



Biocompatibility and Genotoxicity Studies of Polyallylamine Hydrochloride Nanocapsules in Rats

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1 **Biocompatibility and Genotoxicity Studies of Polyallylamine Hydrochloride**
2 **Nanocapsules in Rats**

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Abstract

Polymer nanocapsules have attracted a great deal of interest for drug delivery and bioimaging applications owing to their functional versatility. The present study focusses on synthesis, characterisation, biocompatibility and genotoxicity studies of polyallylamine hydrochloride (PAH) nanocapsules for drug delivery studies. *In vitro* studies included are haemobiocompatibility studies, cytotoxicity and comet assay in peripheral blood mononuclear cells (PBMCs). Post intravenous administration of PAH nanocapsules, alteration in haematological parameters, inflammatory marker status, toxicity markers in serum and major organs, RT-PCR, Western blotting and histopathological studies of major tissues of rat were evaluated for 30 days. Results of these *in vitro* studies indicated biocompatible nature of the PAH nanocapsules at the tested concentration (1.5×10^5 - 6.0×10^5 capsules/ml). *In vivo* toxicity markers activity, inflammatory marker status like cyclooxygenase (COX), lipooxygenase (LOX), nitric oxide synthase (NOS) and prostaglandin E2 (PGE2) activity, haematological parameters alteration and RT-PCR analysis of important genes like interleukin1beta (IL-1 β), monocyte chemoattractant protein-1 (MCP-1), transforming growth factor beta 1 (TGF- β 1), kidney injury molecule-1 (Kim-1), heat shock protein gene (Hsp70-1) and tumor necrosis factor alpha (TNF- α) showed least changes. Western blotting studies on immunoregulatory proteins like cytokines (IL-8), chemokines (MIP-2) and cell adhesion molecules (VCAM-1 and ICAM 1) showed least level of toxicity with PAH nanocapsules interaction. Histopathological studies of important tissues showed almost normal architecture after treatment with PAH nanocapsules throughout the experimental period. The above results confirm the biocompatibility and non toxicity of PAH nanocapsules, thus suggesting their potential for *in vivo* drug delivery and bioimaging applications.

Key words; Biocompatibility; Immunoregulatory; PAH Nanocapsules; Genotoxicity

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68 **Introduction**

69 Nanocapsules hold significant biomedical interest owing to their nanometer size-scale and
70 tunable properties like controlled release and targeting of drugs towards specific sites.¹
71 Owing to their versatility they can be endowed with multiple functionalities and thus can be
72 used for a variety of biomedical applications, nanocapsules possess greater capability to be
73 taken over an extensive range of applications with extremely high efficient reproducibility.²
74 Polymeric nanocapsules have been fabricated by sacrificial template based approaches either
75 through step-wise adsorption of polymers using electrostatics/H-bonding/covalent chemistry
76 etc as driving force or polymer infiltration into mesoporous shell of the core and followed by
77 the dissolution of the core template.^{3, 4} The latter approach of nanocapsule synthesis is
78 particularly attractive from the standpoint of single step assembly of macromolecules as
79 opposed to multiple steps involved in layer-by-layer assembly. Thereby this route is more
80 suitable for large scale nanocapsule synthesis along with simpler control over the physical
81 properties of the capsules.

82 Recently, Wang *et al* reported polymer infiltration mediated synthesis of nanocapsules based
83 on both polypeptides like poly(L-glutamic acid) and synthetic polyelectrolytes like
84 polyallylamine hydrochloride (PAH).⁵ Doxorubicin loaded poly(L-glutamic acid)
85 nanocapsules showed promising delivery of anticancer drug to tumor cells *in vitro*, indicating
86 their potential for drug delivery applications. However, PAH nanocapsules were not
87 investigated for their *in vitro* and *in vivo* biocompatibility profile. Moreover, PAH based
88 nanomaterials have been extensively synthesized for prospective drug delivery and
89 bioimaging applications but studies on their detailed biocompatibility profile are still lacking.
90 To this end, we synthesized PAH nanocapsules and subjected them to comprehensive
91 biocompatibility and genotoxicity testing within an *in vitro* and *in vivo* environment. These
92 PAH nanocapsules can serve as efficient carriers for drug delivery and bioimaging agents for
93 diseases like atherosclerosis.

94 Atherosclerosis is a multifunctional disease characterized by oxidative, inflammatory,
95 immunologic, and necrotic processes.⁶ Current strategies to treat atherosclerosis include
96 treatment by statins, which decrease cholesterol synthesis resulting in increased uptake of
97 dietary cholesterol carried by Low-density lipoproteins (LDLs)⁷ surgical intervention by
98 angioplasty procedures that may include stents to physically expand narrowed arteries.
99 Macrophages are primary cells which present in the site of atherosclerotic plaque and the
100 major factor for the development of atherosclerosis. Its activation results in the excretion of

101 proinflammatory and cytotoxicity substances, including peroxynitrite, an early inducer of
102 atherosclerosis through the endoplasmic reticulum (ER) stress pathway.^{8, 9} Macrophage
103 apoptosis suppresses atherosclerotic lesion size.¹⁰ Detection of macrophage apoptosis seems
104 to be a rare event in early atherosclerotic lesions, but is observed in and around the necrotic
105 core of advanced lesions.¹¹ Early therapy is difficult as finding macrophages in the initial
106 stage of atherosclerosis is complicated. Thus, tracking of the initial atherosclerotic lesions by
107 targeted fluorescent nanocapsules combined with drug delivery is a promising application for
108 prospective nanocapsule based therapies.

109 Increased use of nanocapsules for diverse biomedical applications have raised concerns about
110 their safety in human health.^{12,13} Detailed investigation of their haemocompatibility, cell
111 cytotoxicity, immunocompatibility and *in vivo* toxicity is imperative to address these issues
112 and investigate the true *in vivo* potential of these nanocapsules. Recent studies show that
113 multiple assays can be employed, depending on the type of nanomaterials for assessing their
114 cytotoxicity.^{14, 15} Moreover, there are very few studies dealing with investigation of polymer
115 capsules for *in vivo* behaviour. For instance, Bulcao *et al* very recently reported the
116 importance of *in vivo* testing of polymeric nanocapsules after intradermal administration.¹³
117 Hence, aim of the proposed study was to fabricate polyallylamine hydrochloride (PAH)
118 nanocapsules and to know its biocompatibility and genotoxicity in rats for knowing its
119 suitability as a carrier for atherosclerotic drug delivery and imaging applications.

120 **Materials and Methods**

121 All reagents used for the study were of analytical grade. Tetraethoxysilane (TES 28 SQ), n-
122 Octadecyltrimethoxysilane (91.6%), aqueous ammonia (reagent grade, 32 wt.-%),
123 Glutaraldehyde, Poly-allylamine hydrochloride (PAH) Mw 70 kD and Histopaque 1077
124 purchased from sigma-Aldrich co, St. Louis, USA. All chemicals and reagents used for
125 cytotoxicity analysis experiments were purchased from Sigma, Aldrich, USA. Rest of the
126 chemicals and solvents used were purchased from SRL and spectrochem, India.

127 **Synthesis and characterisation of PAH nanocapsules**

128 The synthesis of PAH nanocapsules was done as per a reported procedure, which is described
129 in brief.¹⁷ Solid core mesoporous shell silica template (10 mg) was taken and polymer was
130 infiltrated into the mesoporous shell by incubating the template particles with PAH solution
131 (5 mg/ml in 0.2 M NaCl; pH 8.5) overnight with gentle mixing. Post infiltration, the unbound
132 polymer was washed off thrice by water. The polymer was then cross-linked by incubating
133 with glutaraldehyde for 20 min and then washed with water. The template was etched out

134 using 2 M HF: 8 M NH₄F (pH 5) (*Caution: HF is very toxic, so should be handled with all*
135 *safety precautions*) to get nanocapsules. Characterisation of PAH nanocapsules was done by
136 scanning electron microscopy (SEM).

137 ***In vitro* Studies**

138 **Blood compatibility studies**

139 Blood was drawn from healthy Sprague dawely rats and collected in tubes containing 3.8%
140 sodium citrate at ratio 9:1 (blood : anticoagulant)¹⁷ and blood compatibility assays were done.

141 **Morphological studies on red blood cells (RBC) in whole blood**

142 The morphological alteration study on RBCs by PAH capsule was done as per standardised
143 method¹⁸ with slight modification as described. In brief, human blood (50 µL) was incubated
144 for 20 min at 37°C with PAH nanocapsules (1.5 x 10⁵, 3.0 x 10⁵, 4.5 x 10⁵ and 6.0 x 10⁵). The
145 diluted blood incubated with saline and silica nanoparticles (200µg/mL) was used as negative
146 and positive controls respectively. RBCs morphology was examined by inverted microscopy
147 using wet mounted slides at 40x magnification.

