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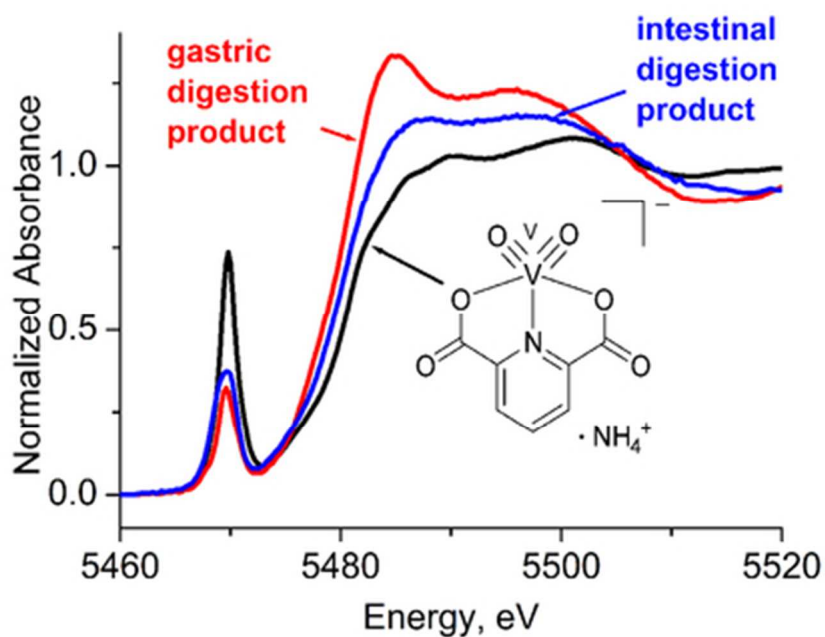


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X-ray absorption near edge structure (XANES) speciation of vanadium pro-drug in artificial gastric juices has delineated biotransformations after oral administration  
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# Reactivity-Activity Relationships of Oral Anti-Diabetic Vanadium Complexes in Gastrointestinal Media: An X-ray Absorption Spectroscopic Study

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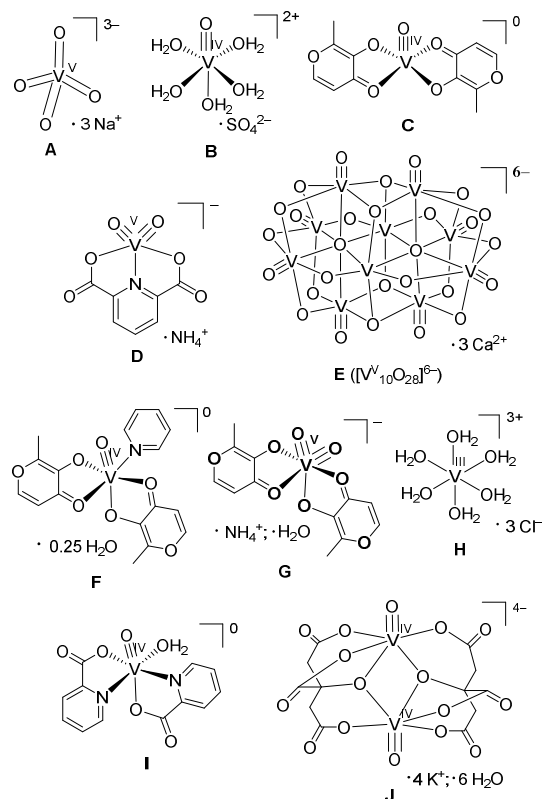
The reactions of oral V(V/IV) anti-diabetic drugs within the gastrointestinal environment (particularly in the presence of food) are a crucial factor that affects their biological activities, but to date has been poorly understood. In order to build up reactivity-activity relationships, the first detailed study of the reactivities of typical V-based anti-diabetics, Na<sub>3</sub>V<sup>V</sup>O<sub>4</sub> (**A**), [V<sup>IV</sup>O(OH<sub>2</sub>)<sub>6</sub>](SO<sub>4</sub>) (**B**), [V<sup>IV</sup>O(ma)<sub>2</sub>] (**C**, ma = maltolato(−)) and (NH<sub>4</sub>)[V<sup>V</sup>(O)<sub>2</sub>(dipic)] (**D**, dipic = pyridine-2,5-dicarboxylato(2−)) with simulated gastrointestinal (GI) media in the presence or absence of food components has been performed by the use of XANES (X-ray absorption near edge structure) spectroscopy. Changes in speciation under conditions that simulate interactions in the GI tract have been discerned using correlations of XANES parameters that were based on a library of model V(V/IV/III) complexes for preliminary assessment of the oxidation states and coordination numbers. More detailed speciation analyses were performed using multiple linear regression fits of XANES from the model complexes to XANES obtained from the reaction products from interactions with the GI media. Compounds **B** and **D** were relatively stable in the gastric environment (pH ~ 2) in the absence of food, while **C** was mostly dissociated, and **A** was converted to [V<sub>10</sub>O<sub>28</sub>]<sup>6−</sup>. Sequential gastric and intestinal digestion in the absence of food converted **A**, **B** and **D** to poorly absorbed tetrahedral vanadates, while **C** formed five- or six-coordinate V(V) species where the maltolato ligands were likely to be partially retained. XANES obtained from gastric digestion of **A–D** in the presence of typical food components converged to that of a mixture of V(IV)-aqua, V(IV)-amino acid and V(III)-aqua complexes. Subsequent intestinal digestion led predominantly to V(IV) complexes that were assigned as citrato or complexes with 2-hydroxyacidato donor groups from other organic compounds, including certain carbohydrates. The absence of strong reductants (such as ascorbate) in the food increased the V(V) component in gastrointestinal digestion products. These results can be used to predict the oral bioavailability of various types of V(V/IV) anti-diabetics, and the effects of taking such drugs with food.

