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## Dimethylaminoethyl Methacrylate Copolymer-siRNA nanoparticles for silencing therapeutically relevant gene in macrophages

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Therapeutic gene silencing using small-interfering RNA (siRNA) for treatment of bacterial infections has been neglected in comparison to cancer and viral infections. The aim of our investigation was to formulate siRNA-loaded nanoparticles, using an established cationic polymethacrylate polymer, to enhance delivery of siRNA into the cytoplasm of macrophages that host many pathogenic bacterial species, including tuberculosis. Nanoparticles of cationic Dimethylaminoethyl Methacrylate Copolymer (Eudragit® E 100) were successfully formulated and were found to efficiently bind the siRNA molecules (cy3 siRNA, Bfl1/A1 siRNA). The efficiency of nanoparticles in overcoming cellular barriers to intracellular siRNA delivery as well as the precise pathway of endocytosis of nanoparticles were both confirmed using confocal microscopy. Through efficient siRNA release into the cytoplasm, the siRNA-loaded nanoparticles enabled a five-fold enhancement in knockdown efficiency of endogenous host gene Bfl1/A1, when the formulation was compared with free siRNA. Persistence of Bfl1/A1 being useful for phagolysosomal survival of tuberculosis bacteria in macrophages, the nanoparticles offer a promising concept for exploitation as an anti-tuberculosis therapy.

### Introduction

Extensive spread of pulmonary infections is a cause of global concern due to progressive increase in number of affected cases, particularly caused by the spread of acquired immune deficiency virus (AIDS) pandemic [1]. The intracellular infectious pulmonary pathogens are taken up by the alveolar macrophages, wherein resistance to biocidal and biophylactic mechanisms enable their rampant multiplication, consequently resulting in severe respiratory infections [1–4]. Amongst the various infectious microorganisms, *Mycobacterium tuberculosis* remains one of the world's most notorious pathogens, this organism being single-handedly responsible for millions of tuberculosis related mortalities, annually. Further, the emergence of multidrug-resistant *M. Tuberculosis* underscores the need for new therapeutic approaches to combat this pathogen [5].

Amongst the numerous strategies being exploited worldwide to combat this pathogen, macrophage delivery is now considered as one of the most challenging and promising approaches [4, 6]. However, the success of this approach, in delivering chemical or nucleotide based drugs to the intracellular bacteria, is limited by inadequate specificity of the macrophages and their poor internalization of drug-carrier conjugates [6-9].

Though numerous delivery carriers, such as liposomes and lipidic nanoparticles have been previously explored for macrophage delivery, the use of polymeric nanoparticles has gained increasing interest due to their low toxicity in comparison to alternative approaches such as the viral systems. Further, in comparison to lipidic nanocarriers, nanoparticles of cationic polymers are less

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immunogenic and impart more stability to the associated nucleic acids due to multivalent interactions with the latter. Other advantages of polymeric nanoparticles is their ability of cellular interactions and internalization and possibility of optimized synthesis conditions, to result in desired, reproducible physicochemical characteristics [12, 13]. Furthermore, polymeric nanoparticles are also receiving attention as vectors for therapeutically relevant nucleotides including antisense oligonucleotides (asODNs) and functional small interfering RNA (siRNA) [14, 15].

Macrophages are an interesting target in *M. tuberculosis* therapy as they host the pathogens, demonstrate favorable uptake of NPs and last but not least, act as important mediators of immune response. Hence, in our investigation we aimed to exploit all these advantages of macrophages as potential tools to interfere with the host-pathogen interaction. However the challenge in targeting the siRNA to macrophages was that although macrophages efficiently phagocytose particulate matter, they might prove problematic for delivery of functional nucleotides since they degrade phagocytosed molecules.

The gene of choice was Bfl1/A1, an anti-apoptotic member of the Bcl-2 family of proteins. It is an NF- $\kappa$ B (Nuclear factor kappa b) dependent gene, which is up-regulated in response to various NF- $\kappa$ B pathway stimulators like TNF- $\alpha$  and IL-1 $\beta$ , CD40, phorbol ester, and lipopolysaccharide (LPS). Moreover, virulent mycobacteria have been shown to induce Bfl1/A1, in order to create a protective niche within the infected macrophages. [16-18, 21-23].

In this investigation, we aimed to target anti- Bfl1/A1 siRNA to macrophages employing nanoparticles of the cationic polymethacrylate polymer Eudragit<sup>®</sup> E100 (Dimethylaminoethyl Methacrylate Copolymer ; DMC). The cationic nanoparticles were hypothesized to overcome the problems associated with intracellular siRNA delivery, such as rapid degradation by nucleases, low intracellular uptake, and limited blood stability [19]. The choice of the polymer was supported by literature reports confirming the ability of Eudragit polymers to induce autophagy in macrophages, a primary defence mechanism of immune system against infectious disease like tuberculosis, thereby affecting host-bacterial interactions [12]. Also, Eudragit polymers are reportedly more benign to the cells as compared to standard cationic transfection polymers like polyethylenimine (PEI), poly (L-lysine) (PLL), which are known to possess both *in vitro* and *in vivo* toxicity [13]. Our formulation was successfully able to deliver anti- Bfl1/A1 siRNA within the macrophage cell line and down-regulate this protein, considerably better than free siRNA. Success in these experiments indicate potential of the nanoparticles for further studies in animal models to confirm their efficacy as a platform technology for gene silencing in intracellular infections.

## Results and Discussion

### 60 Nanoparticle preparation and characterization

DMC belongs to polymethacrylate class of polymers, which contain tertiary amine groups. Polymethacrylates have previously demonstrated the proton buffering ability, similar to PEI, but with minimal cellular toxicity [23]. As with other polymethacrylates, DMC contains tertiary amino groups, which were hypothesized to yield a good transfection of nucleic acids [24]. The importance of tertiary amino groups for imparting a good transfection capability has been already demonstrated in case of branched PEI (polyethylenimine), compared to linear PEI, through several transfection experiments in previous publications [25, 26]. However it is important to note that DMC, despite its similarity to PEI with regards to its tertiary amino groups, lacks the severe toxicity associated with the latter, which in turn enhances its applicability for transfecting mammalian cells [27]. In addition, DMC is soluble upto pH 5.5 and swellable and permeable above pH 5.0. The polymer is protonated at this pH and is

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70 anticipated to due to its cationic provide proton sponge effect to the endosome due to cationic dimethylaminomethyl groups. Due to this effect the siRNA loaded nanoparticles of eudragit have been anticipated to enter cytoplasm after breaking the endosomal compartment [28]. Various polymethacrylates have already been evaluated for delivery of nucleotide based drugs [28]. however the application of commercial polymethacrylates for siRNA delivery has been attempted for the first time in this investigation, to the best of our knowledge.

