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1 **Single-Step Direct Fabrication of Luminescent Cu-doped $Zn_xCd_{1-x}S$**
2 **Quantum Dot Thin Films *via* a Molecular Precursor Solution**
3 **Approach and Their Application in Luminescent, Transparent, and**
4 **Conductive Thin Films†**

5 Yanyan Chen, Shenjie Li, Lijian Huang, Daocheng Pan*

6 *State Key Laboratory of Rare Earth Resource Utilization, Changchun Institute of Applied*
7 *Chemistry, Chinese Academy of Sciences, Changchun, Jilin, 130022, China*

8 Tel & Fax: +86-431-85262941; Email: pan@ciac.ac.cn

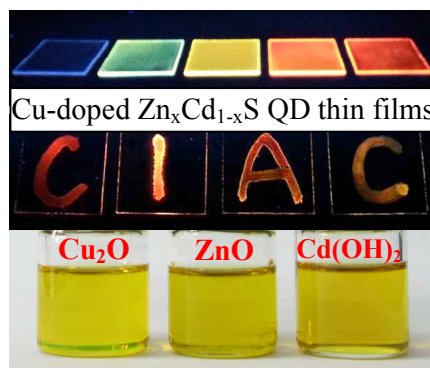
9 **Abstract**

10 Luminescent Cu-doped $Zn_xCd_{1-x}S$ quantum dot thin films have been directly
11 fabricated *via* a facile solution method in the open air. Cu_2O , ZnO , and $Cd(OH)_2$ were
12 used as the starting materials, and 3-mercaptopropionic acid was used as the capping
13 agents. The effects of Cu dopant concentration, sintering temperature, and sintering
14 time on the photoluminescence properties of Cu-doped $Zn_xCd_{1-x}S$ nanocrystal thin
15 films have been systematically investigated. As-prepared quantum dot thin films
16 exhibit a tunable emission covering the whole visible light region and the absolute
17 photoluminescence quantum yields can reach as high as 25.5%, which have a high
18 potential application in luminescent, transparent, and conductive thin films.

19 **Keywords:** Quantum dots; Luminescent thin films; Doped nanocrystals;

20 **TOC Figure**

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1 Introduction

2 Luminescent quantum dot thin films (QDTFs) have been extensively investigated
3 in the past two decades due to their high potential applications in quantum dot light
4 emitting diodes, quantum dot solar cells, thin film transistors, temperature sensors,
5 and photodetectors.¹⁻¹⁵ Besides, luminescent QDTFs can absorb and covert harmful
6 UV light to usable visible light in thin film solar cells, which can expand the
7 photoresponse range and enhance the photoelectric conversion efficiency. Thereby,
8 luminescent QDTFs have a high potential application in thin film solar cells.
9 According to the reports in the literature, QDTFs are mainly fabricated by
10 self-assembling or coating quantum dot solutions.¹⁻²⁰ Thus, the synthesis of high
11 quality quantum dots is essential. Furthermore, a ligand exchange process and a
12 tedious post-purification procedure are usually required. These complex procedures
13 are not suitable for highly efficient and low-cost fabrication of QDTFs. Thus,
14 developing a direct deposition method to luminescent QDTFs without the need of the
15 complex quantum dot synthesis using molecular-based precursor solution is of great
16 significance.

17 Large-area luminescent thin films of wide-gap II-VI compounds (e.g. ZnS, ZnSe,
18 and CdS) play an important role in visible-light optoelectronics.²¹⁻²³ ZnS with a band
19 gap of 3.68 eV is widely used as an excellent host material for highly efficient
20 phosphors.²⁴⁻²⁶ Cu-doped ZnS nanocrystals are a very important class of phosphors
21 for the fabrication of thin film electroluminescent devices. It was reported that the
22 emission of Cu-doped ZnS QDs mainly originates from the transition from the

1 conduction band of ZnS to the Cu-d states.²⁷⁻³⁰ As a result, the emission of Cu-doped
2 ZnS QDs is strictly restricted in blue-green region.^{27-30, 39} In order to achieve a tunable
3 emission which covers the whole visible light region, Cd²⁺ ions are incorporated into
4 the ZnS lattices to lower the conduction band position of host materials.³¹⁻³³ Although
5 many groups have been focusing on the synthesis of Cu-doped Zn_xCd_{1-x}S quantum
6 dots, there are no reports on the direct deposition of Cu-doped Zn_xCd_{1-x}S quantum dot
7 thin film using a molecular-based precursor solution.

8 Here, we present a simple one-step solution approach to fabricate luminescent
9 Cu-doped Zn_xCd_{1-x}S QDTF by spin-coating a mixed Cu, Zn, and Cd precursor
10 solution. Cu₂O, ZnO, and Cd(OH)₂ were used as the starting materials and dissolved
11 in the ethanol solution of carbon disulfide and 1-butylamine, and 3-mercaptopropionic
12 acid was used as the capping agents. All synthetic procedures were conducted in the
13 open air. As-prepared Cu⁺-doped Zn_xCd_{1-x}S thin films exhibit a tunable emission
14 covering the whole visible light region and the absolute photoluminescence quantum
15 yield can reach as high as 25.5%.

