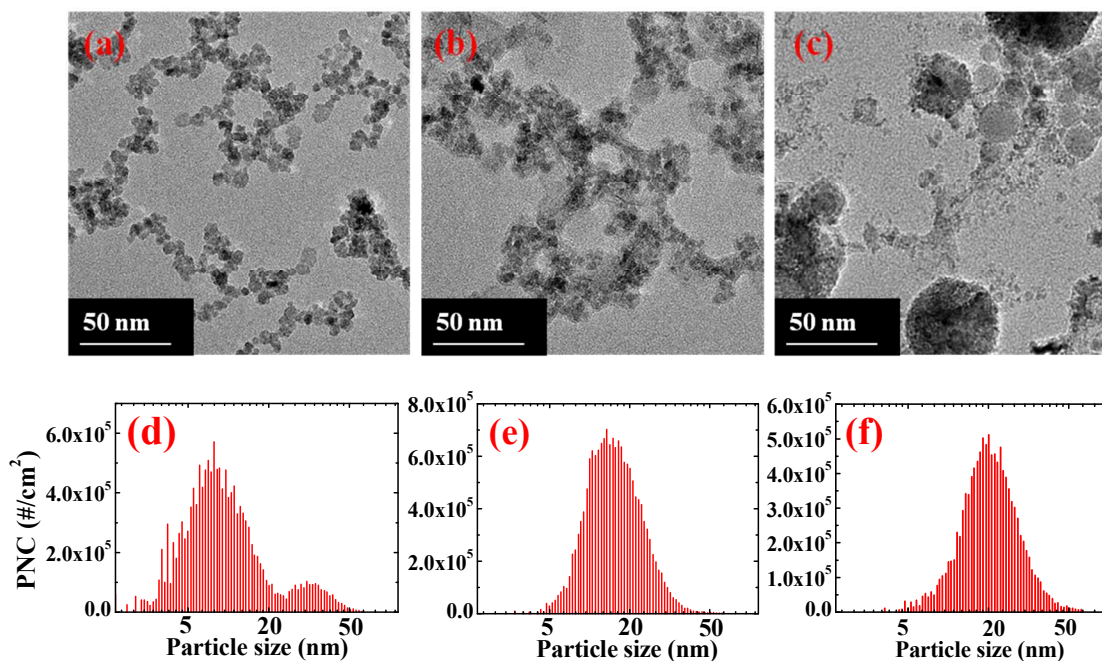


Fig. 7 TEM images (**top**) and particle size distributions (PSD) (**bottom**) for 532 nm laser ablated Co_3O_4 NPs at different laser fluences: **(a)**, **(d)** 1.0 J/cm^2 ; **(b)**, **(e)** 43 J/cm^2 ; **(c)**, **(f)** 114 J/cm^2 . The vertical axis of PSD shows the particle number concentration (PNC).

