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Cyclodextrin Ion Channels

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Seventeen derivatives of α - and β -cyclodextrins were prepared from the cyclodextrin *per*-6-azide by “click” cyclization with terminal alkynes. Sixteen of these “half-channel” compounds showed significant activity as ion channels in planar bilayer membranes as assessed by the voltage-clamp technique. Activity ranged from persistent square-top openings to highly erratic conductance; mixed behaviours were evident in virtually all data recorded. Some of the erratic behaviours were shown to follow an apparent power-law distribution of open duration times. The activities observed for the suite were summarized using a model-free activity grid method which displays conductance, duration, and opening behaviour. The overall activity shows the clustering of conductance-duration indicating that activity arises from system properties rather than solely as a property of the compound. The activity grids also support an analysis of structure-activity relationships as they apply to the global behaviour of the compounds and reveal the complexity of a single structure change in controlling the distribution of concurrent conductance behaviours. Transient blockage of channel activity by the hydrophobic guest of the cyclodextrin (1-adamantyl carboxylate) is consistent with the formation of an end-to-end dimer channel among several other competing and interconverting structures.

Introduction

It has been over 30 years since Tabushi reported the first synthetic ion channel¹. The design was based on a β -cyclodextrin and was the first attempt of *de novo* design of a structure that could mimic the ion channels of Nature. Since that seminal report the field of synthetic ion channels has burgeoned to include active transporters based on a wide range of supramolecular structural classes - crown ethers, calixarenes, cyclic and helical peptides, foldamers, metal-organic and hydrogen-bonded frameworks²⁻¹⁵. Many of these structures rival the ability of natural ion channels to promote ionic flux through bilayer membranes¹⁶. Control of ionic selectivity and overall channel function remains a continuing challenge, but there are encouraging reports of synthetic channels as the active agents in biological and analytical applications^{13, 17-24}.

Over the past decade we have focused on progressively simpler compounds in an effort to understand the origins of the channel-forming activity of very simple transporters²⁵⁻²⁹, but such systems are plagued by “supramolecular polymorphism”³⁰ resulting in a condition where a range of closely related structures of similar activity becomes inevitable. Better control over the channel requires much better structural control, as has been achieved for example in gramicidin hybrid channels³¹⁻³³, crown ether appended helical peptides^{9, 34-37}, and oligophenyl β -barrels^{18, 38-42}. All these examples involve a design parallel between a tubular channel *structure* and the ion transport *function*. The active structures in all these cases have high molecular weights – substantially less than the massive structures of natural proteins – but nonetheless a significant challenge for a total synthesis.

Our experience with simple compounds revealed the advantages of a modular approach with a limited number of

optimized reactions to produce a suite of closely related compounds in a few steps from starting materials⁴³. We therefore sought a design which was amenable to rapid modular assembly based on a macrocyclic portal element to provide the structural integrity absent in simpler transporters. Although transmembrane spanning structures are appealing, a “half-channel” motif involving end-to-end dimerization of components in the opposing leaflets of a bilayer membrane, as seen in the gramicidin channel⁴⁴⁻⁴⁶, offers a clear on-off mechanism which could be modulated. The “half channel” approach reduces the synthetic task two-fold, and a highly symmetric structure would further streamline the synthesis.

Despite the pioneering Tabushi report¹ there have been relatively few attempts to exploit cyclodextrins as an element in ion channel designs⁴⁷. In the mid-1990's Lehn reported “bouquet” molecules including one based on β -cyclodextrin where the macrocycle was envisaged to lie at the bilayer midplane with oligoethyleneoxy glycolate arms extending from both the primary and secondary faces; the activity of these compounds was very low⁴⁸. Significant transport activity of a cyclodextrin channel was reported by Gin⁴⁹ in which the seven primary sites of β -cyclodextrin were modified with pentabutylene glycols to produce a compound expected to be sufficiently long to span a complete bilayer membrane. This compound supports both cation and anion transport with the balance dependent upon the pH⁵⁰. The channel activity is also light-modulated by an azobenzene appended to one of the secondary sites⁵¹. Channel activity by *per*-2,3-O-trimethylsilyl *per*-6-mercapto β -cyclodextrin has been reported, but the structural origin of the activity is not clear. A recent report of an alamethicin- β -cyclodextrin derivative⁵² completes the remarkably short list of synthetic channels built up from cyclodextrins.

Unmodified β -cyclodextrin lies at the heart of an impressive system based on the α -hemolysin channel⁵³⁻⁵⁵. In this system, the protein is modified to accommodate a β -cyclodextrin “adapter” in the throat of a β -barrel pore⁵⁶. The conductance of the pore is modulated as hydrophobic analytes transiently occupy the cyclodextrin leading to a stochastic sensor capable of both identifying and quantifying the components of a mixture⁵⁷. The cyclodextrin adapter is itself held dynamically in the protein channel, so the analytically useful lifetime depends on the cyclodextrin concentration and kinetics of the cyclodextrin binding to the protein; durations of seconds are possible.

Here we report the synthesis of cyclodextrin half-channels derived by *per*-functionalization of the cyclodextrin primary positions and their activity as channels assessed by the bilayer clamp technique. The initial motivations of this study were threefold: to develop a rapid approach to half channels, to survey the activity of the compounds in bilayer membranes in the expectation that key controlling structural variables could be uncovered, and to discover if transient current blocking events can be detected for the cyclodextrin alone, in the absence of a protein scaffold.

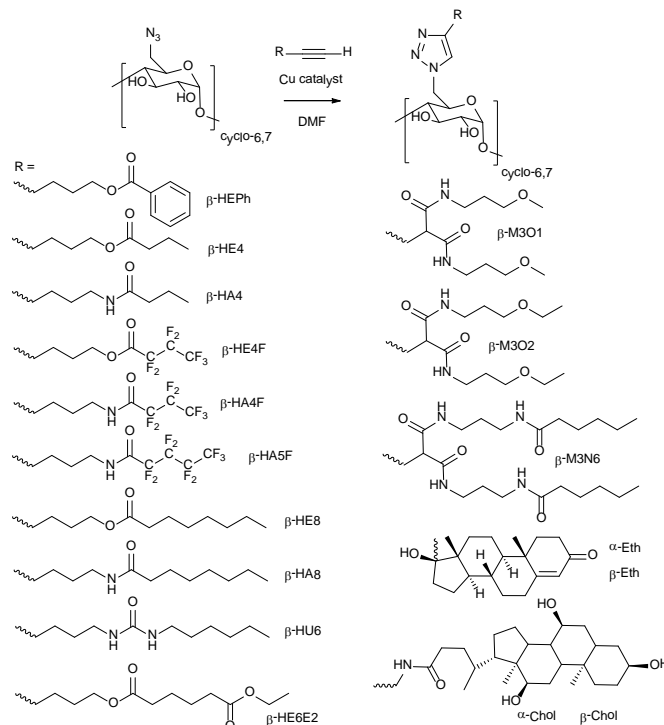
Results and discussion:

Synthesis

The required half channel cyclodextrin derivatives were prepared by Cu(I)-catalysed [3+2] Huisgen cycloaddition (“click” chemistry)⁵⁸⁻⁶⁰ (Scheme 1). The required *per*-6-azidocyclodextrins were prepared following an established procedure^{61, 62}. The required alkynes were either commercially available or readily prepared by standard reactions; full details are given in the Electronic Supplementary Information (ESI)†. A wide range of reported catalysts and conditions were screened in order to find an optimal reactivity which could apply to all required targets. No such magic bullet was uncovered. This is entirely consistent with prior literature on click modifications of mono- and *per*-substituted cyclodextrin, with or without secondary hydroxyl derivatization^{49, 52, 63-68}. Nonetheless, it was possible to find conditions for all alkyne-cyclodextrin combinations in which 100% conversion to the required product was achieved. However, purification losses were very significant in many cases resulting in 20-70% isolated yields.

