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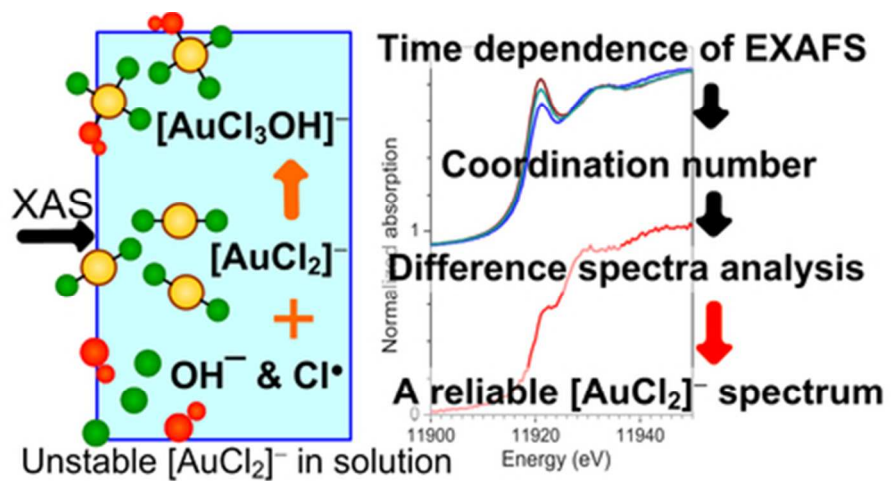


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## ARTICLE

# Structure and Bonding in Au(I) Chloride Species: A Critical Examination of X-ray Absorption Spectroscopy (XAS) Data

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Au(I) chloride species are important reactants and intermediates in various processes across the chemical sciences and engineering. Structure and bonding in Au(I) species are often characterized by X-ray absorption spectroscopy (XAS), including measurements under reaction conditions. Previously reported XAS spectra for Au(I) chloride species have varied significantly, likely as a result of radiation damage and/or partial disproportionation of  $[\text{AuCl}_2]^-$  ions, which are metastable under ambient conditions. By monitoring the decomposition of tetrabutylammonium dichloroaurate(I), TBA $[\text{AuCl}_2]$ , in 1,2-dichlorobenzene we have obtained a reliable X-ray absorption spectrum of  $[\text{AuCl}_2]^-$  ions by combining the calculation of difference spectra with an extended X-ray absorption fine-structure (EXAFS) determination of the solution composition. The results show that the X-ray absorption near-edge structure (XANES) of  $[\text{AuCl}_2]^-$  is characterized by a weak Au  $2p_{3/2} \rightarrow 5d$  ('white line') transition, which agrees well with the spectrum predicted by electronic structure calculations using the FEFF8 code. Compared to  $[\text{AuCl}_4]^-$ , the determined  $[\text{AuCl}_2]^-$  spectrum has several distinctive features of diagnostic analytical value. A more detailed densities of states (DOS) analysis of the electronic structure suggests that the weak white line arises from a hybrid Au  $6s/5d$  DOS band that is partially occupied, up to the level of the highest occupied molecular orbital (HOMO). Correlation of Cl coordination numbers determined from the EXAFS with the intensity of the white line in the XANES indicates that the decomposition is a primarily radiation-induced oxidation to Au(III) species with an average formula of  $[\text{AuCl}_3\text{OH}]^-$ .

## 1. Introduction

Au(I) chloride species are important reactants and intermediates in heterogeneous and homogeneous Au catalysis,<sup>1, 2</sup> electrochemical processes,<sup>3</sup> nanoparticle synthesis,<sup>4-6</sup> geochemical Au speciation,<sup>7-9</sup> and gold mining.<sup>10</sup> X-ray absorption spectroscopy (XAS) measurements can provide incisive information on the chemical state of Au in solid Au(I) chloride and its associated solution species.<sup>8</sup> The X-ray absorption fine-structure (XAFS) in these spectra provides information on the electronic properties of the Au centers through the X-ray absorption near-edge structure (XANES) and on molecular structure, such as Au-Cl coordination numbers and bond lengths, through the extended X-ray absorption fine structure (EXAFS).<sup>11, 12</sup>

In the context of Au speciation analysis from  $L_3$ -edge XANES, the spectral feature receiving most attention is the so-called 'white line' resonance at a photon energy of approximately 11921 eV (fig. 1), which arises from the excitation of core Au  $2p_{3/2}$  electrons to unoccupied Au  $5d$  or  $6s$

states. Compared to metallic Au, Au(III) compounds tend to have lower  $5d$  and  $6s$  occupancies and therefore exhibit prominent white lines,<sup>13-18</sup> while Au(I) compounds tend to have weaker white line absorptions.<sup>14, 17</sup> White line intensity analysis is therefore used to identify Au oxidation states<sup>14</sup> although there are cases in which such correlations need to be applied with care.<sup>19, 20</sup> For example, in the context of heterogeneous catalysis, Au chloride species are common precursors in catalyst preparation<sup>21</sup> and reliable identification of Au species is important for generating the deep mechanistic understanding required for catalyst design. Certainty about the spectroscopic signature of Au(I) chloride is required to reach reliable conclusions.

