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Vesicular Disruption of Lysosomal Targeting Organometallic Polyarginine Bioconjugates

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Abstract

Compounds which are able to destabilize the lysosomal membrane have been proposed as interesting candidates for targeted anticancer drugs due to the pronounced lysosomal changes in cancer cells. For this purpose, metallocene derivatives of a cell penetrating polyarginine peptide M-(Arg)₉(Phe)₂K-NH₂ (where M = ferrocene carboxylate or ruthenocene carboxylate) were designed and their biological activities were investigated in detail. The ferrocenoyl- and ruthenocenoyl polyarginine bioconjugates were synthesized *via* Fmoc solid-phase peptide synthesis (SPPS) protocols on a microwave-assisted synthesizer. After HPLC purification >98% purity was observed for all conjugates. Their interaction with supported biomimetic membranes was investigated on a quartz crystal microbalance (QCM) and revealed a very strong binding of the metallocene peptides and their metal-free congeners to an artificial eukaryotic membrane model (DMPC / cholesterol). To demonstrate their antiproliferative

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3 utility as cytotoxic compounds for a targeted anticancer drug, cell viability (by the crystal
4 violet assay), apoptosis (flow cytometry, Ann V / PI staining), induction of reactive oxygen
5 species (ROS, by flow cytometry with dihydroethidium staining), and changes in cancer cell
6 metabolism, e.g. respiration and glycolysis, were studied. Our results reveal only a weak
7 toxicity for the metal-free polyarginine peptide, which could be significantly enhanced (to ca.
8 50 μM against HeLa cells in the best case) by coupling ferrocene or ruthenocene carboxylates
9 to the N-terminus of the peptide. The investigation of the cellular uptake and intracellular
10 localization by fluorescence microscopy revealed an enhanced vesicular disruption by the
11 metallocene bioconjugate compared to the metal-free derivative which could be triggered by
12 light and chemicals. Further studies of apoptosis, respiration, glycolysis and ROS formation
13 reveal the superior characteristics of the metallocene compounds. While most cells remain
14 viable even at 300 μM of the metal free bioconjugate **1**, most cells are dead or in late stages of
15 apoptosis at 200 μM of the ruthenocene derivative **3**, and at 100 μM of the most active
16 ferrocene derivative **2**, all however with very little signs of necrosis. Also, the metal free
17 compound **1** does not induce ROS formation but both metallocene-polyarginine bioconjugates
18 clearly have enhanced intracellular ROS levels, with levels for the redox-active ferrocene
19 derivative being two times higher than for the structurally very similar but redox-silent
20 ruthenocene derivative. We propose that such metallocene-polyarginine peptides induce
21 lysosomal membrane permeabilization and thereby could be developed towards targeted
22 anticancer drugs.
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40 INTRODUCTION

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42 Lysosomes are important intracellular vesicles responsible for degradation of cellular
43 components. They maintain a lower pH than the rest of the cell, and contain numerous
44 hydrolytic enzymes such as hydrolases, peptidases, phosphatases, and cathepsins. For a long
45 time, lysosomal membrane permeabilization (LMP) was only known to release hydrolases in
46 an unspecific manner, resulting in uncontrolled necrosis. More recently, it was established
47 that this is valid only in the case of massive lysosomal leakage, whereas minor leakage seems
48 to be an important part of the apoptotic pathway.¹ Therefore, targeting of lysosomes was
49 proposed as an interesting, novel pathway for cancer therapy. Controlled LMP is capable to
50 either initiate early events of cell death by releasing cathepsins, or to amplify later events in
51 the apoptotic pathway by modulation of various apoptotic stimuli, including death receptor
52 activation.² Also, reactive oxygen species (ROS) play an important role since they lead to
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3 membrane destabilization *via* peroxidation of membrane lipids. Alternatively, modification in
4 the intracellular redox balance may start a redox-dependent signaling cascade, again resulting
5 in LMP.² Iron complexes or iron-containing proteins increase lysosomal vulnerability,³⁻⁷ and
6 furthermore, changes in the membrane structure may lead to a revised fluidity, which can
7 result in lysosomal destabilization.⁸⁻¹² In terms of therapeutic potential, lysosomotropic
8 detergents - i.e. basic compounds that accumulate in acidic lysosomes and disrupt them from
9 within - were considered as putative anticancer drugs.¹ Cancer cells and particularly
10 multidrug-resistant cancer cells may be targeted by this method,¹ due to their strong
11 lysosomal changes which are associated with invasive growth and angiogenesis in cancer
12 cells.^{13, 14} However, these changes of the lysosomes for example in expression and activity of
13 lysosomal cysteine cathepsins correlate with the metastatic capacity and aggressiveness of
14 tumors should sensitize cells to the lysosomal cell death pathway even when apoptosis is
15 inhibited.¹⁵

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17 For our studies we assumed that basic polyarginine peptides, also known as cell-penetrating
18 peptides (CPP), might be capable of targeting lysosomes and inducing LMP.¹⁶⁻¹⁸ CPPs are
19 artificially designed peptides or variegated sequences based on natural peptides or proteins to
20 guide a wide variety of cargoes into living cells.¹⁹⁻²¹ Polyarginine peptides can enter cells in a
21 receptor-independent way, gaining access into nearly all types of cells and tissues. This
22 propensity has made them optimal tools to deliver molecular cargoes into cells. The
23 mechanism is not known in details,^{22, 23} but one possible mode of action is the interaction of
24 the cationic peptide with the cell surface glycosaminoglycans, followed by an energy
25 dependent cellular uptake mechanism, probably via endocytosis. In live cell experiments
26 endocytotic vesicles are visible. To escape the vesicles, heparan sulfate proteoglycans has to
27 be degraded by heparanases to free the peptide.²⁴ The basic nature of polyarginines makes
28 them promising candidates for LMP. This study was designed as a first step to test such
29 assumptions, with the purpose of further developing such peptides and their derivatives as an
30 anticancer drug in the future.

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33 Since iron compounds were able to enhance vesicular disruption³⁻⁷ we were interested in the
34 effect of metallocenes, especially ferrocene, linked to the basic peptide polyarginine for the
35 purpose of lysosomal disruption towards anticancer therapy. Recently, organometallic
36 compounds have attracted great attention and their applications in medicinal chemistry have
37 been reviewed.²⁵⁻²⁷ Ferrocene is the by far most important and most investigated metallocene
38 in medicinal chemistry.²⁸⁻³⁰ It is very stable towards air and water, which makes it an ideal
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workhorse within a biological context. Furthermore, it has interesting characteristics due to its redox properties like its ability to undergo Fenton chemistry, which makes intracellular ROS production possible. Ruthenocene is very similar to ferrocene regarding its structure, lipophilicity and size, but it is more stable and not redox active, hence unable to induce Fenton chemistry. There is a wide variety of ferrocene conjugates known, but much less attention has been drawn to the investigation of ruthenocene bioconjugates. In a pioneering study, our group recently presented the synthesis of a ruthenocene PNA oligomer and peptide conjugates.³¹⁻³⁵

For the investigation of metallocene-polyarginine bioconjugates as candidates for LMP, we functionalize the peptide with ferrocene (**2**, **5**) or ruthenocene (**3**, **6**). Furthermore, the compounds were labeled with the fluorophore FITC (**5**, **6**) to investigate their intracellular biological properties in terms of cytotoxicity and lysosomal localization. We have chosen a polyarginine sequence based on an optimized sequence by Inversen et al. which contains two phenylalanines in addition to the arginine core sequence for an improved interaction with the cell membrane.^{36, 37} As a bonus, these two phenylalanine residues facilitate detection during HPLC purification due to their absorption in the UV.³⁸

EXPERIMENTAL SECTION

General Experimental Conditions. All reagents and HPLC-grade solvents were purchased from *Acros* (Geel, Belgium), *Aldrich/Sigma/Fluka* (Deisenhofen, Germany), *E. Merck* (Darmstadt, Germany), *Novabiochem* (Laufelfingen, Switzerland) and *IRIS Biotech* (Marktredwitz, Germany) and were used without further purification. The Rink Amide (*IRIS Biotech*) and only L-amino acids were used throughout the synthesis. FITC was ordered from Fluka Chemicals, DMF from Roth. All materials for cell culture were purchased from Invitrogen Corporation (Karlsruhe, Germany) unless otherwise specified. HPLC fractions of all products were frozen in liquid nitrogen and lyophilized using a Christ Alpha 1-4 LD plus freeze dryer. All aqueous solutions were prepared from Millipore[®] water and filtered with a 0.22 µm syringe filter before use.

