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1 **DFT Studies for the Evaluation of Amine Functionalized Polystyrene Adsorbents for Selective**
2 **Adsorption of Carbon dioxide**

3

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20

1 Abstract

2 The interaction of carbon dioxide with four amine functionalized polystyrene based
3 adsorbents was investigated by means of Density functional theory (DFT). The structures of the
4 adsorbents and CO₂-adsorbent complexes were optimized using the B3LYP/6-311++G(*d,p*) method.
5 The interaction energies, equilibrium distances, charge transfer from the functionalized adsorbent to
6 CO₂, highest occupied molecular orbital (HOMO)-lowest unoccupied molecular orbital (LUMO)
7 energy gap and thermochemical parameters of the complexes were evaluated. Weak intermolecular
8 forces were responsible for the interaction between the active centers of functionalized adsorbents
9 and CO₂ as confirmed from experimental studies. The interaction energies and vibrational
10 frequencies confirmed stronger interaction of CO₂ towards imidazole functionalized polystyrene
11 (PS-Imidazole) followed by *N*-methylpiperazine loaded polystyrene (PS-Piperazine) and found to be
12 the least for the bare adsorbent chloromethylated polystyrene (CMPS). Steric hindrance was found to
13 play a major role in the case of dimethylamine (PS-DMA) and diethanolamine (PS-DEA)
14 functionalized polystyrene during their interaction with CO₂. On the basis of HOMO-LUMO energy
15 gap and calculated density of states, negligible change in the electronic properties of CO₂-adsorbent
16 complexes was observed, indicating physisorption process. The outcomes of the present theoretical
17 studies are in well agreement with the experimental results and it provides detailed insights for
18 understanding the interaction of CO₂ with active centre of the functionalized adsorbent.

19
20 **Keywords:** carbon dioxide, imidazole, *N*-methylpiperazine, diethanolamine, B3LYP, physisorption.

21

1 Introduction

2 The concentration of carbon dioxide (CO₂) in the atmosphere has amplified by about 30% in
3 the last 50 years and is expected to increase over the next few decades as a result of anthropogenic
4 fossil-fuel combustion.¹ Carbon dioxide is considered to be the major contributor to the greenhouse
5 effect and is perceived to be responsible for global warming. Thus, the presence of excess CO₂ in
6 earth's atmosphere has resulted in the gradual increase in temperature. Extensive efforts have been
7 focused to develop efficient technologies for CO₂ capture, storage (sequestration) and utilization.^{2,3}

8 CO₂ capture by liquid amines (primary and secondary) are amongst the most commonly used
9 processes.^{1,4-6} However, the main drawbacks of the solvent based CO₂ absorption are high energy
10 consumption for solvent regeneration accompanied by degradation of the amine leading to
11 generation of waste, thus resulting in the capital loss.^{1,4,7} Hence, adsorption is considered to be an
12 alternative promising technology for separating CO₂ from gaseous mixtures due to its low energy
13 requirement, easy operation and low maintenance.⁸ It involves binding of a molecule onto the solid
14 surface by strong chemical or weak physical interactions or at times even both and adsorbents
15 regeneration is carried out by either pressure or temperature swing cycles.⁸⁻¹⁵ However, the
16 development of low cost adsorbent with high CO₂ selectivity and adequate adsorption capacity under
17 ambient conditions is an active area of research.¹⁶ The solid sorbents such as activated carbon,¹⁷
18 zeolites,¹⁸ zeolitic imidazole frameworks (ZIFs),¹⁹ single/multi-walled carbon nanotubes,¹
19 nanoporous silica-based molecular basket,²⁰ polymers²¹⁻²⁵ and metal-organic frameworks
20 (MOFs)^{1,7,10} have been used for adsorption of CO₂. The recent studies have focused on use of amine
21 functionalized adsorbents for selective separation of CO₂ from flue gas mixtures.^{7,17,20,22-24,26-28} The
22 incorporation of amine functionality on the surface of the adsorbent significantly increases CO₂
23 selectivity and uptake capacity because CO₂ is an acidic gas.²

1 As the quest for the development of CO₂-specific adsorbent is continuously increasing, the use
2 of computational studies has become an indispensable tool for understanding the parameters which
3 govern CO₂-adsorbent interactions at the molecular level. Panda et al. performed computational
4 studies with a series of ZIFs using different 4,5-functionalized imidazole units, viz., -CH₃, -OH, -
5 Cl, -CN, -CHO and -NH₂ by applying Universal Force field (UFF).¹⁹ The symmetry and
6 polarizability of the functional group exhibited significant influence on CO₂ uptake. MOF,^{1,7,10} CNT¹
7 and zeolites¹⁸ have also been investigated to predict the selective CO₂ adsorption using *ab initio*
8 calculations. Thakur et al. theoretically studied the adsorption of CO₂ on graphene surface and
9 reported *pi* interaction of CO₂ with the electron rich benzene ring.²⁹ Hussain et al. performed *ab*
10 *initio* calculations to study the affinity of CO₂ towards 20 naturally occurring amino acids and also
11 proposed amino acids as alternatives to chemical dissolution of CO₂.³⁰ Baei et al. theoretically
12 studied the interaction of CO₂ with B₁₂N₁₂ nanoclusters using DFT calculations and reported
13 interaction energy of -2.30 kcal mol⁻¹ on physisorption.¹² Valenzano et al. reported 1:1 adsorption
14 complex of CO, N₂, and CO₂ with the Mg²⁺ adsorption site of Mg-MOF-74 by weak (dispersion)
15 interactions.¹¹

16 Extensive efforts are being directed from a variety of fields including polymer technology to
17 search better CO₂ capturing materials.^{21-25,31-33} The surface properties of the polymer can easily be
18 tailored with different organic or inorganic ligands. After functionalization of the polymer surface,
19 the accessibility of the amine to capture CO₂ is the most important factor to be considered during
20 adsorption. Primary²²⁻²⁴ and secondary amine^{22,23} functionalized polymeric adsorbents have already
21 been used for CO₂ capture which result in the formation of carbamate species. The regeneration of
22 such adsorbents involve energy intensive temperature swing process.^{22,24,34} However, tertiary amines
23 (in the absence of moisture) do not support formation of carbamate and thus bind CO₂ by physical

1 interactions only.²⁸ Recently, Khot et al. carried out CO₂ sorption studies with tertiary amine
2 functionalized polystyrene as well as with bare polystyrene.⁸ The amine functionality is covalently
3 attached to the macroporous surface of polymeric adsorbent after the bead formation. Hence, the
4 surfaces of the pores are expected to be available for adsorption and a molecule like CO₂ diffuses
5 through the pores of the adsorbent beads. Further, these amines are neither mobile nor there will be
6 any loss of functionality from the surface during the adsorption and desorption process.