However, previously published Au  $L_3$ -edge XANES spectra of Au(I) chloride species<sup>6, 8, 13-16</sup> have varied considerably. Quite prominent white lines have been reported in some cases,<sup>17, 22</sup> which suggest a superposition of absorption from significant concomitant Au(III) concentrations, either due to contamination or from reaction products formed spontaneously in solution. Even the published spectrum of  $[\text{AuCl}_2]^-$  obtained under

hydrothermal conditions, which strongly favor Au(I), has significant intensity in the white line region.<sup>8</sup> Generally, atmospheric pressure, non-acidic pH and absence of chloride ions favor Au(III), while hydrothermal and acidic chloride-rich aqueous electrolytes stabilize Au(I).<sup>8</sup> The variations between published spectra are likely due to (i) the sensitivity of cationic Au species to radiation-induced redox transformations,<sup>23</sup> and (ii) the metastability of Au(I) chloride under ambient conditions, especially in aqueous solution.<sup>9,17</sup>

Examining the dichloroaurate(I) ion as its tetrabutylammonium salt, TBA[AuCl<sub>2</sub>] in the non-aqueous solvents 1,2-dichlorobenzene (DCB), toluene and 1,2-dichloroethane (DCE) we also observed evidence for Au(III) formation as a clearly enhanced white line feature (fig. 1). This feature was weaker in toluene than in DCB solution, suggesting higher stability of [AuCl<sub>2</sub>]<sup>-</sup> in toluene, but the low TBA[AuCl<sub>2</sub>] solubility of approximately 1 mM in toluene did not allow us to collect EXAFS data with sufficient signal-to-noise quality for a more detailed analysis. For DCE, the spectrum of 5 mM TBA[AuCl<sub>2</sub>] (not shown in fig. 1) indicated metallic Au only, suggesting rapid reduction from Au(I) to Au(0). However, in DCB solutions containing 6 mM TBA[AuCl<sub>2</sub>], the spectral changes between each measurement were slow enough to enable monitoring of the reaction progress by XAS. In the following we will use this time-dependence of the Au L<sub>3</sub>-edge spectrum to advantage, to reliably isolate the [AuCl<sub>2</sub>]<sup>-</sup> XANES through a combined EXAFS and XANES analysis. The analysis will show that TBA[AuCl<sub>2</sub>] appears to be oxidized through the influence of radiation on the DCB solution, forming Au(III) species with an average composition of [AuCl<sub>3</sub>OH]<sup>-</sup>. We will compare the determined [AuCl<sub>2</sub>]<sup>-</sup> spectrum with the XANES predicted by electronic structure calculations and with the spectrum of aqueous [AuCl<sub>2</sub>]<sup>-</sup>.

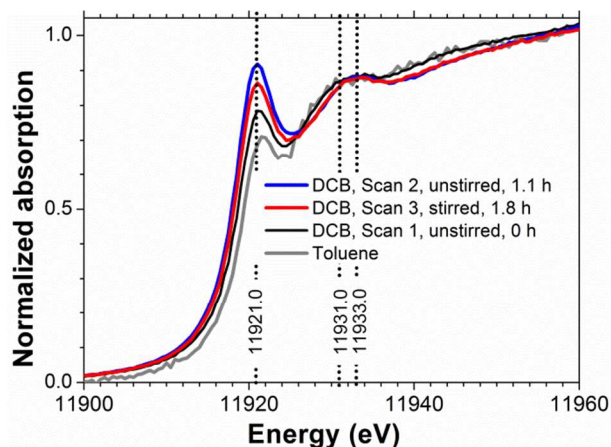


Figure 1. Au L<sub>3</sub>-edge XANES spectra of 6 mM TBA[AuCl<sub>2</sub>] in DCB (Sample #1 in Table 1) and 1 mM TBA[AuCl<sub>2</sub>] in toluene (Sample #2).

## 2. Experimental

Table 1 summarizes the samples examined by XAS measurements in this study. For samples #1-3, tetrabutylammonium dichloroaurate (TCI Europe N. V.),

(TBA)[AuCl<sub>2</sub>], was directly dissolved in the solvents (1,2-DCB, ≥99% Sigma Aldrich; toluene, ≥99.5% Sigma Aldrich; 1,2-DCE, ≥99% Sigma Aldrich) without further purification. Only the spectra arising from sample #1 will be analyzed in detail in this contribution.

To obtain a spectrum of aqueous [AuCl<sub>2</sub>]<sup>-</sup> (sample #4), it was extracted into water from a DCE solution through the DCE/water interface, similar to a previously described phase transfer process.<sup>24</sup> A 20 mM (TBA)[AuCl<sub>2</sub>] solution in DCE was brought in contact with an aqueous solution containing 15 mM HAuCl<sub>4</sub> and 0.1 M HCl. [AuCl<sub>2</sub>]<sup>-</sup> exchanges with [AuCl<sub>4</sub>]<sup>-</sup> in this system because of the hydrophilic nature of [AuCl<sub>2</sub>]<sup>-</sup>. Ion exchange resulted in an aqueous solution of H[AuCl<sub>2</sub>] of approximately 15 mM.