148 **Isolation of monocytes from human blood and its morphological alteration study**

149 Monocytes were isolated as previously described¹⁷ (Supplementary information S1). 100 µl
150 of the resuspended cells in PBS was incubated with PAH nanocapsule for 20 min at 37°C.
151 Cells incubated with saline and silica nanoparticles (200µg/mL) were used as negative and
152 positive control respectively. Morphological changes were examined on wet mounted slides
153 and the images captured by phase contrast microscopy (Leica DM IL, Germany) at 40x.

154 **Haemolysis study**

155 Haemolysis assay was done with varying concentration of PAH nanocapsules as per the
156 reported method (Supplementary information S2).¹⁹ Saline was used as negative control and
157 silica nanoparticles (200µg/mL) as positive control.

158 **Isolation of rat peripheral blood mononuclear cells (PBMCs) and cell culture**

159 Peripheral blood mononuclear cells were isolated from rat, 3 ml volume of Histopaque 1083
160 solution was placed in a 15 ml tube and 3 ml blood was layered on top of this density
161 gradient. After the centrifugation (400×g for 30 min at room temperature). The plasma layer
162 was removed and discarded from the buffy coat, the PBMCs were carefully taken off by
163 aspiration and washed with phosphate buffered saline (PBS) as described.²⁰ The isolated
164 cells were cultured in collagen I coated plates and maintained at 37⁰ C with 5% CO₂ in RPMI
165 as cultured medium supplemented with 10% heat inactivated FBS, 1% l-glutamine 1%
166 HEPES and 0.5% penicillin–streptomycin. The cells were dispersed in culture plates (1 x10⁴

167 cells per well) and incubated with different concentrations of PAH nanocapsules for 24hr at
168 identical environment.

169 **Cytotoxicity studies**

170 The cells were dispersed in 96 well plates (1×10^5 cells per well) and incubated with different
171 concentrations of PAH nanocapsules (1.5×10^5 , 3.0×10^5 , 4.5×10^5 and 6.0×10^5) for 24, 48
172 and 72 h under identical environment. Freshly synthesized PAH nanocapsules were
173 immediately tested for cytotoxicity on PBMCs. After the treatment period, cytotoxicity was
174 evaluated by Trypan blue dye exclusion,²¹ LDH assay,²² MTT assay,²³ and Neutral red
175 uptake cell viability assay.²⁴ (Supplementary information S3)

176 **Genotoxicity study by comet assay**

177 Comet assay was performed as previously reported.²⁵ Approximately 3×10^4 PBMCs cells
178 were plated into 24-well tissue culture plates and incubated for 24 h in the absence or
179 presence of PAH nanocapsules (1.5×10^5 , 3.0×10^5 , 4.5×10^5 and 6.0×10^5). The cells were
180 then detached from the plate and processed in the slides and finally analyzed under a
181 fluorescence microscope for the presence of comet tails.

182 **ROS generation and lipid peroxidation in PBMCs**

183 The estimation of ROS generation in PBMCs was done as previously reported²⁶ and
184 described in Supplementary information S4. Lipid peroxidation level in PBMCs was
185 measured as TBARS (thiobarbituric acid reactive substances).²⁷ (See Supplementary
186 information S5)

187 **Intracellular glutathione (GSH) depletion assay**

188 The concentration of intracellular GSH was determined using colorimetric assay.²⁸ Briefly,
189 cells were seeded in six-well plates at density of 5×10^5 cells/well. After the treatments with
190 2mL of MSN for 24 h exposure, the medium was aspirated and the cells were washed once
191 with phosphate buffer saline (PBS). Then, the cells were scraped and centrifuged at 1100 rpm
192 for 3min at 25°C; the supernatant was removed by aspiration. The cell pellets were
193 resuspended in ice-cold metaphosphoric acid (MPA) and immediately homogenized and then
194 centrifuged at 3000 rpm, 4°C for 10 min. Subsequently, the samples were mixed with 4-
195 Chloro-1-Methyl-7-Trifluoromethyl-Quinolinium ethylsulfate and 30% sodium hydroxide
196 reagents were incubated for 10 min at room temperature in dark. The absorbances were
197 measured spectrophotometrically at 400 nm.

198 **Animal experiments**

199 Sprague dawely rats were obtained from Department of Biochemistry, University of Kerala,
200 India for specific study. All ethical guidelines were followed for the conduct of animal
201 experiments in strict compliance with the Institutional animal ethical committee and
202 committee for the purpose of control and supervision of experiments on animals (CPCSEA)
203 government of India and ethical sanction no.IAEU-KU-24/2011-12-BC.AA (22) for the
204 conduction of animal experiment.

205 ***In vivo* Studies**

206 **Experimental design**

207 Sprague dawely male rats were randomly divided into 6 groups of 5 animals each and
208 experimental period up to 30 days. Group-I Control, Group-II Saline treated (4ml/kg body
209 weight), Group -III- PAH nanocapsules treated with (1.5×10^{12} PAH nanocapsules /Kg body
210 weight), Group -IV- PAH nanocapsules treated with (3.0×10^{12} PAH nanocapsules /Kg body
211 weight), Group-V- PAH nanocapsules treated with (4.5×10^{12} PAH nanocapsules /Kg body
212 weight). Group-VI- Silica particle (177.5 mg/kg body weight). *In vivo* toxicity was also
213 investigate by intravenous administration of nanocapsules by tail vein injection in Sprague
214 dawely rats.

215 **Inflammatory markers response study by PAH nanocapsules interaction**

216 Activity of inflammatory markers in PBMCs namely, cyclooxygenase (COX),²⁹
217 lipooxygenase (LOX)³⁰ and nitric oxide synthase (NOS)³¹ was investigated as described in
218 Supplementary information S6

219 **Enzyme linked immunosorbent assay (ELISA)**

220 The release of PGE2 (prostaglandin E2) in the supernatants of PBMCs of different groups
221 was measured as per the method of EIA kit (Cayman chemicals, USA).

222 **Hematological study *in vivo***

223 Hematological parameters such as haemoglobin content, total white blood cell (WBC) count,
224 RBC count, neutrophil, lymphocyte and blood urea nitrogen of the normal and nanocapsule
225 injected rats were analysed using a semi-auto analyzer.

226 **Toxicity markers status *in vivo***

227 Activity of the following toxicity markers- serum glutamate oxaloacetate transaminase
228 (SGOT), serum glutamate pyruvate transaminase (SGPT), glutamate oxaloacetate
229 transaminase (GOT) and glutamate pyruvate transaminase (GPT),³²; serum creatine kinase
230 (CK);³³ and serum alkaline phosphatase (ALP)³⁴ were assayed using established procedure
231 and described in Supplementary information S7

232 Gene level toxicity study by RT-PCR analysis

233 mRNA was isolated from various tissues like liver, aorta, heart, kidney, spleen and lungs for
234 the study of important toxicity predictor genes like of interleukin1beta (IL-1 β), monocyte
235 chemotactic protein-1(MCP-1), transforming growth factor beta 1 (TGF- β 1), kidney injury
236 molecule-1(Kim-1), tumor necrosis factor alpha (TNF- α) and heat shock gene (Hsp70-1) by
237 means of trizol reagent from Medox Biotech India PVT, Ltd. RT-PCR and PCR amplification
238 were carried out using the RT-PCR kit from QIAGEN, India. Initial PCR activation for 15
239 min at 95⁰C, followed by 3 steps of cycling process. Each cycle consists of denaturation
240 for 1min at 94⁰C, annealing for 1min at 63⁰C, extension for 1min at 72⁰C, repeated for 37
241 cycles and final extension for 10min at 72⁰C. The PCR products were run on 0.8% agarose
242 gels and stained with ethidium bromide and visualized with a UV-transilluminator.

243 Western blotting studies of immunoregulatory proteins

244 The western blotting of cytokines (IL-8), chemokines (MIP-2) and cell adhesion molecules
245 (VCAM-1 and ICAM-1) was done as reported.³⁵ Briefly, peripheral blood mononuclear cells
246 were washed 3 times in PBS before lysis on ice in 150 mmol/L NaCl, 10 mmol/L EDTA, 10
247 mmol/L NaN₃, 10 mmol/L Tris (pH 8.0), 1 mmol/L PMSF, 5 mmol/L iodoacetamide, and
248 1% NP-40. Lysates were centrifuged at 15 000g for 10 minutes to remove debris and stored
249 in aliquots at -20⁰C. Lysates were boiled for 5 minutes in nonreducing sample buffer (10
250 mmol/L Tris [pH 6.8], 2% SDS, 20% glycerol, and 0.001% [wt/vol] bromophenol blue) and
251 resolved by 8% SDS–polyacrylamide gel electrophoresis (PAGE) with protein lysate from an
252 equal number of cells loaded per lane. Separated proteins were transferred to nitrocellulose
253 membranes and blocked for 1 hour at room temperature in PBS plus 3% (wt/vol) powdered
254 milk and 0.1% Tween 20. Primary antibody of IL-8, MIP-2, VCAM-1, ICAM-1 (Abcam
255 India) was added at the indicated dilution in blocking buffer and incubated on a rocking
256 platform for 1 hour at room temperature. Binding was detected by incubation with
257 peroxidase-conjugated secondary antibody (Sigma), diluted 1:1000 in blocking buffer and
258 visualized by chemiluminescence.