16 **Experimental Section**

17 **I. Chemicals.**

18 Copper (I) oxides (Cu₂O, 99%), zinc oxide (ZnO, 99.99%), cadmium hydroxide
19 (Cd(OH)₂, AR), carbon disulfide (CS₂, 99.9%), 1-butylamine (CH₃(CH₂)₃NH₂, 99%),
20 ethanol (CH₃CH₂OH, AR), and 3-mercaptopropionic acid (MPA, HSCH₂CH₂COOH,

1 98%) were purchased from Aladdin. All chemicals were used as received without any
2 further purification.

3 **II. Preparation of Semiconductor Precursor Solution.**

4 Zn, Cd, or Cu precursor solution (0.2 M) was prepared by dissolving 0.1628 g (2.0
5 mmol) of ZnO, 0.3317 g (2.0 mmol) of Cd(OH)₂, or 0.1430 g (1.0 mmol) of Cu₂O in
6 7.0 mL of ethanol, 1.2 mL of CS₂ (20 mmol), and 2.0 mL of 1-butylamine (20 mmol)
7 under magnetic stirring on a hot plate at 60°C until all the solid dissolved,
8 respectively. Finally, Zn, Cd, and Cu precursor solutions were mixed, followed by
9 centrifugation at 12,000 rpm for 5 min prior to spin-coating.

10 **III. Deposition of Cu-doped Zn_xCd_{1-x}S Luminescent Thin Films.**

11 In a typical fabrication of Cu-doped Zn_{0.5}Cd_{0.5}S quantum dot thin film with a
12 doping concentration of 1 at%, 1.0 mL of Zn stock solution, 1.0 mL of Cd stock
13 solution, 0.02 mL of Cu stock solution, and 0.15 mL (1.7 mmol) of MPA were mixed
14 under stirring. Luminescent Cu-doped Zn_xCd_{1-x}S quantum dot thin film was spun on a
15 coverslip (20×20×0.5 mm) at 3,000 rpm for 30 s, followed by a sintering process on a
16 hot plate at 210 °C for 15 s in the open air. The quantum dot thin film with a thickness
17 of ~80 nm was obtained by single spin-coating process.

18 **VI. Characterizations.**

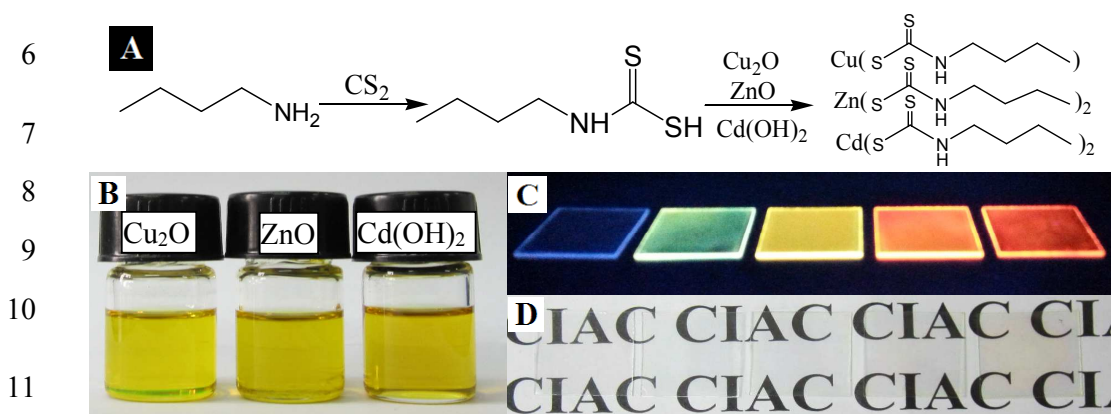
19 UV-vis diffuse reflective spectra were recorded on a Shimadzu UV-3600
20 spectrometer. PL spectra were measured on a Hitachi F-7000 spectrophotometer
21 equipped with a 150 W xenon lamp as the excitation source, and the PL intensity was

1 calculated by integration of PL curve. Absolute photoluminescence quantum yields
2 (QYs) were measured by an absolute PL quantum yield measurement system
3 (C9920-02, Hamamatsu Photonics K. K., Japan). The luminescence decay curve was
4 obtained by a Lecroy Wave Runner 6100 digital oscilloscope (1 GHz) using a tunable
5 laser (pulse width $\frac{1}{4}$ 4 ns, gate $\frac{1}{4}$ 50 ns) as the excitation source (Continuum Sunlite
6 OPO). The powder XRD patterns were recorded using a Bruker D8 FOCUS X-ray
7 diffractometer. The chemical composition was determined by energy disperse X-ray
8 spectroscopy (EDS) by using a scanning electron microscope (Hitachi S-4800)
9 equipped with a Bruker AXS XFlash detector 4010. The thickness of the thin film was
10 measured by a step profiler (AMBIOS, XP-100). Atomic force microscope (AFM)
11 image was taken on a Bruker Dimension Icon.

