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1 **Oxidation of SO<sub>2</sub> and NO by epoxy groups on graphene oxides: The**  
2 **role of the hydroxyl group**

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16

## 17 **Abstract**

18 Simultaneous catalytic removal of SO<sub>2</sub> and NO<sub>x</sub> at low temperature (< 150 °C) has long been  
19 recognized as a challenge for the treatment of coal-burned flue gases. Density functional theory  
20 corrected with dispersion was used to investigate the potential of graphene oxides (GOs) for the  
21 catalytic oxidation of SO<sub>2</sub> and NO<sub>x</sub>. It is found that both the SO<sub>2</sub> and NO<sub>x</sub> can be oxidized by  
22 epoxy groups of GO nearly at room temperature. The hydroxyl groups on the GO surface  
23 enhance the adsorption and oxidation of SO<sub>2</sub>, and of NO as well, but in quite different ways. For  
24 the case of SO<sub>2</sub>, the promotion is derived from the formation of charge transfer channels, which  
25 are fabricated by the hydroxyl group, the adsorbed SO<sub>2</sub> and the epoxy group. The promotion is  
26 enhanced by the introduction of more hydroxyl groups as more charge transfer channels are  
27 formed. However, for NO, the hydroxyl group leads to a strong N-C covalent interaction between  
28 the adsorbed NO molecules and the GO surface, through which the NO is activated and oxidized  
29 with a much lower barrier. These results provide a mechanistic explanation of the low  
30 temperature catalytic oxidation of SO<sub>2</sub> and NO by carbon materials and insights into designing  
31 new carbon-based catalysts.

32 Key words: First principles, hydrogen bond, catalytic oxidation, desulfuration, denitration

33

## 34 1. Introduction

35 Simultaneously catalytic removal of sulfur dioxide (SO<sub>2</sub>) and nitric oxide (NO) from the flue  
36 gases emitted by burnt fossil fuels is still a challenge, both in scientific research and industrial  
37 applications.<sup>1-3</sup> Recently, it was found that SO<sub>2</sub> can be catalytically oxidized by graphene oxides  
38 (GOs) to SO<sub>3</sub> experimentally at room temperature.<sup>4</sup> It motivated our theoretical investigations on  
39 the mechanism of highly efficient catalytic oxidation of SO<sub>2</sub> by GOs, and the potential  
40 application in the catalytic removal of NO.

41 Actually, carbon materials, for example, granular activated carbon or activated carbon fibers,  
42 have long been studied for the catalytic removal of SO<sub>2</sub> at low temperature (20-150°C).<sup>5-8</sup> In the  
43 reaction, SO<sub>2</sub> is oxidized to form SO<sub>3</sub>, which in turn is hydrated to sulfuric acid in the presence of  
44 water. The oxidation of SO<sub>2</sub> to SO<sub>3</sub> is supposed to be the rate-determining step<sup>9</sup> and can be  
45 improved by introduction of surface oxygen groups (C–O complexes).<sup>17-19</sup> Due to the complex  
46 geometric and chemical structures of actual carbon catalysts, the dependence of the adsorption  
47 and oxidation of SO<sub>2</sub> on surface C–O complexes is still an open question.

48 According to Long et al.<sup>4</sup>, the hydroxyl and epoxy groups on a GO surface are responsible for  
49 the efficient catalytic oxidation of SO<sub>2</sub> by GOs at room temperature. It spotlights the confusing  
50 desulfurization mechanism of carbon materials at the atomic level. Based on ab initio calculations,  
51 Yang and Yang<sup>9</sup> proposed that catalytic oxidation of SO<sub>2</sub> occurs on the edge of the  
52 microstructure of carbon materials. The initial step is the adsorption and oxidation of SO<sub>2</sub> by  
53 surface oxygen species. The hydroxyl group was also indentified to play a key role in the  
54 chemical reaction catalyzed by GO.<sup>10</sup> These results indicate that both epoxy and hydroxyl groups

55 might have important effects on the adsorption and catalytic oxidation of SO<sub>2</sub>.

56 The catalytic removal of NO by carbon materials at room temperature has also been reported,  
57 dating back to more than two decades.<sup>11-16</sup> In the reaction, NO is catalytically oxidized to NO<sub>2</sub>,  
58 which is further converted to HNO<sub>3</sub> in the presence of water. However, understanding of the  
59 catalytic mechanism is much less than that for SO<sub>2</sub>. Practical applications are limited so far by the  
60 unsatisfactory state of knowledge. Very recently, Tang and Cao<sup>17</sup> reported that the hydroxyl  
61 group enhances the adsorption of NO on the GO surface. Details for the oxidation of NO were  
62 not included.

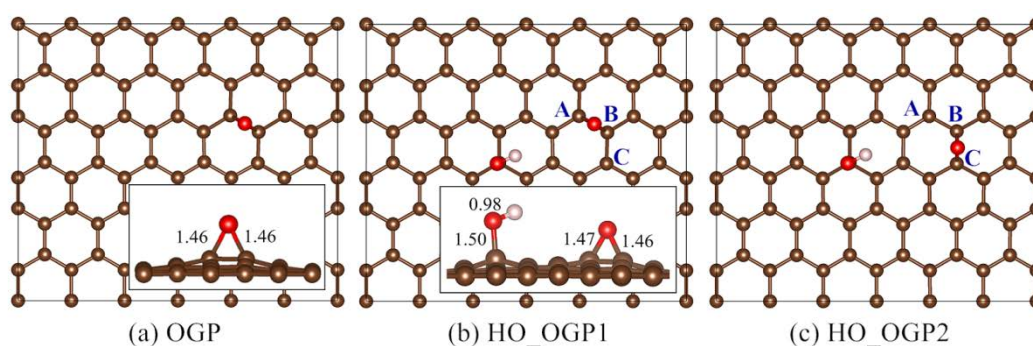
63 In a typical catalytic oxidation loop, the active oxygen species on the surface of catalysts are  
64 consumed, and must be regenerated dynamically. Radovic and coauthors<sup>18,19</sup> found that surface  
65 oxygen species can be formed by O atom spillover after O<sub>2</sub> dissociation on the edge sites of  
66 graphene. It could be enhanced by N-doping<sup>20-22</sup> and As decoration<sup>23</sup>. Our previous theoretical  
67 work<sup>24</sup> investigated the adsorption of SO<sub>2</sub> on different GOs, and the feasibility for oxidation. In  
68 the present study, we focus on two points: (1) the mechanism and capacity for the promotion of  
69 the hydroxyl group to the oxidation of SO<sub>2</sub> by carbon materials; (2) whether the promotion could  
70 be spread to the oxidation of NO. The oxidation of CO is investigated as well for comparison.  
71 Single atomic layer graphene functionalized with combinations of hydroxyl and epoxy groups is  
72 used as the model carbon material.

