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# Polymer Aggregation Control in Polymer:Fullerene Bulk Heterojunctions Adapted from Solution

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**Abstract:** It is common knowledge that the polymer conformation and its phase separation with fullerene derivatives are delicate issues crucially impacting on the photovoltaic parameters of polymer based solar cells. Whereas strongly intermixed polymer:fullerene phases presumably provide large interfacial area and consequently a high quantum efficiency of exciton dissociation, pristine and primarily ordered polymer and fullerene domains may support efficient charge transport and its percolation. To study the aggregation and phase separation behaviour in polymer solar cells we investigated counterbalancing influences of polymer solution concentration and its blending ratio with PCBM ([6,6]-phenyl-C61-butyric acid methyl ester) on the basis of a semi-crystalline anthracene-containing poly(*p*-phenylene-ethynylene)-*alt*-poly(*p*-phenylene-vinylene) (PPE-PPV) copolymer statistically bearing branched 2-ethylhexyloxy and linear octyloxy side-chains (AnE-PV*stat*). The polymer aggregation was semi-quantitatively evaluated on the basis of its optical fingerprints and varied with both, the solution and the PCBM concentration, yielding a specific maximum within the parameter range studied. Upon relating photovoltaic parameters with the order within the polymer phase, the counterbalancing effect between charge generation and transport for increasing polymer aggregation is demonstrated, in agreement with sound hypotheses.

## Introduction

The continuously increasing research interest in polymer-based organic photovoltaics (polymer solar cells) over the last two decades,<sup>1,2,3,4</sup> resulted in improved fundamental and technological knowledge concerning polymer solar cells as a flexible<sup>5,6,7</sup> and semi-transparent<sup>8,9,10</sup> option for harvesting solar radiation at potentially low cost.<sup>11,12,13</sup> But there are still big efforts to be undertaken in order to match the requirements of future power installations similar to conventional, inorganic photovoltaics. In

34 general highly efficient polymer solar cells are based on the bulk heterojunction (BHJ)<sup>14,15</sup> concept – in  
35 which the intimate intermixing of electron donating polymers and electron acceptors provides an  
36 efficient ultra-fast charge transfer within this blend.<sup>16,17,18</sup> Among the most suited acceptors for efficient  
37 bulk heterojunction solar cells are fullerene derivatives, most commonly PCBM.<sup>18,19</sup>  
38 Since charge separation of excitons takes place at the interface between polymer and PCBM, an  
39 intimate mixture of both materials is successful for splitting the photogenerated excitons due to the  
40 large interfacial area, yielding high charge generation rates.<sup>16,17,20</sup> In contrast,, recombination rates are  
41 also increased as charge percolation is limited within homogeneously intermixed phases yielding to  
42 losses in photocurrent.<sup>21</sup> Hence a pristine polymer phase may reduce charge recombination, while the  
43 hole mobility is additionally controlled by the order within the polymer phase<sup>21,22,23,24</sup> -  $\pi$ - $\pi$ -stacking  
44 on the short-range and crystallinity on the long-range order<sup>25,26,27,28</sup> as well as by phase purity.<sup>29</sup> The  
45 electron transport capability is comparably high within the PCBM phase, already benefitting from  
46 higher order easily obtained in aggregates of spherical fullerene derivatives.<sup>30,31</sup> Furthermore, fullerene  
47 aggregation/crystallization promotes the charge separation within bulk heterojunctions due to the  
48 multitude of energy levels present for charge transfer.<sup>20,32,33</sup> Thus, large phase separation between  
49 polymer and PCBM improves the charge extraction from the bulk, but leads to a loss of interfacial area  
50 and thus potentially photocurrent. Contrarily, a strong intermixing leads to large interfacial area and  
51 thus charge generation, but a loss in charge percolation pathways and thus to increased charge  
52 recombination. Furthermore, excitons generated within pristine bulk material have to reach the  
53 interface between polymer and PCBM for dissociation. But, the limited exciton life-time and  
54 consequently its diffusion length of approximately 10 - 20 nm require pristine domain sizes of limited  
55 size, which still allow excitons to reach the interface.<sup>34,35</sup> Conclusively, charge generation,  
56 recombination and percolation counterbalance each other and they are controlled by the morphology of  
57 the bulk heterojunction.<sup>36,37,38,39</sup> Thus, a fine-tuned blend morphology is required to maximize charge  
58 generation and minimize charge recombination due to improved charge transport and extraction.  
59 Several approaches were pursued to control the nanomorphology of organic bulk heterojunctions. For  
60 example, the so-called micro-phase separation between donor and acceptor could be obtained by  
61 utilization of block copolymers consisting of alternating well-aligned donor and acceptor blocks  
62 fulfilling the requirements of suitable phase separation.<sup>40,41</sup> In case of binary donor-acceptor systems,  
63 like the well-known semi-crystalline poly(3-hexylthiophene) (P3HT), post-production treatments such  
64 as thermal annealing<sup>42,43</sup> or slow drying<sup>44</sup> improved the solar cell performance by enhanced structural  
65 ordering, respectively the controlled crystallization of P3HT.<sup>45</sup> Several approaches for controlling the  
66 P3HT aggregation already within solution were pursued in order to yield an improved morphology  
67 control for the evolving bulk heterojunction.<sup>46,47,48,49,50</sup> The formation of semi-crystalline P3HT fibres

68 was for example achieved by aggregation induced by use of non-solvents as additives within the P3HT-  
69 solution.<sup>49,51</sup> Amorphous polymer based systems could be improved by increased phase separation  
70 between PCBM and an intercalated polymer:PCBM mix-phase<sup>52</sup> utilizing solvent blends or  
71 additives<sup>53,54,55</sup>. However, those systems still lack performance due to the amorphous nature of the  
72 polymer and limited hole percolation.<sup>22,56,57,58</sup>

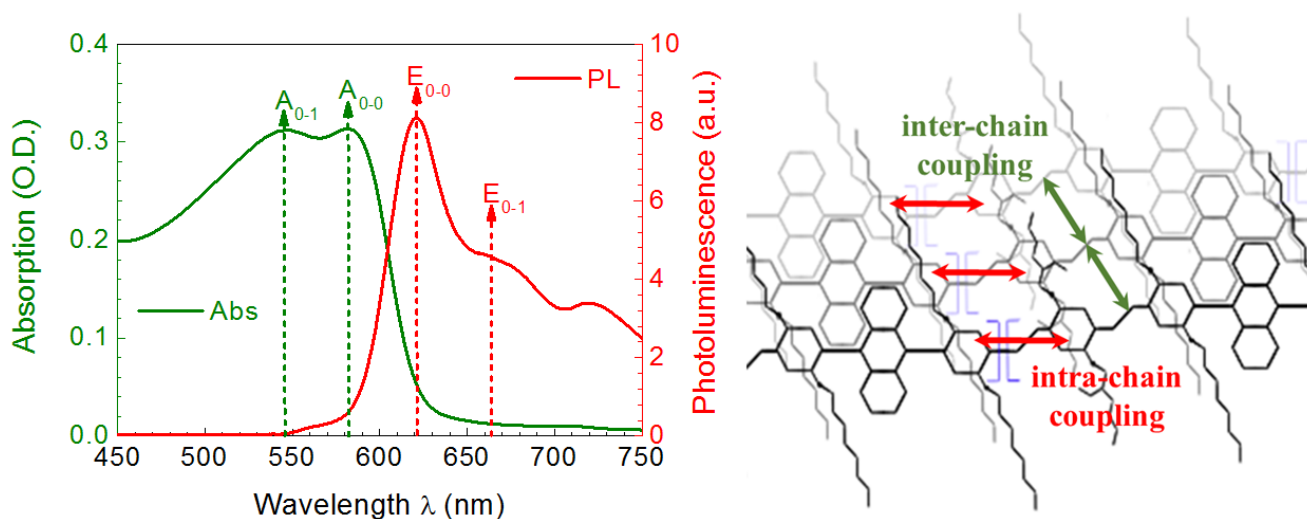
73 In this work we present an approach to precisely control structural order of the polymer as well as  
74 phase separation between polymer and PCBM. The herein used copolymer AnE-PV $_{stat}$  is semi-  
75 crystalline as obtained from wide-angle x-ray scattering experiments.<sup>25</sup> Previous studies revealed the  
76 degree of aggregation of semi-crystalline AnE-PV to be improved by the presence of PCBM.<sup>59,60</sup>  
77 Furthermore, the degree of phase separation between AnE-PV copolymers and PCBM can be  
78 controlled by the solvent composition of chloroform and chlorobenzene and the PCBM concentration  
79 within the common solution. An optimized phase separation of AnE-PV copolymers with PCBM was  
80 obtained for a 1:1 ratio of chloroform and chlorobenzene based solutions.<sup>61</sup> Based on its ability to  
81 aggregate and phase separate with PCBM, AnE-PV $_{stat}$  constitutes a perfect candidate to study the  
82 influence of structural order and phase separation on the solar cell device operation. In the following,  
83 the blend morphology was precisely controlled by solution concentration and PCBM weight fraction.  
84 The quantification of polymeric structural order in this material system is achieved by the introduction  
85 of a parameter of combined structural order, derived from the relative changes in inter-chain and intra-  
86 chain order of polymer aggregates, probed with optical steady-state spectroscopy.

