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## PAPER

**Structural elucidation of whole lignin from *Eucalyptus* based on preswelling and enzymatic hydrolysis†**

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5 Structural elucidation of whole lignin in the plant cell wall is extremely important for providing a representative lignin to understand molecular characteristic of lignin in plant, develop lignin-based polymers and green chemicals under current biorefinery scenario. However, researches in this area still lack of methodologies for effectively isolating whole lignin from plant cell wall. In this study, an effective method based on mild alkaline preswollen (4% NaOH, 25 °C, 24 h) and enzymatic hydrolysis for the isolation of “swollen residual enzyme lignin, SREL” from *Eucalyptus* wood was proposed. The SREL was investigated as compared to the corresponding

10 cellulolytic enzyme lignin (CEL) and alkali lignin (AL). Observably, the yield of SCEL (95%) was significantly higher than that of corresponding CEL (20%) and AL (12%). The isolated lignin have been comparatively investigated by a combination of elemental analysis, 2D HSQC NMR, <sup>31</sup>P-NMR, analytical pyrolysis, and GPC techniques. The major lignin linkages ( $\beta$ -O-4',  $\beta$ - $\beta$ ', and  $\beta$ -5', etc.) were thoroughly assigned and the frequencies of the major lignin linkages were quantitatively compared. Further experiments demonstrated that a transformation from cellulose I to cellulose II occurred during alkaline preswelling of ball-milled *Eucalyptus* wood,

15 which resulted in the efficient enzymatic hydrolysis of the substrates, and thus obtain a representative lignin sample (SREL). However, the alkaline preswelling treatment has little effect on the lignin structures (typical substructures), it only tends to obtain syringyl-rich lignin macromolecule as compared to that of CEL. Furthermore, the effective method gives us a panoramic image to understand the intrinsic structural features of whole lignin from other lignocellulosic biomass and helps to develop more effective plant deconstruction or depolymerization strategies in the current biorefinery and catalytic conversion process.

**1 Introduction**

Lignin is the most abundant biopolymer in the plant cell wall besides cellulose, consisting primarily of three units: guaiacyl (G), sinapyl (S), and *p*-hydroxyphenyl (H) units linked by aryl ether

25 and carbon-carbon bonds. Located in the plant cell wall together with cellulose and hemicelluloses, lignin acts as reinforcement for the lignocellulosic matrix. However, lignocellulosic materials are naturally resistant to microbial and enzymatic deconstruction, known as biomass recalcitrance.<sup>1</sup> Among the various factors

30 affecting biomass deconstruction, the presence of lignin is one of the most significant contributors to biomass recalcitrance.<sup>2</sup> For many years, various pretreatments were developed to overcome the biomass recalcitrance,<sup>3</sup> however, people still focus on the understanding of basic chemistry involves in the deconstruction

35 process until today. Recently, some research works demonstrated that ideal pretreatments to overcome biomass recalcitrance should maximize lignin removal or delocalization and minimize polysaccharide modification.<sup>4,5</sup>

40 Along with the research avenues of biomass deconstruction, isolation and structural characterization of lignin has been also extensively investigated and developed in wood and lignin chemistry. Understanding the molecular structures of the lignin obtained from the pretreatments and deconstruction process is

essential to tailoring downstream conversion approach.<sup>6-7</sup> However, lignin molecules are extremely complicated due to their natural variability.<sup>8</sup> To date, the complex and irregular structure

55 of lignin as well as fundamental chemistry of the lignin during current biorefinery has not been completely elucidated although the primary structure has been well depicted.<sup>9</sup> Generally, it is of very importance to obtain a more representative lignin sample prior to structural analysis of lignin in the plant cell wall. For this

60 purpose, researchers have been devoted their endeavours in finding better isolation methods for structural analysis. The milled wood lignin (MWL) obtained is considered to be a representative source of native lignin and has been extensively used in the elucidation of native lignin structure. Afterwards,

65 cellulolytic enzyme lignin (CEL) was formally proposed.<sup>10</sup> Some improved methods to isolate CEL were subsequently brought forward.<sup>11,12</sup> CEL was found to be structurally similar to MWL, but in a higher yield, and hence it is more suitable for structural analysis of native lignin in plant cell wall. Recently, a novel

70 effective procedure using the combination of enzymatic and mild acidolysis was proposed, and the obtained lignin preparation was named as enzymatic mild acidolysis lignin (EMAL).<sup>13</sup> Recently, the option for isolating lignin involves the use of ionic liquids.<sup>14-16</sup>

75 All the above-mentioned lignin preparations have played a very significant role in structural elucidation of lignin in wood chemistry. However, these methods only focus on the isolated lignin fragments from plant cell wall; also including some

80 disadvantages, such as time-consuming and dissatisfactory yield

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† Electronic supplementary information (ESI) available. Fig. S1-S10, Table S1-

50 S2

of the lignin samples. Therefore, these methods could not provide panoramic structural features of the whole lignin components via an un-isolated approach, i.e., *in situ* approach.

