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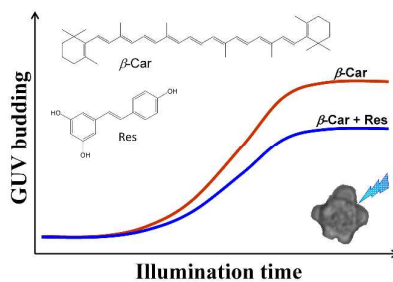
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### TOC Graphic



### TOC Text

$\beta$ -Carotene and *trans*-resveratrol protect biomembrane from oxidative stress synergistically, where  $\beta$ -carotene induces lag phase and *trans*-resveratrol reduces liposomal budding rate.

1 **Nutritional aspects of  $\beta$ -carotene and resveratrol antioxidant synergism in giant**  
2 **unilamellar vesicles**

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## 20 Abstract

21 Giant unilamellar vesicles of soy phosphatidylcholine are found to undergo budding when  
22 sensitized with chlorophyll *a* ([phosphatidylcholine]:[chlorophyll *a*]=1500:1) under light irradiation  
23 (400–440 nm, 16 mW/mm<sup>2</sup>). ‘Entropy’ as a dimensionless image heterogeneity measurement is  
24 found to increase linearly with time during an initial budding process. For  $\beta$ -carotene addition  
25 ([phosphatidylcholine]:[ $\beta$ -carotene]=500:1), a lag phase of 23 s is observed, followed by a budding  
26 process at an initial rate lowered by a factor of 3.8, whereas resveratrol  
27 ([phosphatidylcholine]:[resveratrol]=500:1) has little if any protective effect against budding.  
28 However, resveratrol, when combined with  $\beta$ -carotene, is found to further reduce the initial budding  
29 rate by a total factor of 4.7 exhibiting synergistic antioxidation effects. It is also interesting that  
30  $\beta$ -carotene alone determines the lag phase for initiation of budding while resveratrol supports  
31  $\beta$ -carotene in reducing the rate of budding process following the lag phase but it alone has no  
32 observable effect on the lag phase. Resveratrol is suggested to regenerate  $\beta$ -carotene following its  
33 sacrificial protection of unsaturated lipids from oxidative stress, modeling synergistic effects in cell  
34 membranes by combinations of dietary antioxidants.

35

36 **Keywords:** antioxidant synergism,  $\beta$ -carotene, giant liposome budding, *trans*-resveratrol,  
37 membrane integrity

38

## 39 Abbreviations Used

40 AUC, area under curve;  $\beta$ -Car, all-*trans*- $\beta$ -carotene; Chl*a*, chlorophyll *a*; *E*, image entropy; GUV,  
41 giant unilamellar vesicle; LP, lag phase; PBS, phosphate buffer solution; PC,

42 L- $\alpha$ -phosphatidylcholine; ROI, region of interest; Res, *trans*-resveratrol;  $^1\text{O}_2$ , singlet oxygen

43

44

## 45 **Introduction**

46 Oxidative stress is recognized as a threat to human health in relation to exposure to environmental  
47 pollution and consumption of processed foods <sup>1,2</sup>. Membranes are organizing biological systems but  
48 are sensitive to oxidative damage, which is further detrimental to cellular integrity and function  
49 unless repaired <sup>3</sup>. Dietary supplementation with single exogenous antioxidant such as  $\beta$ -carotene  
50 ( $\beta$ -Car) in high dosage was, however, found to exhibit adverse effect on human health <sup>4</sup>, suggesting  
51 that antioxidant interaction is important for optimal protection of membranal integrity and function.  
52 A balance between individual antioxidants, as found in many foods of vegetarian origin, therefore  
53 seems essential in order to maximize the protective effect <sup>5-7</sup>.