12 **Results and Discussion**

13 Recently, we developed a general butyldithiocarbamic acid-based molecular
14 precursor solution approach for the fabrication of $\text{Cu}(\text{InGa})(\text{S,Se})_2$, $\text{CuIn}(\text{S,Se})_2$, and
15 $\text{Cu}_2\text{ZnSn}(\text{S,Se})_2$ thin film solar cells with a power conversion efficiency of 8.9%,
16 10.1%, and 6.0%, respectively.³⁴⁻³⁶ Thermally degradable butyldithiocarbamic acid
17 can be *in situ* prepared by the reaction of 1-butylamine and carbon disulfide. Many
18 types of metal oxides and hydroxides can be dissolved in butyldithiocarbamic acid,
19 forming a variety of metal precursor solutions. Fig. 1A shows the dissolution
20 mechanism of Cu_2O , ZnO , and $\text{Cd}(\text{OH})_2$ in butyldithiocarbamic acid. As can be seen
21 in Fig. 1B, the Cu, Zn, and Cd precursor solutions are clear and transparent.
22 3-mercaptopropionic acid was used to control the size of quantum dots in the thin

1 films. Cu-doped $Zn_xCd_{1-x}S$ quantum dot thin films were fabricated by spin-coating the
 2 mixed Cu, Zn, and Cd precursor solution, followed by a sintering process. By
 3 changing Zn/Cd ratios, a series of luminescent Cu-doped $Zn_xCd_{1-x}S$ quantum dot thin
 4 films were obtained, which cover the whole visible light region, as shown in Fig. 1C
 5 and Fig. 1D.



12 **Figure 1.** (A) Dissolution mechanism of Cu_2O , ZnO , and $Cd(OH)_2$ in butylamine and
 13 carbon disulfide; (B) a digital photograph of Cu, Zn, and Cd precursor solutions;
 14 digital photographs of luminescent Cu-doped $Zn_xCd_{1-x}S$ thin films with different
 15 Zn/Cd ratios under UV light irradiation (C) and under daylight illumination (D);

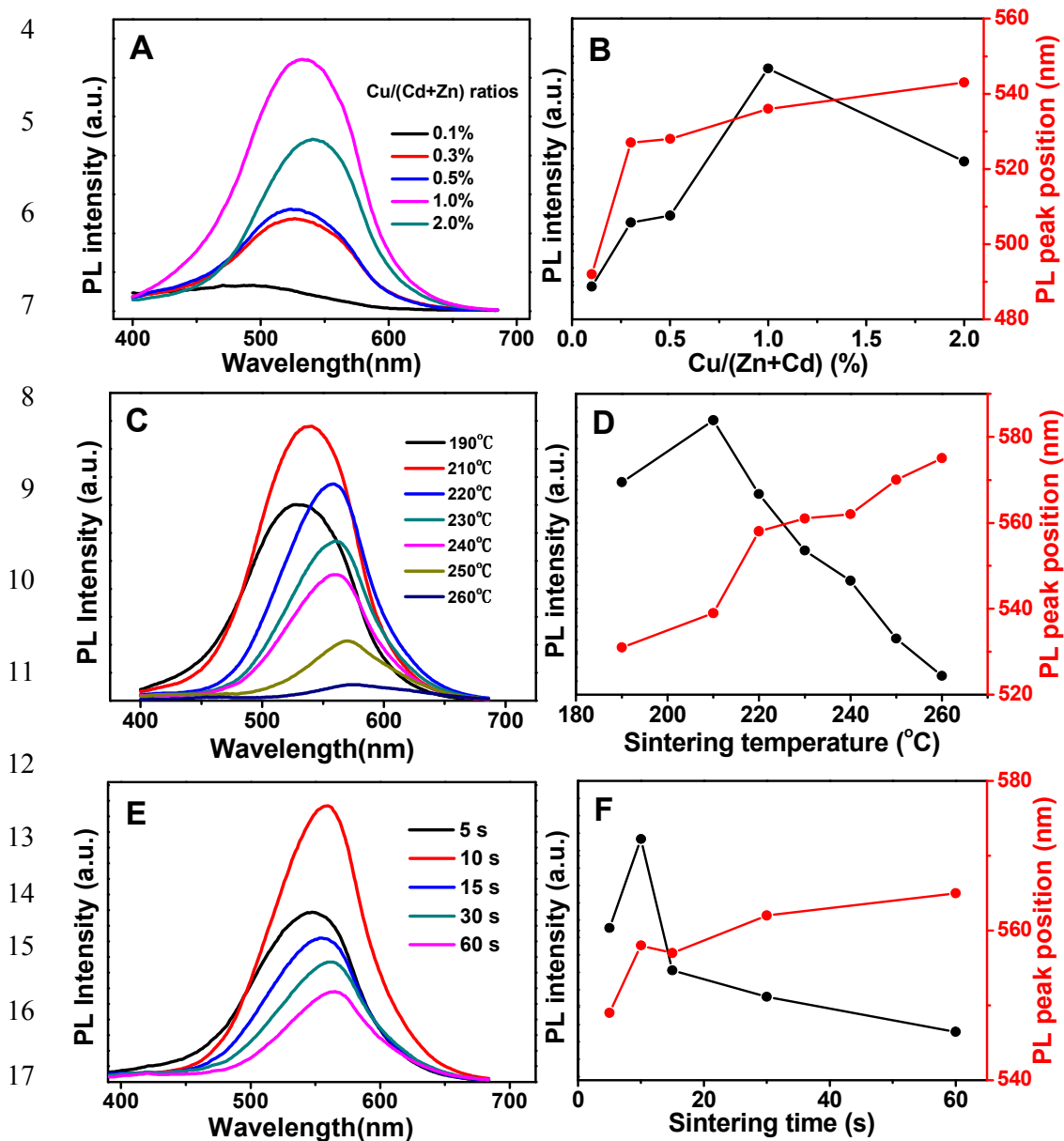
16 It was found that the photoluminescence properties of Cu-doped $Zn_xCd_{1-x}S$
 17 quantum dot thin films are strongly influenced by Cu-doping concentration, sintering
 18 temperature, and sintering time. According to some previous reports, the dopant
 19 concentration strongly influences the PL intensity of quantum dots.³¹⁻³³ Thus, we first
 20 studied on the effect of the doping concentration on the PL intensity. Cu-doped
 21 $Zn_xCd_{1-x}S$ thin films with different Cu doping concentrations were prepared while
 22 keeping all other experimental conditions the same (e.g., molar ratio of Zn/Cd=2:1,

