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ARTICLE TYPE

Palladium catalyzed direct allylation of azlactones with simple allylic alcohols in the absence of any activators†

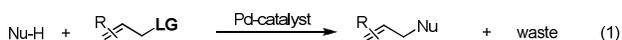
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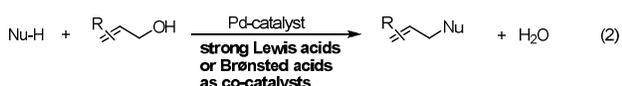
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The first example for the readily scalable direct allylic alkylation of azlactones with simple allylic alcohols has been developed, which is catalyzed by Pd(PPh₃)₄ alone in the absence of any activators under neutral conditions.

Palladium-catalyzed α -allylic alkylations of carbonyl compounds, namely Tsuji-Trost reaction, are powerful and widely used methodologies for C-C bond formation in the synthesis of pharmaceuticals, biologically active natural products, and materials.¹ Generally, such reactions involve the allylic alkylation of nucleophiles with activated allylic alcohol derivatives as allylic species, for instance, carbonates, acetates, phosphates, amines and halides, which always generate stoichiometric waste (Scheme 1, eq.(1)).¹ From the viewpoint of environmental issues and atom-/step-economy², the direct use of simple allylic alcohol itself as precursor of π -allyl fragment is much more attractive and practical for such kind of transformations, which gives only water as by-product. However, presumably suffering from the poor leaving capability of the hydroxyl group at allylic alcohol, extra

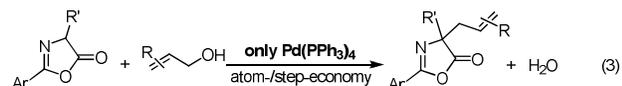


LG: leaving group, such as acetates, carbonates, phosphates, or halides



Only two examples for Pd alone catalyzed direct allylic alkylation using allylic alcohol!

This work:



Scheme 1 Profile of Tsuji-Trost-type allylation reaction.

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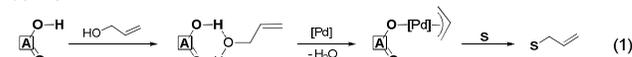
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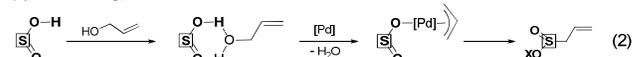
activators are intrinsically required to activate *in situ* allylic alcohol for the success of allylation, including toxic inorganic acids³, strong Lewis acids⁴, and Brønsted acids⁵ (Scheme 1, eq.(2)). Despite great advances in Tsuji-Trost-type allylations have defined the current state of the art in C-C bond-forming reactions,^{1,3-5} the direct use of simple allylic alcohol as allylating reagents in the absence of any activating reagents has rarely been achieved.⁶ Herein, we report the first example of Pd(PPh₃)₄ alone catalyzed highly efficient allylation of azlactones with simple unactivated allylic alcohols in the absence of any activators under neutral conditions (Scheme 1, eq.(3)).⁷ It is noteworthy that the approach provides a practical access to quaternary allylic amino acids via hydrolysis of the allylated azlactones, which is of great potential in the synthesis of biologically active molecules.⁸

Inspired by the Pd/Brønsted acid co-catalyzed allylation, in which the hydrogen bonding between Brønsted acid and allylic alcohol played a critical role for the generation of π -allyl-Pd intermediate that propel the following allylic process⁵ (Scheme 2, eq. (1)), we reasoned that the extra addition of Brønsted acid might be not necessary if allylic alcohol can be activated by substrateself via hydrogen bonding (Scheme 2, eq. (2)). Upon this hypothesis, azlactone **A** is selected as the starting material owing to its tautomerization to 5-hydroxy-oxazole **B**.⁹ The latter might react with allylic alcohol via hydrogen bonding (**C**) to expel the hydroxyl group, followed by insertion of Pd(0) catalyst for the generation of the key π -allyl-Pd intermediate **D**; then **D** gives the desired allylated adduct **E** (Scheme 2, eq. (3)).