7 The current work includes theoretical studies of these tertiary amine functionalized adsorbents
8 for interaction with CO₂. Since quantum mechanical calculations involving the entire polymeric
9 structure would be difficult and computationally expensive, only the functional amino group
10 covalently attached to the repeating structure of the polymer *viz.* styrene moiety, was taken for the
11 current study. The calculations were carried out with the objective to gain fundamental insights into
12 equilibrium geometry of the adsorbents and the complexes, interaction energy, charge transfer, band
13 gap, vibrational frequencies and understand the dominant factors responsible for CO₂ complexation.
14 This study is expected to be useful for future designing of CO₂ specific adsorbents.

15 **2 Computational Methodology**

16 The DFT studies were performed using Gaussian 09³⁵ for investigating the structural and
17 electronic properties during interaction of CO₂ with functionalized adsorbent as well as with the bare
18 polymer, chloromethylated polystyrene (CMPS). The functional considered is Becke's three
19 parameter-Lee-Yang-Parr correlation B3LYP^{4,5,7} with 6-311++G(*d,p*)^{5,36,37} basis set. The geometry
20 optimization of the adsorbents, free CO₂ and the CO₂-adsorbent complexes was carried out without
21 imposing any initial symmetry restriction. In order to find the most stable equilibrium structure for
22 CO₂-adsorbent complex, several initial guess structures were considered based on the position of
23 CO₂ close to the electron rich sites of the adsorbent and only the minimum energy structures are

1 further studied. The stationary points were characterized as no imaginary frequencies were obtained
2 during Hessian calculations.

3 Corrections to the interaction energy for all the complexes were evaluated by considering the
4 basis set superposition error (BSSE) with counterpoise correction (CPC) calculation.^{4,11} Natural
5 population analysis using the Natural Bond Order (NBO) method was performed.⁴ The charge
6 transfer (Q_T) from the donor atoms of the amine loaded adsorbents to CO_2 during the interaction was
7 evaluated by summing up the electrostatic potential (ESP) charges on CO_2 molecule.³⁰ To compare
8 the calculated vibrational frequencies with the experimental values, the calculated frequencies were
9 scaled using a factor of 0.964 ± 0.023 .³⁷ The contribution of a group to a molecular orbital was
10 calculated by Mulliken population analysis (MPA). The density of states (DOS) spectra were created
11 by convoluting the molecular orbital information, allowing identification of amine and CO_2 centred
12 orbitals with Gaussian curves of full width at half maximum ($fwhm$) = 0.3 eV. The DOS diagrams
13 for all the adsorbents and the complexes presented in this work were obtained using the Multiwfn
14 program.³⁸

15

16 **3 Results & Discussion**

17 **3.1 Molecular Electrostatic Potential Analysis of the Adsorbents**

18 The calculated molecular electrostatic potential surface (MESP) of the optimized structures of
19 the four amine functionalized adsorbents along with CMPS at DFT-B3LYP level are shown in
20 Fig. 1. MESP measures the electrostatic potential onto the isoelectron density surface and
21 simultaneously displays electron and nuclei distribution which provides a good understanding of the
22 relative polarity within the molecule.³⁹ In Fig. 1, the red color refers to the high electron density
23 region (negative ESP) while the blue colored region refers to the positive ESP within the adsorbent.

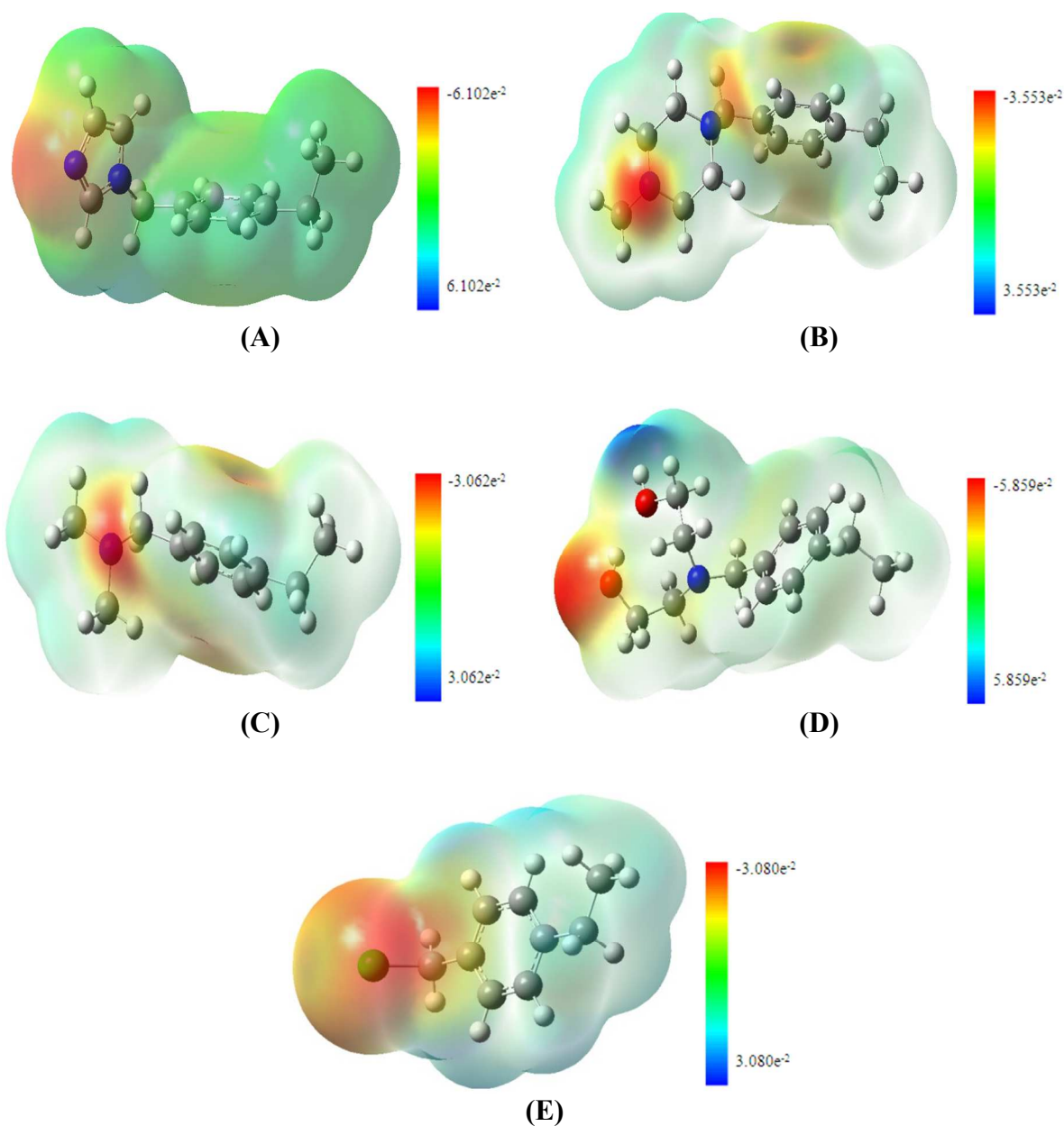
1 Out of the four amines, imidazole is a heterocyclic aromatic amine consisting of two 'N' atoms. Out
2 of two 'N' atoms, one has sp^2 and other has sp^3 character, while the remaining three aliphatic amines
3 (*N*-methylpiperazine, dimethylamine (DMA) and diethanolamine (DEA)) contain only sp^3 nitrogen.
4 For imidazole functionalized polystyrene (PS-Imidazole), maximum electron density is localised
5 over the sp^2 nitrogen atom (1(A)). In *N*-methylpiperazine (PS-Piperazine) and dimethylamine (PS-
6 DMA) functionalized polystyrene, the nitrogen centre is electron rich (1(B)) and (1(C)),
7 respectively. In diethanolamine functionalized polystyrene (PS-DEA), the electron density is found
8 to spread more over the 'O' atom of hydroxyl groups rather than 'N' of amine (1(D)). In the case of
9 CMPS (1(E)), the electrons are more localised over the chlorine atom as compared to pi-electron rich
10 benzene ring as confirmed from ESP studies. Amongst all the functionalized adsorbents, the electron
11 density lies over either 'N' or 'O' atoms of the amine and thus, poses suitable sites for preferential
12 adsorption CO_2 over other adsorption site *viz.* the phenyl ring.