## 73 **2. Models and methods**

### 74 **2.1 Computational models**

75 Single atomic layer graphene with a rectangular boundary (12.78 × 14.76 Å, denoted as GP)

76 was used as the substrate material, as depicted in Figure 1. A vacuum region of 20 Å was added  
 77 perpendicular to the graphene plane to minimize the interaction between the two nearby layers.<sup>17</sup>  
 78 Single O atom was added at a bridge site to form an epoxy group, denoted by OGP, as shown in  
 79 Figure 1a. A hydroxyl group (OH) was added to OGP to fabricate HO\_OGP1 (Figure 1b) or  
 80 HO\_OGP2 (Figure 1c). The two different bridge sites of the epoxy group, referring the OH were  
 81 used due to the different sizes of SO<sub>2</sub>, NO and CO molecules. The optimized adsorption  
 82 configuration of SO<sub>2</sub> on the OGP surface was denoted by SO<sub>2</sub>/OGP. Other adsorption  
 83 configurations were denoted along the same lines.



84  
 85 Figure 1 Relaxed geometric structures of (a) OGP, (b) HO\_OGP1 and (c) HO\_OGP2. The brown,  
 86 red and gray balls stand for carbon, oxygen and hydrogen atoms, respectively. Three carbon  
 87 atoms are labeled as A, B and C. The O atom of the epoxy is located at the bridge site A-B for  
 88 HO\_OGP1 and the bridge site B-C for HO\_OGP2. All lengths in the insets of (a) and (b) are  
 89 given in Å.

## 90 2.2 Computational methods

91 All the density functional theory (DFT) calculations were carried out with the code  
 92 VASP5.2,<sup>25,26</sup> using the generalized gradient approximation with a Perdew-Burke-Ernzerhof  
 93 (PBE) exchange and correlation functional.<sup>27</sup> A plane-wave basis set with cut-off energy 400 eV  
 94 was employed within the framework of the projector augmented-wave (PAW) method.<sup>28,29</sup> The

95 Brillouin zone was sampled with a  $3 \times 3 \times 1$  k-points mesh, generated by the Monkhorst-Pack  
96 algorithm. Gaussian smearing was used, with a smearing width 0.2 eV. The D2 method of  
97 Grimme<sup>30</sup> was used to describe the van der Waals (vdW) correction with the default parameters.  
98 Actually, the D2 method only depicts the dispersion part of the vdW force empirically. There  
99 exist more complex non-local vdW functionals<sup>31</sup>, however, it is not clear how to make a choice<sup>32</sup>.  
100 We therefore tested at least the optB88-vdW functional, which was found to be in excellent  
101 agreement with experimental data for organic molecules adsorption on graphene<sup>33</sup>. We showed  
102 (Table S1) that the adsorption energies from optB88-vdW are albeit systematically lower than  
103 that from PBE-D2, however, the variation trend was unchanged. We therefore used PBE-D2 in  
104 our work.

105 The atoms on the boundary were frozen in all directions. All the remaining atoms were relaxed  
106 until the maximum Hellman-Feynman force was less than 0.02 eV/Å. Spin polarization and the  
107 zero-point energy correction were not included. All the above parameters were sufficient to  
108 ensure that the total energy converged to within 1 meV/atom. Further details for the validation  
109 tests can be found elsewhere.<sup>24</sup>

110 The adsorption energy  $\Delta E_{ads}$  is defined as

$$111 \quad \Delta E_{ads} = E_{tot} - (E_{mol} + E_{sheet})$$

112 Where the  $E_{tot}$ ,  $E_{mol}$  and  $E_{sheet}$  are the total energies of the adsorption complex, the isolated  
113 molecules and the GP/GO sheets, respectively.

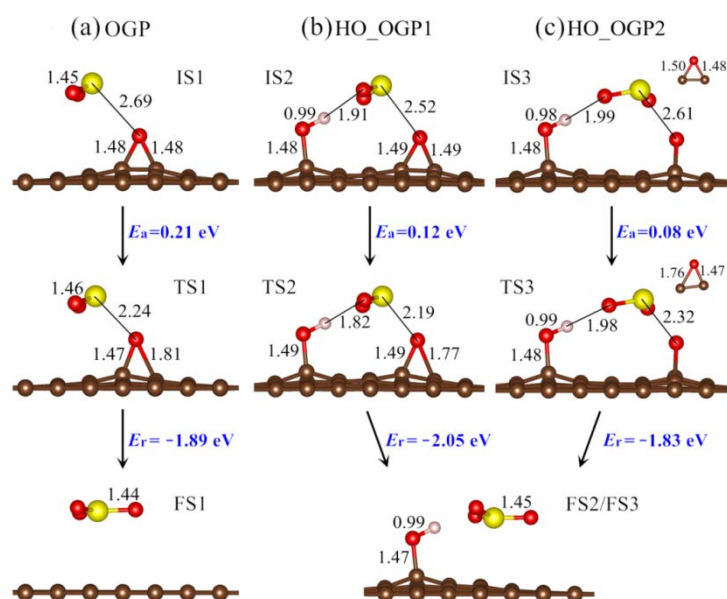
114 The minimum energy pathway (MEP) from an initial state (IS) to its final state (FS) was  
115 determined by the nudged elastic band (NEB) method,<sup>34,35</sup> with 8-12 replicas interpolated. The

116 transition state (TS) was localized using the climbing image method and verified with single  
 117 imaginary frequency. The convergence criterion was  $0.02 \text{ eV/\AA}$ . It was estimated that the  
 118 zero-point energy correction leads to a barrier decrease by  $0.02 \text{ eV}$  for the oxidation of  $\text{SO}_2$  and  
 119  $0.03 \text{ eV}$  for  $\text{NO}$ . Spin polarization leads to barrier difference lower than  $0.01 \text{ eV}$  (see Table S1  
 120 and S2 in the supporting information). Therefore, it is acceptable for the ignorance of both of  
 121 them.