Tetraoctylammonium tetrachloroaurate, (TOA)[AuCl<sub>4</sub>], crystals (sample #7) were prepared by mixing equimolar quantities of HAuCl<sub>4</sub>·3H<sub>2</sub>O (≥99.99%, Alfa Aesar) and TOACl (≥97%, Aldrich) which were then dissolved in a minimal amount of methanol. Undissolved impurities were removed by filtration. The TOA[AuCl<sub>4</sub>] salt produced was purified further by repeating the recrystallization procedure. The purified TOA[AuCl<sub>4</sub>] crystals were then dissolved in DCB just prior to XAS measurements.

Table 1. Details of the Au chloride samples used in this contribution.

#	Chemical	Solvent	Condition	Conc. (mM)	Mode*
1	TBA[AuCl <sub>2</sub> ]	DCB	ambient	6	Fluor
2	TBA[AuCl <sub>2</sub> ]	toluene	ambient	1	Fluor
3	TBA[AuCl <sub>2</sub> ]	DCE	ambient	5	Fluor
4	H[AuCl <sub>2</sub> ]	water	ambient	15	Fluor, Trans
5	TBA[AuCl <sub>2</sub> ]	solid	ambient	-	Fluor
6	[AuCl <sub>2</sub> ] <sup>-</sup>	water	hydrothermal	See ref <sup>8</sup>	Fluor
7	TOA[AuCl <sub>4</sub> ]	DCB	ambient	5	Fluor

\* 'Fluor' denotes fluorescence-yield detection, 'Trans' denotes transmission detection.

The Au L<sub>3</sub> absorption edges of samples #1-3 and #7 were measured at the undulator beamline I18 of Diamond Light Source, UK.<sup>25</sup> During measurements, the sample solutions were placed in capped Eppendorf centrifugation tubes. The synchrotron electron storage ring was operating at 3 GeV, 300 mA. A double Si(111) crystal monochromator giving an energy resolution of  $1.4 \times 10^{-4}$  dE/E was used. Kirkpatrick-Baez (KB) mirrors were used to focus the beam and to reject higher harmonics. The photon fluxes on the samples were between  $0.9 \times 10^{11}$  and  $4.9 \times 10^{11}$  s<sup>-1</sup> at 11919 eV. Spectra were acquired in fluorescence-yield mode, with an Ortec multi-element solid-state Ge detector. The beam size during the experiments was approximately 45 (horizontal) μm × 50 (vertical) μm. The data acquisition time for each spectrum was around 30 min. The spectra of samples #4 and #5 were measured at the BL27B beamline of the Photon Factory at the High Energy Accelerator Research Organization (KEK), Japan. The spectrum of sample #6 (aqueous [AuCl<sub>2</sub>]<sup>-</sup>) has previously been reported.<sup>8</sup> It was measured under hydrothermal conditions

at 250°C, 600 bar, starting from an aqueous solution containing 0.032 M  $\text{HAuCl}_4$ , 0.54 M  $\text{NaCl}$  and 0.53 M  $\text{HCl}$ .

The Demeter software package (version 0.9.18) was used for XAFS data analysis.<sup>26</sup> The data were first calibrated using gold foil and the edge-step heights normalized to 1. For the EXAFS analysis, a Hanning-type Fourier transform window was used. Fitting was done in  $R$ -space using  $k^1$ -weighting. The EXAFS scattering paths were generated from the published crystal structure of  $\text{AuCl}$ .<sup>27</sup> The error values reported for fitted parameters are the diagonals of the covariance matrix multiplied by the square-root of the reduced chi-square. The EXAFS  $R$ -factor indicates the closeness-of-fit.<sup>12</sup> Only 3 variables out of the 15.1 independent points were used. The fitted  $k$ - and  $R$ -ranges were 3-11  $\text{\AA}^{-1}$  and 1.0-4.0  $\text{\AA}$  respectively.

FEFF8.2<sup>28</sup> was used to simulate the XANES of monomer  $[\text{AuCl}_2]^-$ , with Au-Cl distance at 2.27  $\text{\AA}$ . XANES, FMS and SCF cards were used – FMS for full-multiple scattering XANES calculation; SCF to enable self-consistent field iterations. The ION card was not used.

### 3. Results

#### 3.1 EXAFS Analysis and the Au(III) Species

Without exposure to the X-ray beam,  $[\text{AuCl}_2]^-$  in DCE is stable, as known from electrochemical experiments.<sup>29</sup> Using cyclic voltammetry we likewise established the stability of  $[\text{AuCl}_2]^-$  in DCB solution (fig. S1). We can therefore conclude that the observed changes in fig. 1 were indeed radiation-induced. We further established that radiation converted Au(I) to Au(III) in DCB by recording the spectrum of an unstirred solution, followed by a spectrum at the same position under stirring. As can be seen in fig. 1, the white line intensity decreased upon stirring, indicating that Au(III) concentrations accumulated in the region of the unstirred solution exposed to the X-ray beam. Aqueous  $[\text{AuCl}_2]^-$  is known to undergo spontaneous

disproportionation to  $[\text{AuCl}_4]^-$  and Au metal.<sup>9</sup> However, for the radiation-induced oxidation observed in DCB, neither visual inspection of the sample cells nor EXAFS analysis (*vide infra*) provided any evidence for the presence of metallic Au. We return to the possible mechanism of the radiation-induced Au(III) formation in DCB further below, after establishing the likely composition of the Au(III) species from a combined EXAFS and XANES analysis.