Previous reports frequently specify near stoichiometric amounts of copper, and then follow with procedures designed to scavenge the catalyst from the product, using EDTA extraction, scavenging resin, and/or chromatography with coordinating solvents on polar supports. The core problem is that cyclodextrin-triazole products coordinate copper thereby reducing catalyst reactivity while avidly binding the excess catalyst during purification. The 2,3-diol fragment of cyclodextrins is known to bind Cu(II) in basic solution to form multiply chelated sandwich structures⁶⁹ which are implicated as the main complication in purification⁷⁰. Triazoles also bind cupric ion⁷¹. In simple cases these complexes are known to accelerate the cycloaddition⁷², but this is clearly ineffective in the case of the oligo-triazoles produced by cyclodextrins.

Scheme 1: Synthesis and structures of cyclodextrin ion channels prepared^a.



a Compound naming lists the components of the structure: [cyclodextrin; α or β] + [alkyne; H 6-hydroxy- or 6-aminohex-1-yne; M 2-propargylmalonamide; Eth ethisterone; Chol N-propargylcholanamide] + [linkage; E ester; A amide; U urea; O ether]+ [total carbon chain length] + [F; *per*-fluoroalkyl]

Although the purification is tedious and wasteful, product purity is readily assessed visually by the absence of a greenish or blue cast, and more reliably by the absence of M+63/M+65 copper adduct ions in the MALDI-MS of products. The six- or seven-fold symmetry of the required products ensures that incomplete conversion is readily detected in ¹H and ¹³C-NMR spectra. Products are therefore identified by sharp, high-symmetry NMR spectra and the expected simple MALDI-MS showing a single molecular ion isotopic cluster.

A few of the compounds (Scheme 1: α , β -Eth; α , β -Chol; β -HA5F) could be prepared using catalytic CuI (5-10 mol%) with the bound copper removed by silica chromatography using ammonia as a component of the eluent. The majority of the remaining compounds of Scheme 1 used the CuSO₄-ascorbate catalyst system (80 mol% Cu) followed by copper scavenging into Chelex-100 resin. These conditions failed with the malonaamides (β -M3O1; β -M3O2; β -M3N6) presumably due to very efficient copper sequestering under the reaction conditions. Following a screening of several heterogeneous copper catalysts^{73, 74}, the Cu/AIO(OH) system⁷⁵ eventually resulted in a procedure (Et₃N, DMF, sealed vial, 100°C, 2 hr.; catalyst removal by centrifugation) from which the three malonamides were isolated.

Overview of activity

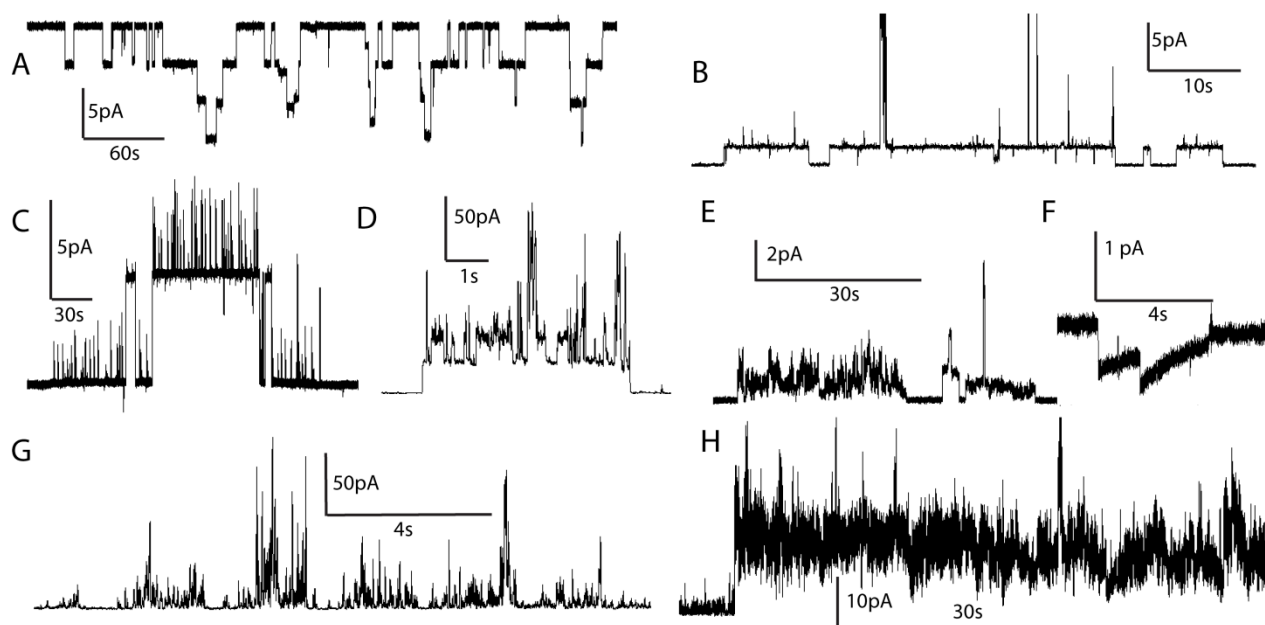
The activity of the cyclodextrin derivatives prepared was assessed using the bilayer clamp technique¹⁶. A planar bilayer membrane composed of diphytanoylphosphatidyl choline (diPhyPC) was formed across an orifice of 250 μm diameter in a Delrin cup interposed between two pools of electrolyte, typically 1M alkali metal chloride. Potential applied between two Ag/AgCl electrodes produced transmembrane currents which were amplified, filtered and digitized. With the exception of β-HEPh (very poor solubility), all compounds of Scheme 1 gave significant conductance activity by a number of methods of compound introduction in different electrolytes. Activity required the compound to be added to both faces of the bilayer, either through initial mixing with lipid, or by addition of solutions to both sides of the bilayer.

Figure 1 shows examples of the types of conductance-time records produced by these compounds. A significant proportion of the activity of some compounds is of the “square-top” on-off variety illustrated in Fig.1:A for β-HE4F; the portion illustrated is approximately 5 minutes of an activity that persisted in this form for more than an hour. Other square-top

behaviours such as those in Fig 1:B (β-HE4) and C (β-HA4) are mixed with other openings of shorter duration superimposed. This type of superposition of multiple openings within a single cluster of activity is well illustrated in Fig 1: D (β-HA4F) which is an archetypal example of the “multi-opening” type previously described¹⁶. More complex conductance events with fewer periods of regularity also occur as in Fig 1:E (β-HE4) and G (β-HE5F). The records also included a few examples of “shark-fin” openings⁷⁶ (Fig 1:F βHA4F); these slowly evolving conductance changes occurred only in the presence of transmembrane electrolyte gradients. Prolonged periods of erratic activity (Fig 1: H β-HA5F) were common for many of the compounds. To summarize qualitatively: this set of compounds exhibits the entire set of conductance behaviours ever observed¹⁶ for synthetic ion channels.

The activity arises by symmetrical addition of compound to the bilayer, and it is therefore not surprising that only Ohmic behaviours were observed. Many of the conducting openings carry significant ionic current but there is little selectivity between cations which follows the usual dehydration Eisenman I sequence⁵ in which more easily dehydrated cations are transported more readily ($\text{Cs}^+ > \text{K}^+ > \text{Na}^+$).