87

## 88 **Theoretical Background**

89 The analytical model used in our studies for quantifying polymeric order is based on the assumptions  
90 for H- and J-aggregation of polymers as proposed by Spano et al., whereas absorption spectroscopy  
91 generally reveals information about inter-chain order and photoluminescence spectroscopy about intra-  
92 chain order, compare with Figure 1.<sup>62,63,64</sup> The optical absorption and emission of J-aggregates,  
93 described by the HJ-aggregate model, is explicitly linked with the polymeric order: the ratio of the 0-0  
94 to 0-1 transition of the absorption spectrum,  $A_{0-0}/A_{0-1}$ , increases with increasing order as well as the  
95 ratio of the polymer photoluminescence emission  $E_{0-0}/E_{0-1}$  at room temperature.<sup>63</sup> Apart from that, it is  
96 also well known that the near-field order, generated by the  $\pi$ - $\pi$ -stacking of the polymer backbones, is  
97 parental for the origination of the 0-0 peak transition in the polymer absorption spectra.<sup>65,66</sup>  
98 Furthermore, the absorption spectra also contain information about the degree of conjugation.<sup>67,68</sup> J-  
99 aggregation has extensive consequences on the electrical properties: the intra-chain coupling is much  
100 stronger than the inter-chain coupling and leads therefore to large free charge carrier mobilities,<sup>69</sup> but  
101 comparable low exciton mobilities along the polymer backbone.<sup>70</sup>

102 To globally define the polymer order within the system, we linked the inter-chain order, represented by  
 103  $\pi$ - $\pi$ -stacking of polymer backbones, to the intra-chain order, represented by torsion-free planarization  
 104 of polymer backbones. Hence the product of the  $A_{0-0}/A_{0-1}$  polymer absorption peak ratio and the  $E_{0-0}/E_{0-1}$   
 105  $E_{0-0}/E_{0-1}$  polymer emission peak ratio can be defined as the parameter of combined structural order,  $P_{CSO}$ ,  
 106 valid for J-aggregates and under the assumption that inter- and intra-chain order must be strongly  
 107 correlated. As within aggregates both conditions, intra-chain and inter-chain order, have to be fulfilled,  
 108 the combined structural order parameter is introduced as product of both individual order parameters.  
 109 An arithmetic average, i.e. the weighted sum of both parameters, constitutes a weaker and  
 110 compromising condition and is therefore discarded.

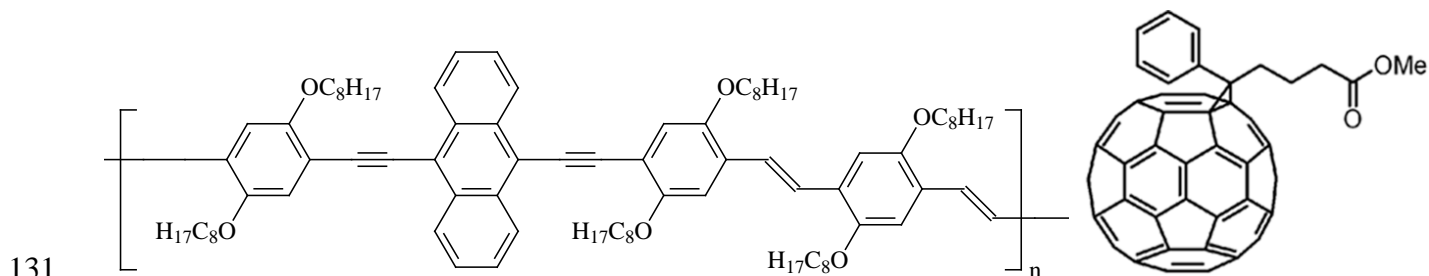


111  
 112 **Figure 1:** Correlation between emission/absorption transitions,  $E_{0-0}/E_{0-1}$  and  $A_{0-0}/A_{0-1}$ , and intra-  
 113 chain/inter-chain coupling for J-aggregated AnE-PV.

114  
 115 Next to the intra-chain order of polymer backbones, photoluminescence spectroscopy allows semi-  
 116 quantitative insights into the degree of phase separation between polymer and PCBM.<sup>71</sup> Usually, the  
 117 degree of polymer aggregation is accompanying the degree of phase separation between polymer and  
 118 PCBM due to the fact that the undisturbed pristine polymer phase is more prone to reorganize within an  
 119 ordered structure by free energy minimization.<sup>72</sup> We have already shown that semi-crystalline AnE-PV  
 120 tends to phase separate strongly from PCBM.<sup>60</sup> An earlier comparison between the domain size and  
 121 photoluminescence yield of thin AnE-PV:PCBM blend films yielded good agreement with x-ray  
 122 diffraction results.<sup>73</sup> Whilst strongly phase separated systems showed remaining photoluminescence  
 123 from both materials, strong intermixing led to substantial quenching of the polymer photoluminescence  
 124 signal, and to the occurrence of interfacial charge transfer photoluminescence (CT-PL) signals.<sup>60,74</sup>  
 125 Thus photoluminescence provides potentially a lot of information about the scale of phase separation  
 126 within bulk heterojunction blends.

127 **Experimental**

128 Scheme 1 displays the chemical structures of AnE-PV*stat* and PCBM, which were used within this  
 129 study. AnE-PV*stat* was synthesized as reported earlier.<sup>59</sup> As electron acceptor, PCBM was used as  
 130 obtained from the supplier (Nano-C, USA).



132

133 **Scheme 1:** Molecular structure of AnE-PV*stat* ( $C_8H_{17}$  = octyl and/or 2-ethylhexyl) and PCBM.

