



**Neurological abnormalities in a mercury exposed population
among Indigenous Wayana in Southeast Suriname**

Journal:	<i>Environmental Science: Processes & Impacts</i>
Manuscript ID:	EM-ART-05-2014-000268.R2
Article Type:	Paper
Date Submitted by the Author:	11-Aug-2014
Complete List of Authors:	Peplow, Daniel; Suriname Indigenous Health Fund, Environmental Toxicology and Health Augustine, Sarah; Heritage University, Science

Environmental impact statement

Due to the informal characteristics of artisanal mining using mercury to amalgamate gold, exposure assessments of indigenous riverine populations impacted by this practice and its health effects (especially at the nervous system level) are of public health concern. This case study, which combined clinical examination and scoring of individual performance score on a battery of neurological tests in conjunction with the hair mercury data from the 2008 risk assessment and supplemented with additional exposure data in 2012, found neurologic dysfunction consistent with mercury poisoning among residents in Puleowime, Southeast Suriname. This study reveals an important health impact of actual gold mining processes in the Amazonia region of Suriname. These results must drive the attention of public health practitioners to find remedial procedures for the well-being of impacted populations and the improvement of the environment.

1 **Neurological abnormalities in a mercury exposed population among Indigenous**
2 **Wayana in Southeast Suriname**

3

4

5

6

7

8

9

10

11

12

13 **Daniel Peplow, PhD** - Corresponding Author

14 Suriname Indigenous Health Fund

15 White Swan, Washington, USA

16 www.SIHFund.org

17 Email: dpeplow@u.washington.edu

18

19 **Sarah Augustine, MA**

20 Heritage University

21 Toppenish, Washington, USA

22 Email: Augustine_A@Heritage.edu

23

24 Abstract

25 The indigenous Wayana community of Puleowime (Apetina) in Suriname is susceptible
26 to the effects of mercury because they consume large amounts of fish compared to
27 mainstream communities. Small-scale and artisanal gold mining activities occur at
28 numerous sites in eastern and southeastern Suriname placing the Wayana at risk from
29 exposure to mercury released into the environment. A previous community-led risk
30 assessment study showed that the Wayana were at a high lifetime risk of adverse
31 effects from exposure to mercury. Subsequent to this earlier study, the residents of
32 Puleowime requested assistance in a community-led follow-up research project to
33 determine for themselves whether there were health impacts associated with exposure
34 to mercury contamination. Neurotoxic effects consistent with methylmercury exposure
35 were documented in an exposed population through a battery of neurological tests.
36 Although the specific motor and cognitive batteries were not exactly the same, similar
37 associations were observed between neurologic impairment and hair mercury
38 concentrations compared to other studies in the Amazonia region where mean hair
39 mercury levels were in the subacute range.

40

41

42

43

44

45

46

47 Introduction

48 The indigenous Wayana community of Puleowime (Apetina) in southeast Suriname
49 proposes that, with assistance from outside experts, they can determine whether there
50 were health impacts associated with exposure to mercury (Hg) contamination. In 2008,
51 community members led a research initiative that showed the Wayana population from
52 Puleowime was at a high lifetime risk of adverse effects from exposure to Hg¹. After
53 leading the risk assessment project in 2008, the appointed leader of the Wayana people
54 in Puleowime requested further assistance performing medical assessments to
55 determine the potential health impacts from this hazard. While many practitioners adopt
56 participatory action research (PAR) for ethical reasons, the Wayana people in
57 conjunction with a team of international public health experts adopted PAR for a very
58 pragmatic reason which was to overcome the inadequacies of conventional research in
59 this indigenous setting¹⁻³.

60

61 There are approximately 503 Wayana people living in Suriname⁴. The Wayana people
62 are dependent on fish as a primary source of protein⁵. Fish are also sources of
63 polyunsaturated fatty acids, iodine, selenium and vitamin D⁶. Human populations that
64 depend on fish as a dietary staple, such as the Wayana people in Suriname, are
65 especially at risk of exposure to Hg.

66

67 Suriname lies north of Brazil, between Guyana and French Guiana (Figure 1). As of
68 2009, the population of Suriname was approximately 520,000 people⁷. Small-scale and

69 artisanal gold mining activities that release Hg from their operations occur at numerous
70 sites in Eastern and Southeastern Suriname^{8,9}.

71

72 The objective of this project was to continue using the guidelines for Community-Based
73 Participatory Action Research, which was established as the norm in these communities
74 in 2008, to conduct clinical screening exams and determine whether members of their
75 communities exhibit signs consistent with mercury poisoning on a population level. If
76 these preliminary efforts were suggestive of mercury induced health effects, the
77 community would be in a better position to organize a full scale study and intervention if
78 needed.

79

80 Materials and Methods

81 *Data Collection:* Community leaders from Puleowime asked the Suriname Indigenous
82 Health Fund for assistance developing the capacity to perform medical assessments
83 that would determine whether community members show signs of neurological effects
84 consistent with Hg exposure^{1, 10}.

85

86 *Mode of Research:* The approach used was a collegiate form of Participatory Action
87 Research (PAR) in which control and ownership of the process is relinquished to those
88 to whom the research concerns^{11, 12}.

89

90 Participant Selection: A Collegiate form of Community-Based Participatory Action
91 Research methodology¹² (CBPAR) was previously adopted in 2008 during a risk

92 assessment study¹ in which researchers and local people worked together as
93 colleagues while local people had control over the process. The collegiate form of
94 CBPAR was adopted for pragmatic reasons in response to the Wayana community's
95 complaint that they have historically been overstudied, have not benefitted from past
96 research, and wished to perform their own studies as opposed to being the subjects in
97 someone else's research. In this study, participants were preselected from the
98 participants of the 2008 risk assessment study by villagers for community members who
99 were concerned they were experiencing neurological deficits such as ataxia, tremor or
100 other movement disorder. Incidentally, participants comprised a range of ages weighted
101 towards school age children which reflected the preferences of the village. By mutual
102 agreement, the villagers who participated in the health assessment process were also
103 those individuals who had the highest previously measured hair mercury levels (i.e > 20
104 ppm as measured in 2008) to increase the likelihood of observing a clinical effect.