5 Recently, *in-situ* characterization of lignin in plant cell wall by high-field solution-state NMR has been gradually achieved, which is useful in rapid screening biomass for bio-ethanol production and pulping process.<sup>17</sup> Two-dimensional heteronuclear essential single quantum coherence (2D-HSQC) NMR spectroscopy of plant cell walls has been obtained by dissolving the modified or native ball-milled cell wall in deuterated solvent or mixtures, also named whole cell wall dissolution systems.<sup>18-20</sup> Generally, the acetylation of cell wall under a mild condition facilitates the cell wall dissolution and thus achieves the NMR characterization of lignin in the plant cell wall.<sup>18</sup> However, a large number of carbohydrates undoubtedly impede the identification of the lignin signals and quantification of these identified lignin structures. Another aspect, ball-milled plant cell wall was difficult to be completely dissolved in these dissolution systems.<sup>19-20</sup> In addition, some deuterated solvents (e.g. deuterated ionic liquid) were too expensive or unavailable although they have good dissolving capacity for fine ball-milled plant cell wall.<sup>21</sup> These imperfections undoubtedly impede the direct NMR characterization of lignin in an *in-situ* state. Moreover, from a perspective of structural analysis, more detailed structural features of lignin are needed to understand the native lignin based on a simple method. Thus, it is urgently important to find a universal method to obtain lignin for structural analysis although an ideal procedure for lignin isolation does not exist until now. Although the researchers are still looking for ideal dissolution system of plant cell wall for NMR characterization, the enlightenments of these dissolution systems facilitates the development of new lignin isolation. For example, the plant cell wall regenerated from dissolution system (DMSO/NMI) has higher enzymatic hydrolysis efficiency, which promotes the CEL isolation.<sup>12</sup> Thus, it is important to find a pretreatment that two premises should be satisfied simultaneously: 1) enhancing the enzymatic hydrolysis of the pretreated plant cell wall to remove most of carbohydrates; 2) keeping the structure of the lignin in the raw material unchanged as far as possible. Most publications demonstrated that enzymatic hydrolysis efficiency is related to the accessibility of cellulase to the pretreated substrates.<sup>22-24</sup> In addition, swelling action of alkaline treatments also resulted in the changes of cellulose crystallinity and crystallite size of pretreated biomass.<sup>25</sup> It was also reported that the XRD patterns of biomass were significantly changed after alkaline pretreatment at mild conditions, favoring the formation cellulose II from cellulose I. In this case, the pretreated substrates were more readily digested than cellulose I.<sup>22</sup> Under these enlightenments, mild alkaline treatments and subsequent *in-situ* enzymatic hydrolysis were applied to the ball-milled plant cell wall to remove more carbohydrates as far as possible, obtaining swollen residual enzyme lignin (SREL) as residual lignin, instead of extracting lignin with neutral solvent (i.e. dioxane). Based on the above-mentioned enlightenments, a new paradigm of lignin isolation method from Eucalyptus wood was proposed for the first time. In addition, to evaluate the effect of mild alkaline treatments and enzymatic hydrolysis on the structural features of

the SREL, the corresponding alkaline lignin (AL) and cellulolytic enzyme lignin (CEL) isolated under the same condition was used as a control.

In this study, the lignin preparation (SREL) obtained from the novel method was scientifically evaluated by comparing the yield, composition, and structural features with those of corresponding CEL and AL. More importantly, quantitative information, including functional groups, syringyl/guaiacyl (S/G) ratio as well as major substructures ( $\beta$ -O-4',  $\beta$ - $\beta'$  and  $\beta$ -5'), were obtained according to the elemental analysis, quantitative 2D-HSQC, and <sup>31</sup>P-NMR spectra and pyrolysis GC-MS. It is believed that the application of this method in biomass chemistry will enlarge our understanding of structural features of whole lignin in the plant cell wall.

## 2 Experimental

### 2.1 Material

Eucalyptus sawdust (2 × 0.5 × 0.5 cm) was prepared from Eucalyptus grandis × Eucalyptus urophylla wood (5 years old, harvested from Guangxi province, China). For the composition analysis, the sawdust (40-60 mesh) was extracted with toluene/ethanol (2:1, v/v) in a Soxhlet instrument for 6 h. The composition of Eucalyptus was 37.5% glucan, 16.2% xylan, 0.26% arabinan, 0.99% galactan, 32.3% Klason lignin, 2.0% acid-soluble lignin, which was analyzed by the standard of National Renewable Energy Laboratory (NREL).<sup>26</sup> The extractive-free Eucalyptus wood (30 g, 40-60 mesh) was ball-milled (5 h, 450 rpm) in a Fritsch planetary ball mill according to a previous publication.<sup>27</sup>

### 2.2 Preparation of swollen residual enzyme lignin (SREL)

The overall scheme for the isolation of swollen residual enzyme lignin (SREL) was presented in Fig. S1. The ball-milled Eucalyptus powder was firstly slowly dissolved into 4% sodium hydroxide (1/25, g/mL) for 24 hour under stirring at room temperature (RT, 25 °C). After the preswollen process, the pH of the mixture solution was directly adjusted to 4.8 with acetic acid. The resulted mixtures were subjected to enzymatic hydrolysis, with the loading large amounts of cellulase (50 FPU/g) and  $\beta$ -glucosidase (50 IU/g). The reaction mixture was incubated at 50 °C in a rotary shaker (200 rpm) for 48 h, afterward, the solution was centrifuged to remove hydrolyzed carbohydrates, and the residue named "swollen residual enzyme lignin, (SREL)". The SREL was thoroughly washed with boiling water (pH=2.0) to eliminate the residual enzyme and free sugars. Eventually, the purified SREL was freeze-drying.