54  
55 Carotenoids are efficient singlet oxygen ( $^1\text{O}_2$ ) quenchers protecting against light-induced oxidative  
56 damage as in the skin and eye tissues and they are also good radical scavengers <sup>8</sup>. Moreover, there is  
57 increasing experimental evidence for synergistic antioxidant interaction between carotenoids and  
58 electron-donating antioxidants such as plant polyphenol anions <sup>9-11</sup>. Such antioxidant synergism is  
59 often the results of regeneration of a chain-breaking antioxidant by other antioxidants that are less  
60 efficient under the actual conditions <sup>12</sup>. For such synergistic antioxidant regeneration in  
61 heterogeneous systems, the regenerating antioxidant needs to be more reducing than the regenerated  
62 one serving as the primary active antioxidant in the lipid phase. Notably, lipid-water partitioning  
63 properties of the interacting antioxidants are also important for efficient regeneration <sup>11</sup>.

64  
65 Liposomes are vesicles composed of lipid bilayers made from phospholipids or other amphiphiles.  
66 In recent studies, liposomes are widely used as vehicle for drug delivery <sup>13</sup> and for simulation of

67 cellular membrane structure and tissue function<sup>14–16</sup>. Giant unilamellar vesicles (GUVs), with  
68 typically 5–200  $\mu\text{m}$  diameters, are composed of single lipid bilayer and are found to undergo a  
69 variety of ‘cytomimetic’ morphological transformations such as fusion, fission and budding<sup>17–19</sup>.  
70 Such transformations can be directly monitored using optical microscopy, as GUVs equal or exceed  
71 cellular dimensions<sup>20–22</sup>.

72  
73 Fluorescence microscopy combined with digital image processing techniques has enabled direct  
74 visualization of morphological changes of GUVs<sup>23,24</sup>. For GUVs composed of naturally occurring  
75 phospholipids, photo-induced chemical reactions of unsaturated fatty acids such as polymerization  
76 and (per)oxidation are suggested to cause morphological changes such as budding<sup>25–28</sup>. Formation  
77 of hydroperoxides<sup>29</sup> as the primary oxidation products of unsaturated fatty acids is thus proposed to  
78 initiate changes in molecular polarity, leading to surface tension and curvature change and  
79 eventually to phase separation and budding<sup>30</sup>. Taking advantage of digital image processing  
80 techniques, such microscopic observation at the single liposome level facilitates analysis of  
81 heterogeneities in the shape and the size of individual GUVs.

82  
83 The present study was designed to investigate the antilipoxidation activities of  $\beta$ -Car as a carotenoid  
84 and *trans*-resveratrol (Res) as an important non-flavonoid plant polyphenol, as well as their possible  
85 antioxidant interaction, at the level of single GUV. It is also of relevance to the fields of skin  
86 protection from sunlight, since supplementation of both  $\beta$ -Car and Res in the skin was found to  
87 increase dermal defense against ultraviolet irradiation<sup>31,32</sup>, and there are also studies carried out to  
88 optimize the bioavailability of the two antioxidant nutrients<sup>33,34</sup>.  $\beta$ -Car is readily absorbed

89 especially from lipid-rich, processed food, and is important both as a pro-vitamin A and as an  
90 antioxidant<sup>7,33</sup>. Res has a high bioavailability following oral absorption resulting in blood levels of  
91 up to several  $\mu\text{mol/L}$  when in a soluble form in food and beverages<sup>34</sup>. Still, their interaction as  
92 antioxidants in membranes affecting human health is largely unexplored. The results of present  
93 works should also be of relevance as a more generalized data analysis method for other  
94 microscopy-related imaging techniques. The present study is based on a novel strategy to  
95 investigate interaction of different dietary antioxidants, allowing direct quantification of antioxidant  
96 synergism in membranal structures under oxidative stress.