105

106 Extensive epidemiologic studies among fish-eating populations have assessed mother
107 and child pairs for prenatal methylmercury exposure, the resulting impact on child
108 development, and the relevance of neurological tests in children. In New Zealand and
109 the Faroe Islands studies showed correlations between prenatal mercury exposure and
110 the neurological development of children¹³⁻¹⁷. In contrast, the Seychelles study did not
111 show adverse effects on neurological development¹⁸. In this study, clinical signs and
112 symptoms were used as the basis for assessing mercury intoxication. When the
113 environmental history, clinical picture, and mercury levels in biological samples
114 coincide, causal inferential associations are possible¹⁹ and the diagnosis of mercury

115 intoxication can be made²⁰. The symptoms of chronic mercury intoxication in childhood
116 include muscular hypotonia followed by a refusal to walk, stand, or sit, tremors, ataxia,
117 coordination problems, as well as unspecific symptoms, such as lack of energy,
118 tiredness, loss of appetite, weight loss, dizziness, and headaches²⁰.

119

120 *Index of Neurological Integrity:* The INI was developed to integrate data collected during
121 clinical analysis. There is no one universal INI. Attributes that are responsive to Hg
122 impacts were combined into an index using the UNEP Health Assessment Survey index
123 as a model²¹. Health in the Wayana communities was accomplished using a
124 modification of the UNEP index model.

125

126 The Index of Neurological Integrity (INI) was a composite score from the neurological
127 exam which was comprised of six metrics (G, ST, TP, RT, and FTN), the Drawing Test
128 which contained four metrics, and the Copying Test which contained six metrics. A total
129 score of 0 – 25 was possible.

130

131 *Procedure for screening exam:* Examinations typically required about 30 minutes each
132 and consisted of neuro-physiological testing and a directed screening physical exam in
133 the presence of a translator:

134

135 1) Normal and tandem gait (G) was observed and recorded.

136 2) Sensation to light touch and prick were observed and recorded (ST).

- 137 3) Two-point discrimination (TP) was determined on the volar surface of the forearm
138 and recorded
- 139 4) The Romberg (RT) and the sharpened Romberg test (SRT) was used to
140 investigate the cause of loss of motor coordination in subjects with mild signs of
141 neuropathology.
- 142 5) Finger to nose (FTN) movements were observed and recorded.
- 143 6) Each patient was assigned a Neuro-Score from 0-5 with (0/Absent, 1/Slight,
144 2/Moderate, 3/Marked, 4/Severe, 5/Extreme) based on the cumulative results of
145 the screening exam above (G, ST, TP, RT, and FTN).
- 146 7) Participants used pencil and paper for drawing a Frositg test, and copying
147 standard figures described in detail below.

148

149 *Materials:* A private space that permitted a 3-meter walk and a desk and chair for the
150 physician and translator, data collection form, pencils and paper for drawing, caliper,
151 millimeter ruler and long wooden cotton Q-tip (individually wrapped for each examinee),
152 stop watch, reflex hammer, tuning fork, tongue depressor (individually wrapped),
153 flashlight, recording sheet. For two severely impaired screening exams, full neurologic
154 exams were performed in the presence of a translator (30-45 min.)

155

156 *Drawing Test:* The Drawing test is based on the Eye-Hand Coordination subtest of the
157 Developmental Test of Visual Perception²². It includes four items and requires the
158 subject to draw a line from one symbol to the other. The subject is advised to not
159 interrupt while drawing and not touch the borders. The difficulty of the items is graded to

160 the effect that the distances between the borders diminish. The score for each item is 0
161 = good, 1 = bad or 2 = very bad. Full credit (0 points) is given if the line from one symbol
162 to the other was without interruption, if the pencil was lifted from the paper but the line
163 continues without interruption, crutch or pointed angle or if a light angle or blur occurred
164 in the line. One (1) point is scored if the line touched the borders (but not out of
165 borders). Two (2) points are given if the line was interrupted (considerable interruption,
166 crutch or pointed angle), run out of borders (a white space is visible between the
167 boundaries and the drawn line) or the line was only adumbrated or corrected. In addition
168 the type of error is registered: interruption (I), touch borders (T) and out of borders (B).
169 Finally, a total Drawing score from 0 to 8 points is obtained.

170

171 *Copying Figures Test:* The items of the Copying Figures test are taken from the
172 Stanford-Binet (S-B) Copying test (Chevrier et al. 2009). For this task the subject has to
173 draw six two-dimensional geometric designs. As well as the Drawing items the items of
174 this subtest are graded to difficulty. The original S-B Copying test uses a standard
175 scoring system to reflect whether the drawings captured the gestalt of the stimulus
176 items. The score for each item is again 0 = good, 1 = bad or 2 = very bad. 0 points are
177 achieved if the gestalt was captured and the drawing was as close to the original as
178 possible. 1 point is given if the gestalt was captured, but the drawing is deficient (e.g.
179 deformation, addition, overdrawing). 2 points are scored if the gestalt of the target was
180 not captured. All in all a sum of 0 to 12 points is possible.