Instrumentation and Analytical Measurements. A Liberty Microwave Peptide Synthesizer from CEM was used for peptide synthesis. *MALDI-TOF mass spectra* were

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3 recorded on 'Daltonics[®] Autoflex' or Ultraflex III instruments (Bruker, Bremerhaven,
4 Germany) in linear mode with positive polarity using sinapinic acid as the matrix. *ESI mass*
5 *spectra* were recorded on an Esquire 6000 instrument (Bruker, Bremerhaven, Germany).
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7 Nuclear magnetic resonance spectra were recorded on a Bruker DRX 600 MHz spectrometer
8 (Bruker, Karlsruhe, Germany). ¹H and ¹³C chemical shifts are given in ppm and were
9 referenced with the residual solvent resonances relative to tetramethylsilane (TMS).
10 Absorption was measured with a Tecan Microplate Platereader Sapphire² (Tecan, Crailsheim,
11 Germany). Uptake studies were implemented on the Olympus IX51 fluorescence microscope
12 equipped with an Olympus XC10 camera and localization studies were performed on a Leica
13 DMIRE2 confocal microscope containing a Leica TCS SP2 and Leica CTRMIC.
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21 **HPLC Analysis and Purification.** HPLC analysis and purifications were carried out using
22 C18 analytical (Varian Dynamax, 4.6 mm x 250 mm) and C18 semipreparative (Varian
23 Dynamax, 21.4 mm x 250 mm) columns on a customised Varian Prostar instrument. Linear
24 gradient, 5–95% MeCN in 18 min., eluents: H₂O and MeCN both containing 0.1% (v/v) TFA.
25 Analytical (flow rate: 1.0 mL/min) and preparative (flow rate: 4.0 mL/min) runs were
26 performed with a linear gradient of A (95% millipore[®] water, 5% MeCN, 0.1% TFA (v/v/v))
27 and B (5% millipore[®] water, 95% MeCN, 0.1% TFA (v/v/v)). Analytical runs: t = 0 min: 0%
28 B; t = 18 min: 100% B; t = 26 min: 0% B; t = 30 min: 0% B. Preparative runs: t = 0 min: 0%
29 B; t = 45 min: 50% B; t = 55 min: 100% B; t = 65 min: 0% B. All samples were filtered
30 before injection using a 0.22 μm syringe filter. Spectra were recorded at 254 nm and ambient
31 temperature, retention times (*t_R*[min]) were noted in each case.
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41 **Solid-Phase Peptide Synthesis.** The resin bound Fmoc-polyarginine was synthesized on an
42 automated peptide synthesizer using standard protocols (Amino acid coupling: TBTU in DMF
43 (0.5 M), HOBt in DMF (0.5 M), DIPEA in NMP (2 M) and amino acids in DMF (0.2 M);
44 arginine coupling: 25 min, 75° C, 0 W, 5 min, 75° C, 25 W; standard amino acid coupling: 5
45 min, 75° C, 24 W. For deprotection: 20% piperidine in DMF : initial deprotection: 0.5 min.,
46 75° C, 30 W followed by deprotection: 3 min, 75° C, 50 W). Afterwards, aliquots of 100 mg
47 peptide containing resin was transferred into a filter-containing syringe for further
48 derivatization. For the synthesis of all compounds, the N-terminal Fmoc group was
49 deprotected and either acetylated (**1**, **4**) or coupled with ferrocenecarboxylic acid (**2**, **5**) or
50 ruthenocenecarboxylic acid (**3**, **6**). After each step the resin was washed 5 times using 2 mL
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3 *Deprotection of Fmoc.* The deprotection was performed twice by treating the Fmoc protected
4 peptide with 2 mL of 20% piperidine in DMF (1 and 5 min).

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6 *Acetylation.* The N-terminus was acetylated after Fmoc deprotection using 5% acetic
7 anhydride and 6% N,N-diisopropylethylamine (DIPEA) in DMF for 5 min. This step was
8 repeated five times.
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11 *Metallocene coupling.* After Fmoc-deprotection, metallocenes were coupled to the free N-
12 terminus using metallocene carboxylic acid, 1-hydroxybenzotriazole (HOBt), 2-(1H-
13 benzotriazole-1-yl)-1,1,3,3-tetramethyluronium-tetrafluoroborate (TBTU), DIPEA, (4:4:4:6
14 equiv.) in DMF for 1 h or 3 h for the coupling of ferrocene- or ruthenocenecarboxylic acid,
15 respectively.
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19 *FITC coupling.* To label the bioconjugates with the fluorophore FITC the Mtt-protecting
20 group of the additional lysine was cleaved orthogonally (1% (v/v) TFA, 5% (v/v) TIS in
21 DCM after N-terminus functionalization; The resin was treated with this cleavage mixture (2
22 mL) for 5 min, this step was repeated five times. Afterwards, FITC was coupled to the side
23 chain (4 eq. FITC, 10 eq. DIPEA in DMF, incubation time 10 h) to result in **4**, **5** and **6**. After
24 each step the resin was washed 5 times with 2 mL DMF.
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29 *Cleavage.* The resin was washed with DMF and DCM, shrunk with MeOH and dried under
30 vacuum for 30 min. Finally, cleavage of the bioconjugate from the resin was performed using
31 TFA/water/triisopropylsilane (TIS) (2 mL, 95:2.5:2.5) or TFA/phenol/TIS (2 mL, 85:10:5) for
32 6 h at room temperature. The resin was filtered and washed with 0.5 mL TFA. Addition of
33 cold diethyl ether yielded a precipitate, which was washed repeatedly with diethyl ether. The
34 crude product was dissolved in MeCN/water, filtered, lyophilized and afterwards purified and
35 analyzed with RP-HPLC and finally characterized with MALDI-TOF mass spectrometry.
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43 **1:** White solid, C₈₀H₁₄₃N₄₁O₁₃ (1887.26 g/mol): MS (MALDI-TOF): *m/z* 1888.0 [M+H]⁺,
44 1910.0 [M+Na]⁺, HPLC: *t_R* = 10.5 min. ¹H NMR (90% H₂O: 10% D₂O, 600.13 MHz):
45 δ = 8.49–8.42 (m, 6H, H_{NH, Arg}), 8.37–8.33 (m, 4H, H_{NH, Arg}), 8.33 (m, 1H, H_{NH, Phe1}), 8.23 (m,
46 1H, H_{NH, Lys}), 8.21 (m, 1H, H_{NH, Phe2}), 7.57 (br, 3H, H_{γ, Lys}), 7.39 (m, 2H, H_{phenyl, PheA}), 7.38 (m,
47 2H, H_{phenyl, PheB}), 7.35 (m, 2H, 1H_{phenyl, PheA}, 1H_{phenyl, PheB}), 7.28 (m, 2H, H_{phenyl, PheA}), 7.27 (m,
48 2H, H_{phenyl, PheB}), 6.71 (br, 36H, H_{η, Arg}), 4.65 (m, 1H, H_{α, Phe1}), 4.63 (m, 1H, H_{α, Phe2}), 4.39–
49 4.28 (m, 9H, H_{α, Arg}), 4.20 (m, 1H, H_{α, Lys}), 3.29–3.14 (m, 18H, H_{δ, Arg}), 3.11 (m, 1H, H_{β2, Phe1}),
50 3.10 (m, 1H, H_{β2, Phe2}), 3.06 (m, 1H, H_{ε2, Lys}), 3.04 (m, 1H, H_{ε3, Lys}), 3.03 (m, 1H, H_{β3, Phe1}),
51 3.01 (m, 1H, H_{β3, Phe2}), 2.10 (s, 3H, H_{Acetyl}), 1.90–1.84 (m, 9H, H_{β2, Arg}), 1.89–1.45 (m, 18H,
52 H_{γ, Arg}), 1.83–1.75 (m, 9H, H_{β3, Arg}), 1.82 (m, 1H, H_{β2, Lys}), 1.74 (m, 1H, H_{γ2, Lys}), 1.72 (m, 1H,
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3 H_{β3, Lys}), 1.71 (m, 1H, H_{γ3, Lys}), 1.43 (m, 1H, H_{γ2, Lys}), 1.40 (m, 1H, H_{γ3, Lys}). ¹³C NMR (90%
4 H₂O: 10% D₂O, 150.92 MHz): δ = 182.6, 175.9 (CON), 174.6 (CON), 174.3 (CON), 173.6
5 (CON), 173.5 (CON), 173.4 (CON), 173.3 (CON), 173.1 (CON), 172.7 (CON), 172.2
6 (CON), 172.1 (CON), 136.2, 136.1 (C_γPhe1, Phe2), 129.3, 129.2, 128.8, 128.7 (C_{δ, Phe1}, C_{δ, Phe2},
7 C_{ε, Phe1}, C_{ε, Phe2}), 127.2, 127.1 (C_{ζ, Phe1}, C_{ζ, Phe2}), 54.8 (C_{α, Phe1}, C_{α, Phe2}), 54.6–53.1 (C_{α, Arg}), 53.5
8 (C_{α, Lys}), 40.9–40.7 (C_{δ, Arg}), 39.5 (C_{ε, Lys}), 37.5 (C_{β, PheA}, C_{β, PheB}), 30.5 (C_{β, Lys}), 28.5–27.6 (C_β,
9 Arg), 26.6 (C_{δ, Lys}), 24.9–24.1 (C_{γ, Arg}), 22.1 (C_{γ, Lys}).
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16 **2:** Orange solid, C₈₉H₁₄₉FeN₄₁O₁₃ (2056.16 g/mol): MS (ESI, pos.): *m/z* 1029.4 [M+2H]²⁺,
17 686.8 [M+3H]³⁺, 515.6 [M+4H]⁴⁺, 412.9 [M+5H]⁵⁺, 344.4 [M+6H]⁶⁺, HPLC: *t_R* = 11.4 min.
18 ¹H NMR (DMSO-*d*₆, 600.13 MHz): δ = 8.32 (d, *J* = 7.1 Hz, 1H, H_{NH, Phe1}), 8.07 (m, 7H, 1H_{NH},
19 Lys, 6H_{NH, Arg}), 8.02 (m, 2H, 1H_{NH, Arg}, 1H_{NH, Phe2}), 7.97 (d, *J* = 7.5 Hz, 1H, H_{NH, Arg}), 7.92 (d, *J*
20 = 7.7 Hz, 1H, H_{NH, Arg}), 7.80–7.60 (m, 12H, 9H_{ε, Arg}, 3H_{ζ, Lys}), 7.60–6.80 (m, 46H, 36H_{η, Arg},
21 10H_{phenyl, Phe1, Phe2}), 4.89 (m, 1H, H_{Cp2}), 4.87 (m, 1H, H_{Cp5}), 4.57 (m, 2H, 1H_{α, Phe1}, 1H_{α, Phe2}),
22 4.42 (m, 2H, H_{Cp3,4}), 4.41–4.17 (m, 9H, H_{α, Arg}), 4.23 (s, 5H, H_{Cp'}), 4.20 (m, 1H, H_{α, Lys}),
23 3.22–3.00 (m, 18H, H_{δ, Arg}), 3.08 (m, 1H, H_{β2, Phe1}), 3.03 (m, 1H, H_{β2, Phe2}), 2.88 (m, 1H, H_{β3},
24 Phe1), 2.79 (m, 2H, H_{ε, Lys}), 2.77 (m, 1H, H_{β3, Phe2}), 1.84–1.62 (m, 9H, H_{β2, Arg}), 1.71 (m, 1H,
25 H_{β2, Lys}), 1.62–1.40 (m, 9H, H_{β3, Arg}), 1.57 (m, 2H, H_{δ, Lys}), 1.56 (m, 1H, H_{β3, Lys}), 1.33 (m, 2H,
26 H_{γ, Lys}). ¹³C NMR (DMSO-*d*₆, 150.92 MHz): δ = 174.1 (CON), 173.0 (CON), 172.3 (CON),
27 172.0 (CON), 171.8 (CON), 171.6 (CON), 171.0 (CON), 170.7 (CON), 130.1, 130.0, 129.0,
28 128.8 (C_{δ, Phe1}, C_{δ, Phe2}, C_{ζ, Phe1}, C_{ζ, Phe2}), 127.2, 121.4 (C_{ε, Phe1}, C_{ε, Phe2}), 70.8 (C_{Cp3,4}), 69.9
29 (C_{Cp'}), 69.8 (C_{Cp2,5}), 54.4 (C_{α, Phe1, Phe2}), 53.6–52.7 (C_{α, Arg}), 52.9 (C_{α, Lys}), 41.1 (C_{δ, Arg}), 39.3
30 (C_{ε, Lys}), 38.3 (C_{β, Phe2}), 38.1 (C_{β, Phe1}), 32.2 (C_{β, Lys}), 29.8 (C_{β, Arg}), 27.4 (C_{δ, Lys}), 25.6 (C_{γ, Arg}),
31 22.8 (C_{γ, Lys}).
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45 **3:** White solid, C₈₉H₁₄₉N₄₁O₁₃Ru (2102.0 g/mol): MS (MALDI-TOF, Sinap.): *m/z* 2103.1
46 [M+H]⁺, HPLC: *t_R* = 12.8 min. ¹H NMR (DMSO-*d*₆, 400.13 MHz): δ = 8.27 (d, *J* = 7.5 Hz,
47 1H, H_{NH, Phe1}), 8.16–8.11 (m, 2H, H_{NH, Arg}), 8.06 (d, *J* = 7.8 Hz, 1H, H_{NH, Lys}), 8.00–7.90 (m,
48 3H, H_{NH, Arg}), 7.98 (d, *J* = 7.1 Hz, 1H, H_{NH, Phe2}), 7.88–7.72 (m, 4H, H_{NH, Arg}), 7.85–7.72 (m,
49 12H, 9H_{ε, Arg}, 3H_{ζ, Lys}), 7.55–7.02 (m, 46H, 36H_{η, Arg}, 4H_{δ, Phe}, 4H_{ε, Phe}, 2H_{ζ, Phe}), 5.23 (m, 1H,
50 H_{Cp2}), 5.20 (m, 1H, H_{Cp5}), 4.70 (m, 2H, H_{Cp3,4}), 4.58 (s, 5H, H_{Cp'}), 4.52 (m, 1H, H_{α, Phe1}), 4.50
51 (m, 1H, H_{α, Phe2}), 4.33–4.14 (m, 9H, H_{α, Arg}), 4.16 (m, 1H, H_{α, Lys}), 3.15–2.69 (m, 18H, H_{δ, Arg}),
52 3.04 (m, 1H, H_{β2, Phe1}), 2.98 (m, 1H, H_{β2, Phe2}), 2.84 (m, 1H, H_{β3, Phe1}), 2.75 (m, 2H, H_{ε, Lys}),
53 2.72 (m, 1H, H_{β3, Phe2}), 1.69–1.58 (m, 18H, H_{β, Arg}), 1.67 (m, 2H, H_{β, Lys}), 1.57–1.33 (m, 18H,
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H_γ, Arg), 1.52 (m, 2H, H_δ, Lys), 1.29 (m, 2H, H_γ, Lys). ¹³C NMR (DMSO-*d*₆, 100.61 MHz): δ = 173.3 (CON), 171.8 (CON), 171.7 (CON), 171.5 (CON), 171.4 (CON), 171.3 (CON), 171.1 (CON), 170.9 (CON), 170.7 (CON), 168.5 (CON), 137.6, 137.5 (C_γ, Phe1, C_γ, Phe2), 129.3, 128.8, 128.1, 128.0, 123.1, 120.6 (C_δ, Phe1, C_δ, Phe2, C_ε, Phe1, C_ε, Phe2, C_ζ, Phe1, C_ζ, Phe2), 72.1 (C_{Cp3,4}), 71.6 (C_{Cp1}), 70.5 (C_{Cp5}), 70.3 (C_{Cp2}), 54.1, 53.6 (C_α, Phe1, C_α, Phe2), 52.6–51.9 (C_α, Arg), 52.1 (C_α, Lys), 41.738.7 (C_δ, Arg), 38.4 (C_ε, Lys), 37.6 (C_β, Phe2), 37.5 (C_β, Phe1), 31.4 (C_β, Lys), 31.3 (C_δ, Lys), 29.4–28.3 (C_β, Arg), 25.3, 25.0–24.8 (C_γ, Arg), 22.0 (C_γ, Lys).

