



## Bioinspired Silica as Drug Delivery Systems and their Biocompatibility

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## 1 1. INTRODUCTION

2 The nanotechnology market is expanding rapidly,<sup>1</sup> however, understanding of the toxicity of  
3 nanostructured materials has started to emerge only recently due to their biomedical use such as in drug  
4 delivery systems (DDS). Nanomaterial based biomedical devices, such as DDS, are of growing  
5 importance in effective clinical treatment<sup>2</sup> and cover a wide range of chemistries.<sup>3</sup> Despite the extensive  
6 efforts on nanomaterial based DDS over decades, a relatively small number have been approved and  
7 are under clinical trials stage for clinical use.<sup>4</sup> Silica based nanomaterials as DDS have attracted  
8 considerable attention due to their ability to control drug loading, porosity and surface chemistry  
9 (through functionalisation).<sup>5</sup> Silica has been approved by the US Food and Drug Administration as  
10 “Generally Recognised As Safe” and by the EU for its use in cosmetics and food additives.<sup>4, 6</sup>  
11 Furthermore, syntheses of a range of distinct types of silica are well-established.<sup>4, 6-8</sup> Finally, silica has  
12 excellent biodegradation properties<sup>9</sup> and dissolved silica has been suggested as beneficial to bones.<sup>10</sup>

13  
14 Most of the studies encountered use either amorphous xerogels, fumed silica nanoparticles or  
15 mesoporous silica such as MCM-41 or SBA-15. In particular mesoporous silica nanoparticles (MSN)  
16 have a relatively large pore volume, unique mesoporous structure and provide a high surface area for  
17 drug molecule adsorption, as a result they have been of wide interest in DDS.<sup>4, 11</sup> For example, MSN  
18 loaded post-synthesis with the anticancer drug doxorubicin (DOX) were administered to mice and  
19 caused a significant reduction in mean tumour weight, beyond the effect observed with free DOX  
20 treatment.<sup>12, 13</sup> However, since the drug was loaded on silica by physisorption, it limits any control of  
21 sustained release parameters. Alternatively, post-synthesis functionalization can be used to modulate the  
22 drug release but this severely impacts upon other aspects such as the pore volume of the silica used<sup>14</sup>  
23 and increased complexity in the synthesis of such DDS. Typical strategies to offer controlled release,  
24 and, in some cases, stimuli responsive release, involve capping of MSN pores. Song *et al.* used  
25 mesoporous silica (SBA-15) which can be functionalized by amine surface groups prior to loading of  
26 bovine serum albumin (BSA) into the silica followed by encapsulation in polyacrylic acid.<sup>15</sup> Another

1 study used MCM-41 to load vancomycin and adenosine triphosphate (ATP) and capped the pores with  
2 toxic Cadmium Sulphide (CdS) to trap drugs thus avoiding unwanted drug release.<sup>16</sup> Although the  
3 strategies discussed above can produce effective DDS, they typically involve two to four steps in the  
4 fabrication of DDS: silica synthesis, surface functionalisation of silica, drug loading and capping of the  
5 pores. Recently, a one-step synthesis of silica gels for in situ drug encapsulation was investigated where  
6 propranolol and persantin were dissolved with the silica precursor and the fabrication required 24 hours  
7 and acidic pH (0.5 - 5.5).<sup>17</sup>

8  
9 The current use of silica in this way has some limitations, however. Silica, in particular, MSN tend to be  
10 synthesised under harsh conditions (elevated temperature, high pressure, strongly alkaline or acidic  
11 solutions), with multistep preparations taking several days. Furthermore, they require either a high  
12 temperature (~600°C) calcination step or template extraction using concentrated acid prior to loading  
13 drug molecule resulting in additional cost, time and complexity in fabricating DDS.<sup>18</sup> With limited  
14 flexibility, the synthesis of the mesoporous silica remains difficult to manipulate, and control over the  
15 drug release rate is difficult to achieve. The release of any hydrophilic drug appears very rapid but  
16 controlling this release seems more of an issue. Burst release from the silica will confer no advantage  
17 over free drug. For example, one study showed that the control of the pore size to slow drug release<sup>19</sup>  
18 also reduced the drug loading by leaving only a tortuous path into the matrix for the drug molecules.<sup>20</sup>  
19 Clearly, the fabrication of silica DDS is an area warranting further investigation where milder and  
20 simpler routes can be established for DDS preparation, while also offering control over physical  
21 properties of DDS which in turn control the drug loading and release. Furthermore, confusion regarding  
22 their safe use is widespread, especially because the interactions at the nano-bio interface are unknown.<sup>21</sup>  
23 For example, MSN have been shown to be toxic, especially above 25 µg/mL concentrations for  
24 submicron sized particles.<sup>4, 22</sup> Although the risk of significant reduction in cell viability in the presence  
25 of MSN can be minimised by functionalisation, this is known to lead to complex cell responses.<sup>23</sup> It is  
26 therefore of utmost importance to carefully and thoroughly investigate the biocompatibility and any

1 toxic effects of nanomaterials that are intended for use in biomedical applications. Taking all these  
2 factors into consideration, the potential negative impact can outweigh the benefits MSN can offer.

3

4 In recent years, there has been significant growth in the research on biological and biologically inspired  
5 silica formation.<sup>24-27</sup> For example, researchers have probed mechanisms of biosilica formation and it  
6 has become apparent that certain biomolecules (typically rich in amines) play a crucial role in biosilica  
7 deposition, where the chemistry and architecture of these biomolecules are important. Learning from  
8 nature, *in vitro* experiments on silica formation have developed bioinspired green routes to  
9 nanostructured and porous silica by utilising a wide variety of “additives” (analogues of  
10 biomolecules).<sup>24, 28</sup> Green nanosilica (GN) synthesis is far safer, routinely performed on bench-top at  
11 room temperature in water and takes about 5 minutes. A large number of reports have recently discussed  
12 the advantages of employing this bioinspired route in the synthesis of silica (extensively reviewed in<sup>24</sup>).  
13 Furthermore, control over properties of GN, including particle size and porosity, is possible.<sup>29</sup> This  
14 control in green synthesis can be readily achieved by ‘correct’ choice of an additive and synthesis  
15 conditions. It is clear that the utilisation of this approach for the fabrication of silica nanoparticles as  
16 DDS has the potential to offer a one step, “green” synthesis in contrast to the time, energy and material  
17 intensive methods which are detailed above for traditional materials.<sup>24, 28-30</sup>

18

19 The bioinspired silica synthesis has been employed to support or encapsulate quantum dots,<sup>31</sup>  
20 catalysts,<sup>32</sup> enzymes<sup>33-35</sup> and fluorescent molecules,<sup>36, 37</sup> however, there appears to be only one literature  
21 report on drug delivery.<sup>38</sup> This report immobilised a dual-function protein which was able to both  
22 precipitate silica, and act as an anti-cancer agent. The release of protein from the silica was thought to  
23 be achieved by the hydrolysis of the silica giving a sustained release. The one step fabrication relied  
24 upon the dual functionality of the protein used, however, this approach may not be applicable to a broad  
25 set of drug molecules and thus further investigations are warranted.

26

1 In this work, we aim to further explore the possibility of using GN for DDS by systematically and  
2 extensively focusing on the synthesis of the DDS with a view to understanding their fabrication, drug  
3 loading and drug release. Since the method presented here achieves drug loading while silica formation  
4 occurs, controlling silica chemistry in the presence of the drug is of great importance in order to keep  
5 both the drug and silica minimally affected, while simultaneously allowing the drug to be released when  
6 desired. In this report we aim to (1) establish the use of GN as DDS and understand the drug release  
7 mechanisms using a model drug-like compound (sections 3.1, 3.2); (2) use design of experiments to  
8 identify key synthetic conditions and quantify their effects on DDS fabrication and drug release (section  
9 3.3). Further, the aim is to (3) apply GN DDS for loading and releasing several therapeutically useful  
10 drugs (section 3.4). We note that the toxicity of bioinspired silica has not been previously reported  
11 despite their proven potential in drug delivery, biocatalysis, carbon capture and environmental  
12 decontamination.<sup>39-42</sup> Therefore, we also aim to (4) investigate cytotoxicity of bioinspired silica (section  
13 3.5). Drug delivery and biocompatibility in biological systems form part of future investigations.