75 DMC (Fig. 1) exists in aprotonated form in organic solvents like acetone and ethyl acetate and also remains insoluble in water [29]. Hence DMC nanoparticles were formulated by solvent emulsification technique, after dissolving DMC in ethyl acetate and acetone. Further, the nanoparticles were optimized with respect to various parameters to provide the desired particle size, homogeneity and zeta potential for adequate complexation of nucleotides. The effect of various stabilizers like poloxamer 407, vitamin E-TPGS and PVA were evaluated, the results for which have been depicted in Fig. 1B and 1C. It was observed that ethyl acetate resulted in a lower particle size compared to acetone due to the partial solubility of ethyl acetate in water. It has been 80 reported that solvents having partial solubility in water result in smaller nanoparticles than the solvents with high water solubility, due to the ability to provide low interfacial tension at the aqueous-organic interphase. [30]. Additionally, low interfacial tension also facilitates a narrow distribution profile of the nanoparticles, as reflected by their low polydispersity index. As seen in Fig 1C, nanoparticle formulation containing DMC (ethyl acetate) in combination with PVA (100 µg/mL), exhibited an optimum particle size (170 nm ± 1.65 nm), polydispersity index (0.09 ± 0.003) and zeta potential (34.8 ± 0.97 mV) and hence was chosen for the 85 further experiments involving complexation with nucleotide molecules. Various parameters like monodispersity, higher zeta potential, stability and lung delivery were considered before choosing the optimum nanoparticle formulation.

#### Complexation of nanoparticles with nucleic acids

90 The optimized DMC nanoparticles demonstrated a positive zeta potential (34.8 ± 0.97 mV; Fig. 1), which allowed them to efficiently bind to the negatively charged nucleic acids. Preformed DMC nanoparticles were loaded with a combination of pUC 18-control plasmid DNA (pDNA) and siRNAs in the ratio of 3:1 w/w. The idea behind using preformed nanoparticles was to prevent the chemical, thermal or mechanical stresses associated with the process of nanoparticle formation from destroying the integrity and efficacy of the fragile siRNA molecules. The nucleotide combinations consisted of a cargo pDNA, non-functional in mammalian cells (pUC 18) and the respective siRNAs. The selection of type of pDNA assured that any biological action of the 95 nanoplexes could be attributed only to the particular siRNA molecule. pDNA was used to enable efficient loading of nanoparticles with siRNA due to its complex size and suitable molecular topography [31]. siRNA molecules by themselves behave as stiff rods due to its very short length (21-23 bp). Since the minimum length of nucleotides required to efficiently complex with cationic carriers is typically reported to be about 80-120 bp, siRNA by themselves exhibit ample potential for poor loading and disordered complexing behavior, resulting in formation of larger nanoparticles that may not be efficiently 100 internalized [31].

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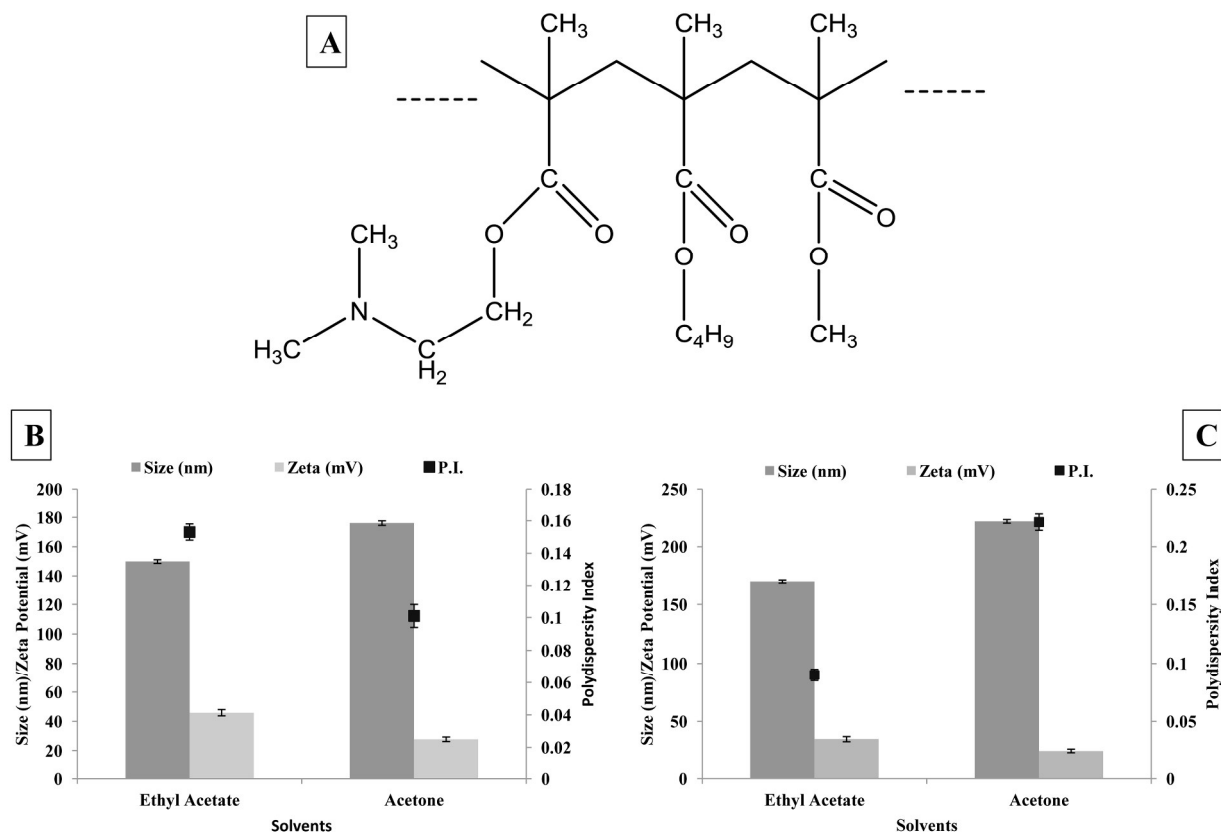


Fig. 1. Particle Size, Polydispersity index and zeta potential of nanoparticles with A. DMC: Poloxamer: VTPGS (1:1:1) B. DMC: PVA (1:1)

105 It was hypothesized that such a combination of nucleotides would lower the amount of siRNA necessary to have the optimum binding to the nanoparticles. This is particularly important because high concentrations of siRNA have been reported to result in unwanted off-target effects [31]. Alternatively, too low concentrations of siRNA when complexed with cationic nanoparticles with a high surface charge, as in the present investigation, would result in their excessively tight binding and thus hamper the siRNA release and efficacy. Previous reports have also demonstrated the ability of DMC nanoparticles to efficiently complex

110 DNA, albeit without siRNA [32].

Three different types of siRNAs, namely Cy3 labeled control siRNA, anti-Luciferase siRNA (supporting information) and anti-Bfl1/A1 siRNA, were complexed with the DMC nanoparticles to demonstrate the cellular uptake and efficiency of the nanoplexes.