97

## 98 **Experimental**

99 **Chemicals and Liposome Preparation.** Soybean L- $\alpha$ -phosphatidylcholine (PC, 23%) and  
100 all-*trans*- $\beta$ -carotene ( $\beta$ -Car, >95%) were purchased from Sigma Aldrich (St. Louis, MO, USA).  
101 *trans*-Resveratrol (Res, >98%) was purchased from Shaanxi Huike Botanical Development Co. Ltd.  
102 (Xi'an, China). Chlorophyll *a* (Chl*a*, >95%) was extracted from fresh spinach leaves (see **Figure S1**  
103 for details, Supporting Information). Any AR grade organic solvents and inorganic reagents used  
104 were purchased from Beijing Chemical Works (Beijing, China), among which chloroform was  
105 passed through an  $\text{Al}_2\text{O}_3$  column and redistilled before use. Methanol (HPLC grade) was purchased  
106 from J&K Scientific Ltd. (Beijing, China). Ion-exchanged water was prepared using a Milli-Q  
107 Academic Water Purification System (Millipore Corp., Billerica, MA, USA).

108

109 Giant unilamellar vesicles (GUVs) were prepared using reverse phase evaporation method  
110 originally based on ref (35) with certain modifications as described elsewhere<sup>36</sup>. Briefly,  $\beta$ -Car



111 predissolved in chloroform and Res predissolved in methanol (both  $10^{-4}$  M) were optionally added  
112 to methanol/chloroform binary solutions (1:6.7 v/v) of PC (2 mM). Chla predissolved in methanol  
113 ( $10^{-5}$  M) was added as photosensitizer when needed. The final molar ratio of PC and Chla was  
114 1500:1, and that of PC and antioxidants ( $\beta$ -Car and/or Res) was 500:1. Phosphate buffer solution  
115 (PBS, 30 mL, pH 7.4) was slowly added along the flask wall. Organic solvents were then removed  
116 by gentle rotary evaporation, yielding an opalescent suspension of GUVs with a volume of  
117 approximately 34 mL.

118

119 **Fluorescence Microscopy and Digital Image Processing.** The fluorescence microscopy  
120 experiment setup using Eclipse TE-2000U inverted microscope (Nikon Corporation, Tokyo, Japan)  
121 and 40 $\times$  magnifying objective lens (numerical aperture 0.6, CFI Plan Fluor. ELWD, Nikon) is  
122 described elsewhere<sup>36</sup>. Briefly, 400–440 nm radiation from an ultra-high pressure mercury lamp  
123 with a power density of 16 mW/mm<sup>2</sup> was used for excitation (**Figure S2**, Supporting Information).  
124 The GUV images were detected by a Cascade II 512 CCD (Photometrics Inc., Tucson, AZ) and  
125 collected by MetaMorph program package (Molecular Devices, Inc., Sunnyvale, CA, USA). 200  $\mu$ L  
126 of liposome suspension was added to a Costar 24-well cell culturing cluster (Corning Incorporated,  
127 Corning, NY, USA) placed on the translational stage. Each preparation was measured independently  
128 at least 60 times.

129

130 The methodology for digital image processing originally developed by Gonzalez and coworkers<sup>37</sup>  
131 is described elsewhere<sup>36</sup>. Programs for digital image heterogeneity analysis were manually coded  
132 with MATLAB 7.0 (Math works, Inc., Natick, MA, USA). For each digital image an area of 110  $\times$

133 110 pixels centered on the target GUV was selected as the region of interest (ROI). The entropy ( $E$ )  
134 was employed as a statistical scalar measurement of image heterogeneity as described in our  
135 previous studies<sup>36</sup>.