Figure 1: Examples of conductance behaviours of cyclodextrin ion channels^a



^aDiPhyPC, 1M CsCl except as indicated. A: βHE4F, -100 mV; B: βHE4, +150 mV; C: βHA4, +100 mV; D: βHA4F, +80 mV; E: βHE4, +80 mV; F: βHA4F, -120 mV, gradient 1M CsCl-0.2M CsCl; G: βHA5F, +100 mV, 1M NMe₄NO₃; H: βHA5F, +50 mV.

Some of the erratic conductance behaviour (Fig. 1: H) appears to possess an underlying structure. This is illustrated qualitatively in Figure 2A (β-Eth; Table 1 entry 5) in which data are plotted for different time spans of a single file filtered to ensure the same density of points across the panel; the visual “self-similarity” of the three traces over several time decades suggests the data have an underlying fractal structure. We previously reported that the duration of excursions across a threshold (“open duration”) could be fitted to a power-law relationship for two of the compounds in this report⁷⁷. The analysis selects a threshold current and counts an “event” as the

duration that the current exceeds the threshold. The list of open-durations is then fit to a power-law function of the form:

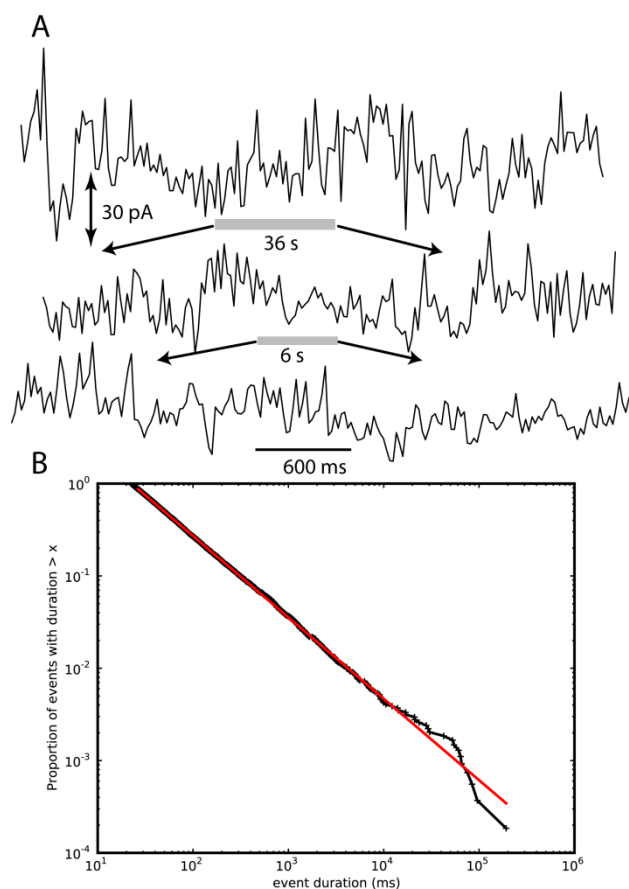
$$(1) p(x) = Cx^{-\alpha}$$

$p(x)$ is some property of the system, e.g. the “open duration” times, α is the exponent of the power-law, and C is a scaling constant related to the number of observations. A statistically robust approach to fitting experimental data has been reported⁷⁸ and implemented⁷⁹ to find the value of α and the minimum value of x (x_{min}) above which the power-law applies. Fitting results are displayed on a log-log cumulative distribution plot.

An example of this type of data and its analysis is given in Figure 2B. The implementation also provides a statistical test of the power-law hypothesis as a p-value with a cutoff of $p > 0.1$ indicating greater than 90% probability that the power-law hypothesis is supported. Confidence in the power-law hypothesis increases as p tends to one.

Four of the compounds show this type of behaviour sustained for long enough in the absence of other types of behaviour to produce data suited to the analysis. Results are given in Table 1. Not all “erratic” data acquired support the power-law hypothesis as strongly as the set of observations in Table 1 but this is principally due to limitations on the periods where the erratic behaviour is dominant. Several hundred events are required and the analysis relies heavily on long-duration events. The values of α range from 1.86 to 2.72; they are a system property, as opposed to an inherent property of a compound.

Figure 2 Erratic conductance of β -Eth.



A: current-time record (diPhyPC; 1M CsCl; -100 mV) over three time spans of the same section processed to show 1000 data points in the width displayed. B: cumulative distribution function of “open durations” above a threshold of -60 mV for the same data.

What is the physical origin of this underlying structure in these data? Newman⁷⁸ discusses several possibilities which have been proposed in other contexts. Of these - a “random walker” mechanism - offers a plausible scenario. In this mechanism the “walker” would be a minimal conducting state formed from

Table 1: Power-law fitting of open durations.

| Comp. | Duration (sec) | Pot. (mV) | Threshold (pA) | x_{min} (msec) | $n > x_{min}$ | α | p-value |
|-------------------------------|----------------|-----------|----------------|------------------|---------------|----------|---------|
| 1 β -Eth ^b | 600 | 125 | 5 | 31 | 511 | 2.10 | 0.35 |
| 2 β -Eth ^b | 1800 | 125 | 5 | 153 | 571 | 2.35 | 0.10 |
| 3 β -Eth ^b | 250 | -100 | -60 | 55 | 161 | 1.84 | 0.53 |
| 4 β -Eth ^c | 2100 | -25 | -35 | 319 | 485 | 2.51 | 0.75 |
| 5 β -Eth ^d | 3600 | 50 | 30 | 26 | 4725 | 1.88 | 0.84 |
| 6 β -Eth ^d | 300 | 100 | 30 | 23 | 538 | 2.06 | 0.37 |
| 7 β -Eth ^d | 600 | -50 | -75 | 72 | 568 | 1.93 | 0.43 |
| 8 β -Eth ^d | 600 | -50 | -60 | 200 | 295 | 2.10 | 0.64 |
| 9 β -HE4 ^b | 300 | 50 | 75 | 164 | 282 | 2.34 | 0.15 |
| 10 β -M3O2 ^b | 900 | 50 | 25 | 35 | 1752 | 2.10 | 0.45 |
| 11 β -M3O2 ^b | 120 | 100 | 25 | 40 | 111 | 2.45 | 0.89 |
| 12 β -M3O2 ^b | 120 | 125 | 60 | 64 | 180 | 2.16 | 0.36 |
| 13 β -HA5F ^b | 530 | 50 | 50 | 89 | 186 | 2.72 | 0.42 |

^a A file of the duration indicated was processed for events exceeding the threshold current and longer than 20 msec to give n events with associated “open duration” that were fit to a power-law to return the value of x_{min} , the number of events that exceed this value, the value of α and the p-value.

^b 1M CsCl; ^c 1M Et₄NCl; ^d 1M Me₄NCl.

some combination of a few of the appended groups on the cyclodextrin. Thermally driven random alignments and disturbances of the appended groups would alter the conductance and the state would exist only so long as there was enough structure to support ionic conduction. A “combination of exponentials” mechanism also produces power-law behaviour⁷⁸. We do not know how many concurrent active states we observe, but it would not require many transmembrane pairs of seven-armed units to produce a complex conductance profile. The power-law fits we report might simply reflect the inherent complexity of a few embedded cyclodextrins capable of producing closely related conductance states via thermal motions which would be individually decaying exponentially.