181

182 Chevrier et al.²³ acquired another scoring technique for the S-B Copying test, a
183 qualitative scoring, that is used in this study as well. The drawings were analysed with
184 regard to their error types:

- 185 - rotation: shifting of the whole or a part of the design more than 90° from the
186 horizontal of the page (R)
- 187 - distortion: modified from the original (e.g. angles rounds, crooked lines) (D)
- 188 - simplification: changed into a less complex one (S)
- 189 - perseveration: repeating the whole or a part of the design (P)
- 190 - overdrawing: drawing over a design several times (O)
- 191 - micro-/macrographia: very small/large drawing (M)
- 192 - tremor: appearance of shaky lines in the drawing (T)

193

194 *Analysis of Total Hg Levels in Human Hair:* Team members collected hair samples for
195 analysis using methods designed to maximize sample quality and consistency and
196 minimize cross-contamination, which emphasized the use of powderless surgical gloves
197 and new, sterile, stainless steel scissors for each sample collected. All hair samples
198 were collected from the lower occipital region. When long hair strands (> 3 cm) were
199 collected, the hair tips were discarded and only the proximal 1 cm were used to reduce
200 variability and because Hg levels can decrease during hair growth under certain
201 conditions. Hair washing procedures were not used to differentiate between airborne
202 and internal Hg. The use of “negative controls” to detect sources of spurious causal
203 inference was not included in this study because the investigation was not able to
204 identify people living under comparable circumstances who have not plausibly been

205 exposed in their lifetime to similar levels mercury through similar pathways. The lack of
206 negative controls limits our ability to make an irrefutable causal inference therefore we
207 limited the objective of our study to the performance of a clinical screening exam and to
208 the determination of whether members of the Wayana communities exhibit signs
209 “consistent” with mercury poisoning on a population level.

210

211 Each hair sample, of approximately 20 mg, was stored in a sealed, labeled envelope.
212 The hair samples were analyzed in triplicate for total Hg (THg). Hg analysis was by the
213 cold-vapor technique using the Portable Zeeman Lumex (RA915⁺/RP-91C) mercury
214 analyzer. The instrument detection level was 0.2 ng/g. All concentrations were
215 expressed in parts per million THg (equal to µg/g THg). Measurement of THg levels in
216 hair using the Lumex RA915⁺/RP-91C portable analyzer had been previously confirmed
217 by laboratory analysis using a modified National Institute for Occupational Safety and
218 Health (NIOSH) 6009 method. In this study, the Lumex was operated in software “On
219 Stream” mode using the procedure in the manufacturer’s operation manual. NIST
220 traceable standards #2709 for Hg at 1400 ng/g and #1633d for Hg at 141 ng/g were
221 used to standardize the analyzer before and after each ten samples analyzed.

222

223 *Statistical Analyses:* Statistical analyses were carried out using SAS Version 21 (SAS
224 Institute Inc). Significant associations were identified at the alpha level of 0.05. Seven
225 incomplete records were excluded. The excluded records did not differ from the study
226 population based on age or gender. In this study, hair mercury results were summarized
227 using simple descriptive statistics including arithmetic mean, median, standard

228 deviation, and range. The mean hair concentrations were evaluated by population, age
229 and gender using the two-tailed *t*-test assuming equal variances ($P < 0.05$). The
230 association between hair mercury concentrations and individual risk in 2008 and 2012
231 and between the Index of Neurological Integrity and 2012 Hg mercury concentration
232 and age was assessed by linear regression model in SPSS.

233

234 *Individual Risk.* Individual risk is defined here as the probability of having a 5% chance
235 of exhibiting an adverse neurological effect. It is the incremental probability that the
236 hazard will impose an effect on some particular person²⁴. It was based on the most
237 conservative of the three dose response functions (DRFs) reported by Sullivan et al²⁵. in
238 which risk is correlated to the biomarker of Hg concentration in hair as a function of the
239 amount of Hg consumed through fish. According to Sullivan, the probability of having a
240 5% chance of exhibiting an adverse neurological effect was estimated to be 0 for hair at
241 0–3ppm Hg, 1×10^{-4} for hair at 4 ppm, 1×10^{-3} for hair at 5-6 ppm, 2×10^{-3} for hair
242 at 7 ppm, 3×10^{-3} for hair at 8ppm, 5×10^{-3} for hair at 9 ppm, 1×10^{-2} for hair at 10
243 ppm, 1×10^{-1} for hair at 11 ppm, 4×10^{-1} for hair at 12ppm, 6×10^{-1} for hair at 13
244 ppm, and 9×10^{-1} for hair over 13 ppm.

245

246 *R² Value interpretation:*

247	Less than 0.04:	Slight, almost negligible relationship
248	0.04 – 0.16:	Low correlation, definite but small relationship
249	0.16 – 0.49:	Moderate correlation, substantial relationship
250	0.49 – 0.81:	High correlation, marked relationship

251 0.81 – 1.00: Very high correlation, very dependable relationship

252

253 *INI Score Interpretation:*

254 Less than 5: No Effect

255 6 – 10: Few Effects

256 11 – 15: Moderate Effects

257 16 – 20: High Effects

258 21 – 25: Very High Effects

259

260 *Human Subjects Review:* The SIHF research team consulted with the human subjects

261 review staff at the University of Washington and Simon Fraser University who approved

262 the project plan. The Institutional Review Board staff found that the research design did

263 not require full IRB review since the traditional roles of researcher and research subject

264 did not apply. Since research subjects were co-investigators leading the research

265 process while the Western research team acted as consulting technicians, informed

266 consent was deemed unnecessary. Citing the CDC criteria distinguishing research from

267 ‘nonresearch’ public health practice (CFR §46.102[d])²⁶ it was concluded that this

268 project was aimed at a specific public health problem and it was done with the aim of

269 preventing or promoting health, therefore it was deemed to represent nonresearch or

270 public health practice. The IRB acknowledged that there may be secondary benefits

271 when this investigation yielded insights of generalizable value that merit dissemination,

272 but the research versus nonresearch determination would be unchanged because it is

273 based on the primary intent.