259 Histological analysis of rat tissue

260 Tissues (liver, aorta, heart, kidney, lungs and spleen) were fixed with Bouin's fluid and
261 processed for sectioning following standard techniques as described previously.³⁶ Tissues
262 were embedded in paraffin wax (58-60⁰C), sectioned at 5-6 μ m thickness on a microtome
263 (York Inc. USA) and stained with Harris' Hematoxylin-Aqueous Eosin stain and observed
264 for histological changes under light microscope.

265 **Statistical analysis**

266 All statistical calculations were carried out with the statistical package for social sciences
267 (SPSS) software program. The values are expressed as the mean \pm SD. The data were
268 statistically analyzed using analysis of variance (ANOVA) and significant difference of
269 means was determined using Duncan's multiple range tests at the level of $p < 0.05$.

270 **Results and Discussion**

271 PAH nanocapsules of 360nm size were characterised successfully by SEM (scanning electron
272 microscope) (Fig.1A). Cationic nanomaterials often induce toxicity to blood cells and can
273 participate in non-specific interactions with blood components which ultimately lead to
274 severe inflammatory reactions or enhance fast clearance from the whole body system.¹⁷ In
275 order to access the hemocompatibility of PAH nanocapsule in human blood, haemolysis³⁷
276 and morphological change in RBCs were performed. Further, the effect of different
277 concentration of PAH nanocapsules on haemolytic activity was studied as illustrated in Fig.
278 1B. It is observed that the haemolytic activity was lower than 2% which clearly suggests that
279 these capsules are highly blood compatible. We note that positive control sample (silica
280 nanoparticles) has produced significantly high level of haemolysis and it is has been reported
281 that up to 5% hemolysis is permissible for biomaterials.³⁸ The level of ROS are reported to be
282 increased on toxicity but in the PAH treated group there is an increase in the level of ROS
283 (Fig 1C) showing the biocompatibility of PAH nanocapsules. Further, morphology of RBCs
284 (Fig 1D) and monocytes (Fig 2B) didn't show any change in the morphology when compared
285 with the control samples. Our results suggest that there is lesser or no aggregation of
286 nanocapsules, which can change the morphology of cells and induce their lysis (Fig.1D).
287 Thus, the blood compatibility studies demonstrated promising haemocompatibility of PAH
288 nanocapsules.

289 Examination of inherent cytotoxicity of delivery vehicles is imperative so as to design
290 biocompatible materials. To this end we have performed variety of cytotoxicity assays (e.g.
291 MTT, Trypan blue, neural red and LDH) with rat PBMCs for different treatment durations-
292 24, 48 and 72hrs (Fig 3). MTT assay (Fig 3A) and Trypan blue assay (Fig 3D) clearly
293 indicate the non-toxic nature of PAH nanocapsules to PBMCs even at higher concentration
294 (6.0×10^5) for a period of 72 hrs. The MTT assay is used to measure mitochondrial activity,
295 which is directly correlated to cell viability for both attached and poorly attached cells.²⁴ PAH
296 nanocapsule showed no significant dose-dependent cytotoxic effects at the tested
297 concentrations (1.5×10^5 to 6.0×10^5 ml). More than 90% of the cells were viable when

298 compared to the control. The neutral red cell viability assay (Fig 3B) provides a quantitative
299 estimation of the number of viable cells in a culture condition.^{39, 40} It is based on the ability of
300 viable cells to incorporate and bind neutral red, which predominantly accumulates in the
301 lysosomes. The results showed above 90% cell viability at the highest tested concentration
302 (6.0×10^5 and at longest treatment duration (72 hrs). Results from these assays indicate that
303 there is no mitochondrial membrane damage or lysosomal leakage during treatment. Thus,
304 these results further confirm the non-toxic nature of PAH nanocapsules. In another cell
305 cytotoxicity assay, the proportion of lactate dehydrogenase (Fig 3C) found in the cell medium
306 (relative to the value obtained from control cells) was similar at different treatment
307 concentrations of PAH nanocapsules and for different treatment durations (24, 48 and 72 h).
308 These results based on different cytotoxicity assays highlight the promising biocompatibility
309 of the PAH nanocapsules. Moreover, these results were in agreement with previous
310 reports.^{41, 42}

311 Further, we performed comet assay (Fig 2C) with the polymer capsules to assess their
312 genotoxicity profile. Genotoxicity studies indicate damage to the DNA and are essential for
313 investigating the biological suitability of delivery systems.⁴³ Fig 2C clearly suggests that there
314 is no formation of comet in the cells treated with different concentration of PAH capsules.
315 However, the positive control samples of silica nanoparticles show the formation of comet
316 which matches with the previous reports. This indicates that the capsules do not have any
317 DNA level toxicity. The levels of ROS and thiobarbituric acid reactive substances (TBARS)
318 are reported to be increased upon toxicity, but on administration of PAH capsules no
319 toxicological responses was observed, demonstrating their cytocompatibility. Similarly,
320 alteration in the levels of GSH content is a sign for oxidative stress mediated toxicity. In the
321 present study (Fig.2D), the level of GSH in PAH treated cells was normal and similar to the
322 GSH level observed in the control group. However, in the silica nanoparticles administered
323 group there is a gradual reduction in the levels of GSH. These results demonstrate the non-
324 toxic behaviour of PAH nanocapsules and are in agreement with earlier studies.²⁸

325 Blood biochemical parameters such as serum glutamic oxaloacetic transaminase (SGOT) (Fig
326 4D), serum glutamic pyruvic transaminase (SGPT) (Fig 4A), creatine kinase (CK) (Fig 4C)
327 and alkaline phosphatase (ALP) (Fig 4F) were assayed in the serum. Glutamic oxaloacetic
328 transaminase (GOT) (Fig 4E) and glutamic pyruvic transaminase (GPT) (Fig 4B) were
329 assayed in liver and kidney respectively. Aminotransferases such as SGOT and SGPT are
330 useful in monitoring renal toxicity and hepatic toxicity induced by chemicals or stress

331 conditions. Serum aminotransferase levels will enhance when there is an inflammation in the
332 liver and kidney. GOT and GPT are aminotransferases made almost exclusively by the liver
333 cells. When the liver is inflamed it can leak GOT and GPT into the serum where it can be
334 measured (SGOT and SGPT) as an indicator of inflammation. The levels of alkaline
335 phosphatase (Fig 4F) and CK (Fig 4C) were found to be normal when compared with control.
336 The present study showed no significant changes in the levels of these enzyme parameters in
337 blood, liver or kidney during the entire treatment period, indicating the nontoxic behaviour of
338 PAH nanocapsules in the animal system.⁴⁵ The biochemical marker status were normalised in
339 PAH treated (Group III-V) in comparison with Group I and Group II. Our results are in
340 agreement with other reports regarding toxicity.¹⁶

341 The inflammatory marker cyclooxygenase (COX) is the key enzyme catalyzing the
342 conversion of arachidonic acid to prostaglandin H₂, which is a precursor of wide variety of
343 biologically active mediators such as PGE₂, prostacyclins and thromboxane A₂.
344 Overproduction of these inflammatory mediators can lead to the inflammatory diseases.⁴⁵ The
345 5-lipoxygenase (5-LOX) pathway is responsible for the production of leukotrienes;
346 inflammatory lipid mediators that have a role in the innate immunity but that can also play a
347 proatherogenic role.⁴² Nitric oxide production is increased by the inducible NOS (iNOS),
348 subsequently brings about cytotoxicity and tissue damage.⁴⁶ The PAH treated group showed
349 least immune response, showing that PAH nanocapsules didn't elicit any immune response
350 by activating COX (Fig 5A), LOX (Fig 5B), NOS (Fig 5C) and PGE₂ (Fig 5D) with
351 different concentration in PBMCs

352 *In vivo* haematological parameters are very important for predicting nanocapsules toxicity in
353 rat model. In the present work, interaction of polymer capsules with the blood components of
354 rat have been investigated in detail by various haematological parameters such as
355 haemoglobin content (Fig 6A), total WBC count (Fig 6B), RBCs count (Fig 6C), neutrophil
356 (Fig 6D), lymphocyte (Fig 6E) and blood urea nitrogen (Fig 6F) by using a semi-auto
357 analyzer and found to be within the normal range. Fig 6 clearly indicates that PAH capsules
358 exhibit a nontoxic and biocompatible *in vivo* profile after 30 days of treatment in PAH treated
359 groups (Group III- V) in comparison to Group I and Group II.