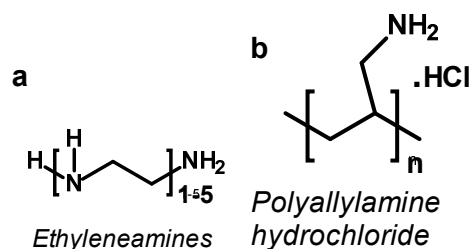
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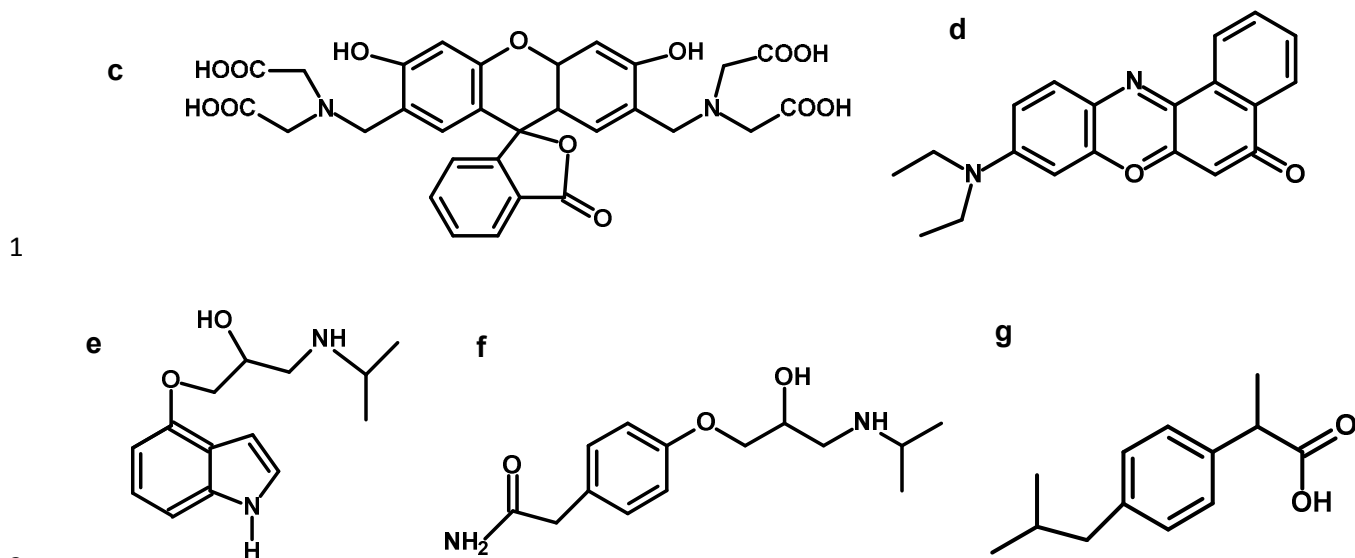
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1 **2. EXPERIMENTAL:**

2 **2.1 Chemical Reagents**

3 The following chemical reagents were used and are listed along with their indicated purity, where  
 4 available, and supplier. Sodium metasilicate ( $\text{Na}_2\text{SiO}_3 \cdot 5\text{H}_2\text{O}$ ,  $\geq 95\%$ , Sigma Aldrich),  
 5 tetraethylorthosilicate (TEOS, Sigma-Aldrich), 3-aminopropyl-trimethoxysilane (APTMOs, Sigma-  
 6 Aldrich), 2-(4-chlorosulphonylphenyl)ethyltrimethoxysilane (CSTMOS, Fluorochem),  
 7 cetyltrimethylammonium bromide (CTAB, Sigma-Aldrich) calcein (Sigma Aldrich), Nile red (technical  
 8 grade, Sigma Aldrich), diethylenetetramine DETA (99%, Sigma Aldrich), triethylenepentamine TEPA  
 9 (technical grade, Sigma Aldrich), pentaethylenehexamine PEHA (technical grade, Sigma Aldrich),  
 10 polyallylamine hydrochloride PAH (15kDa,  $\geq 95\%$ , Sigma Aldrich), 1M HCl in  $\text{H}_2\text{O}$  (0.950-1.050M,  
 11 Sigma Aldrich), Phosphate buffered saline (PBS) tablets (137 mM NaCl, 2.7 mM KCl and 10 mM  
 12 phosphate buffer solution, pH 7.4 at 25 °C, Sigma Aldrich). Pindolol, atenolol and ibuprofen were  
 13 obtained from were purchased from Sigma Aldrich. Pindolol is a nonselective beta blocker with partial  
 14 beta-adrenergic receptor agonist activity. Log P = 1.75, pKa= 9.25,  $\lambda = 210\text{nm}$ , water solubility = 7.8  
 15 mg/mL. Atenolol is a selective beta1 receptor antagonist, which is used for the treatment in  
 16 cardiovascular disease. Log P = 0.16, pKa= 9.6,  $\lambda = 230\text{nm}$ , water solubility = 13.5 mg/mL. Ibuprofen  
 17 (sodium salt) is an NSAID (non-steroidal anti-inflammatory drug) that is commonly used for the relief  
 18 of symptoms of arthritis, fever, primary dysmenorrhea, and as an analgesic .Log P = 3.9, pKa= 4.9,  $\lambda$   
 19 =214nm, water solubility = 100 mg/mL. The chemical structures of the additives used in silica  
 20 formation and the drug/dug-like molecules are shown in Scheme 1.





**Scheme 1.** Chemical structures of the additives used in silica formation (a,b). For ethyleneamines, n=2 is DETA, n=4 is TEPA and n=5 is PEHA. Chemical structures of (c) calcein, (d) nile red, (e) pindolol, (f) atenolol and (g) ibuprofen.

## 2.2 Silica synthesis

### 2.2.1 Stöber silica nanoparticles

42.72 ml Ammonia (1 M) was added to 158.2 ml of 95% ethanol in a 250 ml glass Erlenmeyer flask. In another flask 44.61 ml tetraethyl silicate (TEOS) was diluted with 153.2 ml of 95% ethanol. The flasks were heated at 50 °C for 80 min in a water bath. The two solutions were then mixed and allowed to mature for 2 days under constant slow stirring. The silica particles were then separated from the resulting solution by centrifugation. The particles were washed in ethanol before being resuspended by sonication in 1 M HCl overnight. The following day the particles were washed again via repeated centrifugation cycles before being dispersed in a little ethanol and allowed to dry for several hours in an oven (80 °C).

### 2.2.2 Functionalised Mesoporous Silica Nanoparticles (MSN)

MSN are typically functionalised by using grafting or co-condensation.<sup>43</sup> In grafting, MSN are modified post-synthesis, while in co-condensation, MSN are functionalised during synthesis. Due to the possibilities of a shorter preparation time and homogeneous distribution of functional groups achieved by the co-condensation method, it was preferred here. Using this method, acid and base functionalised



1 organosilanes were condensed together with a silica precursor to produce functionalised mesoporous  
2 silica nanoparticles (MSNs) as described in the literature.<sup>44, 45</sup>

3

4 A co-condensation reaction was used in the synthesis of basic aminopropyl and sulfonic acid  
5 functionalised MSN producing APMSN and SAMSN respectively. TEOS was used as a silica  
6 precursor. Silane coupling agents CSTMOS and APTMOS were used to produce SAMSN and APMSN  
7 respectively. The surfactant used was CTAB. The first stage of this method is to heat 480ml of DI water  
8 to 80°C followed by the addition of CTAB and 2M NaOH (7ml of water, 14mmol of NaOH), and the  
9 mixture was stirred constantly at 80°C. After 30 minutes, 10ml TEOS (51.4mmol) was added to the  
10 solution followed by immediate addition of either 1mmol of CSTMOS (0.237ml) for SAMSN synthesis,  
11 or 1mmol of APTMOS (0.18ml) for APMSN synthesis. The solution remained at 80°C and was  
12 vigorously stirred for 2 hours. To separate the synthesised material, the reaction mixture was poured  
13 into the filter funnel whilst still hot and was washed with copious amounts of methanol. The solid  
14 obtained on the filter paper was dried in a vacuum oven for approximately 8 hours at 80°C.

15

16 After vacuum drying, the surfactant CTAB was required to be removed by methanol extraction. To pre-  
17 heated 100ml methanol (60°C) 1g of solid MSN were added with vigorous stirring. 1ml concentrated  
18 hydrochloric acid was then added and the solution was vigorously stirred at 60°C for 6 hours. The solid  
19 with surfactant removed was obtained through hot filtration and again was washed with copious  
20 amounts of methanol. The solid collected was then vacuum dried for approximately 8 hours at 90°C.  
21 The complete removal of CTAB was confirmed by FTIR analysis.

22

### 23 2.2.3 Bioinspired green nanosilica (GN)

24 A desired amine additive (e.g. pentaethylenehexamine PEHA) was dissolved in distilled H<sub>2</sub>O and mixed  
25 with sodium metasilicate and a pre-determined amount of 1M HCl was added quickly to reach pH 7.  
26 The concentrations of sodium metasilicate and amine in the final volume were 30 mM. The silica

1 particles began to form in seconds. The mixture was allowed to react for 5 minutes (unless otherwise  
2 stated) before being centrifuged at 8,000 rpm and washed three times. The wet samples were dried at  
3 85°C for 5 hours. The samples were labelled as “amine”-GN (e.g. PEHA-GN). For samples containing  
4 drug, silicate was dissolved in water and to this a solution of drug molecule was added. A solution of the  
5 rate enhancing amine additive was then added and the pH was adjusted to 7. Samples were washed and  
6 collected as above. The supernatants after each centrifugation were used to quantify the amount of drug  
7 loaded on to silica by using UV-visible spectroscopy and an associated calibration curve. Release  
8 studies were carried out at 37°C in a 6 ml sink volume of PBS. Aliquots of 1ml were taken at the  
9 required time and replaced with 1ml of PBS at 37°C. Each sample of interest was analysed in triplicate  
10 to obtain a true release profile with associated errors.

