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Environmental impact statement

The most challenging issue facing developing countries are the cost of inadequate sanitation that is translated into significant economic, social, and environmental burdens. As communities grow, there is no adequate means of waste disposal, which will affect the quality of the waterway. Although most sanitation facilities are valued for their benefit and costs, their long-term performance should be investigated. In this study, we develop a septic sludge treatment plant (SSTP) effluent prediction model. Immune network algorithm (INA) adopted during SSTP modeling. The performance of the SSTP's effluent removal efficiency was examined. INA-based SSTP model fosters effective environmental management tool.

Prediction Analysis of Effluent Removal in a Septic Sludge Treatment Plant: A Biomimetics Engineering Approach

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Abstract

Effluent discharge from septic tanks is affecting the environment in developing countries. The most challenging issue facing these countries is the cost of inadequate sanitation that is translated into significant economic, social, and environmental burdens. Although most sanitation facilities are valued for their benefit and costs, their long-term performance should be investigated. In this study, effluent quality—namely, the biological oxygen demand (BOD), chemical oxygen demand (COD), and total suspended solid (TSS)—was assessed through a biomimetics engineering approach. A novel approach of immune network algorithm (INA) was applied to a septic sludge treatment plant (SSTP) for effluent-removal predictive modelling. The Matang SSTP in the city of Kuching, Sarawak, on the island of Borneo was selected as a case study. Monthly effluent discharges from 2007 to 2011 were used for training, validating, and testing purposes using MATLAB 7.10. The results showed that the BOD effluent-discharge prediction was less than 50% of the specified standard after the 97th month of operation. The COD and TSS effluent- prediction removal were simulated at the 85th and the 121st months, respectively. The study proved that the proposed INA-based SSTP model could be used to achieve an effective SSTP assessment and management technique.

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28 Keywords: Artificial immune system; effluent quality; immune network algorithm; prediction;
29 septic sludge treatment plant

30

31 1.0 Introduction

32

33 Environmental issues are of foremost concern today, and they will continue to be in the years
34 ahead. In particular, environmental concerns regarding water and wastewater management in
35 developing countries need to be addressed. As communities grow, there is no adequate means of
36 waste disposal, which will affect the quality of the waterway, and possibly cause a scarcity in the
37 sources of drinking water [1]. Our understanding of environmental development suggests the
38 need to construct an effective and viable infrastructure to protect the ecosystem and public
39 health. Therefore, it is essential to manage waste control and provide better water resource
40 management.

41

42 In Malaysia, 97% of the water supply comes from surface water, and the rest comes from
43 groundwater. In 2012, the Malaysian Department of Statistics [2] stated that the major sources of
44 pollution come from improper discharge from sewage treatment plants, agro-based industry,
45 livestock farming, land-clearing activities, and domestic sewage. Urban sewage systems in
46 Malaysia, especially in the state of Sarawak, are poor and deteriorating. Wastewater from
47 domestic and commercial areas is channelled into septic tanks before being discharged to
48 perimeter drains. However, desludging of the septic tanks is often not carried out. Overflowing
49 sewage from septic tanks pollutes waterways. However, constructing a wastewater treatment
50 facility is costly and the benefits are often ambiguous.

51

52 Stakeholders and engineers are trying to find solutions that will satisfy both environmental and
53 economic criteria [3]. The State Government of Sarawak has placed a heavy emphasis on
54 sustainable development of wastewater management. In 2005, a septic sludge treatment plant
55 (SSTP) using sequence batch-reactor technology was constructed to treat the septic sludge. The
56 treatment plant began its operations in 2007 when the desludging by-laws were gazetted. Thus,
57 effluent removal from the treatment plant needs to be monitored and controlled in order to
58 achieve the required standards.

59
60 This study proposes a new model that utilises a biomimetics engineering approach. Our model
61 can be used by government agencies, local authorities, technical consultants, and contractors in
62 monitoring the SSTP effluent removal.

