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**Nanocrystalline ZSM-5 based Bi-functional Catalyst for Two Steps and Three Steps  
Tandem Reactions**

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**Abstract**

Pd nanoparticles decorated nanocrystalline ZSM-5 catalyst was prepared for one-pot tandem reactions. Catalyst was characterized by the complementary combination of X-ray diffraction, N<sub>2</sub>-adsorption, electron microscopes, and NH<sub>3</sub>-temperature programmed desorption techniques. Catalyst was investigated in the one-pot tandem conversion of benzyl alcohol to 1, 3-diphenyl-3-(phenylamino)propan-1-one, (E)-chalcone, and 2, 3-dihydro-1, 5-benzothiazepine. 1, 3-diphenyl-3-(phenylamino)propan-1-one and (E)-chalcone were prepared by two steps tandem reactions from benzyl alcohol, whereas 2, 3-dihydro-1, 5-benzothiazepine was prepared by three steps tandem reaction from benzyl alcohol. Recycling study shows that no significant decrease in the catalytic activity was observed even after three recycles. To the best of our knowledge, this is the first report which deals with such a simple route to prepare 2, 3-dihydro-1, 5-benzothiazepines in one-pot tandem methodology from benzyl alcohol.

**Key words:** Nanocrystalline zeolites, Bi-functional catalyst, Tandem reaction, Palladium

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## 1. Introduction

Development of heterogeneous catalytic process for fine and specialty chemicals is an important inter-disciplinary research objective for industrialist and academician.<sup>1-8</sup> Several multi-functional heterogeneous catalysts that catalyze more than one organic transformation are reported.<sup>9-16</sup> A unique green chemical approach for increasing the efficiency of a catalytic process is to carry out more than one catalytic transformation in 'one-pot' process without isolating any of the intermediates. Such *tandem* or *cascade* reaction need to be carefully planned to ensure that the correct sequence of reactions is followed in the right order to achieve the target product.<sup>17-21</sup> Design of suitable multi-functional catalyst is the key for a successful tandem reaction. For the development of multi-functional catalyst, it is important to choose a suitable support material that itself possess some catalytic activity and also has capability to incorporate more catalytic functionalities. Our research is based on the development of ionic liquid and zeolite based catalytic process.<sup>22-28</sup> It is possible to develop ionic liquid and zeolite based multi-functional catalysts but due to easy recovery and efficient recycling, zeolite based bi-functional catalysts were developed for tandem reactions in this study.

Zeolites play important role in catalysis, adsorption, and separation processes, because of well-defined porous crystalline structures, unique channel systems of molecular dimension, and tunable chemical compositions.<sup>29-32</sup> Conventional microporous zeolite is not suitable for the development of multi-functional catalyst because it doesn't have large external surface area and surface silanol groups, which are important to incorporate catalytic functionality. Surface silanol groups present at large external surface of nanocrystalline/hierarchical zeolites are required for the development of multi-functional catalysts. Several pioneers in zeolite science have developed synthesis strategy to prepare hierarchical/mesoporous/nanocrystalline zeolites.<sup>33-38</sup> Top-down and bottom-up approaches were used to prepare such zeolite materials.<sup>39</sup> Our research group also developed several organic structure directing agents based on piperdine, imidazole, and 1,4-diazabicyclo[2.2.2]octane that acted as structure directing agents for the synthesis of zeolites of different framework structure.<sup>40-44</sup>

The objective of this study was to design and develop nanocrystalline ZSM-5 based bi-functional catalysts for two steps and three steps tandem reactions. Pd nanoparticles were supported on the surface of acidic Nano-ZSM-5 to obtain an efficient bi-functional catalyst. Catalyst was investigated in the one-pot synthesis of 1,3-diphenyl-3-(phenylamino)propan-1-one

(hereafter represented as DPO), (E)-chalcone, and 2,3-dihydro-1,5-benzothiazepines (hereafter represented as DBT). To the best of our knowledge, this is the first report, which deals with the synthesis of 2,3-dihydro-1,5-benzothiazepines in one-pot tandem methodology. In conventional practice, three steps and three catalysts are needed to obtain 2,3-dihydro-1,5-benzothiazepines.

## 2. Experimental

### 2.1. Catalyst synthesis

Tetraethylorthosilicate (TEOS), sodium aluminate (53 wt.%  $\text{Al}_2\text{O}_3$ , 43 wt.%  $\text{Na}_2\text{O}$ ), tetrapropylammonium hydroxide (TPAOH) (1 M aqueous solution) and propyltriethoxy silane (PrTES) were used in the synthesis of zeolite. Nano-ZSM-5 with Si/Al = 20 (input) was prepared using molar composition of 90TEOS/10 PrTES/2.5  $\text{Al}_2\text{O}_3$ /3.3  $\text{Na}_2\text{O}$ /25 TPAOH/2500  $\text{H}_2\text{O}$  by following the reported procedure.<sup>45,46</sup> In a typical synthesis of nanocrystalline zeolite (Nano-ZSM-5), 1.44 g sodium aluminate (53 wt.%  $\text{Al}_2\text{O}_3$ , 43 wt.%  $\text{Na}_2\text{O}$ ) was dissolved in 75 mL distilled water (Solution A). 6.39 g PrTES was mixed with 75 mL of aqueous TPAOH solution (Solution B). Solution A and solution B were mixed, and the resultant solution was stirred for 15 minutes at ambient temperature, until it became a clear solution. 57.39 g TEOS was added into the resultant solution and stirring was continued for 6 h. This mixture was transferred to a Teflon-lined autoclave, and hydrothermally treated at 443 K for 4 days under static condition. The final product was filtered, washed with distilled water, and dried at 373 K. Material was calcined at 823 K for 6 h under flowing air.

5 g of obtained zeolite was then added to 200 mL, 1 M aqueous solution of  $\text{NH}_4\text{NO}_3$  and stirred at 343 K for 6 h. The resultant product was filtered and washed with distilled water several times. The ion-exchange process was repeated thrice. The final product was then dried and calcined at 823 K for 6 h. For comparative study, conventional ZSM-5 with Si/Al = 20 (input) was prepared using molar composition 100TEOS/2.5  $\text{Al}_2\text{O}_3$ /3.3  $\text{Na}_2\text{O}$ /25 TPAOH/2500  $\text{H}_2\text{O}$ . Bulk Si/Al ratios of the calcined Nano-ZSM-5 and ZSM-5 are given in [Table 1](#).