1 and the amount of MPA is 0.15 mL). Figure 2A shows several PL spectra of
2 Cu-doped $Zn_{0.67}Cd_{0.33}S$ thin films with different Cu doping concentrations. PL
3 intensity and peak positions of Cu-doped $Zn_{0.67}Cd_{0.33}S$ thin films show a notable
4 dependence on the Cu doping concentration. As shown in the Fig.2B, the PL peak
5 positions shift from 492 to 543 nm with the increasing Cu content from 0.1% to 2.0%.
6 Interestingly, it was observed that the PL intensity of Cu-doped $Zn_xCd_{1-x}S$ thin films
7 showed a maximum value when the Cu doping concentration is 1.0%, which is
8 consistent with those of previously reported Cu-doped $Zn_xCd_{1-x}S$ QDs.³¹⁻³³

9 In addition, the PL intensity and PL peak positions of luminescent Cu-doped
10 $Zn_xCd_{1-x}S$ thin films are also strongly influenced by the sintering temperature (see Fig.
11 2C). Figure 2D illustrates the effects of the sintering temperature on the PL intensity
12 and PL peak positions of Cu-doped $Zn_xCd_{1-x}S$ thin films. A successive red shift in PL
13 spectra resulting from an increase of particle size was observed with increasing
14 reaction temperature. It is well known that the final size of quantum dots is strongly
15 dependent on the reaction temperature in a traditional wet-chemical synthesis of
16 quantum dots. A higher reaction temperature would hinder the nucleation and result in
17 larger quantum dots. Additionally, the PL quantum yield of quantum dots is strongly
18 dependent on the size of quantum dots. In our system, the optimal sintering
19 temperature was found to be 210°C for the fabrication of luminescent Cu-doped
20 $Zn_xCd_{1-x}S$ thin films.

21 Finally, the effect of the sintering time on the PL intensity and PL peak position
22 was investigated, as shown in Figure 2E and 2F. The optimal sintering time was found

1 to be 10 s for fabricating high performance luminescent Cu-doped $Zn_xCd_{1-x}S$ thin
 2 films. Thereby, in this paper, the optimal Cu doping concentration, the sintering
 3 temperature, and the sintering time was 1.0%, 210°C, and 10 s, respectively.