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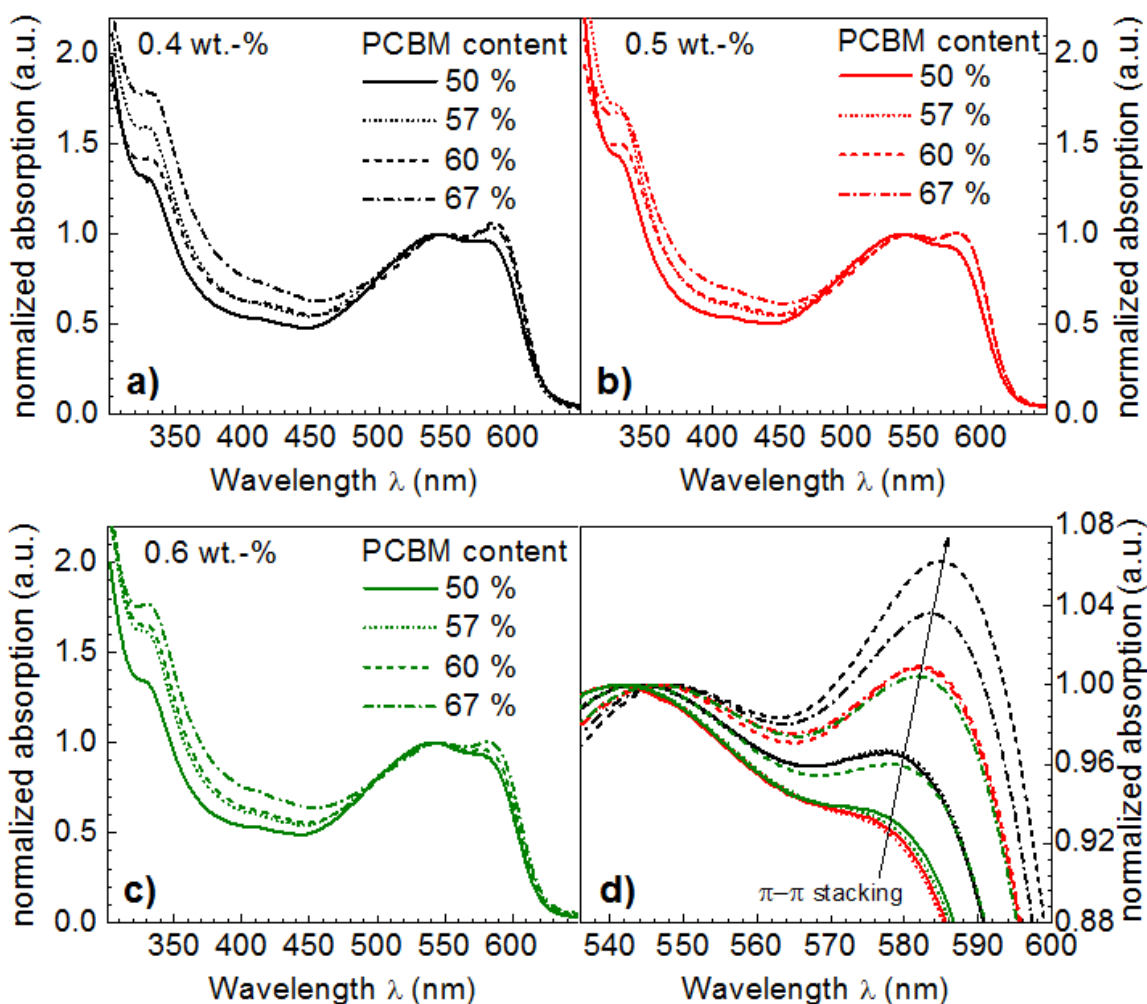
135 Thin films of AnE-PV*stat*:PCBM blends were spin cast onto glass substrates using 1:1 mixtures of  
 136 chloroform:chlorobenzene based solutions under nitrogen atmosphere. Thin film absorption spectra  
 137 were recorded with a Varian Cary 5000 UV/Vis spectrophotometer under 2-beam VW-setup condition  
 138 to determine the sample absorption via transmission and reflection measurements. Thin film  
 139 photoluminescence (PL) spectra were recorded with an Avantes avaspec 2048 fiber spectrometer  
 140 within a range from 500 to 1100 nm and were normalized to the film absorption at the laser excitation  
 141 wavelength of 445 nm. All optical characterizations were executed at room temperature.

142 Solar cell device preparation on glass involved partly etching of the ITO-layer for selectively  
 143 contacting of the back electrode, followed by spin coating of PEDOT:PSS (Clevios PH, Heraeus). The  
 144 PEDOT:PSS layers were annealed at 170°C for 15 minutes to release water moieties and were  
 145 afterwards transferred to a nitrogen ( $N_2$ ) glovebox for further processing of photoactive layers. The  
 146 photoactive layers were spin cast from AnE-PV*stat*:PCBM solutions with blend ratios varying from 1:1  
 147 to 1:2 by weight (polymer:fullerene) and a solution concentration varying from 0.4 to 0.6 wt.-% of the  
 148 polymer part. Spin frequencies were varied from 500 to 1600 rpm to evaluate the optimum layer  
 149 thickness for every blend. The top aluminum electrode was deposited by physical vapor deposition.  
 150 Current-voltage (IV) measurements of solar cell devices exhibiting an active area of 0.5 cm<sup>2</sup> were  
 151 recorded with a Keithley 2400 Source-Measure-Unit using a class A solar simulator. The external  
 152 quantum efficiency spectra were recorded using bias illumination to resemble current densities typical  
 153 under one sun illumination. Neither thin films prepared for the optical investigations nor those prepared  
 154 for solar cell devices were annealed.

155

156 **Results and Discussion**

157 To elucidate the influence of solution concentration and AnE-PVstat:PCBM blend ratio on the  $\pi$ - $\pi$   
 158 stacking of AnE-PVstat, absorption spectra of thin films were recorded. Figure 2 depicts the thin film  
 159 absorption spectra of AnE-PVstat blended with different amount of PCBM at various solution  
 160 concentrations. Spectra were normalized to the 0-1 transition peak height to highlight the relative  
 161 change with respect the 0-0 transition. Figure 2 a), b) and c) show the absorption of the AnE-  
 162 PVstat:PCBM blend films over the full measurement range and d) depicts the zoom-in spectrum,  
 163 spanning over the absorption edge with the 0-0 and 0-1 transition to highlight the degree of  
 164  $\pi$ - $\pi$ -stacking induced order.



165

166 **Figure 2:** Thin film absorption spectra of AnE-PVstat:PCBM films, normalized to the 0-1 transition at  
 167 around 545 nm to highlight the evolution of the 0-0 transition at around 585 nm, as function of the  
 168 AnE-PVstat solution concentration and the PCBM weight fraction. Full spectra are shown in a), b) and  
 169 c); zoom-in spectra, highlighting the occurrence of the AnE-PVstat  $\pi$ - $\pi$ -stacking, are shown in figure  
 170 d).