0.5 g of Nano-ZSM-5 was added into 3 mL of toluene solution containing desired amount of  $\text{Pd}(\text{OAc})_2$  (for example; for 1 wt% sample, 10.5 mg of  $\text{Pd}(\text{OAc})_2$  was added). After stirring for 2 h at ambient temperature, the solid was centrifuged, washed with toluene, and dried in oven.  $\text{Pd}^{2+}$  species adsorbed on the resultant Nano-ZSM-5 was reduced with desired amount of  $\text{NaBH}_4$

(for example; for 1 wt% sample, 7 mg of NaBH<sub>4</sub> was added) in a 10 mL solution of toluene and ethanol (v/v = 20:1). Material was centrifuged and dried in vacuum to obtain Pd nanoparticles supported on Nano-ZSM-5. In this study, three different catalysts denoted as Pd (0.5%)/Nano-ZSM-5, Pd (1%)/Nano-ZSM-5, and Pd (2%)/Nano-ZSM-5 were prepared. For comparative study, Pd (1%)/ZSM-5 was also prepared. Amount of Pd incorporated in the resultant materials was confirmed using ICP analysis, which is given in Table 1.

## 2.2. Catalyst characterizations

X-ray diffraction (XRD) patterns were recorded in the  $2\theta$  range of 5–60° with a scan speed of 2°/min on a PANalytical X'PERT PRO diffractometer using Cu K $\alpha$  radiation ( $\lambda=0.1542$  nm, 40 kV, 40 mA) and a proportional counter detector. Nitrogen adsorption measurements were performed at 77 K by Quantachrome Instruments, Autosorb-IQ volumetric adsorption analyzer. Sample was out-gassed at 573 K for 3 h in the degas port of the adsorption apparatus. The specific surface area of the material was calculated from the adsorption data points obtained at  $P/P_0$  between 0.05–0.3 using the Brunauer-Emmett-Teller (BET) equation. The pore diameter was estimated using the non-localized density functional theory method (DFT). Scanning electron microscopy (SEM) measurements were carried out on a JEOL JSM-6610LV to investigate the morphology of the zeolites. In depth structural analysis were carried out using FEI, TF30-ST transmission electron microscope (TEM) operating at 300 kV equipped with a GATAN Orius CCD camera. The TEM is also equipped with a scanning unit and a high-angle annular dark field (HAADF) detector from Fischione (model 3000). The compositional analysis was performed using energy dispersive X-ray (EDX, EDAX Inc.) spectroscopy attachment on the TF30. The samples were dispersed in ethanol using ultrasonic bath, and dispersed sample was mounted on a carbon coated Cu grid, dried, and used for TEM measurement. For NH<sub>3</sub>-temperature programmed desorption techniques (TPD) experiments, the catalyst sample was pretreated in He (50 cm<sup>3</sup>/min) at 873 K for 1 h. After cooling down to 373 K, ammonia (partial pressure 100 Torr) was passed through the samples for 1 h. Then, the sample was subsequently flushed by He stream (50 cm<sup>3</sup>/min) at 373 K for 1 h to remove physisorbed ammonia. TPD experiments were carried out in the range of 373–1073 K at a heating rate of 10 K/min. The ammonia concentration in the effluent was monitored by using a gold-plated, filament thermal conductivity detector.

## 2.3. Procedure of catalytic reactions

### 2.3.1. Oxidation of benzyl alcohol to benzaldehyde

In a typical synthesis, benzyl alcohol (1 mmol), catalyst (100 mg), n-dodecane (0.5 mmol, internal standard) and toluene (5 mL) were magnetically stirred (at 500 rpm) and heated at 358 K for 9 h, during which oxygen was charged into the reaction mixture at flow rate of 10 mL min<sup>-1</sup>. Catalyst was centrifuged and the reaction mixture was analyzed using gas chromatography (GC) (Yonglin 6100; BP-5; 30 m × 0.25 mm × 0.25 μm). Reactant conversion and product selectivity were obtained using GC. Product was confirmed using GC-MS (Schimadzu GCMS-QP 2010 Ultra; Rtx-5 Sil Ms; 30 m × 0.25 mm × 0.25 μm). Product was also confirmed by the authentic samples obtained from Aldrich.

### 2.3.2. Synthesis of 1,3-Diphenyl-3-(phenylamino)propan-1-one

Aniline (4 mmol, 0.37 g), benzaldehyde (4 mmol, 0.42 g), acetophenone (4 mmol, 0.48 g), toluene (5 mL) and catalyst (50 mg) were stirred at ambient temperature (approx 303 K) for 7 h. After the reaction, product was separated by column chromatography using 5% ethyl acetate/hexane solvent system. Product, 1, 3-diphenyl-3-(phenylamino)propan-1-one was confirmed using <sup>1</sup>H NMR and GC-MS.

<sup>1</sup>H NMR (CDCl<sub>3</sub>): 7.91–7.90 (m, 2H), 7.57–7.07 (m, 10H), 6.67–6.55 (m, 3H), 5.00 (dd, 1H), 4.55 (br, 1H), 3.50 (dd, 1H), 3.42 (dd, 1H)

### 2.3.3. Synthesis of (E)-chalcone

Benzaldehyde (0.16 g, 1.5 mmol), acetophenone (0.18 g, 1.5 mmol), n-dodecane (0.5 mmol, internal standard), and catalyst (50 mg) were mixed and stirred for 7 h at 323 K. After the reaction, catalyst was separated and the reaction mixture was analyzed using GC. Products were confirmed using GC-MS. Product was also confirmed by the authentic sample obtained from Aldrich.

### 2.3.4. Synthesis of 2,3-dihydro-benzothiazepine

E-chalcone (0.21 g, 1 mmol), 2-aminothiophenol (0.15 g, 1.2 mmol), catalyst (50 mg) and 8 mL toluene were charged into a 50 ml round-bottom flask equipped with a magnetic stirrer

and condenser. The reaction was conducted at 383 K for 2 h. After the reaction, product was separated by column chromatography using 5% ethyl acetate/hexane solvent system. Product, dihydro-1, 5-benzothiazepines was confirmed using  $^1\text{H}$  NMR and GC-MS.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ ): 8.25 (d, 2H), 7.65–7.75 (m, 5H), 7.30–7.55 (m, 7H), 5.20 (dd, 1H), 3.50 (dd, 1H), 3.25 (t, 1H).

### 2.3.5. One-pot two-steps tandem reactions

The one-pot tandem oxidation-condensation reaction for the synthesis of DPO was carried out in a glass flask equipped with a condenser. In a typical run, benzyl alcohol (1 mmol), catalyst (100 mg), n-dodecane (0.5 mmol, internal standard), and toluene (5 mL) were heated at 358 K for 9 h, during which oxygen was charged into the reaction mixture at flow rate of  $10 \text{ mL min}^{-1}$ . After the reaction, the oxygen flow was stopped and the reaction mixture was brought to ambient temperature, and then aniline (1 mmol, 0.093 g) and acetophenone (1 mmol, 0.12 g) was charged into the mixture rapidly. The mixture was left at ambient temperature (approximately 303 K) for 7 h. After the reaction, solid catalyst was removed by centrifugation, the reaction products were analyzed by GC and GC-MS.