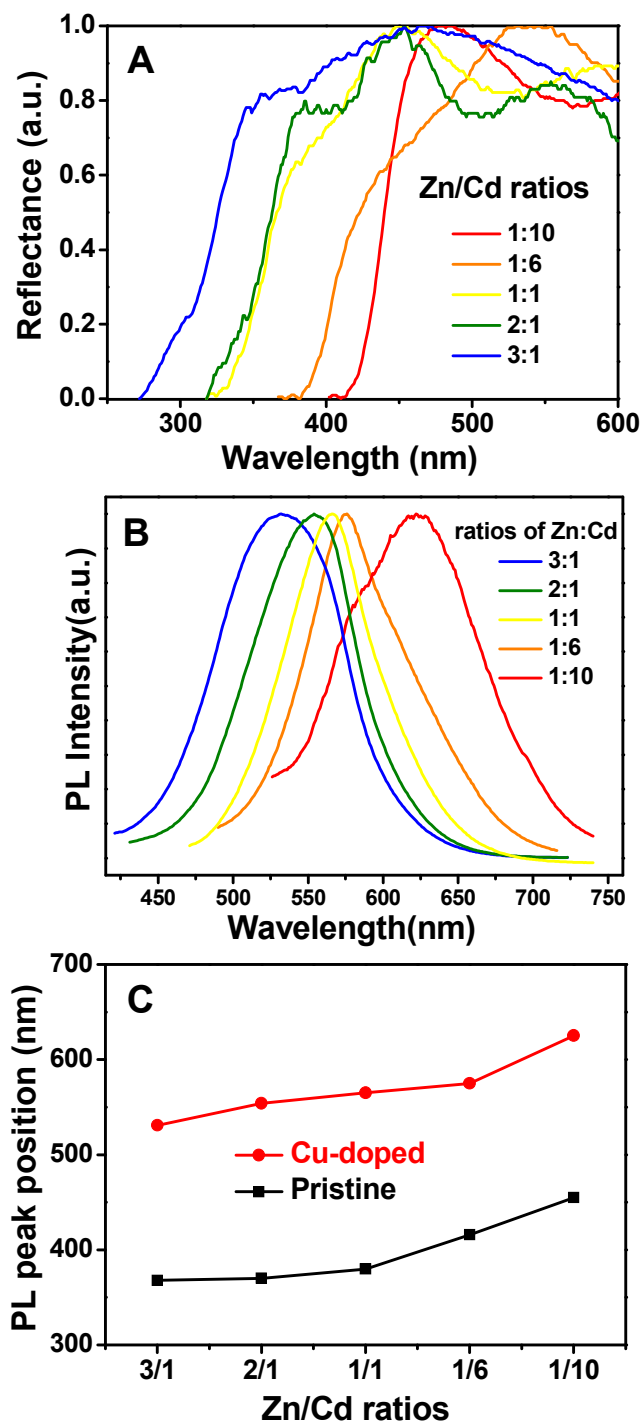


18 **Figure 2.** A series of PL spectra of Cu-doped $Zn_{0.67}Cd_{0.33}S$ thin films with different
 19 Cu-doping concentrations (A), different sintering temperatures (C), and different
 20 sintering times (E); the effects of the Cu doping concentration (B), sintering

1 temperature (D), and sintering time (F) on PL intensity and PL peak positions of
2 Cu-doped $Zn_{0.67}Cd_{0.33}S$ thin films.

3 After the optimization of PL properties for luminescent QD thin films, Cu-doped
4 $Zn_xCd_{1-x}S$ thin films with different Zn/Cd ratios were fabricated. The emission color
5 of Cu-doped $Zn_xCd_{1-x}S$ thin films can be tuned by changing the molar ratio of Zn/Cd.
6 Fig. 3A displays the UV-vis reflectance spectra of Cu-doped $Zn_xCd_{1-x}S$ films with
7 different Zn/Cd ratios. It was reported that the band gap of Cu-doped $Zn_xCd_{1-x}S$
8 quantum dots was strongly related to the Zn/Cd ratio.³¹⁻³³ As expected, a successive
9 red shift in reflectance spectra of Cu-doped $Zn_xCd_{1-x}S$ thin films was observed, as
10 shown in Fig. 3A, confirming that a tunable band gap was obtained by changing the
11 ratio of Zn/Cd. As a well-known phenomenon, the band gap of the alloyed $Zn_xCd_{1-x}S$
12 quantum dots decreases with the increase of content of low band gap CdS.
13 Furthermore, the emission of Cu-doped $Zn_xCd_{1-x}S$ thin films originates from the
14 transition from the conduction band of the host $Zn_xCd_{1-x}S$ to the Cu-d states. Thus, it
15 is expected that alloyed $Zn_xCd_{1-x}S$ thin film could be a kind of host material by
16 varying the composition to achieve a wide tunable dopant emission. With decreasing
17 Zn/Cd molar ratio from 3:1 to 1:10, the PL spectra of Cu-doped $Zn_xCd_{1-x}S$ thin films
18 with a tunable emission peak from 532 to 623 nm were achieved and shown in Fig.
19 3B. The highest absolute quantum yield of Cu-doped $Zn_xCd_{1-x}S$ thin films can reach
20 25.5%. In order to confirm that the emission of QD thin films does come from the
21 transition from the conduction band to the Cu-d states, undoped $Zn_xCd_{1-x}S$ thin films
22 with the same Zn/Cd ratios were also fabricated. Compared with pristine $Zn_xCd_{1-x}S$

1 thin films, the significant red-shifts in PL spectra were observed for Cu-doped
2 $Zn_xCd_{1-x}S$ thin films (See Fig. 3C and Fig. S1), confirming that the emission of
3 Cu-doped $Zn_xCd_{1-x}S$ thin films should be contributed to the Cu dopant state.