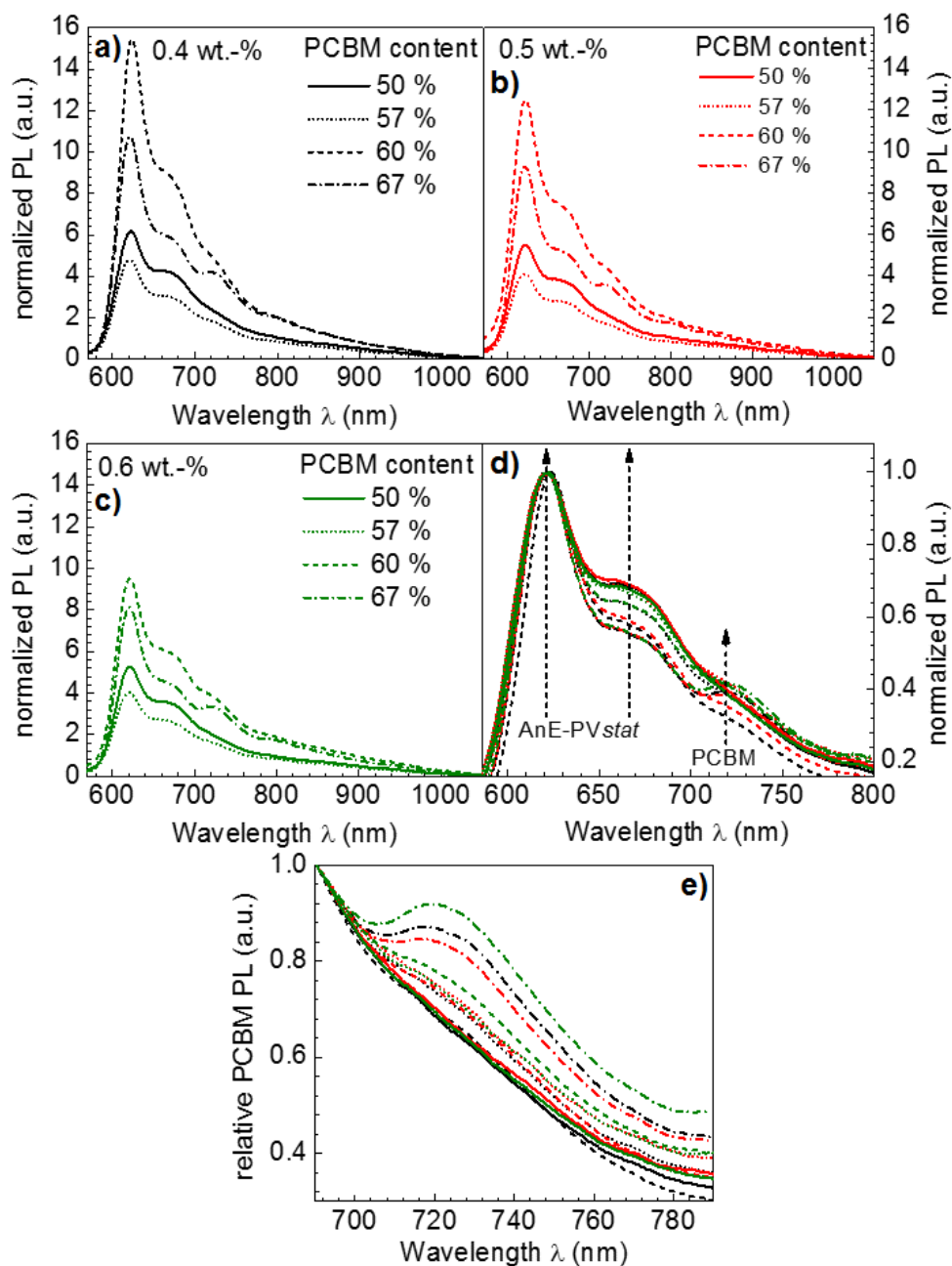
171 The polymer-fullerene blend ratio and the polymer solution concentration imposed a strong impact on  
172 the aggregation behavior of AnE-PV $stat$  which was related to the red-shift of the polymer absorption  
173 accompanied by the typical 0-0 transition at the absorption edge of the polymer. The reduced  
174 probability for the entanglement of polymers within diluted solutions seems to promote the formation  
175 of aggregates. Hence the larger degree of freedom enables aggregation, whereas higher solution  
176 concentrations may hinder the aggregate formation due to entanglement. Generally, the peak height of  
177 the 0-0 transition and thus the order increased with increasing amount of PCBM at a certain solution  
178 concentration as well as with decreasing solution concentration at a certain AnE-PV $stat$ :PCBM blend  
179 ratio. The induced polymer aggregation upon addition of PCBM was already discovered earlier for the  
180 semi-crystalline analogue AnE-PV $ab$ .<sup>59,60</sup> It has been demonstrated that upon blending with PCBM the  
181 polymer aggregation generally increased. However, the maximum polymer aggregation was not found  
182 for largest PCBM concentration at lowest solution concentration, but instead for a AnE-PV $stat$ :PCBM  
183 blending ratio of 2:3 for 0.4 wt.-% solution concentration of AnE-PV $stat$ . This can be understood as  
184 with further increasing the PCBM content the diffusion rate of PCBM into the polymer domains grows  
185 by the progressive concentration gradient. In contrast to PBTTT, the PCBM does not form  
186 interdigitated nano-crystallites with AnE-PV $stat$ .<sup>76,77,78</sup> Thus higher volume fractions and thus  
187 concentrations of incorporated PCBM molecules tended to distort the polymeric order, which resulted  
188 in a slight reduction of the 0-0 transition oscillator strength. In conclusion, both parameters, PCBM  
189 volume fraction and polymer solution concentration, may counterbalance the degree of polymer  
190 aggregation and presumably the pristine domain sizes.

191 For a semi-quantitative analysis, the ratio between 0-0 peak and 0-1 peak heights was taken as a  
192 measure for the degree of polymer aggregation.<sup>62,63,64</sup> To visualize the degree of polymer aggregation –  
193 appointed to the  $\pi$ - $\pi$ -stacking of AnE-PV $stat$  – the normalized peak height ratios are plotted as  
194 function of the processing parameters AnE-PV $stat$  concentration and AnE-PV $stat$ :PCBM blend ratio in  
195 Figure 5 a). It should be noted that even lower solution concentrations might lead to stronger polymer  
196 aggregation, as the observed maximum of the polymer aggregation is located at the edge of the  
197 investigated range. However, too low solution concentrations led to processing difficulties i.e.  
198 unacceptable film homogeneities, so that the required active layer thicknesses for solar cell application  
199 were not obtained any more. Thus, lower solution concentrations than 0.4 wt.-% were irrelevant and  
200 not further be considered.

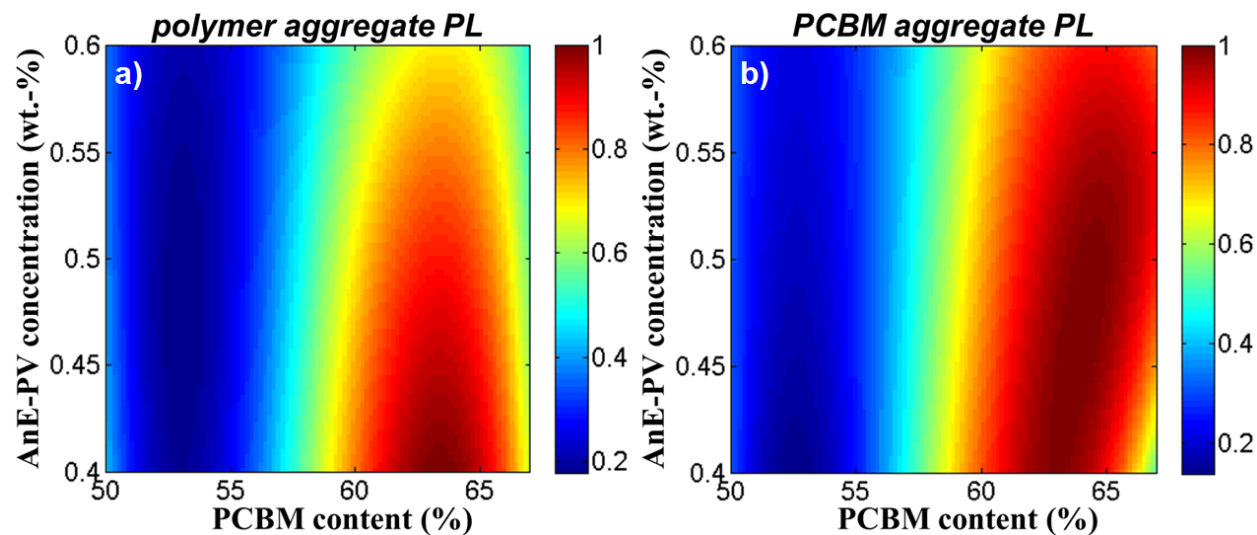
201 To elucidate the influence of solution concentration and AnE-PV $stat$ :PCBM blend ratio on backbone  
202 planarization of AnE-PV $stat$ , photoluminescence spectra of the identical thin films were recorded.  
203 Figure 3 depicts the obtained thin film photoluminescence spectra of AnE-PV $stat$  blended with  
204 different amounts of PCBM at various solution concentrations. Figure 3 a), b) and c) show the fully



205 recorded wavelength range photoluminescence spectra of the AnE-PV*stat*:PCBM blend thin films  
 206 whilst d) shows the photoluminescence normalized to the 0-0 emission of the polymer within a zoom-  
 207 in range, highlighting the typical photoluminescence contributions of AnE-PV*stat* and PCBM.