274 Results

275 Twenty-two individuals who had hair Hg concentrations that exceeded 20 µg/g in 2008
276 had repeat hair analyses for Hg and were examined clinically for signs of
277 neuropathology (Table 1). Mean hair Hg concentrations in 2012 were significantly lower
278 in Puleowime (13 ± 4 µg/g) than in 2008 (23 ± 6 µg/g) at the 95% confidence level
279 (Table 2). The estimated risk of adverse neurological effects at measured levels of Hg in
280 hair was also lower in 2012 compared to 2008 ($p < 0.01$). Results of the neurological
281 exam indicate that there was a low correlation between neurological problems and hair
282 mercury concentration (Table 3). As age increased the probability of having an
283 abnormal clinical score also increased (moderate, $r^2 = 0.36$). A post-hoc power analysis
284 indicated the chance of detecting a large effect size was greater than 95%.

285

286 Based exclusively on medical examinations of subjects the medical team diagnosed six
287 subjects suggesting a 'tentative diagnosis' of Minamata disease (hair mercury between
288 9 – 17 ppm, ages from 20 – 70). The main symptoms of the six cases were disturbance
289 in coordination, glove-and-stocking type sensory disturbance, numbness, failure in two-
290 point discrimination, and tremor.

291

292 Discussion

293 This case study, which combined clinical examination and scoring of individual
294 performance score on a battery of neurological tests in conjunction with the hair

295 mercury data from the 2008 risk assessment and supplemented with additional
296 exposure data in 2012, found neurologic dysfunction consistent with mercury poisoning
297 among residents in Puleowime, Southeast Suriname.

298

299 The neurotoxic effects observed in this study were documented through a combined
300 clinical examination and a scoring of individual performance on a battery of neurological
301 tests. Although the specific motor and cognitive batteries were not exactly the same,
302 similar associations between neurologic impairment and hair mercury concentrations
303 were reported in the Tapajós and Pantanal regions of Brazil^{27, 28} where mean hair
304 mercury levels were in the range of approximately 5 to 10 ppm, and maximum levels
305 were near 30 µg/g.

306

307 Kosatsky and Foran noted in studies for which dose-response could be assessed that
308 there was evidence of neurologic dysfunction in the range of 15 to 30 ppm in hair and
309 there was good evidence that “chronic mercury levels up to 5 ppm in hair are without
310 apparent neurologic effect”²⁹. In Puleowime, among 22 fish eaters with population mean
311 hair mercury levels of 23 ± 6 µg/g in 2008 and 13 ± 4 µg/g in 2012, few neurological
312 effects consistent with methylmercury exposure were found in eight individuals (33%),
313 10 individuals (42%) showed moderate effects, in four individuals (17%) the
314 neurological effects were high and in two (8%) the neurological effects consistent with
315 methylmercury exposure were very high.

316

317 One potential limitation of the current study is the lack of adequate control for
318 confounding factors. Other possible explanations for symptoms such as fatigue,
319 dizziness, and tremors found during medical examinations that could potentially
320 introduce a false diagnosis into the clinical examination include alcohol consumption,
321 drug use, smoking, malaria and other tropical diseases, tuberculosis, parasitosis,
322 constant handling of gasoline, kerosene or pesticides, epilepsy, stroke, Parkinson's
323 disease, other health problems (kidney, blood pressure, pneumonia), stress, allergies,
324 arthritis, diabetes, venereal disease, number of dental amalgam fillings, ingestion of
325 selenium, or exposure to other pollutants such as PCB's³⁰. The observed dependence
326 of the clinical scores on age might have enhanced the influence of some of these
327 confounders. However, it is possible that the participation of individuals which were
328 weighted towards school age children and the isolation of the Wayana people living a
329 traditional lifestyle in the Amazonian forest would moderate the importance of many of
330 these potentially confounding factors.

331

332 The effect of diet on the toxicity of methylmercury is an emerging concern²². The
333 community of Puleowime, in its attempt to control their exposure to mercury is at risk of
334 reducing their consumption of fish which could lead to a diet deficient in essential
335 nutrients including protein. Ironically, if this happens individuals could increase their
336 susceptibility to the toxic effects of methylmercury and cause adverse effects that might
337 be attributed to methylmercury (e.g. developmental delays, poorer performance on
338 neurological tests, immunological deficiencies). The nutritional benefits of fish, which
339 are rich in protein, in important nutrients and essential oils, and low in saturated-fat, may

340 reduce susceptibility to the toxic effects of methylmercury³¹. However, the extent to
341 which omega-3 fatty acids and protein influence the uptake, distribution and effects of
342 methylmercury exposure have not been sufficiently investigated to allow a precise
343 characterization of the relationship of a fish diet to mercury toxicity.

344
345 Among non-fish-eating communities, hair mercury concentrations reflect primarily
346 exposure to inorganic mercury and typically are in the range of 0.2 to 0.8 µg/g³². In
347 communities that consume fish on a regular basis (i.e., daily) total hair mercury levels
348 are an order of magnitude higher and most of the mercury is in the form of
349 methylmercury¹⁰. Therefore, total mercury in hair of regular fish consumers is an
350 acceptable surrogate for methylmercury in hair.

351
352 Although the probability of having an abnormal clinical score increases with increasing
353 Hg, the small sample size and screening nature of the design limited the study findings
354 as evidence for a causal relationship between Hg exposure, fish consumption and
355 neurological outcomes. A review of two literature surveys^{29, 33} included 13 investigations
356 on the health effects from moderate exposure to Hg through fish consumption revealed
357 they were similarly limited in their ability to show that the observed neurological effects
358 were dose-dependent, *i.e.*, increasing in magnitude with increasing hair mercury levels
359 up to a maximum of approximately 50 µg/g.

360
361 While several studies have shown that Hg levels in hair are higher in residents of areas
362 contaminated by mercury than in residents of uncontaminated regions, others show

363 wide variations depending on the relative importance of fish in the diet⁸. In Puleowime,
364 the community fills most of its dietary needs by fishing. The Wayana in Puleowime live
365 in an isolated village on the Tapanahoni River and are considered excellent examples of
366 members of a “fishing civilization.” Although the actual exposure among indigenous
367 populations can be highly variable, location specific, and they will depend on local fish
368 Hg levels and individual fish consumption patterns, investigations by Frery et al.⁵ show
369 that most subjects take more than 14 fish meals per week.