136

## 137 **Results**

138 The soy PC GUVs prepared by reverse phase evaporation had spherical shape when observed using  
139 an optical microscope, and those with diameters of 20–25  $\mu\text{m}$  were sampled. The blank, unlabeled  
140 samples were stable under blue light irradiation (400–440 nm, 16 mW/mm<sup>2</sup>) for up to 60 min and  
141 underwent no observable morphological change (**Figure S3**, Supporting Information), as also  
142 demonstrated in our previous studies<sup>36</sup>. By contrast, GUVs fluorescently labeled with Chl $a$  were  
143 found to be sensitive to light irradiation, as morphological changes were clearly observed after 5 s  
144 and became more distinct upon increasing irradiation time (**Figure 1**). To establish numerical  
145 stability and reliability, statistics over 15 independent experiments was performed for each  
146 preparation, as seen in **Figure 2** and **Figure S4**. For each GUV image, the entropy ( $E$ ) of the ROI  
147 was taken as a dimensionless, scalar measurement of image heterogeneity. The changes in entropy  
148 ( $\Delta E$ ) versus illumination time ( $t$ ) were plotted as seen in **Figure 3** for up to 75 s, combining the  
149 experimental results represented individually in **Figure S4**.  $\Delta E$  was found to increase linearly  
150 during an initial budding process and then level off at different entropy levels.

151

152 The  $\Delta E$ - $t$  curves were analyzed on the basis of different criteria (cf **Figure 2**): (1) The lag phase  
153 (LP), determined as the duration between the initiation of reaction ( $t=0$ ) and the intersection of the  
154 tangent of the initial linear budding phase on the  $\Delta E$ - $t$  curves and the time axis; (2) The initial rate

155 of entropy change, defined as the slope of the  $\Delta E-t$  curves during the linear budding phase; (3) The  
156 integral area under curves (AUC). For GUVs with Res added, as seen from **Figure 3**, Res by itself  
157 had negligible effect on inhibition of photo-induced budding (**Figure 1**), which was also evident  
158 from the initial rate, the LP and the AUC parameters listed in **Table 1**. By contrast, the addition of  
159  $\beta$ -Car to GUVs resulted in slower photo-induced morphological deformation, and the propagation  
160 phase on the  $\Delta E-t$  curve was retarded for about 20 s (**Figure 3**). For  $\beta$ -Car addition, the initial rate  
161 and AUC parameters were found to be reduced by factors of 3.8 and 1.8, respectively. When two  
162 antioxidants were added together, each at the same concentration level as when added separately,  
163 further reduction in the initial rate and the AUC parameters were observed, although the lag phase  
164 was comparable to GUV preparations with  $\beta$ -Car added alone as antioxidant, as seen in **Figure 3**.  
165 The initial rates of budding and the AUC parameters were reduced by total factors of 4.7 and 3.3  
166 with reference to the Chla-labeled control preparations in absence of any antioxidants.

167

## 168 **Discussion**

169 A series of morphological transformations of liposomes such as fusion, fission and budding are  
170 related to the growth of domains depending on phase separation in vesicles like GUVs<sup>38,39</sup>. Phase  
171 separation, either lateral (within a layer) or vertical (between the bilayer), may occur spontaneously  
172 or as results of external stimuli from the physico-chemical environments or of chemical reactions<sup>40</sup>.  
173 In principle, two types of intramolecular interactions can be involved separately or simultaneously:  
174 changes in interactive forces between the polar/ionic head groups and/or among the nonpolar fatty  
175 acid moieties<sup>40</sup>. In our experiments, the pH of the aqueous phase was controlled by the use of PBS  
176 with a constant ionic strength, and therefore the changes in interactive forces among head groups

177 were minimized.

178

179 For dilinoleoyl PC as the predominant species in the soy lecithin reagent used in the present study,  
180 formation of hydroperoxides as the primary products of photo-induced oxidative stress<sup>29</sup> is  
181 suggested to initiate the changes in polarity and steric orientation of unsaturated fatty acid moieties.  
182 As shown in our previous studies<sup>36</sup>, the optimized geometries of linoleic acid hydroperoxides  
183 showed significant increases in dipole moments and accordingly structural distortion of unsaturated  
184 fatty acid chain occurred to linoleic acid moieties during oxidation. Changes in polarity and  
185 distribution and partitioning properties of lipid molecules upon oxidation are suggested to result in  
186 the changes in the interactive forces within the hydrophobic interior of the lipid bilayer, which  
187 further lead to phase separation, to the subsequent formation of domains and rafts, and eventually to  
188 the initiation of GUV budding<sup>30,40</sup>.

