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We observed that the removal of metal ions with engineered nanomaterials should be largely attributed to precipitation by forming the metal hydroxylprecipitatesrather than adsorption, implying ENMs cannot be the superior adsorbents.



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1 Abstract: We provide herein evidences that the removal of 2 metal ions with engineered nanomaterials (ENMs) including 3 carbon nanotubes (CNTs) and nano-oxides should be largely 4 attributed to precipitation by forming the metal hydroxides 5 rather than adsorption, implying ENMs cannot be the 6 superior adsorbents.

Engineered nanomaterials (ENMs), including carbon 7 nanotubes (CNTs) and nano-oxides, offer the features of large 8 9 specific surface areas and surface hydroxyl and carboxyl groups, 10 and thus have attracted great interest for application as superior adsorbents for the removal and pre-concentration of heavy metal 11 12 ions from water based on previous studies (1-9). Various 13 mechanisms, including electrostatic attraction, ion exchange, chemical complexation, and cation- π interaction have been 14 proposed to interpret the adsorption of metal ions on ENMs (2-15 9). A number of studies have focused on elucidating the role of 16 17 ENM characteristics (surface area, pore size distribution, sorbent mass, and surface total acidity) and solution properties 18 19 (ionic strength, pH, initial sorbate concentration, and 20 temperature) in the adsorption process (2-12). However, we observed in this study, that the reported sorptive removal of 21 heavy metal ions from water by ENMs may be artificial. 22 23 Instead, the removal of heavy metal ions should be attributed 24 primarily to precipitation, a well-known mechanism for the 25 removal of heavy metal ions by formation of metal hydroxyl precipitates in water rather than to adsorption on the ENMs. 26

27 As one point of evidence presented herein, the pHdependent percentage removal curves of heavy metal ions (i.e., 28 29 Pb^{2+} , Cu^{2+} , Cd^{2+} , and Zn^{2+}) in the presence of CNTs (i.e., 30 graphitized CNTs, purified CNTs, and carboxylated CNTs) or nano-oxides (i.e., SiO₂, TiO₂, and Al₂O₃) are found to overlap 31 with the precipitation curves of these metal ions over the pH 32 33 range of 2 to 12 (Figures 1 and S1). The percentage removal of 34 metal ions with or without ENMs increases gradually from about 0% to \sim 99% with variation of the solution pH from 2 to 8, 35 and is subsequently maintained at ~99% with further increment 36 of the pH up to 12. This increase in the percentage removal of 37 metal ions with increasing pH in the presence of ENMs has been 38 39 attributed to the adsorption of the ions by ENMs in previous studies (2-12) given that the species distribution of metal ions 40 41 and the dissociation of surface functional groups and the surface 42 charges of ENMs vary with the solution pH. The low percentage 43 removal of metal ions in acidic solution was attributed to competitive sorption between the metal ions and H⁺/Na⁺ on the 44 adsorbent surface or to electrostatic repulsion given that the 45 metal ions and the ENM surface sites are both positively 46 47 charged at solution pH values lower than the points of zero charge (pH_{pzc}) of the ENMs. The increased percentage removal 48 of metal ions with increasing pH was attributed to electrostatic 49

attraction and the formation of metal-ligand composite complexes between metal ions and the negatively-charged ENM surface sites given that the surface functional groups of the ENMs are progressively deprotonated, and thus the ENM surface sites are negatively charged at solution pH values higher than the pH_{nzc} of the ENMs. If adsorption due to electrostatic attraction and the formation of metal-ligand composite complexes are the operative mechanisms in the removal of metal ions from water (2-12), ENMs with more functional groups and more negative charges in basic solution, such as carboxylated CNTs (C-CNTs), nano-SiO₂, nano-γ-Al₂O₃, and nano-TiO₂ (Table S1), should lead to a higher percentage removal of metal ions than the graphitized CNTs (G-CNTs), purified CNTs (P-CNTs), and nano-a-Al₂O₃. Moreover, the adsorption of metal ions by nano-SiO₂ and C-CNTs should increase at lower pH compared to adsorption on other ENMs due to their lower pH_{zpc} values and the electrostatic attraction mechanism (Tables S1 and S2). However, analysis of the adsorption of a given heavy metal ion by three CNTs and seven nano-oxides (Figures 1 and S1) did not demonstrate these phenomena. Competition of water molecules with metal ions on the oxidized sites of the ENM surface may account for the insignificant adsorption of metal cations on the surface oxidized ENMs, because oxygen-containing groups on the ENM surface are hydrophilic and can form strong H-bonds with water molecules to suppress adsorption (13, 14). An increase in the percentage removal of Mn²⁺ in the presence of oxidized CNTs was observed at pH > 8.0 but not at pH > 3.5 in a previous study (16), which also indicates that the dissociation of surface functional groups and the consequent generation of negativelycharged ENM surface sites is not responsible for the increased percentage removal of metal ions with increasing pH because dissociation of the -COOH groups to -COO⁻ groups is initiated at pH > 3.5 (2).



