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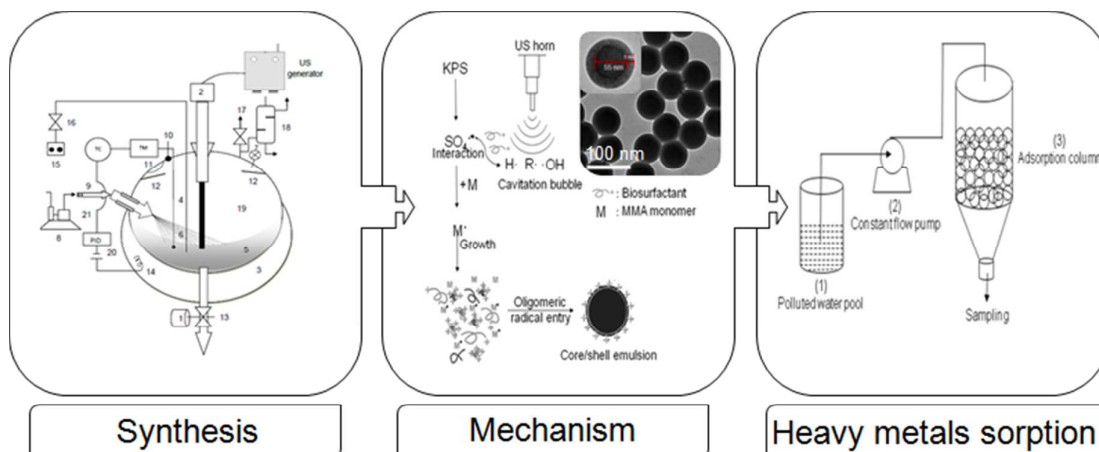
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Non-toxic core/shell poly(methyl methacrylate)/surfactin nanoparticles was synthesized via US route for removal of  $\text{Pb}^{2+}$ ,  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$  and  $\text{Fe}^{2+}$  ions

1 Sonochemical synthesis of poly(methyl methacrylate) core/surfactin shell nanoparticles for  
2 recyclable removal of heavy metal ions and its cytotoxicity

3 Debasree Kundu<sup>a,‡</sup>, Chinmay Hazra<sup>a,‡</sup>, Aniruddha Chatterjee<sup>b,\*</sup>, Ambalal Chaudhari<sup>a</sup> and  
4 Satyendra Mishra<sup>b</sup>

5 *<sup>a</sup>School of Life Sciences, North Maharashtra University, Jalgaon, Maharashtra, India*

6 *<sup>b</sup>University Institute of Chemical Technology, North Maharashtra University, Jalgaon,  
7 Maharashtra, India*

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18 \*Corresponding author. Tel.: +91 257 2258420. Fax: +91 257 2258403. E-mail:  
19 aniruddha\_chatterjee2006@yahoo.co.in

20 <sup>‡</sup>These authors contributed equally as first authors in this manuscript

## 1 Abstract

2 This work deals with the use of intensified processes based on the combined use of acoustic  
3 cavitation and biosurfactant for enhancing the latex yield and colloid characteristics in the  
4 acoustic atomized microemulsion polymerization of methyl methacrylate (MMA). It was  
5 compared with oil/water (o/w) modified atomized microemulsion process in a conventional  
6 mechanically agitated reactor. The synthesized nanoparticles were further applied for  
7 selective removal of  $\text{Pb}^{2+}$ ,  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$  and  $\text{Fe}^{2+}$  ions from water. Batch adsorption equilibrium  
8 was reached in 30 min and maximum uptakes for  $\text{Pb}^{2+}$ ,  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$  and  $\text{Fe}^{2+}$  in non-  
9 competitive adsorption mode were 86, 52, 80, and 71  $\text{mg g}^{-1}$ , respectively at 30 °C.  
10 Adsorption data were fitted well to Langmuir isotherm and pseudo-second-order models for  
11 kinetic study. The heavy metals loaded on these nanoparticles could be desorbed in acid or  
12 EDTA solution and adsorption capacity of the regenerated adsorbent was not significantly  
13 declined after five cycles of adsorption-desorption cycles. Negative values of Gibb's free  
14 energy change ( $\Delta G^{\circ}$ ) showed that the adsorption was feasible and spontaneous and negative  
15 values of enthalpy change ( $\Delta H^{\circ}$ ) confirmed exothermic adsorption. A cell viability study  
16 indicated that the nanoparticle is non-cytotoxic in nature. Lastly, a continuous filtering-type  
17 water purification device was designed and constructed to successfully attain the World  
18 Health Organization standard for drinking water.

19

20

21

22 **Keywords:** Core-shell nanoparticles; Poly(methyl methacrylate); Ultrasound; Adsorption;  
23 Heavy metals

## 1 **1. Introduction**

2 The discharge of heavy metal wastes into the effluent over the past few decades has  
3 inevitably resulted in an increased flux of metallic substances into the global aquatic  
4 environment due to their acute toxicity, non-biodegradability, and build-up in high  
5 concentrations.<sup>1-7</sup> Point and non-point source industrial runoffs from battery manufacturing,  
6 printing and pigment, tanneries, oil refining, mining smelting, electroplating, paintings, and  
7 most recently e-wastes resulted in elevated levels and chronic toxicity of lead ( $\text{Pb}^{2+}$ ),  
8 cadmium ( $\text{Cd}^{2+}$ ), copper ( $\text{Cu}^{2+}$ ) and iron ( $\text{Fe}^{2+}$ ).<sup>8,9</sup> Consequently, the maximum permissible  
9 limit of these heavy metal ions in inland surface water and drinking water are 0.006, 0.01,  
10 0.25, and 0.1  $\text{mg l}^{-1}$ , respectively, according to US EPA.

11 Due to the inherent advantages of adsorption technology, it is the most widely studied  
12 because of its low operational and maintenance costs and high efficiency, especially for the  
13 heavy metal ions with low concentration.<sup>10,11</sup> A large number of polymers incorporating a  
14 variety of chelating-ligands (e.g., poly(ethyleneimine), iminodiacetate, amidoxime,  
15 phosphoric acid, dithiocarbamate, thiazolidine,  $\text{Fe}_3\text{O}_4$ ) have been prepared and their  
16 adsorption and analytical properties investigated.<sup>12-14</sup> As reported in these studies, the  
17 carboxyl and amine groups have been found to be two most effective functional groups for  
18 heavy metal removing from aqueous solutions.<sup>12,14</sup> The idea of using different amino acid  
19 based chelating ligands stems from (i) higher reactivity of these chelating ligands with metal  
20 ions; (ii) higher structural flexibility and durability of these ligands; (iii) easy modification by  
21 existing chemical methods to facilitate desorption under mild conditions; and (iv) lower  
22 material and manufacturing costs.<sup>12</sup> In spite of a huge amount of research effort being  
23 devoted towards natural and synthetic polymers, most commercial adsorbents are micron  
24 sized and highly porous beads to ensure adequate surface area for adsorption of different  
25 substances including metal ions and proteins.<sup>13</sup> On the other hand, for nanoscale materials,

1 even though they aggregate into microspheres, it is still difficult to recycle them for their  
2 superior dispersive properties in solution. Moreover, conventional separation methods,  
3 including centrifugation or filtration, are time consuming and may lead to loss of the  
4 adsorbent. Hence, it is essential to develop new greener and ecologically benign polymeric  
5 adsorbents to offset the disadvantages of non-selective adsorption, biocompatibility,  
6 operational costs for adsorbent separation and recycling, and most importantly low specific  
7 surface area as well as low internal diffusion limitation. Taking into cognizance of these  
8 shortcomings, there is an upswing in the publications which deals with encapsulation of  
9 nanoparticles with different organic shells in most recent literature.<sup>13,14</sup> However, the  
10 preparation and encapsulation of these nanoparticles are generally two or more separate  
11 processes.<sup>5,13,14</sup> Most importantly, heavy metal ion adsorption experiments are often  
12 performed in a batch-by-batch manner, a method which is impracticable in drinking-water  
13 treatment. Thus, the utilization of a continuous metal ion adsorption filter system device is  
14 highly desirable for the purification of drinking water. However, such a technique for  
15 efficient and fast purification of drinking water by removing low concentration heavy metal  
16 ion pollutants has rarely been reported. Further, the non-cytotoxicity of the materials is also  
17 another vital factor for a material to be a novel and useful adsorbent with simultaneous heavy  
18 metals sorption. Unfortunately, as far as we can ascertain, there are no literature reports on  
19 the toxicity level.

20         Considering the biocompatibility, low toxicity and high adsorption ability of both  
21 poly(methyl methacrylate) (PMMA) and surfactin, here we report preparation and  
22 characterization of PMMA-surfactin core/shell nanoparticles. PMMA was chosen to be the  
23 polymeric core in this study for a couple of reasons. Firstly, PMMA is non-toxic, cheap and  
24 easy to obtain. PMMA exhibits excellent material properties such as exceptional mechanical  
25 strength, hardness, high rigidity, transparency, and good insulation properties.<sup>15,16</sup> However,

1 the hydrophobic surface of PMMA prevents adhesion to other substances, limiting its  
2 spectrum of applications. Some efforts have been made recently to incorporate nanoscale  
3 zerovalent iron, chitosan, polyethyleneimine *etc.*, into PMMA to obtain multipurpose  
4 functional nanoparticles. However, the resulting composite materials still fell short of  
5 expectations because of the significant difference in permittivities of the two components and  
6 the inhomogeneity between the two phases. These requirements inspired us to design a green  
7 one-pot synthesis for synthesizing biosurfactant functionalized core/shell PMMA  
8 nanoparticles. Being water soluble, nontoxic and non-immunogenic in nature, the  
9 biosurfactant surfactin is frequently used for heavy metal remediation. Other advantages  
10 include their production from inexpensive agro-based raw materials and organic wastes and  
11 retention of their activity even at extremes of temperature, pH and salt concentration.  
12 Introduction of surfactin in a polymer structure offers several biological advantages; *e.g.* it  
13 imparts a prolonged lifetime to the polymer in the bloodstream due to decreased uptake of  
14 surfactin-conjugates by the reticuloendothelial system of the body. Herein, the feasibility of  
15 enhancing the latex yield and colloid characteristics through the use of intensified processing  
16 technique, *i.e.* ultrasound (US) assisted atomized microemulsion polymerization is reported  
17 here and compared with a recently developed method from our group<sup>17-23</sup> that makes use of  
18 an oil/water (o/w) modified atomized microemulsion process (henceforth named as  
19 conventional atomized microemulsion) to synthesize polymer-biosurfactant core/shell  
20 nanoparticles for heavy metals remediation. Despite the intensive investigation on US  
21 polymerization of MMA,<sup>16,24-28</sup> to the best of our knowledge, there are no reports highlighting  
22 (i) core/shell morphology, specially using surfactant of microbial origin; (ii) minimal use of  
23 surfactant to achieve high PMMA solid content (traditional and US polymerization uses up to  
24 20 and 2 wt.% of surfactant of the total reactor charge, respectively<sup>29,16</sup>); and (iii) the process  
25 intensification characteristics. Next, we investigated the adsorption capacity of the

1 nanoparticles for  $\text{Pb}^{2+}$ ,  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$  and  $\text{Fe}^{2+}$  heavy metal ions in different pH solution and  
2 metal ion uptake capacity as a function of contact time, metal ion concentration and  
3 adsorbent dosage. We also studied the adsorption isotherms, kinetics and thermodynamics to  
4 understand the mechanism of the adsorption process and explored the effect of the  
5 background electrolytes, desorption and adsorbent reuse. A continuous filtering adsorption  
6 device with a rapid and high adsorption performance for different heavy metal ions was  
7 designed and built by using as-synthesized nanoparticles as the filtration adsorbents in order  
8 to demonstrate a continuous heavy metal ion removal process in drinking water purification.

## 9 **2. Experimental**

### 10 **2.1. Chemicals and reagents**

11  $\text{Pb}(\text{NO}_3)_2$ ,  $\text{CdCl}_2 \cdot 2.5\text{H}_2\text{O}$ ,  $\text{Cu}(\text{NO}_3)_2 \cdot 3\text{H}_2\text{O}$ ,  $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ , ammonium hydroxide, hydrochloric  
12 acid, humic acid (HA), methyl methacrylate (MMA, density:  $0.94 \text{ g cm}^{-3}$  at  $25 \text{ }^\circ\text{C}$ , A.R.  
13 grade), n-hexanol and methanol were purchased from S.D. Fine Chemicals Ltd. (Mumbai,  
14 India). MMA was used after the removal of inhibitors by a procedure reported by Bhanvase  
15 *et al.*<sup>16</sup> Potassium per sulfate (KPS,  $\text{K}_2\text{S}_2\text{O}_8$ ) was procured from Qualigens India Ltd.  
16 (Mumbai, India). HA was treated as per Tang *et al.*<sup>30</sup> Double distilled and deionized water  
17 (DDIW) was used during polymerization and in other experiments.