## Introduction

The anti-diabetic activities of vanadium compounds have been known for over a century.<sup>1</sup> In the early 1980s, a relation was proposed<sup>1</sup> between this activity and the role of vanadate ([V<sup>V</sup>O<sub>4</sub>]<sup>3−</sup>) as a powerful inhibitor of protein tyrosine phosphatases (PTPs),<sup>2</sup> which act as negative regulators of the insulin signalling cascade.<sup>3</sup> This finding spurred an interest in V-based oral drugs for the treatment of type 2 (non-insulin-dependent) diabetes, which is a growing health concern worldwide.<sup>1,4</sup> Early studies on vanadium anti-diabetics

concentrated on [V<sup>V</sup>O<sub>4</sub>]<sup>3−</sup> or [V<sup>IV</sup>O(OH<sub>2</sub>)<sub>6</sub>]<sup>2+</sup> (**A** and **B** in Chart 1).<sup>5–7</sup> However, more recent research has focussed on the design of neutral lipophilic V(IV) complexes of a general formula [V<sup>IV</sup>OL<sub>2</sub>] (where L is a monoanionic bidentate ligand), which have advantages of increased gastrointestinal absorption and reduced toxicity compared with inorganic V(V) or V(IV) salts.<sup>1,4,8,9</sup> Archetypal examples of such ligands are maltol (see **C** in Chart 1) or its close analogue, ethylmaltol (both approved food additives);<sup>1,8,10</sup> the V(IV) complex of the latter ligand had entered phase II clinical trials.<sup>10</sup> Another widely studied class of potential anti-diabetics are anionic V(V) complexes of the

dipicolinicato (pyridine-2,5-dicarboxylato(2-)) ligand (**D** in Chart 1) and its derivatives.<sup>9,11</sup>



**Chart 1.** Structures of anti-diabetic V(V) and V(IV) complexes used for the reactions with gastrointestinal media (**A-D**), and model complexes used for the data analysis (**E-J**). The V-oxido binding in V(IV) and V(V) complexes, except for **A**, is correctly represented by triple, rather than double, bonds (a combination of one  $\sigma$  and two  $\pi$  bonds).<sup>30,31</sup>

Significant issues were apparent when V(V) and V(IV) complexes were used as anti-diabetics in animal experiments and human phase I clinical trials including the following: (i) a distinct dichotomy of response (either achieved early in the treatment or not achieved at all);<sup>1</sup> and (ii) a poor correlation between the glucose-lowering effect and V levels in the blood.<sup>12</sup> In addition, administration together with food greatly reduced the oral bioavailability of such complexes, which suggested ligand substitution with food components.<sup>1,13</sup> Animal studies using the <sup>14</sup>C-labelled V(IV) ethylmaltolato complex showed that the compound dissociated within an hour after the ingestion (in fed animals), most likely in the stomach.<sup>1,12a</sup> These data indicated that the interactions with gastrointestinal media (including food components) were crucial for controlling the biological activities of V-based oral anti-diabetics.<sup>1,4,14,15</sup> However, apart from some stability studies in acidic or neutral aqueous solutions (resembling gastric or intestinal environments, respectively),<sup>11,16</sup> no reactivity studies of anti-diabetic V(V) or V(IV) complexes in gastrointestinal media have been performed, as yet.

Our group has previously applied XANES (X-ray absorption near-edge structure) spectroscopy in reactivity and speciation studies of toxic and medicinal metal ions in biological media,<sup>17-19</sup> including the reactions of anti-diabetic Cr(III) and Mo(VI) complexes with artificial digestion systems.<sup>14,15</sup> Such XANES analyses are particularly suitable for V complexes, due to the high sensitivity of their spectra to changes in oxidation states (V(V), V(IV), or V(III)) and coordination numbers (four, five or six) of their complexes that are typically encountered in biological systems.<sup>20-22</sup> Recent analysis of XANES spectra of twenty-three biologically-relevant V(V), V(IV) and V(III) complexes showed that simple correlations, based on pre-edge and edge parameters, can be used for the reliable determination of oxidation states and coordination numbers of V species in complex biological and environmental samples.<sup>22</sup> In the current research, this methodology has been applied to reactivity studies of V(V) and V(IV) complexes with known anti-diabetic properties (**A-D** in Chart 1)<sup>1,5-7,9</sup> in simulated gastrointestinal media (in the presence or absence of food components).<sup>14,15</sup>

## Experimental

**Materials and Sample Preparation.** The model V(V) and V(IV) complexes (Chart 1) were either purchased from Aldrich (**A** and **B**, purity >99%), or synthesized by modified literature procedures (**C**, **D**, **F**, **G**, **I** and **J**)<sup>23-29</sup> and characterized by elemental analyses, infrared spectroscopy and electrospray mass spectrometry, as described previously.<sup>22</sup> Note that V-oxido binding in V(IV) and V(V) complexes (except for **A**, Chart 1) is represented with triple, rather than double, bonds (contrary to the common convention). The triple bond arises from a combination of one  $\sigma$  and two  $\pi$  bonds.<sup>30,31</sup> Published XANES spectra for **E** (the mineral pascoite,  $\text{Ca}_3[\text{V}^{\text{IV}}_{10}\text{O}_{28}] \cdot 17\text{H}_2\text{O}$ )<sup>32</sup> and **H** (0.10 M solution of  $\text{V}^{\text{III}}\text{Cl}_3$  in 1.0 M HCl)<sup>33</sup> were also used in the fits. Other reagents of analytical or higher purity grade were purchased from Sigma-Aldrich or Merck, and used without further purification. Water was purified by the Milli-Q technique. The pH values of the reaction solutions were measured by a HI 9023 pH-meter (Hanna Instruments) equipped with a PHR-146 solid-state micro-pH electrode (Lazar Research Laboratories), and the instrument was calibrated daily with pH standard solutions (Sigma).