11

#### 12 2.2.4 Factorial design of experiments

13 In order to study the effects of synthetic parameters, a two level full factorial experiment was set up.<sup>46</sup> It  
14 was possible to determine the relative magnitude of each effect (e.g. change in silica precursor  
15 concentration as detailed in section 3.3) compared to the others using the equation below.

$$16 \quad effect = \frac{[(\Sigma + level \ experiments) - (\Sigma - level \ experiments)]}{2^{(number \ of \ factors - 1)}} \quad (1)$$

17 It was intended to use a two tailed Student's t-test to test the hypothesis that both the populations of the  
18 plus and minus level had the same mean. Unfortunately due to the splitting of the population at each  
19 level by the other factors in the experiments, a large variance was obtained causing the t-test to predict  
20 that effects were less significant than they were in practice. Thus, in its place we used the result from  
21 equation (1) along with a 95% confidence interval (CI) to estimate whether the factor was significant. A  
22 factor which caused an effect greater than either CI was likely to have significant influence on the  
23 process. In contrast, one which gave a result less than the CI was not likely to have significant influence,  
24 with any observed change due to natural variation in one, or both, factors.

25

#### 26 **2.3 Materials Characterisation**

1 Dried samples were mounted on SEM sample holders with double sided sticky carbon tape. Upon gold  
2 coating by sputtering, samples were analysed on a HITACHI SU-6600 Field Emission Scanning  
3 Electron Microscope (FE-SEM) at 10kV. The measurement of the  $\zeta$ -potential of silica particles was  
4 performed using a Zetasizer Nano ZS from Malvern Instruments using a disposable capillary cell.  
5 Typically, 1 mL aliquots of each sample were injected into the capillary cell and 5–10 measurements  
6 per sample were performed at 20 °C. Prior to analysis, silica particles were suspended in water by  
7 sonication.

8  
9 Thermo-gravimetric analysis (TGA) was used in order to determine the organic content of the samples.  
10 Samples were subjected to temperatures from 25-900°C under a nitrogen atmosphere with the  
11 temperature increasing at a rate of 10°C/minute. Porosity measurements were performed by nitrogen  
12 adsorption using a Micromeritics ASAP 2420 porosimeter on samples degassed for 6 h at 200°C. The  
13 data were processed using the BET model to yield surface areas while the BJH model was adopted for  
14 obtaining pore sizes and pore volumes. Infrared spectra were obtained in the 4000–400  $\text{cm}^{-1}$  region with  
15 a resolution of 4  $\text{cm}^{-1}$ , by accumulating 32 scans using an attenuated total reflectance (Diamond with  
16 ZnSe lens reflection ATR plate) Fourier transform infrared (ABB, MB3000 FTIR) spectrometer. The  
17 presence of order pores was analysed by X-ray powder diffraction using a PANalytical X'Pert Powder  
18 diffractometer in theta-theta geometry. These data were collected under ambient conditions using Cu  
19  $K\alpha$  radiation over the range  $1^{\circ} \leq 2\theta \leq 100^{\circ}$  using a single step size of  $\sim 0.0170 2\theta$ .

20  
21 The surface functionalisation of MSN samples was also studied using titrations. 0.5g of APMSN or  
22 SAMSN were suspended in 10ml of deionised water. To this solution 20ml of 0.1M of HCl or 0.1M  
23 NaOH were added in 1ml aliquots with the pH of the solution continuously recorded. Titration using  
24 commercially available precipitated silica was used as a control. Prior to their use, this sample  
25 underwent identical methanol extraction procedure. The treated control sample was then titrated as  
26 above.

1

2 **2.4 Cytotoxicity Studies**3 2.4.1 Maintaining cell lines

4 U937 human monocytes were cultured in RPMI 1640 (with L-glutamine) growth medium (catalogue  
5 number 12-702F, Lonza) supplemented with 10% (v/v) foetal bovine serum, 50 units/ml penicillin, 50  
6  $\mu\text{g/ml}$  streptomycin and 1% (v/v) non-essential amino acids. The U937 cells were maintained in  
7 suspension in 75  $\text{cm}^2$  flasks in an incubator (37 °C and 5%  $\text{CO}_2$  in air) and were routinely passaged  
8 every 3-4 days using a split ratio of between 1:15 and 1:20. 3T3 mouse fibroblasts were cultured in  
9 DMEM (catalogue number 12-604F, Lonza) also supplemented with 10%(v/v) foetal bovine serum, 50  
10 units/ml penicillin, 50  $\mu\text{g/ml}$  streptomycin and 1% (v/v) non-essential amino acids. The 3T3 cells were  
11 maintained as monolayers in 25 or 75  $\text{cm}^2$  flasks in an incubator (37 °C and 5%  $\text{CO}_2$  in air) and were  
12 routinely passaged every 3-4 days using a split ratio of between 1:10 and 1:15.

13

14 2.4.2 Cell viability assays

15 U937 cells were suspended in RPMI in round-bottomed 96-well plates at  $5 \times 10^5$  cells/ml. Alternatively,  
16 the U937 cells were activated to become macrophage-like cells by incubation with 20 nM phorbol 12-  
17 myristate 13-acetate (PMA) at 37 °C for 24 h followed by a further incubation of 72 h in fresh RPMI.  
18 The activated U937s were then collected and seeded in RPMI onto 96-well plates at  $1.25 \times 10^5$  cells/ $\text{cm}^2$   
19 and allowed to attach overnight. 3T3 fibroblasts were seeded in DMEM onto 96-well plates at  $3 \times 10^4$   
20 cells/ $\text{cm}^2$  and allowed to attach overnight. All nanoparticles were sterilised in a vacuum oven at 180 °C  
21 and 60 kPa for at least 5 h.

22

23 Immediately prior to their use in assays the prepared nanoparticles were suspended in the appropriate  
24 growth medium by sonication to ensure their colloidal distribution. The cells in the 96-well plates were  
25 then exposed to the nanoparticles in the appropriate growth medium solutions and incubated at 37 °C

1 and 5% CO<sub>2</sub> for 24 or 48 h. At each culture end-point the medium was collected and immediately frozen  
2 at -80 °C for later analysis (ELISA). MTT or NR assays were then carried out on the cells.

3

#### 4 2.4.3 MTT assay

5 10 mM solution of MTT in PBS pH 6.75 was made up and filtered through a 0.2 µm filter. Once the  
6 medium had been removed from the 96-well plates 50 µl MTT solution was added to each well. The  
7 plates were then incubated for a further 4 hours at 37 °C before removing the MTT solution. 200 µl  
8 dimethylsulfoxide (DMSO) was then added to each well to dissolve the formazan product and was  
9 mixed to an even colour. Absorbance was read at 540 nm and results were compared with ANOVA  
10 followed by Dunnett's test.

11

#### 12 2.4.4 Neutral Red (NR) assay

13 5 mg NR was dissolved in 100 ml PBS and incubated overnight at 37 °C before passing it through a 0.2  
14 µm filter. Destain was made from ethanol, glacial acetic acid, and dH<sub>2</sub>O at a ratio of 50:1:49. Once the  
15 medium had been removed from the 96-well plates 100 µl NR solution was added to each well. The  
16 plates were then incubated for a further 3 hours at 37 °C. NR solution was then removed and the cells  
17 were washed once with PBS (200 µl/well). At this stage 100 µl destain was added to each well and the  
18 plate shaken until a homogenous colour was achieved in all wells. Again the absorbance was read at 540  
19 nm. Results were compared with ANOVA followed by Dunnett's test.

20

#### 21 2.4.5 Enzyme-linked immunosorbent assay (ELISA)

22 Ready-Set-Go!® ELISA kits for human cytokines interleukin-2 , interleukin-6, tumour necrosis factor-α  
23 and interferon-γ were purchased from eBioscience® (catalogue numbers 88-7025, 88-7066, 88-7346  
24 and 88-7316 respectively), and ELISAs were performed on the supernatant from the cell viability  
25 studies precisely according to the manufacturer's instructions.

26

#### 1 2.4.6 Epifluorescence microscopy

2 To allow the U937 monocytes to attach to the tissue culture plastic, 35mm<sup>2</sup> Petri dishes were coated  
3 with 1ml 0.01% (v/v) poly-L-lysine. After 10 minutes the poly-L-lysine was aspirated and Petri dishes  
4 were allowed to dry at room temperature overnight. U937s were then suspended in RPMI (containing  
5 nanoparticles where appropriate) at  $5 \times 10^5$  cells/ml and incubated at 37 °C and 5% CO<sub>2</sub> for 24 h.

6 3T3 cells were seeded onto 35mm<sup>2</sup> Petri dishes at  $2 \times 10^4$  cells/cm<sup>2</sup>, and were allowed to adhere  
7 overnight at 37 °C. Their respective growth media were then removed and replaced with fresh media  
8 containing nanoparticles, and the cells were incubated for a further 24 h at 37 °C and 5% CO<sub>2</sub>.

9 Acridine orange (AO) and propidium iodide (PI) were prepared at 20 µg/ml and 100 µg/ml respectively  
10 in PBS pH 7.4. They were then mixed together to form a 1:1 AO+PI solution. The medium was first  
11 removed from the Petri dishes and the samples washed three times with PBS pH 7.4. 1 ml of the AO+PI  
12 solution was then added to samples and they were incubated in the dark for 1 min at room temperature.  
13 Samples were given a further three washes with PBS before viewing in PBS with a  $\times 20$  (0.5 NA) water  
14 lens using a Zeiss AxioImager Z1 wide field fluorescence microscope and digital imaging system.  
15 Fluorescence was excited using a mercury lamp and emission was recorded using a fluorescein  
16 isothiocyanate (FITC)/Rhodamine filter block (485/515-530nm; 546/580-563nm).