4: Yellow solid, C₁₀₁H₁₅₄N₄₂O₁₈S (2275.21 g/mol): MS (MALDI-TOF, Sinap.): *m/z* 2282.7 [M]⁺; MS (ESI, pos.): *m/z* 1138.8 [M+2H]²⁺, 944.4 [M-FITC+3H]²⁺, 759.6 [M+3H]³⁺, 629.9 [M-FITC+4H]³⁺, HPLC: *t_R* = 13.1 min.

5: Yellow solid, C₁₁₀H₁₆₀FeN₄₂O₁₈S (2445.20 g/mol): MS (MALDI-TOF, Sinap.): *m/z* 2447.1 [M+H]⁺, MS (ESI, pos.): *m/z* 1223.8 [M+2H]²⁺, 1029.4 [M-FITC+2H]²⁺, 816.8 [M+3H]³⁺, 686.6 [M-FITC+3H]³⁺, HPLC: *t_R* = 14.8 min.

6: Yellow solid, C₁₁₀H₁₆₀N₄₂O₁₈RuS (2491.17 g/mol): MS (ESI, pos.): *m/z* 831.5 [M+3H]³⁺, 701.7 [M-FITC+4H]³⁺, 390.0 [FITC+H]⁺. HPLC: *t_R* = 14.9 min.

Membrane studies. A Quartz crystal microbalance (QCM) was used to assess the interaction between polyarginine peptides and a supported biomimetic membrane (SBM). This approach has been extensively explained in previous works.^{39, 40} Briefly, QCM-D experiments were performed using the E4 system with flow cells (Q-Sense, Västra Frölunda, Sweden). The QCM instrument measures the relative changes to the resonance frequency (*f*) of the sensor over the course of the experiment. The variation in the resonance frequency is proportional to the change of the mass (Δm) coupled to the sensor surface by the Sauerbrey equation.³⁹

$$\Delta m = -C (\Delta f n / n)$$

where *C* is the mass sensitivity constant (17.7 ng/cm²·Hz for a chip with a fundamental frequency of 5 MHz) and *n* is the harmonic number. Each experiment was implemented, firstly by the creation of a SBM, by introducing liposomes consisting of dimyristoylphosphatidylcholine (DMPC), DMPC/Cholesterol (7:3 v/v) or DMPC/DMPG (4:1 v/v), suspended in a 20 mM PBS buffer with 100 mM of NaCl, into the QCM chamber. The

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3 deposition occurred thus *in-situ*, onto a gold sensor, which has been previously modified with
4 a layer of 3-mercaptopropionic acid (MPA) or with 6-mercaptophexanoic acid (MHA). Once a
5 stable bilayer (membrane) was formed, various concentrations of peptide, dissolved in PBS,
6 were introduced. The interaction of the peptide and subsequent effects were recorded in real
7 time at the various harmonics (3rd, 5th, 7th and 9th), simultaneously. However, only the 7th is
8 represented in this study, since the other harmonics did not show any substantial difference.
9 The last step of the experiment, after the incubation time for the peptide-membrane system,
10 was a PBS flush with the purpose of removing any peptide molecule weakly bound to the
11 membrane. All experiments were conducted at a temperature of 19.10 ± 0.05 °C.
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20 **Cell culture. General Procedure.** The human HepG2 cell line was obtained from
21 “Deutsche Sammlung von Mikroorganismen und Zellkultur ACC 180”. The cell lines HeLa,
22 IMIM-PC2 and PT45 were a gift of Prof. Heumann (Biochemistry, Ruhr-University Bochum)
23 and Prof. Hahn (Molecular Oncology, Ruhr-University Bochum, Bochum, Germany). Cells
24 were grown in RPMI 1640 with 1% sodium pyruvate, 1% L-glutamine, 100 units/mL Pen
25 Strep, 10% fetal bovine serum. The cells were maintained at 37 °C in a humidified incubator
26 under an atmosphere containing 5% CO₂. Wells used for HeLa cells were coated with 0.2%
27 gelatine solution before use.
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35 **Cellular uptake.** The uptake was investigated by fluorescence microscopy using conjugates
36 labeled with the fluorophore FITC (compounds **4**, **5** and **6**). 8×10^4 cells were seeded in flat
37 bottom 24 well plates and incubated for 24 h at 37 °C and 5% (HepG2) or 10% CO₂ (IMIM-
38 PC2, PT45). Due to an enhanced solubility of the compounds, 0.5% DMSO (maximal
39 concentration in the cell medium) was added. The compounds were dissolved in a
40 DMSO/PBS solution, added to the cell medium and incubated with the cells for 14 h using
41 five different concentrations (1, 5, 10, 25, 50 μM). After completion of the incubation, the cell
42 medium was removed and the cells were washed five times with PBS. The PBS was replaced
43 with cell culture medium and the fluorescence of the cells was measured on a fluorescence
44 microscope Olympus IX51 (magnification 100, 200 or 400 times). To assess the effect of the
45 cellular uptake qualitatively the amount of fluorescent cells and the intensity of the
46 fluorescence were compared visually.
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56 **Colocalization.** Colocalization studies were performed using confocal microscopy. For
57 colocalization, commercially available dyes were used according to the specifications of the
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3 manufacturer for live cell experiments. To visualize lysosomes LysoTracker Red[®] was used,
4 for endosomes the FM 4-64[®] dye was employed.