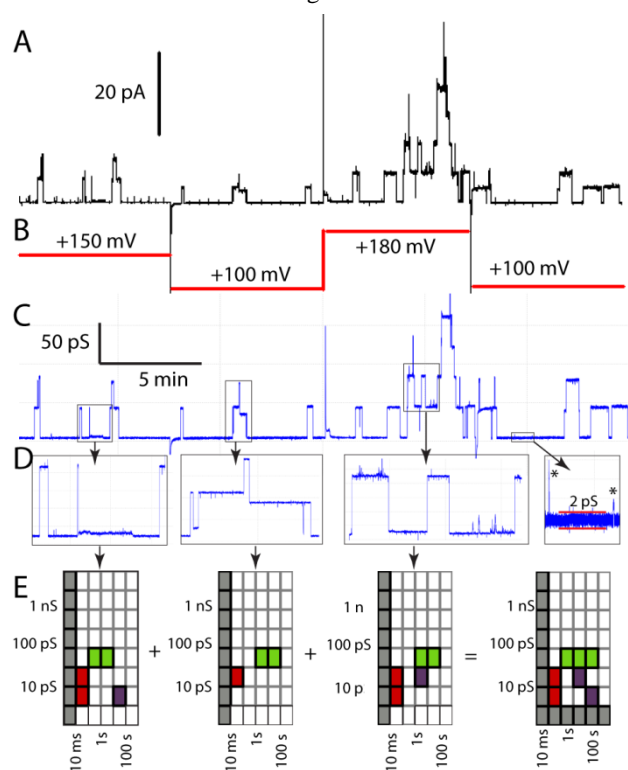
These conductance records appear erratic and challenging, but the underlying structures carry ion currents that are vastly larger than those carried by conventional square-top channels, even very active ones such as those produced by β HE4F.

Activity grids

Whatever the physical origin of the erratic events, it is clear that they are an inherent part of the behaviour of this class of compounds, as are the other behaviours illustrated in Figure 1. Although we could focus on the subset of behaviours amenable to analysis of specific conductance and event lifetime, the raw data appear much richer. The uncovering of the structure in erratic events therefore prompted us to look for a means to summarize individual experiments in a way that would permit us to compare a range of experiments on a single compound and to survey all compounds in a model-free context. These considerations prompted our development of activity grids and their exploration with literature data¹⁶ and a limited set of experimental data⁴³.

Activity grids summarize experimental observations by the type, the duration, and the conductance of events observed on a coarse-grained scale (Figure 3). The type of event observed is encoded using a colour: green for the “square top” events such as Fig 1A, yellow for flickering between two open states (Fig. 1C), blue for multi-level openings (Fig 1D), red for spikes and

Figure 3: Processing of experimental data to produce an activity grid.

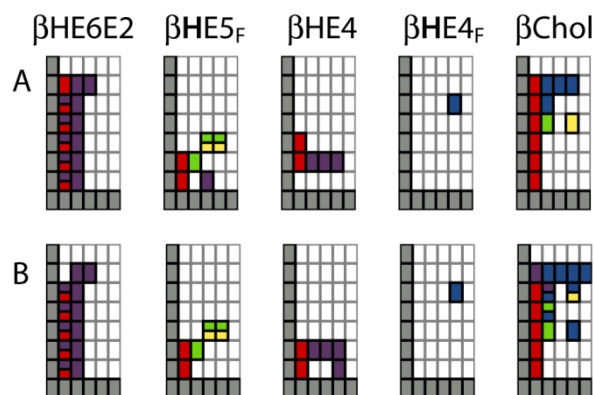


A: Experimental current-time record (β -HE4F, $3.3 \mu\text{M}$ in 1M CsCl, diPhyPC); B: applied potential; C: computed conductance-time record; D: expanded sections showing additional conductance features; E: activity grids derived from the expanded sections and their summation to produce the overall grid for this experimental record.

“shark fins” (Fig 1F), and purple for erratic events, including both those that can be fitted to a power-law and those which simply appear to be similarly erratic. Experimental variables (filtering, digitizer resolution, bilayer quality) may make some coordinates of the grids unobservable; these are indicated in grey. An experimental file (current-time) may contain data at a number of applied potentials which makes it challenging to relate different portions of the record directly. The initial processing converts current-time to conductance-time using the known applied potentials (Fig 3C from 3A and 3B). A single experimental file may contain several types of events (colours) over several orders of magnitude in duration and conductance. The experimental record is therefore inspected at various expansions (Fig 3D) to produce a series of grids that are summed to give the overall record (Fig 3E). Finally, the range of observables is established. The baseline in Fig. 3D gives the noise at approximately 2pS , thus the $1\text{-}3\text{pS}$ row is indicated in grey as unobservable. The filtering of the signal similarly made events shorter than 10ms unobservable in this experiment.

How reliable is the activity grid methodology? The grids are generated by human observation and require the observer to rescale in both dimensions of the conductance-time curve. Replicates by independent observers shown in Figure 4 A and

Figure 4: Activity grid methodology.

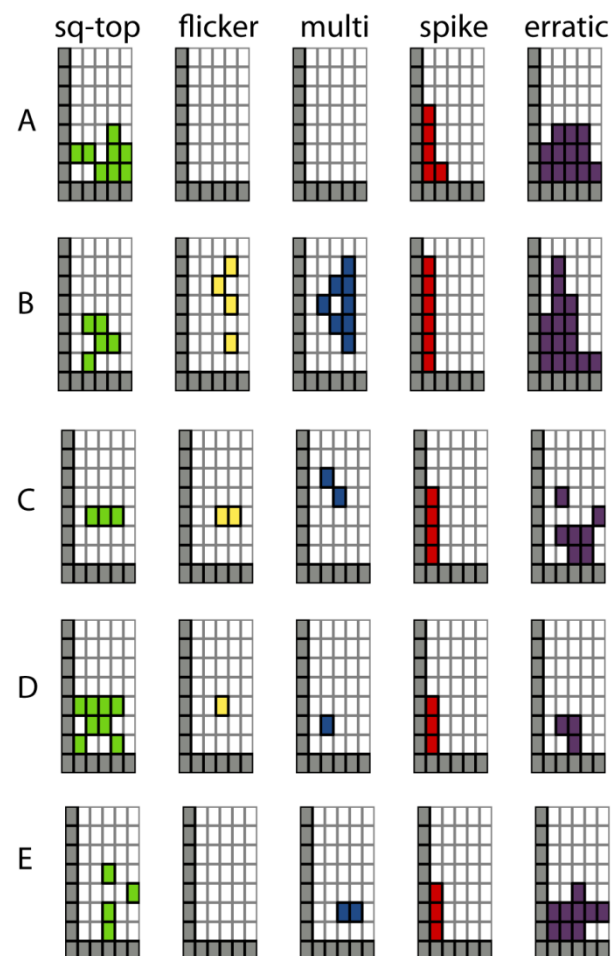


A: initial coding of five experimental files; B: independent re-coding of the same files. (conditions: diPhyPC, 1M CsCl).

B show overall good agreement but do highlight a couple of areas where disagreements occur. From a larger set of such replicates, three areas of confusion are evident. One relates to the determination of the duration of an erratic “event” as it is difficult to tell if the event returns to a quiescent baseline. This is an inherent property of such signals. A second area concerns short duration spikes, some of which are associated with capacitive discharging of the bilayer as the potential changes are applied. The third area is the detection of low conductance events, particularly those of long duration. These frequently are missed by one observer or another. The grids generated by a single trained observer are highly repeatable and the majority of the comparisons discussed below are of this type.

If the activity grid methodology accurately summarizes an experimental record it can be used to probe one of the fundamental questions of the bilayer-clamp technique – how reproducible is the experiment? Figure 5 gives activity grids separated by the type of activity observed for five independent experiments with the same compound (β -HE4F), membrane lipid (diPhyPC) and electrolyte (1M CsCl). The grids combine 3-4 separate data files comprising a total of 2-3 hours of observation for each experiment. This compound is highly active with a dominant square-top opening behaviour (Fig. 1A), and gives similar levels of activity via a number of different methods of compound introduction: mixed in lipid prior to bilayer formation (Fig. 5A), from electrolyte solution ($25 \mu\text{M}$; Fig. 5B), by “contact injection” in which a stock solution in MeOH is injected in close proximity to the bilayer (Fig 5, C,D), or by physical transfer to the membrane by brushing (Fig 5. E). Contact injection is the usual method employed in our prior work and generally in the literature as it is simple to do and usually produces activity fairly quickly. These individual experiments are clearly related to one another but they are not identical in the view they give of the distribution of the different types of activity, and the conductance and duration of each type of activity under a given set of conditions. Clearly the reproducibility of the experiment is moderate at best. The generation of activity grids requires a significant duration of experimental observation, but it is also clear that several observations of the same system in independent experiments is also required.