360 Interleukin-1 beta (IL-1 β) is one of the toxicity markers of liver toxicity and has been shown
361 to play an important role in IL-1 β signaling in acute and chronic liver injury.⁴⁷⁻⁵⁰ The gene
362 level expression of IL-1 β was found to be normal in PAH treated groups (Group III-V; with
363 different concentration of PAH nanocapsules) when compared with Group I and Group II

364 (Fig 7A). Monocyte chemotactic protein-1 (MCP-1) stimulates the migration of monocytic
365 cells and predominantly activates polymorphonuclear leukocytes and results in severe
366 inflammatory responses.⁵¹ The expression of MCP-1 in aortic tissue was found to be normal
367 in PAH nanocapsules treated groups (Group III-V; with different concentration of PAH
368 nanocapsules) (Fig 7D) showing suitability of using PAH nanocapsules *in vivo*. Transforming
369 growth factor- β 1 (TGF- β 1) is a locally generated cytokine that has been implicated as a
370 major stimulator of tissue fibro inflammatory changes. TGF- β 1 has a major influence on
371 fibroblast proliferation and extracellular matrix production, particularly of collagen and
372 fibronectin, while reducing degradation of these components.⁵² Moreover, TGF- β 1 gene up
373 regulation in heart tissue leads to cardiotoxicity and apoptosis.⁵³ The level of TGF- β 1 was
374 found to be unaltered in PAH treated group as similar to Group I and II (Fig 7C).

375 Kidney injury molecule-1 (KIM-1) is an emerging biomarker and its expression and release
376 are induced upon injury. Expression of KIM-1 is also associated with tubulointerstitial
377 inflammation and fibrosis.⁵⁴ In the current study, the expression of KIM-1 level was found to
378 be within the normal level (Fig 7B) showing that PAH nanocapsules are non toxic. The
379 expression of tumor necrosis factor alpha (TNF- α) is found be an important marker for the
380 activation of inflammation in spleen. TNF- α activates diverse signalling cascades leading to
381 number of cellular responses, which include cell death, survival, differentiation, proliferation
382 and migration. Vascular endothelial cells respond to TNF- α by undergoing a number of pro-
383 inflammatory changes, which increase leukocyte adhesion, transendothelial migration and
384 vascular leak and promote thrombosis.⁵⁵ The gene level expression of TNF- α was found to be
385 normal in PAH treated groups (Group III-V) with different concentration of PAH
386 nanocapsules) when compared with Group I and Group II (Fig 7E), thereby showing
387 suitability for *in vivo* drug delivery applications. Hsp70-1 is one of the reliable markers for
388 lung toxicity and is elevated under a variety of stressful conditions.⁵⁶ The expression of the
389 Hsp70-1 gene was in the normal level for PAH treated groups when compared with the
390 control group, but the silica treated group showed an altered level of gene expression (Fig
391 7F). Over all, the gene level study by RT PCR analysis (Fig 7) indicates the non-toxic nature
392 of PAH nanocapsules.

393 The expression of immunomodulatory proteins are important markers of immunotoxicity.⁵⁷
394 Deregulation of cytokine gene expression explicitly represents alteration in the immune
395 system. Consequently, analysis of cytokine gene expression has been widely employed for
396 immunotoxicity testing i.e. evaluating the toxic effects of chemicals/delivery vehicles on the

397 immune system.⁵⁸⁻⁶⁰ The levels of cytokines (IL-8) were highly elevated in silica
398 nanoparticles treated group, while the PAH administered groups showed least level of
399 activation of cytokines (Fig 8A). Chemokines are major regulators of the inflammatory
400 response and play an important role in inducing toxicity. These chemokines participate in the
401 chemotaxis and activation of neutrophils and macrophages respectively.⁶¹ In the present
402 study, there is an elevated expression of chemokines in silica treated group (Fig 8B), which is
403 preceded by an increase in the transcription of the corresponding chemokine gene.⁶¹
404 Inflammatory and immune responses involve a close contact between different populations of
405 cells. Cell adhesion molecules are critical participants in the vascular dysfunction and tissue
406 injury, which is associated with a wide variety of inflammatory and cardiovascular diseases.⁶²
407 These adhesive interactions mediate migration of cells to sites of inflammation and the
408 effector functions of cells within the lesions.⁶³ Investigation of expression of cell adhesion
409 molecules in case of different treatment groups showed an altered level of expression in case
410 of silica nanoparticles treated groups. However, the PAH treated group showed least level of
411 alteration of cell adhesion molecules (Fig 8C). Along with the gene expression studies, the
412 immunoregulatory studies by western blotting also indicate that the PAH nanocapsules do not
413 induce any immunotoxicological response.

414 Microscopic observation of various tissues by histopathological study also suggest the
415 nontoxic nature of PAH nanocapsules. As observed in Fig 9-11, there is no sign of tissue
416 damage or infiltration in case of the PAH nanocapsules treated groups. The morphological
417 architecture of liver, aorta, lungs, heart, kidney and spleen showed almost same
418 morphological similarity with Group I and Group II after 30 days of experimental period.
419 Moreover, the histopathological observations are in agreement with earlier studies on toxicity
420 of nanocapsules.^{16, 64} Thus histopathological observation suggest nontoxic *in vivo* behaviour
421 of PAH nanocapsules indicating their potential for *in vivo* drug delivery applications.

422 **Conclusion**

423 PAH nanocapsules were subjected to comprehensive investigation of toxicity and
424 biocompatibility by employing a set of *in vitro* and *in vivo* studies. *In vitro*
425 haemobiocompatibility studies, cytotoxicity assays and immunomodulatory studies in rat
426 PBMCs suggest that PAH nanocapsules are haemocompatible and nontoxic. *In vivo* toxicity
427 markers activity, haematological parameters alteration, RT-PCR analysis of important genes
428 and expression of immunomodulatory proteins for PAH nanocapsules treated animals
429 indicate their biocompatible and non-toxic nature. Histopathological studies of important

430 tissues showed almost normal architecture after treatment using different concentration of
431 PAH nanocapsules for treatment duration of 30 days. Overall, the present study suggests that
432 PAH nanocapsules are non-toxic and exhibit a biocompatible behaviour both at the *in vitro*
433 and *in vivo* level for using it as a carrier for atherosclerotic drug delivery and imaging
434 applications.

435 **Acknowledgments**

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437 University of Kerala and financial assistance received from UGC-SAP (DRS-II) for the
438 Department of Biochemistry, University of Kerala, India for the specific study.

439 **Conflict of interest statement**

440 Authors declare that they have no conflict of interest

441 **References**

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562 Figure legends

563 **Fig. 1** [A] SEM micrograph of Polyallylamine Hydrochloride (PAH) Nanocapsules; [B]
564 Haemolysis study in blood; [C] ROS generation peripheral blood mononuclear cells
565 (PBMCs) ; [D] Morphological studies on Red blood cell (R.B.C) in whole blood. The cells
566 were treated with silica nanoparticles 200 µg/mL; PAH nanocapsules with varying number
567 (PAH 1-1.5 x 10⁵ capsules/ml, PAH 2-3.0 x 10⁵ capsules/ml, PAH 3- 4.5 x 10⁵ capsules/ml
568 and PAH 4- 6.0 x 10⁵ capsules/ml). Each value represents mean ± SD of six values.

569 **Fig. 2** [A] Lipid peroxidation in PBMCs; [B] Morphological alteration study of Monocytes ;
570 [C] Genotoxicity study by comet assay; [D] Intracellular Glutathione (GSH) depletion
571 Assay. The cells were treated with silica nanoparticles 200 µg/mL; PAH nanocapsules with
572 varying number (PAH 1-1.5 x 10⁵ capsules/ml, PAH 2-3.0 x 10⁵ capsules/ml, PAH 3- 4.5 x
573 10⁵ capsules/ml and PAH 4- 6.0 x 10 capsules/ml⁵). Each value represents mean ± SD of
574 six values.

575 **Fig. 3 Cytotoxicity studies** [A] MTT assay in PBMCs; [B] Neutral red uptake cell viability
576 assay in PBMCs; [C] LDH assay in PBMCs; [D] Trypan blue dye exclusion method in
577 PBMCs . The cells were treated with silica nanoparticles 200 µg/mL; PAH nanocapsules
578 with varying number (PAH 1-1.5x 10⁵ capsules/ml, PAH 2-3.0 x 10⁵ capsules/ml, PAH 3-
579 4.5 x 10⁵ capsules/ml and PAH 4- 6.0 x 10⁵ capsules/ml). Each value represents mean ± SD
580 of six values.