### 2.3 Isolation of cellulolytic enzyme lignin (CEL) and alkali lignin (AL)

The isolation and purification of CEL was according to a previous publication,<sup>10</sup> while the alkali lignin (AL) was isolated from the ball-milled Eucalyptus powder (5 g) at room temperature for 24 h (solid to liquid ratio, 1:25; 4% NaOH) according to a previous publication with some modifications.<sup>28</sup> After the indicated period of time, the insoluble residue was collected by centrifugation, washed repeatedly with distilled

water. The supernatant fluid collected was re-filtrated with Buchner funnel, neutralized to pH 5.5–6.0 with 6.0 M hydrochloric acid. Afterward, the neutral solution was concentrated by rotary evaporator. To remove alkali-extractable hemicelluloses prior to lignin isolation, the concentrated solution (50–60 mL) was poured into three volumes of 95% ethanol and the precipitation (hemicelluloses) appeared. After centrifugation, the filtrate was concentrated to about 20–30 mL, and then the concentrated solution was dropped in acidic water (pH = 2.0) under stirring to induce lignin precipitation. The AL was washed with acidic water and obtained by centrifugation (3000 × g, 30 min), and then freeze-dried.

#### 2.4 Structure elucidation of the lignins

Elemental analysis of the lignins was carried out using an elemental analyzer Vario EL III (Elementar, Hanau, Germany). The oxygen content was deduced from the difference with respect to the total sample and the C<sub>900</sub> formula of the lignin was calculated. Carbohydrate analysis of the lignin was conducted by hydrolysis with dilute sulfuric acid as previously reported.<sup>26</sup> Prior to molecular weight determination, the three lignin samples were acetylated as usual.<sup>27</sup> The weight average (M<sub>w</sub>) and number-average (M<sub>n</sub>) molecular weights of the three acetylated lignin samples were determined by gel permeation chromatography (GPC, Agilent 1200, USA) with an ultraviolet detector (UV) at 280 nm. The column used was a PL-gel 10 mm mixed-B 7.5 mm i.d. column, which was calibrated with PL polystyrene standards. Four milligrams of acetylated lignin was dissolved in 2 mL of tetrahydrofuran (THF), and 20 μL lignin solutions were injected by automatic sampler. The column was operated at ambient temperature and eluted with THF at a flow rate of 1.0 mL/min.

The NMR spectra were acquired on a Bruker Avance 400 MHz spectrometer fitted with a 5 mm gradient probe with inverse geometry (proton coils closest to the sample). Lignin sample (25 mg) was dissolved in 0.5 mL of DMSO-d<sub>6</sub>. The central solvent peak at δ<sub>C</sub>/δ<sub>H</sub> 39.5/2.49 was used as internal reference. The standard Bruker implementations of one- and two-dimensional (gradient-selected, <sup>1</sup>H-detected HSQC) NMR experiments were used for structural characterization and assignment authentication.<sup>27,29</sup> <sup>31</sup>P NMR spectra of lignin were conducted as previously reported, 20 mg lignin was dissolved in 500 μL of anhydrous pyridine and deuterated chloroform (1.6:1, v/v) under stirring. This was followed by the addition 100 μL of cyclohexanol (10.85 mg/mL in anhydrous pyridine and deuterated chloroform 1.6:1, v/v) as an internal standard and 100 μL of Chromium (III) acetylacetonate solution (5 mg/mL in anhydrous pyridine and deuterated chloroform 1.6:1, v/v) as relaxation reagent. The mixture was reacted with 100 μL of phosphitylating reagent (2-chloro-4,4,5,5-tetramethyl-1,3,2-dioxaphospholane, TMDP) for about 10 min and was transferred into a 5 mm NMR tube for subsequent NMR analysis.<sup>30</sup>

Analytical Py-GC/MS of the lignin recovered (approximately 100 μg) were performed with a CDS Pyroprobe 5200HP pyrolyser (Chemical Data Systems) connected to a Perkin Elmer GC/MS apparatus (Clarus 560) equipped with an Elite-35MS capillary column (30×0.25 mm i.d., 0.25 μm film thickness) according to a previous publications.<sup>31,32</sup> Compounds were identified by

comparing their mass spectra with the NIST library. The syringyl/guaiacyl (S/G) ratio was calculated by dividing the sum of peak areas from syringyl units by the sum of the peak areas of guaiacyl derivatives of the selected markers, obtained by integration of the peak areas and considering the total peak area as 100 %. However, for most of the lignin derived phenols, the response factors were nearly identical, with the exception of vanillin, but this was a minor peak here.<sup>32</sup>