(1) Reported Brønsted acid-assisted activation mode:

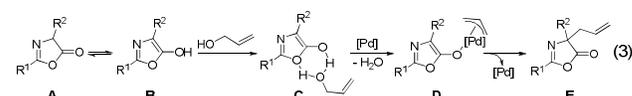


(2) This strategy: Substrateself-assisted activation:



A: Brønsted acid, **S**: substrate; **A**: substrate bearing H-bonding sites

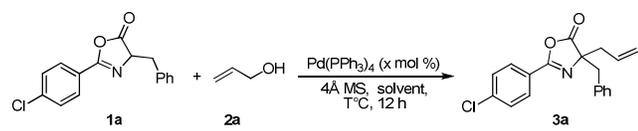
(3) Developing a working hypothesis for allylation of azlactone with allylic alcohol:



Scheme 2 Activation modes of allylic alcohol and the working hypothesis.

Gratifyingly, the treatment of azlactone **1a** with two equiv of allylic alcohol **2a** in the presence of 5.0 mol % Pd(PPh₃)₄ and 4Å molecular sieves (4Å MS) in toluene at 60°C for 12 h provided the allylated product **3a** in almost quantitative yield smoothly (Table 1, entry 1). Remarkably, even reducing the Pd(PPh₃)₄ loading to 1.5 mol % didn't affect the yield (entry 2). Lowering the reaction temperature from 60°C to 40°C resulted in 75% yield (entry 3). A belief examination of the solvent effect revealed toluene as the solvent of choice. Changing the solvent to ethyl acetate (EA) and methyl-*tert*-butylether (MTBE) lowered the yield of **3a** to 40% and 20%, respectively (entries 4 and 5).

Table 1 Optimization of the reaction conditions

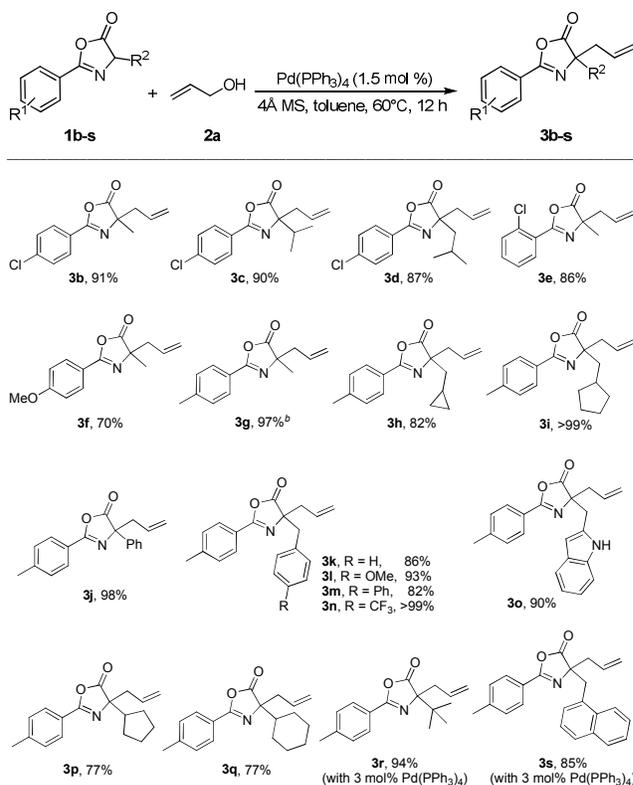


Entry ^a	Pd(PPh ₃) ₄	T(°C)	Solvent	Yield ^b
1	5.0 mol %	60	Toluene	>99%(98%) ^c
2	1.5 mol %	60	Toluene	>99%(97%) ^c
3	1.5 mol %	40	Toluene	75%
4	1.5 mol %	40	EA	40%
5	1.5 mol %	40	MTBE	20%

^a Reaction conditions: **1a** (0.2 mmol), **2a** (0.4 mmol), 4Å MS (50 mg), solvent (1.0 mL). ^b Determined by ¹H NMR analysis of the crude reaction mixture. ^c Isolated yield in the parenthesis.