Figure 5: Activity grids for different methods of introduction of β -HE4F (diPhyPC, 1M CsCl)

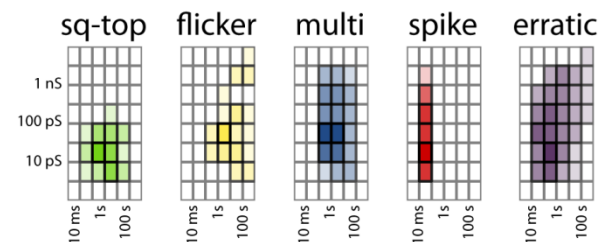


A: compound mixed in lipid prior to bilayer formation; B: compound dissolved in the contacting electrolyte; C, D: compound introduced by “contact injection” injection of a MeOH solution in close proximity to the bilayer; E: compound physically transferred via brushing the bilayer.

We note as well that the activity grids as now employed do not embody the clear impression of human observers that the square-top activity is dominant in the experiments of Figure 5. A single observation of a particular activity-conductance-duration is sufficient to colour a grid coordinate; subsequent observations of the same type are not counted. Thus the weight of repeated observations of a particular type is not represented. We chose this approach for practical reasons at the outset when it was not clear if there was useful information to be encoded in the metadata. That early decision effectively reduces the information content of the grids generated in this study. A more nuanced approach to include frequency of observation would emphasize greater similarities in the different experiments given in Figure 5.

There is statistical power in the dataset as a whole. A total of about 100 hours of observations of the 16 active compounds was analysed by the activity grid method. As previously demonstrated for literature data¹⁶, the grid coordinates of each

Figure 6: Summary of activity for the entire dataset.



type can be summed and the proportion of observations of a particular type and grid coordinate can be represented as a colour density scale as shown in Figure 6. Nearly half the recorded metadata are of the erratic type (46%). Multi-level (20%), spike (16%), and square-top (14%) activities are similarly prevalent. The flicker activity is rare (4%) in the dataset.

The notable feature of Figure 6 is the clustering around specific conductance-duration coordinates. With the exception of short-duration spikes, all other types of activity cluster in the region of 10-100pS conductance and 0.1-10 second duration events. The spikes are shorter duration but are predominantly of 10-100 pS conductance. A very similar range of clustering values was evident in the survey of literature data¹⁶, and we previously argued that clustering is the signature of the energetic consequences of the insertion of a small-molecule additive into a bilayer. The similarity between Figure 6 and the literature dataset strongly supports this view. The proportions of the various types of activities differ between this dataset and the dataset derived from published data, but their conductance-duration characteristics do not.

Clustering of activities on a global scale also allows criteria to be established to identify those observations in the dataset that relate to the structures of the compounds. If a particular type of event, well removed from the cluster, is observed for a particular compound, then the likelihood that the structure is related to the activity is greatly enhanced. This places special value on events of long duration and high conductance, and on events that occur relatively rarely, such as flickering. The duration is related to the stability of the conducting species; a longer duration opening implies a more stable structure. The conductance is related to the pore size of the conducting species, typically via an approximate equation derived by Hille⁸⁰:

$$(2) \quad 1/g = (l + \pi r/2) (\rho/\pi r^2)$$

g is the conductance of the channel of length l and radius r . The equation is derived from the conductance of an equivalent volume and dimension of the electrolyte solution of specific macroscopic conductance (ρ). The Hille equation is known to give physically unrealistic dimensions for low conductance channels¹⁶, and has systematic bias evident from experiments on protein channels of known dimensions, for which more sophisticated analyses are available⁸¹. Two uses are common for synthetic ion channels: the derivation of r from an experimental g which requires an assumption of l , or the prediction of an expected value of g from dimensions of a presumed structure. The systematic bias of equation (1) can be

assessed using known protein channel dimensions and experimental conductance data⁸¹. Bilayer “thickness” is not a static parameter, but if we assume a channel length of 3.5 nm³⁰, r is underestimated by Eqn. (2) by about 33% for a known g ; conversely g is proportionally overestimated starting with a known r . These correction factors apply well to the experimental conductance of an unmodified α -haemolysin pore; a length of 5 nm and a radius of 0.45 nm gives a calculated conductance of 720 pS in good agreement with 750 pS from experiment (1M NaCl)⁵⁷. Insertion of β -cyclodextrin into the α -haemolysin channel reduces the conductance to 280 pS, consistent with a restriction to a pore of $\sim 3\text{\AA}$ radius in the middle of the channel. If the channels of this report involve passage over a 3.5 nm thickness via two cyclodextrins as portals, a conductance of 600 pS is expected (1M CsCl). It is evident from Figure 6 that some observations fall into this range, but the clustering in the data occurs at lower conductance. The specific characteristics of the expected dimer of half-channels will be superimposed upon the clustering of the system background.

Structure-Activity Relationships

A goal of this study is to explore the relationship between half-channel structure and transport activity. The complexity of the data does not preclude that analysis, but it does alter how this type of question is approached. The set of compounds prepared (Scheme 1), was created with implicit structural variations. One main variable is the extended length of the appended groups, which we naively viewed as a way to probe the location of the cyclodextrin. If the cyclodextrin is relatively deep into the bilayer with the secondary face at the polar head group region, then shorter derivatives (such as β -HE4; fully extended length from the 6'O of the cyclodextrin $\sim 1.4\text{nm}$; overall length including the cyclodextrin $\sim 1.9\text{ nm}$) would more readily form channels than longer derivatives (e.g. β -HE8; chain length $\sim 1.9\text{nm}$; overall length $\sim 2.4\text{nm}$). Conversely, if the triazole polarity required the cyclodextrin to sit relatively high in the polar and mid-polar region, then the converse would be true. Such an analysis incorrectly assumes a static bilayer thickness⁸², but whatever our naïve expectation, the activity of the series shows that length alone does not control activity.

A second factor relates to the commonly asserted requirement for overall columnar shape of the channel-forming compound in order to be compatible with lamellar phase lipids⁵. The cyclodextrin represents a very large head group with a large area in projection at the bilayer head group plane. The tail cross section area of six or seven polymethylene chains, or even triazoles, is substantially less in cross sectional area. The malonate series of compounds (such as β -M3O2), and the steroidal derivatives were intended to more effectively fill the volume behind the cyclodextrin to impart a more columnar shape to the half-channel. The appendages of the esters lack specific sites for shape-controlling secondary interactions, whereas the amide and urea derivatives were expected to provide sites of intra- and inter- molecular hydrogen bonding. The per-fluoroalkyl derivatives (such as β HE4F) were expected to act similarly, based on a tendency for fluorine phases to separate from hydrocarbon phases. In all cases the expectation was that channel-forming activity would correlate with structural factors that would stabilize columnar shapes.

The expectation that some specific structural variable would turn on channel activity and that compounds lacking this

element would be inactive is not supported by the data. All the compounds of Scheme 1, except β EPh, show some type of activity. This is not to say that structure plays no role in controlling activity, only that the question needs to be reframed.