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6 2.5×10^4 cells were seeded in manually poly-L-lysine coated ibidi μ -slides (8 wells) for 14 h.
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8 The cells were incubated with 10 μ M of **5** for 2 h. 30 Min before the end of the incubation
9 time LysoTracker Red[®] (75 nM) or FM 4-64[®] (10 μ M) were added. After completion of the
10 incubation, the culture medium was removed and the cells were washed 5 times using PBS
11 and replaced with RPMI 1640 medium without phenol red and supplements. The
12 colocalization was measured on a Leica confocal microscope. Measurement parameters used
13 for colocalization studies of **5** are as followed: Excitation wavelength of 488 nm and emission
14 range between 500–525 nm, for LysoTraker Red[®] excitation wavelength of 543 nm and
15 emission range of 590–620 nm, for FM 4-64[®] excitation wavelength of 514 nm and emission
16 range of 700–800 nm. Pictures were recorded using the sequential imaging mode. To obtain
17 one image, an average of at least three recorded images and an average of two recorded lines
18 were used.
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28 **Cytotoxicity experiments.** To determine the activity of **2** and **3** in comparison to **1**, two
29 antiproliferative assays, resazurin and crystal violet were performed (see Supporting
30 information for details). 6000 cells/well of HeLa, PT45 and HepG2 cells were incubated in
31 96-well plates at 37 °C and 10% CO₂ for 24 h. To determine the initial cell viability and
32 biomass, a t_0 -plate with HeLa, HepG2 and PT45 was additionally plated and after 24 h
33 incubation the resazurin assay was performed. Subsequently the cells were fixed with 0.2%
34 glutardialdehyde solution, followed by the crystal violet assay. In the remaining plates the
35 medium was replaced with medium containing **1**, **2** or **3** with 0.5% DMSO added to improve
36 the solubility of the compound. Concentrations between 1–1000 μ M were used and later
37 adapted regarding their antiproliferative activity. Each concentration was tested six times in
38 parallel, as positive control, cisplatin was used and as negative control, medium containing
39 0.5% DMSO. To determine the effect of metallocenes, ferrocene- and ruthenocenecarboxylic
40 acid were tested. The incubation time of the compounds was 48 h. Then, the compound-
41 containing medium was removed, the cells were washed with PBS and the resazurin assay and
42 afterwards the crystal violet assay (see Supporting information) was performed. The mean
43 absorption of the initial cell plate (t_0 -plate) for each cell line was subtracted from the
44 absorption of each experiment and control. For both assays the negative control (non-treated)
45 was set to 100%.
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3 **Apoptosis.** *Annexin V/propidium iodide (AnnV/PI) staining.* HeLa cells were treated with
4 indicated concentrations of the substances for 48 h, collected and stained with Annexin V-
5 FITC conjugate (eBioscience) according to manufacturer's recommendation. Briefly,
6 approximately 5×10^5 cells were resuspended in 50 μ l of Annexin V binding buffer, 2.5 μ l of
7 Annexin V conjugate was added to each probe and 1.25 μ l of PI solution (1 mg/ml) and
8 incubated in the dark at room temperature for 15 minutes. Signal intensity was analyzed using
9 a FACS[®]Calibur (Becton Dickinson) and CellQuest Pro (BD) analysis software. Excitation
10 and emission settings were 488 nm, 515–545 nm (FL1 channel) for Annexin V-FITC and
11 564–606 nm (FL2 channel) for PI.
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19 **ROS measurements.** After the treatment of HeLa cells with indicated concentrations of the
20 compound, the cells were collected, washed and re-suspended (2.5×10^5 cells/0.5 ml) in FACS
21 buffer [D–PBS (Gibco) with 1% BSA (PAA)]. 1.25 μ l of 5 mM dihydroethidium (D1168,
22 Molecular Probes, Invitrogen) solution was added to each sample followed by 15 minutes
23 incubation at RT in the dark. Signal intensity in which represent the intracellular ROS level
24 was analyzed using a FACS[®]Calibur (Becton Dickinson) and CellQuest Pro (BD) analysis
25 software. Excitation and emission settings were 488 nm and 564–606 nm (FL2 channel).
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33 **Cell cycle studies.** After 24 h treatment of 5×10^5 HeLa cells with indicated concentrations
34 of substances, cells were harvested and fixed with 70% ethanol. Fixed cells were kept at -20
35 °C for at least one day and then washed with PBS and stained with propidium iodine for 30
36 min in the dark. Samples were then analyzed by FACS[®]Calibur to determine the DNA
37 content and cell cycle analysis.
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43 **BIONAS real-time cell metabolism assay.** Approximately 2×10^5 HeLa cells were seeded
44 in 450 μ L medium in each biosensor chips (SC1000) 24 h prior insertion of the chips into the
45 Bionas 2500 analyzer (Bionas, Rostock, Germany). The cell number used results in
46 approximately 80% cell confluence on the chip surface after 24 h. During the analysis of cell
47 metabolism, cells were fed with DMEM running medium (PAN Cat. Nr. P03-0010) without
48 sodium bicarbonate, and only buffered with 1 mM Hepes, supplemented 0.1% FCS. A Bionas
49 2500 analyzing system was used to continuously record two important physiological cellular
50 parameters over time: oxygen consumption, change in medium pH.⁴¹ The metabolic sensor
51 chips (SC1000) include ion-sensitive field effect transistors (ISFETs) to record pH changes
52 which are linked with glycolytic activity of the cells, and Clark-type electrodes to monitor
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oxygen consumption representing mitochondrial activity. To measure the activity of the compounds we included the three following steps: *i*) 6 h equilibration with running medium (RM). *ii*) drug incubation with substances freshly dissolved in medium at the indicated concentrations for treatment periods of up to 24 h, and *iii*) a drug-free step in which cells are again fed with running medium only. At the end of each experiment, the cells were killed by addition of 0.2% Triton X-100 to get a basic signal without living cells on the sensor surface as a negative control.

RESULTS

Synthesis. The peptides and peptide conjugates used in this study are shown in Figure 1. For our purpose, we adapted the polyarginine sequence designed by Inversen *et al.* with minor changes.³⁸ Instead of including a cysteine as part of the original sequence, we integrated a lysine to accommodate the fluorophore FITC.

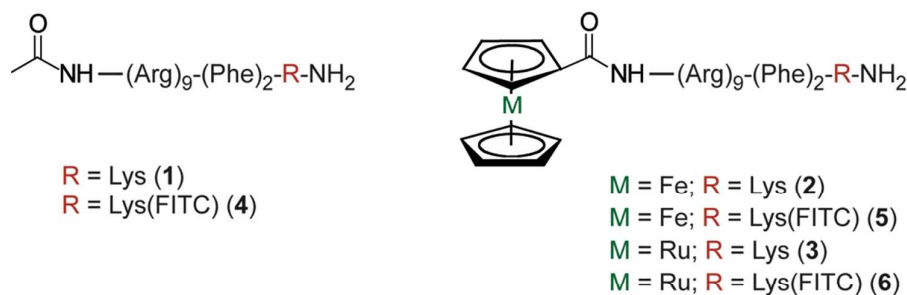
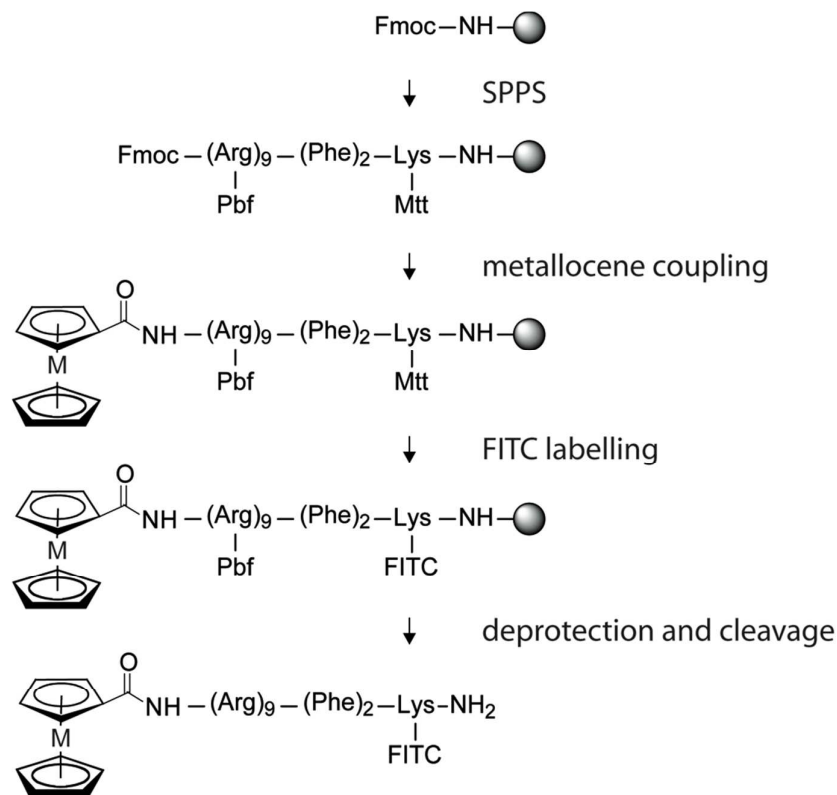


Figure 1. Conjugates 1–6.