With the optimized reaction conditions in hand, our attention was turned to investigation of the substrate scopes with respect to azlactones and allylic alcohols. First, a series of azlactones were employed as the nucleophiles. Gratifyingly, the protocol is quite general and tolerates azlactones bearing a range of substitutes, including electron-withdrawing and electron-donating groups (Table 2). Besides **1a**, treatment of azlactones **1b-d** substituted with a chlorine atom at the *para*-position with **2a** in the presence of 1.5 mol % Pd(PPh₃)₄ and 4Å MS at 60°C in toluene for 12 hours, the corresponding allylated adducts **3b-d** efficiently formed in 91%, 90%, and 87% yield, respectively. Azlactone **1e**, with a chlorine atom at the *ortho*-position, furnished **3e** in 86% yield as well. Bearing the aryl group with electron-donating substituent, such as methoxyl and methyl group, **1f-j** gave the desired products **3f-j** in 70->99% yield; even the reaction enlarged to two-gram scale, **3g** was readily isolated in 97% yield. Substrates having different electronic properties of benzyl groups at the 4-position of the oxazolone ring could also undergo the direct allylation reaction smoothly, affording **3k-n** in 82->99% yield. Interestingly, the nucleophile (**1o**) derived from tryptophan with an unprotected N-H group was surprisingly well tolerated, resulting in the alkylated product **3o** in 90% yield. Employing bulky substituted azlactones having 4-cyclopentyl (**1p**) and 4-cyclohexyl group (**1q**) under the mild reaction condition, the direct allylation processes proceeded in both 77% yield. Strikingly, the replacements of methyl (**1g**) with much more hindered *tert*-butyl (**1r**) and naphthalen-2-ylmethyl group (**1s**) are also applicable to the allylation reaction, and the reactions afforded **3r,s** in 94% and 85% yield respectively, although a slightly increased Pd(PPh₃)₄-loading to 3.0 mol % were required for complete substrate conversions.

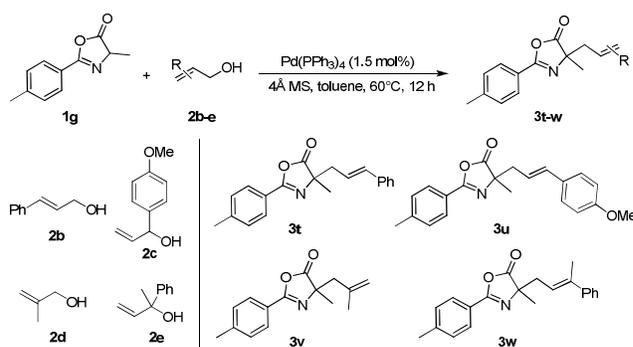
Table 2 Direct allylation of azlactones with allylic alcohol **2a**^a



^a Reaction conditions: **1** (0.2 mmol), **2a** (0.4 mmol), Pd(PPh₃)₄ (1.5 mol %), 4Å MS (50 mg), toluene (1.0 mL), 60°C, 12 h. All yields are isolated. ^b two-gram scale under standard reaction conditions.

Importantly, we were pleased to find that the practical direct allylic alkylation reaction can be easily extended to a range of substituted allylic alcohols (Table 3). Consequently, the treatment of **1g** with five substituted allylic alcohols **2b-f** facily led to

Table 3 Direct allylation of **1g** with diverse allylic alcohols^a



Entry	Allylic alcohol	Product	Yield
1 ^b	2b	3t	84%
2 ^b	2c	3t	80%
3	2d	3u	78%
4 ^b	2e	3v	97%
5	2f	3w	89%

^a Reaction conditions: **1g** (0.2 mmol), **2b-c** (0.24 mmol), Pd(PPh₃)₄ (1.5 mol %), 4Å MS (50 mg), toluene (1.0 mL), 60°C, 12 h; All yields are isolated. ^b 3.0 mol % of Pd(PPh₃)₄ used.

allylated products **3t-w** in 78-97% yields. It is noteworthy that the reactions of **1g** with both **2b** and **2c** exclusively afforded **3t** (entries 1 and 2) in similar yields, which indicate that both reactions proceeded via the same π -allyl-Pd intermediate.⁵ Remarkably, allylic alcohol **2f** bearing highly steric hindrance is also suitable for the process, the reaction afforded **3w** in 89% yield smoothly.

In conclusion, we have developed an efficient direct allylic alkylation of azlactones with simple allylic alcohols using Pd(PPh₃)₄ alone as catalyst under neutral conditions by substrateself-assisted activation strategy. The approach can be easily extended to gram scale in almost quantitative yield, which provides a practical synthetic approach to quaternary allylic amino acids.⁸ Further applications of the practical method, as well as the asymmetric variant of this reaction are under investigation in our laboratory and will be reported in due course.

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