Figure 7 shows an approach to exploring this question, in which the activity grids for the single-chained compounds are displayed with the incremental structural changes, relating pairs of compounds, indicated with arrows. In general the chain length increases down the Figure and functional group changes occur left to right. The question is whether any of the obvious differences are significant in terms of the structural changes. We reiterate that “significance” in the statistical sense is low due to the very limited number of observations underpinning some elements of the metadata. In addition, grid coordinates where the clustering noted above occurs must have low significance as well. The clustering means that many compounds produce openings of a particular type, duration, and conductance, so these are of regions high similarity and cannot address differences between compounds, whether structurally constrained or not. As a guide to the eye, these areas have been partially screened to reduce their visual impact. If there are differences between compounds, they will most readily be recognized if they lie outside these screened areas.

An example of the type of difference that may be structurally controlled is the comparison of β HE4 and β HE4F. These two compounds are similar with the most significant difference of the reduction of the range of erratic events for the fluorinated derivative. (There are differences in multi-level and flickering events as well, but the underlying data for these relate to experiments with additives discussed below with respect to Figure 8.) A similar set of changes is seen in the shift of ester to amide in β HE4 to β HA4; the latter has a diminished range of multi-opening events as well. These are the expected changes in which more structured columnar channels are formed with intramolecular hydrogen bonding or fluorine association. However, this is conclusion is not general as the next higher homolog β HA5F shows substantial erratic behaviour over a range of conductance-duration coordinates and this is one of the compounds that has been shown to fit the power-law behaviour discussed above.

The length of the appended group plays some role as the longer derivatives are notably less prone to exhibit square-top and multi-level events compared with a similar distribution of conductance-duration for erratic events (Compare β HE4/ β HE8 and β HA4/ β HA8). In the longer derivatives, the potential for hydrogen-bonding plays very little role as the overall behaviour is essentially unaltered in the ester-amide-urea sequence (compare β HE8, β HA8, and β HU6). The role of “length” as a structural variable therefore appears to influence the distribution of conducting behaviours occurring in parallel, rather than suppressing or enhancing activity. There is no “optimum length” signature in the data.

Structure does influence activity, but the observed activities do not operate exclusively under the control of the structure of the cyclodextrin derivative in the system. This is another manifestation of the clustering in the overall dataset. If some proportion of the activity relates to the general properties of any compound in the bilayer environment, then that subset of activities is effectively independent of structural changes in a pairwise comparison.

Figure 7: Activity grids of single-chain derivatives related by incremental structural changes.