The one-pot tandem oxidation-condensation reaction for the synthesis of (E)-chalcone was carried out in a glass flask equipped with a condenser. In a typical run, benzyl alcohol (1 mmol), catalyst (100 mg), n-dodecane (0.5 mmol, internal standard), and toluene (5 mL) were heated at 358 K for 9 h, during which oxygen was charged into the reaction mixture at flow rate of  $10 \text{ mL min}^{-1}$ . After the reaction, the oxygen flow was stopped and toluene was removed by distillation. Acetophenone (0.12 g, 1.0 mmol) was charged into the mixture rapidly. Reaction mixture was further stirred for 7 h at 373 K. After the reaction, solid catalyst was removed by centrifugation, the reaction products were analyzed by GC and GC-MS.

### 2.3.6. One-pot three steps tandem reaction for the synthesis of dihydro-1,5-benzothiazepine

One-pot, three steps tandem oxidation-condensation reactions for the synthesis of dihydro-1, 5-benzothiazepine was carried out in a glass flask equipped with a condenser. In a typical run, benzyl alcohol (1 mmol), catalyst (100 mg), n-dodecane (0.5 mmol, internal standard), and

toluene (5 mL) were heated at 358 K for 9 h, during which oxygen was charged into the reaction mixture at flow rate of 10 mL min<sup>-1</sup>. After the reaction, the oxygen flow was stopped and toluene was removed by distillation. Acetophenone (0.12 g, 1.0 mmol) was charged into the mixture rapidly. Reaction mixture was stirred for 7 h at 373 K. After 7 h, 2-aminothiophenol (0.15 g, 1.2 mmol) and 8 mL toluene were charged into the mixture rapidly. The reaction was conducted at 383 K for 2 h. After the reaction, solid catalyst was removed by centrifugation, the reaction products were analyzed by GC and GC-MS.

### 3. Results and discussion

#### 3.1. Physico-chemical characterization

Nano-ZSM-5 and ZSM-5 exhibited MFI framework structure with high phase purity, which was confirmed by XRD (Fig. 1a). XRD pattern of Nano-ZSM-5 was broad when compared to ZSM-5, which confirms that the material is nanocrystalline in nature. XRD pattern of Pd (1%)/Nano-ZSM-5 was found to be similar to Nano-ZSM-5 (Fig. 1b). No separate phase corresponding to Pd nanoparticles was observed in the XRD pattern, which confirms that Pd nanoparticles are uniformly dispersed in Nano-ZSM-5 matrix. Textural properties of the material were investigated by nitrogen adsorption–desorption measurements (Fig. 1b). Nano-ZSM-5 and Pd (1%)/Nano-ZSM-5 exhibited type-IV isotherms similar to that of mesoporous materials (Fig. 2b). The major difference in the isotherm of Nano-ZSM-5/Pd (1%)/Nano-ZSM-5 when compared to ZSM-5 is a distinct increase of N<sub>2</sub>-adsorption in the region 0.4 < P/P<sub>0</sub> < 0.95, which is interpreted as a capillary condensation in the inter-crystalline mesopore void spaces. Bi-modal pore size distribution was obtained for Nano-ZSM-5 samples using non-localized DFT method, which confirms the presence of ZSM-5 micropores and inter-crystalline mesopores. Mesopores show a pore size distribution in the range of 2-10 nm in these materials. Textural properties obtained from N<sub>2</sub>-adsorption study are summarized in Table 1.

#### Fig. 1, Fig. 2 and Table 1

Uniform spherical particles were observed for Nano-ZSM-5 (Fig. 2a). Morphology of Pd (1%)/Nano-ZSM-5 was found to be similar to Nano-ZSM-5 (Fig. 2b). To obtain the in-depth information about nano-structure of bi-functional catalyst Pd (1%)/Nano-ZSM-5, TEM

investigations were made. TEM images clearly show that Pd nanoparticles are uniformly dispersed on the surface of Nano-ZSM-5 (Fig. 3a-c). Size of Pd nanoparticles was in the range of 5-10 nm as shown in the magnified TEM image in Fig. 3d from area shown in Fig. 3b. TEM images also confirmed that the large spherical Nano-ZSM-5 particles were built with small zeolite nanocrystals of 15-20 nm (Fig. 3c). To investigate the chemical composition of the dots and surrounding matrix, Scanning TEM (STEM)-HAADF-EDX analysis was performed (Fig. 3e-g). In the HAADF image (Fig. 3e), the Z-contrast image shows Pd particles brighter than Nano-ZSM-5 particles. The elemental presence of Si, O, Al, and Pd are shown in EDX spectrum in Fig. 3f, which is from the rectangular area 1 marked in Fig. 3e. For a detailed distribution of atomic contents in the materials, elemental mapping was performed using STEM-HAADF-EDX technique (Fig. 3g). Based on the TEM imaging and elemental mapping, it can be concluded that Pd nanoparticles decorated Nano-ZSM-5 material was successfully prepared.

Acidity of the sample was investigated using  $\text{NH}_3$ -TPD (Fig. 4). The total acidity decreases in the order: ZSM-5  $\approx$  Pd (1%)/ZSM-5 > Nano-ZSM-5  $\approx$  Pd (1%)/Nano-ZSM-5 (Table 1). In the TPD profiles of ZSM-5 and Nano-ZSM-5, two well-resolved symmetric peaks were observed. They dominate with maximum at 460 K & 630 K for ZSM-5 and 455 K & 650 K for Nano-ZSM-5. These peaks demonstrate the presence of weak acid sites and strong acid sites. In Nano-ZSM-5, the first peak becomes smaller and the maxima of second peak shifts to slightly higher temperature (650 K). This indicates that the acid sites in Nano-ZSM-5 are stronger than the acid sites present in ZSM-5.

During the synthesis of Nano-ZSM-5, additive propyltriethoxysilane disfavoured the extended growth of large zeolite crystal, which resulted in the formation of very small size Nano-ZSM-5. Mesopores were created by the crystal packing of these zeolite nanocrystals. Mesopore size distribution obtained from  $\text{N}_2$ -adsorption studies confirmed that they were in the range of 2-10 nm. Based on SEM, TEM and  $\text{N}_2$ -adsorption studies, one can conclude that Pd nanoparticles decorated spherical Nano-ZSM-5 was prepared, and the spherical Nano-ZSM-5 is composed of several zeolite nanoparticles of approximately 15-20 nm.