63 64 1.1 Septic Sludge Treatment Plant

65
66 The SSTP process can be characterised as a multi-input process. As highlighted by Nielson and
67 Hauschild in 1998 [4], the process is difficult due to the non-linear relationship between the input
68 fraction and the pollution emissions. In addition, constructing a treatment plant is expensive and
69 calibration of SSTP modelling is particularly challenging because of the biology involved.
70 However, the modelling and simulation of an SSTP is valuable [5], especially in forensic
71 analysis. Currently, the use of an activated sludge-model approach is used both in both industry
72 and academia [6][7]. As such, forensic analysis is used to ascertain the characteristic of the
73 current treatment plant so it can be a reference for future SSTP development.

74
75 In effluent-removal-model development, INA is applied to reduce redundancy as well as on the
76 input fraction of the data structure [8]. The immune network theory was introduced by Jerne
77 (1974) [9], and the idea has been developed further [10][11][12]. In this study, the effluent
78 removal from the SSTP is predicted using INA.

79 80 2.0 Materials and Methods

81
82 The forensic analysis for an SSTP was undertaken to assess its compliance with the discharge
83 standards and monitoring requirements for Malaysia's regulation. Although the current SSTP
84 situation satisfies the standards imposed, the current processes need to be closely monitored to
85 ensure that the SSTP development will not significantly increase environmental and public
86 health risks in Kuching. As the study carried out by Ye, Luo, and Xu (2009) [13] showed,
87 effluent quality is the most important criterion of a wastewater treatment plant. In this study, an
88 INA-based SSTP was developed to investigate the compliance of effluent discharge to the
89 standards and monitoring requirements. In light of this previous study, the required monthly

90 effluent samples [14] were collected at the Matang SSTP from 2007 to 2011 by an in-house
91 laboratory.

92

93 2.1 Study Area

94

95 Sarawak is located on the northwestern part of the island of Borneo (Fig. 1). Kuching is the
96 capital city of Sarawak and it is administered by two distinct entities: a local authority (City
97 Council) and a state government statutory body granted a city hall status. The city is divided into
98 North and South Kuching by the Sarawak River.

99

100 In 2010, the total population in Kuching was 617, 887 and that number is projected to increase
101 35% by the year 2040 [15]. With such a fast-growing city, a clean water supply and efficient
102 wastewater management are necessary. To date, there are about 70,000 septic tanks throughout
103 Kuching. With the stringent requirements imposed by the Malaysia Environmental Quality Act
104 of 1974 and the Environmental Quality (Sewage) Regulation of 2009, septic sludge must be
105 treated before being discharged into the waterways.

106

107 In light of these laws, the Local Authority (Compulsory Desludging of Septic Tanks) By-Laws
108 of 1998 were put into effect. The Matang SSTP was built on the upstream tributary of the
109 Sarawak River where effluent was discharged into the river that enters the capital city (Fig. 1).
110 Therefore, forecasting effluent removal from the treatment plant is essential in preserving the
111 ecosystem. This study further confirms that the new infrastructures must be designed to an
112 appropriate standard that would be resilient within urban development.

113

114 2.2 Immune Network Algorithms (INA) Prediction Analysis Development

115

116 We conducted a prediction study to identify the effectiveness of the designed treatment plant,
117 Matang SSTP. Effluent discharge from the treatment plant was monitored and controlled to
118 achieve the required standards. This study was performed based on a quantitative process using
119 statistical analysis to mimic the end results obtained by an actual SSTP scenario. Collected
120 effluent parameters such as biological oxygen demand (BOD), chemical oxygen demand (COD),
121 and total suspended solid (TSS) were analysed to identify the current performance of the
122 treatment plant.

123
124 The efficiency of the post-effluent discharge analysis and management technique depends upon
125 the successful integration of scientific knowledge, data, analysis, risk assessment, and
126 management ideals [16]. In this study, the proposed model could be used to gauge effluent
127 discharge in the future.

128
129 This study carried up using self-written pseudocode tailored specifically for the study area with
130 MATLAB 7.10. The proposed algorithm is summarized as follows:

131
132 *Initialization: Create an initial random set of network antibodies, N*

133 *For all patterns in a set of patterns to be recognised, S, do:*

- 134 *1. Determine the affinity with each antibody in N*
- 135 *2. Generate clones of a subset of antibodies in N with the highest affinity that is*
136 *proportional to its affinity*
- 137 *3. Mutate each clone inversely proportional to the affinity as set A, the number of new*
138 *antibodies established, and the number of highest-affinity clones introduced into a*
139 *clonal memory set C*
- 140 *4. Eliminate all elements of C whose affinity with the antigen is less than a pre-defined*
141 *threshold*
- 142 *5. Incorporate the remaining clones of C into N*
- 143 *6. Determine the affinity between each pair of antibodies in N*
- 144 *7. Eliminate all antibodies whose affinity is less than the threshold of the network*
145 *affinity threshold*
- 146 *8. Finally, introduce a random number of randomly generated antibodies and place into*
147 *N.*