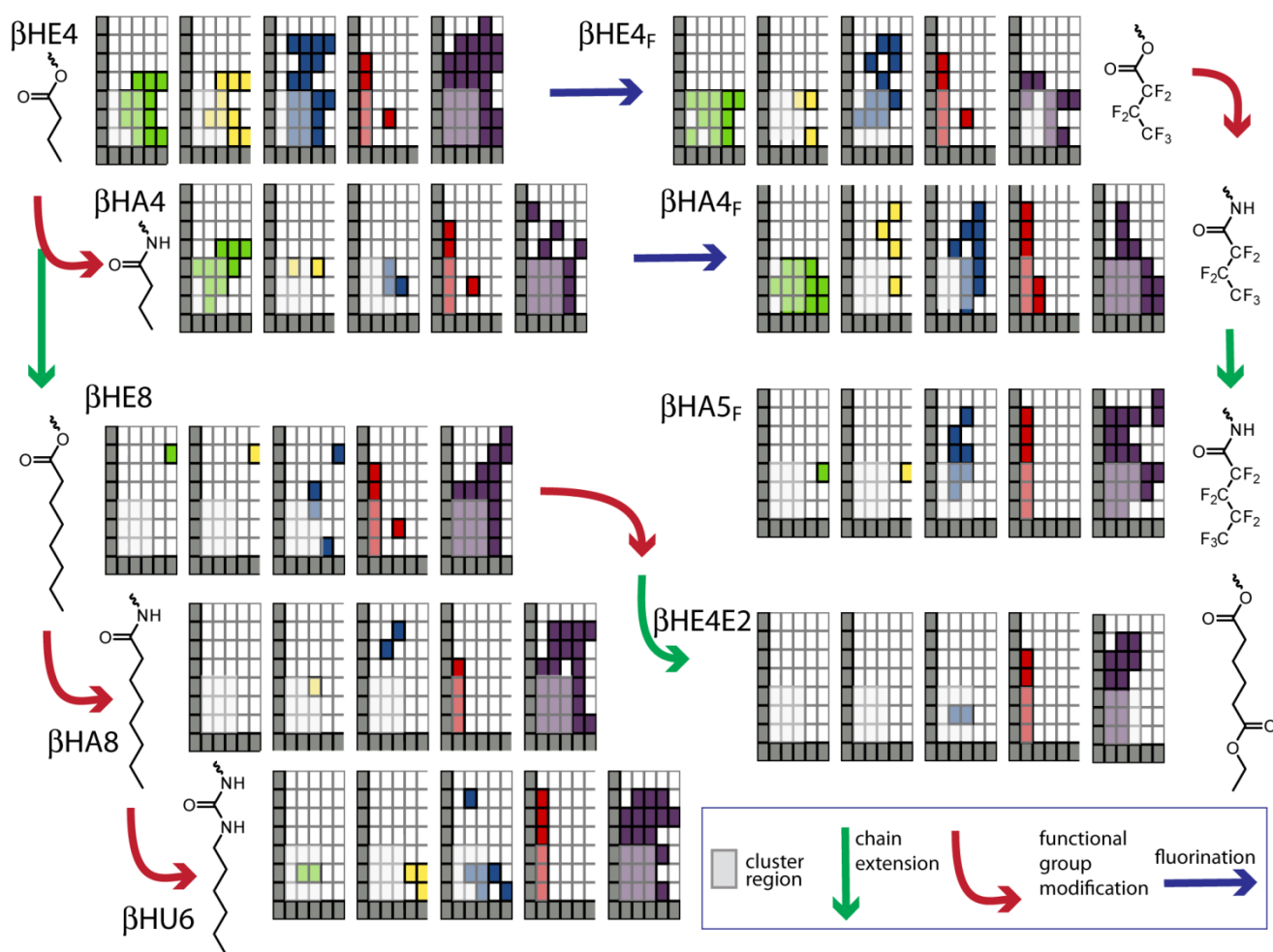


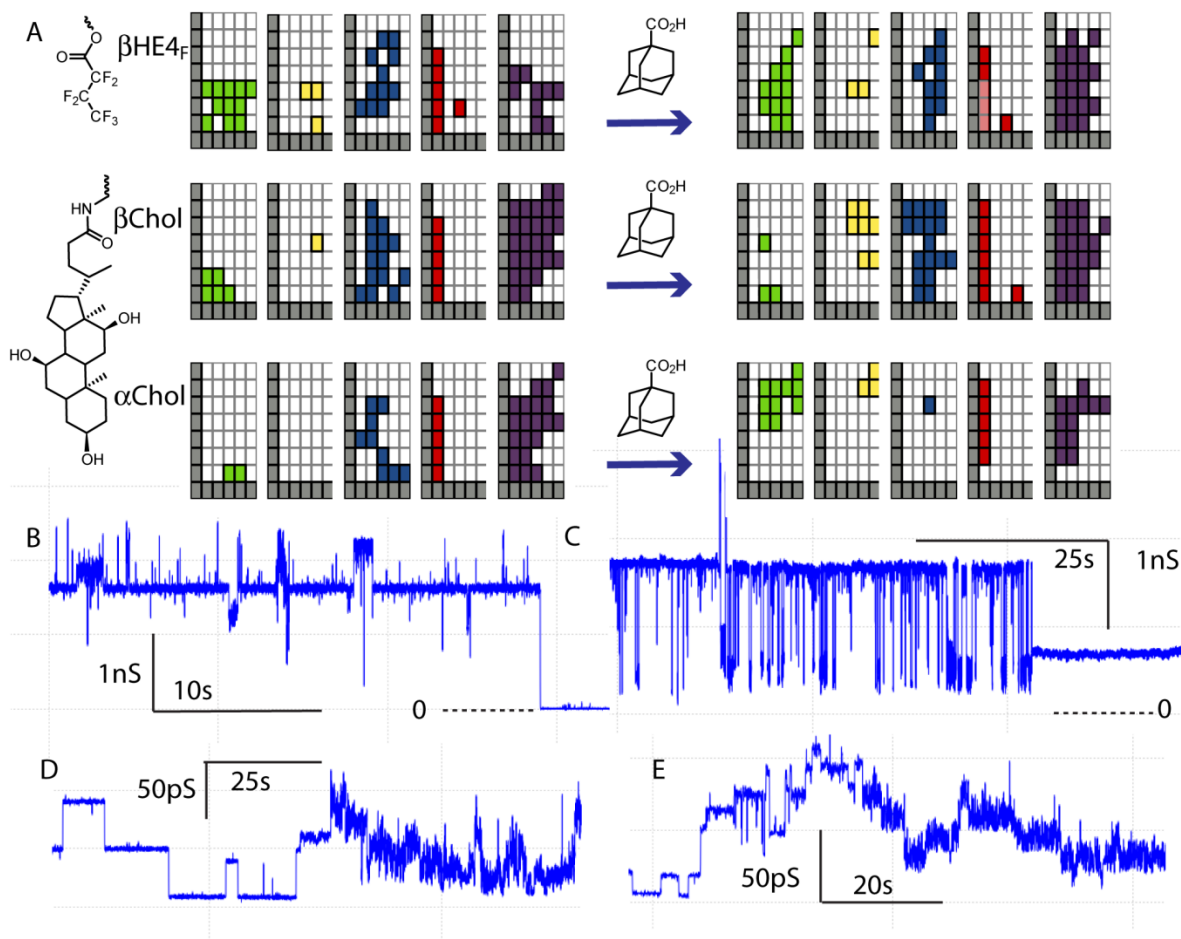
Figure 7 does highlight the existence of some anomalous behaviours. The long-duration/high conductance flicker event observations in β HA4F appear to be substantially different than in the related β HE4F. Similarly, the long duration/high conductance square-top and flicker events in β HE8 appear almost in isolation and therefore take some significance by their rarity. This latter example was observed in an experiment in the presence of adamantane carboxylic acid. Additional examples of this type of experiment are given in Figure 8.

The activity grids of the three compounds given in Figure 8A show that the addition of a potential guest for the cyclodextrin significantly alters the collection of observed behaviours. There is a general increase in the conductance and duration of square-top and flicker events relative to smaller changes in the other types of events. Examples of the high-conductance events observed are given in Fig. 8B and C; both show a dominant long-lived conductance state (1.7-1.8 nS) with both positive and negative excursions in conductance. This state closes to the baseline in Fig. 8B or to a long-lived state of lower but still significant conductance (750 pS) in Fig. 8C. The flickering of

Fig. 8C is reminiscent of the flickering observed with β -cyclodextrin in α -haemolysin^{54, 56}. Indeed the lifetime of the lower conductance state is 11 ± 3 ms in fortuitous agreement with a 14 ms lifetime reported for adamantane carboxylic acid blockage of the β -cyclodextrin/ α -haemolysin hybrid^{54, 56}.

It is very unlikely that the flickering in Fig. 8C is the transient blockage of a cyclodextrin portal in a dimeric channel of the type envisaged in the design as the conductance is simply too large. A Hille analysis of the 1.7 nS upper state gives an apparent radius of 6Å, a factor of two larger than expected for a β -cyclodextrin. The long-lived stable state is potentially a candidate for a dimeric cyclodextrin channel but this shows no “blockage” behaviour. Note as well that these high conductance states show even larger conductance openings on top of them. This suggests conductance via an aggregate that adds an additional component. The structure of that aggregate might well depend on a hydrophobic guest, but is not what the design implied.

Figure 8: Additives alter the activity of cyclodextrin ion channels.



A: activity grids of membrane conductance before and after addition of adamantane carboxylic acid (grids sum several experiments with various concentrations and electrolytes); B: Example of high-conductance openings by β -Chol (2 mol%) with added adamantane carboxylic acid (2 mol % in diPhyPc; 1M CsCl); C: Example of high-conductance flicker openings by β -HE4F (3.3 μ M in electrolyte) with added adamantane carboxylic acid (0.28 mM) and β -cyclodextrin (7 mM; 1M CsCl; diPhyPc); D: Example of low-conductance events by β -HE4F (3.3 μ M in electrolyte) with added adamantane carboxylic acid (0.28 mM; 1M CsCl; diPhyPc); E: Example of low-conductance events by β -HE4F (12 μ M in electrolyte) with added adamantane carboxylic acid (0.33 mM; 1M NaCl; diPhyPc).

Better candidates for partial blockage events are found among lower conductance flicker events, such as those given in Fig. 8 D and E. These examples show a high proportion of square-top openings that appear to underlie more rapid flickering. In both cases the flickering dies off to leave the square-top behaviour (see Electronic Supporting Information†). The lifetime of these events is approximately at the filtering frequency (5 kHz; 200 μ s); flickering is detected but the lifetime cannot be quantified. This type of flickering superimposed on regular square-top events has only been observed in the presence of adamantane carboxylic acid.

Mechanistic implications

This study was based on a premise that half-channels with cyclodextrin portals would provide a channel-like structure and thereby limit the diversity of channel activities found for simple acyclic oligoester channel-forming compounds. Although good activity was found with essentially all compounds prepared,

there was no corresponding decrease in the complexity of the conductance behaviours observed. Indeed, the observation of apparent power-law behaviour suggests increased complexity rather than simplification. However, it is clear that even the erratic conductance reflects a specific group of structures. Using activity grids as a means to abstract the activity type-conductance-duration information revealed a clustering that is very similar to that derived from literature data¹⁶. The clustering observed via the activity grids implies structures of similar properties can be formed by different compounds. The activity grids also allowed an overall structure-activity analysis which showed that the composition of the channel-forming compound did directly control the activity.

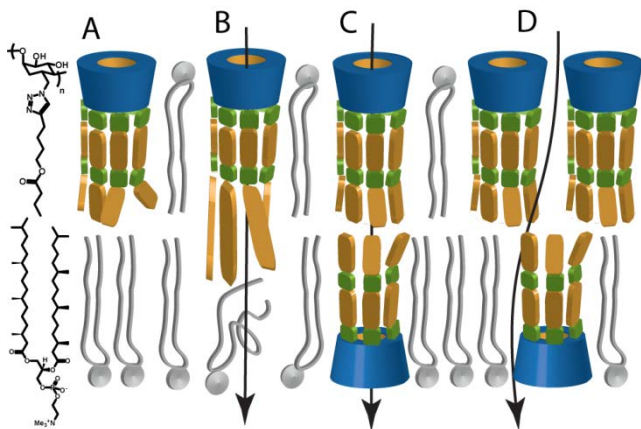
The principal mechanistic implication in the face of this contradictory set of observations is that there can be no single mechanism. Active structures of a variety of types must co-exist and interconvert leading to the complex behavioural patterns observed. Even though the covalent architecture tethers

many components together around a portal, the potential for supramolecular polymorphism persists in this design.

One potential exception to this general conclusion is found in the very abundant square-top behaviour of β HE4F. This compound also shows flickering consistent with transient blockage by a hydrophobic guest, presumably of a channel involving an ion trajectory through a cyclodextrin (Fig. 8 D and E). The implication is that the unblocked square-top state in these records is itself the signature of a channel with a cyclodextrin portal.