581 **Fig. 4 Toxicity markers status *in vivo*** [A] Serum glutamate pyruvate transaminase
582 (SGPT); [B] Glutamate pyruvate transaminase (GPT) in Kidney and Liver; [C] Serum
583 creatine kinase (CK); [D] Serum glutamate oxaloacetate transaminase (SGOT); [E]
584 Glutamate oxaloacetate transaminase (GOT) in Kidney and Liver; [F] Serum alkaline
585 phosphatase (ALP). Group-I Control, Group-II Saline treated Group -III- V- PAH
586 nanocapsules treated and Group-VI- Silica particle. Each value represents mean ± SD of six
587 values.

588 **Fig. 5 Inflammatory markers response study by PAH nanocapsules interaction** [A]
589 Cyclooxygenase (COX) Assay; [B] Lipooxygenase (LOX) Assay; [C] Nitric oxide synthase
590 (NOS); [D] PGE2 (Prostaglandin E2).Group-I Control, Group-II Saline treated, Group -III-
591 V- PAH nanocapsules treated and Group-VI- Silica particle. Each value represents mean ±
592 SD of six values.

593 **Fig. 6 Hematological study *In vivo*.** [A] Haemoglobin content; [B] Total White Blood Cell
594 (WBC) count; [C] RBC count; [D] Neutrophil %; [E] Lymphocyte%; [F] Blood urea
595 nitrogen (BUN). Group-I Control, Group-II Saline treated Group -III-V- PAH
596 nanocapsules treated and Group-VI- Silica particle. Each value represents mean \pm SD of six
597 values.

598 **Fig. 7 Graphical Representation of RT-PCR study.** [A] Interleukin1beta (IL-1 β) in Liver
599 tissue; [B] Kidney Injury Molecule-1(Kim-1) in Kidney tissue; [C] Transforming growth
600 factor beta 1 (TGF- β 1) in Heart Tissue; [D] Monocyte chemotactic protein-1(MCP-1) in
601 Aortic Tissue; [E] Tumor necrosis factor alpha (TNF- α) in Spleen Tissue ; [F] Heat shock
602 gene (Hsp70-1) in Lung tissue. Group-I Control, Group-II Saline treated Group -III-V- PAH
603 nanocapsules treated and Group-VI- Silica particle. Each value represents mean \pm SD of six
604 values.

605 **Fig. 8 Western blotting studies of Immunoregulatory proteins** [A] Cytokines-
606 Interleukin 8 (IL-8) [B] Chemokines-Macrophage inflammatory protein 2 (MIP-2); [C] Cell
607 adhesion molecules (Vascular cell adhesion molecule-1 (VCAM-1) and ICAM-1
608 (Intercellular Adhesion Molecule 1). Group-I Control, Group-II Saline treated Group -III-V-
609 PAH nanocapsules treated and Group-VI- Silica particle. Each value represents mean \pm SD
610 of six values.

611 **Fig. 9 Histological Analysis of Rat tissue (Liver & Kidney)** - The images from A-F
612 corresponds to that of Liver tissue and images from G-L corresponds to that of Kidney
613 tissue. Group-I Control, Group-II Saline treated Group -III-V- PAH nanocapsules treated
614 and Group-VI- Silica particle.

615 **Fig. 10 Histological Analysis of Rat tissue (Heart & Lungs)** - The images from A-F
616 corresponds to that of Heart tissue and images from G-L corresponds to that of Lungs
617 tissue. Group-I Control, Group-II Saline treated Group -III-V- PAH nanocapsules treated
618 and Group-VI- Silica particle.

619 **Fig. 11 Histological Analysis of Rat tissue (Aorta & Spleen)** - The images from A-F
620 corresponds to that of Aorta tissue and images from G-L corresponds to that of Spleen
621 tissue. Group-I Control, Group-II Saline treated Group -III-V- PAH nanocapsules treated
622 and Group-VI- Silica particle.

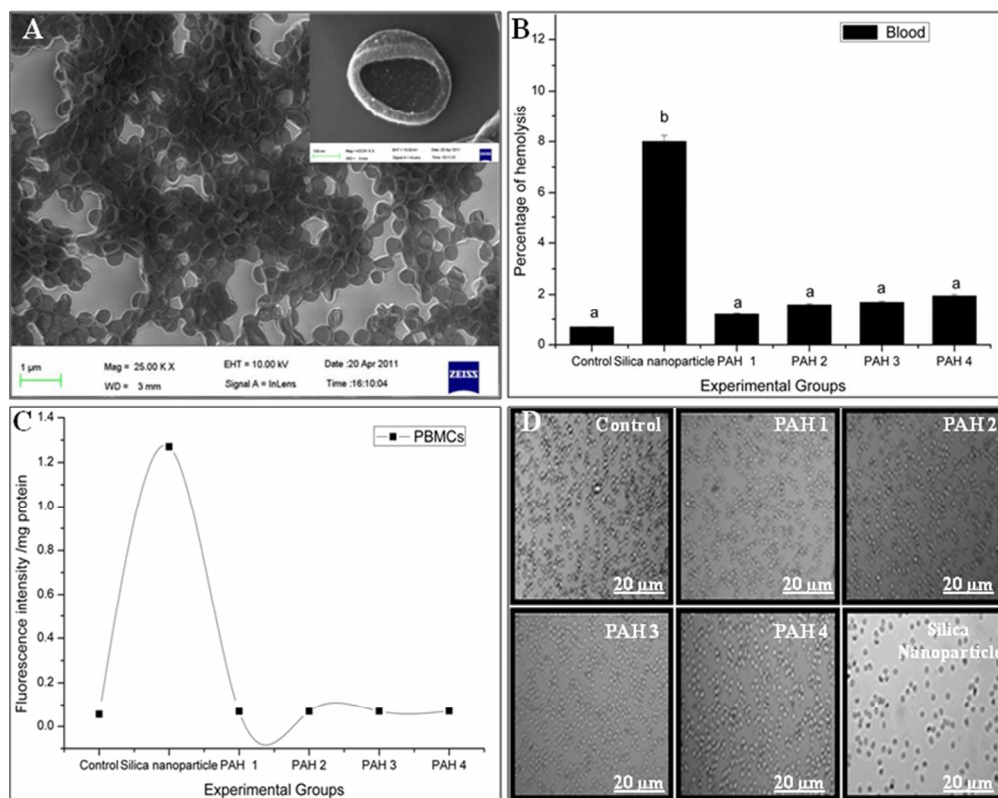


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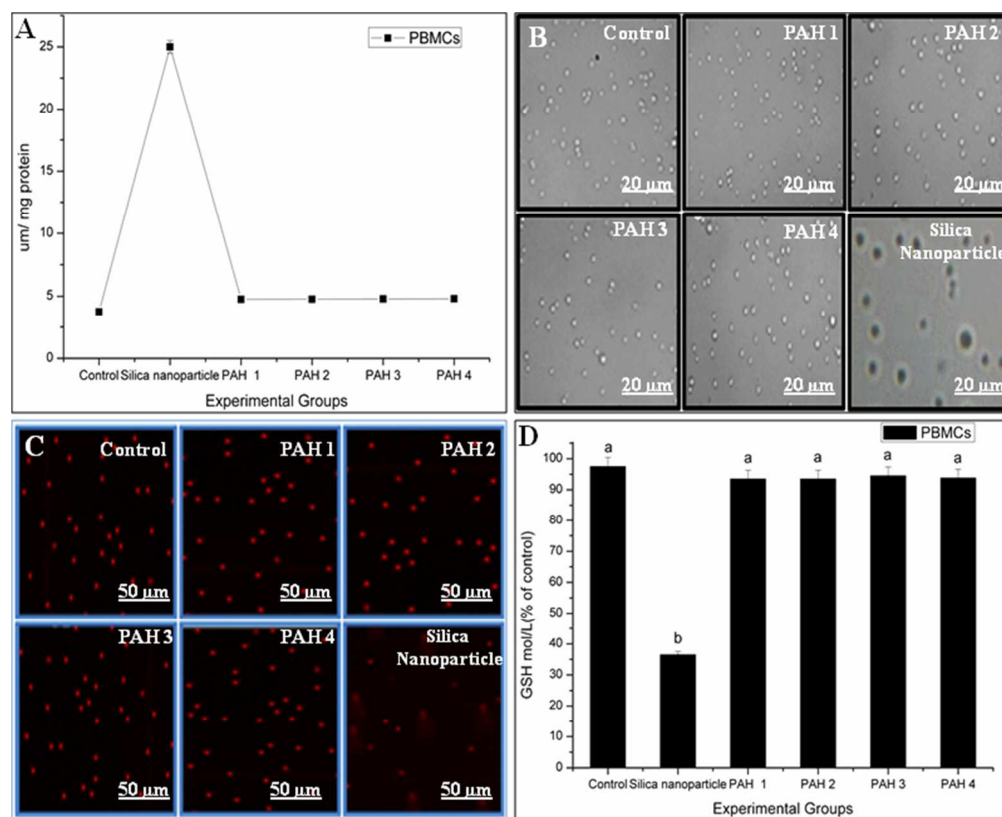