### 18 **2.2. Production and purification of surfactin**

19 Surfactin was obtained from the cell free broth of *Bacillus clausii* BS02 following the method  
20 described in Liu *et al.*<sup>31</sup> The isolation, purification and structural analysis were done  
21 according to Namir *et al.*<sup>32</sup> Purified surfactin consisted of a peptide loop containing seven  
22 amino residues bonded to a  $\beta$ -hydroxyl fatty acid chain with 15 carbon atoms (Fig. S1; see



1 ESI). The physico-chemical characteristics of this biosurfactant are listed in Table S1 (see  
2 ESI).

### 3 **2.3. Synthesis of PMMA nanoparticles (nPMMA) using acoustic and conventional** 4 **atomized microemulsion process**

5 US assisted atomized microemulsion polymerization was carried out for synthesizing  
6 nPMMA-surfactin core/shell (nPMMA<sub>US</sub>) particles in a cavitation reactor (Fig. S2a in ESI)  
7 using surfactin as biosurfactant (0.5% of MMA) instead of sodium dodecyl sulfate (SDS) and  
8 US probe in place of mechanical agitator. The reactor used consists of a jacketed glass vessel  
9 provided with US horn equipped with a generator and controlled by standard power source.  
10 The specifications of the US horn are as follows: operating frequency, 22 kHz; power rating,  
11 240 W; diameter of stainless steel tip,  $1.3 \times 10^{-2}$  m, surface area of US irradiating face,  $1.32 \times$   
12  $10^{-4}$  m<sup>2</sup>, expected US intensity,  $3.4 \times 10^5$  W m<sup>-2</sup> and the horn was operated at 50% amplitude.  
13 The actual power dissipation as measured using calorimetric method was 45.5 W giving an  
14 energy transfer efficiency of 18.9%. The depth of the horn in the solution was 1 cm and it  
15 was located at the centre of the vessel. The temperature was maintained at 55 °C (±1)  
16 throughout the experimental investigation for 1 h.

17 For comparison, conventional atomized microemulsion process was used to  
18 synthesize nPMMA-surfactin core/shell (nPMMA<sub>AM</sub>) particles in which the temperature of  
19 the polymerization reactor was maintained at 55 °C (±1) for 1 h as shown in Fig. S2(b)  
20 (ESI).<sup>23,33</sup> The reactor setup was similar except for the fact that US horn was replaced by  
21 mechanical stirring.

22 The nPMMA particles were isolated by drop wise addition of the latex into methanol  
23 with constant stirring, and the mixture was kept overnight for uniform dispersion of

1 precipitate. The precipitated particles were filtered under vacuum and washed several times  
2 with methanol/water (1:1) and then dried in a vacuum desiccator for 48 h at 40 °C.

### 3 **2.4. Characterization**

4 Calculations of monomer conversion, solid content, molecular weights and polydispersity  
5 index (PDI) are described in Supporting Information (see ESI). A transmission electron  
6 microscope (TEM, CM200, Philips) operating at 120 kV was used to image and study the  
7 morphology of the samples. The TEM samples were prepared by drop casting samples  
8 dispersion in the carbon coated copper (200 mesh) grid. The Z-average particle size, the  
9 distribution of the particle size and  $\zeta$ -potentials of nanoparticle latexes were measured using a  
10 Zetasizer (NanoZS, Malvern Instruments Ltd., UK). The diameters of highly diluted  
11 dispersions (in  $10^{-3}$  M HCl) were measured, at several temperatures between 20 and 50 °C.  
12 The  $\zeta$ -potentials were measured in 1 mM NaCl solution at room temperature. The pH values  
13 of nanoparticle dispersions were adjusted to be in a range of 1-12. The isoelectric points were  
14 determined at a pH where the  $\zeta$ -potential is zero. Fourier transforms infrared (FTIR) spectra  
15 of the biosurfactant surfactin and nPMMA particles were recorded on FTIR-8000 (Shimadzu,  
16 Tokyo, Japan) at resolution of  $0.5\text{ cm}^{-1}$  with an average of 32 scans. Quantitative  
17 measurement of grafted surfactin ( $G_{SR}\%$ ) was done using 2,4,6-trinitrobenzene sulfonic acid  
18 (TNBS) assay.<sup>23</sup>  $^1\text{H-NMR}$  measurements of nPMMA<sub>AM</sub> and nPMMA<sub>US</sub> particles were  
19 carried out on a Mercury Plus 300 NMR spectrometer (Varian, USA). The samples were  
20 dissolved in  $\text{CDCl}_3$  as an internal standard for carbon and TMS was used as an internal  
21 standard for proton. X-ray photoelectron spectroscopy (XPS) measurements on the  
22 nPMMA<sub>AM</sub> and nPMMA<sub>US</sub> particles were carried out using a X-ray photoelectron  
23 spectrometer (VG Multilab 2000-Thermo Scientific, UK, K-Alpha) with a multi-channel  
24 detector, which can endure high photonic energies from 0.1 to 3 keV. Binding energies (BE)

1 were referred to the C 1s line of adventitious carbon at 284.6 eV and determined with the  
2 resolution of  $\pm 0.1$  eV. These spectra were fitted assuming Gaussian-Lorentzian distribution  
3 for each peak, with a linear background in order to determine the binding energy of the  
4 various element core levels.

## 5 **2.5. Batch adsorption experiments**

6 The batch adsorption procedure consisted of distributing 2.00 mg of nPMMA<sub>US</sub> or  
7 nPMMA<sub>AM</sub> particles and 10 ml water solution containing selected concentrations of Pb<sup>2+</sup>,  
8 Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> in a series of 20 ml glass tubes. All tubes were sealed and shaken in a  
9 temperature-controlled shaker incubator set at 120 rpm. Effect of pH on the adsorption was  
10 studied in the pH range of 2.0-10.0 and the pH was adjusted using stock HNO<sub>3</sub> and NaOH  
11 solutions. For studying the effect of organic matter, a certain amount of HA solution was  
12 added to each of the tubes. The sorption kinetics was performed at the optimum pH (6.0)  
13 using 50 ml of each metal solution of known concentration (5-50 mg l<sup>-1</sup>) with 2.00 mg  
14 adsorbent at 30 °C. For the isotherm experiments, the initial solution pH was kept at 6.0, with  
15 varying metal concentration ranging from 5-50 mg l<sup>-1</sup> at three different temperatures 30, 40  
16 and 50 °C. At appropriate time intervals, solutions were centrifuged, and the supernatant was  
17 analysed to obtain residual heavy metals ion concentration. To determine the amount of ions  
18 adsorbed onto the surfaces, the difference between the initial and the equilibrium ion  
19 concentration by inductively coupled plasma-atomic emission spectrometer (ICP-AES)  
20 (Arcos, Spectro, Germany) was measured ( $\pm 0.01\%$ ). The concentrations of the adsorbed ions  
21 onto surfaces were determined through a calibration curve for the known ions concentration  
22 in the individual aqueous solution. The amount of metal ion sorbed at time  $t$  ( $q_t$ ), was  
23 calculated from the mass balance equation:

$$24 \quad q_t = (C_0 - C_t)V/M \quad (1)$$

1 where,  $q_t$  ( $\text{mg g}^{-1}$ ) is the amount of heavy metals adsorbed at time  $t$ ,  $C_0$  ( $\text{mg l}^{-1}$ ) is the  
2 initial heavy metals concentration,  $C_t$  ( $\text{mg l}^{-1}$ ) is heavy metal concentration at time  $t$ ,  $V$  (ml) is  
3 the volume of heavy metal solution, and  $M$  (g) is the adsorbent mass.

4 Desorption experiments were conducted to assess the reuse and regeneration potential  
5 of nPMMA<sub>US</sub> particles. After the adsorption experiments, the nanoparticles carrying metal  
6 ions(s) were separated. The saturated nanoparticles were dispersed into 10 ml of EDTA or  
7 HCl solution ( $0.01 \text{ mol l}^{-1}$ ). After shaking at 120 rpm for 60 min, the nanoparticles in the  
8 solid phase were separated from the solutions and the concentration of each metal ion in the  
9 supernatant was assayed. The regenerated nanoparticles were washed with DI water three  
10 times, and dried for reuse.

## 11 **2.6. Column dynamic study**

12 Continuous-flow experiments were conducted in a glass column (internal diameter of 1.5 cm  
13 and a height of 25 cm). The column was packed with nPMMA<sub>US</sub> particles at a bed height of 2  
14 cm. The device is consisted of three parts: first is the container to hold simulated polluted  
15 water, second is the pumping system used to pump polluted water through the continuous  
16 filtering device; and third is the filter filled with nPMMA<sub>US</sub> particles. The synthetic  
17 simulatory effluent (aqueous solutions of  $\text{Pb}^{2+}$ ,  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$  and  $\text{Fe}^{2+}$ ;  $30 \text{ mg l}^{-1}$ ) at pH 6.0 was  
18 fed upwards into the column using a peristaltic pump at a flow rate of  $1 \text{ ml min}^{-1}$  at  $30 \text{ }^\circ\text{C}$ .  
19 Samples were collected at the bottom of the column at different time intervals, and then  
20 analyzed for pH and metal concentrations using ICP-AES. All experiments were done in  
21 triplicates, and the data presented are the average values of three experiments.

## 22 **2.7. Cytotoxicity assay in peripheral blood mononuclear cells**

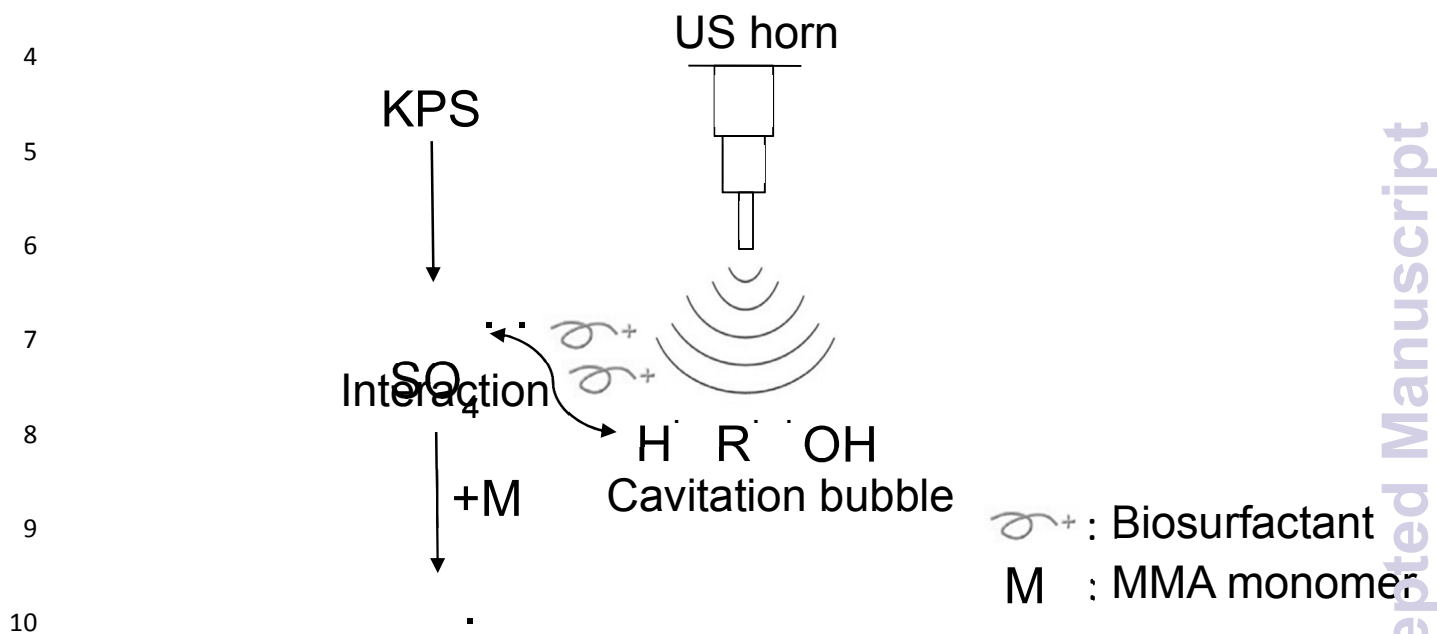
1 *In vitro* cytotoxicity assay was carried out using the colorimetric MTT assay. Different  
2 working stocks of nPMMA samples were prepared, and 0.1 ml of twofold dilution series of  
3 nPMMA was added in a 96-well microtiter plate by using 10% Roswell Park Memorial  
4 Institute medium. Stimulated peripheral blood mononuclear cells at  $2 \times 10^5$  per well were  
5 added in duplicate to the dilution suspension and the plates incubated for 5 days at 37 °C with  
6 humidified 5% CO<sub>2</sub> atmosphere. After incubation, cell viability was determined by (4,5-  
7 dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay (Sigma, St. Louis, MO). Then,  
8 20 µl (from a stock of 5 mg ml<sup>-1</sup>) reagent was added in each well and incubated at 37 °C for 4  
9 h in a CO<sub>2</sub> incubator. Dimethyl sulfoxide (0.1 ml) was added to each well and kept in the  
10 dark for 1 h at room temperature. Optical density was taken at 550 and 630 nm, the latter as a  
11 reference wavelength. To determine cell viability, we performed the experiment in triplicate  
12 and represented data as mean ± standard deviation. Cell viability (%) of all samples was  
13 compared with that of the control (bulk PMMA treated) cells and analyzed using one-way  
14 analysis of variance followed by Tukey's least significant difference and Duncan's *post hoc*  
15 tests. Statistical analyses were done using MiniTab Ver 16.0 (MiniTab, Inc., State College,  
16 PA, USA). Statistical significance was considered at 5% level ( $p < 0.05$ ) along with the  
17 control (bulk PMMA).