### 3.2. Catalytic investigation

The aim of this study was to develop zeolite based catalysts in the one-pot tandem conversion of benzyl alcohol to DPO, (E)-chalcone, and DBT (Scheme 1). DPO and (E)-chalcone can be prepared in two steps synthesis protocol from benzyl alcohol, whereas synthesis of DBT requires three steps from benzyl alcohol. The first step of these reactions is the oxidation of benzyl alcohol to benzaldehyde. The first step requires an oxidation catalyst. Pd nanoparticles are known to catalyze the oxidation of benzyl alcohol.<sup>47-49</sup> Therefore, Pd nanoparticles supported Nano-ZSM-5 and ZSM-5 based catalysts were prepared and investigated in the oxidation of benzyl alcohol in the first step of the reaction (Table 2). In all the cases, benzaldehyde was obtained as selective product. Amount of catalyst, reaction temperature, and reaction atmosphere play important role in the oxidation of benzyl alcohol. Reaction proceeds well in O<sub>2</sub> atmosphere using 100 mg of catalyst per mmol of substrate in 9 h at 358 K. After the reaction, catalyst was removed and the reaction mixture was subjected to ICP analysis. Pd was not detected in the ICP analysis. Pd (1%)/Nano-ZSM-5 was reused several times in the oxidation reaction of benzyl alcohol. After each experiment, catalyst was washed with toluene and dried in oven and then used in the next cycle. Activity of Pd (1%)/Nano-ZSM-5 was remained the same even after three recycles (Table 2). Heterogeneity and Pd-leaching of this catalyst was also examined by the 'hot filtration' test. Catalyst was removed from the reaction mixture after 4 h (49 % benzyl alcohol conversion) then the reaction was continued for an additional 5 h gave a final benzyl alcohol conversion of 49.6 %. This experiment further confirmed that the Pd was not leached during the reaction. Activity of Pd (1%)/Nano-ZSM-5 was found to be more when compared to Pd (1%)/ZSM-5. These results show that highly dispersed Pd nanoparticles were formed on the high surface area Nano-ZSM-5, which is responsible for the high catalytic activity of Pd (1%)/Nano-ZSM-5. Furthermore, enhanced diffusion provided by inter-crystalline mesopores of Nano-ZSM-5 is also responsible for the high activity of Pd (1%)/Nano-ZSM-5.

#### Scheme 1 and Table 2

Before the investigation of one-pot tandem reaction starting with benzyl alcohol using bi-functional catalysts, it would be interesting to obtain catalytic data using ZSM-5, Nano-ZSM-5,

Pd (1%)/Nano-ZSM-5, and Pd (1%)/ZSM-5 for the production of DPO, (E)-chalcone, and DBT from benzaldehyde. Role of these catalysts was investigated in the synthesis of DPO by following the Mannich condensation of aniline with benzaldehyde and acetophenone (Table 3). Significantly low product yield was obtained in the absence of catalyst under the optimized reaction condition. When ZSM-5 was used as catalyst, only slight increase in the DPO yield was observed. Nano-ZSM-5 produced very good DPO yield under mild reaction condition. Pd (1%)/Nano-ZSM-5 produced similar DPO yield when compared to Nano-ZSM-5. This clearly shows that Pd has no role to play in the Mannich reaction. The role of these catalysts was investigated in the synthesis of (E)-chalcone by the Aldol condensation of benzaldehyde and acetophenone (Table 3). In the absence of catalyst, no reaction took place under the optimized reaction condition. ZSM-5 exhibited significantly low activity when compared to Nano-ZSM-5. Activity of Nano-ZSM-5 in the synthesis of (E)-chalcone was low when compared to DPO. The activity of Pd (1%)/Nano-ZSM-5 was found to be similar to Nano-ZSM-5. Activity of Nano-ZSM-5 in the synthesis of (E)-chalcone can be improved by performing the reaction at 373 K or using more amount of catalyst (Table 3, compare entry nos 6-8 with entry 3). Furthermore, when (E)-chalcone was reacted with 2-aminothiophenol, it formed DBT in the presence of various catalysts investigated in this study. Reaction proceeded even in the absence of catalyst. However, product yield was very low. Product yield was improved when ZSM-5 was used as catalyst. The activity of Nano-ZSM-5 was found to be exceptionally high in the synthesis of DBT when compared to DPO and (E)-chalcone (Table 3). The activity of Pd (1%)/Nano-ZSM-5 was found to be similar to that of Nano-ZSM-5 (Table 3). To the best of our knowledge, we could find only one report in which wide range of acid catalysts were reported for the synthesis of DBT.<sup>50</sup> The activity of Nano-ZSM-5 was found to be comparable or better when compared to these catalysts reported in the literature.<sup>50</sup> Recycling study confirmed that Nano-ZSM-5 was found to be reusable and exhibited almost similar activity even after three recycles (Table 3). Activity of Nano-ZSM-5 was found to be more than ZSM-5 in the acid catalyzed condensation reactions described above. This difference in the activity can be correlated to high surface area and inter-crystalline mesopores. In the case of Nano-ZSM-5, the acid sites located at the pore-mouth or little bit farther inside the channels (which may be called subsurface centers) are the real centers of the reaction. Inter-crystalline mesopores present in the Nano-ZSM-5 facilitate the diffusion of

reactant to the acid sites and diffusion of the product from the active sites, which is responsible for the high activity.

### Table 3

The catalytic activity of Pd (1%)/Nano-ZSM-5 and Pd (1%)/ZSM-5 in the one-pot tandem synthesis of DPO/(E)-chalcone from benzyl alcohol was studied. The results are listed in Table 4. The one-pot synthesis of DPO was realized through the oxidation of benzyl alcohol followed by Mannich condensation with aniline and acetophenone. The one-pot synthesis of (E)-chalcone was realized through the oxidation of benzyl alcohol followed by Aldol condensation with acetophenone. Benzaldehyde was produced as an intermediate and DPO/(E)-chalcone was the final product. Reaction did not take place in the absence of catalyst. Furthermore, DPO/(E)-chalcone was not formed using Nano-ZSM-5 catalyst in one-pot tandem synthesis from benzyl alcohol. In one-pot tandem synthesis of DPO/(E)-chalcone, Pd (1%)/Nano-ZSM-5 was found to exhibit very good catalytic activity. Excellent benzyl alcohol conversion and very good selectivity for DPO/(E)-chalcone was achieved using Pd (1%)/Nano-ZSM-5 when compared to Pd (1%)/ZSM-5.

### Table 4 and Table 5

Finally, one-pot synthesis of DBT was realized through the oxidation of benzyl alcohol followed by Aldol condensation with acetophenone and the reaction of (E)-chalcone with 2-aminothiophenol. Benzaldehyde was produced as first intermediate and (E)-chalcone was the second intermediate. At the end of the reaction, four products were obtained in the GC analysis. They were remaining amounts of benzaldehyde and (E)-chalcone. Apart from the desired product DBT, one additional product 2-phenylbenzothiazole was also obtained. 2-Phenylbenzothiazole was formed by the reaction of benzaldehyde and 2-aminothiophenol. No reaction took place in the absence of catalyst. In contrast to the synthesis of DBT from

benzaldehyde, in this one-pot tandem synthesis from benzyl alcohol, DBT was not formed in the presence of Nano-ZSM-5. In one-pot tandem synthesis of DBT, Pd (1%)/Nano-ZSM-5 exhibited excellent benzyl alcohol conversion and good DBT selectivity.