13 3.2 Study of CO_2 -Adsorbent Complexes: Structure and Stability

14 The equilibrium geometries of CO_2 -adsorbent complexes are given in Fig. 2. The degree of
15 interaction between CO_2 and the adsorbents was investigated by calculating the interaction energy
16 ΔE_{noCPC} as given by eqn (1).

$$17 \Delta E_{noCPC} = TE_{complex} - (TE_{adsorbent} + TE_{CO_2}) \quad (1)$$

18 where TE is the total energy of the molecule. The calculated values of ΔE are negative (Table 1),
19 indicating that adsorption of CO_2 is exothermic on all the adsorbents. To understand fully the matrix
20 effect phenomena, the interaction of CO_2 with bare CMPS adsorbent was also performed as the
21 phenyl ring of the polystyrene backbone is present as the competitive adsorption site in all the
22 functionalized adsorbents. For the amine functionalized adsorbents, PS-Imidazole shows relatively
23 stronger interaction with CO_2 followed by PS-Piperazine, PS-DMA, PS-DEA, while CMPS shows



1 **Fig. 1** B3LYP/6-311++G(*d,p*) calculated mapped electrostatic potential (MEP) surface of PS-
2 Imidazole (A), PS-Piperazine (B), PS-DMA (C), PS-DEA (D) and CMPS (E). The C, N, O, Cl and
3 H atoms are depicted by , , , ,  respectively. Red, green, and blue represent negative,
4 zero, and positive values (*au*), respectively.

1 the weakest interaction. The calculation of interaction energy between two chemical species is
2 significantly affected by Basis Set Superposition Error (BSSE).⁴ Note that the B3LYP contribution
3 to the interaction energy not corrected for the counterpoise method (ΔE_{noCPC}), and the BSSE
4 correction, add up to give the final corrected interaction energy (ΔE_{CPC}) as given by eqn (2).

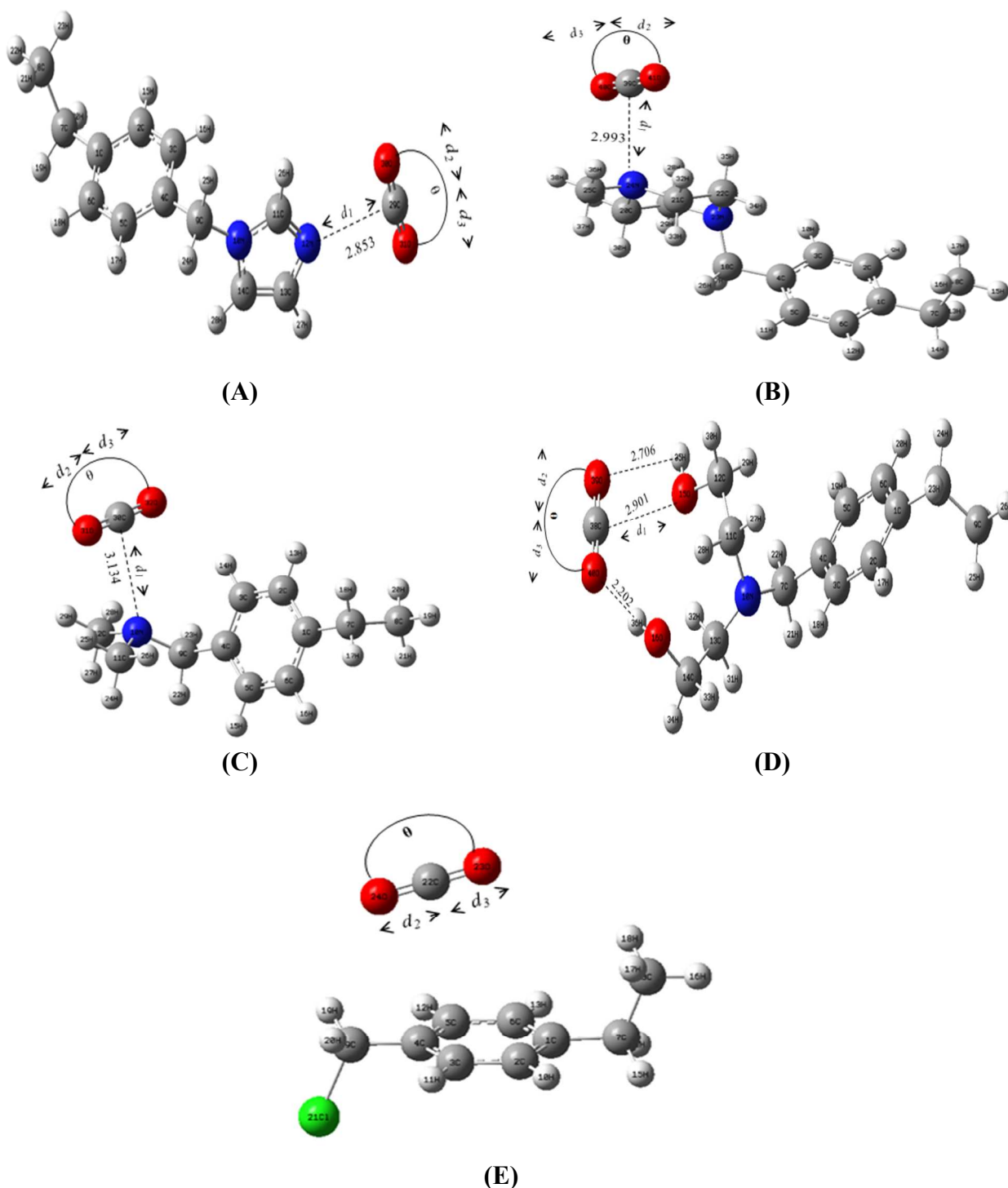
$$5 \quad \Delta E_{CPC,corr} = \Delta E_{noCPC} + BSSE \quad (2)$$