The complexation efficiency was studied over various weight ratios of nanoparticles to nucleic acids (pUC 18 and anti-Luciferase siRNA), ranging from 1:0.01 to 1:0.2 (w/w). The results have been presented in Fig. 2A. As seen from the figure, complete binding of nucleotides was observed at weight ratio of 1:0.05, whereas excess nucleotides, at higher weight ratios, were observed as fluorescent bands during gel electrophoresis. The weight ratio of 1:0.05 was hence finalized for formulating the nanoparticles for further cellular evaluations. The particle size and polydispersity of nanoparticles increased with increase in nucleotide

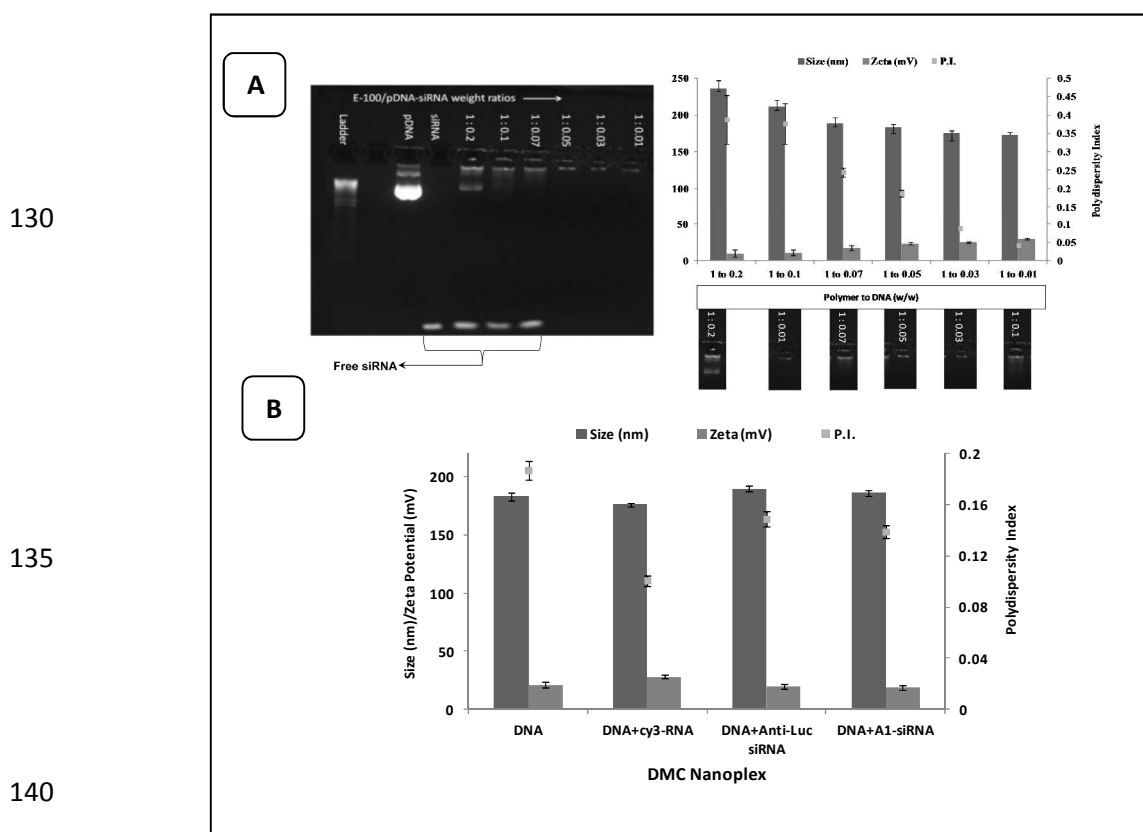
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120 concentration. This was accompanied by a steady decrease in zeta potential due to overall negative charge of the loaded nucleotides. The weight ratio of 1:0.05 resulted in optimum particle size, distribution ( $183.2 \pm 4.5$  nm,  $0.187 \pm 0.008$ ) and zeta potential ( $23.11 \pm 1.7$  mV). Higher weight ratios resulted in non-homogenous formulations, as indicated by increased PI, and substantial lowering of overall surface charge, and hence were not chosen for further studies.

125 Complexation with all the three siRNAs resulted in nanocomplexes with similar size, distribution and surface charge. The results have been depicted in Fig. 2B. However the overall surface charge of the nanoparticles was positive, in all cases, which was anticipated to improve their cellular uptake by interaction with negatively charged cell membranes.



145 *Fig. 2. A. Particle size, zeta potential and agarose gel electrophoresis of DMC Nanoparticle-nucleotide complex. The particle size and polydispersity increased with increase in nucleotide concentration, while the zeta potential decreased. Gel electrophoresis demonstrates complete complexation of nucleotides at weight ratio of 1:0.05 B. Particle size and zeta potential of nanoparticles complexed with Cy3siRNA, anti-Luciferase siRNA and anti-Bfl1/A1 siRNA, exhibiting similar values with all the three siRNAs*

### Morphology

The particle size and morphology of the unloaded and nucleic acid loaded nanoparticles were observed to be similar after drying (Fig. 3A) and in the liquid film (Supporting Information). ESEM is an important technique for visualizing the shape and surface

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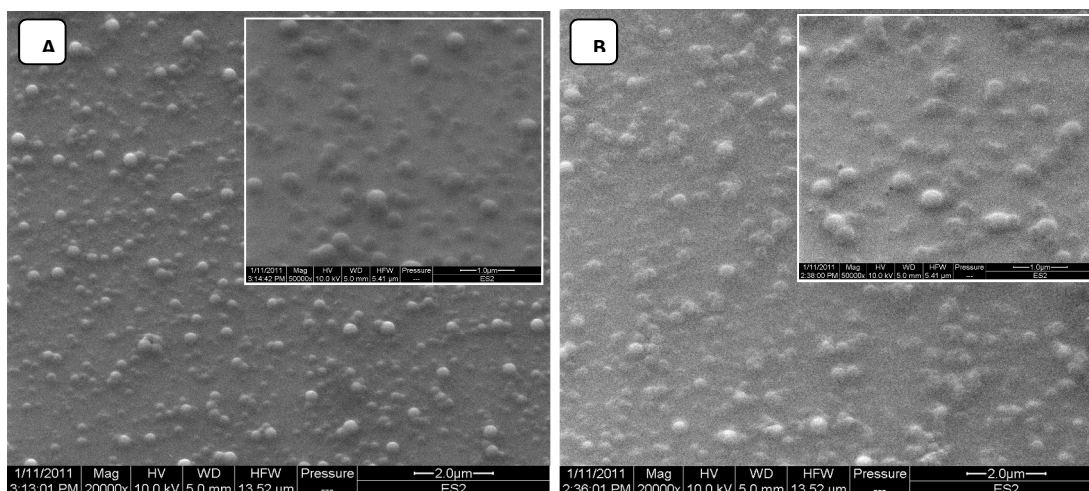
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150 texture of pDNA/siRNA loaded nanoparticles. ESEM is suitable for polymeric nanoparticles since it does not cause any physical damage to the sample [20, Supporting Information]. Fig. 3B depicts the ESEM image of nucleic acid loaded DMC nanoparticles. The surface of the nanoplexes exhibited a slight roughness, as compared to the unloaded nanoparticles (Fig 3A), which may be attributed to the adsorption pDNA-siRNA on the nanoparticle surface.