Fig. 2 displays EXAFS data for TOA $[\text{AuCl}_4]^-$  and TBA $[\text{AuCl}_2]^-$  in DCB. The spectra of both solutions have very similar features in both  $k$ - and  $R$ -spaces, except for the fact that the amplitude of the TBA $[\text{AuCl}_2]^-$  EXAFS is smaller because of its lower Cl coordination number. This similarity of the EXAFS functions is expected, as the length of the Au-Cl bond in  $[\text{AuCl}_2]^-$  is almost identical to that in  $[\text{AuCl}_4]^-$ .<sup>8</sup> Consequently the scattering paths involving Cl in the linear Au(I) complex  $[\text{AuCl}_2]^-$  and in square planar Au(III) complexes such as  $[\text{AuCl}_4]^-$  and  $[\text{AuCl}_3\text{OH}]^-$  are practically identical.

Four scattering paths were used for the EXAFS analysis of the three scans of TBA $[\text{AuCl}_2]^-$  in DCB (fig. 1): the Au-Cl and Au-O single scattering (SS) paths as well as the linear multiple scattering (MS) paths Au-Cl-Cl-Au and Au-Cl-Au-Cl-Au (fig. 2). Such linear MS paths are commonly used in EXAFS models for linear and square planar Au(III) compounds.<sup>8, 30</sup> The parameters for the EXAFS model are summarized in Table 2. The amplitude factor  $S_0^2$  for all the scattering paths was fixed at 0.82 and the Debye Waller (DW) factor for Cl,  $\sigma_{\text{Cl}}^2$  was fixed at 0.002  $\text{\AA}^2$ . These values were obtained from the fitting of the TOA $[\text{AuCl}_4]^-$  reference solution in DCB (sample #7, Table 1) and are in close agreement with values previously reported for  $[\text{AuCl}_4]^-$ .<sup>8, 30, 31</sup> We will in the following assume that identical DW factors apply to Au-Cl bonds in Au(I) and Au(III) complexes, which, strictly speaking, introduces uncertainty because the DW factor is dependent on the amplitude of the Au-Cl vibrations. However, given the similar Au-Cl bond

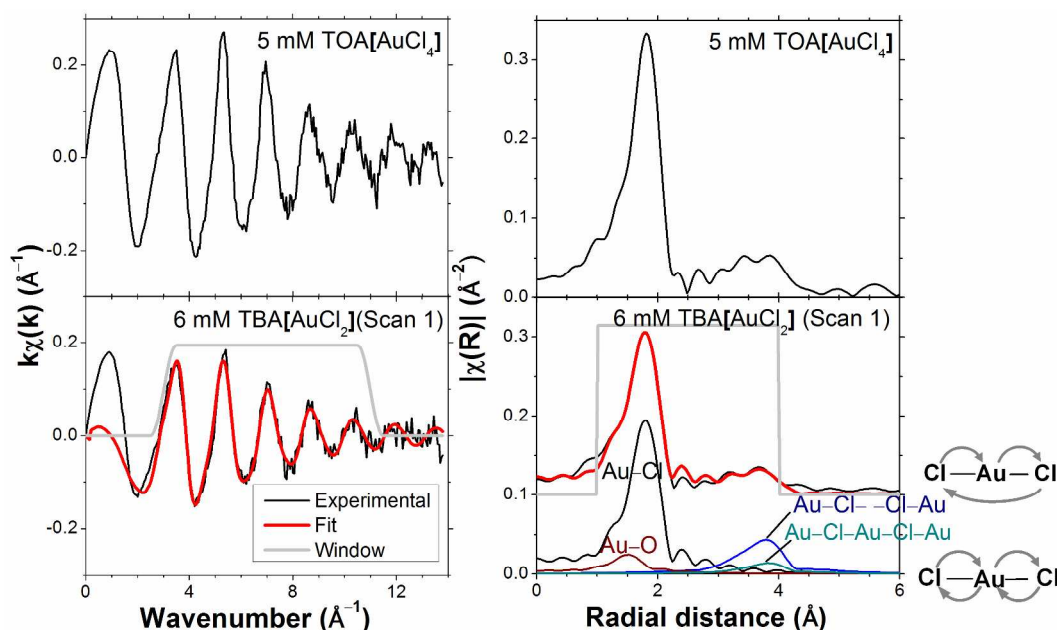


Figure 2. EXAFS of 6 mM TBA $[\text{AuCl}_2]^-$  in DCB (sample #1 in Table 1) contrasted with 5 mM TOA $[\text{AuCl}_4]^-$  in DCB (sample #7). The multiple scattering paths are also shown on the right.