## 122 3. Results

### 123 3.1 Oxidation of $\text{SO}_2$ to $\text{SO}_3$

#### 124 3.1.1 Adsorption and oxidation on HO\_OGP



125  
 126 Figure 2 Minimum energy pathways (MEPs) for the oxidation of  $\text{SO}_2$  by the epoxy group on (a)  
 127 OGP, (b) HO\_OGP1 and (c) HO\_OGP2. The IS, TS and FS stand for initial, transitional and final  
 128 states of the three reactions, respectively. The yellow ball represents the S atom.  $E_a$  is the  
 129 activation energy,  $E_r$  is the reaction energy, defined as  $E_{a/r} = E_{\text{TS/FS}} - E_{\text{IS}}$ . Insets are included in (c)  
 130 to show the geometry of the epoxy group. All the lengths are given in  $\text{\AA}$ .

131 The MEP for the oxidation process  $\text{SO}_2/\text{OGP} \rightarrow \text{SO}_3/\text{GP}$  is demonstrated as Figure 2a. On



132 SO<sub>2</sub> approaching the epoxy (from IS1 to TS1), the bond length of SO<sub>2</sub> is elongated from 1.45 to  
 133 1.46 Å, and is then converted to SO<sub>3</sub> with three equivalent bond lengths of 1.44 Å. Meanwhile,  
 134 the oxygen atom of the epoxy group itself is drawn out of the GP surface from the two-foot  
 135 bridge site to the single-foot top site. One O-C bond of the epoxy group is elongated by 0.33 Å  
 136 and the other almost unchanged. The barrier and total energy release for the oxidation are 0.21  
 137 and 1.89 eV, respectively.

138 Table 1 Summary of adsorption energy, charge transfer and imaginary frequency validation for  
 139 the adsorption and oxidation of SO<sub>2</sub>, NO and CO on different GO surfaces.

Reactions	$\Delta E_{\text{ads}}$ , eV		$\Delta q^*$ , e		f/i, cm <sup>-1</sup>
	IS	IS	TS	TS	
SO <sub>2</sub> /OGP→SO <sub>3</sub> /GP	-0.30	0.050	0.131	306	
SO <sub>2</sub> /HO_OGP1→SO <sub>3</sub> /HO_GP	-0.40	0.060	0.141	194	
SO <sub>2</sub> /HO_OGP2→SO <sub>3</sub> /HO_GP	-0.45	0.079	0.144	163	
SO <sub>2</sub> /2HO_OGP→SO <sub>3</sub> /2HO_GP	-0.58	0.094	0.152	296	
NO/OGP→NO <sub>2</sub> /GP	-0.10	-0.051	-0.095	434	
NO/HO_OGP1→NO <sub>2</sub> /HO_GP	-0.54	0.005	-0.005	343	
NO/HO_OGP2→NO <sub>2</sub> /HO_GP	-0.25	-0.035	-0.086	301	
CO/OGP→CO <sub>2</sub> /GP	-0.09	0.003	0.004	450	
CO/HO_OGP1→CO <sub>2</sub> /HO_GP	-0.14	0.002	0.012	461	
CO/HO_OGP2→CO <sub>2</sub> /HO_GP	-0.15	0.007	0.005	471	

140 \*: The charge transfer  $\Delta q = \text{Bader population} - \text{valence electrons}$ , as a whole for an adsorbed  
 141 molecule.

142 Figure 2b shows MEP for the oxidation of SO<sub>2</sub> on HO\_OGP1 (see the topviews in Figure S1a  
 143 in the supporting information). In the initial state IS2, the distance of the hydrogen bond H...O is  
 144 1.91 Å. The S-O distance is pulled much closer, from 2.69 in IS1 to 2.52 Å. In the TS2, the

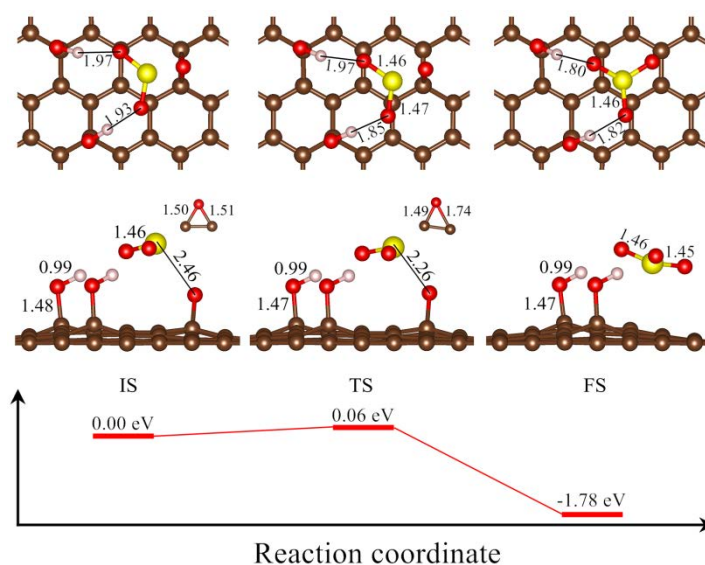
145 hydrogen bond is shortened to 1.82 Å, while the on-breaking O-C bond of the epoxy group is  
146 elongated by 0.28 Å. The oxidation barrier is reduced to 0.12 eV, compared to 0.21 eV for the  
147 oxidation of SO<sub>2</sub> on OGP. Consequently, it can be assumed that the introduction of the hydroxyl  
148 group plays two roles: Enhancing the adsorption of SO<sub>2</sub> (see Table 1) and reducing the oxidation  
149 barrier. Nevertheless, based on further investigation as below, it does not tell the entire story.

150 Several other configurations of HO\_OGP have been investigated and a more energetically  
151 favorable MEP for the oxidation of SO<sub>2</sub> is depicted in Figure 2c (see the topviews in Figure S1b  
152 in the supporting information). The adsorption of SO<sub>2</sub> on HO\_GP2 is slightly preferable to  
153 HO\_GP1 (see Table 1) and the oxidation barrier is reduced to 0.08 eV. However, compared to the  
154 configuration IS2, both distances of H...O (1.99 Å) and S-O (2.61 Å) are slightly longer, but  
155 non-negligible. In the TS3, the distance of H...O is 1.98 Å, almost unchanged. The S-O is  
156 reduced to 2.32 Å. Both of them are much longer than their counterparts in TS2. These  
157 differences indicate that the H-bonding interaction is not the only factor that enhances the  
158 adsorption and promotes the oxidation of SO<sub>2</sub> on the GO surface.