A summary of the reaction conditions and designations of the samples are given in Table 1. Stock solutions of **A**, **B** and **D** (0.10 M in  $\text{H}_2\text{O}$ ) were prepared daily because these solutions are known to be stable for at least several days under ambient conditions.<sup>11,34</sup> By contrast, **C** is sparingly water-soluble and undergoes significant oxidation to V(V) within hours in aerated aqueous solutions.<sup>23</sup> Therefore, this compound was diluted with an inert solid<sup>14,15</sup> by thorough mixing of **C** (6.30 mg, 0.200 mmol) with boron nitride (BN, 0.200 g). Aliquots of this mixture (10.0 mg) were used to prepare solutions of **C** in reaction media (1.0 mL of 1.0 mM V), the insoluble BN was then separated by gravity. Mixing of solid complexes with BN

(~10 mass parts BN per 1 part of V complex) was also used for the preparation of samples of model compounds for XAS analyses (**A-D**, **F**, **G**, **I** and **G** in Chart 1).<sup>22</sup>

**Table 1.** Conditions of sample preparation and results of the linear fits to the XANES from the reactions of anti-diabetic complexes **A-D** with artificial digestive media.

Sample <sup>a</sup>	Conditions <sup>b</sup>	Model <sup>c</sup>	R <sup>2</sup> <sup>d</sup>
<b>A1</b>	Gastric digestion; no food	100% <b>E</b>	0.994
<b>B1</b>		100% <b>B</b>	0.999
<b>C1</b>		100% <b>B</b>	0.990
<b>D1</b>		100% <b>D</b>	0.993
<b>A2</b>	Gastric and intestinal digestion; no food	100% <b>A</b>	0.994
<b>B2</b>		100% <b>A</b>	0.994
<b>C2</b>		(30 ± 2)% <b>D</b> ; (70 ± 2)% <b>G</b>	0.998
<b>D2</b>		100% <b>A</b>	0.996
<b>(A3-D3)<sub>av</sub></b> <sup>e</sup>	Gastric digestion; artificial meal 1 <sup>f</sup>	(50 ± 4)% <b>B</b> ; (22 ± 2)% <b>H</b> ; (28 ± 2)% <b>I</b>	0.999
<b>A4</b>	Gastric and intestinal digestion; artificial meal 1 <sup>f</sup>	100% <b>J</b>	0.996
<b>B4</b>		100% <b>J</b>	0.999
<b>C4</b>		100% <b>J</b>	0.999
<b>D4</b>		(10 ± 1)% <b>A</b> ; (90 ± 2)% <b>J</b>	0.999
<b>A5</b>	Gastric and intestinal digestion; artificial meal 2 <sup>f</sup>	(66 ± 2)% <b>A</b> ; (34 ± 2)% <b>J</b>	0.998
<b>C5</b>		(48 ± 2)% <b>A</b> ; (52 ± 2)% <b>J</b>	0.997
<b>C6</b>	pH = 7.4, no O <sub>2</sub>	100% <b>F</b>	0.997

<sup>a</sup> Designations of the initial compounds (**A-D**) correspond to those in Chart 1, and the numbers (**1-6**) designate the treatment conditions. The XANES spectra for **A5** and **C5** were collected at the ANBF, and all the other spectra were collected at the AS (see Experimental for details). <sup>b</sup> In all the experiments, the total V concentrations in the reaction mixtures were 1.0 mM. Details of the treatment conditions are described in the Experimental section. <sup>c</sup> A XANES spectrum or a combination of spectra of model V(V), V(IV) and V(III) complexes (Chart 1) that provide the best possible match for the XANES spectrum of the corresponding sample (see Figure 3 and Figures S1-S2, ESI). Standard deviations were calculated using Origin software<sup>46</sup> as a part of multiple linear regression procedure. <sup>d</sup> Regression coefficient for the superposition of the sample and model spectra (see Figure S2, ESI for multiple linear regression results). <sup>e</sup> Since nearly identical XANES spectra were obtained from samples **A3**, **B3**, **C3** and **D3** (Figure S1d,e, ESI), the averaged spectrum was used for the modelling. <sup>f</sup> Artificial meal 1 was a commercial semi-synthetic meal ("liquid breakfast");<sup>39</sup> and artificial meal 2 was prepared from separate components;<sup>14,15</sup> see ESI for the composition of the both meals.

Artificial gastrointestinal digestions of **A-D** in the absence of food components<sup>14,15</sup> were performed by dissolving compounds **A-D** ([V]<sub>final</sub> = 1.0 mM) in artificial gastric juice (150 mM NaCl, acidified by HCl to pH = 1.8)<sup>35</sup> and incubation of the resultant solutions for 1 h at 310 K in a rocking water bath with free access to air. In one series of experiments (**A1-D1** in Table 1), the reaction solutions were then immediately frozen at ~195 K and freeze-dried (217 K and 0.5 mbar for 16 h). Freeze-drying of the samples was used to stop the reactions, to increase the signal-to-noise ratio in XANES spectra, and to minimize the synchrotron radiation-induced chemical changes in the samples.<sup>36</sup> In another series (**A2-D2** in Table 1), simulated gastric digestion was followed by raising the pH

value of the solutions to 7.5<sup>35</sup> by dropwise addition of aqueous NaHCO<sub>3</sub> (1.0 M). The incubation was continued for further 2 h at 310 K (simulated intestinal digestion), after which the samples were freeze-dried, as described above. The chosen reaction times (1 h and 2 h) correspond to typical residence times (in humans) of ingested drugs in the stomach and small intestines, respectively.<sup>37,38</sup>