208  
 209 **Figure 3:** Thin film photoluminescence spectra of AnE-PV*stat*:PCBM films normalized to the thin  
 210 film absorption at the 445 nm laser excitation wavelength as function of the AnE-PV*stat* solution  
 211 concentration and the PCBM weight fraction. Full range PL-spectra are shown in a), b) and c); the to  
 212 the 0-0 transition normalized zoom-in spectra of all samples, enabling a comparison concerning  
 213 aggregation of AnE-PV*stat* and domain size evolution of PCBM, are shown in d). The evolution of the  
 214 relative PCBM PL peak strengths (for highlighting, spectra are normalized to the PL at 690 nm) are  
 215 depicted in e).



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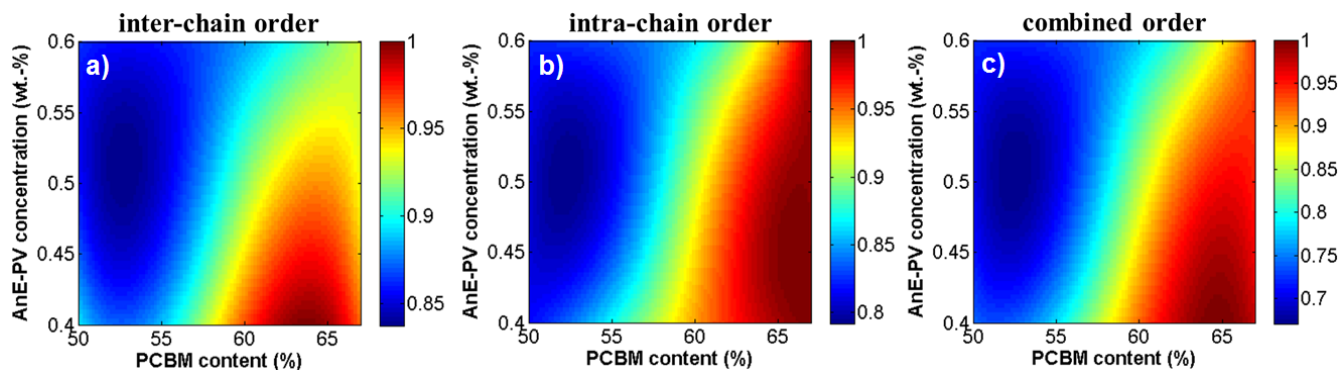
217 **Figure 4:** Interpolated contour plots of the photoluminescence intensity of a) polymer and b) PCBM as  
 218 function of processing parameters.

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220 The overall polymer photoluminescence signal strength (Figure 4 a) is directly correlated to the volume  
 221 of pristine polymer phases in the film, in which the excitons are not able to reach an interface with  
 222 PCBM during their lifetime. As the PL-signal intensity varied much more strongly over the entire  
 223 processing parameter range than the increase of the volume fraction of ordered polymer phases, which  
 224 is estimated by the relative increase in inter-chain order (compare with Figure 4 a), it is indicated that  
 225 the domain size is varied 3-dimensionally. For PCBM a similarly large variation in PL-signal intensity  
 226 was found and larger PCBM domains are conclusively found for largest PCBM concentrations (i.e. 1:2  
 227 AnE-PV $stat$ :PCBM blend ratios).<sup>79</sup>

228 Overall the maximum polymer PL signal – involving largest polymer domain size – is observed for 0.4  
 229 wt.-% AnE-PV $stat$  solution concentration and a 2:3 blending ratio of AnE-PV $stat$ :PCBM. This is in  
 230 accordance to the observations from the absorption measurements – the maximum polymer aggregation  
 231 was found for the same concentration and blend ratio.

232 Figure 5 summarizes the normalized parameters for inter- and intra-chain order. The strongest  
 233 influence on both order parameters is imposed by the PCBM concentration, whereas the polymer  
 234 solution concentration showed a stronger influence on the inter-chain as compared to the intra-chain  
 235 order. For further considerations and as a compromise, the two order parameters were unified into a  
 236 single combined structural order parameter,  $P_{CSO}$ , as defined above (Figure 5 c).



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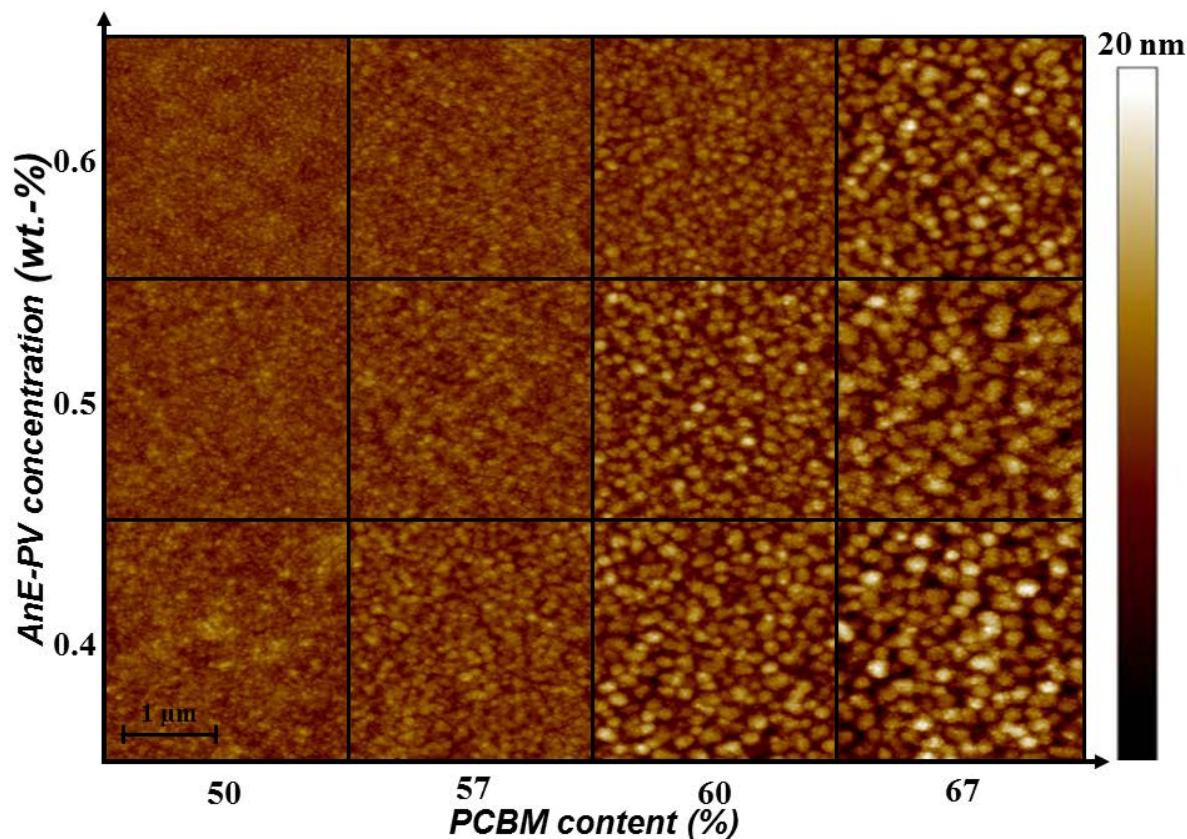
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**Figure 5:** Interpolated contour plots of the a)  $A_{0-0}/A_{0-1}$  ratio of the polymer transition peak heights from absorption as a measure for inter-chain order, (compare with Figure 2), and of the b)  $E_{0-0}/E_{0-1}$  polymer emission peak ratio obtained from photoluminescence as a measure for intra-chain order (compare with Figure 3 d), and c) of the product of both order parameters as a measure for combined structural order ( $P_{cso}$ ) as function of the AnE-PV $stat$  solution concentration and the PCBM weight fraction.