85 Figure 1. The pH-dependent percentage removal curves of Cu²⁺ (30 86 mg/L) in the presence of 200 mg CNTs (i.e., C-CNTs, P-CNTs, and G-CNTs) or 200 mg nano-oxides including nano-SiO2 (i.e., SS-SiO2, DS-87 SiO₂, SP-SiO₂, and DP-SiO₂), nano-TiO₂, and nano-Al₂O₃ (i.e., α -Al₂O₃ 88 89 and y-Al2O3) in 8 mL solution. The pH-dependent percentage removal 90 curve of Cu2+ without engineered nanomaterials (ENMs), i.e., the 91 precipitation curve, is also plotted as a reference.

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However, the increased percentage removal of Mn^{2+} at pH > 8.0 92 could be attributed to the precipitation of Mn²⁺considering that 93 precipitation of $Mn(OH)_2$ from a solution of Mn^{2+} (0.1 mol/L) is 94 95 effective at pH 8.0 based on the solubility product (K_{sp}) of $Mn(OH)_2$ of 2.1×10^{-13} . In addition, the affinity of the metal ions 96 for oxidized CNTs follows the order: $Cu^{2+} > Pb^{2+} > Co^{2+} > Zn^{2+} >$ 97 Mn^{2+} at pH 9.0 (15). This order is in accord with the K_{sp} values 98 of these metal ions, where the Cu(OH)₂ precipitate has the 99 lowest K_{sp} value (1.6×10⁻¹⁹) whereas the Mn(OH)₂ precipitate 100 has the highest K_{sp} value. 101

As further evidence substantiating precipitation as the 102 103 operative mechanism of metal ion removal by ENMs, the pH-104 dependent percentage removal curves of heavy metal ions are 105 found to be independent of the loading of CNTs (i.e., 10, 50, 106 and 200mg in 8mL solution) and nano-oxides (i.e., 5, 25, 200, 107 and 500 mg in 8mL solution) (Figures 2 and S2), which is not in agreement with the reported results (16-18). One of the most 108 important characteristics of the adsorption mechanism is that the 109 concentration of adsorbate adsorbed on the adsorbent (i.e., the 110 amount of adsorbed adsorbate normalized by the mass loading 111 of adsorbent) at a given equilibrium concentration, and 112 consequently the sorption isotherms, are independent of the 113 adsorbent loadings used in sorption experiments (19). Therefore, 114 115 if the adsorption mechanism is operative, the percentage 116 removal of heavy metal ions should increase with increasing adsorbent loading, which was not the case observed in this study 117 (Figures 2 and S2). Moreover, the increase in the concentration 118 of metal ions adsorbed and the slope of the isotherm for ²⁴³Am³⁺ 119 with increasing CNT loading (20) indicates that the $^{243}\text{Am}^{3+}$ 120 removal cannot be attributed primarily to sorption on the CNTs 121 (19). However, the lack of dependence of the percentage 122 removal of heavy metal ions on the loading of CNTs and nano-123 124 oxides is congruent with the well-known mechanism of precipitation of heavy metal ions by formation of metal 125 126 hydroxyl precipitates, for which the percentage removal of heavy metal ions is dependent on the solution pH (i.e., OH 127 128 concentration) only.



130Figure 2. The pH-dependent percentage removal curves of Cu^{2+} (30131mg/L) with variation of the loading of P-CNTs or nano-TiO₂ in 8 mL132solution. The precipitation curve of Cu^{2+} (i.e., without ENMs) is also133plotted as a reference.