370

371 Seven of the studies reviewed had no statistical analyses of the dose-response
372 relationship nor were dose-effect relations observed between the bioindicators of
373 exposure to mercury and the neurological outcomes³⁴. In these studies, elevated hair
374 mercury levels were associated with symptoms of mercury toxicity. The small sample
375 size of these studies limited, however, the study’s findings as evidence for a relationship
376 between mercury exposure, fish consumption and neurological outcomes. Larger,
377 rigorously controlled studies are needed, including dietary intervention trials. Six other
378 studies from the Amazonia region showed neurotoxic effects below 50 µg/g hair-Hg. In
379 these studies, significant dose-effect associations were reported for motor, visual and
380 cognitive functions³⁵⁻⁴⁰.

381

382 The authors of the Tapajós region fish consumption study emphasized that the
383 observed correlations may be related to exposures previously accumulated over their
384 lifetime rather than the sub-acute effects of current mercury levels²⁷. This observation
385 reveals a caveat with respect to the use of hair as a biomarker. In a mouse study results

386 showed that exposure to low levels of methylmercury produced behavioral effects that
387 depend on the lifetime exposure to Hg⁴¹. The authors of the mouse study concluded
388 that lifetime exposure should be a component of the risk assessment process for Hg
389 neurotoxicity. Although hair is the biomarker that best integrates exposure to mercury
390 over the longest period of time it can only estimate exposure over many months
391 depending on the length of the sample taken and does not provide an estimate of
392 lifetime exposure.

393

394 Conclusion

395 This case study, which combined clinical examination and scoring of individual
396 performance score on a battery of neurological tests in conjunction with the hair
397 mercury data from the 2008 risk assessment and supplemented with additional
398 exposure data in 2012, found neurologic dysfunction consistent with mercury poisoning
399 among residents in Puleowime, Southeast Suriname.

400

401 Acknowledgments

402 This paper was prepared by the authors at the request of Noewahé Aptuk, the granman
403 from Puleowime. Drafts were translated by Eveline Monsanto and Sita Tempico and the
404 translated drafts were reviewed with community members who contributed comments
405 and approved the final draft. The health assessment team was comprised of the village
406 Granman, Captains and Community representatives; Sita Tempico (Wayana liaison,
407 translator); Tim K Takaro, MD, MPH, MS (Attending Physician); and Maureen van Dijk,
408 MD, MPH, Deputy Director of the Bureau of Public Health, Government of Suriname

409 (Attending Physician). Copying and drawing tests were interpreted by Julia Bode M.A.,
410 pediatric practice Böse O'Reilly & Konstantopoulos, Munich, Germany. Financial
411 support for this project was provided through an International Engagement Award from
412 Wellcome Trust (089659/Z/09/Z).

413

414

415

416

417

418

419

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434 Figures and Tables

435

436 Figure 1: Map of Suriname showing location of communities that led the community-
437 directed mercury risk assessment study.

438

439 Table 1. Criteria for the interpretation of individual risk¹ among indigenous Wayana
440 people in Suriname exposed to mercury.

441

442 Table 2. Criteria for the interpretation of R² values and the association between hair
443 mercury concentrations and individual risk and between the Index of Neurological
444 Integrity and Hg mercury concentration and age, which were assessed by linear
445 regression.

446

447 Table 3. Criteria for the interpretation of the index of neurological integrity (INI) as an
448 indicator of the potential health impacts among individuals exposed to mercury.

449

450 Table 4. Mercury exposure, health assessment survey and demographic data. The
451 Index of Neurological Integrity (INI) was a score assigned by the attending physician
452 that combined observations from the neurological exam which was comprised of six
453 metrics, the Drawing Test which contained four metrics, and the Copying Test which
454 contained six metrics: Gate (G), sensation to light or touch (ST), Two-Point

455 Discrimination Test (TP), Romberg Test (RT), Sharpened Romberg Test (SRT) and the
456 Finger to Nose Test (FTN). A total score of 0 – 25 was possible. Neurological impacts
457 were designated as positive (+ve) for (G, ST, TP, RT, SRT and FTN).

458

459 Table 5. Descriptive statistics including Age, Sample Size, Total Hair Mercury
460 concentrations ($\mu\text{g/g}$), Individual Risk values, and Index of Neurological Integrity results
461 for Puleowime (Apetina) in 2008 and 2012.

462 .

463 Table 6. The Coefficient of Determination (r^2) and probability values (p) as measures of
464 the strength of association between parameters.

465

466

467

468

469

470

471

472

473

474

475

476

477

478

479 References

480

481 1. D. Peplow, S. Augustine, Community-led assessment of risk from exposure to
482 mercury by native Amerindian Wayana in Southeast Suriname. *Journal of*
483 *Environmental and Public Health*, 2012:1-10.

484

485 2. D. Peplow, S. Augustine, Community-directed risk assessment of mercury exposure
486 from gold mining in Suriname. *Pan American Journal of Public Health*, 22 (3):202-
487 210.

488

489 3. D. Peplow, S. Augustine, E.L. Wijngaarde, Health research in Suriname: where
490 science and indigenous knowledge meet. *Health Exchange, Healthlink*: U.K. 2010.

491

492 4. M. Heemskerk, K. Delvoye, D. Noordam, P. Teunissen, Wayana baseline study: A
493 sustainable livelihoods perspective on the Wayana indigenous peoples living in and
494 around Puleowime (Apetina), Palumeu, and Kawemhaken (Anapaike) in Southeast
495 Suriname. Retrieved from <http://mariekeheemskerk.org/data/images/wayana>.

496

497 5. N. Frery, R. Maury-Brachet, Gold mining activities and mercury contamination of
498 native Amerindian communities in French Guiana: key role of fish in dietary uptake.
499 *Environ. Health Perspectives*, 2001, 109(5):449-456.