189

190 The molecular mechanism behind the photo-induced GUV budding of Chl*a*-labeled GUVs may  
191 accordingly be described as following, serving as a model for oxidative stress in tissues exposed to  
192 light like skin and eye. Chl*a* absorbs light and forms the triplet state through intersystem crossing  
193 from the primarily populated singlet excited state. Subsequently, <sup>1</sup>O<sub>2</sub> is generated from the ground  
194 state oxygen (<sup>3</sup>O<sub>2</sub>) by energy transfer from the triplet state Chl*a*<sup>41</sup>. The reactive and diffusive <sup>1</sup>O<sub>2</sub>  
195 may directly attack the C=C bonds of unsaturated fatty acid chains through ene reactions to yield  
196 hydroperoxides<sup>29</sup>. Such <sup>1</sup>O<sub>2</sub>-initiated lipoxidation reactions are commonly found in edible oils  
197 containing trace chlorophylls during storage under light<sup>42</sup>.

198

199  $\beta$ -Car is a lipophilic antioxidant distributing within the interior of the lipid bilayer and it readily  
200 reacts with  $^1\text{O}_2$  with a rate constant reaching the diffusion controlled limit, i.e.  $10^{10} \text{ M}^{-1}\cdot\text{s}^{-1}$  <sup>43,44</sup>.  
201 Therefore,  $\beta$ -Car can effectively compete with PC oxidation reactions occurring in the lipid bilayer.  
202 In addition,  $\beta$ -Car, like most other carotenoids, also hampers the diffusion of lipid radicals in the  
203 lipid layers by reducing the fluidity of membrane <sup>45</sup>. Res, as a partially amphiphilic antioxidant,  
204 may quench  $^1\text{O}_2$  directly with a lower rate constant and affect the membrane fluidity to a smaller  
205 degree compared to  $\beta$ -Car's effects, both of which may explain the less protective effects of Res as  
206 compared to  $\beta$ -Car's on the morphological deformation of GUVs (**Figure 3**). This is also supported  
207 by the substantially different  $^1\text{O}_2$  quenching rate constants of the two potential antioxidants in  
208 deuterated water (pD 7.4), i.e.  $1.0 \times 10^{10} \text{ M}^{-1}\cdot\text{s}^{-1}$  and  $(3.2 \pm 0.7) \times 10^8 \text{ M}^{-1}\cdot\text{s}^{-1}$  for  $\beta$ -Car and Res,  
209 respectively <sup>43,46</sup>.

210

211 As seen from **Figure 3** for Chl*a*-labeled GUVs with  $\beta$ -Car incorporated, a secondary budding  
212 propagation phase starts at about 30 s, and the budding rates defined by  $\Delta E/\Delta t$  have values of  
213  $(8.5 \pm 0.6) \times 10^{-3}$  and  $(6.0 \pm 0.3) \times 10^{-3} \text{ s}^{-1}$  in absence or presence of Res, respectively. Notably, in the  
214 presence of  $\beta$ -Car, the GUV budding rate in absence of Res is significantly larger than the rate in  
215 presence of Res, which may be attributed to the capability for scavenging the potentially  
216 prooxidative  $\beta$ -Car oxidation products of Res <sup>43</sup>. After the linear increase phases for the  $\Delta E$   
217 parameter, the  $\Delta E$ - $t$  curves tend to level off at different entropy levels which seem to depend on the  
218 nature of antioxidants, either added alone or in combination. This may at least partially be attributed  
219 to the oxidative degradation of Chl*a* as the photosensitizer and therefore the cease of  $^1\text{O}_2$  formation  
220 on further increasing of light exposure time. The partially oxidized lipid molecules then tend to

221 undergo self-organization to restore the original GUV morphologies (data not shown), and the  $\Delta E-t$   
222 dependency following longer irradiation time may also depend on other factors like inner filter  
223 effects and secondary radical processes and is therefore less predictable.