6 The smaller values of $\Delta E_{CPC,corr}$ implies that CO₂ experiences weak van der Waals interaction with
7 the adsorbent, thus it is identified as physical in nature.^{2,40} The thermal and entropic contributions
8 were considered to calculate the free energy of the system which is given by eqn (3),⁴

$$9 \quad \Delta G = \Delta E_{CPC,corr} + \Delta(ZPE) + \Delta E_{T/R/V} - RT - T\Delta S \quad (3)$$

10 where, $\Delta(ZPE)$ is the change in zero point vibrational energy, $\Delta E_{T/R/V}$ is the finite-temperature
11 translational, rotational, and vibrational contributions to the total energy, $T\Delta S$ is the entropic
12 contribution to the change in free energy (ΔG) at T = 303 K and P = 1 bar.

13 The enthalpic contributions to ΔE were indicative of the specific interactions, but the entropic
14 factors seem to negate the advantage of specific interactions. The ΔG values for the functionalized
15 and the bare adsorbent is positive indicating that the interaction between the adsorbent and CO₂ is
16 weak as the complexes are destabilized by entropic effects and hence the gas phase reaction is
17 unfavorable at 303 K and 1 bar. A positive change in free energy, however, should not be taken as a
18 sufficient reason for not pursuing a potentially useful reaction involving CO₂, the kinetics might
19 indeed be favorable. Hence to achieve significant adsorption, increasing the pressure and/or lowering
20 the temperature may enhance the binding of CO₂ with amine functionality. The optimized
21 parameters (ΔE and distances between the interacting centers) from the current study are comparable
22 to the positive free energy results reported by Teague et al.⁴ (Table 1).



1 **Fig. 2** Optimized structures of PS-Imidazole+CO₂ (A), PS-Piperazine+CO₂ (B), PS-DMA+CO₂ (C),
 2 PS-DEA+CO₂ (D), and CMPS+CO₂ (E) complexes. The calculated values of d_1 , d_2 , d_3 and θ are
 3 given in Table 2.

1 **Table 1** Calculated values of uncorrected adsorbent-CO₂ interaction energy (ΔE_{noCPC}), ΔE_{BSSE} ,
 2 BSSE-corrected energy ($\Delta E_{CPC,corr}$), zero point vibrational energy $\Delta(ZPE)$, finite-temperature
 3 translational/rotational/vibrational contributions $\Delta E_{T/R/V}$, entropic contribution ($T\Delta S$), and free-
 4 energy of adsorption at 303 K and 1 bar (ΔG), calculated at B3LYP/6-311++G(*d,p*) (all energy terms
 5 are given in kJ mol⁻¹).

Adsorbents	ΔE_{noCPC}	ΔE_{BSSE}	$\Delta E_{CPC,corr}$	$\Delta(ZPE)$	$\Delta E_{T/R/V}$	$T\Delta S$	ΔG	ΔG^a
PS-Imidazole	-12.80	0.95	-11.85	1.67	5.74	-24.18	17.25	12.7
PS-Piperazine	-8.24	1.58	-6.66	2.41	5.94	-28.56	30.25	
PS-DMA	-6.57	1.49	-5.08	2.78	6.02	-30.38	34.10	
PS-DEA	-4.22	1.55	-2.67	3.13	6.18	-30.56	37.19	
CMPS	-3.56	1.51	-2.05	3.24	6.29	-30.77	38.26	

6 ^a interaction between Lewis base, pentafluoro phenolate anion and CO₂, $\Delta E = -23.1$ kJ.mol⁻¹ and the distance between
 7 the oxygen of the anion and 'C' of CO₂, 2.43 Å (Teague et al.)⁴

8
 9 The experimental investigations of CO₂ adsorption on these four functionalized adsorbents
 10 executed by Khot et al.⁴¹ confirmed the physical nature of adsorption. Also, the IR frequencies of the
 11 adsorbents after CO₂ adsorption showed no changes in the C-N stretching frequency towards
 12 1609 cm⁻¹ and the asymmetric stretching (ν_3) of CO₂ (2349 cm⁻¹), indicating no carbamate and
 13 bicarbonate formation.⁸ An improved adsorption of CO₂ on the amine functionalized adsorbents was
 14 observed by Khot et al.⁸ during the experimental studies performed by increasing the pressure (~ 40
 15 bar). Thus, the above methodology correctly predicts the presence of physisorbed CO₂ on amine
 16 functionalized polystyrene.

17 The observed trend in interaction energies can be well correlated to the distance between C_{CO2}-
 18 donor atom of the functionalized adsorbent (d_I). PS-Imidazole which exhibits the strongest

1 interaction with CO₂ has the lowest C-N distance (2.8533 Å), followed by PS-Piperazine (2.9933 Å)
2 and PS-DMA (3.1346 Å). This is because the planar structure of imidazole experiences less steric
3 hindrance allowing the sp² nitrogen atom to move closer to the 'C' of CO₂ as compared to PS-
4 Piperazine and PS-DMA. In the case of PS-DEA, due to the inaccessibility of the 'N', electron
5 deficient 'C' of CO₂ interacts with the electronegative -OH groups of DEA. The 'O' atoms of CO₂
6 strongly interact with the electropositive 'H' atoms of the alcoholic group thus leading to the
7 formation of a hydrogen bonded structure.⁴² However with CMPS, CO₂ exhibits π-quadrupolar
8 interaction with the π-electron rich phenyl ring. In CMPS-CO₂ complex, CO₂ is parallel to the ring
9 but slightly displaced from the centre of mass of phenyl ring.^{25,43}

10 The interaction between CO₂ and the donor atom of the amine functionality leads to varying
11 degrees of distortion in carbon dioxide molecule as well as the interacting centres of the adsorbents.
12 The calculated bond length of free CO₂ is 1.1608 Å, which is comparable to the experimental bond
13 length (1.161 Å).⁴⁴ On analyzing the structure of adsorbed CO₂, we see lengthening of the C=O bond
14 which is closer to the active center ($d_2 - d_0$) in all systems when compared to the free CO₂ bond
15 length (1.1608 Å) while, the C=O bond farther away from the active center (d_3) is shortened in all
16 the adsorbents ($d_3 - d_0$). Summing up the two C=O bond lengths (d_2 and d_3), yields the overall length
17 (l) of the CO₂ molecule, which can be used in determining elongation of the molecule ($l - l_0$) after
18 interaction, for all the adsorbents. PS-Imidazole and PS-Piperazine display a higher increase in bond
19 length of physisorbed CO₂ as compared to that with PS-DMA and PS-DEA (Table 2) owing to the
20 strong interaction with CO₂. Also, the bond lengths of amine functional group changes during the
21 complexation. The C_{amine}-donor atom bond lengths before and after complexation are listed in
22 Table 3.