### 18 **3. Results and discussion**

#### 19 **3.1. Acoustic atomized microemulsion vs. conventional atomized microemulsion: a** 20 **comparative account**

21 The particle nucleation and growth mechanism for the polymerization process in this work  
22 occurred as follows (Scheme 1). The mechanism included firstly, the formation of three sets  
23 of radicals (the SO<sub>4</sub><sup>-</sup> radicals generated thermally, the H and OH radicals by the sonolysis of  
24 water and the radicals created by the sonochemical degradation of the surfactant and

1 monomer molecules), their ensuing interaction, reaction and neutralization. The remaining  
 2 'useful' radicals then participate in initiation, after which the polymerization proceeds as  
 3 described elsewhere.<sup>26</sup>



11 **Scheme 1.** Schematic representation of particle formation and growth during acoustic  
 12 atomized microemulsion polymerization and particle formation.

13 As can be seen from the schematic representation, the rate of polymerization (1.26 g l<sup>-1</sup> min<sup>-1</sup>) was  
 14 91% (rate of polymerization = 1.26 g l<sup>-1</sup> min<sup>-1</sup>) with particle size of 60 nm (Table 1).  
 15 method under the conditions (i.e., MMA, 5 wt.%, 4A;  
 16 surfactin, 0.5% of surfactin; power output, 50% amplitude; time, 1 h). As a

17 comparison, Bhanvase *et al.*<sup>16</sup> reported PMMA of 106 nm in US initiated *in situ* semibatch  
 18 emulsion polymerization using 4% KPS and 2% SDS. An important appealing feature of our  
 19 method is the biosurfactant/monomer weight ratio of 0.005, which is much lower than the  
 20 corresponding amounts reported in the literature.<sup>16,29</sup> In the case of the conventional atomized  
 21 microemulsion reactions, maximum conversion was 76% (rate of polymerization = 1.26 g l<sup>-1</sup>  
 22 min<sup>-1</sup>) and lowest particle size was of 70 nm at optimized conditions (Table 1).

Product characteristics	nPMMA <sub>US</sub> <sup>a</sup>	nPMMA <sub>AM</sub> <sup>b</sup>
Monomer conversion, %	91	76
Rate of polymerization, g l <sup>-1</sup> min <sup>-1</sup>	1.51	1.26
Solid content (%)	27.53±0.2	25.55±0.2
t* <sub>blue</sub> (min) <sup>c</sup>	04	07
Density of core polymer, g cm <sup>-3d</sup>	1.14	1.03
Particle size (DLS), nm	75.5	79.5
Particle size (TEM), nm	60.0	70.0
Polydispersity index <sup>c</sup>	0.56	0.61
Total number of latex particles, $N_p \times 10^{18}$	7.63	6.85
Polymer chains per particle, $N$	13	16
Percentage of grafted surfactin, G <sub>SR</sub> %	67	31
Shell per core weight ratio, R <sub>S:C</sub>	0.21	0.09
Amine groups per particle, Am-P (10 <sup>5</sup> )	46.5	22.3

1 <sup>a</sup>Process conditions: MMA, 5 wt.%; KPS, 3% of MMA; surfactin, 0.5% of MMA;  
 2 temperature, 55 °C; power output, 50% amplitude; time, 1 h.

3 <sup>b</sup>Process conditions: MMA, 5 wt.%; KPS, 3% of MMA; surfactin, 0.5% of MMA;  
 4 temperature, 55 °C; agitation, 250 rpm; time, 1 h.

5 <sup>c</sup>The time when the colour of the microemulsion turns blue.

6 <sup>d</sup>The parameter was referred to bulk density of the polymer.

7 **Table 1.** Product characteristics of nPMMA<sub>US</sub> and nPMMA<sub>AM</sub>.

8 In addition, US assisted microemulsion polymerization resulted in lower average particle size  
 9 and narrow particle size distribution. From the size distribution it is also apparent that there  
 10 are relatively a higher number of larger particles in the atomized microemulsion process  
 11 compared to the US supported polymerization process. Furthermore, the time required for  
 12 blue point appearance, an indication of initiation of polymerization and the formation of  
 13 oligomers,<sup>16</sup> is less in US microemulsion polymerization than atomized microemulsion. It is  
 14 postulated that increased monomer conversion in acoustic atomized microemulsion could be  
 15 due to the higher grafting of surfactin and hence, more amount of amine groups in  
 16 nPMMA<sub>US</sub>. As mentioned earlier that amine groups can form redox pairs with initiator,  
 17 therefore, the more amine groups led to the more redox pair formation, and the more free  
 18 radicals. The more amine groups could subsequently enhanced polymerization of the MMA  
 19 monomer and resulted in higher monomer conversion percentages.

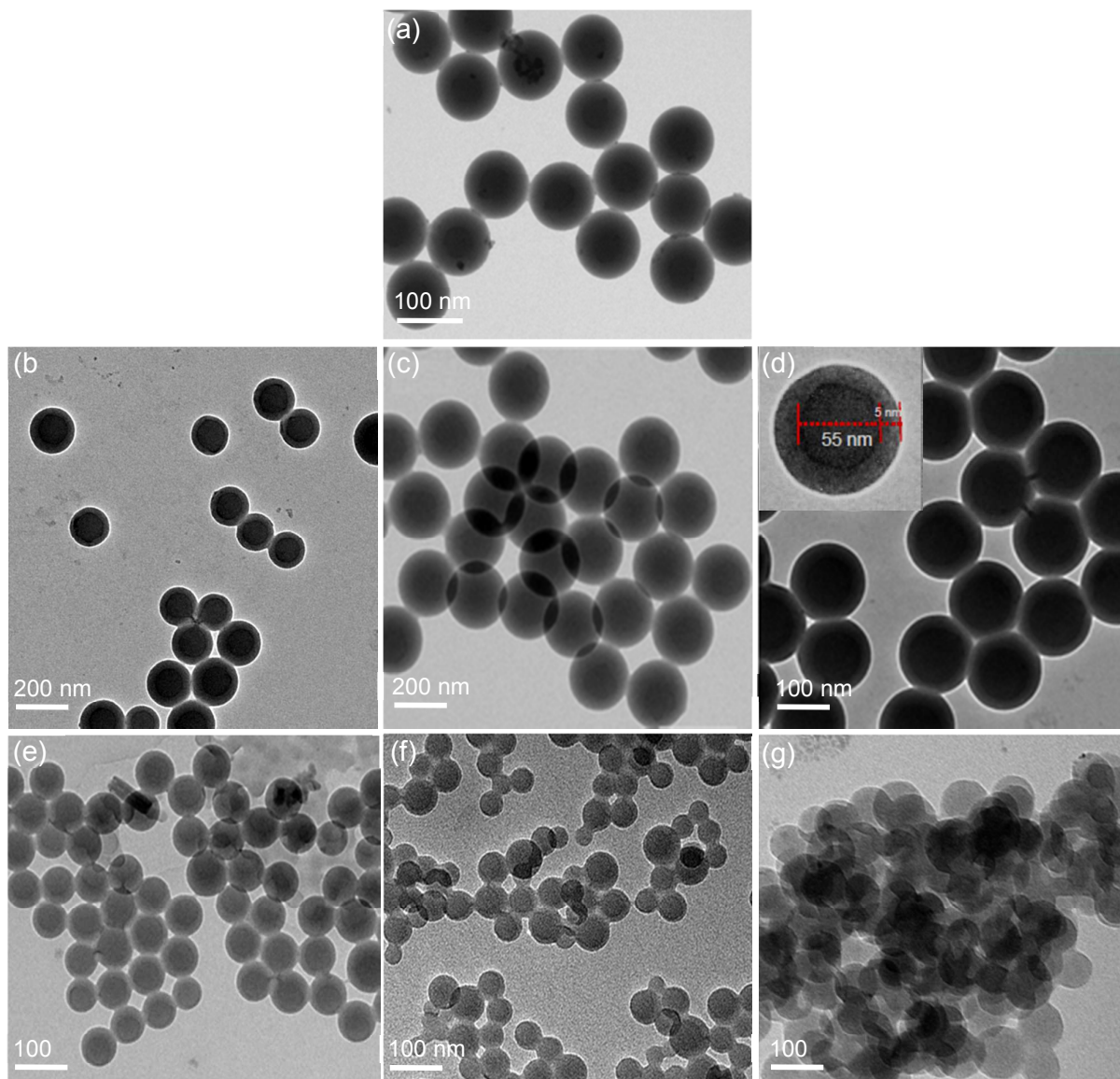
1 As the mechanism of the polymerization is similar in the case of acoustic atomized  
2 microemulsion polymerization (with US horn) and conventional atomized microemulsion  
3 (with mechanical stirrer), it was thought desirable to compare the effectiveness of the two  
4 modes of emulsion polymerization in terms of the energy efficiency and cavitation yield  
5 (see 'Energy calculations' in ESI). The energy utilized for the synthesis of nPMMA has been  
6 calculated as the total energy required (kJ) per unit weight of the material (g) present in the  
7 system. Total energy required per unit weight of the material present in the acoustic atomized  
8 microemulsion polymerization is  $9.60 \times 10^{-2} \text{ kJ g}^{-1}$  and  $43.98 \times 10^{-2} \text{ kJ g}^{-1}$  for the conventional  
9 atomized microemulsion method. Thus, acoustic atomized microemulsion polymerization  
10 process has proved to be much superior in terms of energy efficiency (saved ~ 96% of energy  
11 utilized by the conventional atomized microemulsion). Mirroring this trend, US assisted  
12 method gives more than 5 times cavitation yield as compared to the conventional atomized  
13 microemulsion, when operated individually under optimum operating conditions for the  
14 specific case of emulsion polymerization of MMA (see 'Calculation of cavitation yield' in  
15 ESI). It can be clearly seen from the values that the degree of intensification is indeed  
16 enhanced due to acoustic cavitation. To date, most of the research work done on acoustic  
17 emulsification emphasized on polymerization recipe and effect of US energy. However, this  
18 work is the first one to investigate the comparative efficacy of acoustic and conventional  
19 atomized microemulsion in terms of energy savings and cavitation yield.

### 20 **3.2. Characterization of nPMMA<sub>US</sub> and nPMMA<sub>AM</sub> particles**

21 The nPMMA prepared by both routes (*i.e.* US and conventional atomized microemulsion)  
22 shows core/shell morphology. As can be seen from the TEM micrographs, atomized  
23 microemulsion resulted in 70 nm particles with uniform size distribution (Fig. 1a); however,  
24 core-shell nanostructures are not well-defined. On the other hand, US (at 50% amplitude)  
25 gave rise to lowest particle diameter (60 nm) with highly monodisperse core-shell



- 1 morphology, (Fig. 1; inset of d), wherein the hydrophobic PMMA cores (darker part) were 55
- 2 nm in diameter and coated with biosurfactant shells (light black ring) of 5 nm.