148
149 These steps will be described in detail as follows:

150 In Initialization, a random network is created. Antibody is represented by C and receives as input
151 a set of antigens, Ag in the immune network (BOD, COD and TSS). Each antigenic pattern is
152 represented by the following functions:

153
154
$$C = [Ab_1, Ab_2, \dots, Ab_n] \quad (\text{Equation 1})$$

$$155 \quad Ag = [Ag_1, Ag_2, \dots, Ag_n] \quad (\text{Equation 2})$$

156
 157 The affinity is determined using Equation 3 and the n highest affinity antibodies is selected. In
 158 CSA principal, the affinity is determined through shape-space concept using real-valued
 159 coordinates to measure the distance in the form of Euclidean shape-spaces. The affinity D
 160 between an antigen and antibody is identified through Euclidean distance (Equation 3) which
 161 indicates the distance between the molecules. From the interaction between the two attribute
 162 strings into a nonnegative real number that corresponds to their affinity or degree of match, $S^L \times$
 163 $S^L \rightarrow R^+$.

$$164 \quad D = \sqrt{\sum_{i=1}^L (Ab_i - Ag_i)^2} \quad (\text{Equation 3})$$

166
 167 Next, the n selected antibodies is going to proliferate (clone) and proportionally to their antigenic
 168 affinity generating a set A of clones through the following employed equation:

$$169 \quad N_c = \sum_{i=1}^n \text{round}(Ab_i - D.Ab_i) \quad (\text{Equation 4})$$

171
 172 where N_c is the total clone size generated for each of the antigens

173
 174 The set A is submitted to a directed maturation process. In the clonal suppression, those memory
 175 clones that are less than the threshold are eliminated. In suppression stage, cell similarity
 176 mechanism for reducing redundancy.

177
 178 In the mutation stage, the network, C generates antibodies with higher affinities and enhances the
 179 population according to the following equations:

$$180 \quad C^* = C + \alpha N(0, \sigma) \quad (\text{Equation 5})$$

$$181 \quad \alpha = \left(\frac{1}{\beta}\right) e^{(-aff)} \quad (\text{Equation 6})$$

183 Where C^* is a mutated cell C , $N(0, \sigma)$ is a vector of independent Gaussian random variables of
184 zero mean and standard deviation $\sigma = 1$, aff is the affinity of the antibody, which is normalized
185 in the range $[0, 1]$, α is a factor that resizes the value of the Gaussian mutation and it is inversely
186 proportional to the affinity. ρ is a parameter that controls the smoothness of the inverse
187 exponential. β is the control parameter to adjust the mutation range. If C^* exceeds the functions
188 specified domain, then it is rejected and removed from the population.

189

190 Lastly, the network suppression removes any similar or non-stimulated antibodies and antibodies
191 that fall below the pre-determined suppression threshold.

192

193 3.0 Results and Discussion

194 3.1 Simulation Results

195 In regards to the INA approach, the effluent discharge is presented in graphical comparisons
196 using a box-and-whisker diagram to investigate the model's reliability. The proposed INA model
197 is calculated through a root mean square error (RMSE) of the Matang SSTP with ten iterations
198 at each detector in BOD, COD, and TSS effluent removal data from 2007 to 2009. From the
199 training process, 200 detectors produced the lowest mean for BOD and 450 detectors for COD
200 and TSS.

201

202 Effluent data that were trained were used in the validating and testing processes. The model
203 validation and testing were performed to express the actual SSTP performance. The percentage
204 of accuracy in the validation stage for COD, TSS, and COD are 92.56%, 94.90% and 92.90%,
205 respectively. In the testing stage, COD was recorded at 90.00%, TSS at 88.87%, and 89.96% for
206 BOD. The graphical results obtained from the proposed INA-based SSTP model is shown in
207 Figs. 2, 3, and 4 for BOD, COD and TSS, respectively.