- 1 **Table 2** Bond distances^a between the adsorbent-CO₂ complexes, the distortions in CO₂ bond lengths
 2 and bond angle after complexation and charge transfer to CO₂ (Q_T).

Adsorbents	d_1	d_2	d_3	(d_2-d_0) $\times 10^3$	(d_3-d_0) $\times 10^3$	$(l-l_0)$ $\times 10^3$	θ (deg)	Q _T
CMPS		1.1615	1.1602	0.72	-0.60	0.12	179.54	
PS-Imidazole	2.8533	1.162	1.1607	1.47	-0.01	1.46	176.05	-0.009
PS-Piperazine	2.9933	1.1616	1.1615	0.80	0.75	1.55	176.74	-0.012
PS-DMA	3.1346	1.1613	1.1609	0.55	0.15	0.70	177.53	-0.005
	2.9013							-0.011
PS-DEA	2.2023	1.1616	1.1599	0.84	-0.90	-0.06	178.06	
	2.7061							

- 3 ^aAll distances are given in Å. $d_0 = 1.1608$ Å (C=O bond length of unadsorbed CO₂). d_2 and d_3 are C=O bond length of
 4 physisorbed CO₂. $l_0 = 2.3216$ Å (Bond length of CO₂ before interaction). $l = d_2 + d_3$ (Bond lengths of CO₂ after
 5 interaction).
 6

- 7 **Table 3** Comparison of calculated bond lengths of the amine functionalized adsorbents (before and
 8 after complexation) (all distances are given in Å)

Adsorbents	Bonds	Before complexation	After complexation
PS-Imidazole	C(10)-N(13)	1.3137	1.3157
PS-Piperazine	C(20)-N(24)	1.4623	1.4662
	C(21)-N(24)	1.4638	1.4669
	C(25)-N(24)	1.4539	1.4571
PS-DMA	C(9)-N(10)	1.4635	1.4706
	C(11)-N(10)	1.4581	1.4611
	C(12)-N(10)	1.4565	1.4601
PS-DEA	O(15)-H(35)	0.9618	0.9626
	O(16)-H(36)	0.9618	0.9641
	C(12)-O(15)	1.4387	1.4413
	C(14)-O(16)	1.4251	1.4275

9

10

1 Further, CO₂ molecule undergoes nonlinear distortion, and the calculated deviation of ∠O–C–O
2 from its linear geometry (180°) in the adsorbed state is relatively small, ~1–5° (Table 2). The extent
3 of bending of CO₂ during complexation is generally considered to be a measure of the basicity of the
4 amine.⁴ Amongst the amine functionalized adsorbents, PS-Imidazole and PS-Piperazine reveal
5 maximum change in the ∠O–C–O bond angle as compared to PS-DMA and PS-DEA, which
6 justifies superior contact with the former adsorbents. CMPS which shows the least interaction with
7 CO₂ at atmospheric pressure exhibits the lowest bent of ∠O–C–O (179.54°) as compared to the
8 functionalized adsorbents (Table 2). Thus, the extent of distortion of CO₂ from the linear geometry
9 and shorter C-donor atom distances (d_1) can be correlated to stronger CO₂–adsorbent interactions.

10

11 3.3 Charge Transfer to CO₂ from the adsorbent

12 To understand the electronic effects taking place during the interaction of CO₂ with the
13 adsorbents, the charge transfer (Q_T) to CO₂ from amine functionalized adsorbent was investigated
14 and the values are listed in Table 2. For all the CO₂-adsorbent complexes, negative Q_T indicates
15 charge flow from the lone pair of electronegative atoms of the amine functional group to CO₂ which
16 is consistent with Lewis type acid-base interaction.³⁰ Maximum charge transfer occurs in PS-
17 Piperazine followed by PS-DEA, PS-Imidazole and least for PS-DMA. In PS-Piperazine, due to the
18 positive inductive effect (+I) of the –CH₃ group attached to ‘N’ as well as the chair conformation of
19 the ring makes access for interaction of CO₂ with the active center *viz.*, electron rich ‘N’ atom easier
20 and thus maximum electrons are transferred to CO₂. In case of PS-DEA, the ‘N’ atom is sterically
21 hindered due to the presence of two ethylene groups which results in the inaccessibility of ‘N’
22 towards CO₂. This causes weak intermolecular hydrogen bonding with ‘O’ of CO₂ and thus

1 maximum electronic charge is transferred from 'O' of alcoholic group to CO₂ resulting in higher Q_T
 2 value.

3 3.4 Vibrational frequency analysis

4 The change in bond lengths of the interacting species, asymmetric distortion of CO₂ as well as
 5 change in atomic charges after complexation results in a corresponding change of the dipole
 6 moment. These changes significantly affect the vibrational frequencies of the interacting centres.^{45,46}
 7 The vibrational spectral analyses of the interactive centers ('C' of CO₂ and 'N' of amine
 8 functionalized adsorbent) before and after complexation as well as the asymmetric stretching
 9 vibration of CO₂ (ν_3) are given in Table 4.

10
 11 **Table 4** Comparison of simulated and experimental IR frequencies of CO₂-amine functionalized
 12 adsorbents (before and after complexation).

Adsorbents	Bonds	Before (cm ⁻¹)	After (cm ⁻¹)					
			C-donor atom	ν_3^a	ν_3^{a*}	ν_3^*	$\Delta\nu_3$	
							Exp.	Cal.
CO ₂	C=O			2420	2333	2349 ^b	-	-
PS-Imidazole	C(11)-N(12)	1535	1537	2413	2326	2335	14	7
PS-Piperazine	C(20)-N(24)	1219	1221	2411	2324	2336	13	9
PS-DMA	C(9)-N(10)	1115	1116	2415	2328	2348	1	5
PS-DEA	O(15)-H(35)	3315	3345	2420	2333	2348	1	0
	C(12)-O(15)	1039	1043					

13 ^aCalculated frequency of C=O. ^{a*}Calculated frequency of C=O multiplied with the scaling factor (0.964). *Experimental
 14 Frequency. ⁸ ^bSimulated Frequency of CO₂.⁴⁶

15
 16 The simulated frequency values are slightly higher than the experimental values which is due
 17 to the fact that the experimental values are anharmonic frequencies while the calculated values are