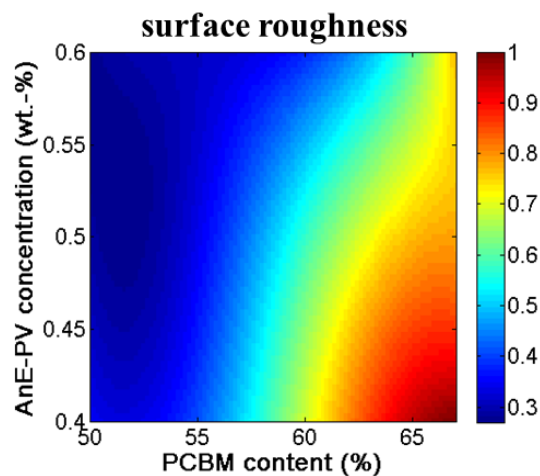
In summary, the optical characterization revealed that AnE-PV $stat$  forms J-aggregates, since for absorption and photoluminescence the 0-0 peak could raise above the 0-1 peak. Coupling the intra-chain order and inter-chain order to a common parameter of combined structural order allowed to quantify the general degree of order in polymer aggregates. Finally, both the polymer order and the blend phase separation were controlled by solution concentration and dominantly by the PCBM content.

To gain additional insight into the blend film morphology atomic force microscopy (AFM) measurements were carried out in tapping mode. All obtained topography images of  $2.5 \mu\text{m} \times 2.5 \mu\text{m}$  scans of the blend films are shown in Figure 6. At lower PCBM contents a fine-scale structure is observed. With increasing PCBM content larger domains evolve, presumably originating from increasing PCBM inclusions.<sup>71</sup> On top of these fullerene aggregates polymer aggregates of AnE-PV $stat$  are clearly visible. In good agreement with the photoluminescence data, the PCBM aggregates increased with increasing PCBM concentration and lowered polymer solution concentration, which is also reflected in the surface roughness contour plot in Figure 7. Thus the pronounced self-aggregation of AnE-PV $stat$  in more diluted solutions seems to support the evolution of larger PCBM domains.



259

260 **Figure 6:** Tapping mode  $2.5 \mu\text{m} \times 2.5 \mu\text{m}$  topography images (20 nm height scale) of AnE-  
 261 PVstat:PCBM blend thin films as function of AnE-PVstat solution concentration and PCBM content.



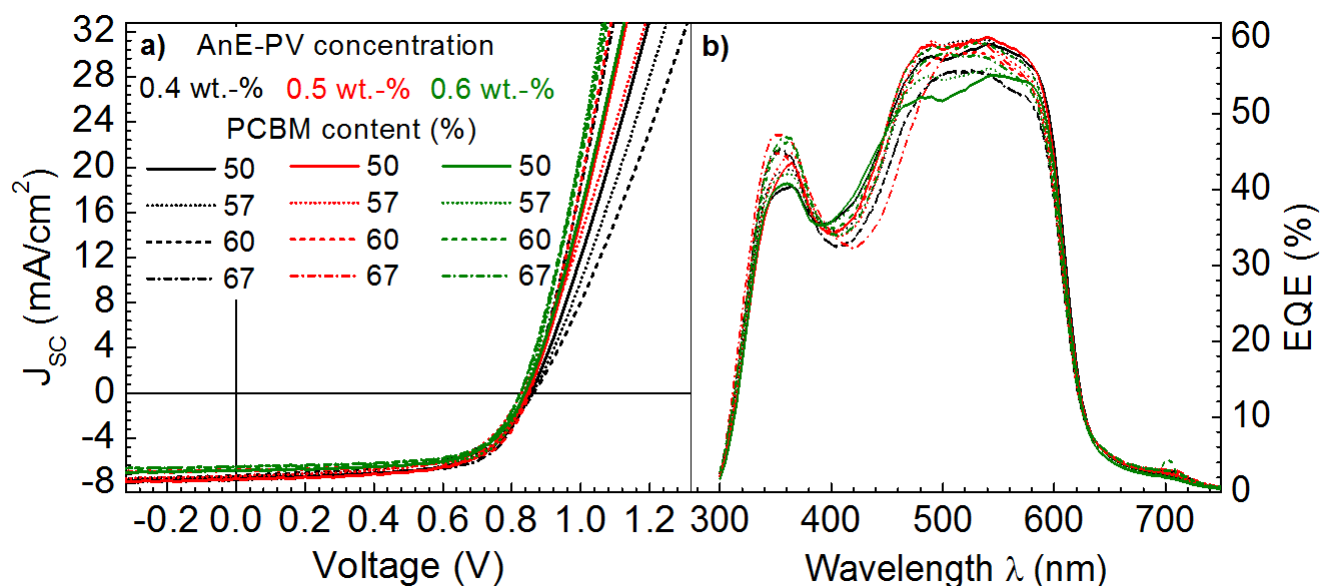
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263 **Figure 7:** Normalized mean-square surface roughness, taken from AFM images, as function of PCBM  
 264 content and AnE-PV solution concentration. For visualization, the data points were entered into a  
 265 matrix that was zero-filled and linearly interpolated between the experimental data points.

266

267 To gain insight into the influence of polymer aggregation and phase separation between AnE-PVstat  
 268 and PCBM on the opto-electronic properties of bulk heterojunctions, solar cells were fabricated,  
 269 spanning over the same range of processing parameters, and characterized. The current-voltage (J-V)

270 characteristics and EQE spectra of all photovoltaic devices are shown in Figure 8. Indeed, the EQE  
 271 spectra confirmed the short-circuit currents obtained from J-V characteristics. Table 1 depicts the  
 272 photovoltaic parameters of these solar cells with optimized film thickness.



273  
 274 **Figure 8:** a) Current-voltage characteristics under one sun illumination intensity of film thickness  
 275 optimized solar cells for different polymer solution concentrations and PCBM weight fractions and b)  
 276 the corresponding EQE spectra recorded under one sun bias light illumination.

277

278 **Table 1:** Photovoltaic parameters of optimized solar cells fabricated from various AnE-PV $_{stat}$ :PCBM  
 279 solutions defined by the parameter space of different polymer solution concentrations and PCBM  
 280 weight fractions are summarized. PCE was corrected by integrated photocurrents from measured EQE  
 281 spectra.