224

225 Interestingly, when  $\beta$ -Car and Res are simultaneously introduced to GUVs, a synergistic antioxidant  
226 effect was observed as evidenced by further reduction of the initial budding rate and the AUC  
227 parameters (**Figure 3, Table 1**). This synergism may be attributed to the reactions between  $\beta$ -Car  
228 radical species and partially deprotonated Res as secondary radical processes after the initial  
229 formation of hydroperoxides. The C=C bonds in unsaturated lipid molecules are directly vulnerable  
230 to  $^1\text{O}_2$  attack with typical second-order rate constants of  $10^4\text{--}10^5 \text{ M}^{-1}\cdot\text{s}^{-1}$ <sup>47</sup>, which are almost  
231 independent on the structure of the unsaturated fatty acid or on the temperature as evident by low  
232 activation energies<sup>48</sup>. The initially formed hydroperoxides subsequently undergo homolytic  
233 cleavage between the O-O bonds because of the relatively lower O-O bond energies compared to  
234 the O-H bonds<sup>49</sup>, yielding alkoxy radicals which are very reactive secondary oxidation products of  
235 unsaturated fatty acids.  $\beta$ -Car, like many other carotenoids, is capable of scavenging alkoxy radicals  
236 via electron transfer to yield the radical cations of the carotenoid<sup>43,44</sup>. The antioxidant synergism  
237 between  $\beta$ -Car and Res can therefore be attributed to the electron transfer to  $\beta$ -Car radical cations  
238 which results in regeneration of  $\beta$ -Car by Res anion, or to radical adduct formation reactions  
239 between  $\beta$ -Car radical cations and partially deprotonated Res, as seen for reactions between  
240 carotenoids and polyphenols like (iso)flavonoids and green tea catechins<sup>11,50</sup>.

241

242 **Conclusion**

243 From our studies of giant unilamellar vesicle budding using fluorescence microscopy and digital  
244 image processing techniques, we may conclude that  $\beta$ -carotene yields protection against  
245 photosensitized oxidation in cell membranes as evidenced by appearance of a lag phase for budding.  
246 Resveratrol shows little such effect but lowers the rate of budding following the lag phase caused by  
247 the presence of  $\beta$ -carotene through protection of  $\beta$ -carotene from oxidative degradation or through  
248 scavenging of prooxidative degradation products of  $\beta$ -carotene by its phenolate form.

249

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254

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381 **Table 1.** Lag phase, initial rate and area under curve (AUC in arbitrary units) of  $\Delta E-t$  curves of  
382 fluorescently labeled GUVs exposed to light (400–440 nm, 16 mW/mm<sup>2</sup>) for up to 75 s.

383

Sample	Lag Phase / s	Initial Rate / s <sup>-1</sup>	AUC / a.u.
Control	0.18	$(6.5 \pm 0.13) \times 10^{-3}$	14
Res	2.4	$(6.2 \pm 0.42) \times 10^{-3}$	13
$\beta$ -Car	23	$(1.7 \pm 0.27) \times 10^{-3}$	7.8
$\beta$ -Car + Res	24	$(1.4 \pm 0.44) \times 10^{-3}$	4.2

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386

387 **Figure Captions**

388 **Figure 1.** Digital images of fluorescently labeled GUVs exposed to light (400–440 nm, 16  
389 mW/mm<sup>2</sup>) for up to 75 s. Samples: (a) control; (b) Res only; (c)  $\beta$ -Car only; (d) both antioxidants  
390 present.