Pd/ZSM-5 is widely investigated in organic synthesis, for example oxidation of volatile organic compounds, oxidation of benzyl alcohol, coupling reactions etc.<sup>51, 52</sup> In this study Pd/Nano-ZSM-5 was investigated for the one-pot tandem reactions. Recently, an effort was made to prepare Pd nanoparticles supported organic–inorganic hybrid ZSM-5 based bi-functional catalysts, which catalyzed one-pot synthesis of benzylidene malononitrile from benzyl alcohol under soluble-base-free conditions, in which the benzyl alcohol was first oxidized to intermediate benzaldehyde followed by Knoevenagel condensation with malononitrile.<sup>53</sup> Activity of catalysts investigated in this study for the oxidation of benzyl alcohol under O<sub>2</sub> atmosphere was comparable with the catalyst reported in the literature.<sup>53</sup> It may be noted that Pd supported SiO<sub>2</sub> exhibited very low activity in the oxidation of benzyl alcohol.<sup>54</sup> However, the catalytic activity was improved by incorporating FeO<sub>x</sub>.<sup>54</sup> Literature reports suggest that bi-metallic catalysts such as Pd-Au is good for the oxidation of benzyl alcohol under O<sub>2</sub> atmosphere.<sup>55</sup> In this study, we provided a simple and straight forward route for the synthesis Pd nanoparticles supported Nano-ZSM-5, which exhibited very good activity in the oxidation of benzyl alcohol. Furthermore, Pd/Nano-ZSM-5 is reported here for the first time in the one-pot two steps synthesis of 1, 3-diphenyl-3-(phenylamino)propan-1-one and (E)-chalcone from benzyl alcohol or one-pot three steps synthesis of 2, 3-dihydro-1, 5-benzothiazepine from benzyl alcohol. Excellent activity of Pd/Nano-ZSM-5 in one-pot tandem reaction can be correlated to highly dispersed Pd nanoparticles on high surface area Nano-ZSM-5, inter-crystalline mesopores and strong acid sites located at pore mouth or little bit further inside the porous channels of Nano-ZSM-5.

#### 4. Conclusions

2, 3-dihydro-1, 5-benzothiazepines was prepared in one-step from (E)-chalcone in excellent yield using Nano-ZSM-5 catalyst. Furthermore, 1, 3-diphenyl-3-(phenylamino)propan-1-one and (E)-chalcone were also prepared from benzaldehyde in one-step using Nano-ZSM-5 in good yields. These products were successfully prepared in one-pot tandem reaction protocol from

benzyl alcohol. Pd nanoparticles supported on Nano-ZSM-5 based bi-functional catalyst was successfully prepared for one-pot, two steps/three steps tandem reactions to obtain these products. Pd nanoparticles supported on Nano-ZSM-5 was found to be an efficient catalyst in these tandem reactions. High surface area and surface silanol groups were favorable for the dispersion of Pd nanoparticles on its surface to produce highly efficient bi-functional catalysts. Furthermore, inter-crystalline mesopores present in the Nano-ZSM-5 facilitate the diffusion of reactant to the acid sites and diffusion of the product from the active sites, which is responsible for the high activity of Pd nanoparticles supported on Nano-ZSM-5 in the synthesis of these chemicals. ICP analysis and recycling study confirmed that no significant loss in the catalytic active Pd species and catalytic activity were observed even after three recycles.

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### Table, Figure and Scheme Captions

#### Tables

- Table 1.** Physicochemical properties of various catalysts investigated in this study.
- Table 2.** Catalytic activity data obtained for the oxidation of benzyl alcohol over various catalysts investigated in this study.
- Table 3.** Catalytic activity data obtained in the condensation reactions investigated in this study under optimized reaction condition.
- Table 4.** Catalytic activity data in one-pot, two steps tandem reactions.
- Table 5.** Catalytic activity data in one-pot, three steps tandem reaction.

#### Figures

- Figure 1.** (a) XRD patterns of various catalysts investigated in this study. (b) N<sub>2</sub>-adsorption isotherms of various catalysts investigated in this study. Inset shows pore size distribution.
- Figure 2.** SEM micrographs of (a) Nano-ZSM-5 and (b) Pd (1%)/Nano-ZSM-5.
- Figure 3.** (a, b) Low magnification and (c, d) high magnification TEM images of Pd (1%)/Nano-ZSM-5. (e) STEM-HAADF image of Pd (1%)/Nano-ZSM-5, (f) EDX spectrum from a region marked by red area 1 in (e) and (g) Elemental maps taken from the area marked by an orange box 2 indicating the locations of different atoms across the structure.
- Figure 4.** NH<sub>3</sub>-TPD profiles of ZSM-5 and Nano-ZSM-5.

#### Scheme

- Scheme 1.** One-pot tandem conversion of benzyl alcohol to 1, 3-diphenyl-3-(phenylamino)propan-1-one, (E)-chalcone, and 2, 3-dihydro-1, 5-benzothiazepine.

**Table 1.** Physico-chemical properties of various catalysts investigated in this study.

<b>Physical properties</b>					
<b>Sample</b>	<b>Total surface area <math>S_{\text{BET}}</math> (<math>\text{m}^2/\text{g}</math>)</b>	<b>External surface area (<math>\text{m}^2/\text{g}</math>)</b>	<b>Total pore volume (<math>\text{cm}^3/\text{g}</math>)</b>		
ZSM-5	297	52	0.21		
Nano-ZSM-5	502	253	0.46		
Pd (1%)/Nano-ZSM-5	446	218	0.38		
Pd (1%)/ZSM-5	258	29	0.18		
Pd (0.5%)/Nano-ZSM-5	478	240	0.41		
Pd (2%)/Nano-ZSM-5	437	206	0.36		
<b>Chemical properties</b>					
<b>Sample</b>	<b>Bulk Si/Al ratio</b>	<b>Pd content wt %</b>	<b>Total acid sites <math>\text{NH}_3</math> desorbed (<math>\text{mmol}/\text{g}</math>)</b>	<b>Weak acid sites (<math>\text{mmol}/\text{g}</math>)</b>	<b>Strong acid sites (<math>\text{mmol}/\text{g}</math>)</b>
ZSM-5	20.8	-	0.77	0.39	0.38
Nano-ZSM-5	21.6	-	0.66	0.38	0.28
Pd (1%)/Nano-ZSM-5	22.1	0.94	0.65	0.38	0.27
Pd (0.5%)/Nano-ZSM-5	-	0.46	0.66	0.38	0.28
Pd (2%)/Nano-ZSM-5	-	1.90	0.63	0.36	0.27
Pd (1%)/ ZSM-5	21.0	0.95	0.75	0.38	0.37

**Table 2.** Catalytic activity data obtained for the oxidation of benzyl alcohol over various catalysts investigated in this study.