Modified literature procedures<sup>14,15,37,38</sup> were used for artificial digestion of **A-D** in the presence of typical food components and digestive enzymes. For experiments **A3-D3** and **A4-D4** (Table 1), an aliquot (10 mL) of commercial liquid semi-synthetic meal ("liquid breakfast", Sanitarium, Australia; detailed composition is given in Supporting Information)<sup>39</sup> was acidified to pH = 1.8 (from the initial value of 6.7) by dropwise addition of concentrated HCl (~0.10 mL of 10 M solution), then pepsin solution (0.014 g porcine pepsin in 0.60 mL of 0.10 M HCl) was added. Aliquots (1.0 mL) of the resultant solution were immediately mixed with **A-D** to [V]<sub>final</sub> = 1.0 mM, and incubated for 1 h at 310 K in a rocking water bath with free access to air (simulated gastric digestion), followed either by freeze-drying of the samples (experiments **A3-D3**), or by simulated intestinal digestion (experiments **A4-D4**). For the latter experiments, NaHCO<sub>3</sub> solution (1.0 M, ~0.15 mL) and pancreatin-bile solution (0.010 g of porcine pancreatin and 0.050 g of porcine bile extract in 0.25 mL of 0.10 M NaHCO<sub>3</sub>) were added dropwise to the samples (to final pH = 7.5), followed by incubation for a further 2 h at 310 K, and freeze-drying of the resultant mixtures. Experiments **A5** and **C5** (Table 1) were performed in the same manner as **A4-D4**, except that the semi-synthetic meal was freshly prepared from separate components and did not contain vitamin additions (see Supporting Information for details). For experiment **C6** (Table 1), compound **C** (in a mixture with BN, see above) was dissolved in HEPES-buffered saline (20 mM HEPES, 140 mM NaCl, pH = 7.40; where HEPES = 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid)<sup>40</sup> under a stream of Ar (to avoid V(IV) oxidation to V(V))<sup>23</sup> to [V]<sub>final</sub> = 1.0 mM, BN was removed by centrifugation, and the resultant solution was immediately frozen at ~195 K and freeze-dried.

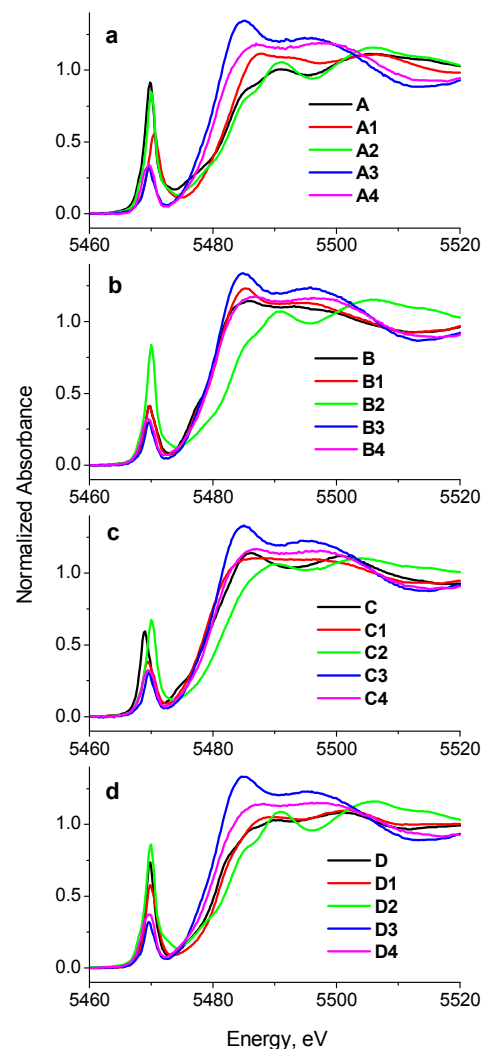
**XANES Spectroscopy and Data Processing.** Vanadium K-edge spectra of samples **A-D** (Chart 1), **A1-D1**, **A2-D2**, **A3-D3**, **A4-D4** and **C6** (Table 1) were recorded at the X-ray absorption spectroscopy beamline, Australian Synchrotron (AS, Melbourne, Australia). The beam energy was 3.0 GeV, and the maximal beam current was 200 mA. The beamline had a channel-cut Si[111] monochromator, an upstream collimating mirror, and a downstream sagittally focusing mirror; both mirrors were Rh-coated and also provided harmonic rejection. Mixtures of compounds **A-D** with BN or neat freeze-dried reaction products (see the Sample Preparation section above) were pressed into 0.5-mm thick pellets that were supported within a polycarbonate spacer between two 63.5- $\mu$ m Kapton tape windows (window size, 2 × 10 mm). The samples were placed in a He-filled box at ~295 K, and the XANES data were collected in fluorescence detection mode, using a Ge planar detector (Eurisy; 100-element). Low-temperature XAS

measurements were not used, since they led to a significant reduction in the signal-to-noise ratio, due to the strong absorption of photons at the 5-6 keV energy range by the cryostat windows and air between the sample compartment and the detector. For all the samples, only the XANES regions were recorded (5250-5700 eV range; step sizes, 10 eV below 5450 eV, 0.25 eV at 5450-5525 eV and 2 eV above 5525 eV). The energy scale was calibrated using a V foil as an internal standard (calibration energy, 5465.0 eV, corresponding to the first peak of the first derivative of V(0) edge).<sup>41</sup> The XANES spectra of the model complexes, **F**, **G**, **I** and **J** (Chart 1), as well as those of samples **A5** and **C5** (Table 1), were recorded at ~295 K using the fluorescence detection mode at the Australian National Beamline Facility (ANBF, beamline 20B, Photon Factory, KEK, Tsukuba, Japan), as described previously.<sup>22</sup> No significant photodamage of V(V) samples occurred at ANBF (as shown by comparison of XANES data from sequential scans), while the relative V(IV) content increased by ~10% in the second scans of the samples recorded at the AS.<sup>36</sup> The extent of photodamage was higher at the AS compared with those at the ANBF because of the higher photon flux, but this also provided higher signal-to-noise ratios.<sup>36</sup> Consistent results were obtained on both beamlines when only the first scans for each sample at the AS were used for the analyses.<sup>22,36</sup>