208

209 Performance indexes such as RMSE, mean absolute percentage error (MAPE), and correlation
210 coefficient (R) were utilized in the modelling scenario [6]. Therefore, the indexes are further
211 investigated in the INA-based SSTP model. BOD, COD, and TSS effluent removal recorded R^2
212 as 1. RMSE and MAPE for BOD are found to be 0.031 and 0.3397%, respectively (Fig. 5). COD

213 is about 0.0638 and 0.5141% for RMSE and MAPE, respectively (Fig. 5). For TSS effluent,
214 0.0748 and 0.6025% are recorded for RMSE and MAPE, respectively (Fig. 5).

215
216 The proposed model underwent a cross-validation process in 2011 to obtain new antigens to
217 create new immune networks for prediction purposes. This process further verified the model's
218 improvement and development. The results are tabulated in Table 1. The simulated results were
219 tested in 12 random trials to examine the reliability and performance of the proposed INA-based
220 SSTP model.

221
222 On the other hand, to ensure that the SSTP comply with the Malaysia Environmental Quality Act
223 of 1974 and the Environmental Quality (Sewage) Regulation of 2009, Sibu SSTP was tested in
224 order to present SSTPs in Sarawak. Table 2 shows the accuracy of the prediction on both SSTPs.
225 It is also found that the simulation was successfully tested on Sibu SSTP with the accuracy of the
226 prediction were > 80%.

227

228 3.2 Effluent Removal Prediction

229 A new, randomly generated antibody system was used to predict the performance of the
230 proposed INA-based SSTP model. General efficiency indicators of average BOD, COD, and
231 TSS were applied to compare the overall performances of the treatment plant [17]. The results
232 showed that the BOD effluent-discharge prediction was less than 50% of the specified standard
233 after the 97th month (Fig. 6) of operation. The COD and TSS effluent prediction removal were
234 simulated at the 85th (Fig. 7) and the 121st months (Fig. 8), respectively. As a result, this
235 proposed model is found to be useful in: (1) identifying the post-effectiveness of the treatment
236 plant, (2) developing an effluent-removal prediction tool in the treatment plant, and (3)
237 inculcating forensic studies.

238

239 4.0 Conclusion

240 This study presents a forensic analysis framework for a septic sludge treatment plant and a case
241 study on the development and utilisation of the framework for the city of Kuching, Sarawak. The

242 study leads to the development of a novel approach in assessing forensic analysis of treatment
243 plants. The concept of the artificial immune network was adopted and the simulated forensic
244 assessment obtained showed that an effective monitoring method can be produced by developing
245 the quantitative approach in the assessment process. The proposed INA-based SSTP model
246 should be utilised by regulatory authorities for the assessment and management of treatment
247 plants.

248

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293 Evaluation of municipal wastewater treatment plants with different technologies at Las-
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- 295

296 Table 1. A Comparison of Cross-Validation of the Matang SSTP for BOD, COD, and TSS
 297 Effluents

No. of Trials	Immune Network Algorithm		
	Validation for BOD (Year 2011)	Validation for COD (Year 2011)	Validation for TSS (Year 2011)
	Average Forecasting Error	Average Forecasting Error	Average Forecasting Error
1	0.84	0.93	0.83
2	0.92	0.88	0.72
3	0.93	0.89	0.80
4	0.85	0.92	0.88
5	0.71	0.85	0.94
6	0.90	1.08	0.90
7	0.62	0.71	0.80
8	1.02	0.95	0.82
9	1.06	1.12	0.64
10	0.80	0.76	0.72
11	0.76	0.77	0.59
12	0.80	0.83	0.57
Average	0.85	0.89	0.77

298

299

300

301 Table 2. Comparison of predicted effluents removal for Matang and Sibul SSTPs

Effluent	Matang SSTP			Sibu SSTP		
	Sample	RMSE	Accuracy	Sample	RMSE	Accuracy
COD	12	0.834	90.00%	12	0.825	89.54%
TSS	12	0.927	88.87%	12	0.600	87.86%
BOD	12	0.836	89.96%	12	0.628	87.89%