500

- 501 6. M.R. Karagas, A.L. Choi, E. Oken, M. Horvat, R. Schoeny, E. Kamai, W. Cowell, P.
502 Grandjean, S. Korrick, Evidence on the human health effects of low level
503 methylmercury exposure. *Environmental Health Perspectives*, 2012, 120(6):799-
504 806.
- 505
- 506 7. United Nations Economic and Social Council, Permanent Forum on Indigenous
507 Issues (eleventh session). 2012. Recommendations of the permanent forum. Report
508 number: 12-33647 (E) 110512.
- 509
- 510 8. J.E. Gray, V.F. Labson, J.N. Weaver, D.P. Krabbenhoft, Mercury and methylmercury
511 contamination related to artisanal gold mining, Suriname. *Geophysical Research*
512 *Letters*, 2012, 29(23):20.1–20.4.
- 513
- 514 9. J.H. Mol, J.S. Ramlal, C. Lietar, M. Verloo, Mercury contamination in freshwater,
515 estuarine, and marine fishes in relation to small-scale gold mining in Suriname,
516 South America. *Environmental Research Section A*, 2001, 86:183-197.
- 517
- 518 10. D. Schoen, The Health Effects of Methylmercury: A literature review. Cree Board of
519 Health and Social Services of James Bay: Chisasibi, Quebec. 2001.
- 520
- 521 11. S. Biggs, Resource-poor farmer participation in research: a synthesis of experiences
522 from nine national agricultural research systems. OFCOR Comparative Study Paper
523 3. International Service for National Agricultural Research. The Hague. 2001.

- 524 12. A. Cornwall, R. Jewkes, What is participatory research? *Soc Sci Med*, 1995, 41(12):
525 1667-1676.
526
- 527 13. T. Kjellstrom, P. Kennedy, S. Wallis, C. Mantell. Physical and Mental Development
528 of Children with Prenatal Exposure to Mercury from Fish. Stage I: Preliminary Tests
529 at Age 4. National Swedish Environmental Protection Board; Solna, Sweden: 1986.
530
- 531 14. T. Kjellstrom, P. Kennedy, S. Wallis, A. Stewart, L. Friberg, B. Lind. Physical and
532 Mental Development of Children with Prenatal Exposure to Mercury from Fish. Stage
533 II: Interviews and Psychological Tests at Age 4. National Swedish Environmental
534 Protection Board; Solna, Sweden: 1989.
535
- 536 15. P. Grandjean, P. Weihe, P.J. Jorgensen, T. Clarkson, E. Cernichiari, T. Videro.
537 Impact of maternal seafood diet on fetal exposure to mercury, selenium, and lead.
538 *Arch Environ Health*. 1992;47:185–95.[PubMed]
539
- 540 16. P. Grandjean, P. Weihe, R.F. White. Milestone development in infants exposed to
541 methylmercury from human milk. *Neurotoxicology*. 1995;16:27–33.[PubMed]
542
- 543 17. P. Grandjean, P. Weihe, R.F. White, F. Debes, S. Araki, K. Yokoyama, et al.
544 Cognitive deficit in 7-year-old children with prenatal exposure to methylmercury.
545 *Neurotoxicol Teratol*. 1997;19:417–28.[PubMed]
546

- 547 18. P.W. Davidson, G.J. Myers, C. Cox, C. Axtell, C. Shamlaye, J. Sloane-Reeves, et al.
548 Effects of prenatal and postnatal methylmercury exposure from fish consumption on
549 neurodevelopment: outcomes at 66 months of age in the Seychelles Child
550 Development Study. JAMA. 1998;280:701–7.[PubMed]
551
- 552 19. D. Peplow and R.L. Edmonds 2004. Distinguishing Natural from Man-Caused Trace
553 Element Contamination using Causal Inferential Methods for Ecoepidemiologists.
554 Proceedings, Eighth International Congress on Mine Water and the Environment.
555 International Mine Water Association. Johannesburg, South Africa. Pp. 331-349.
556
- 557 20. S. Bose-O'Reilly, K. M. McCarty, N. Steckling, B. Lettmeier. 2010, Mercury Exposure
558 and Children's Health, Curr Probl Pediatr Adolesc Health Care. Sep 2010; 40(8):
559 186–215.
560
- 561 21. S. Bose-O'Reilly, Example of a Health Assessment Survey in Protocols for
562 Environmental and Health Assessment of Mercury Released by Artisanal and Small-
563 Scale Gold Miners, MM Veiga and RF Baker, UNDP/UNIDO, 2004.
564
- 565 22. M. Frostig, D.W. Lefever, J.R.B. Whittlesey, A developmental test of visual
566 perception for evaluating normal and neurologically handicapped children.
567 *Perceptual and Motor Skills*, 1961, 12:383-394.
568