3

- 4 **Fig. 1.** TEM images of (a) nPMMA<sub>AM</sub> particles. TEM images of nPMMA<sub>US</sub> particles at  
5 acoustic amplitude of (b) 30%; (c) 40%; (d) 50%; (e) 65%; (f) 70%; (g) 80% (process  
6 parameters for nPMMA<sub>US</sub>: MMA, 5 wt.%; KPS, 3% of MMA; surfactin, 0.5% of MMA;  
7 temperature, 55 °C; power output, 50% amplitude; time, 1 h; process parameters for

1 nPMMA<sub>AM</sub>: MMA, 5 wt.%; KPS, 3% of MMA; surfactin, 0.5% of MMA; temperature, 55  
2 °C; agitation, 250 rpm; time, 1 h.).

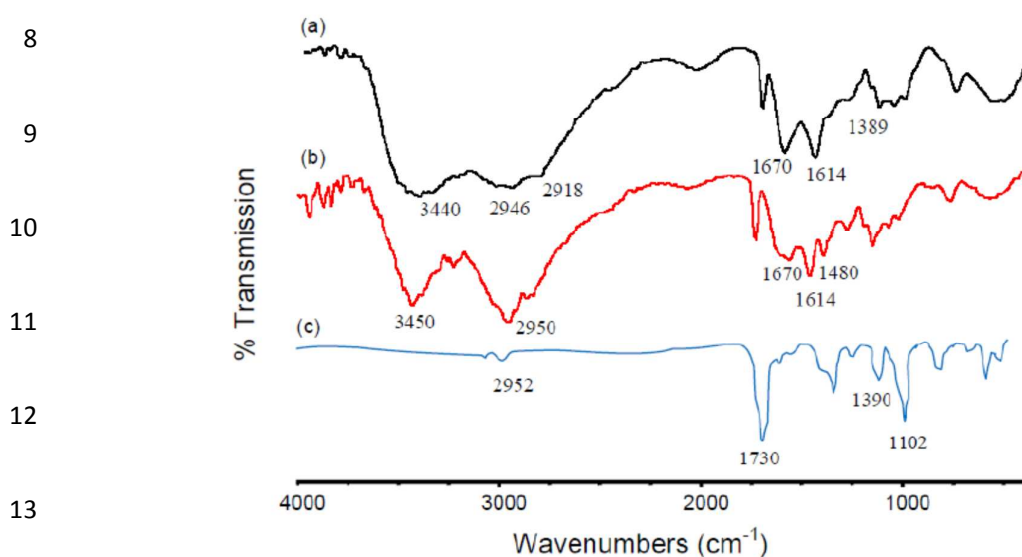
3 The surface area of the nanoparticles was calculated using the following expression:<sup>34</sup>

$$4 \quad N = 6.10^{10} \cdot S / \pi \cdot \rho_s \cdot d^3 \quad (2)$$

5 where,  $N$  is the number of nanoparticles per ml;  $S$  is the % of solids;  $\rho_s$  is density of  
6 the polymer ( $\text{g ml}^{-1}$ );  $d$  is the nanoparticle diameter (nm). The number of nanoparticles in ml  
7 suspension was determined by utilizing from mass-volume graph. From all these data,  
8 specific surface area of the nPMMA<sub>US</sub> particles was calculated by multiplying  $N$  and surface  
9 area of 1 nanoparticle. The specific surface area was calculated as  $991 \text{ m}^2 \text{ g}^{-1}$ . Nonetheless,  
10 higher US power output led to a more intense mixing environment. This will increase the  
11 aggregation of the smaller particles, thus leading to the overall generation of larger particles  
12 with a wider distribution (Fig. 1e-g). Here, we show that US assisted atomized  
13 microemulsion polymerization was effective to produce relatively smooth surfaced and well-  
14 defined core/shell nPMMA with smaller size and narrower distribution.

15 The effect of pH on particle  $\zeta$ -potential was investigated at different pH values  
16 between 1.0 and 12.0 (Fig. S3; ESI). The surface charges of nPMMA<sub>US</sub> particles were  
17 positive at pH 2-8 due to the protonation of amine groups of surfactin, which strongly  
18 revealed that the surface component of nanoparticles was made of surfactin. These  
19 nanoparticle dispersions have  $\zeta$ -potential values higher than +30 mV, and hence, can be  
20 described as stable dispersions.<sup>29,35</sup> Also, it is well-documented that the particle number  
21 density decreases with increasing particle diameter; hence, the total positive charge provided  
22 by the initiator molecule is shared between less numbers of particles for dispersions  
23 containing large-diameter particles. This result in a higher surface charge value for larger  
24 nanoparticles.<sup>35</sup> Upon increasing solution pH, the  $\zeta$ -potentials began to decrease confirming

1 that the isoelectrical point for the latexes coincides with the  $pK_a$  of the amine and amidine  
2 groups.<sup>36</sup> It even reached to negative values at strong basic pH, resulting from the  
3 transformation of protonated amines to neutral ones and then combined with hydroxyl ions. It  
4 is noteworthy that highly positive charge can keep the nanoparticles stable in acid and neutral  
5 solutions due to the charge repulsion force. Even though the surface charge of nPMMA<sub>AM</sub>  
6 formulation was also positive, it was not high enough as compared to nPMMA<sub>US</sub> particles.  
7 This may be attributed to poor grafting efficiency of surfactin biosurfactant onto PMMA.



14 **Fig. 2.** FTIR spectra of (a) pure surfactin, (b) nPMMA<sub>US</sub> and (c) nPMMA<sub>AM</sub> particles.

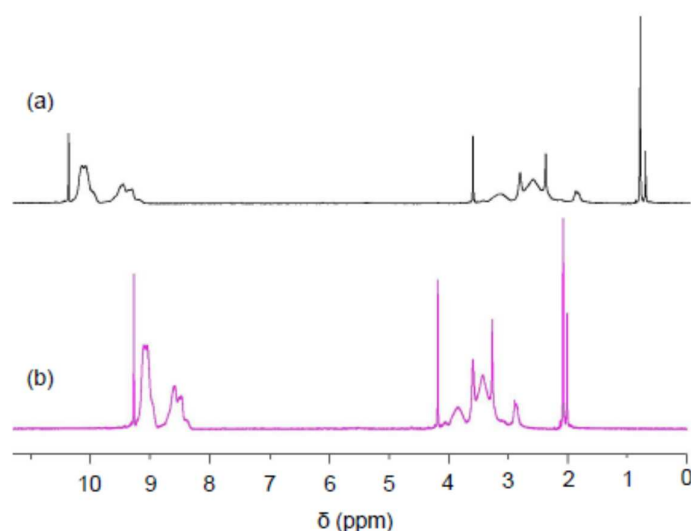
15 As evident from Fig. 2(a), the wide band around 3440 cm<sup>-1</sup> could be assigned to the  
16 axial stretching vibrations of O-H superimposed to the N-H stretching bands and  
17 intermolecular hydrogen bonds. The C-H stretching and bending bands of -CH<sub>2</sub> group was  
18 observed as a doublet at 2946 and 2918 cm<sup>-1</sup>, respectively.<sup>37</sup> The peaks found at 1670, 1614  
19 and 1389 cm<sup>-1</sup> are ascribed the C=O of amide I, -NH<sub>2</sub> bending of amide II and N-H or C-N  
20 stretching of amide III vibrations, respectively.<sup>38</sup> From Fig. 2(b) it is clear that nPMMA<sub>US</sub>  
21 particles display both the characteristic peaks of nPMMA (-C=O at 1730 cm<sup>-1</sup>) and surfactin  
22 (N-H stretching at 3450 cm<sup>-1</sup>, asymmetric stretching of -CH<sub>2</sub> at 2950 cm<sup>-1</sup>, and NH<sub>3</sub><sup>+</sup>

1 vibration at 1670, 1614  $\text{cm}^{-1}$  due to amide I, amide II of the  $-\text{CO}_2\text{NH}-$  group). There was a  
2 new absorption band at 1480  $\text{cm}^{-1}$  ( $\nu$   $-\text{C}-\text{O}$ ) which shows the presence of  $-\text{COOH}$  groups in  
3 the  $\text{nPMMA}_{\text{US}}$  particles. Fig. 2(c) shows the FTIR spectra of the  $\text{nPMMA}_{\text{AM}}$  polymer. The  
4 stretching vibration frequency of ( $-\text{CH}_3$ ) appears at wave numbers of 2952  $\text{cm}^{-1}$  and the  
5 bending vibration frequency of ( $-\text{CH}_3$ ) is at a wave number of 1390  $\text{cm}^{-1}$ . The wave number  
6 1730  $\text{cm}^{-1}$  corresponds to the stretching vibration frequency of ( $-\text{C}=\text{O}$ ). The wave number  
7 1102  $\text{cm}^{-1}$  represents the stretching vibration frequency of ( $-\text{C}-\text{O}$ ). These results confirmed  
8 that the nanoparticles were consisted of  $\text{nPMMA}$  and surfactin as a biosurfactant.

9 To ensure covalent linkage between the biosurfactant shell and the PMMA core, the  
10  $\text{nPMMA}_{\text{US}}$  and  $\text{nPMMA}_{\text{AM}}$  particles were repeatedly washed with water through a  
11 centrifugation, decantation, and redispersion cycle until conductivity of the supernatant was  
12 equal to that of double distilled and deionized water (DDIW) used, and surfactin in the  
13 supernatant was not detectable with the Bradford method. To separate the biosurfactants from  
14 the PMMA core, the particles were subjected to acid hydrolysis. The FTIR spectrum of the  
15 dried products obtained from after acid hydrolysis was identical to that reported for the  
16 PMMA, which further confirmed the formation of  $\text{nPMMA}$ -biosurfactant core/shell particles.  
17 Next, TNBS assay was performed to evaluate the grafting performance, which relates to the  
18 attachment of surfactin onto the nanoparticles' surface. It was found that  $G_{\text{SR}}$  calculated from  
19 TNBS assay was 67% and 31% for  $\text{nPMMA}_{\text{US}}$  and  $\text{nPMMA}_{\text{AM}}$  particles, respectively. The  
20 lower  $G_{\text{SR}}$  for  $\text{nPMMA}_{\text{AM}}$  may be mainly contributed by the higher difficulty of hydrophobic  
21 monomers to graft from the hydrophilic biosurfactant chains. This may explain why  $\zeta$ -  
22 potential was considerably lower in  $\text{nPMMA}_{\text{AM}}$ .

23  $^1\text{H}$  NMR was used to ascertain the molecular structure of PMMA and its surface  
24 modification.  $^1\text{H}$  NMR of  $\text{nPMMA}_{\text{AM}}$  presents peak at 3.8 ppm which represents three  
25 protons of  $\text{OCH}_3$ , at 1.8 ppm represents two protons of  $\text{CH}_2$  and signals appearing at 2.5 ppm

1 attributed to three protons of CH<sub>3</sub> (Fig. 3a). On the other hand, in nPMMA<sub>US</sub> particles, peak  
2 at 3.5 ppm corresponds to 2 protons of NH<sub>2</sub> (due to incorporation of surfactin), peak at 3.8  
3 and 4.12 ppm ascribed to two protons of CH<sub>2</sub> and peak at 2.0 ppm corresponds to three  
4 protons of CH<sub>3</sub>.<sup>39</sup> The broader peaks labelled around  $\delta = 8.5$  ppm and  $\delta = 9.0$  ppm with  
5 roughly Gaussian shapes in the aromatic region of the spectrum confirmed the presence of  
6 aromatic amino acids of surfactin (Fig. 3b). Besides, XRD (Fig. S4), DSC (Fig. S5) and TGA  
7 (Fig. S6) results showed characteristics of nPMMA particles (see in ESI).