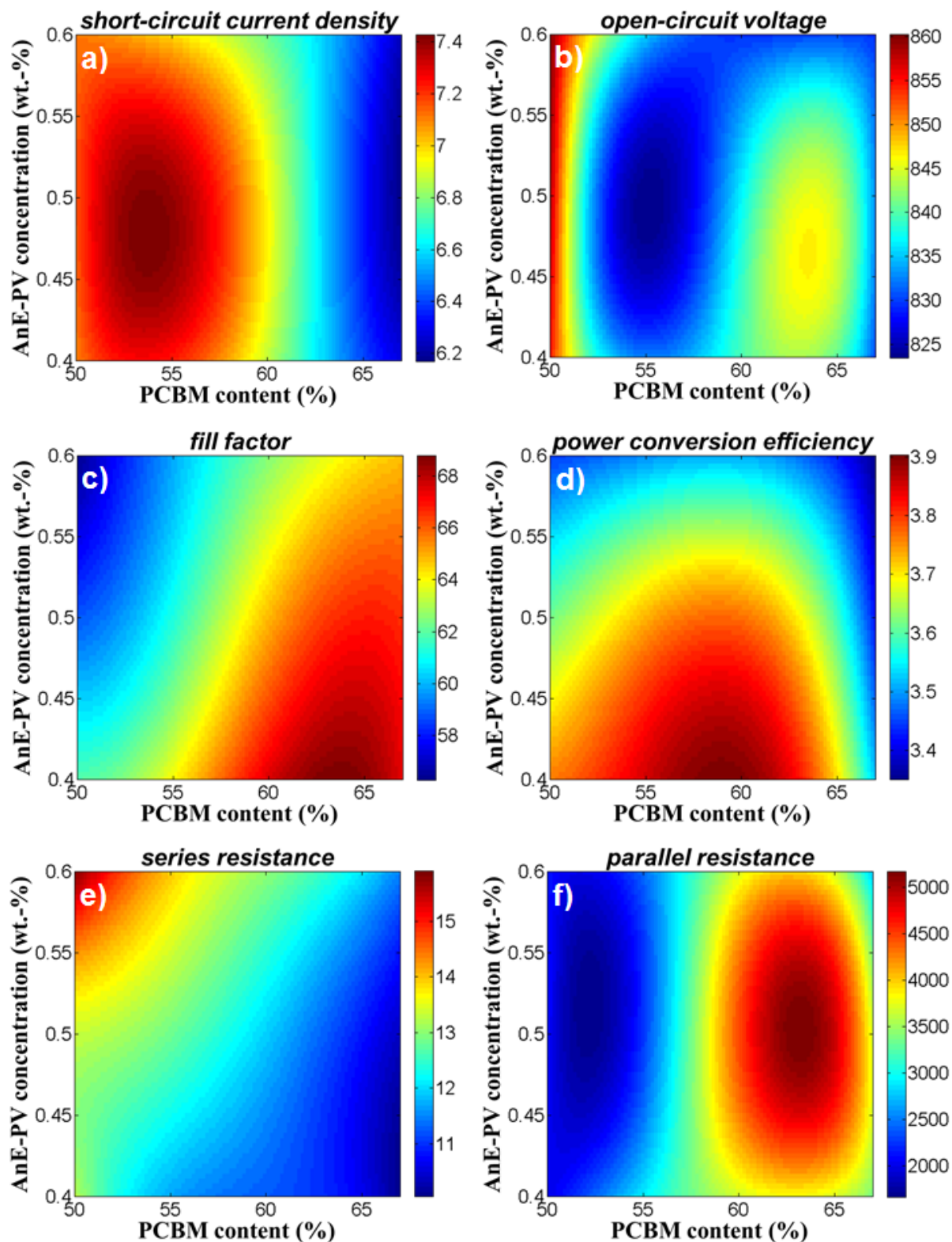
concentration (wt.-%)	PCBM content (%)	$J_{sc}$ ( $\text{mA}/\text{cm}^2$ )	$V_{oc}$ (mV)	FF (%)	PCE (%)	$R_s$ ( $\Omega$ )	$R_p$ ( $k\Omega$ )
0.4	50	7.57	853	63.1	4.07	13.1	2.1
	57	7.52	843	68.2	4.33	10.1	3.0
	60	7.03	848	69.8	4.16	9.5	4.8
	67	7.05	834	68.8	4.04	9.4	2.5
0.5	50	7.62	858	59.7	3.91	14.2	2.3
	57	7.48	842	62.9	3.96	11.5	2.1
	60	7.38	838	66.0	4.08	12.0	3.2
	67	7.18	821	67.0	3.95	10.4	3.7
0.6	50	7.17	861	56.9	3.52	14.9	2.1
	57	7.27	825	60.7	3.64	14.7	2.3
	60	7.61	835	64.4	4.09	11.7	5.8
	67	7.36	820	66.6	4.02	10.3	2.4

282



283 The first general observation is that the variation in all photovoltaic parameters remained relatively  
284 small and power conversion efficiencies varied around 4%, typical for AnE-PV<sub>stat</sub>.<sup>80</sup> The first  
285 conclusion therefore may be, that upon small perturbations of the beforehand optimized donor-acceptor  
286 system only gradual changes within the bulk heterojunction blend morphology occurred. In order to  
287 visualize the variation in PV-parameters with respect to the processing parameters, the data was  
288 interpolated and plotted as function of AnE-PV<sub>stat</sub> solution concentration and AnE-PV<sub>stat</sub>:PCBM  
289 blending ratio (Figure 9). At first glance it is obvious that the dependence of many PV-parameters is  
290 stronger on the PCBM concentration, respectively the blend ratio, than on the polymer solution  
291 concentration. This is especially valid for the short-circuit current density ( $J_{SC}$ ) and the parallel  
292 resistance ( $R_P$ ) and less strong for the open circuit voltage ( $V_{OC}$ ). Overall the dependence of fill factor  
293 ( $FF$ ) on processing parameters is the most reminiscing of the development of the polymer order as  
294 displayed in Figure 3 and Figure 5 above.