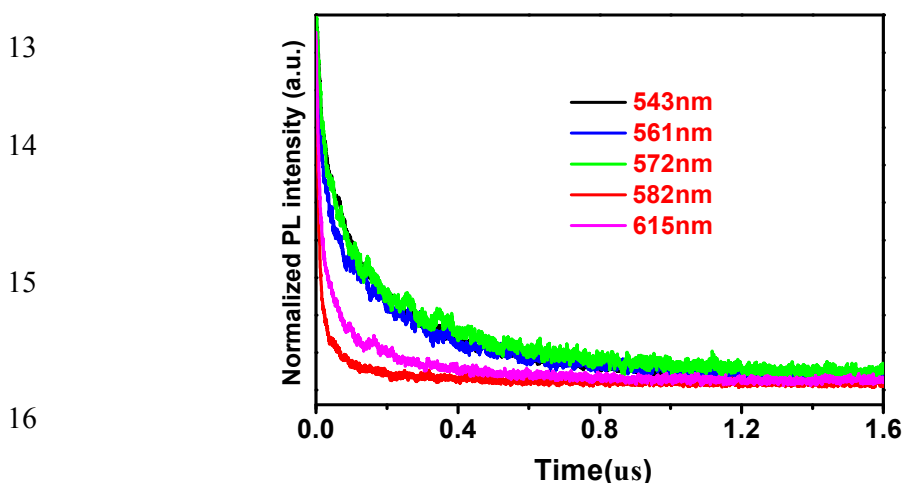
1 **Figure 3.** UV-vis reflectance spectra (A) and PL emission spectra (B) of Cu-doped
 2 $Zn_xCd_{1-x}S$ thin films with different Zn/Cd ratios; a comparison of PL peak positions
 3 for Cu-doped and pristine $Zn_xCd_{1-x}S$ thin films with different Zn/Cd ratios.

4 **Table 1.** Zn/Cd atomic ratios used in the synthesis and the real Zn/Cd atomic ratios in
 5 Cu-doped $Zn_xCd_{1-x}S$ thin films determined by EDS; the PL lifetimes and absolute PL
 6 quantum yields of Cu-doped $Zn_xCd_{1-x}S$ thin films with different Zn/Cd ratios.

7 ^aCalculated from precursor ratios; ^bCalculated from EDS results; ^cFast component;

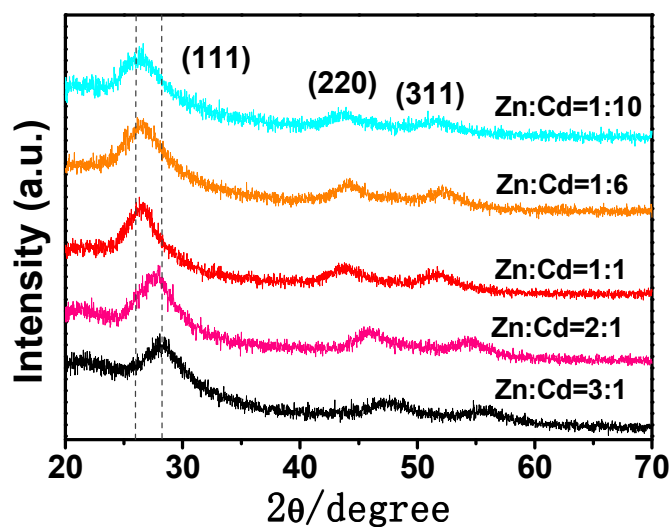
8 ^dSlow component; ^eAverage PL lifetime.

| | | | | | | |
|----|--------------------|--------|--------|--------|--------|--------|
| 9 | Zn/Cd ^a | 3:1 | 2:1 | 1:1 | 1:6 | 1:10 |
| 10 | Zn/Cd ^b | 1:0.34 | 1:0.52 | 1:0.94 | 1:5.88 | 1:9.93 |
| | Peak (nm) | 543 | 561 | 572 | 582 | 615 |
| 11 | τ_1^c (ns) | 34 | 21 | 35 | 10 | 13 |
| 12 | τ_2^d (ns) | 277 | 270 | 327 | 120 | 175 |
| | τ^e (ns) | 251 | 249 | 291 | 97 | 151 |
| | QY (%) | 16.3 | 17.5 | 25.5 | 24.1 | 18.5 |



17 **Figure 4.** PL decay curves of Cu-doped $Zn_xCd_{1-x}S$ thin films with representative
 18 emission wavelengths.