### 3 Results and Discussion

#### 3.1 Lignin isolation and its composition

One of the most used methods to isolate wood lignin for structural studies is CEL method. The method uses cellulolytic enzyme mixtures (containing cellulases and hemicellulases) to remove most of the carbohydrates prior to lignin extraction with aqueous 1,4-dioxane. However, the yield of CEL is only 20% based on the Klason lignin of the *Eucalyptus* wood in this study. Although the plant cell wall was ball-milled, the cellulose in plant cell walls is partly crystalline (Fig. S2), with a high degree of polymerization, and also embedded in a matrix of wood polysaccharides and lignin, it is highly inaccessible to enzymatic attack.<sup>23–24</sup> It was reported that dissolution or swelling of cellulose by solvents was considered to be a more facile method for disrupting the crystalline structure to increase its accessibility to cellulases.<sup>11–12</sup> In this study, the aqueous sodium hydroxide was used for preswelling and dissolution of the ball-milled wood. The wood sample could be mostly dissolved after stirring for 24 h at room temperature. After treatment with crude cellulase, the mass losses for original and pretreated ball-milled wood were 60.0% and 70.0%, respectively. These results suggested that the digestibility of cellulose (and other polysaccharides) by the cellulases was significantly improved after preswelling and dissolution in aqueous sodium hydroxide. The greatly enhanced efficiency of enzymatic hydrolysis of preswelled ball-milled wood was primarily ascribed to the changed crystallization morphology of the substrate in sodium hydroxide solution.<sup>24</sup> It was also found that preswelling ball-milled wood exhibited a typical XRD pattern of cellulose II (Fig. S2), suggesting that a transformation from cellulose I to cellulose II occurred during alkaline swelling, with their representative Miller indices for the reflections (1-10), (110), and (020) for cellulose II at 12.8 °, 20.2 °, and 22.0 °, respectively.<sup>22</sup> In addition, the removal or delocalization of lignin and hemicelluloses from the surface of the substrate by mild alkaline treatment enhanced the enzymatic hydrolysis of the pretreated substrates.<sup>4,33</sup> SEM images also showed that the untreated ball-milled wood had a rigid and compact morphology, whereas the surface morphology of the preswelling wood exhibited a rough and loosened structure as a result of the removal of lignin and hemicelluloses from the substrates (Fig. S3). More importantly, it was found that the swollen residual enzyme lignin (SREL), obtaining after enzymatic hydrolysis of wood pretreated with sodium hydroxide solution, could be directly dissolved in DMSO-d<sub>6</sub> in this study, by contrast, the residue obtained after enzymatic hydrolysis of original ball-milled wood only partially dissolved in DMSO-d<sub>6</sub> (Fig. S4). This finding facilitates us to characterize the structural features of SREL directly by NMR technique, rather than



extracting CEL with 96% dioxane for further NMR characterization. To evaluate the potential chemical and structural transformations of lignin brought by the preswelling treatments, the structural features and quantitative information of the corresponding CEL and CEL-AL have been investigated by NMR and it was found that the mild alkaline treatment applied has slight effect on its lignin structures (Table S1 and Fig. S5 and the related discussions could be found in supporting information). Considering the highest yield of SREL (95%), SREL was an ideal lignin sample for structural analysis. Surprisingly, after evaluating the associated carbohydrate contents in these lignin samples, it was found that SREL contained a small quantity of associated sugars (8.70%, w/w), while CEL and AL contained 13.40% and 0.30% sugars based on dry lignin (w/w), respectively. In light of the results obtained (super-high yield and low associated sugars), it was concluded that SREL can better represent for the whole lignin in the plant cell wall. After carefully examined the composition of associated carbohydrates, it was observed that glucose was the major sugar in SREL, while xylose was major sugar in CEL. The differences of associated sugars could be attributed to the different isolating methods, e.g. the higher content of glucose is related to the small amount of obstinate cellulose in SREL, while the abundant xylose is ascribed to the potential lignin-carbohydrate complex (LCC), in agreement with a previous publication.<sup>29</sup> The elemental composition and methoxy group (OMe) contents of the lignin samples, together with the calculated approximate C<sub>900</sub> formulas, are also listed in Table 1. As shown in the C<sub>900</sub> formulas, the OMe contents in the SREL and AL are higher than that of CEL. The numbers of oxygen are 332, 316, and 316/C<sub>900</sub> and those of OMe groups are 150, 139, and 146/C<sub>900</sub> in SREL, CEL, and AL, respectively. It is known that higher oxygen contents in lignins approximating the theoretical limit of 300/C<sub>900</sub> (or above) are indicative for carbohydrate residues originating from lignin-carbohydrate linkages, implying that the associated carbohydrates in these lignin are potentially originated from LCC linkages. However, the oxygen content of lignins could be elevated by large amounts of carboxylic group, which are resulted from alkaline treatment.