### 159 **3.1.2 Adsorption and oxidation on 2HO\_OGP**

160 To further investigate the potential for the enhancement of the hydroxyl group on the oxidation  
161 of SO<sub>2</sub> on GO, a second hydroxyl group was added to HO\_OGP to fabricate the 2HO\_OGP  
162 configuration. The top and side views for the adsorption and oxidation of SO<sub>2</sub> on 2HO\_OGP are  
163 shown in Figure 3. As expected, the adsorption energy of SO<sub>2</sub>/2HO\_OGP is increased to -0.58 eV.  
164 The oxidation barrier is further reduced to 0.06 eV. Two hydrogen bonds are formed between  
165 each of the two hydroxyl groups and the two oxygen atom of the adsorbed SO<sub>2</sub> molecule. In the

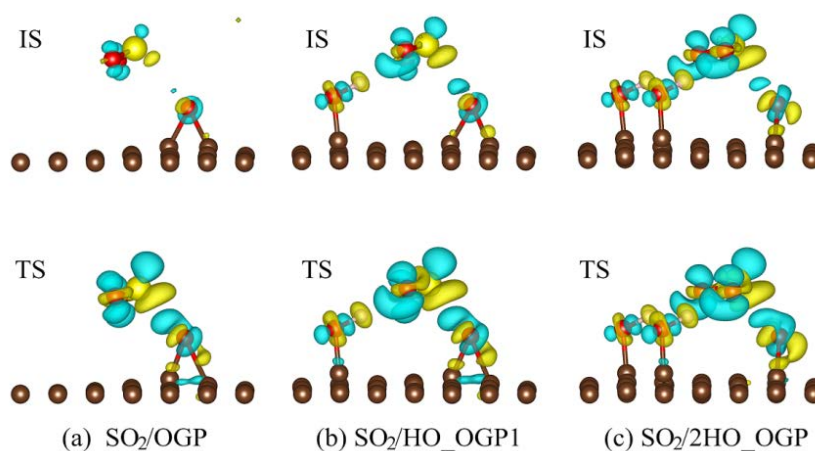
166 movie of the oxidation process, the two hydroxyl groups rotate according to the movement of  
 167  $\text{SO}_2$ , and keep pointing to each of the two oxygen atoms of the  $\text{SO}_2$  molecule. The two bond  
 168 lengths of the epoxy group in the IS configuration are 1.50-1.51 Å, which are the longest among  
 169 those of  $\text{SO}_2/\text{OGP}$  and  $\text{SO}_2/\text{HO\_OGP}$ . Meanwhile, the on-breaking O-C bond in TS is extended  
 170 from 1.51 to 1.74 Å, by the lowest extent 0.23 Å.



171

172 Figure 3 MEP for the oxidation of  $\text{SO}_2$  by epoxy on 2HO\_OGP. IS:  $\text{SO}_2/2\text{HO\_OGP}$ ; FS:  
 173  $\text{SO}_3/2\text{HO\_GP}$ .

### 174 3.1.3 Charge transfer for adsorption and oxidation of $\text{SO}_2$



175

176 Figure 4 Charge differences for the initial state (IS) and transition state (TS) of  $\text{SO}_2$  oxidation on

177 (a) OGP, (b) HO\_OGP1 and (c) 2HO\_OGP. All the isosurfaces are  $0.02 \text{ e}/\text{\AA}^3$ . The blue (yellow)  
178 area denotes electron accumulation (depletion).

179 The promotion of the hydroxyl group to the adsorption and oxidation of  $\text{SO}_2$  on GO surface  
180 has been ascribed to the strong hydrogen bonding interaction, which results in pre-activation of  
181 the epoxy group in the initial adsorption configuration.<sup>24</sup> Herein, both the charge transfer of the  
182 IS and TS configurations are of concern in order to give a more clear picture of the promotion  
183 mechanism.

184 Figure 4a shows the charge transfer pattern for the adsorption and oxidation of  $\text{SO}_2$  on OGP.  
185 The IS in Figure 4a shows electrons are transferred in a back-donation way: (1) Electrons are  
186 transferred to the epoxy group, through the Coulomb interaction between the positive S(IV) atom  
187 and the negative O(- II); and (2) electrons are transferred to the adsorbed  $\text{SO}_2$  molecule through  
188 the  $\pi$ - $\pi$  stacking interaction between the main graphene surface and the  $\pi_3^4$  of  $\text{SO}_2$ . During the  
189 oxidation, more electrons are transferred to the adsorbed  $\text{SO}_2$ , and then to the epoxy, as both the  
190 blue and yellow areas increase, as shown in the TS. According to Bader population analysis  
191 (Table 1), in the IS configuration, the net charge transferred to the adsorbed  $\text{SO}_2$  is  $0.050e$ . It is  
192 increased to  $0.131e$  in the TS configuration. The yellow area present at one of the O-C bonds of  
193 the epoxy group demonstrates the breaking.

194 The charge difference plots of  $\text{SO}_2/\text{HO\_OGP1}$  and  $\text{SO}_2/2\text{HO\_OGP}$  are shown in Figure 4b and  
195 c, respectively. As the pattern of  $\text{SO}_2/\text{HO\_OGP2}$  is quite similar to  $\text{SO}_2/\text{HO\_OGP1}$ , only the  
196 latter is presented here. The strong H-bonding interaction is confirmed in that there are  
197 considerable electron accumulated (blue) and depleted (yellow) areas on all the H...O bonds in