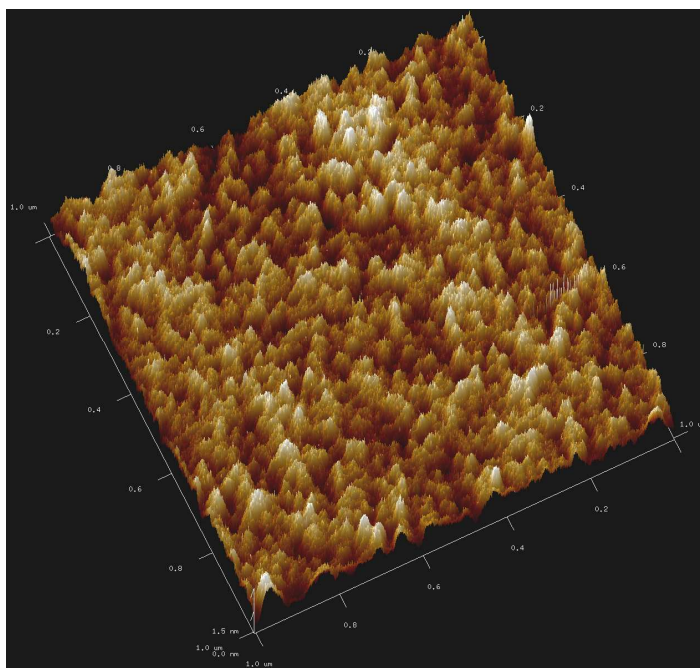
1 The molar ratios of Zn/Cd in Cu-doped $Zn_xCd_{1-x}S$ thin films were determined by
2 energy disperse X-ray spectroscopy (EDS), and the results were provided in Table 1.
3 Compared with the nominal values, it was found that the real Zn/Cd ratios in samples
4 are very close to the starting precursor ratios. In addition, the fluorescence lifetimes
5 were measured. Figure 4 shows a series of PL decay curves of Cu-doped $Zn_xCd_{1-x}S$
6 thin films. The fitted PL decay data for all of the samples were listed in Table 1. All
7 the thin films exhibit bi-exponential decays with an average fluorescence lifetime of
8 hundreds of nanoseconds, which are in good agreement with the previous reports for
9 Cu-doped $Zn_xCd_{1-x}S$ QDs.²¹⁻²⁴ Note that the fluorescence lifetimes of Cu-doped
10 $Zn_xCd_{1-x}S$ thin films are random and independent of PL peak positions and Zn/Cd
11 ratios.



18 **Figure 5.** XRD patterns of Cu-doped $Zn_xCd_{1-x}S$ thin films with different Zn/Cd ratios.

19 The crystal structure of Cu-doped $Zn_xCd_{1-x}S$ thin films was measured by XRD (See
20 Fig. 5). All of thin films exhibit three broad diffraction peaks, indicating that these

1 Cu-doped $Zn_xCd_{1-x}S$ thin films are composed of nanoparticles. The crystal sizes
2 calculated by Scherrer equation are in the range of 2.2-3.6 nm, which are dependent
3 on the Zn/Cd ratios. Detailed analysis of the XRD patterns revealed that Cu-doped
4 $Zn_xCd_{1-x}S$ thin films have the characteristics of zincblende (ZB) structure. It was
5 observed that the diffraction peaks systematically shift toward lower angle with
6 increasing cadmium content in Cu-doped $Zn_xCd_{1-x}S$ thin films, indicating that the
7 Cd^{2+} ions have been incorporated in the lattice of ZnS. It is noteworthy that the lattice
8 mismatch between zincblende CdS and ZnS is only 7%, which enables the formation
9 of homogeneous alloyed $Zn_xCd_{1-x}S$ thin films. Additionally, no diffraction peaks from
10 Cu_2S and CuS impurities were detected in our samples, confirming that the
11 incorporation of Cu ions does not result in a phase separation.



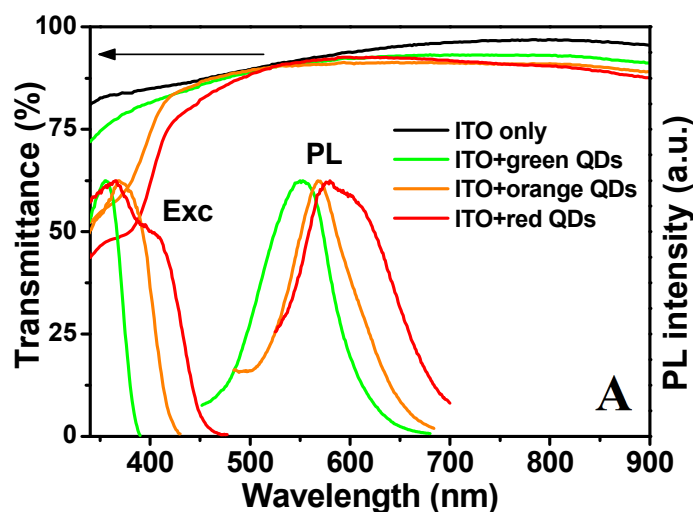
21 **Figure 6.** AFM image of Cu-doped $Zn_{0.5}Cd_{0.5}S$ quantum dot thin film.