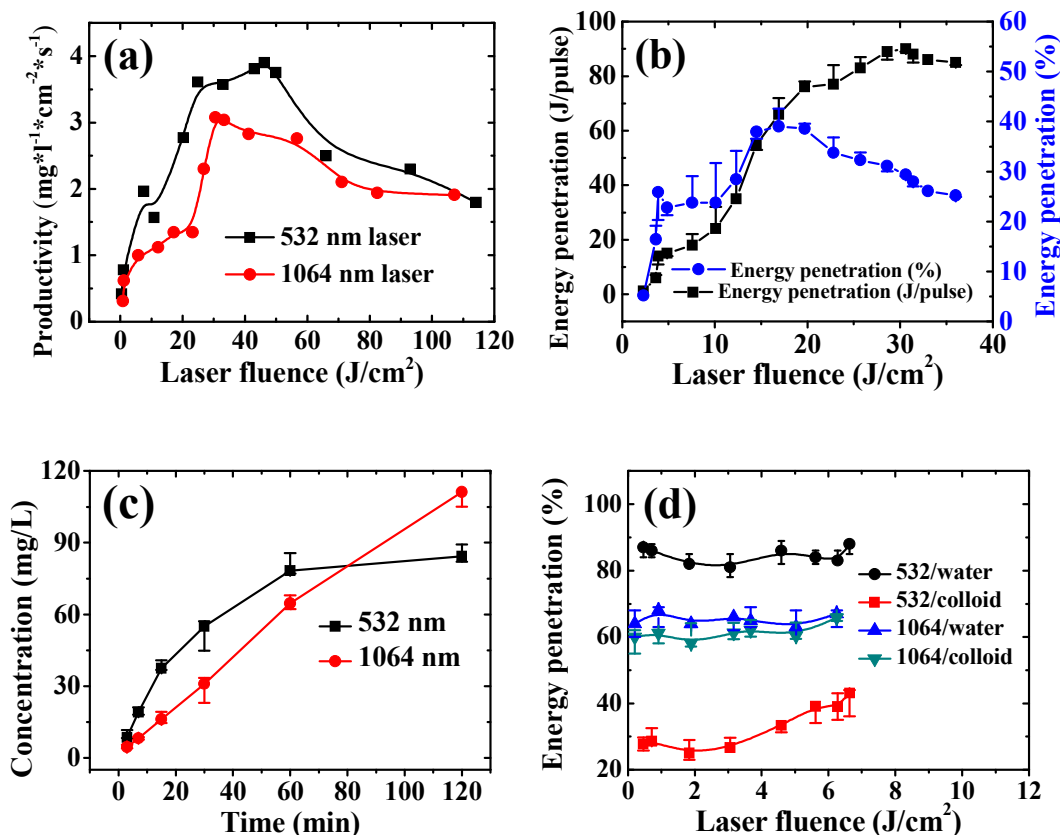


Fig. 8 **(a)** Specific area productivity of Co_3O_4 NPs per unit ablation time; **(b)** Focused laser energy (1064 nm) penetration (%) through a glass of DI-water at different laser fluences, where energy penetration (%) is the ratio of penetrated laser energy over the original laser energy; **(c)** NP concentration evolution in time for low laser fluence case of $\sim 0.3 \text{ J/cm}^2$. **(d)** Unfocused laser energy (1064 nm and 532 nm) penetration (%), through Co_3O_4 colloid as well as an equivalent column of DI-water.

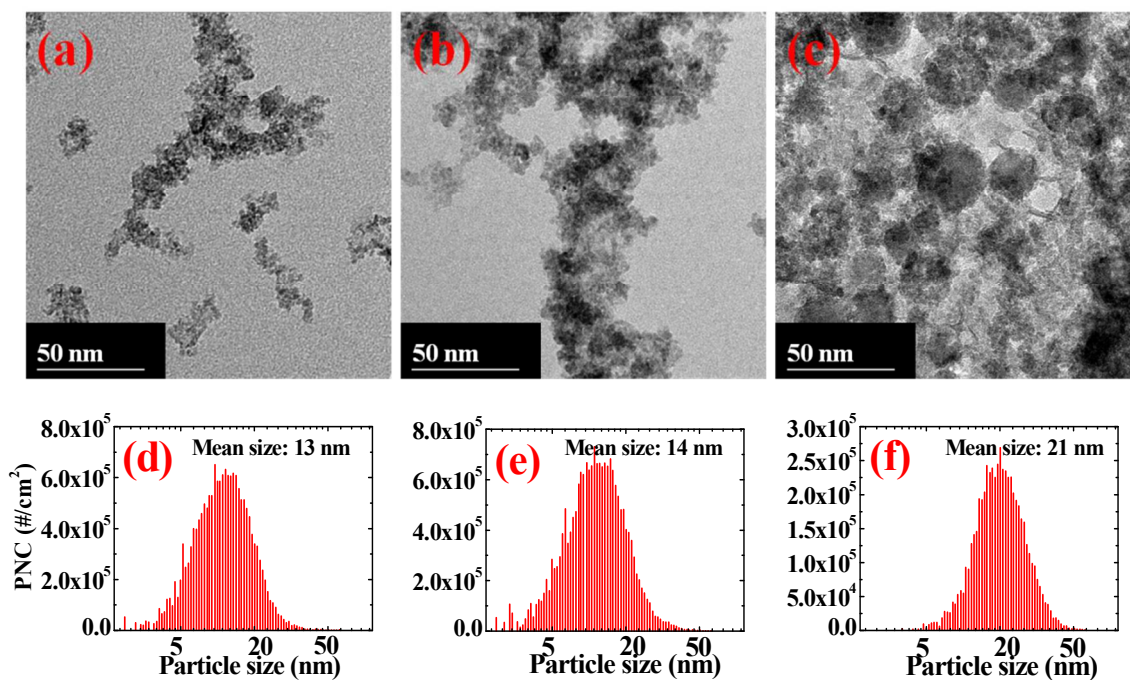


Fig. 9 TEM images showing: (a) the original NPs generated by LASIS and the alterations in their sizes and shapes after re-ablation (laser energy of 170 mJ/pulse) with: (b) 1064 nm laser and (c) 532 nm laser; (d)-(f) indicate PSDs corresponding to the samples shown in (a), (b), and (c) respectively.