lengths in  $[\text{AuCl}_2]^-$  and  $[\text{AuCl}_4]^-$ , it appears unlikely that the differences introduce a major error. No metallic Au–Au scattering was evident from the EXAFS analysis, suggesting the absence of dimers or other self-associated species. When carrying out the EXAFS fitting with  $k^1$ -weighting we noted that the fit quality improved upon the inclusion of an Au–O scattering path, with  $\sigma^2_{\text{O}}$  and  $R_{\text{O}}$  fixed at  $0.002 \text{ \AA}^2$  and  $1.96 \text{ \AA}$ , which are values comparable to those previously found for hydrolyzed Au(III) species in water,<sup>18, 30, 31</sup> suggesting the presence of hydroxo ligands. Higher  $k$ -weightings were less sensitive to the contribution of this weak Au–O scattering, which is perhaps expected because Au–O scattering is strongest at low  $k$ -values. The initial  $E_0$  value was chosen within the post-white line and pre-EXAFS region at  $11926 \text{ eV}$  to minimize the shift in energy,  $\Delta E$  in the EXAFS fitting.<sup>32</sup>

As can be seen in Table 3, for all of the TBA $[\text{AuCl}_2]$  solutions in DCB the Cl coordination number ( $N_{\text{Cl}}$ ) was significantly higher than the value of 2 expected for  $[\text{AuCl}_2]^-$ , confirming the presence of significant concentrations of Au(III) species with a higher Cl coordination number, such as  $[\text{AuCl}_4]^-$  or  $[\text{AuCl}_3\text{OH}]^-$ . A linear best fit to the correlation between white line intensity and  $N_{\text{Cl}}$  (fig. 3) predicts a white line intensity of 1.6 at  $N_{\text{Cl}} = 4$ , the expected coordination number of  $[\text{AuCl}_4]^-$ . This intensity is much higher than the experimentally observed value of 1.1 we obtained for a solution of TOA $[\text{AuCl}_4]$  in DCB (fig. 4). Much better agreement with experiment is achieved by extrapolation to  $N_{\text{Cl}} = 3$ , where the predicted white line intensity is 1.1. This value is in very good agreement with the white line intensity of 1.2 previously reported for  $[\text{AuCl}_3\text{OH}]^-$ .<sup>31, 33</sup> This suggests that  $[\text{AuCl}_3\text{OH}]^-$  is the dominant Au(III) species formed in DCB, which also explains our observation of significant Au–O scattering in the EXAFS.

Assuming that the DCB solution contains a mixture of  $[\text{AuCl}_2]^-$  and  $[\text{AuCl}_3\text{OH}]^-$  we can now determine the mole fraction of  $[\text{AuCl}_3\text{OH}]^-$  from the EXAFS-derived  $N_{\text{Cl}}$  values, as  $x_{\text{Au(III)}} = N_{\text{Cl}} - 2$ . This analysis yielded  $[\text{AuCl}_3\text{OH}]^-$  contents of 39%, 63% and 47% for the three solutions (fig. 3, Table 3).

Table 2. EXAFS model for the Au  $L_3$ -edge spectra of 6 mM TBA $[\text{AuCl}_2]$  in DCB (fig. 1).

Parameters	Au–O (SS)	Au–Cl (SS)	Au–Cl (MS)
$S_0^2$	0.82	0.82	0.82
$\sigma^2 / \text{\AA}^2$	0.002	0.002	$2 \times 0.002$
$R / \text{\AA}$	1.96	$R_{\text{Cl}}$	$2R_{\text{Cl}}$
$N$	$x_{\text{Au(III)}}$	$N_{\text{Cl}}$	$N_{\text{Cl}}$
$E_0 / \text{eV}$	$\Delta E$	$\Delta E$	$\Delta E$

$S_0^2$  is the amplitude reduction factor;  $\sigma^2$  is the Debye Waller factor;  $R$  is the Au–scatterer distance or the half-path length;  $x$  is the mole fraction;  $N$  is the coordination number or path degeneracy;  $\Delta E$  is the edge energy shift; the initial choice for  $E_0$  was  $11926 \text{ eV}$ .

Table 3. EXAFS fitting results for the three scans of 6 mM TBA $[\text{AuCl}_2]$  in DCB (fig. 1).

Parameters	Scan 1	Scan 2	Scan 3
$R(\text{Au–Cl}) / \text{\AA}$	2.266 (6)	2.28(1)	2.272(8)
$N_{\text{Cl}}$	2.39(5)	2.63(10)	2.47(8)
$x_{\text{Au(III)}} / \%$	39(5)	63(10)	47(8)
$\Delta E / \text{eV}$	0.1(7)	1.1(10)	0.09(92)
$\mathcal{R}$ -factor	0.012	0.032	0.024

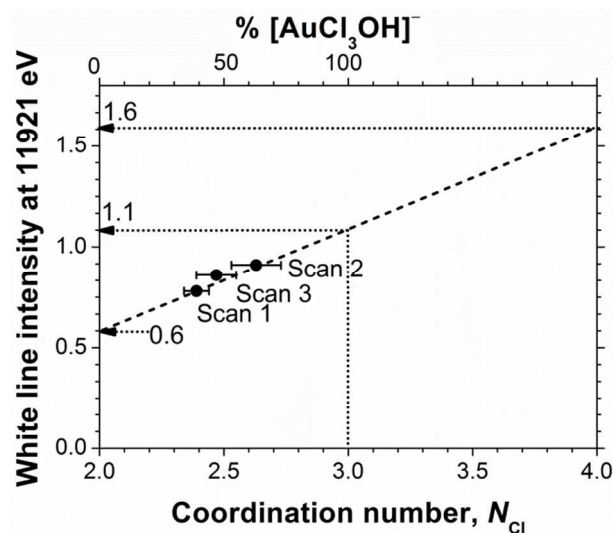


Figure 3. The white line absorption of 6 mM TBA $[\text{AuCl}_2]$  in DCB (fig. 1) at  $11921 \text{ eV}$  as a function of  $N_{\text{Cl}}$ .  $N_{\text{Cl}}$  was quantified using EXAFS analysis, see Table 3.