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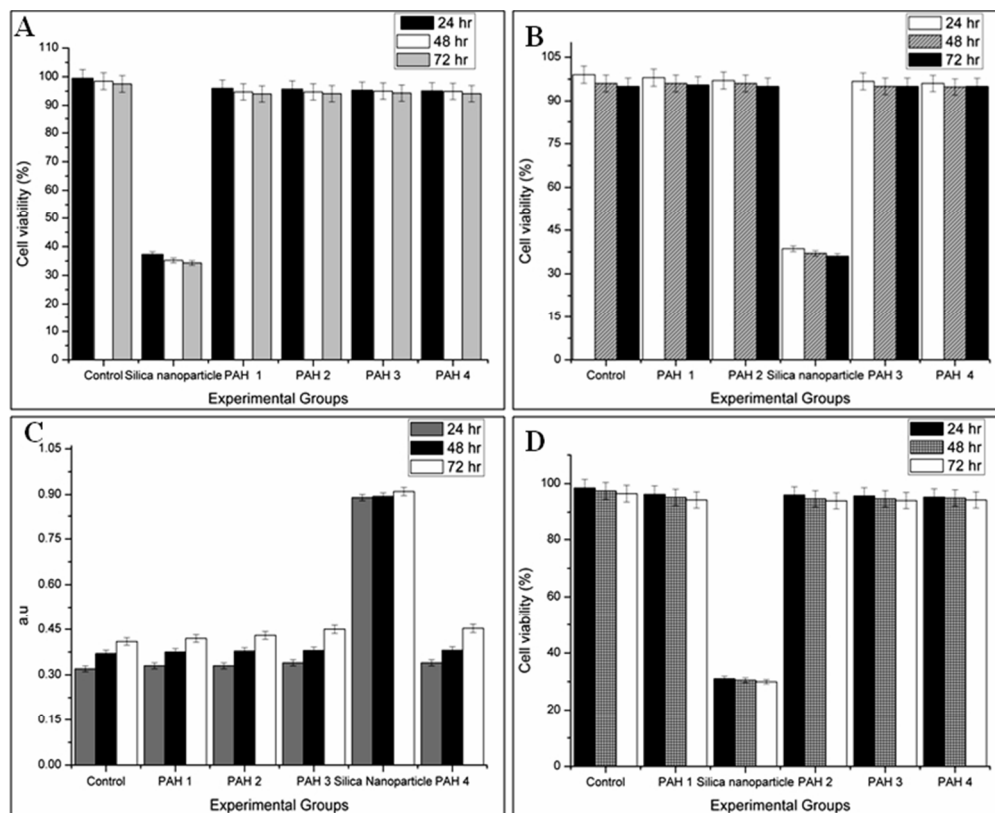


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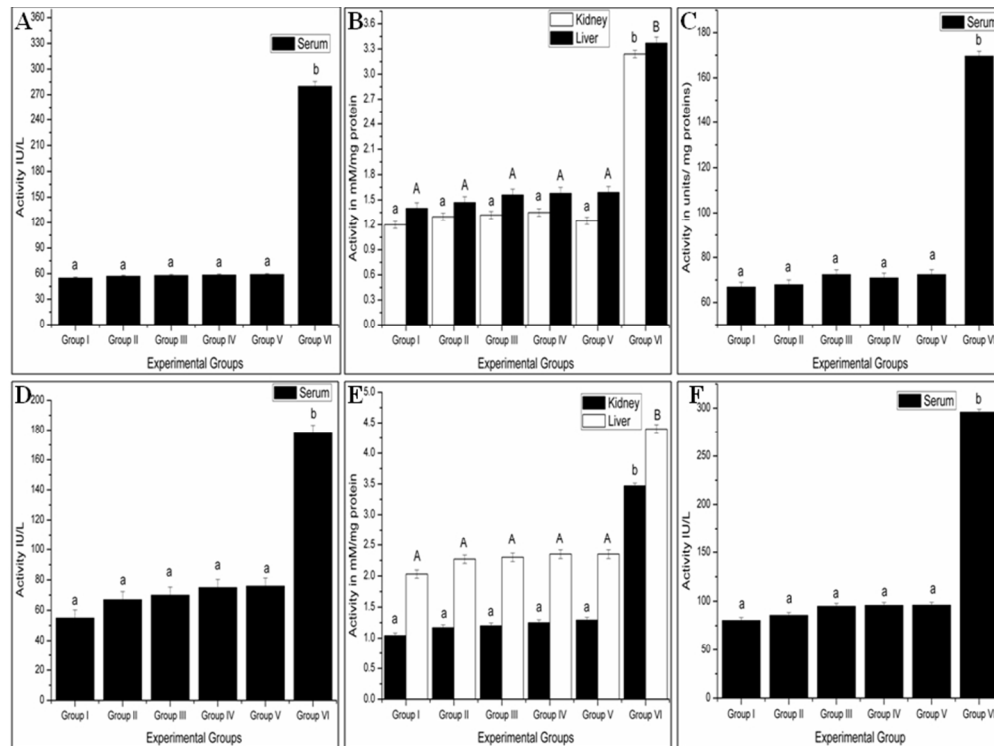


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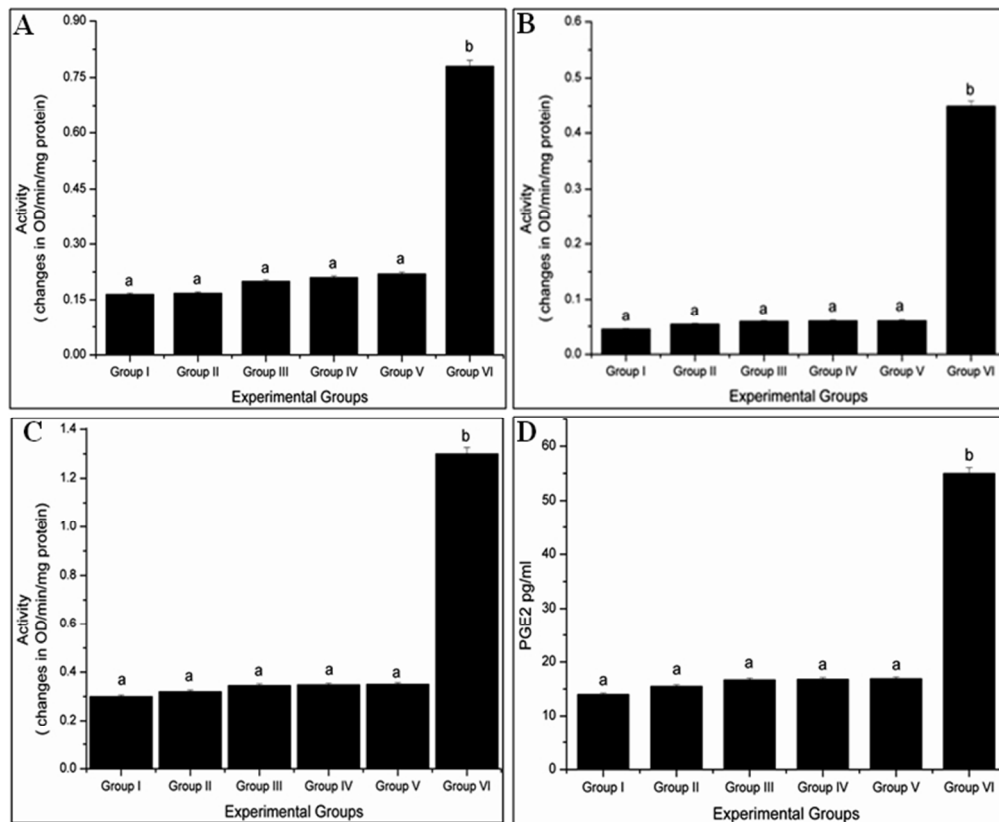