Calibration, averaging and splining of XANES data were performed using the *XFit* software package.<sup>42</sup> The spectra were normalized (using the Spline program within the *XFit* package) according to the method of Penner-Hahn and coworkers,<sup>43</sup> to match the tabulated X-ray cross-section data<sup>44</sup> for V (in a similar manner to the earlier work on Cr(III) XANES spectra).<sup>15</sup> This normalization technique led to consistent XANES spectra for all of the samples, regardless of the beamline used and the signal-to-noise ratio. Published XANES spectra of **E** and **H**<sup>32,33</sup> were digitized using *WinDIG* software,<sup>45</sup> and the energy scales for these data were adjusted, as described previously.<sup>22</sup> Multiple linear regression analyses of XANES data were performed using Origin software<sup>46</sup> with previously described criteria for a successful fit.<sup>17</sup>

## Results

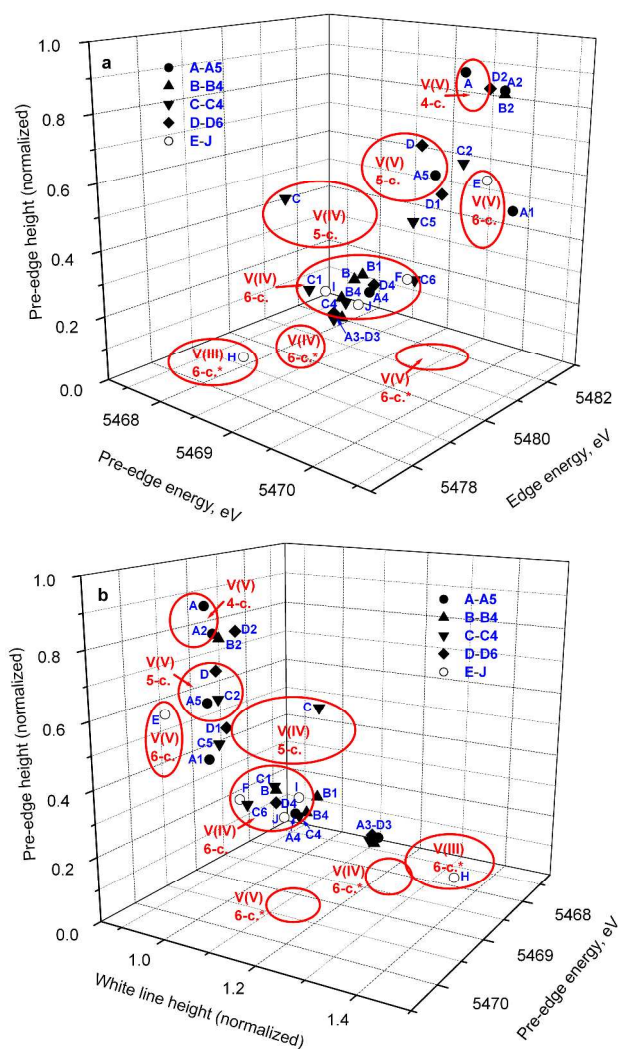
A comparison of XANES spectra (5460-5520 eV) of the parent complexes (**A-D** in Chart 1) with those of the reaction products in artificial gastrointestinal media (**A1-D1**, **A2-D2**, **A3-D3** and **A4-D4** in Table 1) is shown in Figure 1. Key XANES parameters (position and intensity of the pre-edge absorbance, edge energy at the half-edge jump, and post-edge absorbance intensity) for these samples are plotted in Figure 2, where they are compared with the corresponding parameters for model V(V/IV/III) complexes (designated with red ellipses in Figure 2).<sup>22</sup> Comparison of XANES of the reaction products (Table 1) with those of selected model complexes (Chart 1), or their linear combinations, are shown in Figure 3 and Figures S1-S2 (ESI), and the best fits to the XANES from each sample are summarized in Table 1.



**Figure 1.** Comparison of XANES of initial complexes (**A-D**) with those of the reaction products with artificial digestion systems. Designations of the samples correspond to those in Chart 1 and Table 1. All the data were collected at ~295 K in fluorescence detection mode for solid samples (mixtures of model complexes with BN or freeze-dried reaction mixtures; see Experimental for details).

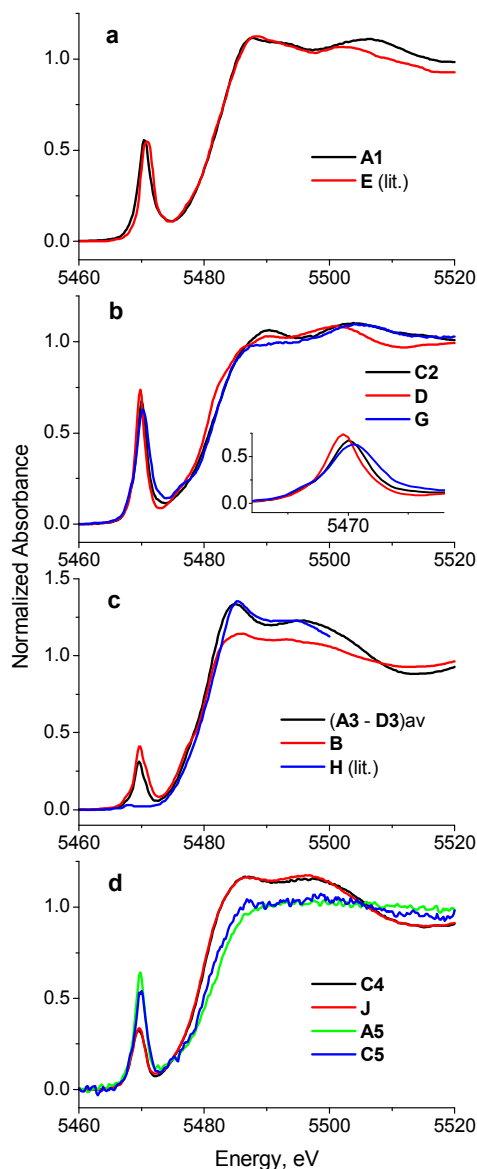
Treatment of **A** in artificial gastric juice in the absence of food components (pH ~ 1.8; 1 h at 310 K; **A1** in Table 1) led to a notable decrease in intensity of the pre-edge absorbance, but no significant changes in edge energy (Figure 1a), which was consistent with the formation of six-coordinate V(V) species (Figure 2).<sup>22,32,47</sup> The XANES of **A1** closely matched the literature data for  $[\text{V}_{10}\text{O}_{28}]^{6-}$  (**E** in Chart 1, the mineral pascoite),<sup>32</sup> as shown in Figure 3a. Decavanadate ( $[\text{V}_{10}\text{O}_{28}]^{6-}$ ) is well-known to be the predominant form of V(V) in aqueous solutions at pH ~ 2 and  $[\text{V}] \geq 1$  mM in the absence of strongly binding ligands.<sup>20,48</sup> By contrast, treatment of **B** under the same conditions (**B1** in Table 1) caused no significant changes in the pre-edge and edge parameters and a slight increase in post-edge absorbance (Figures 1b and 2), which was likely to be caused by partial deprotonation of the aqua ligands in **B**.<sup>20,48</sup> The