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165 *Fig.3. A. ESEM images of DMC nanoparticle and B. DMC-Nanoplex (DMC Nanoparticle:pDNA-siRNA). A. The images show spherical and monodisperse nanoparticles. Inset shows particles at higher zoom. Condensation of pDNA cargo and siRNA alter the surface morphology of nanoparticles as visualized by ESEM. The rough surface characteristics may be attributed to the adsorption of pDNA-siRNA on the nanoparticle.*

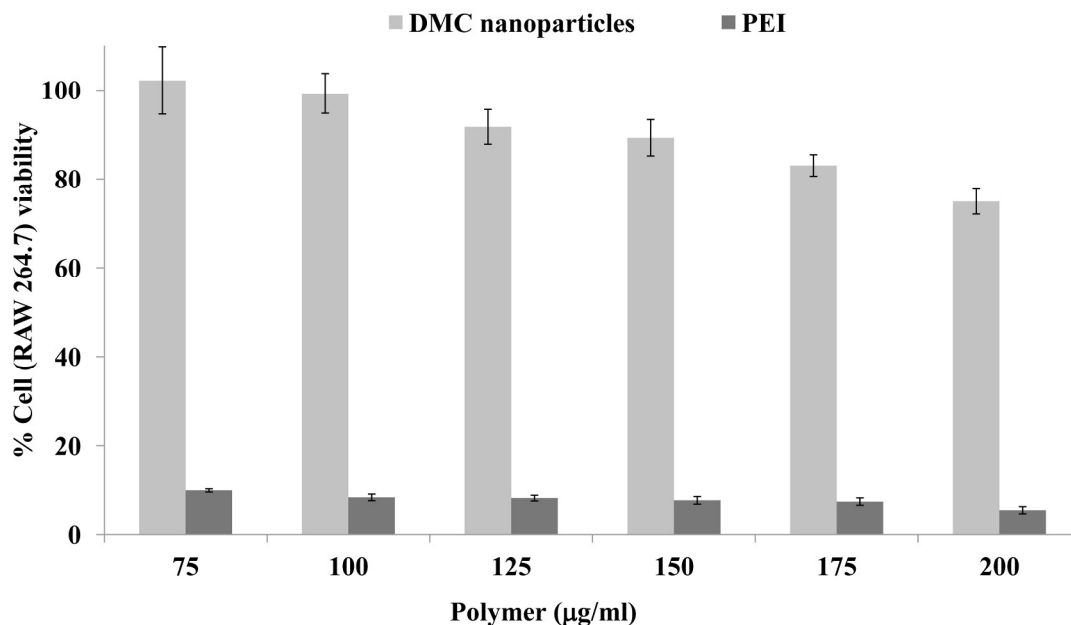
### Cytotoxicity Studies

170 The safety of the nanoparticles for various cellular evaluations was established by the MTT assay [20, 21]. The results of the MTT assay have been depicted in Fig.4. Nanoparticles equivalent to test concentrations of DMC were employed during the assay. The results indicate that the nanoparticles exhibited no significant toxicity even at the highest test concentration, equivalent to 200 μg/mL of DMC. Furthermore at lower test concentrations, equivalent to 75-125 μg/mL of DMC, only 10% decrease in their mitochondrial activity was observed.

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Fig. 4. MTT assay of DMC (Eudragit E<sup>®</sup>100) Nanoparticles. The results were compared with proven cationic polymer, PEI. DMC exhibited a superior safety profile in comparison to 70 kDa PEI, at the various test concentrations.

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From Fig 4, it is evident that the cells exhibit almost complete viability when incubated with nanoparticles equivalent to 100 µg/mL of DMC. This concentration of nanoparticles was hence chosen for the further cellular evaluations. However, PEI, the positive control, tested at the same concentration was found to be significantly toxic to the cells. Thus the developed nanoparticles were non-toxic to the cells at the test concentrations, which indicated their potential for further cellular evaluations.

### Intracellular trafficking

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#### Cell Uptake

The efficiency of gene silencing depends upon effective cellular internalization of siRNA loaded nanoparticles and release of siRNA in the cytoplasm. Understanding the pathway and mechanism of nanoparticle internalization may provide further insights into their intracellular efficacy. The cell uptake of Cy3 siRNA loaded DMC nanoparticles was visualized by confocal laser scanning microscopy (CLSM) and the mean fluorescence intensity of the nanoparticles associated with the cells was determined by fluorescent activated cell sorting (FACS).

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Fig. 5 depicts the results of FACS analysis. As can be clearly seen, the shift in the mean fluorescence intensity (MFI) peak of cells treated with naked Cy3-siRNA was minor as compared to cells treated with Cy3-siRNA loaded DMC nanoparticles, indicating that the cell associated fluorescence was higher in the latter case.

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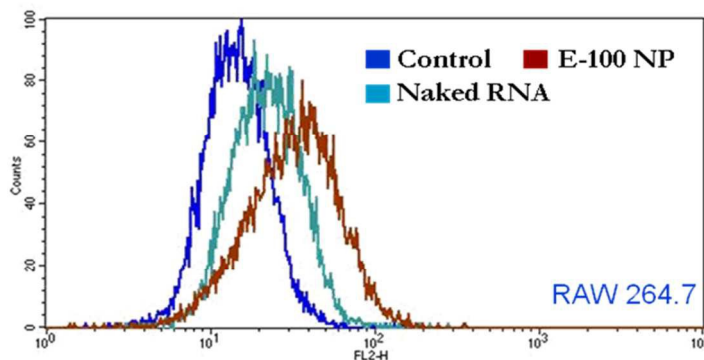


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210 *Fig. 5. FACS analysis of Cy3-siRNA loaded DMC (E-100) Nanoparticles. The cell associated fluorescence was higher for nanoparticles compared to the naked siRNA and control. The precise information was inferred from mean fluorescent intensity (%) values.*

The above observations were further reinforced by percent MFI values. The percent MFI for DMC nanoparticles was  $33.62 \pm 4.6$  % as against  $13.44 \pm 0.2$  % for naked siRNA and  $7.33 \pm 0.063$ % for cell control. This may be attributed to the inability of the naked siRNA to be efficiently internalized into the cells due to its labile nature and unfavorable size and charge. Though the cell associated fluorescence was higher in case of DMC nanoparticles, the internalization of particles could not be precisely confirmed by this analysis. To confirm this internalization and to understand the mechanism of internalization of nanoparticles, confocal microscopy was performed (Fig. 6). The images indicate evident internalization of Cy-3 siRNA loaded nanoparticles (6A and 6B), denoted using arrows and circles. To further confirm these observations, z-stack of confocal images were analyzed (6C and 6D). These images indicate that localization of nanoparticles between the cell membrane and nucleus. This enhanced cellular association and uptake may be attributed to electrostatic attractions overall cationic charge of the nanoparticles and the electronegative groups of the cell surfaces, in addition to hydrophobic association of the polymer with membrane structures as observed in earlier investigations [33]. To further understand the uptake mechanism, the cell uptake experiments were conducted in presence of inhibitors of various cell uptake pathways. Although various other types of Eudragit® polymers have been previously explored for [34, 35] nanoparticle formulations, this study is the first one to identify the precise endocytic pathway for DMC nanoparticles. The various inhibitors used during this experiment, along with the respective doses employed and the specific pathways inhibited by each, are shown in Table S1 in supporting information. The table also indicates the experimental protocol followed for each of these inhibitors with regards to their incubation time, with or without nanoparticles.