S. No.	Catalyst	Catalyst amount	Atmosphere	Temp. (K)	Yield (%) <sup>a</sup>	Average TON <sup>c</sup>
1.	Pd (1%)/Nano-ZSM-5	100 mg	O <sub>2</sub>	358	80	91
2.	Pd (1%)/Nano-ZSM-5	150 mg	O <sub>2</sub>	358	98	74
3.	Pd (1%)/Nano-ZSM-5	50 mg	O <sub>2</sub>	358	49	111
4.	Pd (1%)/Nano-ZSM-5	100 mg	Air	383	43	49
5.	Pd (1%)/Nano-ZSM-5	100 mg	O <sub>2</sub>	313	8	9
6.	Pd (1%)/Nano-ZSM-5	100 mg	O <sub>2</sub>	333	46	52
7.	Pd (1%)/Nano-ZSM-5	100 mg	O <sub>2</sub>	383	96	109
8.	Pd (0.5%)/Nano-ZSM-5	100 mg	O <sub>2</sub>	358	44	102
9.	Pd (2%)/Nano-ZSM-5	100 mg	O <sub>2</sub>	358	96	57
10.	Pd (1%)/Nano-ZSM-5 <sup>b</sup>	100 mg	O <sub>2</sub>	358	81	92
11.	Pd (1%)/ ZSM-5	100 mg	O <sub>2</sub>	358	55	63

Reaction condition: Benzyl alcohol (1 mmol), time (9 h), O<sub>2</sub> flow (10 mL/min), toluene (5 mL), n-dodecane (0.5 mmol, internal standard).

<sup>a</sup>Yield = Conversion obtained from GC (because benzaldehyde was obtained as only product)

<sup>b</sup>Data obtained after third recycle.

<sup>c</sup>Average TON = turnover number [moles of benzyl alcohol converted per mole of active Pd].

**Table 3.** Catalytic activity data obtained in the condensation reactions investigated in this study under optimized reaction condition.

<b>Synthesis of DPO</b>		
<b>S. No.</b>	<b>Catalyst</b>	<b>DPO yield (%)<sup>a</sup></b>
1.	None	5.7
2.	ZSM-5	13.4
3.	Nano-ZSM-5	88
4.	Pd (1%)/Nano-ZSM-5	82
5.	Pd (1%)/ZSM-5	12.6
6.	Nano-ZSM-5 <sup>f</sup>	85.7
<b>Synthesis of E-Chalcone</b>		
<b>S. No.</b>	<b>Catalyst</b>	<b>(E)-Chalcone yield (%)<sup>b</sup></b>
1.	None	<1
2.	ZSM-5	6.8
3.	Nano-ZSM-5	30.5
4.	Pd (1%)/Nano-ZSM-5	29.1
5.	Pd (1%)/ZSM-5	5.3
6.	Nano-ZSM-5 <sup>c</sup>	55.8
7.	Nano-ZSM-5 <sup>d</sup>	60.5
8.	Nano-ZSM-5 <sup>e</sup>	84.5
9.	Pd (1%)/Nano-ZSM-5 <sup>e</sup>	82.3
10.	Nano-ZSM-5 <sup>e,f</sup>	85.1
<b>Synthesis of DBT</b>		
<b>S. No.</b>	<b>Catalyst</b>	<b>DBT yield (%)<sup>a</sup></b>
1.	None	15.2
2.	ZSM-5	28.3
3.	Nano-ZSM-5	95
4.	Pd (1%)/Nano-ZSM-5	93
5.	Pd (1%)/ZSM-5	24.7
6.	Nano-ZSM-5 <sup>f</sup>	93.4

Reaction condition: Synthesis of DPO: benzaldehyde (4 mmol), acetophenone (4 mmol), aniline (4 mmol), catalyst (50 mg), toluene (5 mL), temperature (303 K), time (7 h). Synthesis of (E)-chalcone: benzaldehyde (1.5 mmol), acetophenone (1.5 mmol), catalyst (50 mg), temperature (323 K), time (7 h). Synthesis of DBT: (E)-chalcone (1 mmol), 2-aminothiophenol (1.2 mmol), catalyst (50 mg), toluene (8 mL), temperature (383 K), time (2 h).

<sup>a</sup>Yield = Isolated yield.

<sup>b</sup>Yield = Conversion obtained from GC (E-Chalcone was obtained as only product).

<sup>c</sup>Catalyst (100 mg).

<sup>d</sup>Temperature (373 K).

<sup>e</sup>Catalyst (100 mg), Temperature (373 K).

<sup>f</sup>Data obtained after third recycle.

**Table 4.** Catalytic activity data in the one-pot, two steps tandem reactions.

<b>Oxidation–Mannich condensation<sup>a</sup></b>					
<b>S. No.</b>	<b>Catalyst</b>	<b>Time (T1 + T2)</b>	<b>Benzyl alcohol Conv. (%)</b>	<b>Product yield (%)</b>	
				<b>Benzaldehyde</b>	<b>DPO</b>
1.	Nil	9 + 7	0	-	-
2.	Pd (1%)/ZSM-5	9 + 7	54.6	35.7	18.9
3.	Pd (1%)/Nano-ZSM-5	9 + 7	81.8	6.7	75.1
<b>Oxidation–Aldol condensation<sup>b</sup></b>					
<b>S. No.</b>	<b>Catalyst</b>	<b>Time (T1 + T2)</b>	<b>Benzyl alcohol Conv. (%)</b>	<b>Product yield (%)</b>	
				<b>Benzaldehyde</b>	<b>E-Chalcone</b>
1.	Nil	9 + 7	0	-	-
2.	Pd (1%)/ZSM-5	9 + 7	55.3	45.9	9.4
3.	Pd (1%)/Nano-ZSM-5	9 + 7	81.4	7.8	73.6

**Table 5.** Catalytic activity data in one-pot, three steps tandem reaction.

S. No.	Catalyst	Time (T1 + T2 + T3) (h)	Benzyl alcohol Conv. (%)	Product yield (%)			
				Benzaldehyde	(E)-Chalcone	DBT	Other <sup>a</sup>
1.	Nil	9 + 7 + 2	0	-	-	-	-
2.	Pd (1%)/Nano-ZSM-5	9 + 7 + 2	80.6	0.8	1.4	67.0	11.4
3.	Pd (1%)/ZSM-5	9 + 7 + 2	55.1	16.8	5.4	4.3	28.6
4.	Pd (1%)/Nano-ZSM-5 <sup>b</sup>	9 + 7 + 2	81.2	1.0	1.9	67.4	10.9

<sup>a</sup> 2-Phenylbenzothiazole.<sup>b</sup> Data obtained after third recycle.