### 3.2 Determination of $[\text{AuCl}_2]^-$ XANES by Difference Spectrum Analysis

As a complementary and independent means for determining the mole fractions  $x$  of Au(I) and Au(III) in DCB solutions, a difference spectrum analysis of the XANES spectra was carried out. For this we assumed that the experimental spectra,  $S_{i,\text{exp}}$  can be expressed as a sum of the spectra from  $[\text{AuCl}_2]^-$ ,  $S_{[\text{AuCl}_2]^-}$ , and an arbitrary Au(III) compound,  $S_{\text{Au(III)}}$ :

$$S_{i,\text{exp}} = (1 - x_{\text{Au(III),i}}) S_{[\text{AuCl}_2]^-} + x_{\text{Au(III),i}} S_{\text{Au(III)}} \quad \text{Eq. 1}$$

$i$  is the scan number (scan 1, 2, or 3) of the experimental spectra (fig. 1, Table 1).

We can then determine the spectrum of pure  $[\text{AuCl}_2]^-$ ,  $S_{[\text{AuCl}_2]^-,\text{det}}$  from two experimental spectra (scan 1 and 2) by eliminating  $S_{\text{Au(III)}}$  from Equation 1, yielding

$$S_{[\text{AuCl}_2]^-,\text{det}} = \frac{x_{\text{Au(III),1}} S_{2,\text{exp}} - x_{\text{Au(III),2}} S_{1,\text{exp}}}{x_{\text{Au(III),1}} - x_{\text{Au(III),2}}} \quad \text{Eq. 2}$$

One such spectrum is shown in fig. 4, labelled ' $[\text{AuCl}_2]^-$  difference spectrum analysis'. With knowledge of  $S_{[\text{AuCl}_2]^-,\text{det}}$ , we can now also determine the corresponding Au(III) spectrum,

$S_{\text{Au(III),det}}$ , shown in fig. 4, labelled ‘Au(III) difference spectrum analysis’.

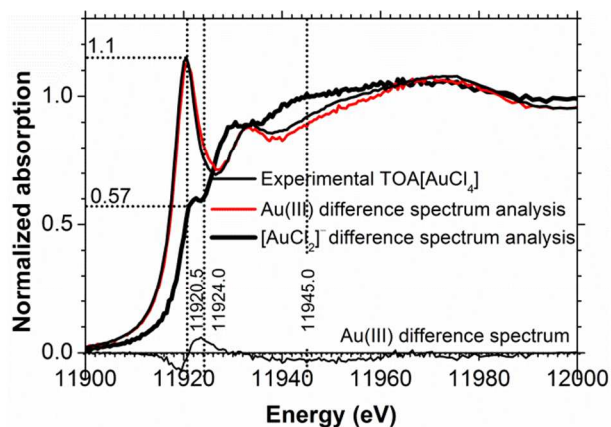


Figure 4.  $[\text{AuCl}_2]^-$  and Au(III) spectra determined by XANES difference spectrum analysis contrasted with the experimental spectrum of 5 mM TOA $[\text{AuCl}_4]^-$  in DCB.

We used a least-square method to calculate  $x_{\text{Au(III),1}}$  and  $x_{\text{Au(III),2}}$ . The difference between the determined Au(III) spectrum,  $S_{\text{Au(III),det}}$  and the experimentally obtained Au(III) spectrum,  $S_{\text{Au(III),exp}}$  was minimized by changing  $x_{\text{Au(III),1}}$  and  $x_{\text{Au(III),2}}$  using the Solver in Microsoft Excel. We used the spectrum of TOA $[\text{AuCl}_4]^-$  in DCB as  $S_{\text{Au(III),exp}}$ . This analysis indicated  $x_{\text{Au(III)}}$  values of 0.39 and 0.64 for scans 1 and 2 respectively. These values are in very good agreement with those obtained from the EXAFS fitting analysis in Section 3.1, where  $x_{\text{Au(III)}}$  was found to be 0.39 and 0.63. Comparison of the determined Au(III) XANES spectrum with that of the  $[\text{AuCl}_4]^-$  standard (fig. 4) reveals small differences; there is a weak shoulder feature around 11924 eV, while the shoulder at 11945 eV is somewhat lower in intensity. These are precisely the characteristics of the spectrum of partially hydrolyzed Au(III) chloride with a stoichiometry close to  $[\text{AuCl}_3\text{OH}]^-$ ,<sup>31, 33</sup> confirming the conclusion drawn from the EXAFS analysis in section 3.1.