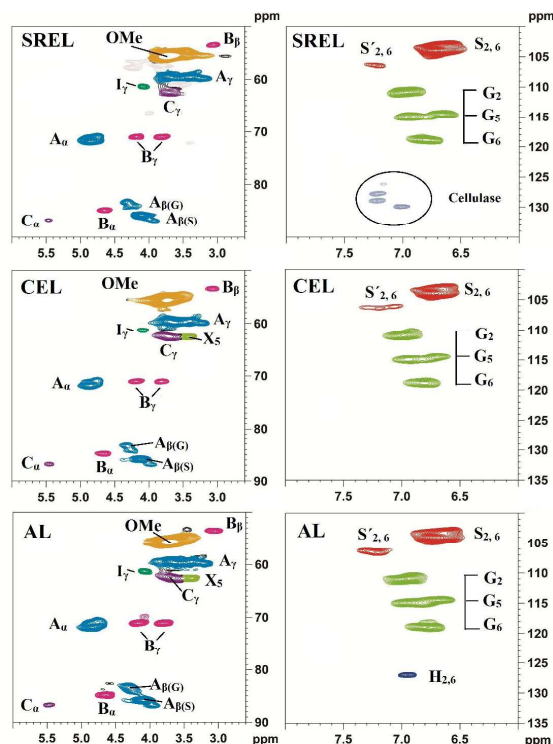
**Table 1** Yields, carbohydrate contents and C<sub>900</sub> formula of lignin preparations

Sample	SREL	CEL	AL
Yield <sup>a</sup>	95%	20%	12%
Carbohydrate	8.70%	13.40%	0.30%
Arabinose	1.39%	0.95%	N.D
Galactose	1.30%	0.76%	N.D
Glucose	3.73%	3.26%	0.24%
Xylose	0.92%	7.95%	0.16%
Mannose	1.36%	0.48%	N.D <sup>b</sup>
C%	57.04	59.16	59.10
H%	6.27	6.23	5.95
O%	34.85	34.61	34.95
N%	1.84	N.D	N.D
OCH <sub>3</sub> (%)	20.97	20.66	21.25
C <sub>900</sub> formula	C <sub>900</sub> H <sub>935</sub> O <sub>332</sub> (OCH <sub>3</sub> ) <sub>150</sub>	C <sub>900</sub> H <sub>895</sub> O <sub>316</sub> (OCH <sub>3</sub> ) <sub>139</sub>	C <sub>900</sub> H <sub>823</sub> O <sub>316</sub> (OCH <sub>3</sub> ) <sub>146</sub>

<sup>a</sup> The yield is calculated based on the Klason lignin. <sup>b</sup> N.D, not detected.

### 3.2 2D-HSQC spectra analysis

To investigate the composition and detailed chemical structures of the lignin obtained, the lignin samples (SREL, CEL, and AL) were analyzed with 2D HSQC NMR technique. The main structural characteristics of the lignins, including basic composition (S, G, and H units) and various substructures linked by ether and carbon-carbon bonds ( $\beta$ -O-4',  $\beta$ - $\beta'$  and  $\beta$ -5', etc.), can be observed in the 2D HSQC spectra. The side-chain and aromatic regions of 2D HSQC spectra are shown in Fig. 1 and the main substructures are depicted in Fig. S8. In the side-chain region of spectra of Eucalyptus lignin samples, the substructures, such as  $\beta$ -O-4' aryl ethers (A), resinols (B), phenylcoumarans (C), could be easily assigned according to the previous publications.<sup>27, 34-35</sup> All the spectra showed prominent signals corresponding to  $\beta$ -O-4' ether units (substructure A). The C <sub>$\alpha$</sub> -H <sub>$\alpha$</sub>  correlations in  $\beta$ -O-4' linkages were observed at  $\delta_C/\delta_H$  72/4.7 to 4.9, while the C <sub>$\beta$</sub> -H <sub>$\beta$</sub>  correlations were observed at  $\delta_C/\delta_H$  84/4.3 and 86/4.1 for substructures linked to G and S units, respectively. The C <sub>$\gamma$</sub> -H <sub>$\gamma$</sub>  correlations in  $\beta$ -O-4' substructures were found at  $\delta_C/\delta_H$  60.1/3.40 and 3.72, partially overlapped with other signals, such as C<sub>5</sub>-H<sub>5</sub> of associated xylans (especially in CEL). In addition to the abundant  $\beta$ -O-4' linkages, resinol ( $\beta$ - $\beta'$ , substructures B) appeared in the spectra in noticeable amounts as indicated by their C <sub>$\alpha$</sub> -H <sub>$\alpha$</sub> , C <sub>$\beta$</sub> -H <sub>$\beta$</sub> , and the double C <sub>$\gamma$</sub> -H <sub>$\gamma$</sub>  correlations at  $\delta_C/\delta_H$  84.8/4.66, 53.5/3.07, 71.2/3.82 and 4.18, respectively. Phenylcoumaran ( $\beta$ -5', substructures C) was also detected in a minor amount. Moreover, a trace amount of spirodienone substructure ( $\beta$ -1', substructures D) was also detected (not shown in the contour level, shown in Fig. S6). Furthermore, the signal located at  $\delta_C/\delta_H$  62/4.1 was assigned to C <sub>$\gamma$</sub> -H <sub>$\gamma$</sub>  correlation of *p*-hydroxycinnamyl



alcohol end groups (I).