1 harmonic frequencies.⁴⁷ Hence, the calculated vibrational frequencies of CO₂ (ν_3) are compared with
2 experimentally determined FTIR frequency values of physisorbed CO₂⁸ after multiplying with the
3 scaling factor (Table 4). Increase in the C=O bond length of CO₂ results in decrease in ν_3 (red
4 shift).⁴⁶ As mentioned earlier, PS-Imidazole and PS-Piperazine show a considerable increase in bond
5 length of CO₂ and thus result in the higher ν_3 shift as compared to PS-DMA and PS-DEA,
6 respectively. A fairly consistent trend is observed between experimental and DFT calculated ν_3
7 values.⁸ The stretching frequency of C(10)=N(13) bond in PS-Imidazole exhibited a 2 cm⁻¹ shift on
8 complexation with CO₂. Similarly, the C(20)-N(24) symmetrical stretching shifted from 1219 to
9 1221 cm⁻¹ in PS-Piperazine, while only 1 cm⁻¹ shift in C(9)-N(10) symmetrical stretching was
10 observed for PS-DMA adsorbent. However, in PS-DEA adsorbent the electron rich oxygen center
11 (O(15)) polarizes more on complexation as indicated by increase in its symmetric stretching
12 frequency (C(12)-O(15) exhibits 4 cm⁻¹ while O(15)-H(35) reveals 30 cm⁻¹ shift).

13 3.5 HOMO-LUMO and Density of States (DOS) studies

14 The calculated frontier molecular orbital (FMO) energies of CO₂ closely matches the
15 reported value obtained at B3LYP/6-31+G* level.⁴⁸ The band gap (E_b) is calculated by using eqn (4)
16 and the FMO energies are reported in Table 5.

$$17 \text{ Band gap } (E_b) = E_{LUMO} - E_{HOMO} \quad (4)$$

18 On analysing the FMO's of the adsorbents, the HOMO of PS-Imidazole is the most stable followed
19 by PS-DMA > PS-DEA and least is for PS-Piperazine. Further, the HOMO's of all the adsorbents
20 are more stable as compared to the LUMO of CO₂. Since CO₂ is electrophilic in nature, the HOMO
21 of the adsorbent reacts with the LUMO of CO₂ molecule.⁴⁹

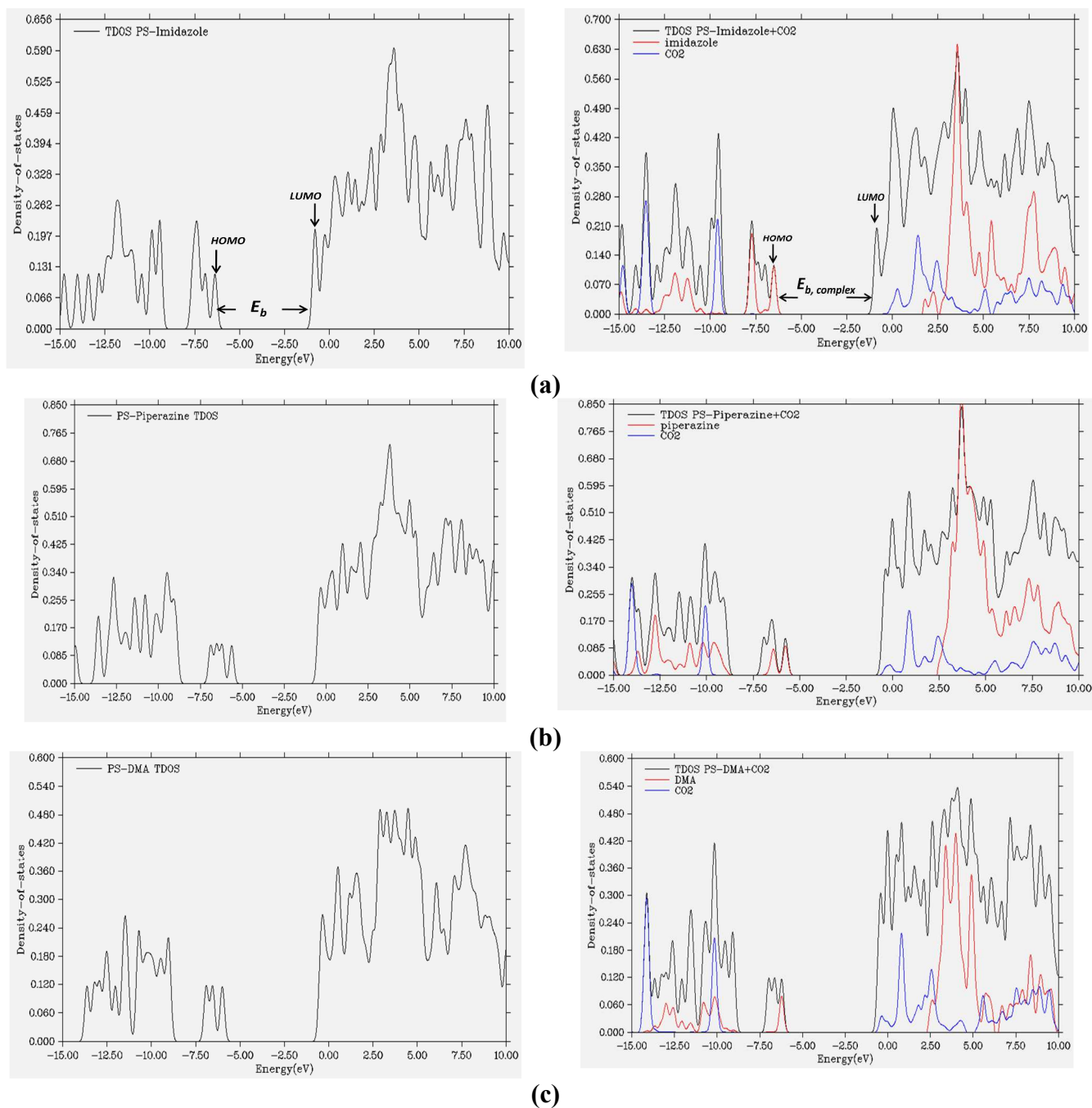
22 The molecules which exhibit a large HOMO-LUMO gap are considered as hard molecules and are
23 relatively more stable than soft molecules which have a small HOMO-LUMO gap.⁵⁰ To understand

- 1 **Table 5** The calculated HOMO-LUMO Energies (eV) of the adsorbents and the band gap before and
 2 after complexation of CO₂ on functionalized adsorbent.