The synthesis route of the bioconjugates reported in this article is shown in Scheme 1. The polyarginine peptide was prepared by solid-phase peptide synthesis (SPPS) using the Fmoc technique. The complete peptide sequence ((Arg)₉-(Phe)₂-Lys) was assembled on an automated, micro-wave assisted synthesizer. All subsequent steps were performed manually. After deprotection of the terminal Fmoc group the peptide was either acetylated (**1**, **4**), or ferrocenecarboxylic acid (**2**, **5**) and ruthenocenecarboxylic acid (**3**, **6**) were coupled to the N-terminus of the sequence, respectively. In compounds **1**, **2** and **3** the fluorophore is absent (see Fig. 1), with the sequence containing an unmodified lysine. The synthesis of compounds **4**, **5** and **6** required an orthogonally cleavable protecting group to bind FITC after deprotection. To

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3 this end, we have chosen the rather acid labile Mtt group. The fluorophore labeling was
4 achieved by removal of the Mtt group by 1% TFA, followed by FITC coupling to the side
5 chain of the unprotected lysine.
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37 **Scheme 1.** Schematic preparation of FITC-labeled metallocene polyarginine conjugates; M = Fe (**5**),
38 Ru (**6**).

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42 The conjugates were successfully cleaved from the resin after 6 hours by 85–95% TFA, which
43 leaves the metallocene units untouched. All compounds were obtained successfully in a good
44 yield and purity. The crude product of **1** and **3** were obtained with a purity of >95%.

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47 The conjugates were purified by reverse-phase HPLC on a C18 column and found to be >98%
48 pure by subsequent analytical HPLC of all metallocene-containing fractions. The identity of
49 the purified products was verified by mass spectrometry (ESI and MALDI-TOF).
50 Furthermore, compounds **1**, **2** and **3** were additionally characterized by 1D and 2D NMR
51 spectroscopy.
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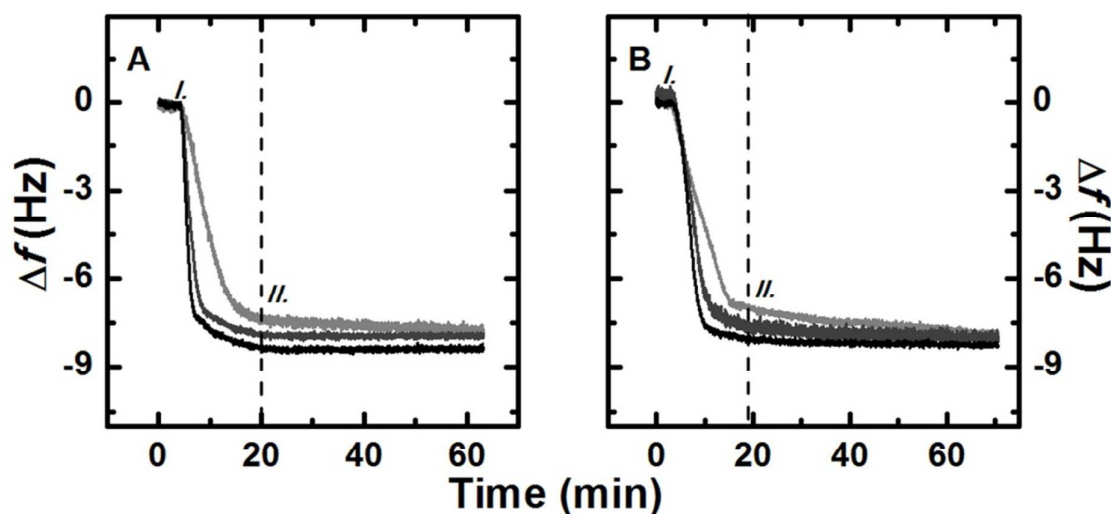
55 HPLC chromatograms of the purified compounds reveal a short retention time of the
56 bioconjugate due to the hydrophilicity of the peptide moiety. Coupling of ferrocene or
57 ruthenocene to polyarginine results in a higher lipophilicity which was further increased by
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3 the FITC label (5, 6). Ferrocene and ruthenocene conjugation show a longer but comparable
4 retention time, due to the very similar lipophilicity of these metallocenes (see Experimental
5 Section and Supporting Information Fig. S1).
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10 **Biological Studies.** The biological properties were examined to evaluate the potential of
11 metallocene polyarginine bioconjugates towards lysosomal destabilization and possible
12 antiproliferative activity. Therefore, we studied their cytotoxicity, cellular uptake, localization
13 and vesicular/membrane destabilization on live cells and on artificial membranes. To gain
14 further insights into the mode of action, induction of apoptosis and necrosis, ROS formation,
15 and their influence on the fluidity of a eukaryotic-mimic membrane were studied.
16 Furthermore, the impact on cell cycle, cellular respiration and glycolysis were also
17 investigated.
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23 Peptide uptake with artificial membranes

24 To test the influence of the compounds towards a membrane, we investigated the effect of **1**, **2**
25 and **3** on an artificial eukaryotic membrane model. Figure 2 illustrates the interaction between
26 **1** (Figure 2A) or **3** (Figure 2B) peptide and a DMPC/Cholesterol membrane (3:1 v/v)
27 (eukaryotic mimic).^{40, 42}
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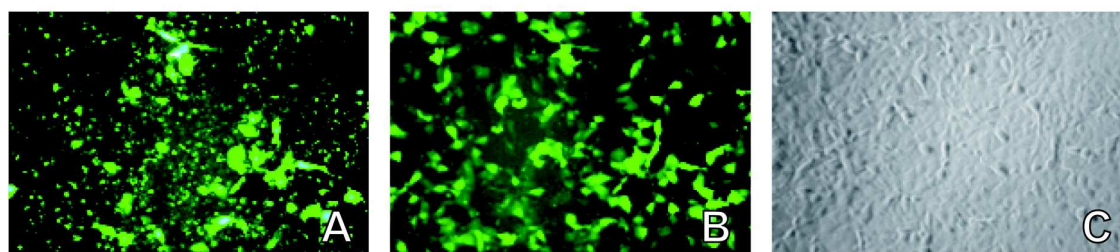