reaction product of **D** under these conditions exhibited a similar XANES to that of the initial compound (**D1** in Table 1 and Figure 1d), apart from a slight decrease in pre-edge intensity, which pointed to the formation of a mixture of five- and six-coordinate V(V) species (Figure 2). The relative stability of **D** in aqueous solutions at pH ~ 2 compared to the other complexes has also been proposed previously from NMR spectroscopic studies.<sup>11</sup>



**Figure 2.** Correlations of pre-edge and edge parameters in the XANES of the reaction mixtures (designations correspond to those in Table 1) and model complexes (Chart 1). The areas corresponding to various oxidation states and coordination numbers of V complexes (red ellipses) were drawn based on the XANES data for a library of twenty-three model V(V), V(IV) and V(III) complexes (asterisks designate non-oxido complexes).<sup>22</sup>

The XANES of **C1** (Table 1) was distinguished from that of the initial compound, **C**, by a strong decrease in intensity and a shift in energy of the pre-edge absorbance (Figure 1c), which was consistent with the formation of six-coordinate V(IV) species (Figure 2). Of all the available model XANES spectra,<sup>22</sup> that of **B** was the closest match for **C1** (Table 1 and Figure S1a), which was in agreement with the literature data



**Figure 3.** Comparison of selected XANES spectra of the reaction products of **A-D** with those of model V(V), V(IV) and V(III) complexes (see also Figures S1-S2 in ESI; designations correspond to those in Chart 1 and Table 1). All the data were collected at ~295 K in fluorescence detection mode for solid samples (freeze-dried reaction mixtures or mixtures of model complexes with BN; see Experimental section for details).

that the V(IV) maltolato complex was largely dissociated and converted to aquated V(IV) species at pH ≤ 2.<sup>16</sup> By contrast, dissolution of **C** in neutral aqueous medium under an Ar atmosphere (to avoid oxidation to V(V))<sup>23</sup> resulted in the formation of a different six-coordinate V(IV) species (**C6** in Table 1 and Figures 2 and S1b). The XANES of **C6** closely matched that of a model six-coordinate V(IV)-maltolato complex, **F** (Chart 1),<sup>23</sup> as shown in Figure S1b and in Table 1. This result was consistent with the addition of H<sub>2</sub>O as the sixth ligand to **C** in neutral aqueous solutions, similarly to the well-

known addition of an aqua ligand to the V(IV) acetylacetonato complex (established by EPR spectroscopy).<sup>49</sup>

In summary, XANES data pointed to the relative stabilities of **B** and **D** in the acidic environment of the stomach (in the absence of food) compared with **A** and **C**, which converted predominantly to  $[\text{V}^{\text{V}}_{10}\text{O}_{28}]^{6-}$  and  $[\text{V}^{\text{IV}}\text{O}(\text{OH}_2)_5]^{2+}$ , respectively, under these conditions. These findings were consistent with the literature data on the reactivity of **A-D** in acidic aqueous solutions (obtained by NMR and EPR spectroscopies and by potentiometric titrations),<sup>11,16,20,48</sup> which showed that the freeze-dried samples used in this work maintained the speciation of V(V/IV) reaction products that were formed in solutions.

Treatments of **A**, **B**, or **D** under the conditions that mimicked sequential gastric and intestinal digestions in the absence of food (pH ~ 1.8 for 1 h and pH ~ 7.5 for 2 h at 310 K; **A2**, **B2** or **D2** in Table 1) resulted in XANES that were close to each other and similar, but not equivalent, to that of **A** (Figures 1a,b,d and S1c). Data shown in Figure 2 confirmed the formation of tetrahedral V(V) species under these conditions. Literature data on the pH- and concentration-dependent speciation of V(V) in aqueous solutions<sup>20,48</sup> suggested that these products were likely to be the mixtures of  $[\text{H}_2\text{V}^{\text{V}}\text{O}_4]^-$  (predominant species) and oligomeric vanadates, such as  $[\text{V}^{\text{V}}_3\text{O}_9]^{3-}$ . The data for **D2** (Figures 1d and 2) point to complete dissociation of **D** under simulated intestinal conditions, which was consistent with the literature data on the low stability of **D** at pH > 7.<sup>11</sup> Both V(IV) complexes studied, **B** and **C**, were completely oxidized to V(V) species under these conditions, but the differences in XANES of their reaction products (**B2** and **C2** in Table 1 and Figure 1) indicated that the maltolato ligands were partially retained under conditions of **C2**. The XANES parameters of **C2** were significantly different from those of **A2**, **B2** and **D2**, and were consistent with the formation of a mixture of five- and six-coordinate V(V) species (Figures 1c and 2). The XANES data for **C2** were best matched by a combination of models **D** and **G** (V(V)-maltolato complex, Chart 1), as shown in Table 1 and in Figures 3b and S2. The fit residues for **C2** were small but significantly exceeded the experimental noise levels (Figure S2); however, the pre-edge and edge area (crucial determinants of V oxidation state and coordination number)<sup>22,50</sup> were well reproduced. The differences in the post-edge area between the experimental and fitted spectra of **C2** (Figure S2) were likely to be caused by the following factors: (i) the nature of V-bound ligands in the model compounds will not be an exact match to those in the reaction products and, as such, multiple-scattering contributions in this region of the XAS will be sensitive to non-coordinating atoms that are within ~5 Å of the vanadium atom,<sup>51</sup> and (ii) the XANES spectra of the reaction products and the model complexes were collected at different synchrotron sources, using different monochromator settings.<sup>22,50</sup>