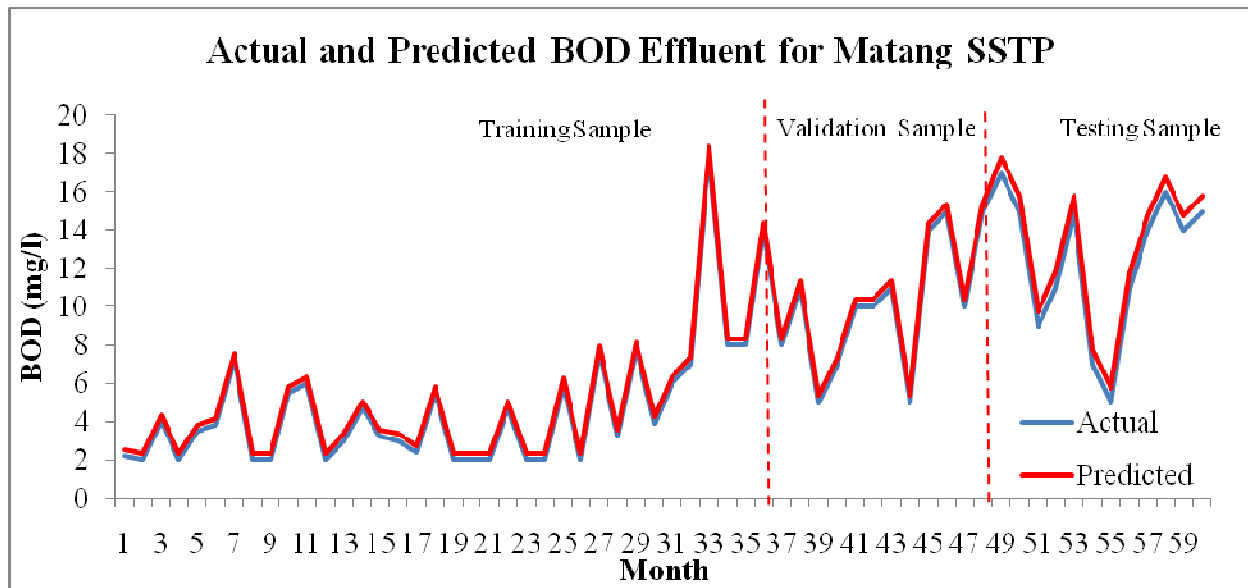
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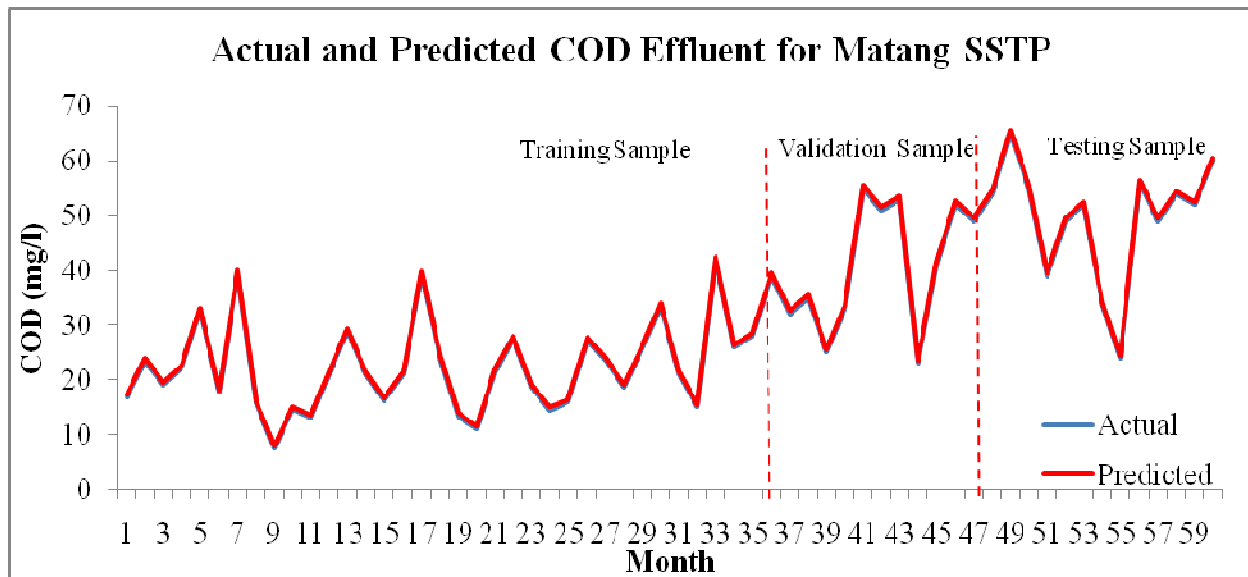
Fig. 1: Septic sludge treatment plant in Matang, Kuching, Sarawak.