51 **Figure 2:** Plots of frequency change vs. time (Δf -t plots) obtained for peptides **1** (A) and **3** (B)
52 interacting with a DMPC/Cholesterol membrane. The concentrations used are 1, 5 and 10 μM
53 (from the lightest to the darkest trace). The 7th harmonic only is shown. The vertical dash line
54 indicates the time when the peptide flow stopped (II.), while I. corresponds to the beginning
55 of the peptide injection into the QCM chamber.
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3 The QCM traces reveal, firstly, that peptides bind very strongly only, and in a trans-
4 membrane manner with no indication of membrane removal (see Supporting Information
5 Figure S2). Furthermore, the change in frequency is the same for both peptides (**1**, **3**) ($\Delta f = -8$
6 Hz for $5 \mu\text{M}$). To notice also that this frequency change occurs for all the three concentrations
7 tested, with a difference of ± 0.3 Hz. Indeed, the peptides at these three concentrations nearly
8 saturate the membrane, since the traces start to flatten when the peptide flow terminates (*II*).
9 Similar behaviour was observed also for the peptide **2**, which showed a similar uptake (see
10 Figure S3). However, these peptides acted as membrano-lytic peptides towards negatively
11 charged membranes (DMPC/DMPG, 4:1 v/v), that mimic a bacterial cell membrane.^{40, 43}
12 Indeed, these peptides inserted into the membrane although this binding is immediately
13 followed by removal of material (mass), presumably lipid-rich molecules, which correspond
14 to an increase in frequency (see Supporting Information Fig. S4).
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24 *Cellular Uptake.* Cellular uptake of polyarginine and its conjugates was reported to happen
25 in a receptor independent way and therefore, independent of the cell line. The proposed
26 mechanism includes the uptake via binding of the positively charged arginine side chains to
27 the cell membrane, in an electrostatic manner.^{23, 24}
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31 Fluorophore labeled bioconjugates **4**, **5** and **6** were tested on three cell lines, HepG2, IMIM-
32 PC2 and PT45, revealing a good uptake in all three cell lines. The HepG2 cell line was
33 previously found to give reliable and reproducible results in cell uptake studies of
34 metallocene-peptide conjugates.^{28, 44} The other two cell lines are derived from pancreatic
35 cancers, which are more difficult to treat clinically and show a reduced uptake in general.
36 Incubation of cells with a high concentration of $50 \mu\text{M}$ reveals a good cellular uptake into the
37 tested cell lines (Fig. 3A). Interestingly, here the breakage of the vesicular structure was
38 observed during exposure to the excitation light of the microscope at 488 nm (Fig. 3B).
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55 **Figure 3.** Cellular uptake of **5** ($50 \mu\text{M}$) in PT45 cells after 14 h incubation. A: fluorescence image, $t =$
56 0; B: fluorescence image, $t = 3$ min; C: phase contrast. FITC-filter, 200x magnification.
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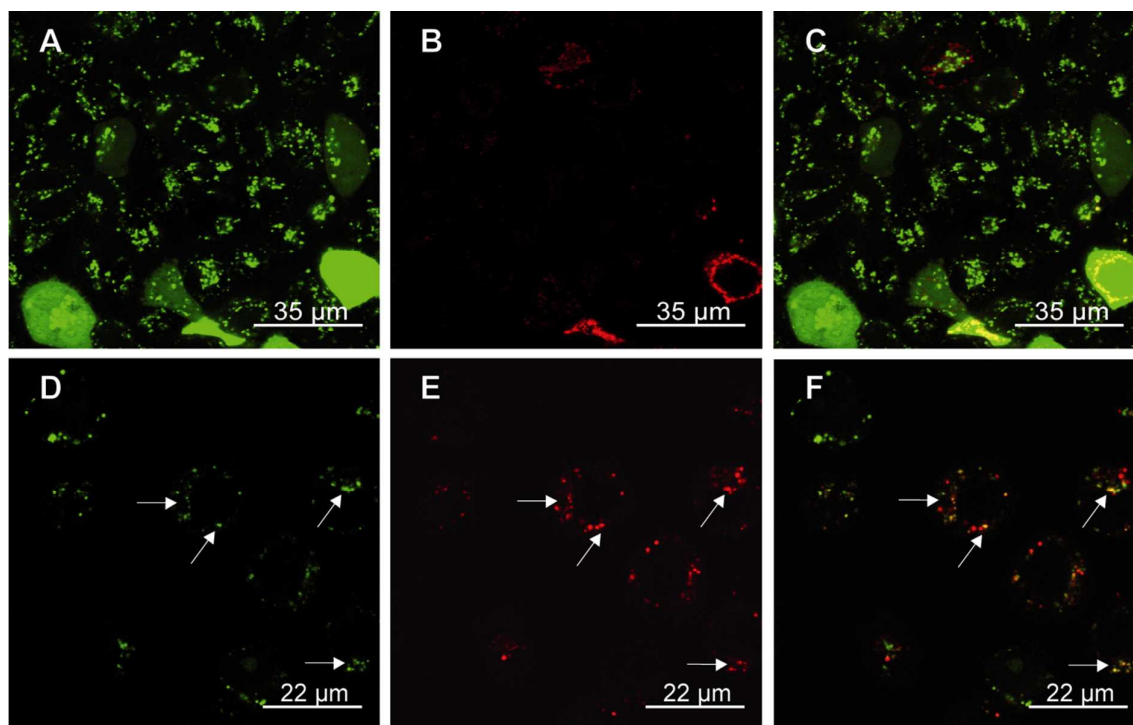
Figure S5 (see Supporting Information) presents the results of the cellular uptake studies of PT45 at 10 μ M, bearing in mind, that the uptake into the other two cell lines HepG2 and IMIM-PC2 is comparable (data not shown). Cellular uptake studies of the polyarginine bioconjugates **4**, **5** and **6** revealed a good uptake in PT45 cells (Fig. S5) appearing in a vesicular perinuclear localization. By visual inspection, an incubation of 10 μ M of **4** results in a cellular uptake in around 50% of the cells. The amount of fluorescent cells increases with higher peptide concentration. Photo-induced breakage of the vesicular structure was observed for **4** by the microscope's excitation light after a relatively long exposure time of approximately 5 min (Fig. S5, B), which could be impelled by a higher compound concentration, revealing a concentration dependent light-induced vesicular breakage for the fluorophore labeled polyarginine peptide.

Uptake studies of the metallocene peptides **5** and **6** show a stronger destabilization of the vesicles and an enhanced breakage (see Fig. 3 and Fig. S5, D-I) in comparison to **4**, which is in agreement with the observed higher uptake for both compounds. Furthermore, with a higher peptide concentration the exposure time could be dramatically reduced. Therefore, as seen in Figure 3A the breakage of the vesicular structure starts immediately.

Incubation of the cells with a 10 μ M concentration of the metallocene bioconjugates **5** and **6** exhibit a significant uptake as seen by an intense fluorescence and photo induced breakage of vesicles in nearly all plated PT45 cells (Fig. S5, D-F, G-I). They show a vesicular, perinuclear localisation comparable to **4**, but additionally exhibit a low cytosolic localization, indicating a light independent leakage of compound out of the vesicles into the cytosol. However, metallocene peptides **5** and **6** reveal an enhance uptake compared to **4**. This is presented by an increased content of fluorescent cells exhibiting a higher intensity of the fluorescence and a quicker and more extensive light induced breakage of the vesicular structure, with a homogeneous distribution in the cytosol and a higher intensity in the nucleus. The efficiency of the cellular uptake increases in the order acetyl-polyarginine (**4**) < ruthenocenoyle-polyarginine (**6**) < ferrocenoyle-polyarginine (**5**).

Localization. The intracellular localization of **5** was studied in living cells by confocal microscopy. The previously presented uptake studies revealed a comparable vesicular distribution in the tested cells for all FITC-labelled compounds. In order to identify the cellular targets of these compounds, colocalization studies were performed in the human liver cancer cell line HepG2. By visual inspection, all metal-peptide conjugates exhibit the same intracellular distribution, and therefore, **5** was used as a model compound. The cells were co-

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3 incubated with vesicular compartment dyes LysoTracker Red[®] for visualizing lysosomes and
4 FM 4-64[®] for endosomes.
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32 **Figure 4.** Colocalization study in HepG2 cells; A: **5** (10 μM), B: FM 4-64[®] (10 μM), C: overlay of A
33 and B. D: **5** (10 μM), E: LysoTracker Red[®] (75 nM), F: overlay of D and E.
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36 Colocalization of **5** results in a detained uptake of FM 4-64[®] (Fig. 4, A-C). Cells containing
37 FM 4-64[®] after the incubation reveal the release of **5** into the cell body indicating the
38 chemically induced disruption of the vesicles. Breakage of vesicles due to incubation with
39 FM4-46[®] was not seen by us with previous tested bioconjugates and shows the sensitivity of
40 the cells towards the ferrocene containing polyarginine (**2**, **5**), not be seen with other ferrocene
41 conjugates.³⁵

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46 Partial colocalization was observed with LysoTracker Red[®] (Fig. 4, D-F). No light induced
47 disruption was visible by confocal microscopy. We observed leakage of vesicles in the
48 fluorescence microscopy after 14 h incubation, however, not by using confocal microscopy
49 after 2 h incubation. This might originate from the different incubation times and light
50 intensity/energy. In conclusion we can observe enhanced leakage for metallocene conjugates,
51 as well as enhanced photoinduced breakage of vesicles by coupling of ferrocene and
52 ruthenocene and enhanced chemical induced breakage for ferrocene polyarginine.
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Cytotoxicity. The cytotoxicity was determined by two different assays, the resazurin and crystal violet assay.⁴⁵⁻⁴⁷ Bioconjugates **1**, **2** and **3** were tested in the range of 1 μ M to 1 mM. To distinguish the metal-based cytotoxicity, the metal-free peptide (**1**) was studied as well as the metallocene carboxylic acids (CpFeC₅H₄COOH and CpRuC₅H₄COOH). Metallocene carboxylic acids revealed no antiproliferative effect on all three cell lines up to the tested concentration of 1 mM. In the literature, the antiproliferative activity of polyarginine peptides has been discussed controversially.⁴⁸⁻⁵⁰ However, in our studies, the peptide shows a very low activity on all three tested cell lines, revealing IC₅₀ values between 200–300 μ M in both assays (see Table 1 and Supporting Information, Table S1).

Table 1. IC₅₀ values, crystal violet assay after 48 h.

Compound	HeLa	PT45	HepG2
	[μ M]	[μ M]	[μ M]
1	179 \pm 36	246 \pm 39	291 \pm 38
2	48 \pm 9	92 \pm 14	69 \pm 7
3	70 \pm 9	124 \pm 22	102 \pm 12
FcC(O)OH, RcC(O)OH	> 1000	> 1000	> 1000
cisplatin	1.3 \pm 0.2	0.9 \pm 0.2	2.4 \pm 0.4

This antiproliferative effect was increased by functionalization of the peptide with the metallocenes ruthenocene or ferrocene (**3**, **2**) by 2–4-fold. Interestingly, the enhanced toxicity is dependent on the metallocene coupled to the peptide. Both metallocenes do enhance the bioconjugates' lipophilicity and therefore expected unspecific uptake in a comparable way, as shown by HPLC retention times as well as previous logP experiments on metallocene peptide bioconjugates.³⁵ Nevertheless, both bioconjugates show a distinct difference (around 1.5-fold) in their toxicity. This result either indicates a higher cellular uptake of the toxic polyarginine peptide sequence by coupling to ferrocene- over ruthenocene or an additional cytotoxic effect originating from the ferrocene moiety such as enhanced ROS production for example due to the ability of iron (II) center in ferrocene to undergo Fenton chemistry directly as shown by Osella and coworkers.⁵¹

Induction of Apoptosis. In the past, compounds inducing LMP have been reported to result in necrosis and therefore, have not been considered as candidates for anticancer therapy. More recent research reveals apoptosis induction by these compounds concomitant with necrosis present only when massive leakage of the lysosomes occurs. Consequently, the measurement of apoptosis is an important factor for the evaluation of these compounds towards development as anticancer drugs.