8

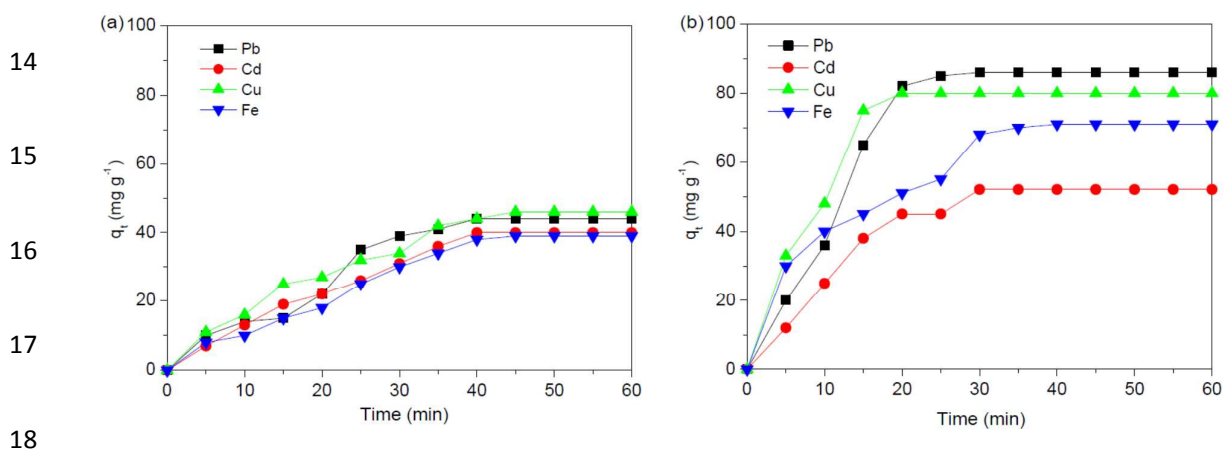
9 **Fig. 3.** <sup>1</sup>H NMR spectrum of (a) nPMMA<sub>AM</sub> particles and (b) nPMMA<sub>US</sub> particles.

10 To further find out the difference in surface chemistry, high energy resolution XPS  
11 spectra of the C1s region of nPMMA<sub>AM</sub> and nPMMA<sub>US</sub> particles were analyzed in detail. Fig.  
12 S7 (in ESI) shows an overlay of the C1s spectra. The C1s spectra that are normalized to the  
13 same intensity at energy 284.6 eV can be deconvoluted into 5 different components. One  
14 component at 284.6 eV, C1, is assigned to aliphatic carbon, C-C/C-H, which is the major  
15 component of pure PMMA, but is also found in low concentrations in spectra from  
16 nPMMA<sub>US</sub> particles (arising from the hydrocarbon of surfactin). The component C2 at 285.2  
17 eV is assigned to  $\beta$ -shifted carbon next to the carbonyl group of PMMA, C-C=O. C3 (286.8

1 eV) is a convolution of the ether carbon, C-O-C/O-C, found in both PMMA and surfactin and  
 2 the C-OH carbon of surfactin. The C4 peak at 287.9 eV is O-C-O and C5 (288.8 eV) is from  
 3 the acrylate bond, O-C=O, of PMMA.<sup>40</sup> The relative concentrations and the atomic  
 4 concentration (%) of C1, C2, C3, C4 and C5 increased after the chemical modification and  
 5 surface changes with surfactin (Fig. S7 and Table S2; see ESI). The N 1s signal is not  
 6 affected by the crosslinking treatment since nitrogen from amino and imino groups exhibit N  
 7 1s photoemissions at similar BE's,<sup>40</sup> confirming that the structure is homogeneous in terms of  
 8 nitrogen sites. The O 1s bands are slightly affected by the chemical modification, as expected  
 9 by introduction of groups from surfactin. Based on these results, the difference in surface  
 10 chemistry between nPMMA<sub>AM</sub> and nPMMA<sub>US</sub> particles is explained by the better 'covalent  
 11 immobilization' of the surfactin on the surface of the particles in the latter case.

### 12 3.3. Adsorption properties of the nPMMA<sub>US</sub> particles for heavy metal ions

#### 13 3.3.1. Adsorption kinetics



19 **Fig. 4.** Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> adsorption kinetics on (a) nPMMA<sub>AM</sub> particles; (b)  
 20 nPMMA<sub>US</sub> particles. Values reported as the mean  $\pm$  S.D. ( $n = 3$ ).

21 Maximum adsorption of Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> ions onto the nPMMA<sub>AM</sub> particles was  
 22 very low, about 44, 40, 46 and 39  $\text{mg g}^{-1}$  after 45 min, respectively (Fig. 4a). The nPMMA<sub>AM</sub>

1 particles contain few binding sites for complexation of these four metal ions. This very low  
2 adsorption may be due to weak interactions between metal ions and hydroxyl groups on the  
3 surface of the nPMMA particles; very lower grafting of surfactin onto nPMMA<sub>AM</sub> have little  
4 contribution to the sorption properties. However, incorporation of surfactin as a biosurfactant  
5 into the nPMMA<sub>US</sub> surface significantly increased the adsorption capacity to 86, 52, 80, and  
6 71 mg g<sup>-1</sup> after 30 min, respectively, for Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> ions. Also with increasing  
7 the contact time to 60 min, the adsorption capacity increased. The equilibrium times of  
8 different heavy metal ions adsorbed on these nanoparticles were within 60 min. More  
9 importantly, more than 65% of heavy metal ions could be removed within 30 min (Fig. 4b).  
10 Beyond 30 min, the amount of adsorbed ions remained unchanged. Hence, this duration was  
11 chosen as the optimum contact time for all further experiments. The equilibrium time  
12 observed here is much less as compared to those reported in earlier works which related with  
13 the adsorption of several heavy metal ions on various adsorbents, particularly on  
14 poly(hydroxyethyl methacrylate) (PHEMA) and imidazole functionalised PHEMA.<sup>6,34</sup> The  
15 high adsorption capacity and fast adsorption equilibrium time achieved with nPMMA<sub>US</sub>  
16 structures may be due to the following: (i) incorporation of surfactin chelating groups into the  
17 polymer structure; (ii) long side chain of surfactin acted as a spacer arm that could catch  
18 divalent cations easily; and (iii) high complexation rate (i.e., high affinity) between divalent  
19 heavy metal ions and amino acids of surfactin in the nanoparticles.

20 In order to investigate the potential rate controlling step such as the mass transfer or  
21 chemical reaction processes, pseudo first-order and pseudo second-order model were tested  
22 on these experimental data (details of kinetic models have been explained in ESI). Numerous  
23 earlier studies computed the values of  $k_1$  and  $k_2$  from the plots of  $\ln(q_e - q_t)$  vs.  $t$  and  $t/q_t$  vs.  $t$ ,  
24 respectively.<sup>41-45</sup> However, Low *et al.*<sup>46-48</sup> suggested that the  $k_1$  and  $k_2$  values should be  
25 obtained from the slopes of the plots  $\ln(1 - q_t/q_e)$  vs.  $t$  for pseudo first-order and  $1/q_t - 1/q_e$  vs.

1  $1/t$  for pseudo-second order model, respectively. The obtained experimental data showed that  
2 the  $R^2$  values for the pseudo-second order model were higher than that of the pseudo first-  
3 order model (see Fig. S8 in ESI). Although the  $R^2$  values obtained in both models are high,  
4 there were appreciable differences between the  $q_{t,exp}$  and  $q_{t,cal}$  values in pseudo first-order  
5 model at the initial stage of adsorption process. The second order rate constant values  
6 revealed that this adsorption system followed a better compliance to pseudo second-order  
7 model as the values of  $q_{t,exp}$  and  $q_{t,cal}$  were very close from the initial stage of the adsorption  
8 process until the final stage. The results were similar to previous literature reports.<sup>46-48</sup> The  
9 adsorption rate constants of  $Pb^{2+}$ ,  $Cd^{2+}$ ,  $Cu^{2+}$  and  $Fe^{2+}$  calculated based on the pseudo second-  
10 order kinetics were  $1.22 \pm 0.03 \times 10^{-2}$ ,  $1.37 \pm 0.01 \times 10^{-2}$ ,  $1.35 \pm 0.02 \times 10^{-2}$ , and  $1.26 \pm 0.05$   
11  $\times 10^{-2} \text{ g (mg.min)}^{-1}$ . This phenomenon further implies that the dominant mechanism for heavy  
12 metal ions sorption on these nanoparticles is chemisorption or strong surface complexation  
13 rather than mass transport.<sup>38,39</sup> In previous literature, the pseudo first- and second-order  
14 kinetic model was found to be appropriate for describing kinetics of metals<sup>3,5,8,13</sup> and dye<sup>49,50</sup>  
15 sorption by different materials. There were two steps for the heavy metal adsorption by  
16 nPMMA<sub>US</sub> particles. In the first 10 min, a large amount of heavy metals were rapidly  
17 adsorbed by the exterior surface and amino groups of surfactin in biosurfactant shell. When  
18 the adsorption of exterior surface reached saturation, heavy metals entered into the pores and  
19 were absorbed by the interior surface of PMMA polymer.

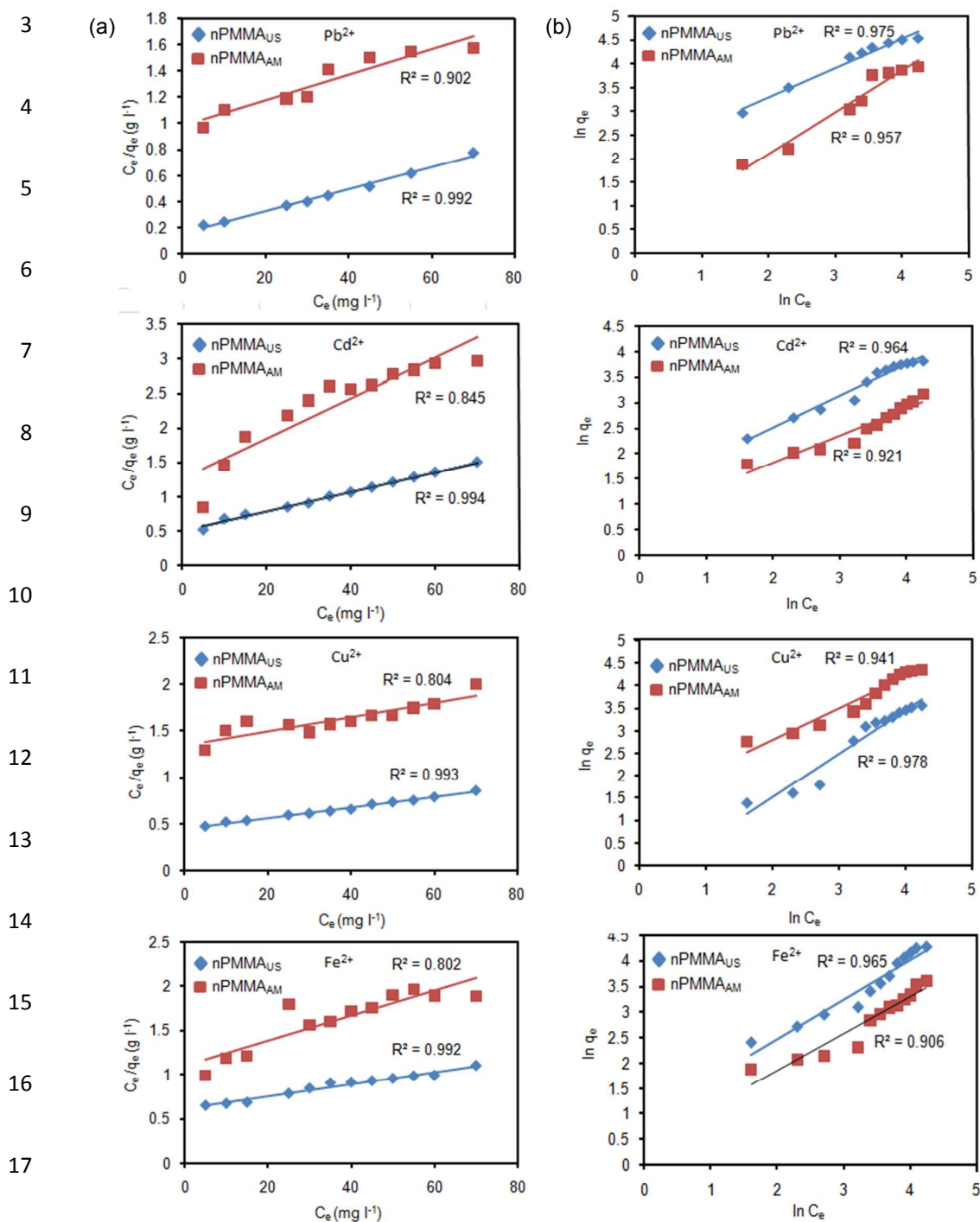
### 20 3.3.2. Adsorption isotherms

21 The adsorption isotherm shows how the adsorbate molecules are distributed between the  
22 liquid phase and solid phase (details of isotherm models are explained in ESI). It is evident  
23 from Fig. 5 and Table 2 that Langmuir isotherm proved to be a better mathematical fit for  
24 equilibrium data than Freundlich model (based on the higher  $R^2$  value in the linear plots).  
25 Moreover, the data for nPMMA<sub>US</sub> particles fitted better than nPMMA<sub>AM</sub>. In addition, the



1 values of  $n > 1$  indicated favourable adsorption conditions<sup>45,46-48</sup> as observed from Freundlich

2 model for nPMMA<sub>US</sub> particles. Though the relatively higher  $R^2$  values of Langmuir isotherm



1 **Fig. 5.** Linear plot of (a) Langmuir and (b) Freundlich adsorption isotherm model (adsorbent  
 2 loading: 200 mg l<sup>-1</sup>; initial metal ion: 30 mg l<sup>-1</sup>; pH: 6.0; contact time: 30 min). Values  
 3 reported as the mean ± S.D. (*n* = 3).