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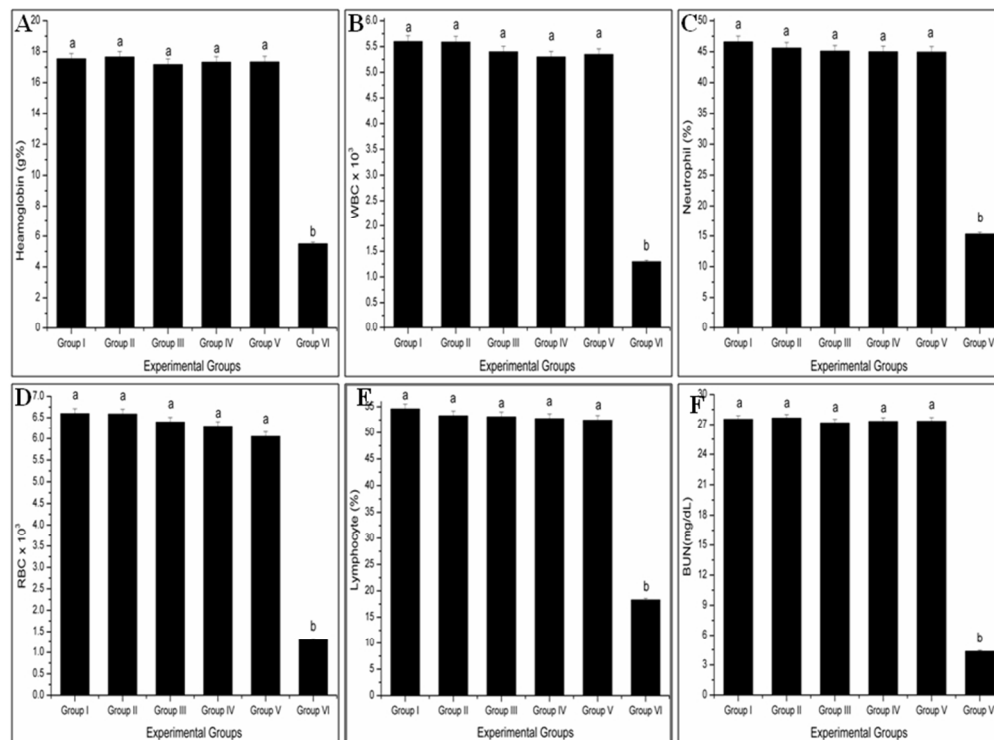


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246x181mm (96 x 96 DPI)

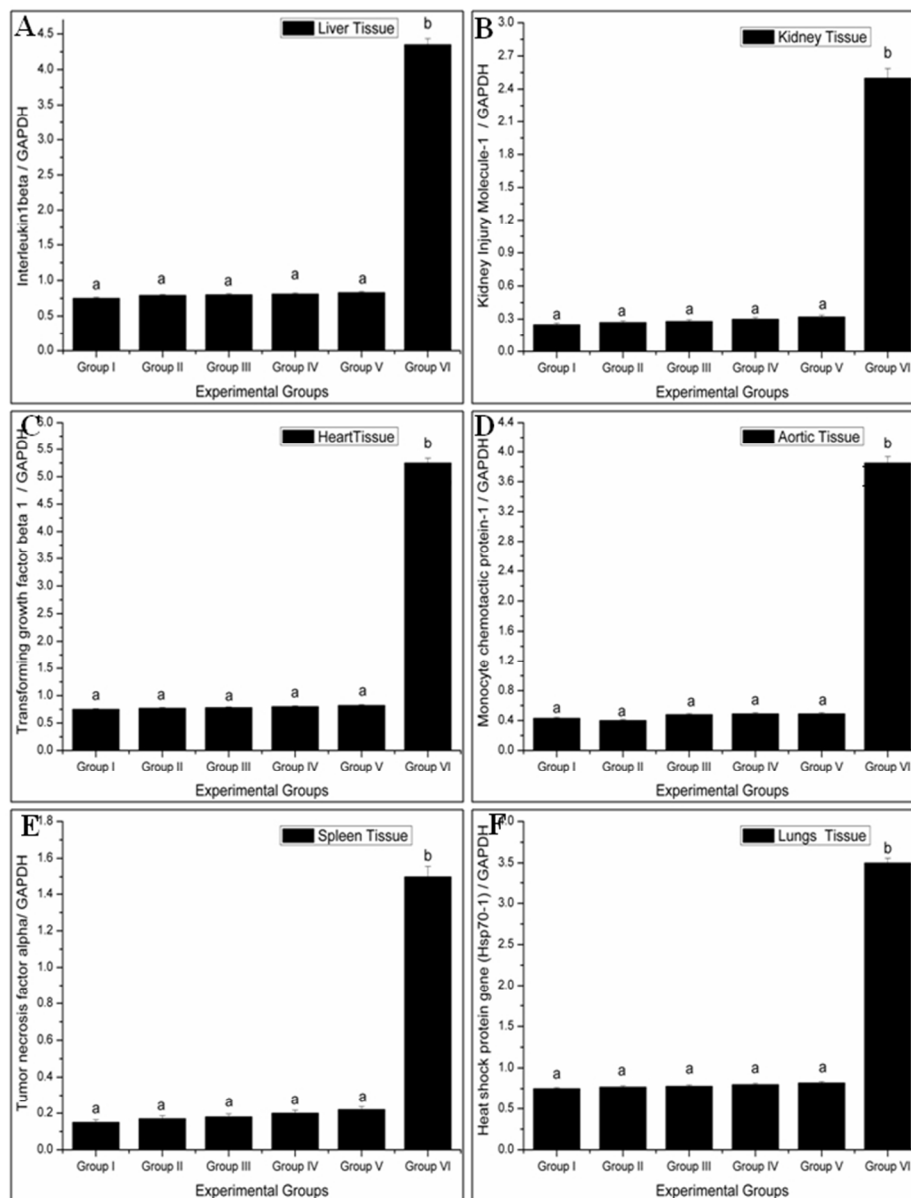


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190x246mm (96 x 96 DPI)

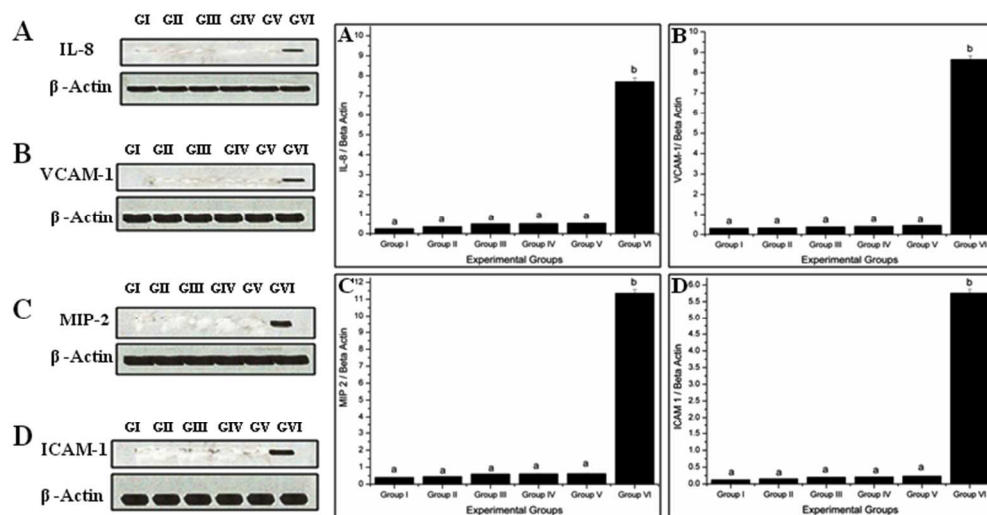


Fig. 8 Western blotting studies of Immunoregulatory proteins [A] Cytokines- Interleukin 8 (IL-8) [B] Chemokines-Macrophage inflammatory protein 2 (MIP-2); [C] Cell adhesion molecules (Vascular cell adhesion molecule-1 (VCAM-1) and ICAM-1 (Intercellular Adhesion Molecule 1). Group-I Control, Group-II Saline treated Group -III-V- PAH nanocapsules treated and Group-VI- Silica particle. Each value represents mean \pm SD of six values.
215x111mm (96 x 96 DPI)