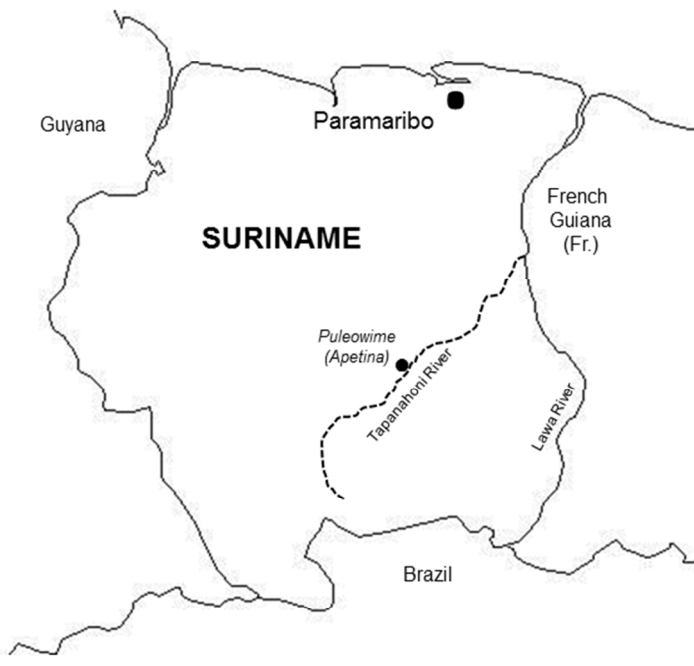
- 569 23. C. Chevrier, K. Sullivan, R.F. White, C. Comtois, S. Cordier, P. Grandjean,
570 Qualitative assessment of visuospatial errors in mercury-exposed Amazonian
571 children, *NeuroToxicology*, 2009, 30:37-46.
- 572
- 573 24. M.D. Adler, Against “individual risk”: a sympathetic critique of risk assessment,
574 *University of Pennsylvania Law Review*, 2005, 153(4):1121–1250.
- 575
- 576 25. T.M. Sullivan, F.W. Lipfert, S.C. Morris, P.D. Moskowitz, Potential health risk
577 reduction arising from reduced mercury emissions from coal-fired power plants,
578 Brookhaven Science Associates, LLC for the United States Department of Energy
579 under Contract no. DE-AC02-98CH10886, 2001.
- 580
- 581 26. Code of Federal Regulations 45 CFR §46.102[d] Title 45 Public Welfare,
582 Department of Health and Human Services; Part 46 Protection of Human
583 Subjects; Subpart A Basic HHA Policy for Protection of Human Subjects. Effective
584 July 14, 2009.
- 585
- 586 27. J. Dolbec, D. Mergler, Methylmercury exposure affects motor performance of a
587 riverine population of the Tapajos river, Brazilian Amazon. *Int Arch Occup Environ*
588 *Health*, 2001, 73(3):195-203.
- 589

- 590 28. E.M. Yokoo, G. Valente, Low level methylmercury exposure affects
591 neuropsychological function in adults. *Environmental Health: A Global Access*
592 *Science Source*, 2003, 2(8):1-11.
- 593
- 594 29. T. Kosatsky, P. Foran, Do historic studies of fish consumers support the widely
595 accepted LOEL for methylmercury in adults? *Neurotoxicology*, 1996, 17(1):177-186.
- 596
- 597 30. M. Veiga, R. Baker, United Nations Industrial Development Organization. Global
598 Mercury Project: Protocols for environmental and health assessment of mercury
599 released by artisanal and small-scale gold miners. 2004.
- 600
- 601 31. NRC, Toxicological Effects of Methylmercury. Washington, DC, National Research
602 Council, National Academy of Sciences, 2000.
- 603
- 604 32. Suralco, "Sustainability report," [http://www.bnl.gov/des/ertd/TechDevelApp/](http://www.bnl.gov/des/ertd/TechDevelApp/files/pdf/71538-Potential-Health-Risk-Reduction-Arising-From-Reduced-Hg-Emissions.pdf)
605 [files/pdf/71538-Potential-Health-Risk-Reduction-Arising-From-Reduced-Hg-](http://www.bnl.gov/des/ertd/TechDevelApp/files/pdf/71538-Potential-Health-Risk-Reduction-Arising-From-Reduced-Hg-Emissions.pdf)
606 [Emissions.pdf](http://www.bnl.gov/des/ertd/TechDevelApp/files/pdf/71538-Potential-Health-Risk-Reduction-Arising-From-Reduced-Hg-Emissions.pdf), 2003.
- 607
- 608 33. A.M. Shipp, P.R. Gentry, Determination of a site-specific reference dose for
609 methylmercury for fish-eating populations. *Toxicology and Industrial Health*, 2000,
610 16:335-438.
- 611

- 612 34. D. Mergler, J. Dolbec, Methylmercury exposure and neurotoxic effects in the
613 Brazilian Amazon, *Methylmercury Workshop Report, Response to questions by the*
614 *study team for the Amazon studies*, 1998.
- 615
- 616 35. J. Lebel, D. Mergler, M. Lucotte, M. Amoorim, J. Dolbec, D. Miranda, et al. Evidence
617 of early nervous system dysfunctions in Amazonian populations exposed to low-level
618 methyl mercury. *Neurotoxicology* 1996; 17:157-68.
- 619
- 620 36. J. Label, D. Mergler, F. Branches, M. Lucotte, M. Amorim, F. Larribe, et al.
621 Neurotoxic effects of low-level methyl mercury contamination in the Amazonian
622 Basin. *Environ Res* 1998; 79:20-32.
- 623
- 624 37. J. Dolbec, D. Mergler, C.J.S. Passos, S.S. Morais, J. Lebel. Methyl mercury
625 exposure effects motor performance of a riverine population of the Tapajos River,
626 Brazilian Amazon. *Int Arch Occup Environ Health* 2000; 73:195-203.
- 627
- 628 38. E.M. Yokoo, J.G. Valente, L. Grattan, S.L. Schimidt, I. Platt, E.K. Silbergeld. Low
629 level methyl mercury exposure affects neuropsychological functions in adults.
630 *Environ Health* 2003;2-8.
- 631
- 632 39. P. Grandjean, R.F. White, A. Nielsen, D. Cleary, E.C.O. Santos. Methyl mercury
633 neurotoxicity in Amazonian children downstream from gold mining. *Environ Health*
634 *Perspect* 1999; 107:587-91.

- 635 40. S. Cordier, M. Garel, L. Mandereau, H. Morcel, P. Doineay, S. Gosme-Seguret, et al.
636 Neurodevelopmental investigations among methyl mercury-exposed children in
637 French Guiana. *Environ Res* 2002; 89:1-11.
638
- 639 41. B. Weiss, S. Stern, C. Cox, M. Balys, Perinatal and lifetime exposure to
640 methylmercury in the mouse. *Neurotoxicology*, 2005, 26(4):675-90.

Figure 1. Map of Suriname showing location of communities that led the community-directed mercury risk assessment study.