17

#### 18 2.4.7 Light microscopy

19 U937 cells were suspended in RPMI in 24-well plates at  $4 \times 10^5$  cells/ml, with nanoparticles dissolved in  
20 the RPMI where appropriate. The cells were incubated at 37 °C and in 5% CO<sub>2</sub> for 5 days, and images  
21 of the cells were taken at the same time each day using a Nikon microscope and digital imaging  
22 acquisition system.

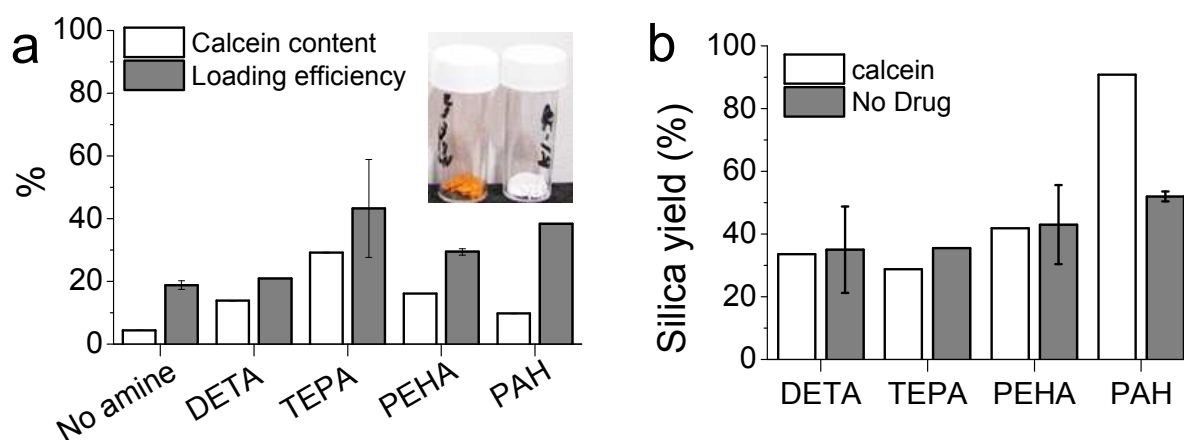
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### 1 3. RESULTS AND DISCUSSION:

#### 2 3.1 GN as drug delivery system

3 In order to engineer GN for drug delivery applications, it is important to understand the effects of DDS  
 4 fabrication parameters on drug loading and release. Initially, calcein was chosen as a model hydrophilic  
 5 drug molecule. The bioinspired silica synthesis is known to be facilitated by ‘additives’<sup>24</sup> and we  
 6 selected diethylenetriamine (DETA), tetraethylenepentamine (TEPA), pentaethylenehexamine (PEHA)  
 7 and poly(allylamine hydrochloride) (PAH); these additives had been shown to catalyse the formation of  
 8 silica from a solution of silicates, while offering variation in the properties of silica produced.<sup>24, 28</sup>



11 **Figure 1.** (a) Loading and loading efficiency of drug for each bioinspired additive (see text for details), inset shows  
 12 images of final products with (left) and without calcein. (b) The effect of the presence of drug molecules on silica  
 13 yield.

14  
 15 **Figure 1a** inset shows images of GN prepared with or without the calcein and the presence of drug in  
 16 the orange silica is clear when compared to white silica powder that is typically produced without any  
 17 drug. Figure 1a shows percentage weight of drug in drug-silica samples (i.e. calcein content) and the  
 18 fraction of initially added drug which loaded (i.e. loading efficiency). It can be seen that both drug  
 19 content and drug loading efficiency is heavily influenced by the presence of additives, although the  
 20 additive with the smallest molecular weight (DETA) showed the least influence. Additionally, a clear  
 21 correlation between the number of nitrogen atoms per additive molecule and drug content was not  
 22 observed. The highest drug content (30 wt%) was obtained for samples prepared in the presence of

1 TEPA while the highest loading efficiency of ~40% was recorded for TEPA and PAH mediated silica.  
2 These loading figures are significantly higher than those reported in the literature for unfunctionalised or  
3 functionalised mesoporous silicas (typically 2-5 and ~20 wt% respectively).<sup>15, 16</sup> This result, which is  
4 not unexpected, highlights one of the advantages of using GN for drug delivery. The reason behind such  
5 high drug loading is that the drug is present during GN formation and can easily occupy pores. This also  
6 enables slow release by modulation of drug release rates using GN synthetic chemistry, as detailed in  
7 sections 3.2 and 3.3.

8

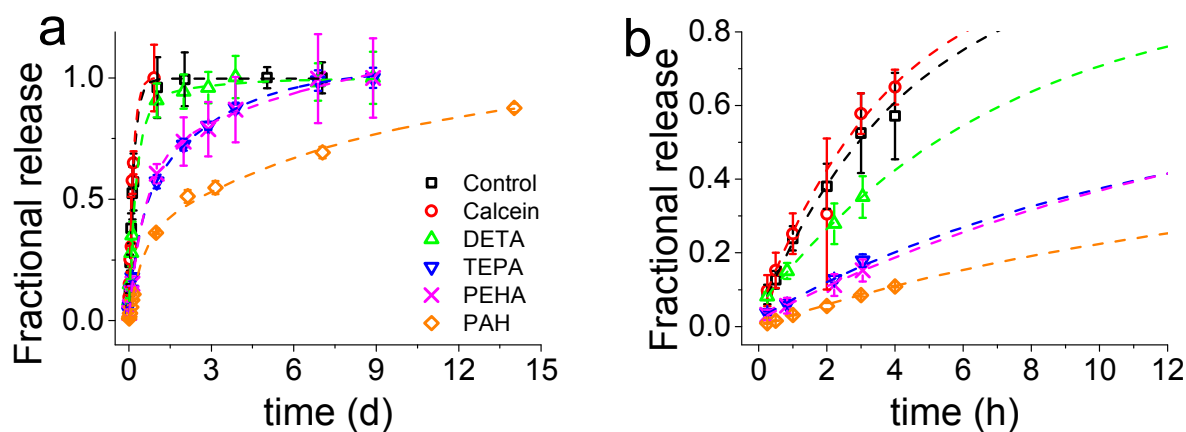
9 It is interesting to note that both the drug content and loading efficiency for samples prepared with  
10 PEHA were lower than those prepared with TEPA. It has been reported that PEHA catalyses silica  
11 formation about 3 times faster than TEPA, while the silica prepared in the presence of PEHA is  
12 essentially non-porous compared with TEPA-silica which is porous.<sup>29, 30</sup> It then appears that PEHA does  
13 not allow the drug molecules to enter silica as much as TEPA due to rapid silica condensation, while the  
14 rate of silica formation with TEPA appears to be optimum for maximising drug loading.

15

16 In order to examine if the presence of drug affected silica precipitation, the amounts of silica  
17 precipitated in the presence and absence of the drug were measured by weighing the final product and  
18 subtracting the amount of drug loaded. For small additives (DETA, TEPA and PEHA), the presence of  
19 the drug did not affect the silica yield significantly (compare white and grey bars for each additive in  
20 **Figure 1b**). Similarly, the silica yield of the control sample (prepared without any additive, essentially a  
21 gel collected after 7 days) was not influenced by the presence of the drug (data not shown). However,  
22 when a polymeric additive (PAH) was used, a significant increase in silica yield was observed in the  
23 presence of the drug. This is probably due to a co-operative effect from the interactions of negatively  
24 charged calcein and positively charged PAH molecules under synthetic conditions (pH 7). Such  
25 interactions have been previously reported in the one-step fabrication of drug in silica gels.<sup>17</sup> Although a  
26 similar effect may be expected with small additives, the higher charge density in polymeric amines



1 (PAH in this case) is known to exhibit stronger interactions with oppositely charged biomolecules,  
2 micelles, colloidal particles, etc.<sup>47-50</sup>  
3  
4 The release of calcein (**Figure 2**) shows that the particles prepared with longer polyamines gave more  
5 sustained release. It is evident that the chemistry of the synthesis of GN, the additives used in particular,  
6 was able to modulate the drug release profiles all the way from instantaneous release to sustained  
7 release over weeks. The control sample prepared with no additive exhibited a release profile reminiscent  
8 of the dissolution profile of free calcein, which means that the control sample did not offer any  
9 regulation over release. Furthermore, the total amount of drug released had a strong correlation with the  
10 number of nitrogen atoms present in each additive (**Figure 3a**). It is important to note here that the final  
11 concentration of nitrogen was kept constant for each additive used. This means that the number of  
12 additive molecules decreased as the additive size increased, while the amine/charge density increased  
13 significantly with the size of the additive molecule. For example, at the same nitrogen concentration,  
14 there were twice as many DETA molecules (three amines) than PEHA (six amines). The effects of  
15 change in amine size on silica formation have been extensively studied and reported in the literature,<sup>29,</sup>  
16 <sup>30</sup> while the effects on the amine-drug interactions are discussed in subsequent sections. An important  
17 point to note here from the drug release results is that the chemistry of the additives can be used to  
18 control the release profile by regulating the structure of GN produced and/or its interactions with the  
19 drug.