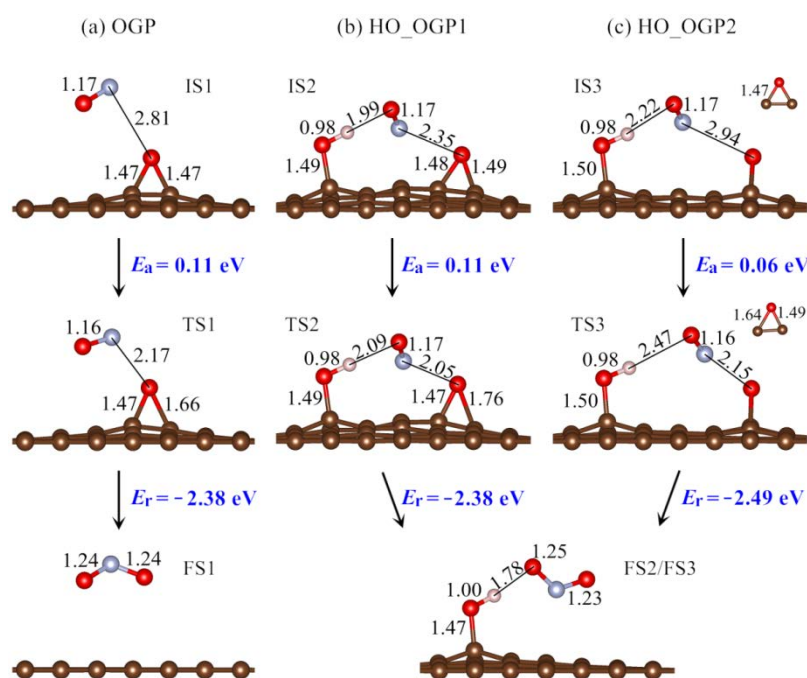
198 pairs. For  $\text{SO}_2/\text{HO\_OGP1}$ , the net electron transfer to  $\text{SO}_2$  is 0.060e in IS2 and 0.141e in TS2.  
199 For  $\text{SO}_2/2\text{HO\_OGP}$ , the two values are increased to 0.094e and 0.152e respectively. More  
200 electrons are transferred from the two hydroxyl groups to the adsorbed  $\text{SO}_2$  molecule in a  
201 dual-channel manner. In summary, as listed in Table 1, for the adsorption and oxidation of  $\text{SO}_2$  on  
202 the four different GO surfaces, both the net charge transfers in the IS and TS configurations are  
203 increased with increase of  $\text{SO}_2$  adsorption energies. The oxidation barriers decrease  
204 monotonically in the same order.

205 In Section 3.1.1, it has been mentioned that the hydrogen bond interaction for the adsorption  
206 and oxidation of  $\text{SO}_2$  on  $\text{HO\_OGP2}$  should be weaker than that on  $\text{HO\_OGP1}$ . However, both the  
207 adsorption energy and net charge transfers of the former are higher than those of the latter, and  
208 with a lower oxidation barrier. It is due to the stronger  $\pi$ - $\pi$  stacking interaction between the main  
209 graphene surface and the  $\pi_3^4$  of  $\text{SO}_2$ , since the topviews in Figure S1b for  $\text{SO}_2/\text{HO\_OGP2}$  show  
210 the S-O bonds of the adsorbed  $\text{SO}_2$  molecule are clearly parallel to the C-C bonds of the main GP  
211 surface.

212 Consequently, the promotion of hydroxyl groups to the adsorption and oxidation of  $\text{SO}_2$  on GO  
213 surface can be ascribed to the unique charge transfer channels, through which electrons are  
214 transferred from the hydroxyl group to the adsorbed  $\text{SO}_2$ , and in turn to the epoxy group. Through  
215 the charge transfer channel, the epoxy group is not only pre-activated for further oxidation, but  
216 also is well charged by  $\text{SO}_2$  when climbing to the transitional state, which helps to reduce the  
217 oxidation barrier intrinsically.

218 **3.2 Oxidation of NO to NO<sub>2</sub>**219 **3.2.1 Adsorption and oxidation on OGP**

220 The configuration for the adsorption of NO on OGP is shown as IS1 in Figure 5a. It is a typical  
 221 weak physisorption since the adsorption energy is as low as  $-0.10$  eV (Table 1), much lower than  
 222 the value of  $-0.30$  eV for SO<sub>2</sub>/OGP. Additionally, the bond length of the adsorbed NO molecule  
 223 remains the same as the calculated value of free NO,  $1.17$  Å. As shown in Figure 6a, electrons are  
 224 transferred from NO to the OGP surface ( $0.051e$ ). The charge transfer direction is opposite to that  
 225 of SO<sub>2</sub>. It has been explained<sup>17,36</sup> that the highest occupied molecule orbital (HOMO) of NO is  
 226 higher than the Fermi level of OGP.



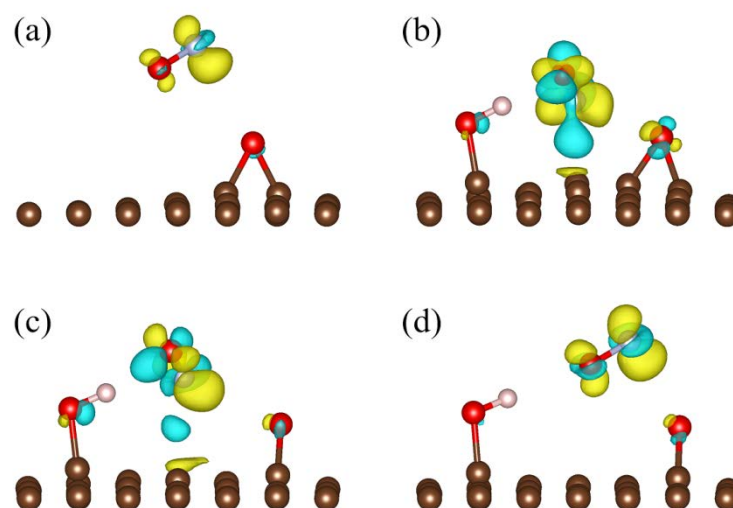
227  
 228 Figure 5 MEP for the oxidation of NO by the epoxy group on HO\_OGP1 and HO\_OGP2. Insets  
 229 are included for HO\_OGP2 to show the local geometry of the epoxy group in IS2 and TS2. IS1:  
 230 NO/HO\_OGP1; IS2: NO/HO\_OGP2; FS: NO<sub>2</sub>/HO\_GP.

231 For the oxidation process NO/OGP  $\rightarrow$  NO<sub>2</sub>/GP (Figure 5a), the N-O bond of NO in TS1 is  
 232 slightly shortened to  $1.16$  Å (it is  $1.17$  Å in IS1), rather than elongated to some extent. The

233 number of electrons donated by NO is increased to 0.095e. It is noteworthy that the oxidation  
234 barrier is 0.11 eV, which is about half of that for SO<sub>2</sub> on OGP. Such a low barrier indicates that  
235 NO can be oxidized by the epoxy group on OGP almost at room temperature. However, the  
236 prerequisite adsorption of NO on OGP is too weak to form an effective oxidation chain. It should  
237 be enhanced in some way.