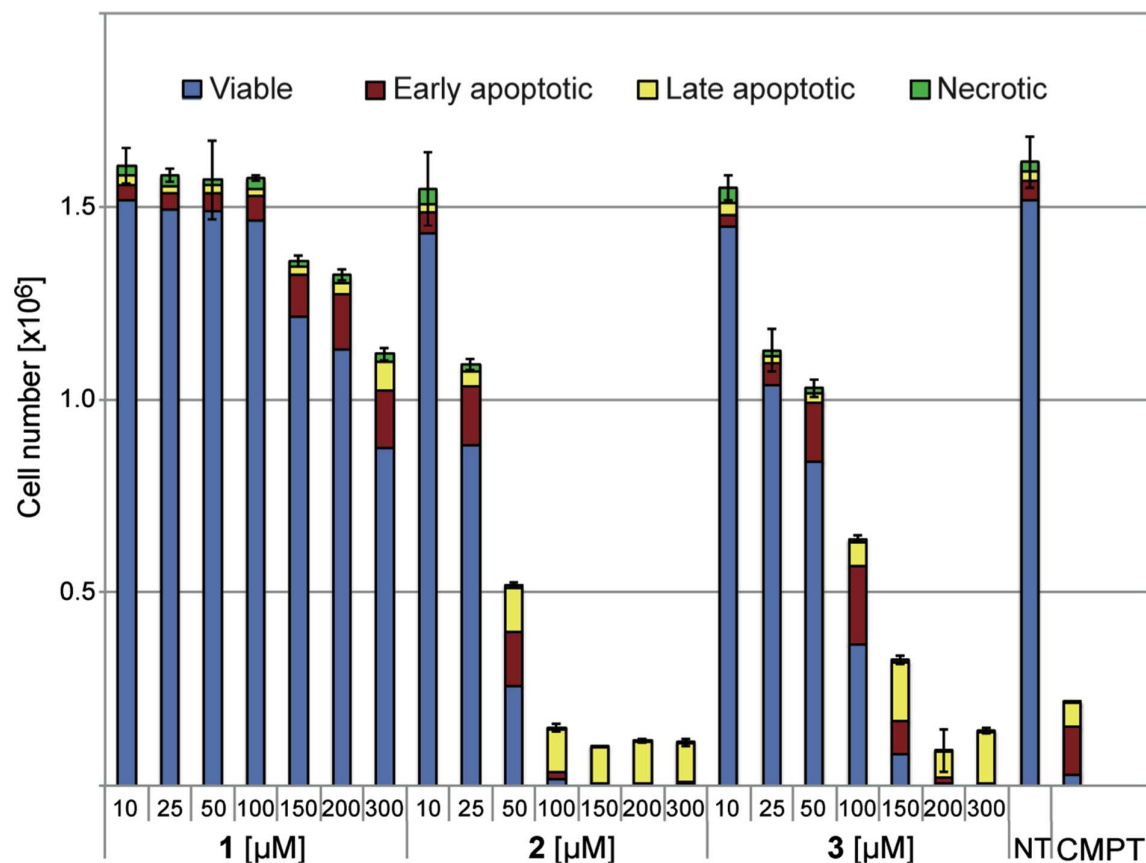


Figure 5. Apoptosis induction of **1**, **2** and **3** in HeLa cells at the indicated concentrations after 48 h.

HeLa cells were treated for 48 h with indicated concentrations of compounds **1**, **2** and **3**, and flow cytometry analysis upon Annexin V/propidium iodide (AnnV/PI) staining was performed. AnnV staining indicates apoptotic cells, whereas propidium iodide stains only dead cells/necrotic cells. Single staining of AnnV reveals early apoptosis, combined AnnV-PI coloring shows late apoptosis whereas PI staining alone reveals necrosis due to complete cell membrane destruction. As shown in Figure 5, none of the tested compounds induce necrosis.

Depending on their antiproliferative activity the compounds promote early and late apoptosis. For the metal free bioconjugate **1**, most cells are still viable even at a fairly high concentration of 300 μ M, whereas upon treatment with 200 μ M of the ruthenocene derivative **3** most cells are dead or are in the stage of late apoptosis. The containing compound, the most active compound of this series shows mainly late apoptosis already at a concentration of 100 μ M also without inducing necrosis. Even at those high concentrations there is no sign of necrosis induction (see also Fig. S6, Supporting Information).

ROS Formation. An important parameter for LMP is ROS formation since it causes a destabilization of the membrane lipids which in turn leads to an increased vesicular vulnerability. Furthermore, an increased intracellular ROS level leads to apoptosis due to DNA damage and also destabilization of the intracellular redox balance, which might start a redox-dependent signaling cascade and finally results in LMP.³⁻⁷ All these facts identify ROS as important mediators for apoptosis and LMP. Especially iron complexes increase the lysosomal vulnerability due to their influence upon intracellular redox balance.^{6,7}

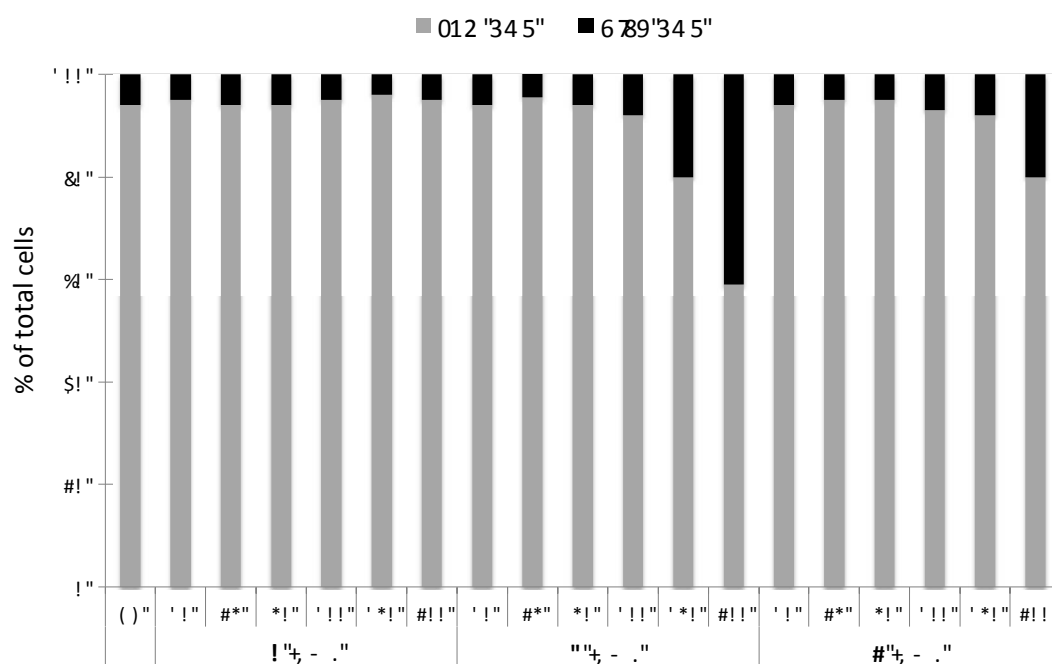


Figure 6. Intracellular ROS level of HeLa cells treated with **1**, **2** and **3** at indicated concentrations for 48 h.

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3 The intracellular ROS level of **1**, **2** and **3** were quantified upon treatment of HeLa cells (Fig.
4 6). Cells were treated with indicated concentrations of the compound and the intracellular
5 ROS levels were measured after 48 h using flow cytometry upon dihydro-ethidium staining.
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7 The metal free reference compound **1** does not induce ROS formation compared to the non
8 treated cells (NT). However, the metallocene-polyarginine bioconjugates (**2**, **3**) clearly reveal
9 an enhanced intracellular ROS induction. As expected the iron containing bioconjugate **2**
10 shows the highest ROS formation of the three tested compounds. Whether this is the
11 consequence of the higher toxicity and therefore only secondary effect of a higher apoptosis
12 induction or whether this result is primarily due to the redox chemistry of the iron of the
13 ferrocene head group itself is not clear and needs further investigation. For compound **3**, no
14 redox activity is expected of the Ru(II) under the intracellular condition present. Therefore,
15 here the increase in ROS seems to be the result of the induced apoptosis. Compound **2**
16 exhibits a two times higher ROS induction than **3** which is in agreement with the
17 antiproliferative activity of the compounds and therefore, seems to be a secondary effect of
18 the apoptosis. This assumption is consistent with the rather high concentration needed to
19 induce ROS formation in comparison to the IC₅₀ values.
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31 *Cell Cycle.* In comparison to non-treated HeLa cells our data seem to indicate that slightly
32 more cells are in the S- and G2/M phase whereas consequently a lower percentage of cells are
33 present in the G1 phase (see Fig. S7, Supporting Information). The G2/M arrest might be
34 correlated with DNA damage as a consequence of ROS generation.
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39 *BIONAS Assay.* The standard acidification and respiration rates were measured in real-time in
40 HeLa cells for 24 h (Fig. 7).
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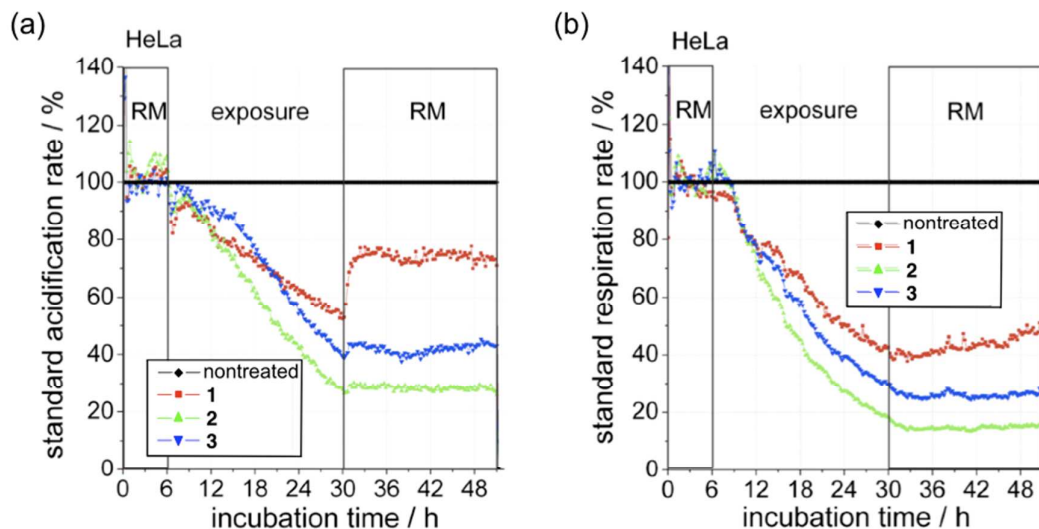