20

1 **Figure 2.** Overall (a) and initial (b) release profile of calcein from GN synthesised using several additives. Note the  
 2 difference in X-axis units between (a) and (b). The fitted lines are not showing Korsmeyer–Peppas model, see  
 3 section 3.2 for details.

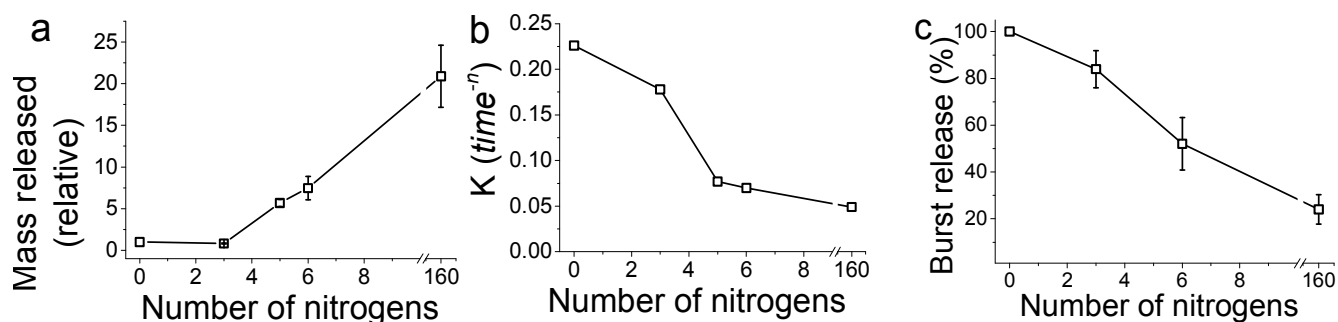
### 5 3.2 Drug release mechanisms

6 Using the Korsmeyer–Peppas model (a single exponential), we can fit the above release data and obtain  
 7 parameters which correspond to physical characteristics of the system and enable quantitative  
 8 comparison between samples.<sup>51,52</sup>

$$9 \quad \frac{M_t}{M_\infty} = K \times t^n \quad (2)$$

10 Where  $M_t$  is the mass of drug released at time  $t$ ;  $M_\infty$  is the mass of drug released at equilibrium;  $K$  is a  
 11 rate constant characterizing the carrier and drug system;  $t$  is time and  $n$  is the diffusional exponent –  
 12 characterising the method of release from the carrier. For the validity of this model, only the first 60%  
 13 of release should be fitted to equation (2).<sup>51</sup> Therefore we applied this equation to the first 60% of the  
 14 recorded release data and reasonable fits to the experimental data were observed ( $R^2 > 0.98$ ), with an  
 15 exception of the PAH sample (**Figure S1**).

16



17

18 **Figure 3.** (a) Mass of drug released, normalised to the control sample ( $n = 0$ ) as a function of nitrogen atoms per  
 19 additive molecule. (b) The release rate constant ( $K$ ) obtained from fitting the release data to the Korsmeyer–Peppas  
 20 Equation (2). (c) The amount of burst release as a function of nitrogen atoms per additive molecule obtained by  
 21 fitting the release data to a double exponential equation (3). Note that the final concentration of nitrogen was kept  
 22 constant for each additive used.

23

24 The values of the rate constant  $K$  and exponent  $n$  are presented in **Table S1**. From **Figure 3b** once again  
 25 we see that the drug release (release rate constant  $K$ ) was modulated by the chemistry of the additive

1 used in silica synthesis. Values of the  $n$  correspond to the release mechanism as per **Table S2**  
2 reproduced from Ritger and Peppas.<sup>51</sup> In all cases  $n$  was between 0.5 and 1, which means that the drug  
3 release followed non-Fickian diffusion. These values are typical of those given in literature.<sup>53</sup> This  
4 release pattern is expected as Fickian diffusion only applies where the flux is limited by the diffusion  
5 through the medium and is less likely to be applicable in our case where the loaded drug must first  
6 diffuse from the internal pores of the silica matrix followed by its diffusion from the external surface  
7 into the bulk liquid, consistent with the literature.<sup>18</sup> DDS prepared in the presence of TEPA, PEHA and  
8 PAH exhibited similar exponents, suggesting the release mechanisms were identical. For TEPA, PEHA  
9 and PAH the release is much slower with much higher exponents ( $n$ ) of release. It is therefore possible  
10 that another step in the release process is limiting for these samples.

11

12 The work of Peppas *et al.* was originally composed for soft polymers which are close to their glass  
13 transition temperature and any non-Fickian behaviour was attributed to polymer swelling and relaxation  
14 in the solution.<sup>54</sup> This will not apply to the structure of the silica formed in this work, given that at 37°C  
15 silica remains a hard, covalently bound, network. Another commonly used model for describing drug  
16 release is the Higuchi equation.<sup>55</sup> This model is essentially a special case of Peppas model where  $n =$   
17 0.5. However, from **Table S1** it can be seen that for GN DDS,  $n > 0.5$  and therefore the Higuchi model is  
18 unlikely to fit the release data from **Figure 2**. Although, this model describes diffusion of drug from  
19 smooth surfaces and typically only fits the first 15% of the data, it has been widely applied to drug  
20 release from mesoporous silicas, which are far from smooth surfaces.<sup>19, 20, 56</sup>

21

22 It is clear that neither the Higuchi nor Peppas models can adequately described the entire profile for  
23 drug release (100% of the release data), with PAH being especially poorly modelled (**Figure S1**). In  
24 addition, these models are insufficient to fully describe non-ideal porous materials such as silica.  
25 Therefore an empirical double exponential function was employed of the form:

26 
$$M_t = M_0 + M_1 \left(1 - e^{-x/t_1}\right) + M_2 \left(1 - e^{-x/t_2}\right) \quad (3)$$

1 Where  $M_0$  is the offset from the origin at  $x = 0$ ;  $M_1$  is the mass released in the first exponential;  $t_1$  is the  
2 duration of the first exponential;  $M_2$  is the mass released in the second exponential and  $t_2$  is the duration  
3 of the second exponential.

4  
5 Fitted curves are shown as dashed lines in **Figure 2** and a number of advantages were apparent with this  
6 model. The  $R^2$  values were always greater than 0.99 and the equation modelled the entire release profile,  
7 unlike only parts modelled by Korsmeyer-Peppas model (modelled 60% of the data<sup>54</sup>) or Higuchi model  
8 (modelled 15% of data<sup>55</sup>). Furthermore, it affords the quantification of distinct release mechanisms that  
9 are typically observed with non-ideal materials arising from internal porosities.<sup>57</sup> This double  
10 exponential suggests that calcein release is a two stage process for GN, which can afford a clear  
11 distinction between burst and sustained release. We attribute the first, faster release stage to drug that is  
12 weakly physisorbed on the external surface of GN. The slower and sustained release of the drug in the  
13 second stage could be attributed to drug-additive interactions, internal porosity of GN or both. As a  
14 result, drug release will be expected to be a two stage process, which can be accurately modelled with a  
15 double exponential equation. Although perhaps new to the drug delivery field, such models are  
16 commonly applied in many other areas of research such as reaction kinetics and dissolution processes.<sup>58</sup>

17  
18 The release of drug from within the network of pores, which is a common feature of GN,<sup>41</sup> would  
19 present an additional barrier to mass transfer for the release of drug molecules when compared to drug  
20 adsorbed simply on external surface of silica particles. This is particularly important to note since the  
21 drug is loaded as silica is forming and therefore it is highly likely that the drug molecules are located in  
22 the internal voids between primary particles. Interactions between the model drug and the additive are  
23 highly likely, as reported previously for a silica gel DDS,<sup>17</sup> and were also evident from the results  
24 discussed in section 3.1. A stronger interaction would explain a slower second exponential stage of  
25 release. This also explains why the control sample, where no amine groups were present for interactions,  
26 was well described by a single exponential function (i.e. Korsmeyer-Peppas model), which represents

1 drug loading via physisorption on the surface of the control sample. Applying this model to decouple  
2 burst and sustained release, we observed that GN allow control over the amount of drug released in a  
3 sustained fashion (**Figure 3c**). The fraction of the drug that was weakly physisorbed on silica (burst  
4 release) decreased with increasing amine chain length. This observation further strengthens the  
5 tunability of GN for drug delivery and also highlights the importance of the interaction of the drug with  
6 amines occluded in silica, which were further investigated and the results are presented in section 3.3  
7 below.