### 238 3.2.2 Adsorption and oxidation on HO\_OGP

239 The adsorption configurations NO/HO\_OGP1 and NO/HO\_OGP2 are shown as IS2 and IS3 in  
240 Figure 5b and c, respectively. Compared to the adsorbed configuration in NO/OGP, herein the  
241 NO is upside down, where the N atom is much closer to the GP surface than the O atom. In both  
242 situations, the adsorption of NO is well enhanced as the adsorption energy for NO/HO\_OGP1 is  
243 -0.54 eV and that for NO/HO\_OGP2 is -0.25 eV, compared to -0.11 eV for NO/OGP, likely due  
244 to the strong chemisorption for the adsorption of NO on HO\_OGP.



245  
246 Figure 6 Charge difference plots for (a) NO/OGP, (b) NO/HO\_OGP1, (c) NO/HO\_OGP2 and (d)  
247 NO<sub>d</sub>/HO\_OGP2. The NO<sub>d</sub>/HO\_OGP2 is an alternative adsorbed configuration of NO on  
248 HO\_OGP2, where the O atom is more close to the substrate than the N atom. The isosurface for

249 (b) is  $0.03 \text{ e}/\text{\AA}^3$ , for others is  $0.01 \text{ e}/\text{\AA}^3$ . Refer the configuration (d) and the oxidation related to  
250 Figure S3 in the supporting information.

251 The charge difference plots in Figure 6 help to explain the obvious difference in adsorption  
252 energy among NO/OGP, NO/HO\_OGP1 and NO/HO\_OGP2. As shown in Figure 6b for  
253 NO/HO\_OGP1, there is a strong charge transfer from a C atom in the HO\_OGP surface to the N  
254 atom of the adsorbed NO molecule. It results in a net charge transfer to the adsorbed NO  
255 molecule by  $0.005 \text{ e}$ , as listed in Table 1. A similar (but slightly weaker) electron transfer from  
256 the C atom to the N atom is shown in Figure 6c for NO/HO\_OGP2, in which the donated  
257 electrons of the adsorbed NO are reduced from  $0.051\text{e}$  to  $0.035\text{e}$ . The strong covalent interaction  
258 between the adsorbed NO molecule and the HO\_OGP surface makes it clear that NO is  
259 chemisorbed. Furthermore, there is weak hydrogen bonding interaction between the hydroxyl  
260 group and the adsorbed NO. The electron accumulation area around the O atom of NO is weakly  
261 polarized and is pointed towards the H atom of hydroxyl group.

262 The oxidation barrier for NO on HO\_OGP1 is  $0.11\text{eV}$ , equivalent to that for NO/OGP  $\rightarrow$   
263 NO<sub>2</sub>/GP. However, for the oxidation of NO on HO\_OGP2, the oxidation barrier is reduced to  
264  $0.06 \text{ eV}$ , even lower than that for SO<sub>2</sub>/HO\_OGP2 ( $0.08 \text{ eV}$ ). When NO is adsorbed in the O down  
265 way (denoted as NO<sub>d</sub>/HO\_OGP2 in Figure 6d), the oxidation barrier is increased to  $0.13 \text{ eV}$  (see  
266 Figure S3 in the supporting information). It implies that the N-C covalent interaction helps to  
267 activate the NO molecule, and reduce the oxidation barrier.

268 It is now known that the oxidation barrier for the oxidation of NO by the epoxy group on GO is  
269 rather low. The introduction of the hydroxyl group can enhance the adsorption of NO, which in

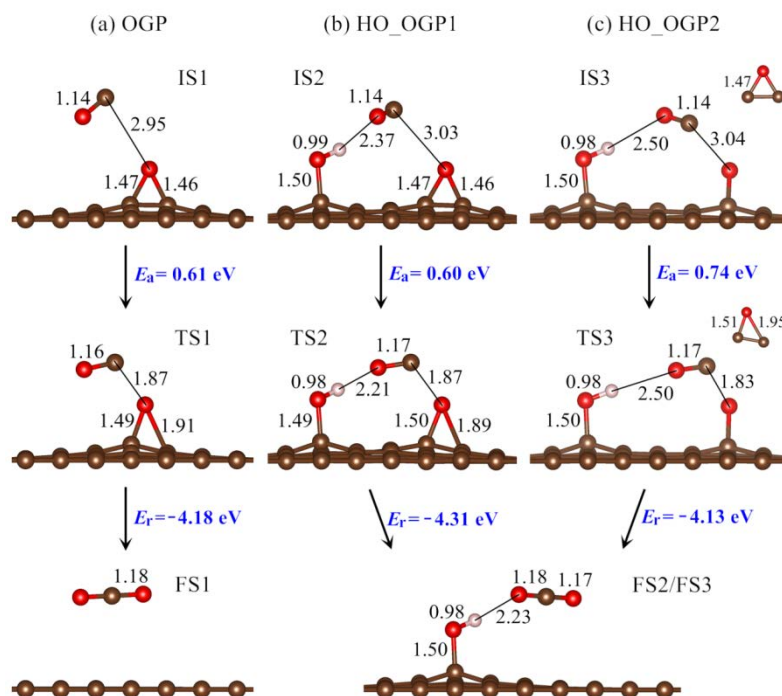


270 turn facilitates the oxidation of NO by the epoxy groups of the GOs. It can also reduce the  
 271 oxidation barrier, which depends on the relative locations of the epoxy groups to the hydroxyl  
 272 groups.

### 273 3.3 Oxidation of CO to CO<sub>2</sub>

#### 274 3.3.1 Adsorption and oxidation on OGP

275 There is also a weak physisorption for CO on OGP in the IS1 configuration as shown in Figure  
 276 7a. The adsorption energy is  $-0.09$  eV and the charge transfer is  $0.003e$  to the adsorbed CO  
 277 molecule (see Table 1). The bond length of the adsorbed CO molecule is  $1.14$  Å, remaining the  
 278 same as that of a free CO molecule. The distance from the C atom of CO to the O atom of the  
 279 epoxy group on the OGP surface is  $2.95$  Å, much longer than the value  $2.81$  Å for NO/OGP and  
 280  $2.69$  Å for SO<sub>2</sub>/OGP.