**Fig. 1** Side-chain and aromatic region in the 2D HSQC NMR spectra of the lignins

Besides the substructures linkages observed, benzyl ether (BE) LCC structures were also mainly detected in CEL, while SREL and AL exhibited less BE LCC signals, suggesting that BE (LCC) linkages are not stable under the alkaline condition given.

In the aromatic region of HSQC spectra, signals from syringyl (S) and guaiacyl (G) units were clearly distinguished. The S-units showed an obvious signal for the C<sub>2,6</sub>-H<sub>2,6</sub> correlations at  $\delta_C/\delta_H$  103.5/6.66, while the signals for C <sub>$\alpha$</sub> -oxidized S-units (S') were observed at  $\delta_C/\delta_H$  106.3/7.32. By contrast, three different cross-signals were assigned to G-units: C<sub>2</sub>-H<sub>2</sub> ( $\delta_C/\delta_H$  110.8/6.97), C<sub>5</sub>-H<sub>5</sub> ( $\delta_C/\delta_H$  114.5/6.70 and 115.1/6.95), and C<sub>6</sub>-H<sub>6</sub> ( $\delta_C/\delta_H$  119.0/6.78). Interestingly, the C<sub>2,6</sub>-H<sub>2,6</sub> correlations in H unit appeared in a lower amount at  $\delta_C/\delta_H$  127.0/6.95 in AL rather than in CEL. Although SREL also exhibited three signals near to H units, these signals were mainly originated from residual cellulase by subsequent NMR analysis (Fig. S7).

### 3.3 Summary of changes in lignin structure as revealed by quantitative 2D-HSQC NMR spectra

The relative abundances of the basic composition (H, G, and S lignin units), and those of the main linkages (referred to as per 100 aromatic units and as a percentage of the total side chains), calculated from the 2D HSQC spectra of the lignin samples based on a previous publication,<sup>34</sup> are shown in Table 2. The S/G ratio is important to reveal the top-chemistry of the lignin isolation and delignification process. In this study, the S/G ratio of CEL is 1.42, which is lower than that of the corresponding SREL (1.60) and AL (1.67). Although both SREL and AL have high S/G ratio than CEL, SREL and AL hold different reasons accounted for respective higher S/G ratio. For SREL, the higher S/G ratio can better represent the basic composition of Eucalyptus wood. It should be aware that the S/G ratio obtained is an average value of the lignin originated from different parts of plant cell wall, such as middle lamella (ML) and S2 layer of secondary wall (S2). The ML was reported to contain more G-type units and S2 has more S-type lignin units.<sup>36</sup> However, the higher S/G ratio of AL suggested that the S-rich lignin fragment was more easily released than the G-rich lignin under the alkaline condition. Similarly, it was reported that the S-rich lignin units could be easily reacted, resulting in the easy delignification under alkaline condition at different temperatures.<sup>37</sup> Another reason for the high S/G ratio of AL was related to the good reactivity of alkaline solution to non-condensed lignin, fine permeability of alkaline solution to S2 layer of the plant cell wall. It was potentially that alkaline solution firstly permeates into most of plant cell wall, dissolve and remove hemicelluloses in S2 layer, and cleave the potential LCC bonds, thus facilitating the dissolution of lignin in S2 layer. In addition to S- and G-type lignin, it was noted that a small quantity of H-type lignin units occurred in AL. It was reported that H-type lignin units laid down in the cell wall very early in the lignifications process and may therefore be associated with initiation of lignifications.<sup>38</sup> Thus, the signal located at 127.5/6.95 ppm in AL was attributable to the appearance of H-type lignin units, but the nature and origin of the H units is still needed to be confirmed.

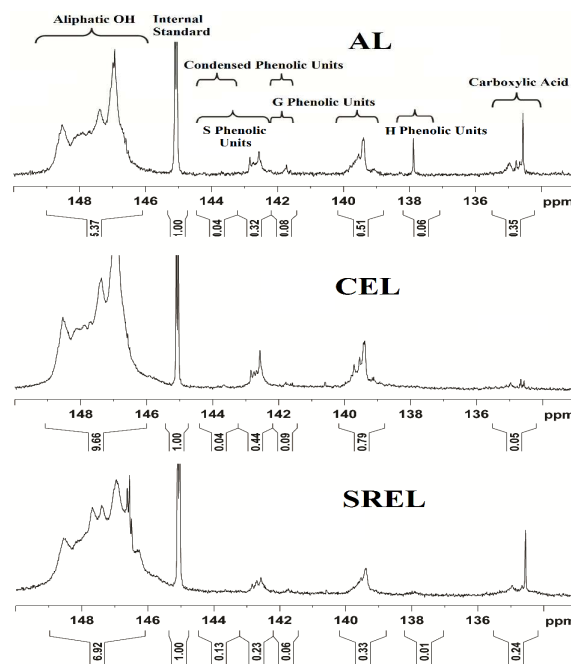
**Table 2.** Quantification of the lignins by quantitative 2D-HSQC method: results expressed per 100 Ar (and as percentage of total side chains).