8

### 9 *3.3 The role of chemistry in controlling drug delivery*

10 A closer inspection of the drug loading and release data indicate that entrapping drug with PAH showed  
11 a promising loading capacity as well as release profile in terms of sustained release when compared to  
12 other additives studied herein. Therefore PAH was selected for further systematic investigations in order  
13 to study the effect of synthesis parameters on drug loading and release. A two level full factorial  
14 experiment was set up using equation (1) as described in section 2.2.4<sup>46</sup> with the three key parameters  
15 (reaction time, [Si]:[N] molar ratio  $r$  and silica precursor concentration [Si]) at “high” (+) and “low” (-)  
16 levels as shown in **Table 1**. The effects of these parameters were quantified by measuring silica yield,  
17 drug loading and drug release. Such factorial design of experiments and analysis of results allows the  
18 identification of single, or a group of, parameters that significantly affect the outcomes. **Figure S2**  
19 shows the effect of these factors on the silica yield for each experiment. Assessing the graph first we can  
20 see that in all experiments silica yields were similar (70-80%) with the exception of the experiment D6  
21 where the yield never exceeded 60%. No grouping of the bars in the **Figure S2** is obvious, suggesting  
22 that no large changes in silica yield are afforded by changing the parameters under study. To account for  
23 any possible skewing of the data towards any single parameter, we can discount one factor at a time and  
24 establish a series of second level two factor tests. This analysis suggests that there were no differences  
25 observed beyond the 95% CI (**Figure S3**). It is therefore likely that the silica yield is uninfluenced by  
26 the factors under study.

**Table 1:** Design of experiments for identifying key parameters affecting GN yield, drug loading and drug release.

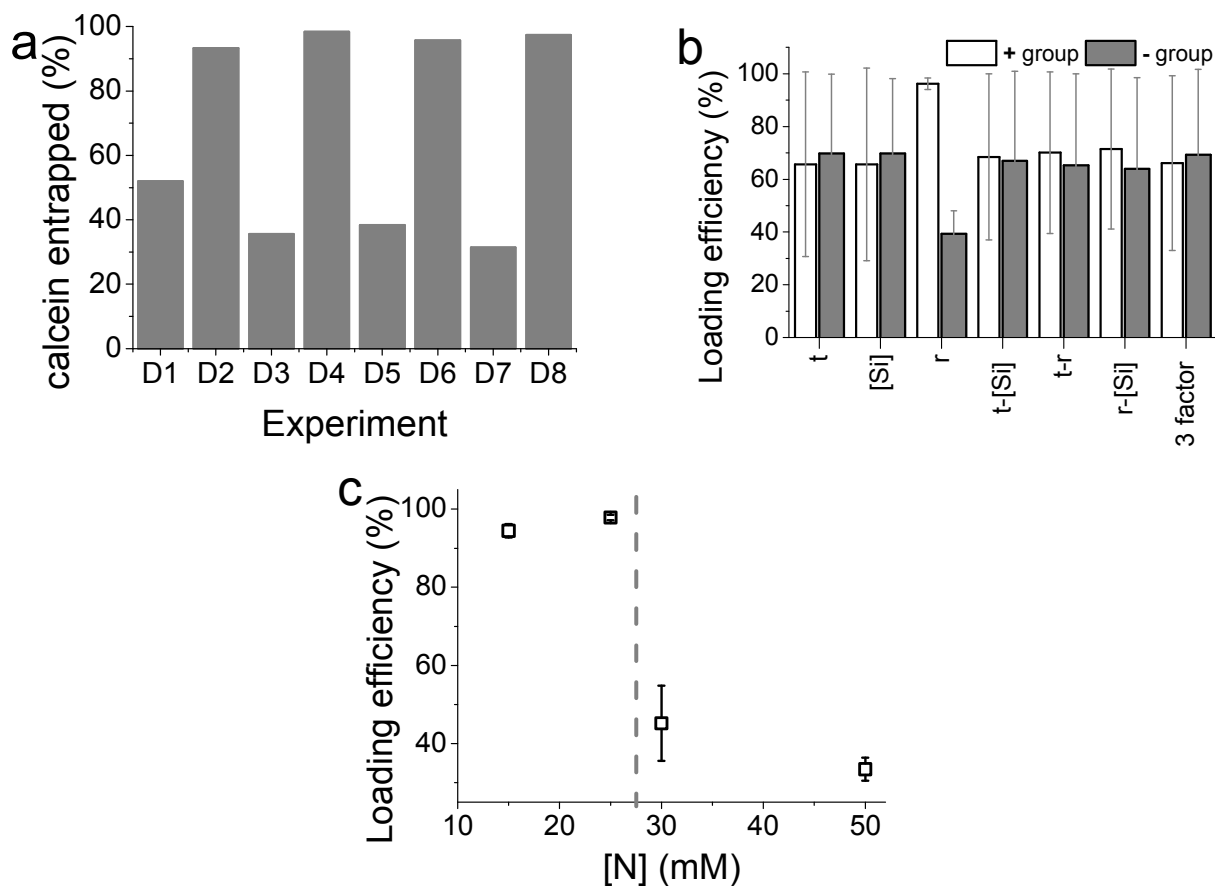
Experiment	$t^a$	[Si] <sup>b</sup>	$r^c$	$t \leftrightarrow [\text{Si}]$ interaction	$t \leftrightarrow r$ interaction	[Si] $\leftrightarrow r$ interaction	$t \leftrightarrow [\text{Si}] \leftrightarrow r$ interaction
D1	–	–	–	+	+	+	–
D2	–	–	+	+	–	–	+
D3	–	+	–	–	+	–	+
D4	–	+	+	–	–	+	–
D5	+	–	–	–	–	+	+
D6	+	–	+	–	+	–	–
D7	+	+	–	+	–	–	–
D8	+	+	+	+	+	+	+

<sup>a</sup> + level = 5 minutes, – level = 1 minute.

<sup>b</sup> + level = 50mM, – level = 30mM.

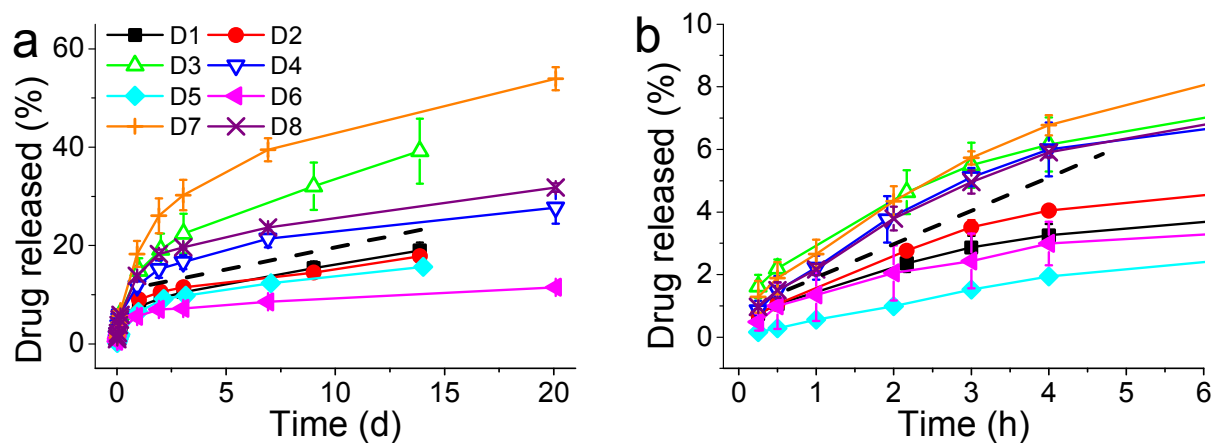
<sup>c</sup> + level = 2:1, – level = 1:1

**Figure 4a** shows the effect of experimental parameters on the entrapment of calcein within the optimization experiments. A clear periodic trend was observed with higher drug loading associated with experiments with higher [Si]:[N] ratio ( $r$ ). This is confirmed by further analysis which showed a large magnitude of the effect of changing the ratio  $r$ , far in excess of the 95% CI (**Figure 4b**). In this case the molar ratio  $r$  had a significant effect with a ratio of 2 giving a much higher entrapment than a 1:1 ratio. None of the other synthetic parameters appeared to significantly affect drug loading. In order to investigate whether the absolute nitrogen concentration had any effect (which could be convoluting the apparent effect of  $r$ ), four nitrogen concentrations used were explored and their effects on drug entrapment measured (**Figure 4c**). The effect of nitrogen concentration was clear: below a critical concentration of 25 mM, enhanced drug loading was observed. The changes caused by the  $r$  or nitrogen concentration are likely to be due to the well-known effect of amines on the physical properties of GN such as porosity<sup>30</sup> and also perhaps drug-additive interactions. While identifying conditions for achieving high drug loading efficiencies without affecting the yield of silica formation, these results suggest that both the [Si]:[N] ratio and the absolute nitrogen concentration are important.



**Figure 4.** (a) Drug loading achieved in the factorial experiments. (b) Results from factorial experiments designed to establish key parameter(s) that have significant effect on drug loading. (c) Further exploration of the effect of amine concentration on drug loading.

The release of calcein from these optimization experiments was also recorded (**Figure 5**) where two groupings of release profiles were obvious. The four curves above the dashed line, where the higher amounts of the drug were released, correspond to higher concentration of silica precursor (and in turn higher  $r$ ). Although the exact mechanism of the dependence of drug release on silica precursor concentration is not clear at this point in time, we speculate the following from the information available in the literature. At lower [Si] the formation of silica is slow, which allows sufficient opportunity for the drug to be deeply entrapped and “sealed” inside silica. On the other hand, at high concentrations of the silica precursor, silica formation is very rapid where the drug is only loosely bound and hence available for release. Nonetheless, it is clear that higher  $r$  is beneficial for both drug loading efficiency and drug release. This again clearly supports the notion that the additive has a pronounced effect on both silica formation and drug loading/release.