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Fig. 2. Pattern recognition of the INA-based SSTP model for BOD effluent removal.

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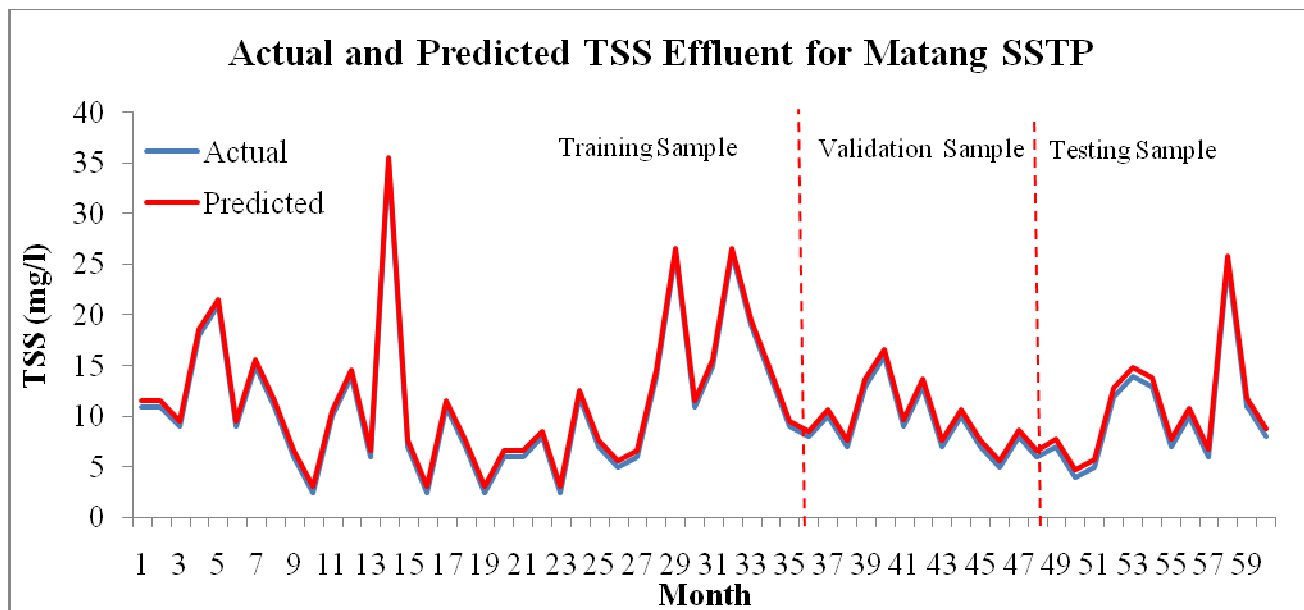


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Fig. 3. Pattern recognition of the INA-based SSTP model for COD effluent removal.