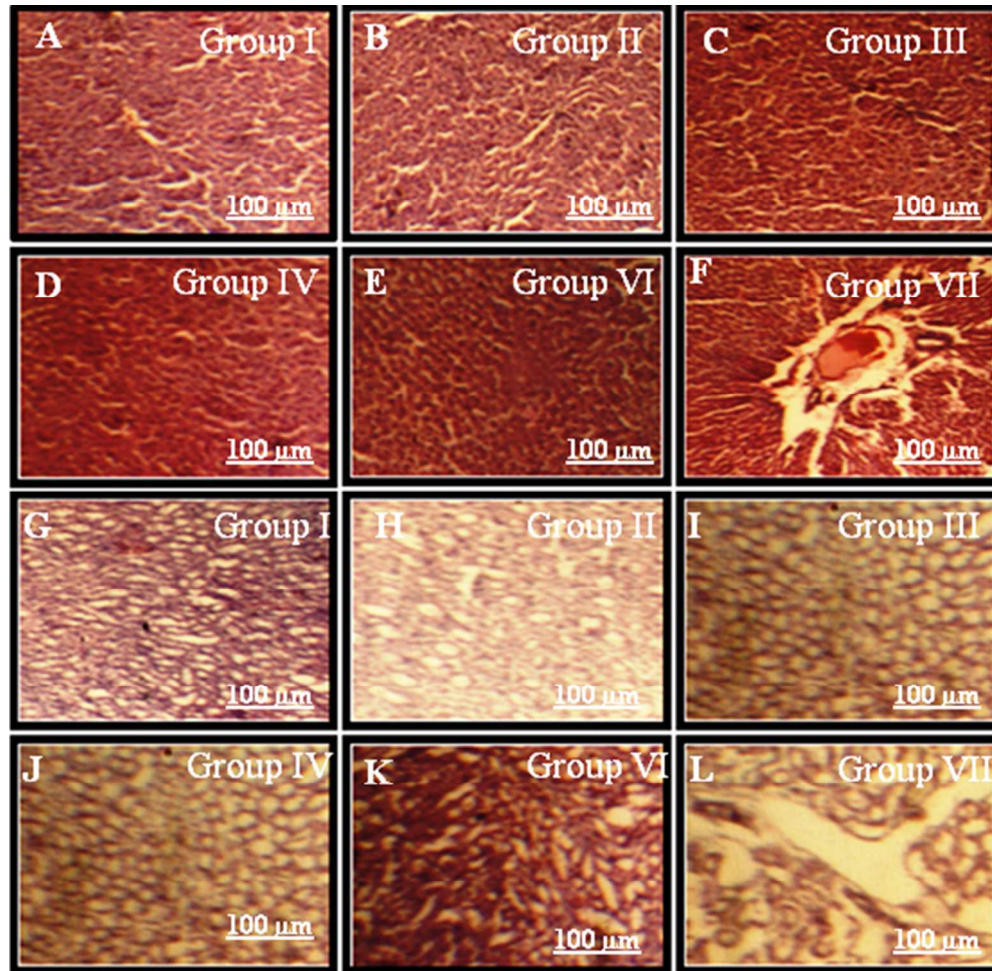


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147x143mm (96 x 96 DPI)

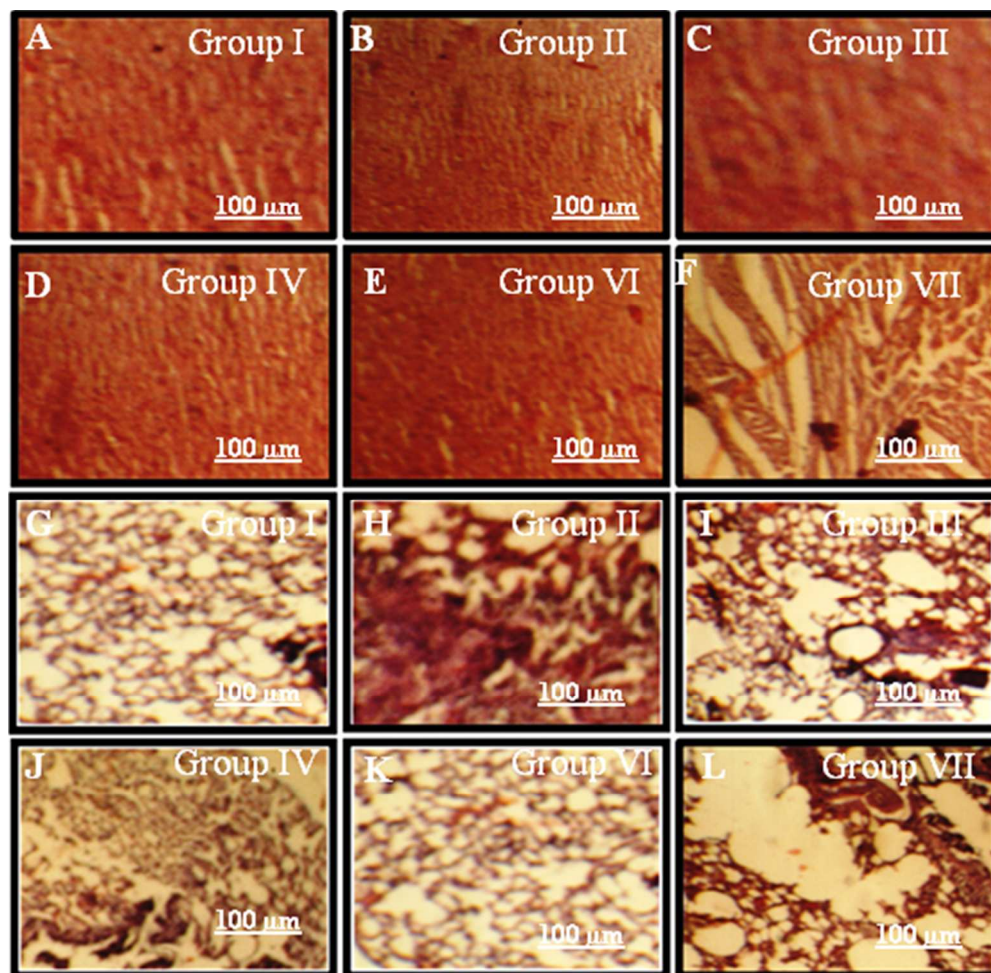


Fig. 10 Histological Analysis of Rat tissue (Heart & Lungs) - The images from A-F corresponds to that of Heart tissue and images from G-L corresponds to that of Lungs tissue. Group-I Control, Group-II Saline treated Group -III-V- PAH nanocapsules treated and Group-VI- Silica particle.
148x144mm (96 x 96 DPI)

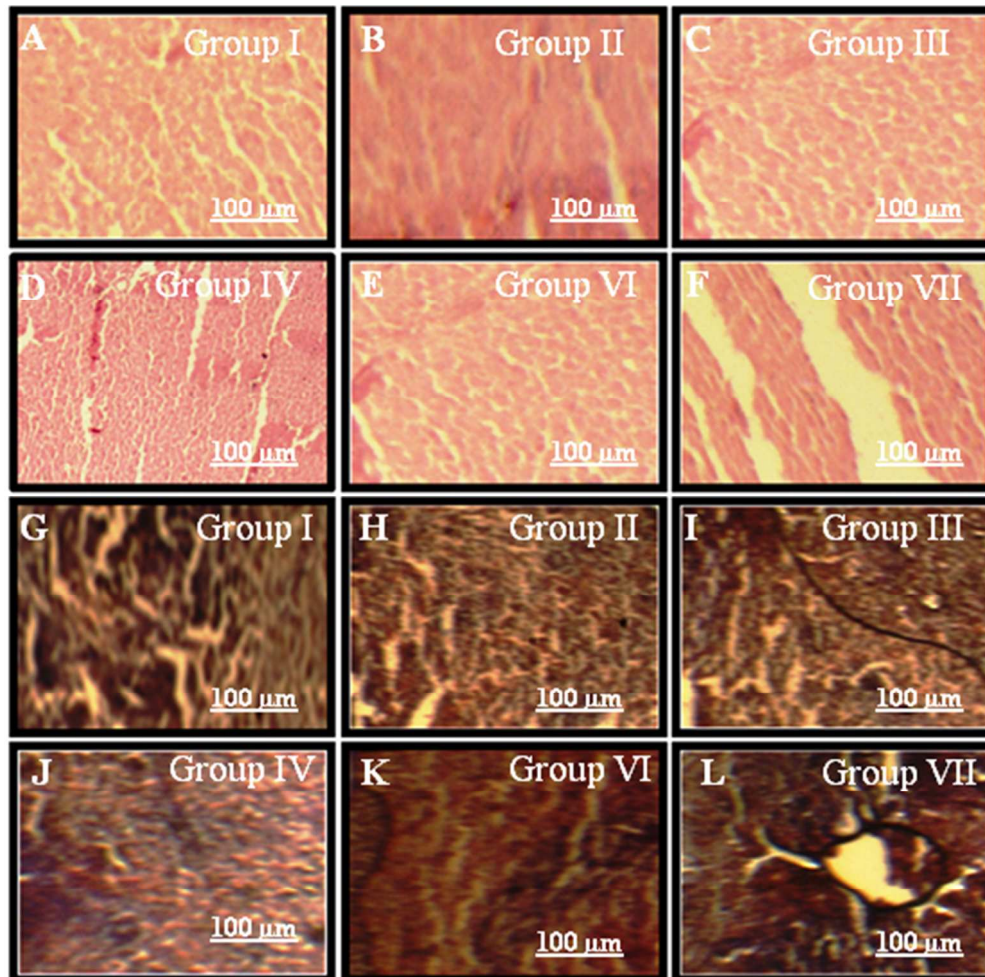


Fig. 11 Histological Analysis of Rat tissue (Aorta & Spleen) - The images from A-F corresponds to that of Aorta tissue and images from G-L corresponds to that of Spleen tissue. Group-I Control, Group-II Saline treated Group -III-V- PAH nanocapsules treated and Group-VI- Silica particle.
147x144mm (96 x 96 DPI)

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PAH nanocapsules studies in rats showed that it is biocompatible and nongenotoxic for further *in vivo* drug delivery studies.