Figure 7. (a) Standard acidification and (b) standard respiration rate of **1**, **2** and **3** (100 μ M) in HeLa cells.

The result reveals a negative influence of **1**, **2** and **3** (at concentrations of 100 μ M) towards the respiration and acidification resulting from an almost immediate inhibition of the glycolysis and respiration. However, the way the respiration as well as glycolysis have been effected shows a general toxicity rather than a direct block of the energy production. Moreover the ferrocene compound (**2**) and to a lesser degree also the ruthenocene derivative (**3**) reveal an enhanced distortion of the energy production compared to the metal free compound **1**. Interestingly, after removal of the metal free compound **1**, the cells show a rapid recovery especially in the acidification rate and a slower but constant recovery of the respiration rate. However, compound **3** reveals only a weak recovery of the acidification whereas **2** shows no recovery of the cells at all after compound removal.

DISCUSSION

An important matter of discussion is the origin of the antiproliferative activity in poly-Arg peptides. A review of the literature reveals that the toxicity of polyarginine itself is discussed controversially.⁴⁸⁻⁵⁰ Previously, Miklan *et al.* reported a ferrocenoyl-polyarginine compound with a slightly modified polyarginine sequence than the here presented ferrocenoyl-polyarginine **2**.⁵⁰ This already published ferrocene conjugate has shown a comparable toxicity to **2**, but the origin of its activity was assumed to be due to the ferrocene functionalization. In their study the unmodified peptide revealed no cytotoxic effect up to a concentration of 10

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3 mM. However, in our study also the polyarginine sequence alone reveals a weak but distinct
4 activity.
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6 For our system, we propose that the bioconjugate's toxicity is not based on the ferrocene or
7 ruthenocene moieties, which is in agreement with the lack of an antiproliferative effect of our
8 previously studied ruthenocenoyl and monosubstituted ferrocenoyl peptides and PNA
9 bioconjugates.^{32, 33, 35}
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11 More likely, the cytotoxicity is the result of a higher uptake of the weakly active polyarginine
12 peptide when coupled to a metallocene. Both metallocenes are not charged to contribute to the
13 electrostatic binding mode of polyarginine, but both metallocenes do enhance the
14 bioconjugates' lipophilicity in a comparable way, as shown by their HPLC retention times.
15 Nevertheless, they show a distinct difference (around 1.5-fold) in their toxicity. This either
16 indicates a superior uptake of ferrocene over ruthenocene or an additional cytotoxic effect of
17 ferrocene, for example enhanced ROS production due to its ability to undergo Fenton
18 chemistry. In our studies we observed an increased uptake for both metallocene conjugates
19 (ruthenocene < ferrocene) in comparison to the acetylated peptide as evaluated by
20 fluorescence microscopy (Figure S5, Supporting Information). This result is supported by
21 previous uptake studies, where we could show that ferrocene-derivatized PNA reveals an
22 enhanced uptake (by a factor of ca. 4) over its ruthenocene analogue in HT-29 cells,
23 quantified by an AAS study.³³ Therefore, the higher cytotoxic activity is probably an indicator
24 for metallocene-enhanced uptake rather than for metal-based toxicity.
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26 If we assume that the toxicity of the peptide is dependent only on the intracellular compound
27 concentration with no additional cytotoxic effect, we can postulate from the IC₅₀ values a
28 semi-quantitative value of 2- to 3-fold enhanced uptake of **3** by the ruthenocene headgroup
29 compared to **1**. For ferrocene, we can expect an iron based enhancement of the toxicity due to
30 its redox properties and therefore, an enhanced ROS production followed by enhanced
31 lysosomal leakage. Here, the comparison of uptake and cytotoxicity might be more intricate.
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36 37 38 39 40 41 42 43 44 45 46 47 48 **CONCLUSION**

49 In this study, a series of metallocene polyarginine bioconjugates was synthesized by Fmoc-
50 SPPS to investigate their potential to disrupt lysosomal membranes and to act as LMP
51 inducing compounds. LMP inducing compounds have been proposed as interesting
52 compounds for tumor targeting by releasing cathepsins and induce a number of apoptotic
53 stimuli. Metallocene bioconjugates as well as their fluorophore-labelled derivatives
54 containing the ferrocene or ruthenocene headgroup were successfully synthesized and
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3 compared to the acetylated, metal free peptide regarding their potential to induce LMP. In
4 addition to ferrocene-peptide conjugates, this paper also reports the synthesis of the first
5 conjugates of ruthenocene with cell penetrating peptide derivatives.
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8 To investigate their biological behaviour the compounds were tested on an artificial
9 mammalian membrane, but no membranolytic activity could be observed. This finding
10 supports a lysosomal disruption based on the basic guanidine residues of the polyarginine
11 peptide due to protonation inside the more acidic lysosomes. However, increased vulnerability
12 of vesicles could be shown by fluorescence microscopy by photo- as well as chemical-
13 induced leakage. This increased vulnerability was found to be concentration dependent
14 (between 10 – 50 μ M). That the compounds actually reach lysosomes could be shown by
15 fluorescence microscopy co-localization studies of the ferrocene peptide **5** which revealed a
16 vesicular distribution and at least a partial localization in lysosomes. By visual inspection the
17 best cellular uptake of all tested compounds was observed for the ferrocene conjugate over
18 ruthenocene and the metal free derivatives. Furthermore, this differential uptake, in the order
19 ferrocene- > ruthenocene- > acetyl-polyarginine, also correlates with the toxicity of the
20 compounds. As shown by the crystal violet antiproliferative assay, ferrocene increases the
21 antiproliferative activity of poly-arginines around 3–4-fold and ruthenocene by 2–3-fold,
22 depending on the cell line, and compared to the acetylated, but metal-free compound **1**. After
23 finding an increased vulnerability of vesicles which correlates with toxicity we were
24 interested to get more detailed insights into the biological effects of these compounds, notably
25 in induction of apoptosis and / or necrosis since both have been reported to be effects of LMP.
26 In our assays no increased necrosis compared to the non-treated cells was observed, while
27 especially **2** and **3** show strong induction of apoptosis. ROS induction and cell cycle arrest
28 revealed only minor changes, which might be a secondary effect of apoptosis induction. The
29 biological activity of metallocene-polyarginine conjugates is also reflected in other
30 experiments such as respiration and acidification rate. Here, the metal-free compound (**1**)
31 shows no or only minor activity which is reversible after compound removal, whereas **2** and **3**
32 reveal higher activity, without any reversibility.
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50 All taken together, the metallocene-polyarginine bioconjugates **2** and **3** clearly enhance the
51 properties of the metal-free compound **1** regarding their antiproliferative and lysosomal
52 destabilizing properties and therefore, we propose these compounds as interesting candidates
53 for the development of lysosomal targeting anticancer drugs.
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ASSOCIATED CONTENT**Supporting Information**

HPLC chromatograms of chosen compounds (**1**, **2**, **3** and **5**) Fig. S1, membrane studies Fig. S2-S4, cellular uptake data of **4**, **5** and **6** in PT45 cells Fig. S5, resazurin assay of **1**, **2** and **3** Table S1, apoptosis study S6, cell cycle experiment S7 and abbreviations. This material is available free of charge via the internet at <http://pubs.scs.org>.

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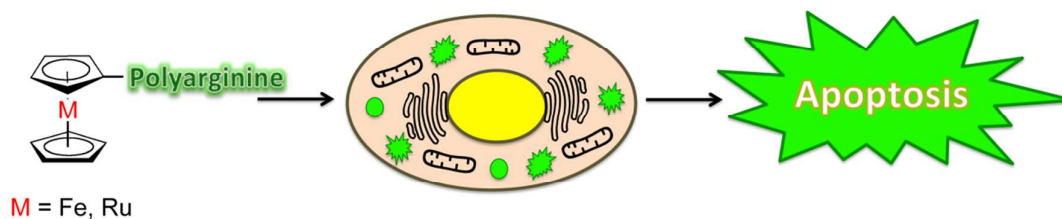
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TOC Graphic**TOC Text**

Targeting of lysosomes is an interesting, novel pathway for cancer therapy. In this work, novel metallocene derivatives (of ferrocene and ruthenocene) of a cell penetrating polyarginine peptide are presented as lysosomal membrane permeabilization (LMP) agents and their localization and biological activity is investigated in detail.