281  
 282 Figure 7 MEP for the oxidation of CO by the epoxy group on HO\_OGP1 and HO\_OGP2. Insets  
 283 are included for HO\_OGP2 to show the local geometry of the epoxy group in IS2 and TS2. IS1:

284 CO/HO\_OGP1; IS2: CO/HO\_OGP2; FS: CO<sub>2</sub>/HO\_GP.

285 On CO approaching the epoxy group for the oxidation process CO/OGP → CO<sub>2</sub>/GP (see  
286 Figure 7a), the bond length of CO is elongated from 1.14 to 1.16 Å, and then converted to CO<sub>2</sub>  
287 with two equivalent bond lengths of 1.18 Å. Meanwhile, the epoxy group itself is drawn out of  
288 the GP surface with the longest extended O-C bond length 1.91 Å, compared to 1.81 Å for  
289 SO<sub>2</sub>/OGP and 1.66 Å for NO/OGP. The O-C distance is shorted from 2.95 to 1.87 Å. The  
290 calculated barrier for the oxidation is 0.61 eV (see Table 1), followed by an intensive energy  
291 release of 4.18 eV to form the final state CO<sub>2</sub>/GP.

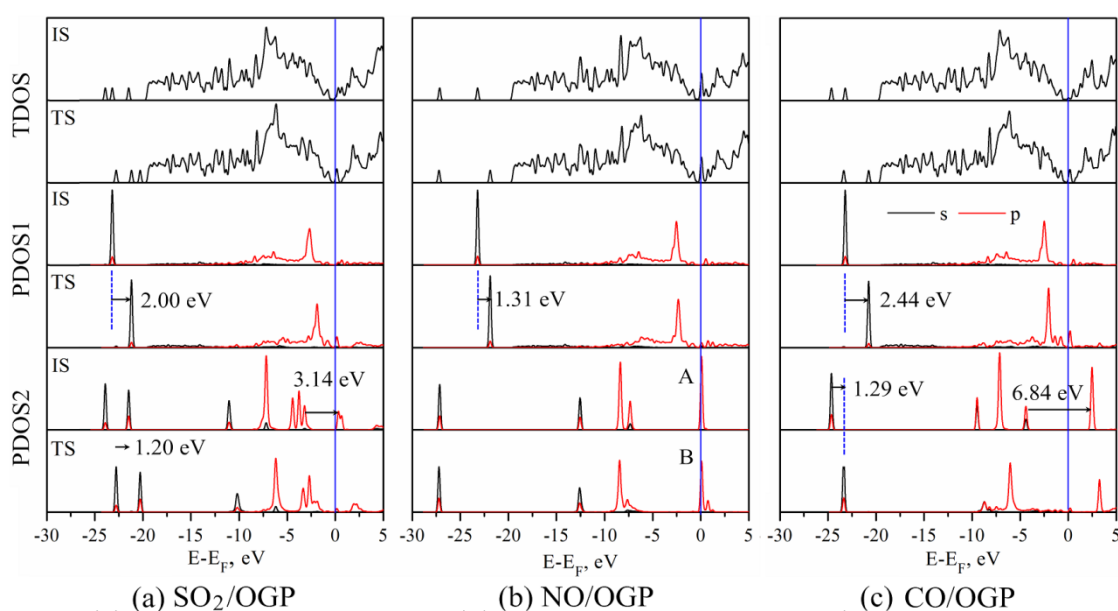
### 292 3.3.2 Adsorption and oxidation on HO\_OGP

293 The adsorption configurations CO/HO\_OGP1 and CO/HO\_OGP2 are shown as IS2 and IS3 in  
294 Figure 7b and c, respectively. Insets are included for CO/HO\_OGP2 to show the local geometries  
295 of the surface epoxy group. The introduction of the hydroxyl group seems to make little change  
296 to the weak physical adsorption process as the bond length of the adsorbed CO molecule remains  
297 1.14 Å in both situations. The distances from the C atom of CO to the O atom of the epoxy group  
298 on the HO\_OGP1 and HO\_OGP2 surface are elongated slightly by *ca.* 0.1 Å (3.03 and 3.04 Å vs.  
299 2.95 Å). The adsorption energy and charge transfer for CO/HO\_OGP1 are -0.14 eV and 0.002 e,  
300 respectively; and for CO/HO\_OGP2 are -0.15 eV and 0.007 e, respectively, as listed in Table 1.  
301 The two situations are similar, both energy-wise and geometrically.

302 The oxidation barrier for CO on HO\_OGP1 is 0.60 eV, equivalent to 0.61 eV for CO/OGP →  
303 CO<sub>2</sub>/GP. It is 0.74 eV for CO oxidation on HO\_OGP2. The on-breaking O-C bond of the epoxy  
304 group is extended from 1.46 Å in IS2 to 1.89 Å in TS3, and from 1.47 Å in IS3 to 1.95 Å in TS3.

305 It seems that the oxidation barrier for CO on GOs depends on the extension of the epoxy group  
 306 during the oxidation. When the oxidation barrier for CO oxidation is increased to the order 0.60  
 307 eV (HO\_OGP1) < 0.61 eV (OGP) < 0.74 eV (HO\_OGP2), the on-breaking O-C bond of the  
 308 epoxy group is elongated by 0.43 Å (HO\_OGP1) < 0.45 Å (OGP) < 0.48 Å (HO\_OGP2). It  
 309 implies that the activation of the surface epoxy is the key step for a surface oxidation process on  
 310 GOs and the introduction of the hydroxyl group does not promote the oxidation of CO.

#### 311 4. Discussion



313 Figure 8 Density of states (DOS) analysis for the adsorption and oxidation of (a) SO<sub>2</sub>, (b) NO and  
 314 (c) CO on OGP surfaces. PDOS1 and PDOS2 stand for the projected density of states (PDOS) of  
 315 the epoxy group and the adsorbed molecule, respectively.