1 The surface morphology and surface roughness of Cu-doped $Zn_xCd_{1-x}S$ thin film
2 were measured by AFM. Figure 6 shows a typical AFM image of Cu-doped
3 $Zn_{0.5}Cd_{0.5}S$ thin film. The root mean square (Rms) surface roughness of this film is
4 0.89 nm, which is significantly lower than those of quantum dot thin films *via*
5 layer-by-layer assembly and spin-coating process.^{14, 16} High quality quantum dot thin
6 films are critically important to their device applications.

7 In thin film solar cells, UV light cannot be utilized and it is usually harmful to thin
8 film solar cells, especially to organic solar cells and dye-sensitized solar cells since
9 their organic components can be degraded under long-term UV light illumination.^{37, 38}
10 Thus, filtering of UV light can effectively improve the stability of thin film solar cells.
11 Cu-doped $Zn_xCd_{1-x}S$ quantum dot thin films can absorb and convert harmful UV light
12 to usable visible light, which can extend the photoresponse range of thin film solar
13 cells. On the other hand, a transparent and conductive thin film is usually used as the
14 electrode to collect the hole or electron in thin film solar cells, which impels us to
15 fabricate luminescent, transparent, and conductive multi-functional thin films. The
16 Cu-doped quantum dot thin films with different Zn/Cd ratios were fabricated on the
17 opposite side of ITO layer in order to eliminate the effect of quantum dot thin films on
18 the sheet resistance of ITO.

19 The absorption, excitation, and PL spectra of ITO/glass/Cu-doped $Zn_xCd_{1-x}S$
20 quantum dot bifacial thin films are shown in Figure 7A. It was observed that coating
21 of Cu-doped quantum dot thin films does not significantly decrease the transmittance

1 of ITO layer in the wavelength range of 450 nm to 800 nm. As expected, Cu-doped
 2 $Zn_xCd_{1-x}S$ quantum dot thin films can absorb UV light and convert it to green, yellow,
 3 or red light by changing Zn/Cd ratios, as demonstrated in Figure 7B. These
 4 luminescent, transparent, and conductive multi-functional ITO/quantum dot bifacial
 5 thin films have a very high potential application in thin film solar cells. They can not
 6 only act as the UV light protection layer to improve the stability of thin film solar
 7 cells, but also can serve as the luminescent layer to convert harmful UV light to
 8 utilizable visible light.



21 **Figure 7.** (A) A series of transmittance spectra, excitation spectra, and PL spectra of
 22 bifacial ITO/glass/Cu-doped $Zn_xCd_{1-x}S$ luminescent thin films with different Zn/Cd

1 ratios; digital photographs of bifacial ITO/glass/Cu-doped $Zn_xCd_{1-x}S$ luminescent thin
2 films with different Zn/Cd ratios under UV light irradiation (B) and (C) under
3 daylight illumination. The first one on the left in Fig. 7B and 7C is pure ITO glass and
4 the other three samples are ITO/glass/QDTFs with Zn/Cd ratio of 3:1, 1:1, 1:4,
5 respectively.

6 **Conclusions**

7 In summary, high quality Cu-doped $Zn_xCd_{1-x}S$ quantum dot luminescent thin films
8 have been directly fabricated via a facile solution method using Cu_2O , ZnO , $Cd(OH)_2$,
9 butylamine, and carbon disulfide as the starting materials. All the synthetic procedures
10 were conducted in the open air. As-prepared luminescent thin films exhibit a tunable
11 PL emission covering the entire visible light region by changing the Zn/Cd ratios, and
12 the highest PL QY can reach 25.5%. The Zn/Cd ratios, the doping concentration, the
13 sintering temperature, and the sintering time have a great effect on the PL properties
14 of quantum dot thin films. Our experimental results indicated that luminescent
15 quantum dot thin films have a high potential application in luminescent, transparent,
16 and conductive thin films. More interestingly, these luminescent quantum dots thin
17 films without the involvement of the complex quantum dot synthesis could be directly
18 used as luminescent layer in the quantum dot light emitting diodes. The follow-up
19 studies are underway in our laboratory.

20 **Acknowledgement**

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2 (Grant No.91333108; 51302258; 51172229; 51202241).

3 **Electronic supplementary information**

4 PL spectra and EDS spectra of undoped $Zn_xCd_{1-x}S$ quantum dot thin films.

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