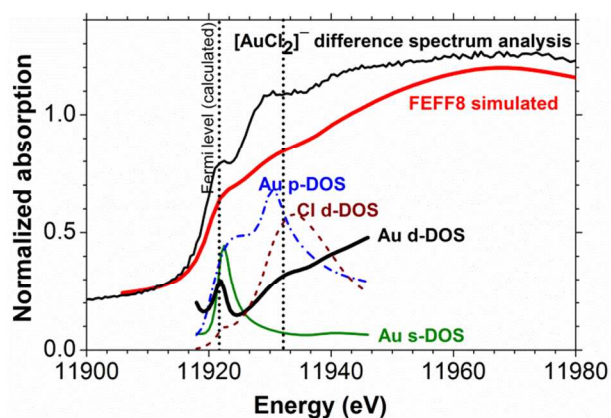
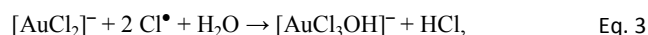


Figure 5. FEFF8 simulated Au(I) chloride and the projected density-of-states compared with  $[\text{AuCl}_2]^-$  determined using XANES difference spectrum analysis.

A FEFF8 simulation of the  $[\text{AuCl}_2]^-$   $L_3$ -edge reproduces all salient features in the experimental XANES spectrum (fig. 5), including the peaks at 11922 and 11930 eV. Analysis of the  $l$ -projected unoccupied density-of-states (DOS) plots shows that the weak absorption in the white line region arises from a transition to a hybrid partially unoccupied Au 6s/5d band intersected by what FEFF8 identifies as the ‘Fermi energy’, but should perhaps more correctly be referred to as the highest occupied molecular orbital (HOMO) for a molecular species. The well-known 6s/5d hybridization arises from the relativistic effect on the 6s state.<sup>34, 35</sup> There is also significant p-DOS in this energy range, but its influence on the XANES spectrum is not evident because only transitions with  $\Delta l = \pm 1$ , i.e.  $p_{3/2} \rightarrow d$  or  $p_{3/2} \rightarrow s$ , are dipole-allowed. Examination of the DOS in Fig. 5 further indicates that the resonance at 11930 eV, which is in the region of the onset of EXAFS backscattering, arises from a transition to unoccupied states with Cl 3d-Au 5d hybridization.<sup>30, 36</sup>

#### 4. Discussion

In the previous section, we have shown that TBA $[\text{AuCl}_2]^-$  in DCB was oxidized to  $[\text{AuCl}_3\text{OH}]^-$  under X-ray irradiation, and that metallic Au was not detected in the solution. Under ambient conditions,<sup>9</sup> TBA $[\text{AuCl}_2]^-$  in DCB was *stable* in the absence of radiation (Section 3.1), in marked contrast to aqueous  $[\text{AuCl}_2]^-$  solutions, which undergo disproportionation to  $[\text{AuCl}_4]^-$  and metallic Au. These observations point towards a reaction induced by X-ray photons, converting TBA $[\text{AuCl}_2]^-$  in DCB to  $[\text{AuCl}_3\text{OH}]^-$ . In the absence of additional mechanistic information about the process it is difficult to identify the radiation-induced process with certainty, but it appears likely that radicals formed by irradiation of DCB cause the observed transformation. For example, a reaction involving  $\text{Cl}^\bullet$  radicals and water dissolved in DCB from the laboratory ambient could lead to an overall reaction according to



but clearly more work is required to unequivocally confirm this or any alternative mechanism.

To examine the effect of spontaneous disproportionation of aqueous  $[\text{AuCl}_2]^-$  under ambient conditions we also measured its spectrum (sample #4 in Table 1, the group of spectra in the lower part of fig. 6). We obtained both the transmission and the fluorescence-yield XANES spectra. Comparison of these data with the spectrum obtained in DCB indicated that partial disproportionation to Au(0) and Au(III) had taken place.<sup>9</sup> This was evident from the fluorescence-yield XANES spectrum, which has a somewhat stronger white line than the transmission spectrum, as a result of metallic gold deposition on the walls of the sample cell during the measurement. Fluorescence-yield detection is much more sensitive to such thin wall deposits<sup>37</sup> than transmission detection, which probes the bulk composition of the solution. In line with this, subsequent scans of the same sample (not shown) yielded fluorescence-yield spectra that evolved to mainly metallic features; meanwhile the

transmission spectra acquired Au(III) and Au(0) features, supporting the fact that disproportionation of Au(I) to Au(III) and Au(0) had taken place in the aqueous solution during the measurements.

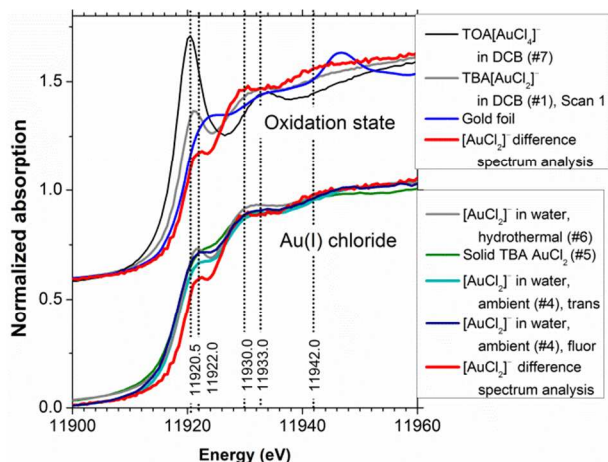


Figure 6.  $[\text{AuCl}_2]^-$  determined using XANES difference spectrum analysis compared with compounds of different oxidation states (top) and with the other Au(I) chloride spectra (bottom). Sample numbers are shown in brackets, refer to Table 1. 'trans' denotes transmission detection, 'fluor' denotes fluorescence-yield detection.