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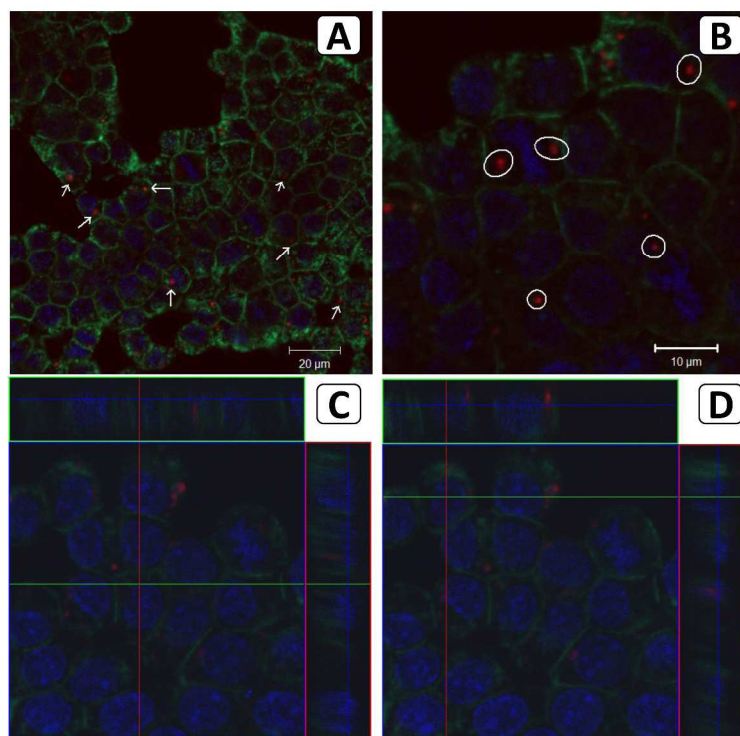


Fig. 6. CLSM images of DMC Nanoparticles loaded with Cy3-siRNA. An efficient cell uptake was observed for nanoparticles A. 63x image, nanoparticle (red spots) location has been denoted by arrows and B. 25 times zoom of 63x image, nanoparticle accumulation has been indicated by circles. C and D. z-stack confirms that nanoparticles are inside the cells. (Green: WGA stained Membrane, Blue: DAPI stained Nuclei)

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Clathrin dependent endocytosis has been reported to be one of the most common ‘entry ports’ for nanoparticulate carriers. Chlorpromazine (CHL), a cationic amphiphilic drug, is known to inhibit clathrin-coated cavity formation, by a reversible translocation of clathrin and its adapter proteins from the plasma membrane to intracellular vesicles [36]. The confocal images of the cell uptake with this inhibitor have been depicted in Fig. 7A. It was observed that the cell uptake of nanoparticles was comparable to that observed in control cells with no inhibitors (images not shown). The possibility of involvement of caveolae-dependent pathway was evaluated using nystatin (NYS), a known inhibitor of this pathway. Nystatin is also a preferred agent since it does not affect the clathrin-dependent internalization. Nystatin is a sterol-binding agent that disassembles caveolae and cholesterol in the membrane [37]. The confocal images (Fig. 7B) indicate similar cell uptake as observed in case of CHL. The cell uptake, again, was comparable to the control cells. Further experiments were then conducted using 5-(N-Ethyl-N-isopropyl)amiloride (AML), an inhibitor of macropinocytosis and phagocytosis. AML is an inhibitor of the  $\text{Na}^+/\text{H}^+$  exchanger

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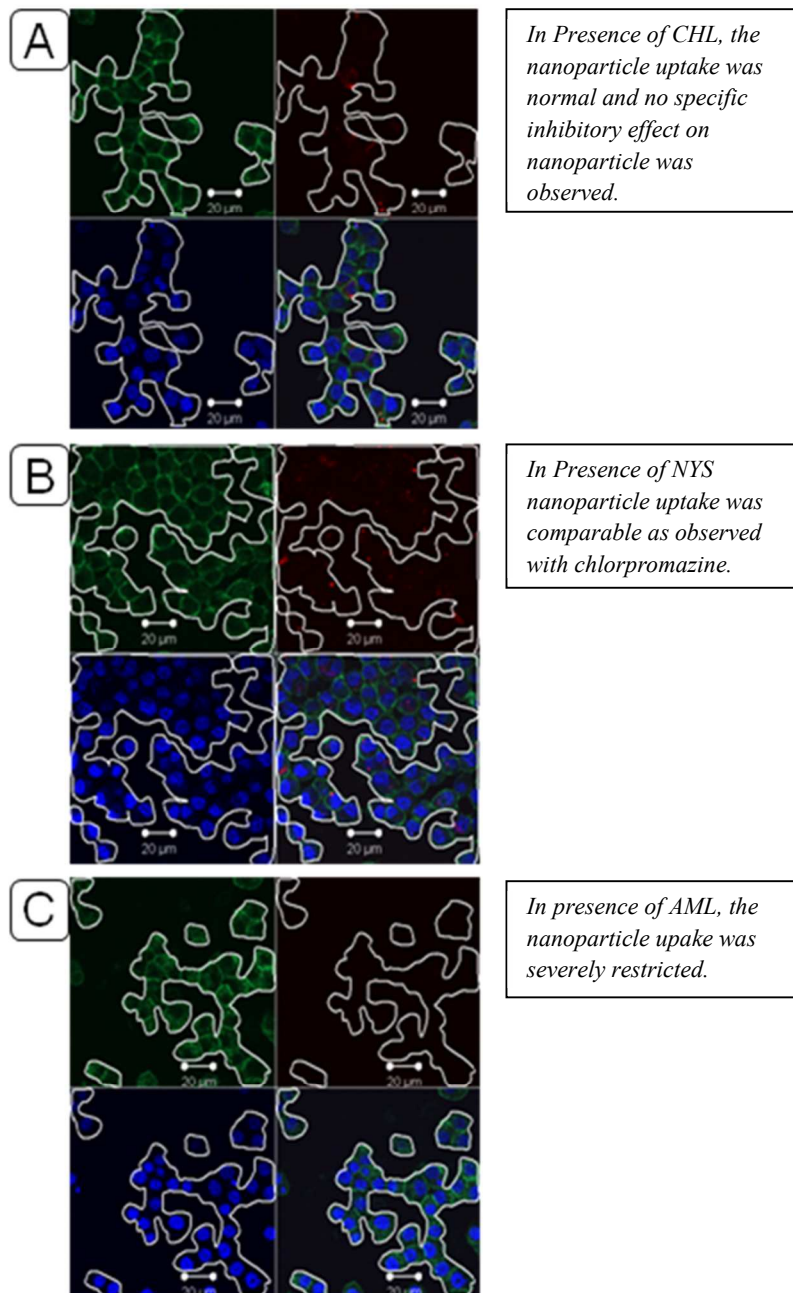
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that specifically inhibits both these pathways [38]. Confocal images (Fig. 7C) indicate that nanoparticle uptake was severely affected in presence of AML and most of the nanoparticles remained outside the cells in presence of this inhibitor as compared to cell control. Qualitatively, CLSM images thus suggested micropinocytosis and phagocytosis as the possible uptake pathways for the nanoparticles. To further verify these results, quantification of nanoparticle uptake was conducted by a combined multiphoton pixel analysis method [39] and manual counting.