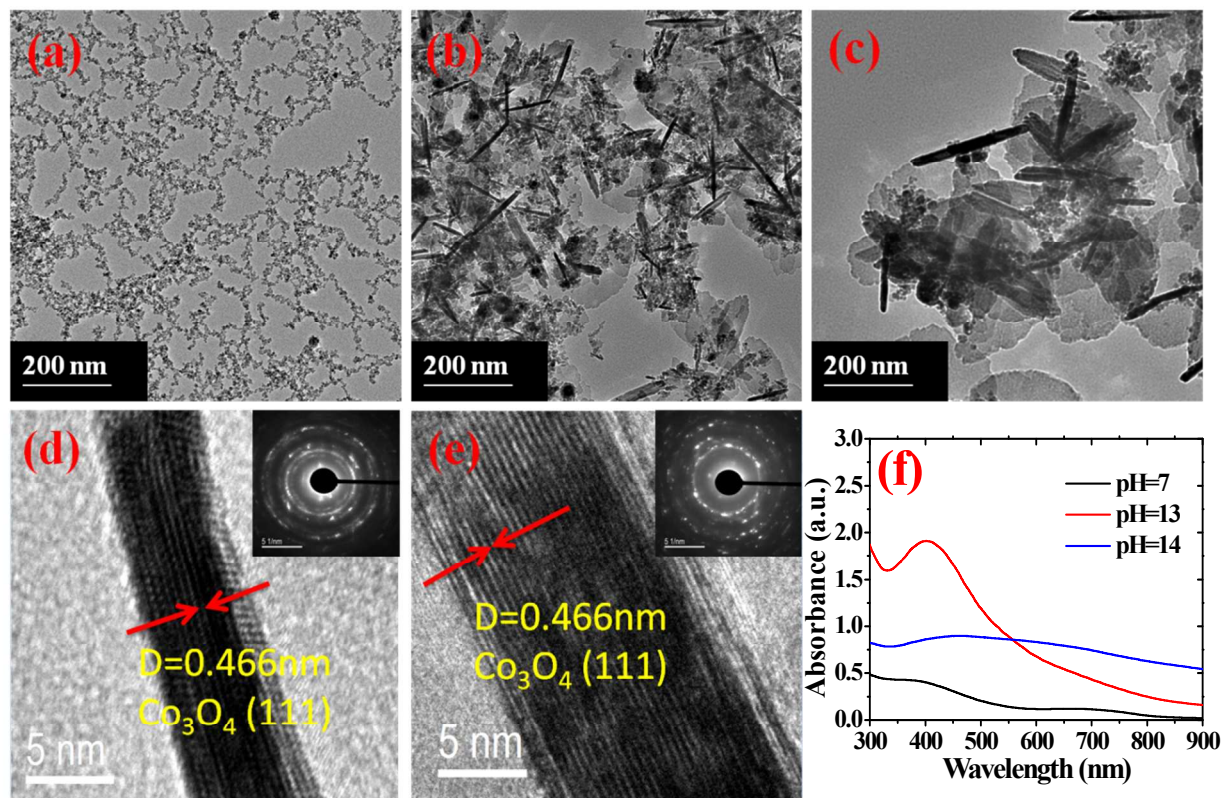


Fig. 10 (a)-(c) TEM images of Co₃O₄ NPs obtained from LASIS at: (a) pH=7; (b) pH=13; (c) pH=14; (532 nm laser at a fluence of ~ 1 J/cm²); (d), (e) the corresponding HRTEM images for the case of: (d) pH=13 and (e) pH=14; (Insets: SAED patterns); (f) UV-Vis absorption spectra for colloidal NPs synthesized at different pH values.

Tables:

Table 1 Comparison of standard and experimental d-spacing calculated from SAED

Standard d-spacing (Å)		Experimental d-spacing (Å)	
CoO	Co ₃ O ₄	Fresh sample	Aged for three days
	2.86 (220)		2.85
2.46 (111)	2.44 (311)	2.47	2.43
2.13 (200)	2.02 (400)	2.13	2.01
1.51 (220)	1.56 (333)	1.50	1.56
1.29 (311)	1.43 (440)	1.30	1.43