Treatments of any of **A-D** under simulated gastric conditions in the presence of food components ("liquid breakfast", see Supporting Information; samples **A3-D3** in Table 1) resulted in the formation of the same product, as shown in the XANES of Figures 1, 2 and S1d. Since the

differences in XANES obtained amongst conditions **A3-D3** were comparable to the experimental noise levels (Figure S1e), the average of these data was used for further processing (Figure 3c). The edge and post-edge shapes for **A3-D3** were close to those for  $[\text{V}^{\text{III}}(\text{OH}_2)_6]^{3+}$  (**H** in Chart 1),<sup>33</sup> while the pre-edge intensity was close to that for  $[\text{V}^{\text{IV}}\text{O}(\text{OH}_2)_5]^{2+}$  (**B** in Chart 1), as shown in Figure 3c. Clear separation of **A3-D3** from all the other samples on the basis of XANES parameters is evident in Figure 2b. The best match for XANES of **A3-B3** was with a combination of the XANES from models **B**, **H**, and **I** (V(IV) picolinato complex, Chart 1; a model of V(IV)-amino acid or protein binding),<sup>26-28</sup> as shown in Table 1 and in Figure S2. These results show that V(III) species can be formed by reduction of either V(V) or V(IV) in acidic medium of the stomach in the presence of organic reductants (food components).

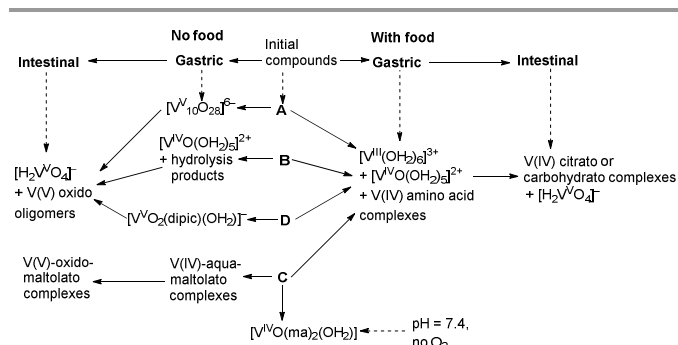
Treatments of **A-D** under the conditions of sequential gastric and intestinal digestion in the presence of food components ("liquid breakfast", **A4-D4** in Table 1), resulted in the formation of similar products (Figures 1 and S1f), with XANES parameters that corresponded to those of six-coordinate V(IV) complexes (Figure 2). The XANES of **A4**, **B4** and **C4** were closely matched by that of **J** (dimeric V(IV) citrate complex, Chart 1),<sup>29</sup> while XANES of **D4** was best fitted by a combination of the XANES of **J** (main component) and **A** (Table 1 and Figure S2). Similar treatments of **A** or **C** in the presence of a different semi-synthetic meal (not containing vitamin supplements, see Supporting Information) resulted in a higher proportion of V(V) vs. V(IV) species, which was best matched by combinations of XANES from models **J** and **A** (samples **A5** and **C5** in Table 1 and Figures 3d and S2). Close matches of XANES of the reaction products **A4-C4** to that of the model **J** (Table 1 and Figure 3d) suggest the binding of the resultant V(IV) species to hydroxido, alcoholato and/or carboxylato donor groups that most likely originate from citrate and/or other ligands, such as certain carbohydrate components of food, that contain 2-hydroxyacid moieties.<sup>4,52</sup>

## Discussion

A summary of likely chemical transformations of anti-diabetic V(V/IV) complexes in gastrointestinal media, deduced from XANES spectra (Table 1), is shown in Scheme 1. The most striking result of these studies was the crucial role of the presence and composition of food components on V speciation. According to the results of phase 1 human clinical trials, V absorption from oral administration of 75 mg of V(IV)-ethylmaltolato complex (a close analogue of **C**) was ~13-fold higher in the fasted state compared with the fed state.<sup>1</sup> These data pointed to a low bioavailability of V(IV) complexes with combinations of hydroxido, alcoholato and/or carboxylato ligands that were likely to form in the intestines in the presence of food components (Scheme 1). These can form due to the



high thermodynamic stabilities of V(V/IV) 2-hydroxycarboxylato donor groups that can form monomeric and polynuclear complexes, while the formation of V(V/IV) 1,2-diolato complexes with carbohydrates is unlikely under biological conditions.<sup>52,53</sup> Such 1,2-diolato complexes require strongly basic conditions to form in solution from reactions of V(V) or V(IV) with sugars.<sup>52</sup> As is the case for Cr(IV), V(IV) requires 2-hydroxyacid groups rather than simple diols to stabilize this oxidation state under physiologically relevant conditions.<sup>53</sup> Apart from small molecule ligands, sialoglycoproteins may also provide donor groups for strong V(V/IV) binding.<sup>54</sup> The bioavailability of V-containing drugs is expected to decrease further if the consumed food is rich in strong reductants, such as ascorbate,<sup>55,56</sup> as demonstrated by comparison of digestion products in the presence of two types of semi-synthetic meals (samples **A4**, **C4**, **A5**, **C5** in Table 1; see Supporting Information for meal composition).



**Scheme 1.** Proposed biotransformations of complexes **A-D** (Chart 1) in gastrointestinal environments based on the analyses of XANES data (Table 1).