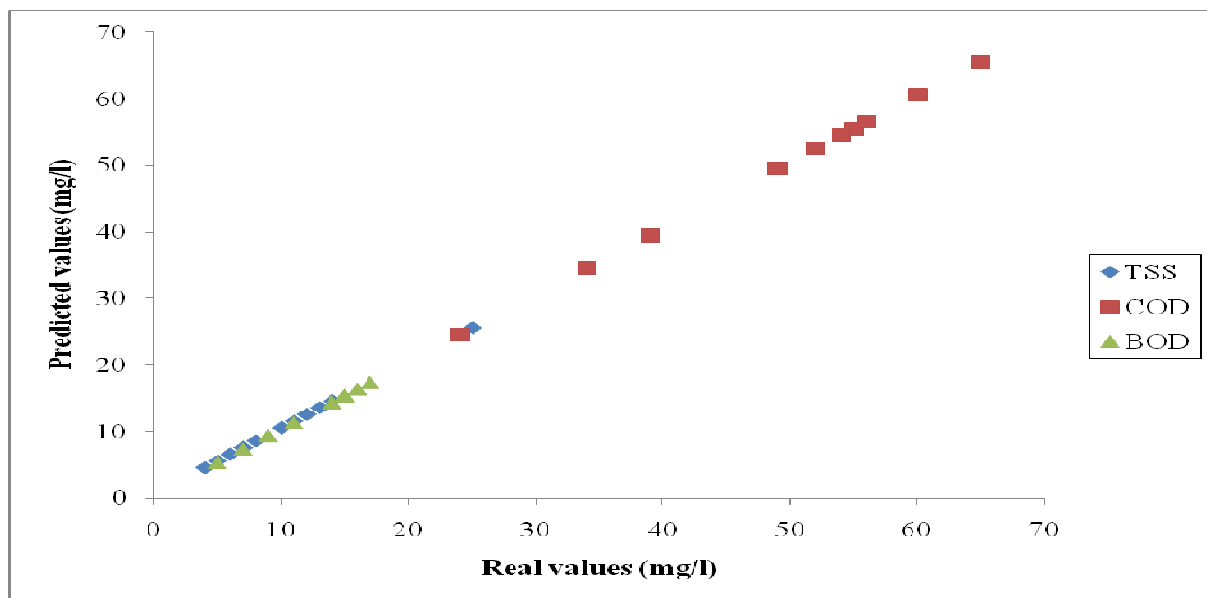
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Fig.4. Pattern recognition of the INA-based SSTP model for TSS effluent removal.

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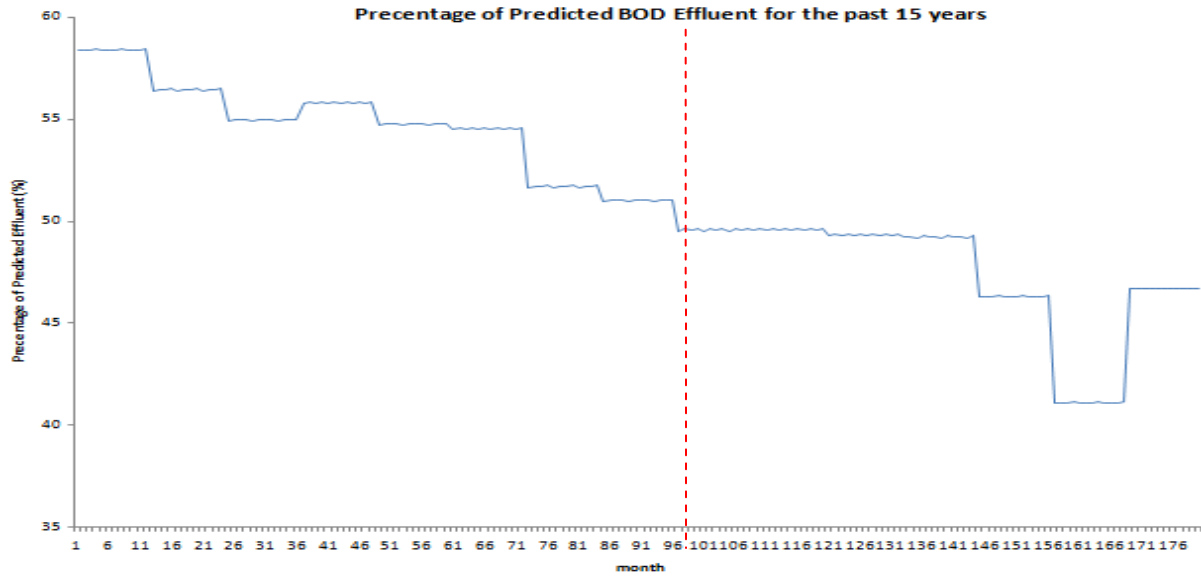


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Fig. 5. Test performance of TSS, COD and BOD effluent using the proposed INA model.