134 As further evidence, the pH-dependent percentage removal 135 curves of heavy metal ions are also independent of the initial concentrations of heavy metal ions (i.e., 30 and 200 mg/L) 136 (Figures 3 and S3), implying a linear relationship between the 137 138 metal ion removal and the concentration of heavy metal ions at a given pH. The isotherms of Co, Cu, and Pb acquired in the 139 presence of oxidized CNTs at pH 9.0 were in fact linear as 140 evidenced by the exponent coefficients of about 1.0 (from 0.944 141 to 1.049) for the data fitted to the Freundlich model (15). Linear 142 isotherms were also obtained for ²⁴³Am³⁺ in the presence of 143 CNTs at pH 6.5 (20). These linear isotherms imply that the 144 mechanism for removal of metal ions is precipitation rather than 145 146 adsorption since isotherm nonlinearity is one of the most important characteristics of the adsorption isotherm (19). 147 Moreover, the re-release of metal ions from the solid to the 148

149 aqueous medium by adjusting the solution pH with acids is also congruent with the precipitation mechanism, i.e., the acids 150 facilitate re-dissolution of the metal hydroxide precipitates (4). 151 The predominant removal of metal ions by precipitation could 152 153 be used to interpret the large variation of the reported the removal percentages (from \sim 0% to 100%) and sorption 154 capacities (from several mg/g to thousand mg/g) of metal ions in 155 the presence of ENMs given that the experiments were 156 conducted at various solution pH with various initial 157 concentrations of metal ions (2-9). The largest sorption capacity 158 of 2762 mg/g was observed in a recent study (21) for Pb^{2+} on a 159 hybrid and nanostructured vaterite-poly(ethyleneimine). With 160 161 the precipitation mechanism, it is clear that the removal 162 efficiency of the adsorbents for metal ions is higher (i.e., higher removal percentages) at higher pH and a larger quantity of metal 163 ions can be removed (i.e., larger sorption capacities) at higher 164 initial concentrations. The predominant removal of metal ions 165 by precipitation could also be effectively used to interpret the 166 167 observations regarding the re-use of ENMs, i.e., ENMs could be used for more than 200 cycles subsequent to regeneration using 168 acids without any changes in their sorption behavior 169 170 (22,23) based on the insignificance of metal ion removal by 171 sorption.



172Equilbrium pHEquilbrium pH173Figure 3. The pH-dependent percentage removal curves of Cu^{2+} at 30174mg/L and 200 mg/L in the presence of P-CNTs or nano-TiO₂ in 8 mL175solution. The precipitation curve of Cu^{2+} (i.e., without ENMs) is also176plotted as a reference.

177 Based on re-evaluation of the literature, we propose that the short-fall in the interpretation of the heavy metal ion removal on 178 nanomaterials by invoking the adsorption mechanism in 179 180 previous studies was derived from ignoring changes in the 181 solution pH after mixing the metal ion solution with the nanomaterials or to erroneous interpretation of the pH changes 182 183 after mixing the metal ion solutions with nanomaterials, and consequently the ignoring or underestimation of the 184 185 precipitation of metal hydroxides. In most previous studies, only the initial pH of the solution was reported and used to interpret 186 the adsorption of metal ions (2-9,18). Changes in the pH of the 187 188 metal ion solution after mixing with ENMs were observed in 189 this study (Figures 4 and S4), as was also observed in several previous studies (16,17,20,24-27). If the pH changes, especially 190 191 the final pH, are ignored, one can artificially conclude that metal ions are adsorbed on ENMs because of the significant deviation 192 193 of the percentage removal curves of the metal ions in the presence of ENMs from the precipitation curves (Figures 4 and 194 195 S4) under such circumstances. However, one of the most important characteristics of the adsorption mechanism is that the 196 197 pH sensitive adsorption behavior is dependent on the final pH at 198 the sorption equilibrium but not the initial pH (13, 14, 19). When 199 the final pH is considered, the pH-dependent percentage removal curves of heavy metal cations (i.e., Pb²⁺, Cu²⁺, Cd²⁺, 200 and Zn^{2+}) in the presence of CNTs (i.e., graphitized CNTs, 201 purified CNTs, and carboxylated CNTs) and nano-oxides (i.e., 202 SiO₂, TiO₂, and Al₂O₃) overlap with the precipitation curves of 203 the heavy metal ions over the pH range of 2 to 12 (Figures 1 and 204 205 S1), indicating the insignificance of adsorption. The changes in