316 It has been found that the oxidation of SO<sub>2</sub> and NO by epoxy groups on GO surfaces is  
 317 kinetically preferable, and both the oxidation of SO<sub>2</sub> and NO can be promoted by the introduction  
 318 of the hydroxyl group, which is not the case for CO. Herein, we focus on three points to make  
 319 generalizations of our findings: (1) Why the capacities for the oxidation of SO<sub>2</sub>, NO and CO by

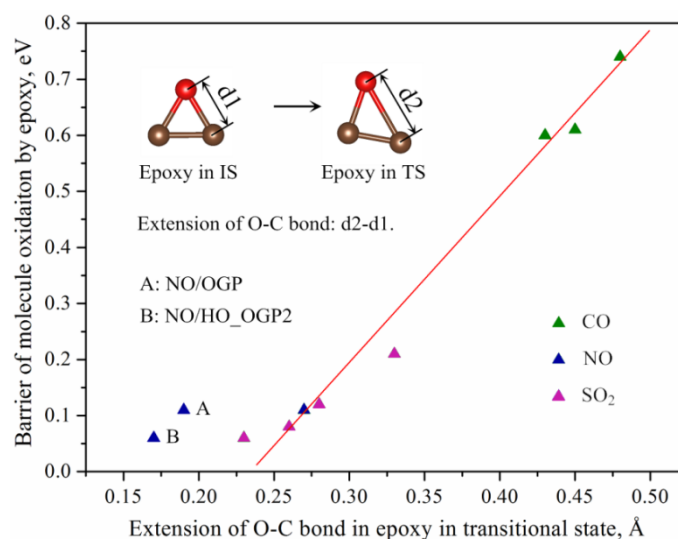
320 epoxy groups on GO surface are different? (2) The relationship between the oxidation barrier and  
321 the activation of the epoxy group; and (3) the feasibility for the simultaneously catalytic removal  
322 of SO<sub>2</sub> and NO with well-prepared GOs.

323 As shown in Figure 8, during the oxidation from the IS to the TS configuration, the electronic  
324 structures of the epoxy group and the adsorbed molecules are changed. The variation of the  
325 oxidation barrier can be ascribed to the difference in the energy gap of the adsorbed molecules,<sup>37</sup>  
326 intrinsically, since electrons are activated from the highest occupied molecular orbit (HUMO) to  
327 the lowest unoccupied molecular orbit (LUMO) and then transferred to the epoxy group. The  
328 energy gap for SO<sub>2</sub>/OGP is 3.14 eV (Figure 8a), and is a much narrower than the gap 6.84 eV for  
329 CO/OGP is (Figure 8c). Accordingly, the barrier is increased from 0.21 to 0.61 eV.

330 For the oxidation of NO on OGP (Figure 8b), there are partially occupied states just located at  
331 the Fermi level for both the IS (marked as A and see Figure S4 in the supporting information) and  
332 TS (marked as B) configuration of the adsorbed NO molecule. The intensity of state A is  
333 somewhat lower than that of B. Meanwhile, a new empty state is presents on the right of the latter.  
334 Other states at much lower energy levels are almost unchanged. These results indicate that the  
335 state at the Fermi level itself acts as an electron donor and acceptor, which results in a lowest  
336 oxidation barrier of 0.11 eV.

337 In Section 3.1, it is shown that the barrier for SO<sub>2</sub> oxidation on the four different GO surfaces  
338 is positively correlated to the extension of the on-breaking O-C bond of the epoxy group. The  
339 same trend is also found for the oxidation of CO (see Section 3.3). All the barriers and the  
340 corresponding extensions of the O-C bond are collected and dotted as shown in Figure 9. It can

341 be seen that, except for the two oxidations of NO on OGP (marked as A) and HO\_OGP2 (marked  
 342 as B), all the other points are uniformly dispersed around the trend line. It implies that more  
 343 attention should be paid to the activation of the epoxy group on the GO surface for any potential  
 344 application in catalytic oxidation.



345  
 346 Figure 9 Dependence of the oxidation barrier for SO<sub>2</sub>, NO and CO on the extension of the  
 347 on-breaking O-C bond of the epoxy group. IS and TS represent the initial state and final state in  
 348 an elementary oxidation process. The extension is shown in the inset.

349 In most cases, humidity is inevitable as a disturbing influence on the catalytic oxidation of SO<sub>2</sub>  
 350 and NO from flue gases.<sup>38-41</sup> The calculated adsorption energy of a single H<sub>2</sub>O molecule on  
 351 HO\_OGP1, HO\_OGP2 and 2HO\_OGP are -0.54, -0.55 and -0.73 eV, respectively. Each is  
 352 thermodynamically preferable to the corresponding values for SO<sub>2</sub>. The highest adsorption  
 353 energy of NO (on HO\_OGP1) is -0.54 eV, equivalent to the adsorption energy of H<sub>2</sub>O on  
 354 HO\_OGP1 and HO\_OGP2. Nevertheless, the concentration of NO in the gaseous phase is of the  
 355 order 0.1 vol %, compared to 1 vol % for water. The adsorption of H<sub>2</sub>O is still more preferable to  
 356 NO. However, many experimental studies have confirmed that humidity can promote the

357 catalytic oxidation of  $\text{SO}_2$ ,<sup>42,43</sup> while strongly inhibiting the catalytic oxidation of  $\text{NO}$ .<sup>12</sup> The  
358 opposite effects are likely due to the solubility difference of  $\text{SO}_2$  and  $\text{NO}$  in water. Therefore, it  
359 seems unfeasible to have simultaneous removal of  $\text{SO}_2$  and  $\text{NO}$  by catalytic oxidation on GOs, in  
360 the presence of water.

## 361 **5. Conclusions**

362 Density functional theory corrected with dispersion was used to investigate the mechanism for  
363 the oxidation of  $\text{SO}_2$  and  $\text{NO}_x$  on graphene oxides. It was found that both  $\text{SO}_2$  and  $\text{NO}_x$  could be  
364 oxidized by GO at near room temperature. Epoxy groups should be the active sites where  $\text{SO}_2$   
365 and  $\text{NO}$  molecules are oxidized. It is of more importance that hydroxyl groups can help to  
366 enhance the adsorption of the two species, and facilitate their oxidation by reducing the activation  
367 barrier. The promotion of the hydroxyl group for  $\text{SO}_2$  is derived from the formation of charge  
368 transfer channels, which are fabricated by the hydroxyl group, the adsorbed  $\text{SO}_2$  and the epoxy  
369 group. It can be enhanced by introduction of more hydroxyl groups as more charge transfer  
370 channels will be formed. However, for  $\text{NO}$ , the promotion is due to the strong N-C covalent  
371 interaction between the adsorbed  $\text{NO}$  molecule and the GO surface, through which the  $\text{NO}$  is  
372 activated and oxidized with much lower resistance. Further exploration is needed to determine the  
373 effects of humidity on the adsorption and oxidation of  $\text{SO}_2$  and  $\text{NO}$ .

## 374 **Acknowledgements**

375 This work was financially supported by the National Nature Science Youth Fund of China  
376 (51110828) and the Department of Science and Technology of Sichuan Province in China

377 (2011HH0009). We also acknowledge the National Supercomputer Center in Shenzhen City of  
378 China for computational service support.

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