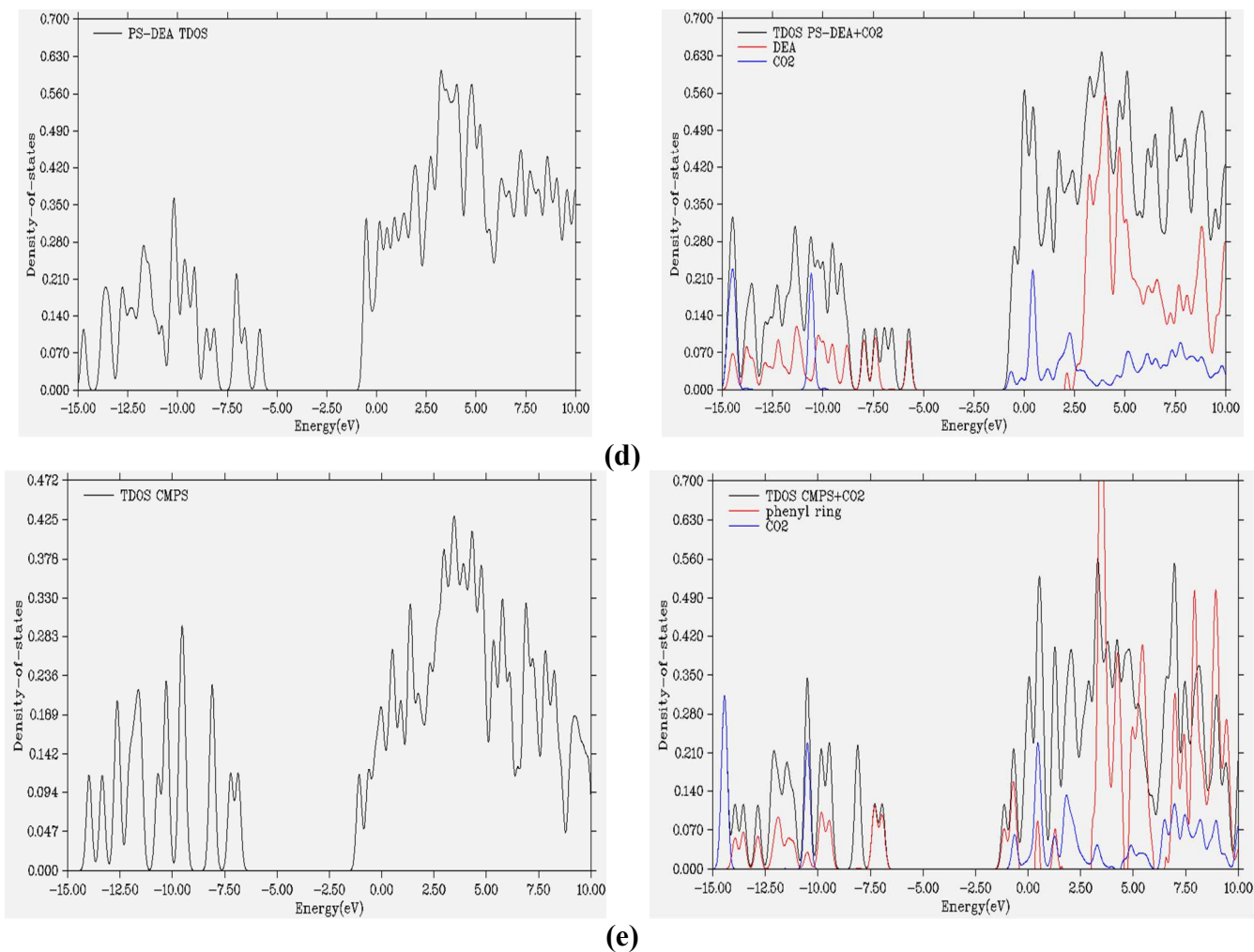
Molecule	HOMO	LUMO	E_b	$E_{b,complex}$	$\% \Delta E_g$
CO ₂	-10.50	-0.537	9.963 (9.909)*		
PS-Imidazole	-6.371	-0.849	5.522	5.586	0.56
PS-Piperazine	-5.630	-0.452	5.178	5.273	0.32
PS-DMA	-5.999	-0.457	5.542	5.691	0.30
PS-DEA	-5.867	-0.569	5.298	5.082	-0.04

3 * B3LYP/6-31+G* level⁴⁸

4 the interactions taking place during the adsorption of CO₂, the total and partial density of states
 5 (TDOS and PDOS, respectively) of the CO₂-adsorbent systems were determined and were compared
 6 with the TDOS of adsorbents without CO₂ (Fig. 3A & 3B). During the interaction of CO₂ with the
 7 functionalized adsorbent, the electronegative donor atoms of the adsorbent attract the electron
 8 deficient 'C' of CO₂ increasing the band gap which leads to stabilization of system, except in the
 9 case of PS-DEA-CO₂ complex (Table 5). For the PS-Imidazole-CO₂ complex (Fig. 3A (a)), the red
 10 curve (PDOS of imidazole fragment) is high and nearly approaches the black line (TDOS of complex)
 11 in the region of -6.8 to -6.1 eV. Hence it can be concluded that imidazole moiety has significant
 12 contribution towards HOMO. In contrast, the LUMO is mostly localized on the styrene moiety as
 13 there is no such clear contribution of imidazole or CO₂ fragments found in the PDOS diagram.
 14 However, for the remaining amine functionalized adsorbents, the % contribution of amine towards
 15 HOMO decreases indicating lesser overlap between the amine and electron deficient 'C' of CO₂.
 16 The PDOS of CO₂ in the LUMO for PS-Piperazine (Fig. 3A (b)) occurs at slightly higher energy