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206 the pH after mixing the metal ion solutions with ENMs were attributed to adsorption via the ion exchange mechanism and the 207 cation- π interaction mechanism. The lowering of the final 208 solution pH at the sorption equilibrium relative to the initial 209 210 solution pH was attributed to the exchanged H⁺ of the ENMs 211 that were released into the solution via ion exchange with metal 212 ions (24-26), whereas the increase in the solution pH after mixing with the ENMs was attributed to the simultaneous 213 adsorption of metal ions and H⁺(acting as electron pair 214 215 acceptors) by cation- π interaction with delocalized π electrons (acting as electron pair donors) of the ENMs, such as graphene 216 nanosheets, resulting in an increase in the solution pH from 4.0 217 218 to 4.87 and higher(27). However, the changes in the pH after 219 mixing with ENMs were also observed for water without metal ions at the initial water pH of 4.0 and 6.0 in this study (Tables 220 S1 and S2), indicating that the pH changes may result from the 221 222 release of H⁺ or OH⁻ groups of the ENMs rather than the other 223 mechanisms suggested (24-27), where the ENMs act as a buffer. 224 For example, the pH of the metal ion solution after mixing with ENMs (such as nano-SP1-SiO₂ and nano-DP1-SiO₂ (Table S2)) 225 falls from neutral to lower pH could be due to the release of H⁺ 226 227 of the ENMs. However, the pH of the solution increases from 4.0 to higher pH after mixing with ENMs (such as CNTs, TiO₂, 228 Al₂O₃, nano-SS1-SiO₂ and nano-DS1-SiO₂) (Tables S1 and S2) 229 could be due to the release of OH⁻ of the ENMs. In addition, the 230 231 previously reported increase in the percentage removal of heavy metal ions as the adsorbent loading increased (16-18) could be 232 233 attributed to a larger change in the pH of the solution to highly 234 alkaline with the addition of more ENMs. Moreover, the 235 observed nonlinear isotherms could also be attributed to the 236 change in the solution pH from neutral/basic to acidic, which decreases the precipitation of metal ions (Figures 1 and S1) and 237 thus the removal of ions from water given that solutions with 238 higher metal ion concentrations are generally more acidic due to 239 240 the saturated H⁺ in the metal salts, added to prevent deterioration. 241



242 Figure 4. Percentage removal of Cu²⁺ 243 (30 mg/L) versus initial/equilibrium pH in the presence of P-CNTs or nano-TiO2 in 8 mL 244 solution. The precipitation curve of Cu^{2+} (i.e., without ENMs) is also 245 246 plotted as a reference.

247 Another possible reason for the erroneous interpretation 248 that adsorption is the operative mechanism of metal ion removal from solution by ENMs is the disregard of the fact that 249 250 impurities in the nanomaterials can form precipitates with heavy metal ions. During synthesis of CNTs, transitional metal 251 catalysts (such as molybdenum) will deposit at the tip of the 252 253 nanotubes or intercalate in the center of the nanotubes (14,28). 254 As a case in point, it was observed that metal impurities (e.g., 255 MoO4²⁻) which can release into solutions from purchased CNTs during the sorption to form PbMoO₄ precipitates with Pb²⁺ (28). 256 Chemical precipitation, i.e., PbMoO₄ formation between Pb²⁺ 257 and CNTs-released MoO4²⁻ and subsequent precipitation in the 258 259 sorptive solutions, was the dominant mechanism for the apparent removal of Pb²⁺ from solution by the reported CNTs 260 261 (28).

262 Modification of ENMs is one prospective means of enhancing the sorptive removal of metal ions from wastewater 263 (3,29,30). For example, by coating Fe₃O₄ magnetic 264 nanoparticles with humic acid (HA), the prepared Fe₃O₄/HA 265 complex exhibited remarkable enhancement of metal ion 266 267 removal by adsorption (29). However, the adsorption capacity of the HA-coated ENMs for metal ions was much lower than that 268 of bulk HA (31), implying that modification of the ENMs was 269 not necessarily a promising way to confer superior metal ion 270 271 adsorption properties on the ENMs.

272 In conclusion, the awareness of the importance of 273 precipitation of metal hydroxyls in the removal of heavy metal 274 ions from water in the presence of nanomaterials leads us to 275 deduce that the removal of heavy metal ions from water in the 276 presence of ENMs should be attributed primarily to precipitation 277 of metal hydroxyls or formation of precipitates of the metal ions with impurities present in the ENM, rather than to adsorption of 278 279 these metal ions on the ENMs, i.e., ENMs(including CNTs and nano-oxides) are not superior adsorbents for the removal and 280 281 pre-concentration of heavy metal ions from water. The 282 erroneous interpretation that heavy metal ion removal occurs via 283 the adsorption mechanism on ENMs in previous studies is largely attributed to disregard of the changes in the solution pH 284 285 after mixing with the nanomaterials or release of impurities in 286 the ENM, and consequently to the disregard or underestimation 287 of the formation of metal precipitates.

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294 **Notes and References**

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