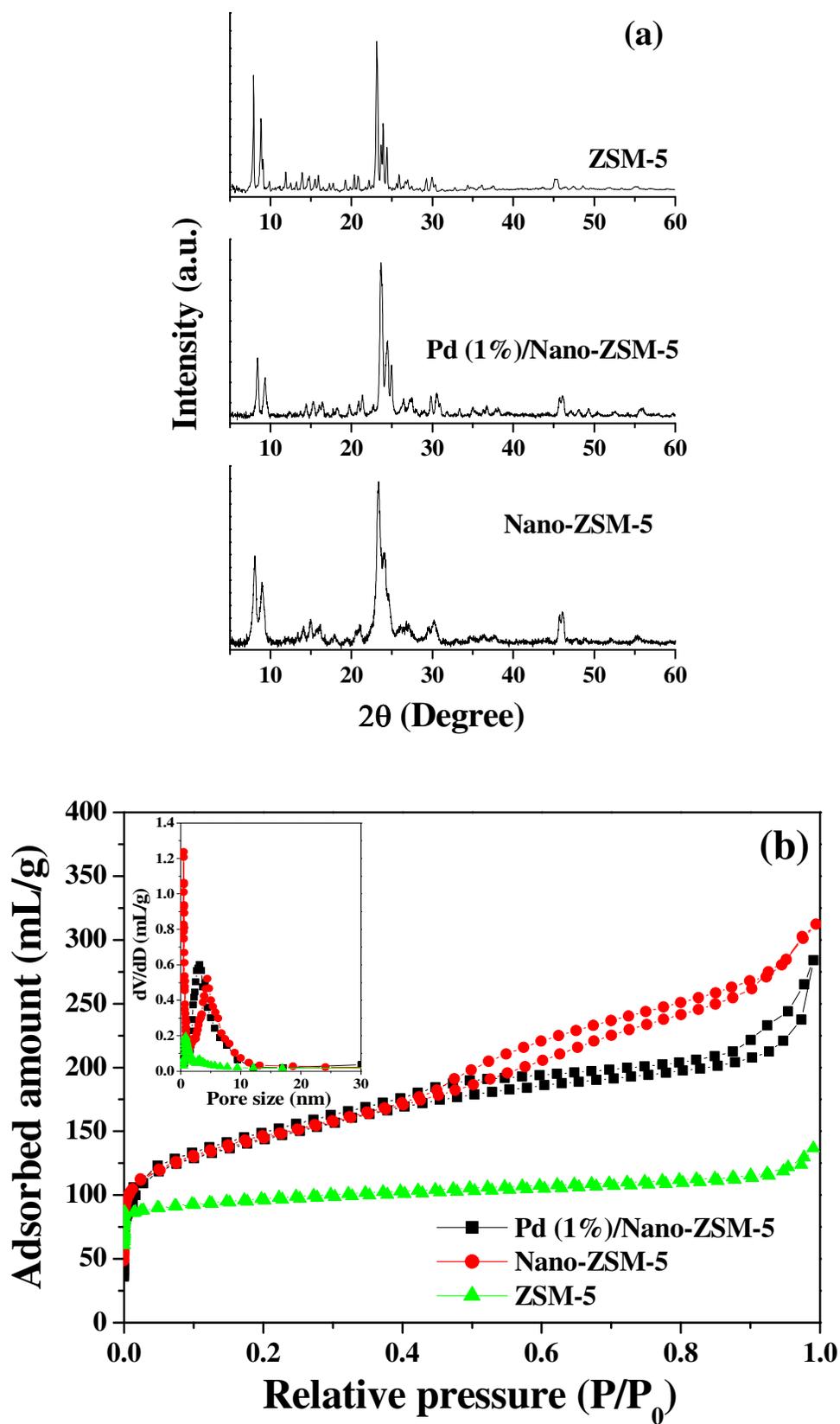
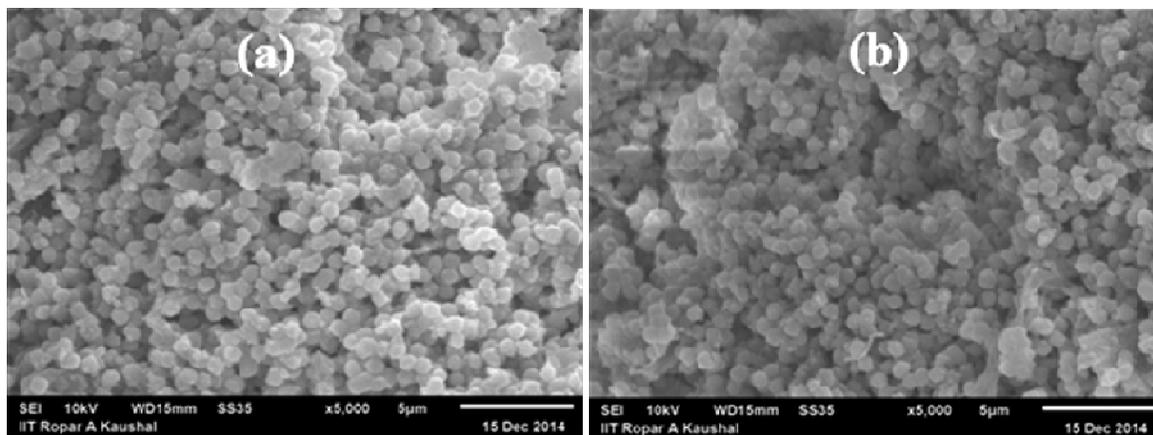