4 indicated that it is more preferable than Freundlich adsorption, the closeness of these values  
 5 meant that both of them are almost equally obeyed for nPMMA<sub>US</sub> particles. It can be  
 6 concluded that probably the surface of the nPMMA<sub>US</sub> particles contains heterogenous  
 7 moieties which are uniformly distributed on the surface which accounts for both Langmuir  
 8 and Freundlich adsorption isotherms.<sup>46-48,51,52</sup> From the reported literatures,<sup>12-14</sup> it is known  
 9 that the removal of heavy metal ions is derived from the coordinate interactions and  
 10 complexation between the metal ions and surface amino groups. Comparing with the  
 11 maximum adsorption capacity of polymeric adsorbents reported by previous studies,<sup>2,3,5,6-9,12</sup>  
 12 the prepared nPMMA<sub>US</sub> particles possessed an absolute advantage with much higher  
 13 adsorption capacity which may be derived from its larger surface area of the core PMMA  
 14 polymer and large number of amino acids in the surfactin shell.

Metal ions	Models					
	Langmuir isotherm		Freundlich isotherm			
	$q_{\max}$ (mg g <sup>-1</sup> )	$b$ (l mg <sup>-1</sup> )	$R^2$	$k_f$	$1/n$	$R^2$
Pb <sup>2+</sup>	125.70	0.039	0.992	7.95	0.61	0.975
Cd <sup>2+</sup>	106.50	0.043	0.994	5.88	0.52	0.964
Cu <sup>2+</sup>	71.13	0.049	0.993	4.96	0.48	0.978
Fe <sup>2+</sup>	58.76	0.056	0.992	4.25	0.44	0.965

15

16 **Table 2.** Isotherm parameters for adsorption of the tested heavy metal ions onto nPMMA<sub>US</sub>  
 17 particles.

### 18 3.3.3. Effect of adsorbent dose

19 The effect of adsorbent dose on adsorption of Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> was studied using  
 20 different nPMMA<sub>AM</sub> and nPMMA<sub>US</sub> particles dosages in the range of 50-300 mg l<sup>-1</sup> for 50 mg

1  $l^{-1}$  of initial metal ion concentration. Fig. S9(a) (see ESI) showed that on increasing adsorbent  
2 dose from 50 to 250  $mg\ l^{-1}$ , the adsorption of  $Pb^{2+}$ ,  $Cd^{2+}$ ,  $Cu^{2+}$  and  $Fe^{2+}$  increased from 44, 20,  
3 16 and 10  $mg\ g^{-1}$  to 211, 107, 155 and 150  $mg\ g^{-1}$ , respectively. Thereafter, adsorption  
4 capacity remained more or less same upto 300  $mg\ l^{-1}$ . On the contrary,  $nPMMA_{AM}$  was found  
5 to be a poor adsorbent (Fig. S9b in ESI). Such a trend is mainly attributed to an increase in  
6 the adsorptive surface area and the availability of more adsorption sites. There was no  
7 significant change observed as the adsorbent dose was further increased. This was due to the  
8 concentration of metal ions that reached at equilibrium status between solid and solution  
9 phase and there is less commensurate increase in adsorption resulting from the lower  
10 adsorptive capacity utilization of the adsorbent.<sup>13,14</sup>

11 It may be argued that the increased adsorption capacity in diluted concentration of  
12 nanoparticles is due to the fact that the exposed amine groups on the surfactin shells are  
13 easily able to chelate with heavy metal ions and form surface complexes (intra-particle  
14 chelation). However, with increasing the concentration of nanoparticles, metal ions can not  
15 only be chelated to a single nanoparticle, but also act as bridges to crosslink the surfactin  
16 shells of nanoparticles causing formation of aggregates (inter-particle chelation) and numbers  
17 of chelating ligands are embedded and blocked. As a consequence, the amount of metal ions  
18 adsorbed was decreased in the concentrated solution of  $nPMMA_{US}$  nanoparticles. This  
19 explanation can be supported by the literature data.<sup>53,54</sup> It seems plausible that square-planar  
20 structure was favourable to be induced when heavy metal ions were surrounded with large  
21 number of flexible amine groups in the concentrated solution of  $nPMMA_{US}$  nanoparticles.  
22 Further experimental evidences like electron spin resonance (ESR) method are necessary to  
23 validate this hypothesis.<sup>53,54</sup>

#### 24 **3.3.4. Effect of initial metal ion concentration**

1 To observe the effect of initial metal ion concentration on adsorption by nPMMA<sub>US</sub>, the  
2 experiments were conducted over the range of 5-50 mg l<sup>-1</sup> for each metal ion. The amount of  
3 Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> adsorbed at the equilibrium ( $q_e$ ) increased from 22, 10, 26, 10 mg  
4 g<sup>-1</sup> to 85, 55, 75 and 52 mg g<sup>-1</sup>, respectively, as the concentration was increased from 5 to 30  
5 mg l<sup>-1</sup> for Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> (Fig. S10a in ESI). However, beyond 30 mg l<sup>-1</sup>, there was  
6 no significant change observed in the adsorption capacity. Similar observation was made with  
7 the nPMMA<sub>AM</sub> particles; nevertheless, maximum adsorption was 40 mg g<sup>-1</sup> with Fe<sup>2+</sup> at 40  
8 mg l<sup>-1</sup> (Fig. S10b in ESI). The initial concentration provides an important driving force to  
9 overcome all mass transfer resistances of the metal ion between the aqueous and solid phases.  
10 Hence a higher initial concentration of metal ion will enhance the adsorption amount of metal  
11 ions.

12 Based on the data obtained from adsorption efficiency and kinetics, nPMMA<sub>US</sub>  
13 particles were chosen for subsequent experiments since its performance was superior as  
14 compared to nPMMA<sub>AM</sub> particles.

### 15 3.3.5. Effect of pH on adsorption

16 The pH value is an important determinant in the use of polymers as supports in the metal ion  
17 adsorption process. It not only affects the electronic status of the pendant functional groups,  
18 for instance protonation/deprotonation of the basic groups or dissociation/association of  
19 acidic groups, but it may also alter the oxidation form of the metal ions present in the  
20 medium.<sup>2</sup> As expected, the higher the acidic condition, the lower was the adsorption of metal  
21 ions (see Fig. S11 in ESI). This may be because of (i) the protonation of the amino groups at  
22 the acidic conditions<sup>7</sup> and (ii) competition of H<sup>+</sup> and hydronium ions (H<sub>3</sub>O<sup>+</sup>) with metal ions  
23 which reduce the adsorption of metal ion.<sup>6</sup> At pH > 4, deprotonation degrees of amine groups  
24 were enhanced and their chelating capacities were increased simultaneously which was

1 consistent with the pH-dependent zeta potential of nPMMA<sub>US</sub> particles. These polymers had  
2 an isoelectric point at pH 8.2 (see Fig. S3 in ESI). With increasing pH, the electrical repulsion  
3 force and competition from H<sup>+</sup> became weaker, thus the adsorption of heavy metal increased.  
4 The observation was consistent with the metal amine complexation-adsorption mechanism, as  
5 the amino groups were protonized at low pH, passivating adsorption sites and hence  
6 suppressing metal adsorption. Further increase in pH may cause precipitation of metal ions  
7 due to formation of hydroxide and the adsorbent was deteriorated with the accumulation of  
8 metal ions onto surfaces.<sup>6</sup> Therefore, pH 6.0 was selected as the optimum pH for metal ions  
9 adsorption for other studies since at  $\geq$  pH 6, M(OH)<sup>+</sup> and M(OH)<sub>2</sub> species had significant  
10 effect towards the enhancement of metal ions removal.<sup>2,6,9</sup>

### 11 **3.3.6. Effect of humic acid (HA)**

12 Being a natural macromolecular organic matter, HA is ubiquitous in surface and ground  
13 water. Amino-functionalized mesoporous silica was shown to be an effective adsorbent for  
14 HA removal.<sup>30</sup> For all the four tested heavy metal ions, the adsorption capacity first increased  
15 when the HA concentration varied from 0 to 30 mg l<sup>-1</sup>, then decreased (see Fig. S12 in ESI).  
16 Adsorption of these heavy metal ions was significantly enhanced after incorporation of HA; a  
17 fact that could be explained by formation of complexes with carboxylic and phenolic groups  
18 at low concentrations of HA. The results indicated that HA had a significant effect on the  
19 adsorption of Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup>.

### 20 **3.3.7. Effect of background electrolytes/interfering ions**

21 Heavy metal ion pollutants are often presented together with alkaline/earth metal ions in  
22 water systems.<sup>2,55</sup> In natural water and wastewater systems, electrolyte cations may compete  
23 for the binding sites of sorbents while electrolyte anions may exhibit complexation toward  
24 metal ions, both of which would greatly influence the sorption process. Fig. S13 (see in ESI)

1 demonstrates the sorption of  $\text{Pb}^{2+}$ ,  $\text{Cd}^{2+}$ ,  $\text{Cu}^{2+}$  and  $\text{Fe}^{2+}$  as a function of coexisting  $\text{Na}^+$ ,  $\text{K}^+$ , or  
 2  $\text{Mg}^{2+}$ . Although the adsorption capacity significantly decreased with increasing coexisting  
 3 ions in the region of 0-0.05 mol  $\text{l}^{-1}$ , the adsorption capacity slightly decreased with  $\geq 0.05$   
 4 mol  $\text{l}^{-1}$  coexisting ions; especially, the plateau in the adsorption capacity reached at 0.1 mol  $\text{l}^{-1}$   
 5  $\text{l}^{-1}$ . The divalent ion,  $\text{Na}^{2+}$ , had an obvious suppressive or competition effect on all these ions  
 6 adsorption, providing further evidence that the removal is dominated by inner-sphere surface  
 7 complexation.

### 8 3.3.8. Adsorption thermodynamics

9 To study the effect of temperature on the adsorption of metal ions on nPMMA<sub>US</sub>, experiments  
 10 were conducted at three different temperatures 303, 313 and 323 K. It was observed that the  
 11 adsorption decreased with increasing temperature, which indicated a low temperature favours  
 12 metal ion removal by adsorption onto these nanoparticles. This is reasoned from the tendency  
 13 of metal ions to escape from the solid phase to the bulk phase with an increase in temperature  
 14 of the solution. A similar observation was also reported on uptake of Ni(II), Co(II) and Cu(II)  
 15 onto poly[N-(4-[4-(aminophenyl)methylphenylmethacrylamide])].<sup>39</sup>

16 Thermodynamic parameters such as enthalpy ( $\Delta H^\circ$ ), entropy ( $\Delta S^\circ$ ) and Gibb's free  
 17 energy ( $\Delta G^\circ$ ) are described in ESI. The values of  $\Delta H^\circ$  and  $\Delta S^\circ$  were determined from the  
 18 slope ( $-\Delta H^\circ/R$ ) and the intercept ( $-\Delta S^\circ/R$ ) of the plots of  $\ln(q_{em}/C_e)$  vs.  $1/T$  (see Fig. S13 in  
 19 ESI).

Metal ions	$\Delta H^\circ$ (kJ mol <sup>-1</sup> )	$\Delta S^\circ$ (J mol <sup>-1</sup> K <sup>-1</sup> )	$\Delta G^\circ$ (kJ mol <sup>-1</sup> )		
			303 K	313 K	323 K
<b>Pb</b> <sup>2+</sup>	-18.415	-48.08	-3.847	-3.366	-2.886
<b>Cd</b> <sup>2+</sup>	-9.403	-30.58	-0.136	0.168	0.474
<b>Cu</b> <sup>2+</sup>	-11.481	-39.47	0.479	0.873	1.254
<b>Fe</b> <sup>2+</sup>	-12.455	-40.44	0.475	0.867	1.233

20

1 **Table 3.** Thermodynamic parameters of  $\text{Pb}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Cd}^{2+}$  and  $\text{Fe}^{2+}$  ions adsorption onto  
2 surfaces of nPMMA<sub>US</sub> particles.