There are several distinctive features in the determined  $[\text{AuCl}_2]^-$  XANES spectrum that allow the identification of Au(I) chloride species. The upper group of spectra in fig. 6 compares the determined  $[\text{AuCl}_2]^-$  spectrum with the spectrum of TOA $[\text{AuCl}_4]^-$  and with the original spectrum of the TBA $[\text{AuCl}_2]^-$  solution in DCB. It can be seen that the white line maximum for  $[\text{AuCl}_2]^-$  is shifted to higher photon energy by approximately 1.5 eV relative to the value of 11920.5 eV observed for  $[\text{AuCl}_4]^-$ . A broad shoulder is evident in the photon energy range around  $\sim 11942$  eV. However, the resonance at  $\sim 11930$  eV is most distinctive for  $[\text{AuCl}_2]^-$ , as it occurs several eV below the corresponding feature in the  $[\text{AuCl}_4]^-$  spectrum. We note that this transition is evident in a set of recently published time-resolved XANES data for the process of X-ray induced Au nanoparticle formation from  $[\text{AuCl}_4]^-$  in ionic liquids, where it is most clearly visible after approximately 4 h.<sup>38</sup> Its presence suggests the formation of an  $[\text{AuCl}_2]^-$  intermediate in the process, possibly supporting the AuCl<sub>2</sub>-dimer model put forward in the study, which was derived from an EXAFS analysis. Judging from the XANES obtained in our work it would appear that a model involving Au nanoparticles,  $[\text{AuCl}_2]^-$  and  $[\text{AuCl}_4]^-$  may provide an alternative explanation.

The  $[\text{AuCl}_2]^-$  spectrum determined from XANES difference spectrum analysis has a weak white line, similar to that in some previously reported data for  $[\text{AuCl}_2]^-$  species – including measurements under ambient and hydrothermal conditions (600 bar, 250°C)<sup>8</sup> as well as a spectrum of solid TBA $[\text{AuCl}_2]^-$  (fig. 6, lower set of spectra). The features at 11930 and 11942 eV are very similar in these Au(I) chloride XANES spectra. The agreement between these spectra in different environments

indicates that the internal electronic structure of the ion is not strongly influenced by the solvent and cation. Somewhat stronger white lines in other reported data<sup>17, 22</sup> may be due to either residual Au(III) content or weak solvent interactions.

We note particularly that compared to Au foil (fig. 6, upper set of spectra) the absorption in the white line region in the  $[\text{AuCl}_2]^-$  spectrum is actually weaker. This indicates that the relationship between oxidation state and white line intensity may be more complex than sometimes assumed.<sup>14</sup> Indeed, the results of the FEFF8 DOS calculation (fig. 5) suggest a high sensitivity to the exact position of the 'Fermi energy' (highest occupied molecular orbital, HOMO), which determines Au 6s/5d band occupancy, relative to the contributions from Au 5d, 6s and 6p states. This reflects the well-known dependence of chemical bonding on ligand species, coordination number and geometric arrangement around the X-ray absorbing central atom.<sup>39, 40</sup> More systematic studies are needed to elucidate these complex relationships further.

## 5. Conclusions

By combined difference spectrum and EXAFS analysis we have determined the XANES spectrum of  $[\text{AuCl}_2]^-$  from several consecutive XAS scans of TBA $[\text{AuCl}_2]^-$  in DCB. The  $[\text{AuCl}_2]^-$  spectrum obtained by our analysis can serve as a reliable reference for XANES studies requiring the identification and quantification of  $[\text{AuCl}_2]^-$  content. Radiation-induced oxidation of TBA $[\text{AuCl}_2]^-$  appears to result in  $[\text{AuCl}_3\text{OH}]^-$  as shown in the correlation between the EXAFS-derived Cl coordination numbers and the white line intensities in the spectra. Difference spectrum analysis of the XANES arrives at the same quantitative conclusions and likewise allowed us to eliminate the influence of the Au(III) species on the spectrum. Compared with  $[\text{AuCl}_4]^-$  the determined  $[\text{AuCl}_2]^-$  spectrum has several distinctive features with diagnostic value: a small white line at 11922 eV, a second peak at 11930 eV and a broad shoulder at 11942 eV, which are also evident in some previously reported Au(I) chloride spectra. The energetic positions of these features are quantitatively reproduced by FEFF8 calculations of the  $[\text{AuCl}_2]^-$  XANES. It appears that the radiation-induced oxidation and partial hydrolysis of  $[\text{AuCl}_2]^-$  to  $[\text{AuCl}_3\text{OH}]^-$  most likely follows a mechanism involving radicals formed in the DCB solvent matrix as well as water dissolved in the solvent.

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