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260 Fig. 7. CLSM images of DMC Nanoparticles loaded with Cy3-siRNA (Red) in presence of endocytic inhibitors. (Green: WGA stained Membrane, Blue: DAPI stained Nuclei, Red: Nanoparticles)

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The results support the observations shown by CLSM images and those of manual method for quantification of nanoparticles. Also, our observations are in compliance with the earlier investigations with polymethacrylate (Eudragit) particles in various *in vivo* models, which speculate that in animal models these particles are taken up by macrophages via phagocytosis [40, 41].

### *In vitro* Gene Silencing

#### *Silencing of reporter Luciferase gene*

In general, siRNA delivery is limited due to various physical and chemical barriers. Direct delivery of siRNA (without carrier) has only achieved reasonable efficiency and has shown limited efficiency upon local administration to specific tissues [42]. Thus development of an efficient carrier is a prerequisite for delivering siRNA in a biologically effective state. Various cationic polymers like PEI, chitosan and their synthetic derivatives have been evaluated for this purpose. These polymers have exhibited inadequate success and despite their ability to improve the cell uptake and endosomal escape of the associated siRNA, they have resulted in low knockdown efficiency, mostly due to firm complexation and inadequate siRNA release [43, 44].

The DMC nanoplexes (DMC nanoparticles loaded with anti-Luc siRNA) were evaluated for establishing the proof of biological efficacy of the formulated nanoparticles. These results have been discussed in depth in the supporting information of this manuscript.

#### **Bfl1/A1 Gene silencing**

Mycobacterium tuberculosis is an intracellular pathogen that primarily resides in host macrophages and utilizes the host cellular machinery for its survival. Though, extensive research is underway to understand the 'dependence factors' of mycobacteria inside macrophages, knowledge and proof is rather limited. However, recent research has provided several new targets to reduce or diminish the bacterial growth inside the macrophages [45]. One of the important survival pathways for these bacteria is to prevent apoptosis of the cell. Studies have suggested that apoptosis of infected macrophages is prevented by mycobacteria, especially due to the virulence associated with these organisms. It has been demonstrated that proteins of the Bcl-2 family (Bfl1/A1), cytokines tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin-10 (IL-10) modulate apoptosis of infected macrophages. Additionally, anti-apoptotic Bcl-2 proteins interact during mycobacterial infection and enhance their survival rate inside the macrophages [46-48]. The role of Bfl1/A1, a member of Bcl-2 family of proteins, has been comprehensively explored in the pathogenesis of tuberculosis and it has been demonstrated that inhibition of Bfl1/A1 can limit the mycobacterial growth and hence spread of infection [18]. Thus in the present investigation DMC nanoparticles loaded with Bfl1/A1 siRNA, were evaluated in the macrophages (RAW 264.7) for their ability to enhance knockdown of anti- Bfl1/A1 siRNA and thus downregulate Bfl1/A1 expression. This was projected to have therapeutic significance for the treatment of tuberculosis.

Expression of endogenous Bfl1/A1 was induced in RAW 264.7 cells using lipopolysaccharide from *E. Coli*. Bfl-1/A1 is an NF- $\kappa$ B (Nuclear factor kappa B) dependent gene. Various studies have already demonstrated that LPS can stimulate NF- $\kappa$ B signaling and hence Bfl1/A1 expression in RAW 264.7 cells. [16, 17, 49]. The use of this model suggest an appropriate strategy to demonstrate anti-tubercular potential of the siRNA loaded polymeric nanoparticles without compromising on the safety issues associated in working with tuberculosis bacteria, in preliminary studies.

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300 The stimulation of Bfl-1/A1 expression in RAW 264.7 cells was confirmed by western blot analysis of the protein. Further, RAW 264.7 cells were treated with siRNA loaded DMC nanoparticles, naked anti -Bfl1/A1siRNA, control siRNA and anti-Bfl1/A1siRNA- jetPRIME™ transfection reagent combination. Bfl-1/A1 protein and mRNA levels were analyzed using western blot and RT-PCR. The results were compared with the protein expression and mRNA levels in untreated cells and cells treated only with LPS. The results have been demonstrated Fig. 8A and 8B, respectively.

305 The Fig. 8A confirms the expression of Bfl1/A1 in Raw 264.7 cells when stimulated by LPS, as compared to its inherent expression in un-stimulated cells. Further, the siRNA-DMC nanoparticles were able to reduce the expression of Bfl-1/A1 protein, a trend similar to that observed with luciferase gene (supporting information). DMC nanoparticles loaded with 80 pmol of anti- Bfl1/A1 siRNA significantly reduced the protein expression as compared to the naked siRNA. These results were also reinforced by reduction in mRNA levels during RT-PCR studies (Fig. 8B). The protein and mRNA levels of Bfl-1/A1 were not affected when the cells were treated with free anti- Bfl1/A1 siRNA or scrambled siRNA, either in free or complexed state (data not shown).

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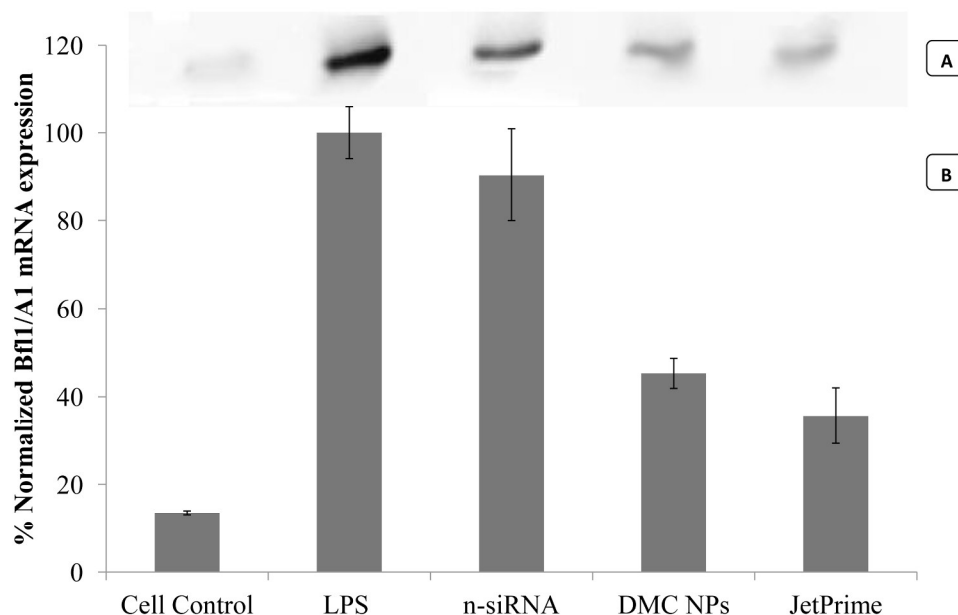
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335 *Fig.8A. Western blot analysis of Bfl-1/A1 protein expression after transfection of RAW 264.7 cells with DMC (Eudragit or E-100) nanoplexes formulated using A1-siRNA (80 pmol) for 72 h. 12B. Bfl-1/A1 gene silencing observed using RT-PCR. Bfl-1/A1 mRNA levels were normalized to  $\beta$ -actin mRNA expression.*