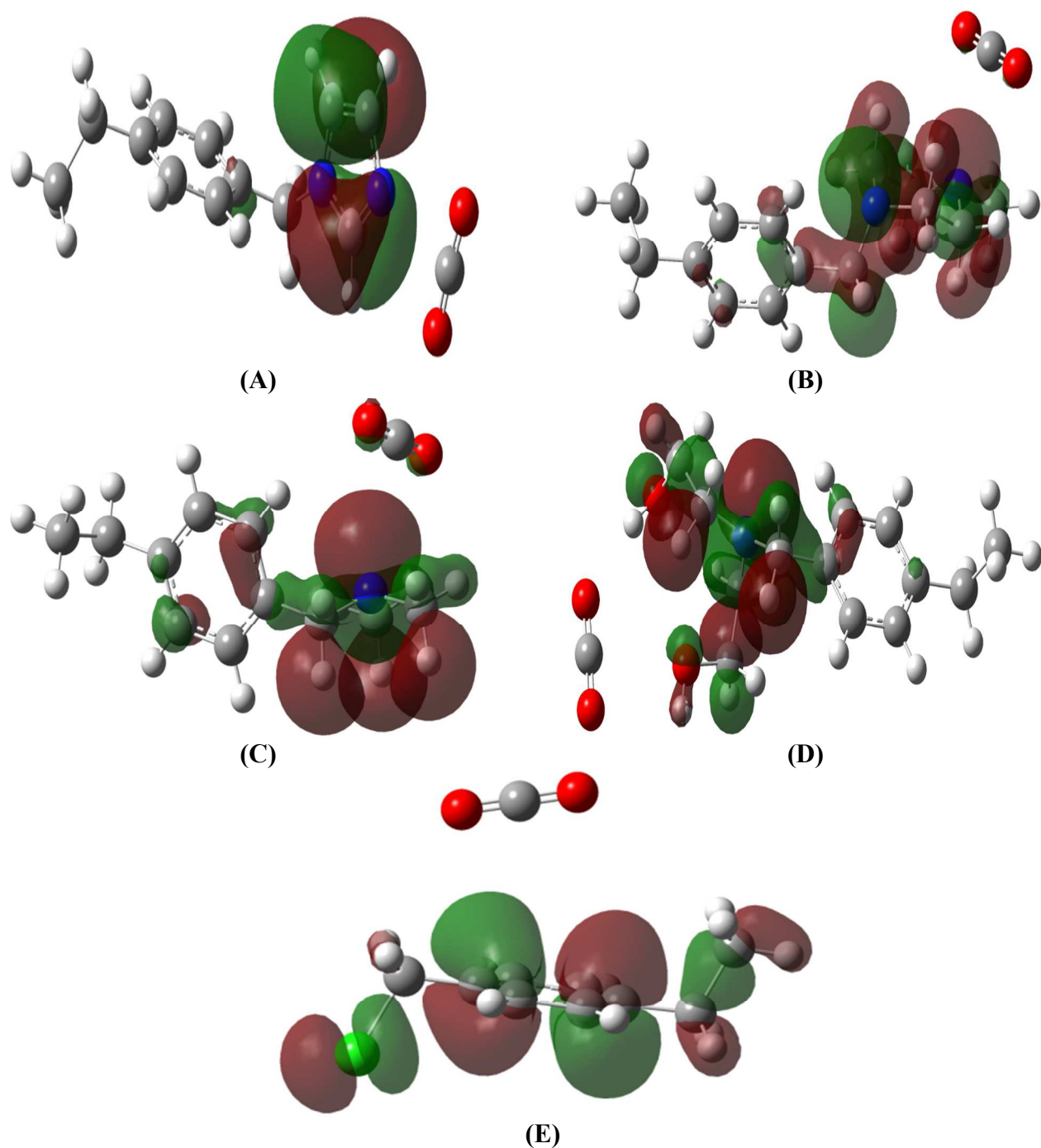


1 **Fig. 3A** The density of states of uncomplexed adsorbents (left, TDOS) and CO₂-adsorbent
 2 complexes (right, TDOS and PDOS) of PS-Imidazole **(a)**, PS-Piperazine **(b)** and PS-DMA **(c)**. The
 3 curves corresponding to TDOS and PDOS are given by black line, red line for fragment 1 and blue
 4 line for fragment 2.



1 **Fig. 3B** The density of states of uncomplexed adsorbents (left, TDOS) and CO₂-adsorbent
 2 complexes (right, TDOS and PDOS) of PS-DEA (d), and CMPS (e). The curves corresponding to
 3 TDOS and PDOS are given by black line, red line for fragment 1 and blue line for fragment 2.

4



1 **Fig. 4** HOMO's of CO₂ with PS-Imidazole (A), PS-Piperazine (B), PS-DMA (C), PS-DEA (D), and
2 CMPS (E) complexes.

3

4

1 than PS-DEA (Fig. 3B (d)), PS-DMA (Fig. 3A (c)) and CMPS (Fig. 3B (e)). However, the difference
2 is very small (0.01-0.02 eV). The HOMO's of the adsorbent-CO₂ complexes are shown in the Fig. 4.

3 The stability of the complex is measured in terms of % ΔE_g which is defined by eqn (5)

$$4 \quad \% \Delta E_g = \frac{E_{b,complex} - E_{b,uncomplexed\ ligand}}{E_{b,uncomplexed\ ligand}} \times 100 \quad (5)$$

5 The values of $E_{b,complex}$ and % ΔE_g are reported in Table 5. As the band gap $E_{b,complex}$ increases
6 after complexation, the ability of the adsorbent to attract CO₂ increases, which indicates increased
7 stability of the system.⁴⁰ The above discussion is in trend with the values of % ΔE_g which is highest
8 for PS-imidazole and lowest for PS-DEA. Since the HOMO of all the complexes presents no
9 contribution from interacting CO₂ molecule, the interactions are considered as physisorption process.

10 The current work involves the use of specific amines which are loaded on polystyrene and are
11 highly selective in its interaction with CO₂. The quadrupole moment of CO₂ (4.3×10^{-31} C.m²)
12 induces strong and specific Lewis acid-base type interactions with the functionalized adsorbents.⁸ In
13 these complexes, the 'C' of CO₂ behaves as an electron acceptor and the 'N' of the amine as the
14 donor. The above studies indicate PS-Imidazole to be a superior adsorbent for complexing with CO₂
15 possessing ΔE of -11.85 kJ mol⁻¹ and shortest C_{CO2}-N_{amine} distance (2.8533 Å). The objective of the
16 current study was to understand the interaction and binding of CO₂ with amine functional group at
17 the molecular level. The above study highlights the molecular level information about charge
18 transfer, FMO contribution and vibrational frequency analysis occurring during complexation. The
19 results indicate that the CO₂-amine interaction is mainly of physisorption augmented by hydrogen
20 bond type chemical interactions. As a result, the CO₂ is adsorbed reversibly on these adsorbents and
21 its desorption can be facilitated by thermal/ pressure swing for regeneration as studied by Khot et al.⁸
22 This study aims at understanding the interaction and binding types of CO₂ with amine functionalized

1 adsorbents which can provide useful guidance for the designing of newer ligands for CO₂ selective
2 adsorption.

3 **Conclusions**

4 We investigated the interaction of CO₂ on amine functionalized adsorbents containing
5 tertiary nitrogen at the DFT level. The electrostatic interactions were responsible for the
6 complexation of CO₂ with the adsorbents. PS-Imidazole shows the strongest interaction with CO₂
7 ($\Delta E = -11.85 \text{ kJ mol}^{-1}$) followed by PS-Piperazine > PS-DMA and least for PS-DEA as evident
8 from the interaction energies and distance between CO₂-donor atom of the adsorbent (d_I). H-bonding
9 between -OH groups of DEA and 'O' of CO₂ occurs as 'N' atom of DEA is sterically hindered.
10 During complexation, there is considerable elongation of the C=O bond farthest from the interacting
11 centre while the C=O near to donor atom of the adsorbent experiences shortening. The calculated
12 vibrational frequency values clearly indicate that CO₂ is slightly distorted from its linear shape in the
13 complex and these calculated frequencies exhibit good correlation with experimental values. Further
14 the analysis of thermodynamical parameters, HOMO-LUMO and DOS studies suggest weak
15 interaction between CO₂ and adsorbents indicating physisorption process. These simulation studies
16 involving parameters of interaction energy, partial charges and vibrational spectral analysis suggest
17 the use of imidazole loaded polystyrene as a suitable adsorbent selective for CO₂ capture. The above
18 molecular level studies help in designing of newer moieties for efficient CO₂ capture systems.

19
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23

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