1

2 **Figure 5.** Overall (a) and initial (b) release of drug from the samples prepared in the factorial experiments. Note the  
 3 different X-axis units in (a) and (b). The dashed line separates two sets of results, see text.

4

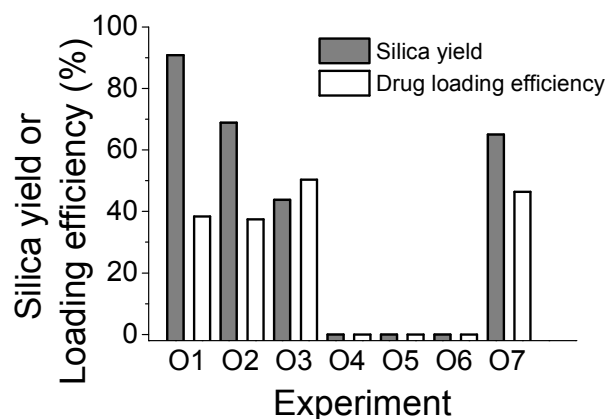
5 Having identified the key parameters controlling the fabrication of the delivery system, we sought to  
 6 investigate the effects of the synthesis procedures on the fabrication as a potential factor which could  
 7 affect the product. It is clear that in GN synthesis, the interactions between the amine and silicates are  
 8 important, while the results above indicate that there is also a possibility of the occurrence of amine-  
 9 calcein interactions. In particular, the order of addition of precursor, additive and drug was investigated  
 10 systematically and the experimental design is detailed in **Table 2**. The silica yields and drug loading  
 11 efficiencies for these experiments are shown in **Figure 6**.

12 **Table 2:** Stepwise details of experiments designed to understand the effects of the order of addition of reagents.

	Step 1	Step 2	Step 3	Step 4
<b>O1</b>	Drug + silicate solution	Dissolved PAH added to solution from step 1	pH adjusted to 7	N/A
<b>O2</b>	Drug + PAH solution	Dissolved silicate added to solution from step 1	pH adjusted to 7	N/A
<b>O3</b>	PAH + silicate solution	pH of solution from step 1 adjusted to 7	Dissolved drug added immediately to solution from step 2	N/A
<b>O4</b>	Drug + silicate solution	pH of solution from step 1 adjusted to 7	pH of PAH solution adjusted to pH 7	Solutions from steps 2 and 3 mixed
<b>O5</b>	Drug + PAH solution	pH of solution from step 1 adjusted to 7	Silicate solution acidified to pH 7	Solutions from steps 2 and 3 mixed
<b>O6</b>	pH of PAH solution adjusted to pH 7	Silicate solution acidified to pH 7	solutions from step 1 and 2 mixed	N/A
<b>O7</b>	Identical to <b>O5</b> but PAH replaced with PEHA.			

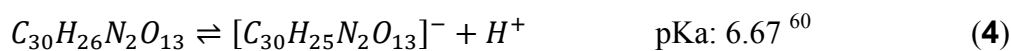
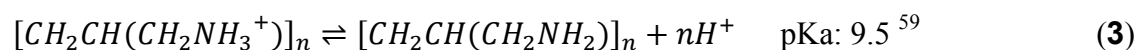
13





**Figure 6.** The effect of the order of adding reactants on the silica yield and drug loading efficiency.

It is evident that the order of adding each component to the reaction system clearly has a profound effect on both silica yield (modulated from 0 to 90%) and drug loading. Surprisingly, neutralizing additive and silicate solutions before mixing the reactants did not produce any silica recoverable by centrifugation (experiments O4-O6). If we consider experiments O1, O2 and O3, the mixing of PAH and silicate occurs in a basic solution at around pH 12. The conjugate acid-base equilibrium for PAH, calcein and orthosilicic acid can be written as below along with their reported pKa values:



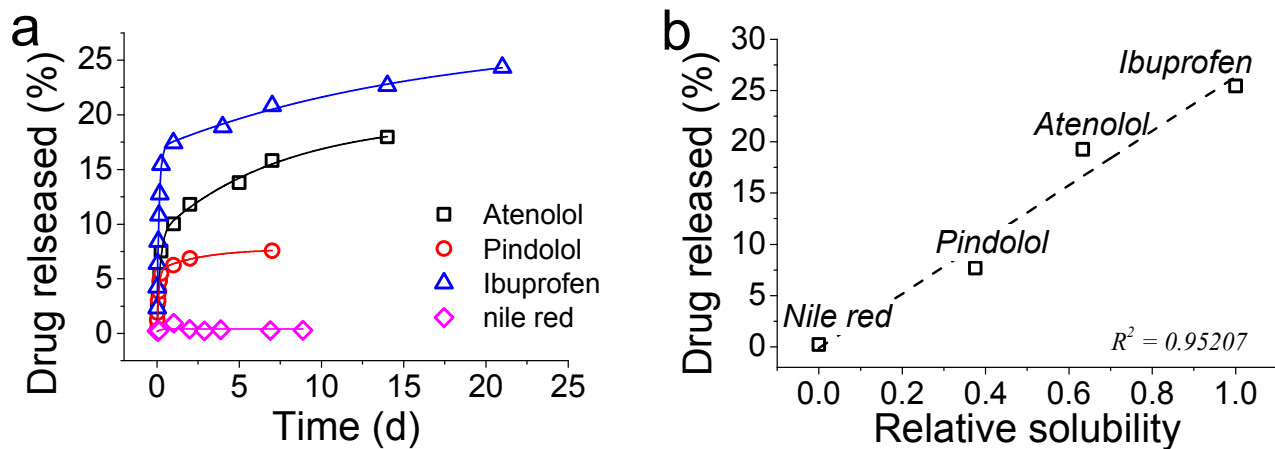
Applying Henderson- Hasselbalch equation at pH 12, it appears that PAH is not protonated (>99% as  $-NH_2$ ), the majority of calcein is deprotonated (>99% as  $-COO^-$ ) and silicic acid is deprotonated (>99% as  $\equiv SiO^-$ ). Therefore, there will not be any electrostatic interactions between the amine and calcein, while it is known that at pH 12, the formation of the silica particles will not occur.<sup>62</sup> As the pH is adjusted to 7, PAH will become extensively protonated (>99% as  $-NH_3^+$ ), and the protonated amines will be available to interact with silica and will not be associated or “blocked” by calcein prior to silica formation. Strong electrostatic interactions between PAH and silicates occur, leading to rapid silica

1 precipitation. In experiments O4, O5 and O6 the protonation states of amine, calcein and silicic acid are  
2 significantly different *before* the solutions are mixed. Each solution is first neutralised to pH 7 and then  
3 mixed, which can cause significant association between protonated amines (>99% protonation) and  
4 deprotonated calcein (68% as  $-\text{COO}^-$ ). This can result in no silica precipitation by the restriction of  
5 amine-silicate interactions *before* the silica formation can start. Interestingly, under identical conditions,  
6 when PAH is replaced by PEHA (experiment O7), a significant amount of silica formation was  
7 observed (**Figure 6**), which can be explained by the differences in the protonation between PAH and  
8 PEHA. It has been reported that at pH7, PEHA is only 50% protonated<sup>29, 30</sup> in contrast to >99%  
9 protonated PAH. This leaves PEHA-calcein interactions weak and allows PEHA-silicate interactions to  
10 occur, resulting in silica precipitation. These results have helped optimise the order of mixing of  
11 reagents in fabricating DDS.

12

### 13 ***3.4 GN performance in delivering therapeutically useful drugs***

14 The mechanisms underpinning the drug release were investigated and we presented how fine tuning the  
15 chemistry of synthesis of GN-DDS can allow us to achieve desired designs for drug delivery systems. In  
16 this section, we sought to utilise these results and apply them for developing DDS for a number of  
17 therapeutically useful drugs while also exploring any potential limitations to the GN-based DDS. A  
18 series of pharmaceutically active small molecules were chosen to reflect a range of hydrophobicities:  
19 atenolol (log P = 0.16), pindolol (log P = 1.75), ibuprofen (log P = 3.97) and Nile red (log P = 5.0). Nile  
20 red, although a dye molecule, represents a model highly hydrophobic drug molecule. Ibuprofen is a non-  
21 steroidal anti-inflammatory agent, atenolol and pindolol are betaadrenoceptor antagonists (beta  
22 blockers) and Nile red is a dye which was used to emulate a very hydrophobic molecule. The loading  
23 for each of these molecules was very high (between 87-100%), while there were differences in both the  
24 release profiles and amount released were observed (**Figure 7a**).



1

2 **Figure 7.** (a) Release profiles for several drugs from GN. (b) The total amount of drug released from GN as a  
 3 function of the solubility of each drug molecule, relative to Nile red.

4

5 With an exception of Nile red, the drug release was sustained over several days. Although the amount of  
 6 drug released could be improved, differences in the release profiles were observed between the drugs  
 7 under consideration. Since the synthesis of GN-drug systems occurred entirely in aqueous solutions, the  
 8 hydrophobicity or water solubility of each drug may be regulating their release. In order to test this  
 9 hypothesis, solubility data for each compound were obtained from literature,<sup>63</sup> and plotted with the per  
 10 cent drug released (**Figure 7b**). From these data, it is evident that the water solubility of the drugs is  
 11 strongly influencing the amount of drug released, with the least soluble drug, Nile red, preferring to stay  
 12 inside silica with no release occurring. These results will be of immense importance in designing GN as  
 13 DDS in future, while they also highlight a limitation of the GN systems such that the chemistry of GN  
 14 will require further consideration in future work in order to efficiently load and release hydrophobic  
 15 drugs – an important class of drugs (e.g. anticancer drugs).