The reporter gene and functional gene silencing strongly prove capability of DMC nanoparticles as an effective siRNA carrier for macrophage delivery. This efficacy may be attributed to their ability of efficient intracellular trafficking. Commercial Eudragit  
 340 polymers have been evaluated for their membrane disruption potential [50]. It has been demonstrated that these polymers contain protonable amino nitrogen atoms, which makes them an effective ‘proton sponge’. This effect is responsible for endosomal swelling and rupture, and provides successful escape of nanoparticles from endosomes, thus protecting the associated siRNA. The DMC polymer consists of a hydrophobic backbone due to esterification of all its carboxylic acid groups with methyl and butyl side groups. This hydrophobic backbone is responsible for the membrane-disrupting activities at acidic pH [50-55]. At  
 345 acidic pH of endosome, the polymer conformation changes from coil to globule due to protonation of carboxylic acid groups and polymer binding increases. The precise mechanism of disruption, however, is not well understood and it is believed that because of electrostatic interaction between the endosomal membrane and tertiary amine groups of DMC, positive charge is generated inside the hydrophobic layer of the membranes, which causes membrane disruption [51, 52]. This mechanism may be responsible for the endosomal escape of the siRNA-DMC nanoparticles and thus their enhanced biological functionality.

## 350 **Materials and Methods**

### **Materials**

Eudragit® E100 or DMC ( $M_w$  approx. 47,000 g/ mol) was kindly gifted by Evonik, Germany. Ethyl acetate (Fluka Chemie GmbH, Buchs, Switzerland), Polyvinyl alcohol (PVA; Mowiol 4-88; Kuraray Specialities Europe GmbH, Frankfurt, Germany)

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355 were used as obtained from the suppliers. Bfl-1/A1 siRNA, scrambled siRNA sequence and anti-Bfl-1/A1 antibody were purchased from Santa Cruz Biotechnology Inc. (Germany). Total RNA isolation and RT-PCR kits were purchased from Qiagen (Germany).

360 Dimethyl sulfoxide (DMSO) for MTT assay was purchased from Sigma Chemical Co. (St. Louis, MO, USA). Fluorescein wheat germ agglutinin (FITC-WGA) was obtained from Vector (Vector Laboratories, Inc., Burlingame, CA), 4',6-diamidino-2-phenylindole dihydrochloride (DAPI) was procured from Fluka Chemie GmbH (Buchs, Switzerland). All the other chemicals and solvents employed were of analytical grade. Highly purified water (Milli Q Plus system, Millipore, Bedford, MA, USA) was used whenever required.

365 The cellular uptake studies were conducted by employing Silencer® Cy<sup>TM</sup>3 negative control siRNA (Ambion, Inc., TX, USA) for loading onto the nanoparticles. The knockdown of Bfl1/A1 gene, responsible for intra-macrophage survival of tuberculosis bacteria, was studied by complexing the nanoparticles with anti-Bfl1/A1 siRNA and scrambled siRNA (Santa Cruz Biotechnology, CA, USA). The concentrations were chosen as per the manufacturer's suggestions.

### Cell Culture

370 RAW 264.7 mouse macrophage line was obtained from American Type Culture Collection (ATCC Cat. No. TIB-71, Manassas, VA). RAW 264.7 cells were cultured in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal calf serum (FCS) (both from GIBCO, Karlsruhe, Germany). The cells were maintained at 37 °C, 85% relative humidity and 5% CO<sub>2</sub>.

### Nanoparticle preparation and siRNA loading

375 DMC nanoparticles were formulated using the solvent emulsion diffusion method [20] with slight modifications. DMC nanoparticles were optimized using various stabilizers e.g. poloxamer 407, vitamin E-TPGS and PVA. These stabilizers were used in 1mg/mL concentration in each formulation. Briefly, a solution of DMC in ethyl acetate or acetone (1.0 mg/mL; 1 mL) was emulsified for 10 min with an aqueous solution of polyvinyl alcohol (PVA; 1 mg/mL; 1 mL) at 14,500 rpm, using a high-speed homogenizer (Ultra Turrax® Ika®, Staufen, Germany). Further, water (8 mL) was added into the mixture to promote diffusion of organic phase into the aqueous phase. The resulting nanoparticles were stirred for 10 h to allow complete evaporation of the organic solvent. The final volume of the nanoparticle dispersion was adjusted to 10 mL with water, equivalent to a DMC content of 0.1 mg/mL. pUC 18 DNA (pDNA; 1.2 nmol) was added into the nanoparticle formulation. Twenty microliter of siRNA (400 pmol) in nuclease-free water was added to 1 mL DMC nanoparticles, while stirring, and incubated for 1 hour prior to further use.

### Photon correlation spectroscopy and Zeta Potential

385 The hydrodynamic size and surface charge of DMC nanoparticles (Unloaded and Loaded) were determined by photon correlation spectroscopy using a Zetasizer Nano ZS (Malvern Instruments, Malvern, UK). The experiments were conducted at 25 °C, after diluting the formulation with water, to guarantee that its light scattering intensity was within the instrument's sensitivity range. Measurements were conducted in triplicates at a wavelength of 633nm and a backscattering angle of 173°.

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### ESEM

395 The morphological characteristics of the DMC nanoparticles (Unloaded and Loaded) were examined using a high resolution environmental scanning electron microscope (ESEM, FEI Quanta 400 FEG). For ESEM, one drop of dilute nanoparticles was placed on a small piece of silicon wafer and dried in the SEM chamber. Images were recorded at room temperature with an accelerating voltage of 20 kV and a pressure  $p = 100$  Pa water. Wet-STEM investigations were done with a 2  $\mu$ l drop of dilute nanoparticles solution placed on a carbon coated copper TEM grid at an accelerating voltage of 30 kV and a pressure  $p = 800$  Pa ( $T = 276$  K).

### Complexation of nanoparticles with nucleic acids

400 Gel electrophoresis was performed to evaluate the complexation of siRNA with DMC nanoparticles. The details of this method have been provided in the supporting information.

### Cell Viability

405 To evaluate cytotoxicity of nanoparticles, MTT assay was carried out using standard protocol [21]. We have used PEI as positive control in the experiment. The experimental details of MTT assay have been described in the supporting information.