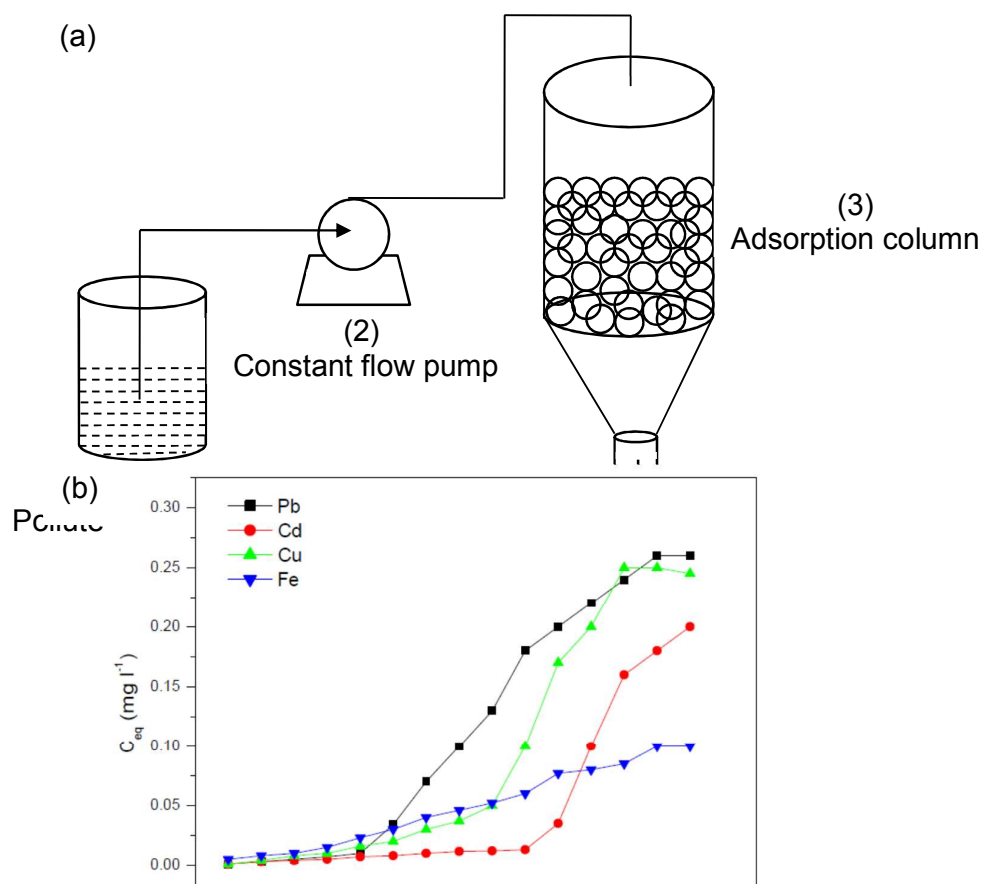
3 The values of thermodynamic parameters are presented in Table 3. Negative  $\Delta H^\circ$  suggested  
4 the adsorption process was exothermic in nature. Negative values of  $\Delta G^\circ$  for the adsorption at  
5 all three temperatures indicated that the adsorption process was feasible and spontaneous in  
6 nature. On the contrary, a positive value of  $\Delta G^\circ$  suggests that (i) desorption is more dominant  
7 than adsorption; (ii) the matrix or the polymer in question has lower affinity toward the target  
8 metal ion; and (iii) possibly the adsorption process is not spontaneous or energetically  
9 favourable.<sup>39</sup> Negative value of  $\Delta S^\circ$  described the decrease in randomness at the adsorbent-  
10 solution interface during the adsorption. The results are similar to previous literatures.<sup>6,7</sup>

### 11 **3.4. Desorption and repeated use**

12 For practical application and from the economics standpoint, the recycling and regeneration  
13 of the adsorbent is indispensable. To evaluate the possibility of regeneration and reuse of the  
14 nPMMA<sub>US</sub>, adsorption-desorption studies were carried out. It was observed that the  
15 adsorption capacity was constant and no difference in desorption capacity was observed  
16 during the first five sorption-desorption cycles (see Fig. S15 in ESI). Since  $0.01 \text{ mol l}^{-1}$  HCl  
17 or EDTA solutions were used as the desorbing agents and the nanoparticles were vacuum  
18 dried at  $40^\circ\text{C}$  during regeneration, each adsorption-desorption process must experience an  
19 HCl or EDTA treatment and a heat treatment. Thus, the reproducibility of these nanoparticles  
20 also showed its good stability. These results are in agreement with the reported studies on the  
21 reuse of amino-functionalized polymeric materials.<sup>34,55</sup>

### 22 **3.5. Continuous filtering adsorption of heavy metal ions**

1 In the contemporary water purification process, removing heavy metal ions from water with  
 2 low pollutant concentration is a challenge task for adsorbent materials. Moreover, removing  
 3 heavy metal ions via continuous filtering adsorption by high performance nanostructures has  
 4 rarely been reported in the literature. Therefore, a continuous filtering adsorption device for  
 5 the adsorption of heavy metal ions was designed to remove metal ions and the schematic  
 6 diagram is shown in Fig. 6(a). Fig. 6(b) shows the concentration of residual heavy metal ions  
 7 in heavy metal ion aqueous solutions with an initial concentration of  $30 \text{ mg l}^{-1}$  as a function  
 8 of the treated capacity of nPMMA<sub>US</sub> particles after being continuously treated. Considering  
 9 the maximum permissible discharge limits of heavy metal ions for drinking water set by the  
 10 World Health Organization and US EPA, *i.e.*,  $0.006 \text{ mg l}^{-1}$  for  $\text{Pb}^{2+}$ ,  $0.01 \text{ mg l}^{-1}$  for  $\text{Cd}^{2+}$ ,  $0.25$   
 11  $\text{mg l}^{-1}$  for  $\text{Cu}^{2+}$  and  $0.1 \text{ mg l}^{-1}$  for  $\text{Fe}^{2+}$ , the treatment capacity of the studied particles for  
 12 heavy metal ions is  $3.5 \text{ g g}^{-1}$  for  $\text{Pb}^{2+}$ ,  $7.0 \text{ g g}^{-1}$  for  $\text{Cd}^{2+}$ ,  $13.0 \text{ g g}^{-1}$  for  $\text{Cu}^{2+}$  and  $14.0 \text{ g g}^{-1}$  for  
 13  $\text{Fe}^{2+}$  (Fig. 6b). This result suggests that nPMMA<sub>US</sub> particles have a quite good removal  
 14 capacity for low concentration heavy metal ions, and that the continuous filtering adsorption  
 15 method is practical for water treatment.





1

2

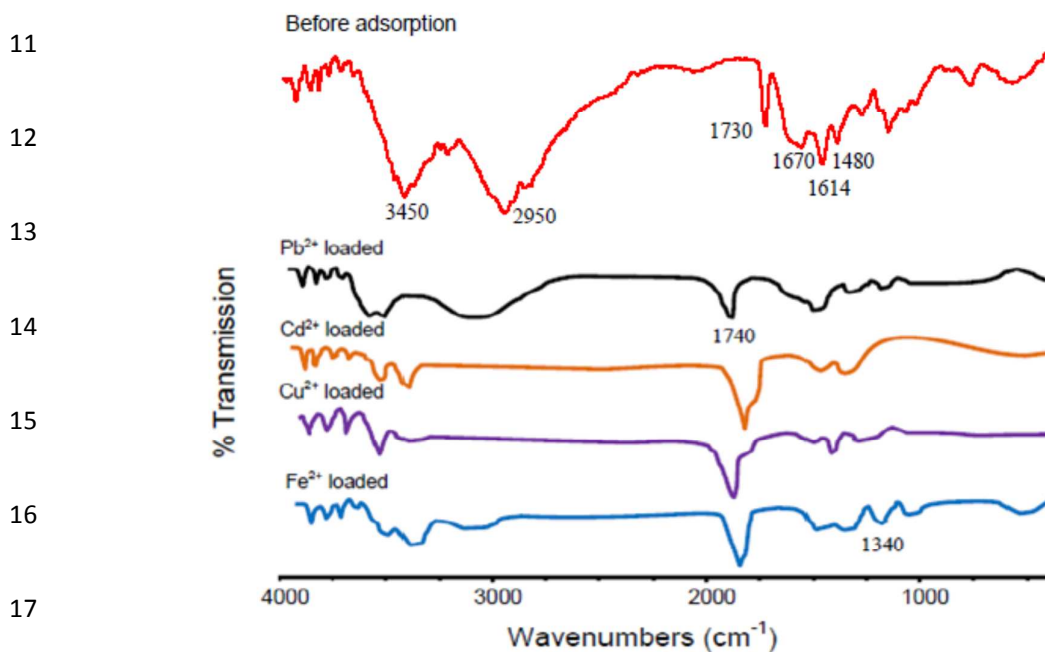
3

4

5 **Fig. 6.** (a) Schematic diagram of continuous column filtering adsorption of heavy metal ions  
6 on nPMMA<sub>US</sub> particles and (b) treatment capacity of nPMMA<sub>US</sub> particles on metal aqueous  
7 solutions with an initial concentration of 30 mg l<sup>-1</sup>.

### 8 3.6. Adsorption mechanism

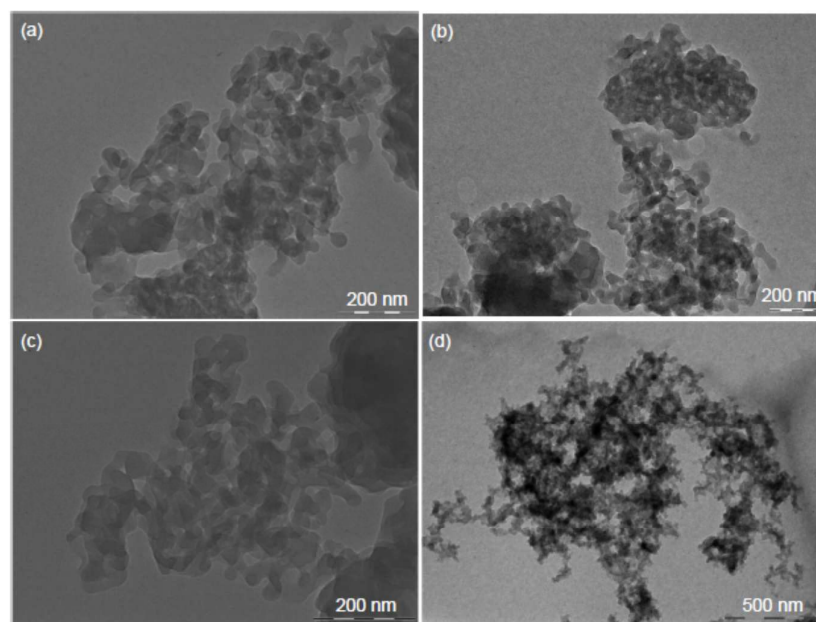
9 To elucidate the reaction mechanisms occurring on the nanoadsorbents, FTIR spectra of the  
10 nPMMA<sub>US</sub> before and after metal adsorption are shown in Fig. 7.



18 **Fig. 7.** FTIR spectra of nPMMA<sub>US</sub> particles before and after adsorption.