Some structural possibilities for active structures are sketched in Figure 9. Activity arises from addition to both sides of the bilayer, so an inserted monomer (Fig. 9A) is not likely to be active for the shorter derivatives (e.g. β -HE4). As the appended group length increases the same type of inserted monomer would interfere in the opposed bilayer leaflet (Fig 9B). This type of structure is a candidate for the activity observed in the longer derivatives (e.g. β -HE8) and the steroid derivatives (e.g. β -Eth) as additional disorder in the opposed leaflet could provide water defects for the channel. This type of channel was previously proposed for the activity of sugar-based CyPLOS channels⁸³. This structure is consistent with the shift in the distribution of activities as the “length” of the compound is varied as discussed above.

Fig 9: Candidate structures of potential conducting states.



The end-to-end dimer (Fig. 9C) is the design expectation. Larger aggregates (Fig. 9D) offer a possibility of ion trajectories outside the cyclodextrin portal in the contact regions between two cyclodextrins. The Eisenman I sequence indicates significant dehydration of the ions as they traverse the membrane, consistent with regions in contact with alkyl chains as encountered in Fig. 9B and D. The same condition potentially would be encountered within the dimer channel if the appended groups collapsed on one another. In such a case the conductance expected would be much reduced from that of an open cylindrical channel of 3.5 nm length and the radius of the cyclodextrin. The square-top channels of β -HE4F noted above have a conductance of 30-50 pS depending on the experiment. In the absence of more detailed modelling we cannot say if this conductance value aligns with the proposed structure. The larger conductance observed is expected for transport via aggregated states as observed in other systems^{25, 43}.

⁸⁴. Of the structures of Figure 9, all would be capable of binding a suitable hydrophobic guest, but only the dimer channel shows the potential to lead to transient blocking events of the type observed.

Conclusions

Tabushi¹ was right from the outset – half-channel derivatives of cyclodextrins provide easy access to active ion channels. What was missing in early analyses was an understanding of how many different ways there are to generate transmembrane ion currents via half-channel structures that have characteristics recognized as “channels” (or at very least “channel-like”). Our principle finding is that addition of compounds of this type to bilayers will always open a number of parallel pathways.

More generally, the activities inherent in this class of compounds mirror the activities found in all other synthetic ion channels. Using the activity grid analysis, we can detect the signal of design expectations, overlay with the complexities inherent to small-molecule transporters. In keeping with other synthetic transporters, the background activity relates to defects inherent in the emplacement of molecules in a bilayer. This leaves as an unresolved challenge to heal defects so the characteristics of defined structures increase in probability in the overall activity. Whether we should seal off the defect pathways that are responsible for irregular conductance traces to leave “pure” square-top ion channels is an academic question; from the practical perspective of moving ions, defect-related channels are vastly superior.

From a methodological perspective the activity grids work as designed. They provide a high-level view of the transport activities that is useful in the structure-activity analysis undertaken. At the same time, some deficiencies are obvious. In the next iteration, the representation should be redesigned to show the frequency or proportion of observations of a given type. However, accurately extracting this metadata will necessitate software and automation to assist the human observer with this daunting task.

Experimental

Complete synthetic details for all new compounds are available in the Electronic Supplemental Information†.

Voltage Clamp Data Acquisition A model BC-525A bilayer clamp (Warner Instrument Corp.) was used for planar bilayer experiments. The analogue output was filtered with an 8-pole Bessel filter (Frequency Devices, model 902) and digitized with a 330 kHz digitizer (Axon Instruments, Digidata 1200A). Data acquisition was controlled by the pClamp8 software package (Axon Instruments). Data were collected at 10 Hz, analogue filtered at 1 Hz, and digitally filtered at 50 Hz. The headstage and the bilayer chamber (3 mL polystyrene cuvette with 250 μ m diameter aperture held in a 5 mL PVC holder) were placed on a floating table and electrically shielded by a grounded aluminium Faraday cage. Agar salt bridges (2 M KNO₃ in 1% Agar) were used to stabilize junction potentials and were employed between the electrolyte in each well of the cell and Ag/AgCl electrodes. Electrolyte solutions were prepared from high purity salts and nanopure water.

A stock solution of diphytanoyl phosphatidylcholine (diPhyPC) in chloroform (Avanti Polar Lipids; shipped on dry ice) was

divided into sealed glass vials under an argon atmosphere and stored at -12 °C. For use in an experiment, a stream of dry nitrogen was passed through the vial for 1 hour. The dried lipid was diluted with decane to give a solution concentration of 25 mg/mL in lipid.

Bilayers were formed by either brushing or dipping: after lipid in decane had been introduced by brushing, a lipid/ decane film formed on the surface of the electrolyte, and bilayers could then be formed by withdrawal of 2-3 mL of electrolyte from the cell holder by syringe to expose one face of the aperture to the air-water interface held in the cell holder, followed by reintroduction of the electrolyte to oppose monolayers across the aperture in the cuvette. Bilayer quality was monitored via the capacitance and stability under applied potential, using the criteria previously described⁸⁵. The measured voltage was applied with respect to the *trans* (cuvette) side of the bilayer, making the trans side the relative ground. Digitized data files were analysed using the pClamp10 suite of programs.

The compounds are introduced to the membrane in two ways, depending on the solvent in which the compound can be dissolved: Direct injection - all injection experiments utilized bilayers that were apparently stable at 100 mV for periods of 20 minutes or more. Aliquots (1-5 μ L of transporter solutions in MeOH) were injected with a microliter syringe as close as possible to the bilayer in the free well of the cuvette holder (cis side), and gently stirred with a stream of nitrogen for 5 minutes. Pre-mixed into lipid - in this method, 1 mol% of compound (in CDCl₃ or MeOH-d₄) was added to the diPhyPC/CHCl₃ solution, and solvent removed with a stream of N₂, and bilayer membrane prepared by brushing/dipping as described above. Most of the bilayers formed with this method gave bilayers with good quality.

Of the two methods, direct injection is preferable, as it allows monitoring of pristine bilayer prior to compound introduction. Following direct injection, channel behaviour typically appears within 20 minutes of compound introduction, and persists over period of hours. Once stabilized, continuous data acquisition of at least 30-60 minutes is required to provide sufficient statistical power for the power-law analysis; shorter acquisition periods (10 minutes) are sufficient to characterize other types of behaviours.

The activities of all compounds examined are summarized in a standard format in the Electronic Supplemental Information[†] showing activity grid derivation for individual data files acquired.

Power-Law Fitting Procedure Fitting experimental data to a power-law requires two distinct steps. The first step transforms the irregular current trace into a list of opening times; this list is then fitted to a power-law distribution. 1) Manipulation of the digitally filtered traces was carried out using Clampfit 10 of the pClamp suite. A customized threshold search was used to generate the list of events. The threshold was set across the fluctuating section of the trace to maximize the number of events. Within that segment, α is insensitive to the choice of threshold. A minimum duration was fixed at 20ms. The threshold search automatically logs event start and event end from which the duration can be calculated. The resultant values were exported to the fitting program. The list of opening durations, obtained above as a plain-text file, can then be fitted

using the method of Clauset et al⁷⁸, implemented in python⁷⁹. The code performs the Maximum Likelihood Estimate fit, and provides α , x_{min} , $n > x_{min}$, $n >$, and p-value as outputs.

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Notes and references

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[†] Electronic Supplementary Information (ESI) available: Synthesis procedures and characterization of new compounds. See DOI: 10.1039/b000000x/. Summary voltage-clamp experimental data for all compounds is available at: <http://hdl.handle.net/1828/5190>

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