In the absence of food, V is likely to be absorbed from the intestines mainly in the form of V(V) oxido complexes, regardless of the oxidation state and chemical form of vanadium that was ingested (Scheme 1). However, maltolato and related ligands can remain partially bound to V(V) under these conditions (Scheme 1), as demonstrated by the difference in XANES of the sample **C2** compared with **A2**, **B2** and **D2** (Table 1). This difference may explain the higher bioavailability of **C** and its analogues compared with that of **B**, which was demonstrated in both animal experiments and human clinical trials.<sup>1,57</sup> Alternatively, V compounds can be partially absorbed from the oral cavity<sup>58</sup> and the stomach, given the relatively rapid increases in V levels in the blood (which typically reached a maximum within 1-3 h after oral administration).<sup>1,12</sup> Speciation of V in the gastric environment was more diverse compared with that in the simulated intestinal juices, particularly in the absence of food (Scheme 1). Some V anti-diabetic compounds, such as **D** (Scheme 1), are relatively stable in the gastric media<sup>11</sup> and may be absorbed intact.<sup>9</sup> Although the formation of  $[V^{V}_{10}O_{28}]^{6-}$  in simulated gastric media has been shown in the *in vitro* experiments ( $[V] = 1.0$  mM; Table 1 and Scheme 1), it is unclear whether high enough

V(V) concentrations can be reached for this process to take place *in vivo*. Absorbed  $[V^{V}_{10}O_{28}]^{6-}$  is expected to decompose slowly (within hours) in the blood and to show biological activities that are distinct from those of other V(V) or V(IV) species.<sup>59</sup> Formation of V(III) in the stomach in the presence of reducing food components (Scheme 1) could facilitate V uptake through Fe(III) metabolic pathways.<sup>60</sup> The close similarity between V(III) and Fe(III) in biochemical pathways has previously been demonstrated by high-affinity binding of V(III) to the main Fe(III) transport protein, transferrin.<sup>61</sup> However, selective Fe(III) uptake by intestinal epithelial cells is preceded by its reduction to Fe(II).<sup>60</sup> Although the possibility of formation of V(II) in biological systems has not yet been considered,<sup>20,62</sup> stabilization of V(II) by biologically relevant imidazole ligands *in vitro* is known.<sup>63</sup> However, given that V(III) only appears to be produced in the presence of food and there is decreased V absorption under these conditions, it is unlikely that these low-oxidation states are responsible for the main absorption pathways. Alternatively, these epithelial cells may take up V(IV) in the form of  $[V^{IV}O]^{2+}$ , as a chemical analogue of divalent metal ions.<sup>64</sup> For instance, the recently discovered  $[V^{IV}O]^{2+}$  transport system of ascidians (V-accumulating marine organisms) is also capable of transporting Fe(II).<sup>65</sup>

The usefulness of three-dimensional correlations of XANES parameters that were developed previously<sup>22</sup> for the determination of oxidation states and coordination numbers of V in complex matrices has been confirmed in the current study (Figure 2). However, caution is required when the samples are likely to contain V in various oxidation states, such as **A3-D3**, **A5** and **C5** (Table 1 and Figure 2). Therefore, the initial assessment of the chemical state of V with the use of the diagrams in Figure 2 has to be complemented by comparison of whole XANES spectra of the samples with those of model complexes (Figures 3, S1 and S2). On the whole, these data confirm the previous findings<sup>14,15</sup> that metal-based anti-diabetics are likely to undergo complete chemical rearrangement in gastrointestinal media, particularly in the presence of food components. The current studies have enabled all oxidation states to be studied in the one experiment, which was not possible in previous studies and has provided many new insights in the speciation that is important in understanding efficacy and safety of V anti-diabetics. These changes determine the mode of gastrointestinal absorption and further metabolism of these drugs and have rationalized the differences in *in-vivo* efficacies. Reactivity of oral V(V/IV) anti-diabetics in gastrointestinal media has to be taken into account when considering their further reactions in the blood,<sup>66</sup> and the current work provides an entry point for such studies, which will be reported in the future.<sup>67</sup> The current work illustrates how the XANES methodologies described herein can be used in studies of vanadium speciation in blood,<sup>18,67</sup> and also in cells,<sup>18,68</sup> and tissues.

## Conclusions

While the importance of V speciation in controlling the activities of biological systems has been recognised for many years,<sup>69</sup> the XANES results reported herein provide the most definitive studies to date on the speciation of all oxidation states vanadium under conditions that mimic oral ad Typical anti-diabetic V(V) and V(IV) complexes undergo profound chemical changes in gastrointestinal media, including dissociation of the ligands, V(IV) oxidation to V(V) (in the absence of food), or V(V) reduction to V(IV) and even V(III) (in the presence of food). Formation of V(III) may be important for further metabolism via Fe(III) pathways, but the main absorption mechanisms appear to be associated with vanadate (poorly absorbed),  $V^{IV}O_2^{2+}$  species via  $M^{2+}$  uptake mechanisms, and passive diffusion of neutral species. These data confirm the role of such complexes as pro-drugs that release the active components on the interactions with biological media. Three-dimensional diagrams of pre-edge and edge parameters in XANES spectra, developed on the basis of a library of model V(V/IV/III) complexes,<sup>22</sup> have proven to be useful for the assessment of the chemical states of V in biological environments.

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## Notes and references

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Electronic Supplementary Information (ESI) available: (i) compositions of semi-synthetic meals; (ii) comparison of spectra of the reaction products in gastrointestinal media with those of the model complexes (Figure S1, see also Figures 1 and 2 in the main text); and (iii) overlays of experimental and fitted spectra for multiple linear regression analyses

(Figure S2, see also Table 1 in the main text). See DOI: 10.1039/b000000x/

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1 TOC  
2 Reactivity-Activity Relationships of Oral Anti-Diabetic Vanadium  
3 Complexes in Gastrointestinal Media: An X-ray Absorption  
4 Spectroscopic Study

5 Aviva Levina, Andrew I. McLeod, Lauren E. Kremer,<sup>a</sup> Jade B. Aitken,  
6 Christopher J. Glover, Bernt Johannessen, and Peter A. Lay\*

7  
8 X-ray absorption near edge structure (XANES) speciation of vanadium  
9 pro-drug in artificial gastric juices has delineated biotransformations after  
10 oral administration