19 Before adsorption, two absorption bands at 1730 and 1480 cm<sup>-1</sup> correspond to stretching  
20 vibration of -C=O and ν-C-O of -COOH groups, a typical characteristic of nPMMA. Also, it

1 shows N-H stretching at  $3450\text{ cm}^{-1}$ , asymmetric stretching of  $-\text{CH}_2$  at  $2950\text{ cm}^{-1}$ , and  $\text{NH}_3^+$   
2 vibration at  $1670, 1614\text{ cm}^{-1}$  due to amide I, amide II of the  $-\text{CO}_2\text{NH}-$  group present in  
3 surfactin. The peak at  $3450\text{ cm}^{-1}$  turned to a shoulder and also exhibit shifts to different  
4 extents after contact with  $\text{Pb}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Cd}^{2+}$  and  $\text{Fe}^{2+}$  adsorption, and this may indicate a strong  
5 metal ions interaction with N-H of amides. Similarly,  $\text{NH}_3^+$  vibration of amide I, amide II  
6 bands at  $1670$  and  $1614\text{ cm}^{-1}$  was of much lower intense and broader after contact with metal  
7 ions. Thus, it seems plausible that amide groups of amino acids present in surfactin (shell)  
8 definitely play a role in adsorption of these heavy metal ions. Moreover, bands at  $1730$  and  
9  $1480\text{ cm}^{-1}$  also experience shifts to different extents after treatment with metal ions. This  
10 suggests that the deprotonated carboxyl forms (carboxylate anions) on nPMMA (core)  
11 interacted with metal ions. The peak at  $1340\text{ cm}^{-1}$  (ascribed to C-O stretch of  $\text{COO}-\text{M}$  groups)  
12 indicates the presence of metal-carboxylate complex.<sup>56</sup> The difference ( $\Delta\nu$ ) between  $\nu_{\text{asym}}$   
13 ( $\text{COO}^-$ ) and  $\nu_{\text{sym}}$  ( $\text{COO}^-$ ) in the wave number region of  $1300\text{-}1750\text{ cm}^{-1}$  is larger than  $200\text{ cm}^{-1}$   
14 in this study, suggesting the formation of metal-carboxylate complex through unidentate  
15 chelating coordination.<sup>56</sup> This is further supported by the TEM image of adsorbed  $\text{Pb}^{2+}$ ,  $\text{Cu}^{2+}$ ,  
16  $\text{Cd}^{2+}$  and  $\text{Fe}^{2+}$  ions onto nPMMA<sub>US</sub> particles (Fig. 8) which shows surface complexes  
17 between these metal ions and the chelating ligands of the nanoparticles.

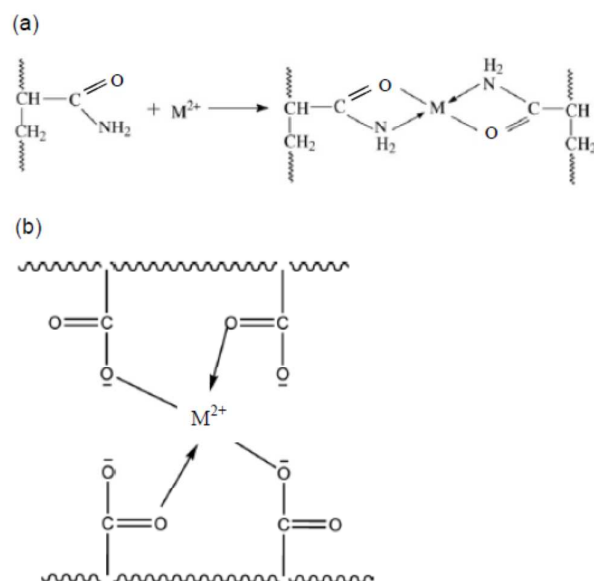


1

2 **Fig. 8.** TEM images of nPMMA<sub>US</sub> particles after adsorption of (a) Pb<sup>2+</sup>, (b) Cd<sup>2+</sup>, (c) Cu<sup>2+</sup>  
3 and (d) Fe<sup>2+</sup>.

4 A decrease in the atomic concentration for C 1s from XPS data was observed for metals  
5 complexed nPMMA<sub>US</sub> particles, compared to the material before metals adsorption (Fig. S7  
6 and Table S2 in ESI). It indicates that metals adsorption can take place on the structure  
7 resulting from primary amino and aldehyde terminal (imino bound), not discarding the  
8 possibility of interaction with hydroxyl and non-reacted amino groups that are also present in  
9 nPMMA<sub>US</sub> particle matrices.<sup>57,58</sup> However, a slight increase in the percent contribution of  $\beta$ -  
10 shifted C is observed; this increase can be explained by the oxidation of metal on the surface.  
11 There is a definite decrease of the percent contribution of C-NH<sub>2</sub>, but like in the analysis of  
12 carbon spectra, the decrease is smaller. This indicates probably a simultaneous protonation of  
13 amino groups and formation of complex compounds on non-protonated amino groups.  
14 However, it seems that the protonation is a faster reaction, which as a consequence, causes  
15 non-reactivity of the NH<sub>2</sub> groups. It is also probable to explain the unavailability of certain  
16 part of amino groups connected with polymer crystallinity.<sup>58</sup> Therefore, this study allows us

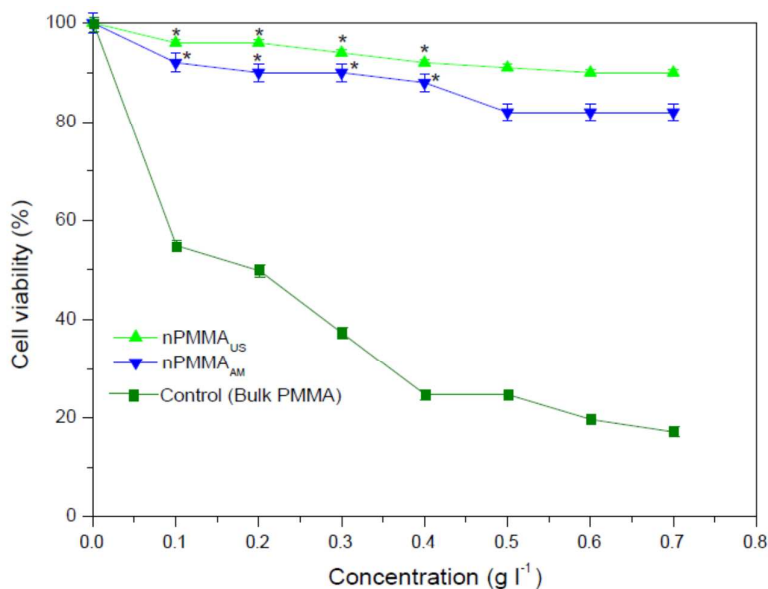
- 1 to conclude that the amide groups of surfactin (shell) form coordination bonds with  $M^{2+}$
- 2 through carbonyl bond (Scheme 2a). Carboxylic groups of nPMMA (core) interact with  $M^{2+}$
- 3 through the formation of square planner complex<sup>59</sup> (Scheme 2b).



4

- 5 **Scheme 2.** Proposed schematic illustration of the complex formation between metal ions and
- 6 nPMMA<sub>US</sub> particles by (a) amide groups of surfactin (shell) through nitrogen and oxygen
- 7 atoms of carbonyl group and (b) square planner complex between carboxylic groups of
- 8 nPMMA (core) with heavy metals.

### 9 3.7. Cellular cytotoxicity assessment



1

2 **Fig. 9.** (a) Cytotoxicity of nPMMA<sub>US</sub> and nPMMA<sub>AM</sub> against human peripheral blood  
3 mononuclear cells. Data represented are mean  $\pm$  S.D. (standard deviation) of triplicate.  
4 \*Statistically significant difference as compared to the control ( $p < 0.05$  for each).

5 It is significant to study the biocompatibility behaviour of PMMA because unwanted  
6 biological effects induced by the suppression of the phagocytic and antibacterial activity of  
7 human polymorphonuclear leukocytes is claimed to be a serious health concern due to  
8 PMMA particulate carrier toxicity. Hence, the cytotoxicity of the nPMMA coated with  
9 biosurfactants on human peripheral blood mononuclear cells was investigated. It was  
10 observed that as the concentration of the nanoparticles increased, the cell survival rate  
11 significantly decreased with nPMMA<sub>US</sub> and nPMMA<sub>AM</sub> treatment (Fig. 9). This is  
12 particularly true in the range of 0.1-0.4 g l<sup>-1</sup> ( $p < 0.05$  for each). However, higher  
13 concentrations (0.5 to 0.7 g l<sup>-1</sup>) did not produce significant cytotoxicity to cells ( $p > 0.05$  for  
14 each). Nonetheless, nPMMA<sub>US</sub> was more biocompatible as compared to nPMMA<sub>AM</sub>.  
15 Considering the amphiphilic nature of surfactin and the bioinertness of MMA, the material  
16 was supposed to be biocompatible. Our data showed an excellent non-toxicity profile of  
17 nPMMA<sub>US</sub> even up to 0.7 g ml<sup>-1</sup>. It has been well known that a major problem limiting the

1 use of amine-containing polymers in biomedical applications is its high cytotoxicity caused  
2 by its cationic characteristics.<sup>60</sup> Besides, a clear toxicity related to SDS was observed in  
3 nPMMA on 4T1 murine cell line by Colombo *et al.*<sup>61</sup> Thus, it may be implied that nPMMA<sub>US</sub>  
4 could be the candidate for intracellular carriers in biomedical applications such as gene,  
5 protein, and drug delivery systems.

### 6 **3.8. Comparison with other polymeric adsorbents**

7 With illustrative purposes, the adsorption capacity of nPMMA<sub>US</sub> particles for Pb<sup>2+</sup>, Cd<sup>2+</sup>,  
8 Cu<sup>2+</sup> and Fe<sup>2+</sup> ions was compared with that of other adsorbents reported in the literature and  
9 are summarized in Table S3 (see in ESI). It can be found that the adsorption capacities of  
10 nPMMA<sub>US</sub> are higher than some reported hydrogels, for example,  
11 methacryloylamidoglutamic acid incorporated porous poly(methyl methacrylate) beads,  
12 poly(hydroxyethyl methacrylate/maleamic acid), poly(acrylamide-co-sodium methacrylate),  
13 poly(guanidine modified 2-acrylamido-2-methylpropan sulfonic acid/acrylic acid/N-  
14 vinylpyrrolidone/2-hydroxyethyl methacrylate) and chitosan. Hence, it can be concluded that  
15 the adsorbent synthesized in this study has obvious comparative and competitive advantages.  
16 The results are of greater environmental concern as it signifies that these nanoparticles have  
17 certain application prospect in metal uptake.

### 18 **4. Conclusions**

19 There is a promising scope for the development of advanced adsorbents with high selectivity,  
20 and enhanced separation capacity in environmental application. Taking the principle of  
21 acoustic cavitation step further, we have reported a green, one-step, chemical surfactant-free  
22 system, in which the combined use of a biosurfactant (at biosurfactant/monomer weight ratio  
23 of 0.005, which is much lower than the corresponding amounts reported in the literature) and  
24 US improves monomer conversion, particle size and hitherto unreported highly monodisperse

1 nPMMA (core)-biosurfactant (shell) particles with higher grafting efficiency. It also  
2 significantly intensifies the process with overall reduction in the energy requirements and  
3 increased cavitation yield. This study demonstrated that nPMMA<sub>US</sub> core/shell particles  
4 could be used as an effective adsorbent for the treatment of heavy metals contaminated  
5 wastewater. The adsorption process, which was achieved through the complexation of metal  
6 ions by amino groups, is dependent on several factors such as contact time, adsorbent dose,  
7 equilibrium metal ions concentration, medium pH, coexisting organic matter (humic acid)  
8 and interfering background ions. Adsorption reaches equilibrium within 30 min and the  
9 kinetics of Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> adsorption follows the pseudo-second-order model. The  
10 maximum uptake capacities for Pb<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+</sup> were 86, 52, 80, and 71 mg g<sup>-1</sup>,  
11 respectively at 30 °C and the equilibrium data are fitted well by the Langmuir model. In  
12 multi-metal solutions, these nanoparticles could remove target metal ions with the selectivity  
13 order of Pb<sup>2+</sup> > Cu<sup>2+</sup> > Cd<sup>2+</sup> > Fe<sup>2+</sup>. Thermodynamic parameters revealed that the adsorption  
14 process was favoured at lower temperature, thermodynamically feasible, spontaneous and  
15 exothermic. The heavy metals loaded on these nanoparticles could be desorbed in acid or  
16 EDTA solution and adsorption capacity of the regenerated adsorbent was not significantly  
17 declined after five cycles of adsorption-desorption cycles. Our results suggest that nPMMA<sub>US</sub>  
18 core/shell particles can be used as a cost-effective reusable adsorbent for easy, convenient,  
19 and efficient removal of heavy metal ions from wastewater as well as continuous filtering for  
20 drinking-water purification.

## 21 **Acknowledgements**

22 This work was financially supported by University Grants Commission (U.G.C), New Delhi  
23 [F.No.41-375/2012 (SR), dated July 16, 2012]. Department of Science and Technology  
24 (D.S.T), New Delhi and Council for Scientific and Industrial Research (C.S.I.R), New Delhi

1 are earnestly acknowledged for providing INSPIRE-SRF and CSIR-SRF to Chinmay Hazra  
2 and Debasree Kundu, respectively.

3



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