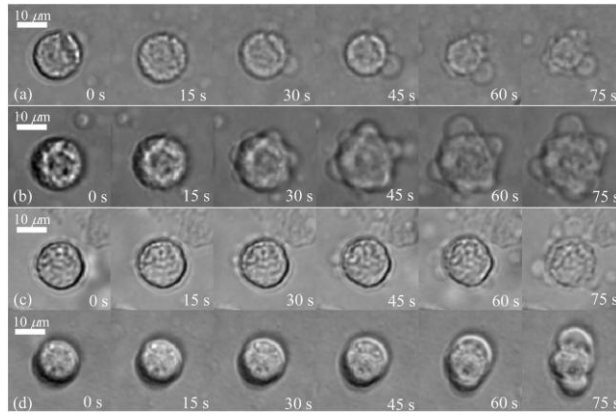
391 **Figure 2.**  $\Delta E$ - $t$  curve for fluorescently labeled GUVs with only  $\beta$ -Car added (**Figure 1(c)**) and  
392 schematic presentation of statistics and data analysis criteria: the lag phase, the initial rate and the  
393 area under curve (AUC). Irradiation starts at time 0 s.

394 **Figure 3.**  $\Delta E$  as a dimensionless scalar measurement of heterogeneity for unlabeled GUVs (blank);  
395 labeled GUVs without antioxidants added (control) and labeled GUVs containing at least one  
396 antioxidant for up to 75 s. For clarity, error bars are not shown. Irradiation starts at time 0 s.

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398

399 **Figure 1.**



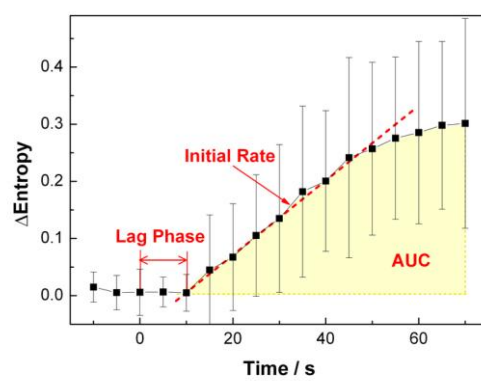
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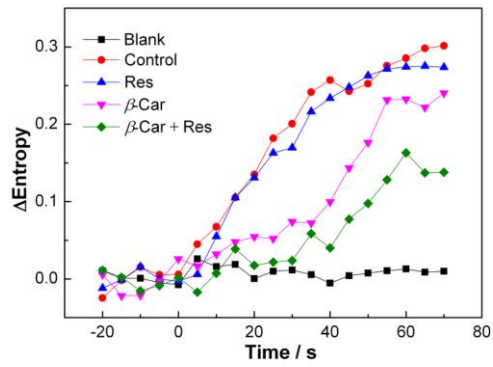
403 **Figure 2.**



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407 **Figure 3.**

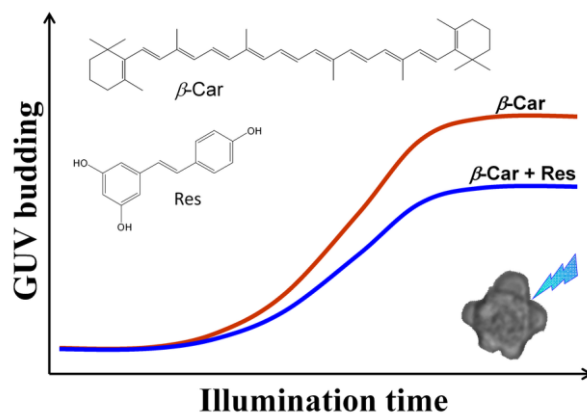
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411 **Table of Contents**

412 **TOC Graphic**



413

414 **TOC Text**

415  $\beta$ -Carotene and *trans*-resveratrol protect biomembrane from oxidative stress synergistically, where

416  $\beta$ -carotene induces lag phase and *trans*-resveratrol reduces liposomal budding rate.

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