Sample	$\beta$ -O-4'	$\beta$ - $\beta'$	$\beta$ -5'	S/G
SREL	53.6 (81.1)	9.3 (15.1)	1.5 (3.8)	1.60
CEL	55.3 (75.7)	12.0 (17.6)	4.0 (6.7)	1.42
AL	55.5 (78.4)	13.3 (18.8)	2.0 (2.8)	1.67

With respect to the relative content of different linkage types (in parentheses), all the lignin samples display a predominance of  $\beta$ -O-4' aryl ether units (A, 75.7%–81.1% of total side chains) followed by  $\beta$ - $\beta'$  resinol-type units (B; 15.1%–18.8%) and lower amounts of  $\beta$ -5' phenylcoumaran-type (C; 2.8%–6.7%). It was observed that the relative content of  $\beta$ -O-4' linkages is higher in SREL and AL, probably resulting from the abundant S-type lignin units in these lignin samples. Basically, monolignol addition to a syringyl unit has essentially only a single pathway available,  $\beta$ -O-4' linkage.<sup>20</sup> In contrast, the abundance of phenylcoumaran structures decreased in the order of CEL>SREL>AL, which is most probably related to the decrease in G lignin observed in these lignin samples. That is,  $\beta$ -5' can only be originated from coupling of a monolignol with a guaiacyl (G) or *p*-hydroxyphenyl (H) unit.<sup>20</sup> By contrast, the abundances per aromatic unit of  $\beta$ -O-4' in SREL seemed to be decreased although the relative content of the  $\beta$ -O-4' is highest in these lignin samples, which is probably related to the SREL itself. Because SREL is not an extracted lignin, but a residual lignin. Similarly, the same phenomenon was observed in a previous publication, in which the slightly lower abundances per aromatic unit were also found in whole cell wall NMR spectra.<sup>39</sup> In short, SREL was found to be an ideal lignin sample to characterize the whole lignin in plant cell wall for considering its typical structural features and considerable yield.

### 3.4 <sup>31</sup>P-NMR spectra

**Fig. 2** Quantitative <sup>31</sup>P NMR spectra of the lignins

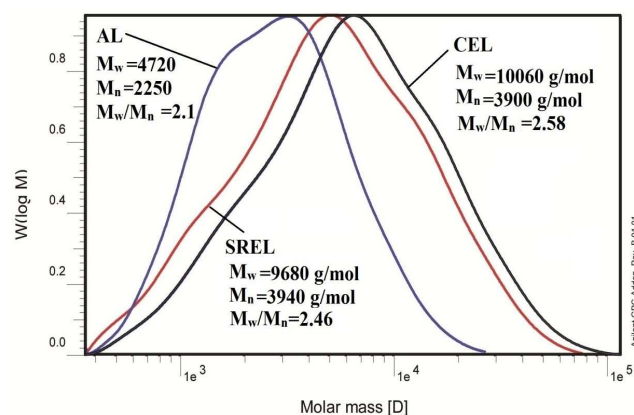


To further evaluate the functional groups of lignin samples, quantitative  $^{31}\text{P}$  NMR technique was also applied.<sup>30</sup> The spectra of the lignins are shown in Fig. 2 and the corresponding results are listed in Table 3. For SREL, CEL, and AL, the content of S-type OH was less than that of corresponding G-type OH, as revealed by the S/G ratio calculated from  $^{31}\text{P}$ -NMR spectra. This suggested that most of S-type lignin unit involves in the formation of  $\beta$ -O-4' linkage in these lignins and only a small amount of free S-OH could be detected by  $^{31}\text{P}$ -spectra. Generally, cleavage of  $\beta$ -aryl ether linkages give raise to phenolic hydroxyl groups, while oxidation reactions may result in oxidative fragmentation of the lignin macromolecule with concomitant creation of carboxylic acid group.<sup>40</sup> Less amount of phenolic OH in SREL than CEL suggesting that SREL probably contains more etherified lignin units, that is, phenolic OH is linked as  $\beta$ -O-4' linkages and phenyl glycoside linkages.<sup>30</sup> However, the contents of COOH in SREL and AL were abundant as compared to that of CEL. This is probably attributable to the cleavage of the potential LCC linkages (Fig. S6), thus releasing more glucuronic acid during alkaline treatment. In additional, the existence of carbohydrates in CEL also elevate the amount of aliphatic OH in CEL, as revealed by the higher content of carbohydrates (Table 1).<sup>41-42</sup> Moreover, non-condensed H-type phenolic OH was found in AL and SREL rather than CEL, demonstrating the existence of H-type lignin units in AL.

**Table 3** Quantification of the functional groups in the lignins by quantitative  $^{31}\text{P}$ -NMR method

Samples	SREL	CEL	AL
Aliphatic-OH	3.74	5.23	2.90
Condensed S-OH	0.07	0.02	0.02
Non-condensed S-OH	0.12	0.24	0.17
Condensed G-OH	0.03	0.05	0.04
Non-condensed G-OH	0.18	0.43	0.27
Non-condensed H-OH	0.01	N.D	0.03
COOH	0.13	0.03	0.19
S/G ratio (phenolic-OH)	0.90	0.54	0.61

### 3.5 Molecular weights



**Fig. 3** GPC curves of the lignins

Fig. 3 shows the curves of weight-average ( $M_w$ ) and number-average ( $M_n$ ) molecular weights and polydispersity index (PDI,  $M_w/M_n$ ) of the lignin samples obtained from *Eucalyptus* wood. It was found that CEL has a higher  $M_w$  than that of AL and SREL; however, the highest  $M_w$  of CEL is probably related to the associated carbohydrates.<sup>43</sup> By contrast, considering the molecular weight, higher  $\beta$ -O-4' linkages, and less phenolic OH of SREL, it was concluded that the proposed lignin isolating method could be serves as an effective method to prepare lignin samples for structural analysis.