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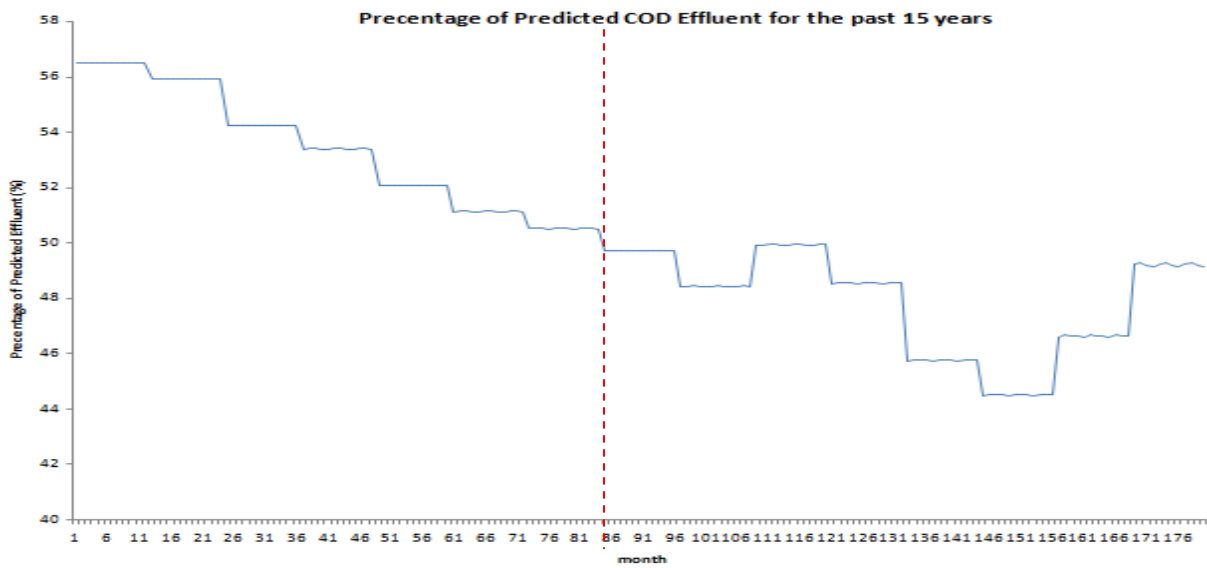


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Fig. 6. BOD effluent prediction removal for the next 15 years.

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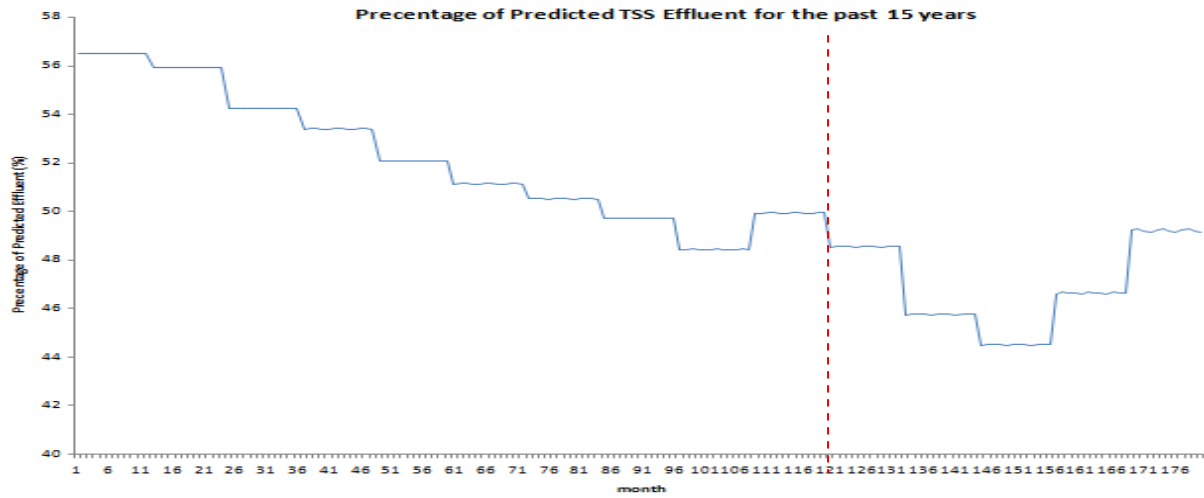


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Fig. 7. COD effluent prediction removal for the next 15 years.

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Fig. 8. TSS effluent prediction removal for the next 15 years.

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