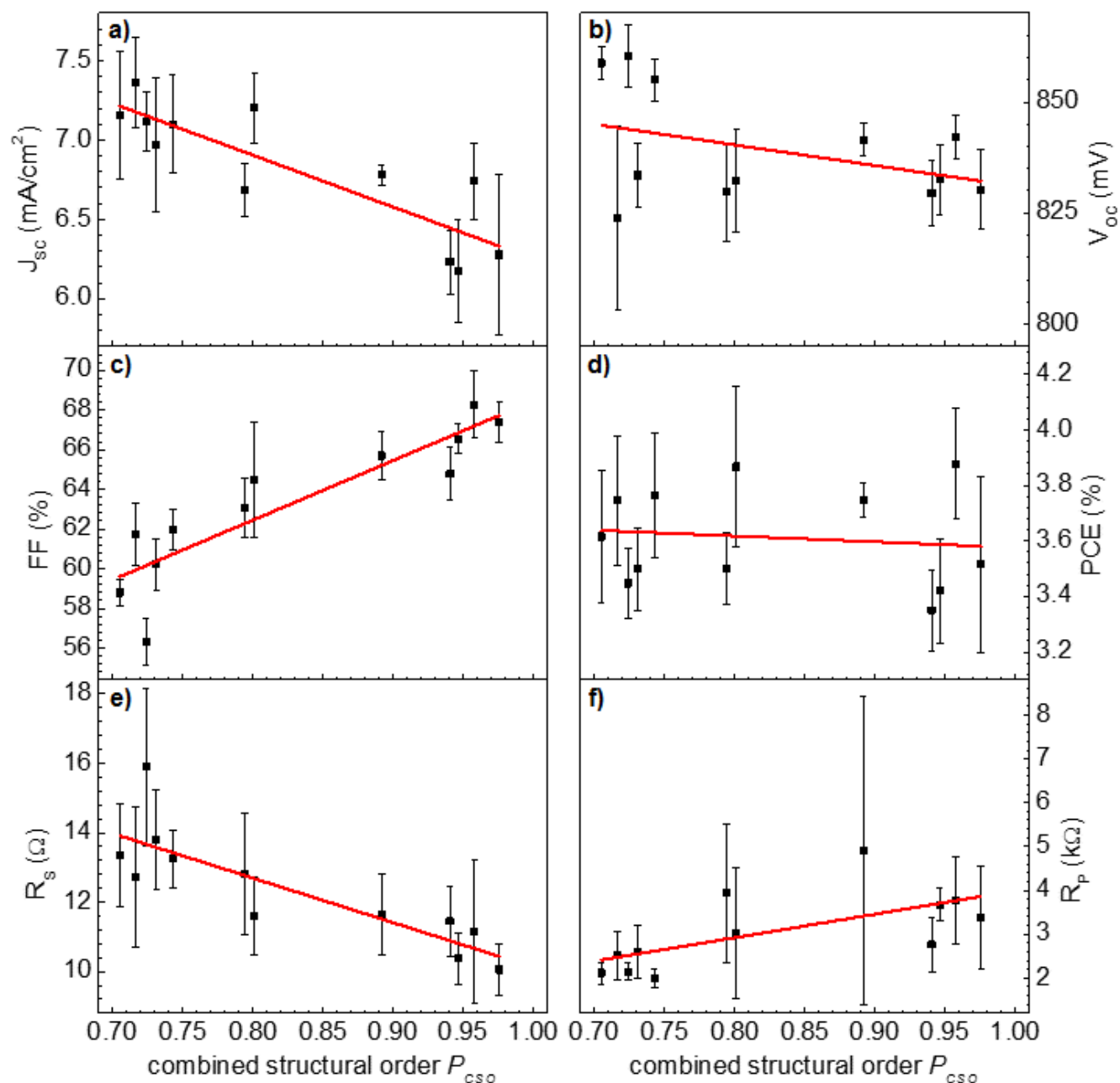
295 For better comparison of the photovoltaic parameters with the underlying polymeric order, the data was  
296 replotted with respect to just one parameter of combined structural order ( $P_{CSO}$ ). The resulting plots are  
297 depicted in Figure 10. The graphs show the mean-values with standard deviations as obtained from all  
298 solar cells (black squares with error bars) and the corresponding linear fits (red lines) with respect to  
299 the  $P_{CSO}$ . Indeed the fill factor is most strongly and positively correlated with order of the polymer.  
300 Vitarisi et al. found same result for small molecule based organic solar cells: the fill factor increased  
301 with degree of phase separation accompanying pristine phase order, indicating reduced recombination  
302 losses.<sup>81</sup> Similarly the bulk resistance, expressed by the series resistance ( $R_S$ ), shows a strong anti-  
303 correlation with the increasing order of the polymer. Both parameters thus show that improved  
304 polymeric order yields improved charge extraction properties, which are generally provided by an  
305 increased mobility-lifetime product. On the other hand, the short circuit photocurrent  $J_{SC}$  exhibits an  
306 anti-correlation with the polymeric order, which is in agreement with polymer domain coarsening  
307 yielding a reduction in the interfacial area between polymer and fullerene derivative. This decrease in  
308 photocurrent is furthermore in good agreement with the observed increase in polymer  
309 photoluminescence, as depicted in Figure 3 and 4. The open-circuit voltage is slightly anti-correlated  
310 with the combined structural order parameter, which is in good agreement with the lowering of the  
311 polymer band-gap due to ordering.<sup>20,32,33</sup> Independent of the device film thickness, the highest values of  
312 the open-circuit voltage were found for less aggregated, more disordered polymer phases. On the other  
313 hand, the less ordered regions of both materials, polymer and PCBM, yield a lower effective HOMO of  
314 the polymer<sup>82,83</sup> and a higher effective LUMO of PCBM<sup>33</sup>, altogether yielding larger open-circuit  
315 voltages.



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317 **Figure 9:** Contour plots of the determined mean-values of all PV-parameters of AnE-PV<sub>stat</sub>:PCBM  
 318 based BHJ solar cells as function of the AnE-PV<sub>stat</sub> solution concentration and the PCBM weight  
 319 fraction: a) *short-circuit current density  $J_{sc}$  ( $\text{mA}/\text{cm}^2$ )*, b) *open-circuit voltage  $V_{oc}$  (mV)*, c) *fill factor*  
 320  *$FF$  (%)*, d) *power conversion efficiency  $PCE$  (%)*, e) *series resistance  $R_s$  ( $\Omega$ )* and f) *parallel resistance*  
 321  *$R_p$  ( $\Omega$ )*.

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**Figure 10:** All photovoltaic parameters (a)  $J_{sc}$ , b)  $V_{oc}$ , c) FF, d) PCE, e)  $R_s$ , and f)  $R_p$ ) replotted as function of the combined structural order parameter  $P_{CSO}$ . The black squares correspond to the mean-value of all solar cells investigated, whereas the standard deviation is depicted as range. The red line is a linear fit to the statistical data with respect to the  $P_{CSO}$ .

### Conclusion

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The aggregation of the semi-crystalline polymer AnE-PV $stat$  was controlled in polymer-fullerene bulk heterojunction blends with PCBM by variation of the processing parameters polymer solution concentration and PCBM content. The optical analysis via absorption and photoluminescence spectroscopy revealed that AnE-PV $stat$  is forming J-aggregates. Whereas the 0-0 to 0-1 peak ratio in



334 absorption indicated the extent of inter-chain order, respectively  $\pi$ - $\pi$  stacking, the 0-0 to 0-1 peak ratio  
335 of the photoluminescence provided information about the intra-chain order, respectively planarity of  
336 the polymer. In our semi-quantitative approach we normalized these peak ratios to the obtained  
337 maximum and unified both into a single combined structural order parameter  $P_{CSO}$ . By analyzing and  
338 relating all photovoltaic parameters to the combined structural order, we find convincing evidence, that  
339 polymer aggregation

- 340 - supports charge extraction – as confirmed by increased fill factor and reduced series resistance,
- 341 - reduces photocurrent generation – presumably due to reduction in interfacial area, and
- 342 - slightly reduces photovoltage – pointing out that aggregation yields energetic relaxation.

343 Overall the variation in polymer aggregation did not have any remarkable impact on the power  
344 conversion efficiency, as the above mentioned effect were balanced out, which indicates the present  
345 material system to be optimized at its maximum performance within the parameter range studied. In  
346 conclusion, by the model material system applied in this study, a number of hypotheses concerning the  
347 effect of subtle morphological changes in terms of phase separation and domain ordering, could be  
348 verified. Future studies will be focused on precisely quantifying the extent of phase separation, the  
349 volume fraction of ordered polymer phases and the exciton and charge carrier dynamics.

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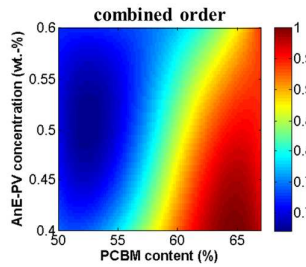
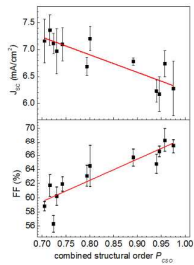
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# Polymer Aggregation Control in Polymer:Fullerene Bulk Heterojunctions Adapted from Solution

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In a semi-quantitative approach, we unified inter-chain and intra-chain polymer order into a single parameter of combined structural order  $P_{CSO}$ . Relating all photovoltaic parameters to the  $P_{CSO}$ , we find convincing evidence, that polymer aggregation supports charge extraction, reduces photocurrent generation and slightly reduces photovoltage.