190x254mm (96 x 96 DPI)

Table 1. Criteria for the interpretation of individual risk¹ among indigenous Wayana people in Suriname exposed to mercury.

Hair Mercury Concentration (ppm)	Probability of Neurological Effects
0-3	0
4	1×10^{-4}
5-6	1×10^{-3}
7	2×10^{-3}
8	3×10^{-3}
9	5×10^{-3}
10	1×10^{-2}
11	1×10^{-1}
12	4×10^{-1}
>13	6×10^{-1}

¹ T.M. Sullivan, F.W. Lipfert, S.C. Morris, P.D. Moskowitz, Potential health risk reduction arising from reduced mercury emissions from coal-fired power plants, Brookhaven Science Associates, LLC for the United States Department of Energy under Contract no. DE-AC02-98CH10886, 2001.

Table 2. Criteria for the interpretation of R^2 values and the association between hair mercury concentrations and individual risk and between the Index of Neurological Integrity and Hg mercury concentration and age, which were assessed by linear regression.

R^2 Value	Interpretation
< 0.04	Slight, almost negligible relationship
0.04 – 0.16	Low correlation, definite but small relationship
0.16 – 0.49	Moderate correlation, substantial relationship
0.49 – 0.81	High correlation, marked relationship
0.81 – 1.00	Very high correlation, very dependable relationship

Table 3. Criteria for the interpretation of the index of neurological integrity (INI) as an indicator of the potential health impacts among individuals exposed to mercury.

INI Score Interpretation	
INI Score	Interpretation
< 5	No Effect
6 – 10:	Few Effects
11 – 15:	Moderate Effects
16 – 20:	High Effects
21 – 25:	Very High Effects

Table 4. Mercury exposure, health assessment survey and demographic data. The Index of Neurological Integrity (INI) was a score assigned by the attending physician that combined observations from the neurological exam which was comprised of six metrics, the Drawing Test which contained four metrics, and the Copying Test which contained six metrics: Gate (G), sensation to light or touch (ST), Two-Point Discrimination Test (TP), Romberg Test (RT), Sharpened Romberg Test (SRT) and the Finger to Nose Test (FTN). A total score of 0 – 25 was possible. Neurological impacts were designated as positive (+ve) for (G, ST, TP, RT, SRT and FTN).

Sample No.	2008		2012		Age	Gender	G	FTN	RT	SRT	ST	TP	Neuro			INI
	Hg µg/g	Hg µg/g	score	Copying									Drawing			
1	16	11	70	F	+ve	+ve	0	+ve	+ve	0	4	8	8	20		
2	20	12	37	F	+ve	0	0	+ve	0	0	2	5	2	9		
3	24	14	6	M	0	+ve	+ve	+ve	0	0	3	5	4	12		
4	28	21	32	F	0	0	0	0	+ve	0	1	2	4	7		
5	25	18	41	F	+ve	+ve	0	0	0	0	2	5	8	15		
6	25	18	10	F	0	0	0	0	+ve	0	1	3	8	12		
7	26	14	5	F	0	0	0	0	+ve	+ve	2	7	6	15		
8	18	17	71	M	+ve	+ve	+ve	+ve	0	+ve	5	10	8	23		
9	22	19	9	F	0	0	+ve	0	0	0	1	3	8	12		
10	21	12	57	F	+ve	0	+ve	+ve	+ve	0	4	4	4	12		
11	26	14	9	F	0	0	0	+ve	0	0	1	3	4	8		
12	32	18	27	F	0	+ve	0	0	+ve	0	2	5	8	15		
13	20	15	6	F	0	0	+ve	0	+ve	+ve	3	7	1	11		
14	12	10	70	F	+ve	+ve	+ve	+ve	+ve	+ve	6	11	2	19		
15	34	10	10	M	0	0	0	0	+ve	0	1	2	6	9		
16	13	17	20	F	+ve	+ve	+ve	+ve	+ve	0	5	2	8	15		
17	22	9	18	F	0	0	0	+ve	0	0	1	4	8	13		
18	24	9	49	F	+ve	0	+ve	+ve	+ve	+ve	4	7	8	19		
19	20	11	15	F	0	+ve	0	+ve	0	0	2	2	4	8		
20	30	13	37	F	0	0	0	+ve	0	+ve	2	4	4	10		
21	30	10	28	F	0	0	0	0	+ve	+ve	2	6	2	10		
22	24	20	6	M	0	+ve	+ve	+ve	+ve	0	2	6	1	9		

Table 5. Descriptive statistics including Age, Sample Size, Total Hair Mercury concentrations ($\mu\text{g/g}$), Individual Risk values, and Index of Neurological Integrity results for Puleowime (Apetina) in 2008 and 2012.

	Puleowime (Apetina)		
	2008	2012	
Average Age	24	29	
Number	158	22	
Mean Hair Total Mercury Concentration ($\mu\text{g/g}$) \pm SD	23 \pm 6	13 \pm 4	$r^2 = 0.01$
Median Hair Total Mercury Concentration ($\mu\text{g/g}$)	24	14	
Range Hair Total Mercury Concentration ($\mu\text{g/g}$)	12 -34	9 - 21	
Mean of Individual Risk (08 x 12)	0.86	0.57	$r^2 = 0.03$
Mean Index of Neurological Integrity (0 good - 25 impaired)	--	13	
Median Index of Neurological Integrity (0 good - 25 impaired)	--	12	
Range for Index of Neurological Integrity (0 good - 25 impaired)	--	7 - 22	

Table 6. The Coefficient of Determination (r^2) and probability values (p) as measures of the strength of association between parameters.

		r^2	p
Total Mercury Concentration 2008 x Total Mercury Concentration 2012	Students t-test	--	<0.01
Index of Neurological Integrity x Gender	Students t-test	--	0.91
Index of Neurological Integrity x 2012 Total Mercury Concentration	Regression	0.01	--
Index of Neurological Integrity x Age	Regression	0.36	--