### Intracellular Trafficking

#### *Cell Association and Uptake: FACS*

410 The association between DMC/siRNA (cy3 labeled) nanoparticles and RAW 264.7 cells was studied by flow cytometry, by measuring the fluorescence after incubation with nanoparticles. RAW 264.7 cells were seeded in 24-well plates at a density of 50,000 cells per well. The nanoparticle suspension was diluted in ratio of 1:4 with Krebs Ringer Buffer (KRB) (pH 7.4). Cells treatments procedure is indicated in CLSM section. Analysis by flow cytometry was performed after 24 h with a FACS Calibur flow cytometer from Becton Dickinson (BD) Biosciences (Heidelberg, Germany), using the CellQuest™ Pro Version 4.02 (BD Biosciences) software. For this purpose, the cells were washed twice with PBS and detached using a cell scrapper. Further, the cell suspension was centrifuged at 500 $\times$ g and the resulting pellet was re-suspended in cell medium (500  $\mu$ L). The cell-associated fluorescence (Cy 3) was elicited by excitation at 543 nm and measured after passing through a 560/615 nm band pass filter. The instrument was adjusted with un-treated cells. During each run 10,000 cells were counted. The percentage of cells associated with DMC/siRNA (cy3 labeled) nanoparticles was evaluated after gating and selection of a fluorescence threshold referred to non-treated cells. The experiment was performed in triplicate.

420

#### *Cell Uptake: Confocal Laser Scanning Microscopy (CLSM)*

425 Visualization of cellular uptake by CLSM was performed by slightly modifying a reported method [20]. RAW 264.7 cells were seeded in 24 well imaging plate FC, with Fluorocarbon Film Bottom (PAA Laboratories GmbH, Pasching, Austria), at a density of 20,000 cells per well, 24 hours prior to the experiment. The cells were maintained employing the conditions described in section 2.2. For uptake experiments cells were treated with DMC/siRNA (cy3 labeled) nanoparticles (100  $\mu$ l). The nanoparticle suspension was diluted in ratio of 1:4 with Krebs Ringer Buffer (KRB) (pH 7.4). Cells were incubated with this medium containing nanoparticles for 4 h in the CO<sub>2</sub> incubator. Afterwards, the incubation medium was replaced by normal cell culture

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430 medium without nanoparticles. Untreated cell, cells with unloaded DMC nanoparticles and plain siRNA (Cy3 labeled) were used as controls. After 24 h of incubation, the cells were fixed and stained to enable visualization of the nuclei (DAPI) and cell membranes (FITC-WGA). The staining procedures have been provided in the supporting information.

435 The imaging was performed using CLSM (LSM 510; Zeiss, Jena, Germany) equipped with an argon/neon laser and a 63x water immersion objective. Zeiss LSM510 software was employed for all the measurements and analyses. The excitation wavelengths employed were 488 nm for FITC-WGA, 360 nm for DAPI and 543 nm for Cy-3 siRNA containing nanoplexes and the fluorescence signals were collected after the band pass filters 500/530 nm for FITC-WGA, 390/465 nm for DAPI and 560/615 nm for the Cy-3 siRNA containing nanoparticles, respectively.

### ***Uptake Pathway: CLSM***

440 The precise pathway of cellular uptake was investigated by incubating the RAW 264.7 cells with DMC nanoparticles, formulated with Cy 3 siRNA, in presence of inhibitors of various endocytic pathways. The mechanism of uptake was inferred from the ability of a specific inhibitor to block the nanoparticle internalization. RAW 264.7 cells (50,000 cells/well) were plated in 24-well plates as indicated in the section 2.8.2. Stock solutions of chlorpromazine (CHL; C8138, Sigma-Aldrich, Taufkirchen, Germany) and 5-(N-Ethyl-N-isopropyl) amiloride (EIPA; A3085, Sigma-Aldrich, Taufkirchen, Germany) in DMSO and nystatin (NYS; N3503, Sigma-Aldrich, Taufkirchen, Germany) in water, diluted with cell medium to the final concentrations of 10 µg /mL (CHL), 5 µg/mL (EIPA) and 10 µg/mL (NYS), were used for evaluation. The procedural details have been discussed in the Supporting Information.

### **Therapeutic Gene Silencing: Bfl1-A1**

450 After establishing the proof of efficacy of nanoparticles by down-regulating the transiently expressed luciferase gene (supporting information) in RAW 264.7 cells, the nanoparticles were further evaluated for their efficiency to knockdown a gene relevant for pathogenesis of Tuberculosis.

455 RAW 264.7 cells were treated with LPS (10 µg/mL) (derived from *E. Coli*) for stimulating the Bfl1/A1 expression. This particular LPS was chosen due to its close similarity with the LPS present on mycobacterial cell walls [22]. LPS induced Bfl1/A1 expression was analyzed by western blot analysis. After treating with LPS overnight, the medium containing LPS was replaced with medium containing nanoparticles, formulated with anti-Bfl1/A1 siRNA (Santa Cruz Biotechnology, CA, USA). Untreated cells, cell treated with uncomplexed siRNA, siRNA formulated with jetPRIME™ reagent and nanoparticles formulated with scrambled siRNA were maintained as controls. For all the samples, siRNA was used at the concentration of 80 pmol per well.

460 The knockdown efficiency of siRNA bound to nanoparticles was analyzed by western blot analysis and RT-PCR, and compared with that of the controls. Western blot analysis was conducted by the procedure described in supporting information. Mouse monoclonal IgG<sub>1</sub> (A1 (B-3) Antibody: SC-166943; Santa Cruz Biotechnology, CA, USA), at a dilution of 1:200 in blocking buffer, was employed as the primary antibody during the experiment.

RT-PCR was used to analyze the expression of Bfl1/A1 according to the previously described procedure, with slight modifications. The amplification cycle consisted of initial denaturation of 5 min at 94 °C and 35 cycles of 94 °C for 1 min, 60 °C for 1 min and 72 °C for 1.5 min, a final amplification at 72 °C for 5 min, followed by a melt curve analysis (from 72°C to 98°C in 0.5°C steps for 5 s) [18]. The primer sequences for Bfl1/A1 (Santa Cruz Biotechnology, CA, USA) were: Primer sense

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465 sequence 5'- TAC AGG CTG GCT CAG GAC TAT C-3', Primer antisense sequence 5'- GGT ATC CAC ATC CGG GGC AAT-3'.

### Statistics

The students' t' test and ANOVA were applied to evaluate the data.

### 470 Conclusion

The investigation proves the potential of DMC nanoparticles for efficient siRNA delivery into the macrophages which are host for the tuberculosis bacteria. The DMC nanoparticles effectively complex with various different siRNAs and protect them from degradation. Additionally, the nanoplexes are well internalized via phagocytosis/macropinocytosis and are believed to escape from the endosomes by DMC's membrane disruption properties at acidic pH. Further, the conformational change in DMC polymer allows the nanoplexes to release the associated siRNA into the cytosol and provide enhanced knockdown of targeted genes. Down-regulation of Bfl1/A1 gene by DMC nanoparticles provides unique opportunity to further explore them in silencing genes specific to intracellular bacteria, especially mycobacterium tuberculosis. The study suggests potential of the developed nanoparticles in RNAi therapy of bacterial diseases, a relatively nascent research arena.

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