Figure 1



**Figure 2**

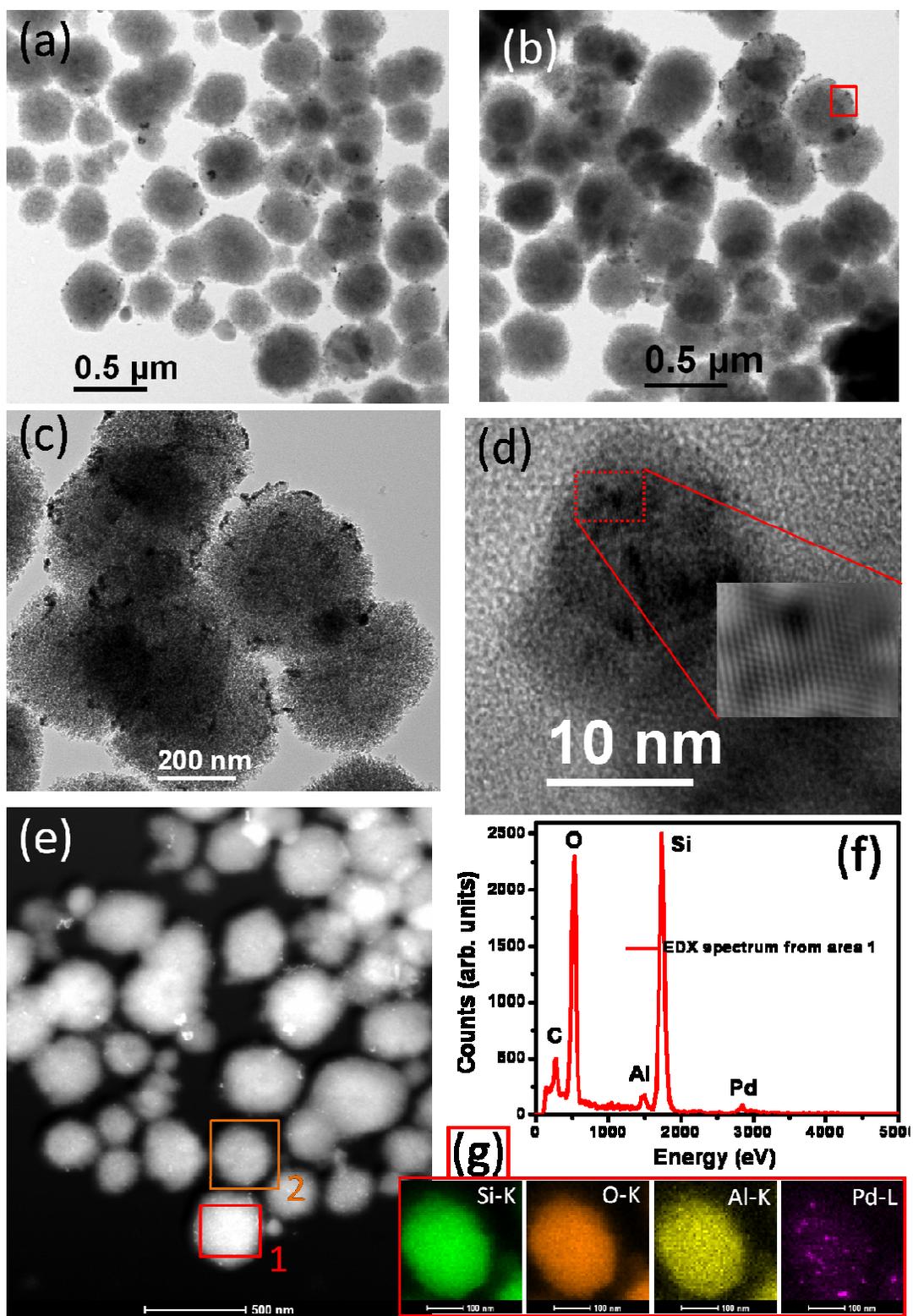


Figure 3

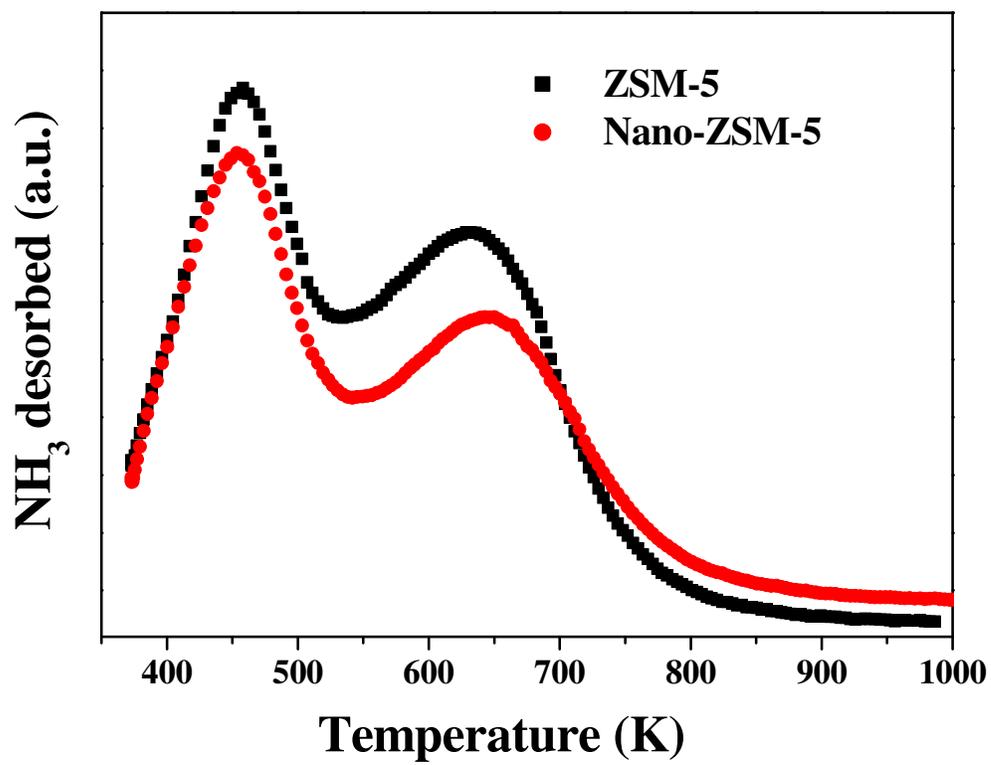
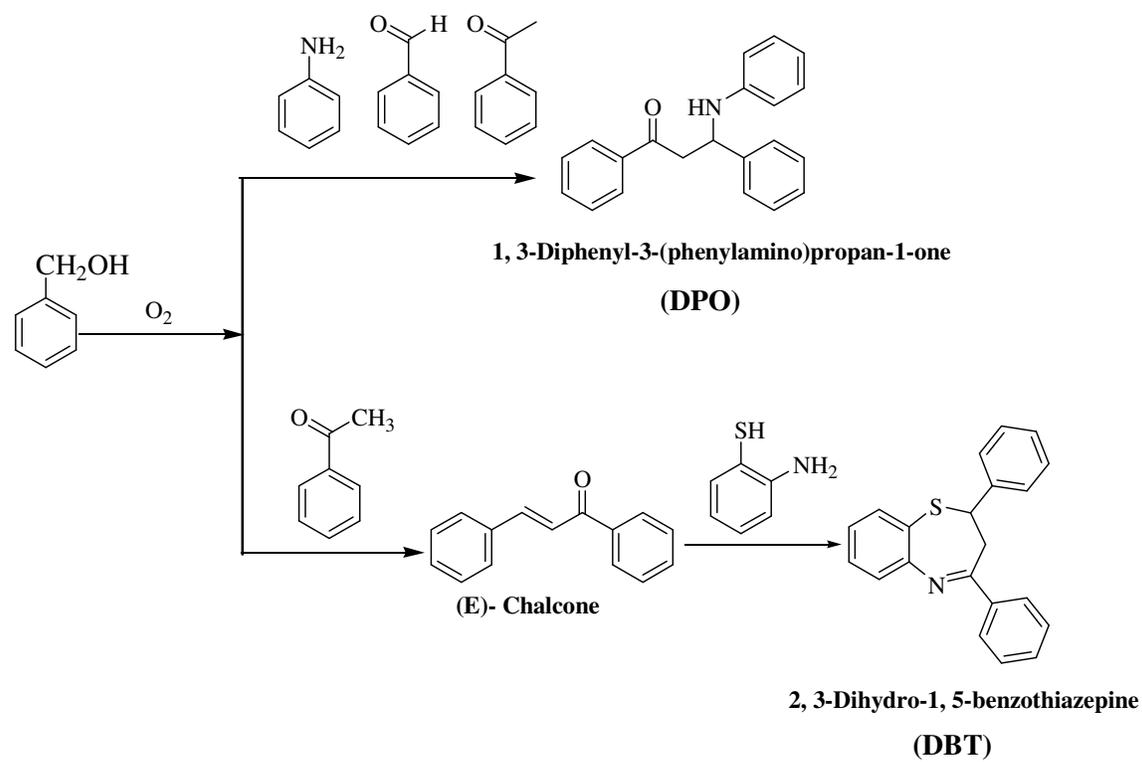


Figure 4



Scheme 1