### 3.6 Pyrolysis-Gas Chromatography/Mass spectrometry of the isolated lignin polymers

The Py-GC/MS chromatograms of the lignin samples are shown in Fig. S9 and the detected lignin-degradation products were listed in Fig. S10. The identities and relative abundances of the released compounds are listed in Table S2. Among them, guaiacyl (G) and syringyl-type (S) phenols were identified. Only minor amounts (2 %) of phenol-type compounds from *p*-hydroxyphenyl (H) units could be detected. The most important compounds identified were Phenol, 4-methoxy-, acetate (peak 4), 1,2-Benzenediol, 3-Methoxy (peak 7), 2-Methoxy-4-vinylphenol (peak 10), Phenol,2,6-dimethoxy- (peak 12), 1,2,4-Trimethoxybenzene (peak 18), 3',5'-Dimethoxy-aceto-phenone (peak 25), Phenol, 2,6-dimethoxy-4-(2-propenyl) (peak 33).

Py-GC/MS has been successfully used to analyze the relative S/G ratio of lignin.<sup>32</sup> The syringyl/guaiacyl (S/G) ratios of the lignins obtained from different methods are shown in Table S2. In all samples, the S-type and G-type degradation products were released in different amounts, with an S/G ratio ranging from 0.86 (CEL) to 1.01 (AL). For example, the SREL showed a higher S/G ratio as compared to the corresponding CEL (Table S2), which was in line with 2D-HSQC results aforementioned. However, as compared to the highest S/G ratio of AL, the moderate S/G ratio of SREL suggested that some S-type lignin was degraded and removed during the SREL isolation process. Another aspect, although the S/G ratio of the lignin obtained from Py-GC/MS is lower than the corresponding value acquired 2D-HSQC NMR results, the overall trend of S/G ratio is consistent. The differences of S/G ratios were probably related to the demethoxylation induced by the pyrolysis process. In addition, demethoxylation of syringyl units easily occurred than G units during pyrolysis process, thus leading to the formation of more guaiacyl-type degradation products and thus decreasing the S/G ratio.<sup>44</sup>

### 3.7 Implications

*Eucalyptus* lignin is typical hardwood lignin (GS type lignin) according to the previous publications,<sup>32,34</sup> without some sensitive structures, such as acetylation, *p*-coumarate esters (PCE),<sup>39</sup> and *p*-hydroxybenzoate substructures (PB).<sup>45</sup> In fact, "SREL" was also obtained with high yield and acceptable purity from other plants with sensitive structures, such as poplar wood and transgenic poplars (unpublished data). To obtain more purified lignin sample, further treatment of lignin with protease is feasible. In addition, NMR results showed that a part of *p*-hydroxybenzoate substructures (PB) in these "SREL" samples have been cleaved



during alkaline preswelling process. However, the vast majority of typical substructures ( $\beta$ -O-4',  $\beta$ - $\beta'$ , and  $\beta$ -5') has been well reserved, highly similar to that of the corresponding CEL, suggesting that the applicability of this method in the structural characterization of lignin is favourable. Further NMR analysis of lignin before and after the alkaline preswelling also indicated that the preswelling has slight effect on the composition and chemical structures of the lignin. Therefore, lignin samples obtained by this method from different biomass can be used for better characterizing the structural features of lignins in these plant as compared to currently well-accepted lignin preparations.

#### 4 Conclusions

It has been illustrated that preswelling/dissolution pretreatment with sodium hydroxide significantly improves enzymatic hydrolysis of polysaccharides in ball-milled wood, and thus increasing the yield and purity of residual lignin (SREL). SREL was demonstrated to be an ideal sample to characterize the structural features of lignin in most of lignocellulosic materials. The data presented in this study indicated that the mild alkaline treatment has slight effect on the native lignin structures, and the lignin obtained by this method is syringyl-rich lignin, having more abundant  $\beta$ -O-4' linkages and less phenolic hydroxyl groups. Comparing with the CEL, AL, and SREL preparations, by using various analysis approaches, revealed that all these samples have similar chemical compositions and structural features. To the best of our knowledge, this is the first time that the method has been presented in literature. Surprisingly, this method also gives some inspirations for better deconstruction of plant cell wall in an easily approach, which is crucial to pretreated process in the current biorefinery process. Another, as representative lignin fraction, the lignin can be used as a lignin model to investigate the chemical transformations and depolymerization of lignin under a biorefinery and green chemistry scenario.

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## Structural elucidation of whole lignin from Eucalyptus based on preswelling and enzymatic hydrolysis

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