16

### 17 3.5 Toxicity results

18 In order to test the cytotoxicity of GN, PAH-GN and PEHA-GN were selected due to their encouraging  
 19 release profiles shown in **Figure 2**. The results were compared with a colloidal Stöber silica sample, and  
 20 two MSN: APMSN and SAMSN (amino- and sulfonic acid-functionalised MCM-41 respectively, see  
 21 Experimental section). Stöber silicas form excellent comparative standards. The functionalised MCM-

1 41 have been preferred DDS over unfunctionalised silicas due to their reported enhanced drug loading  
2 capacity from strong interactions with drug molecules, improved biocompatibility and potential in  
3 targeted delivery.<sup>4, 6</sup>

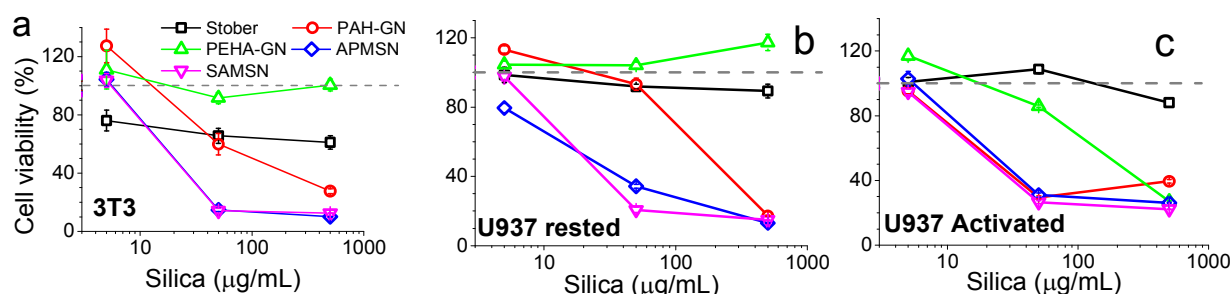
4  
5 The toxicity of nanomaterials can result in a number of effects including damage to membranes,  
6 changes in protein folding, DNA mutation, blood abnormalities and oxidative stress injuries.<sup>21, 64</sup>

7 Although extensive analysis is necessary to fully understand the toxic effects, measurements of cell  
8 viability and proliferation can provide an indication of the safety of nanomaterials. Hence cell viability  
9 assays were employed in this study using both murine 3T3 fibroblast cells, a standard cell line widely  
10 used for *in vitro* cytotoxicity evaluation as recommended by ISO 10993, and U937 human monocyte-  
11 like cells in both rested and activated states. U937 cells were activated to phagocytotic macrophage  
12 characteristics, and comparison of toxic responses in resting and activated cells allows the contribution  
13 of nanoparticle internalisation by phagocytosis to be determined.

14  
15 In the case of fibroblasts, Stöber particles reduced cell viability to ~80% of the control (cell lines  
16 without silica particles) even at concentrations as low as 5µg/mL (**Figure 8a**). The observed mild  
17 toxicity of Stöber silica at low concentrations is consistent with the literature.<sup>65, 66</sup> Upon increasing the  
18 silica dose, the cell response was unchanged and hence appeared to be independent of Stöber silica  
19 concentration. On the other hand, Stöber particles were not found to reduce cell viability of the human  
20 monocytes (**Figure 8b,c**). Such cell-line dependent responses have been well-documented. For example,  
21 Yu *et al.* reported that a range of silica nanoparticles did not have any toxic effects on tumour cells  
22 while a dramatic reduction in cell viability was recorded for macrophages, probably reflecting  
23 intracellular uptake of particles by phagocytosis in the latter cells.<sup>67</sup> We observed that the Stöber silica  
24 concentration to reduce cell viability to 50% (IC<sub>50</sub>) was >500µg/mL (**Table 3**).

25

1 Surprisingly, in the current study, PEHA-GN did not show any toxic effects, to either fibroblasts or  
 2 human monocytes in the resting state, even when silica concentrations as high as 500 $\mu\text{g/mL}$  were used  
 3 (**Figure 8a,b**). This observation is particularly interesting since PEHA (i.e. without silica) was toxic to  
 4 the same cell lines (**Figure S4**), thus suggesting that when trapped in silica, PEHA becomes less toxic.  
 5 In contrast, PAH-GN, and both MSN samples, reduced cell viability significantly in both cell lines.  
 6 These differences in toxicity are illustrated in the micrographs presented, where cell number is markedly  
 7 depleted in samples treated with both MSN particles (**Figure 9a**), and the fluorescent staining shows an  
 8 increased number of dead cells, their nuclei stained red with propidium iodide (**Figure 9b,c**). The  $\text{IC}_{50}$   
 9 values listed in **Table 3** imply that MSN were clearly more toxic than Stöber silicas or either of the GN.

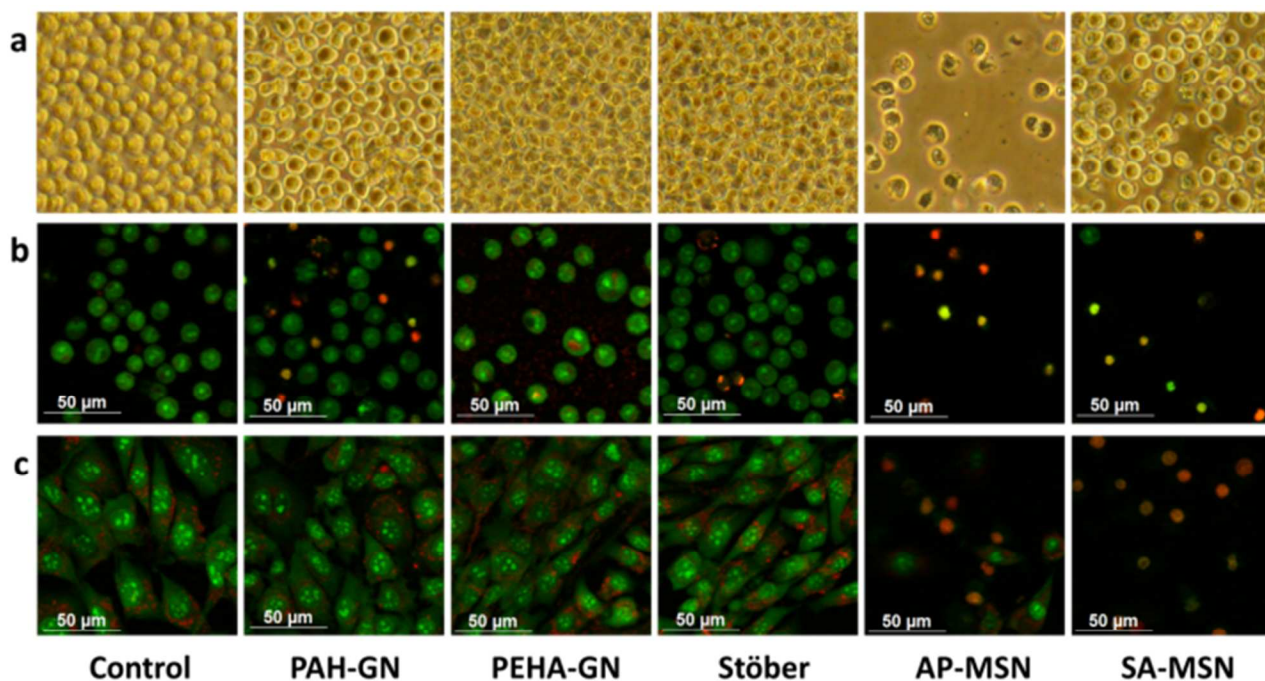


11 **Figure 8.** Cell viability upon incubation of silica particles with (a) 3T3, (b) U937 in resting state and (c) U937 in  
 12 activated state.

14 **Table 3:**  $\text{IC}_{50}$  values for silica particles ( $\mu\text{g/mL}$  of silica concentration required to reduce cell viability to 50%).

Samples	Cell lines		
	3T3	U937 rested	U937 activated
Stöber	>500	>>500	>>500
AP-MSN	20	20	25
SA-MSN	20	20	25
PAH-GN	100	200	25
PEHA-GN	>>500	>>500	200

16



1

2 **Figure 9** Optical (a) and fluorescent (b, c) microscopy images of cells with (50  $\mu\text{g}/\text{ml}$ ) and without silica particles for  
 3 U937 cells in resting state after 5d (a) or 1d (b) in culture, and 3T3 cells (c) after 1d in culture. Images in (a) are  
 4 presented at x300 magnifications.

5

6 No significant differences in cell viability for Stöber, MSN and PAH-GN samples were observed when  
 7 the human monocytes were activated, especially at the highest silica concentrations investigated.

8 However, reduced cell viability was observed for activated monocytes in the presence of PEHA-GN,  
 9 which had previously shown no toxicity to resting monocytes (**Figure 8c**). Although the  $\text{IC}_{50}$  of  
 10 200 $\mu\text{g}/\text{mL}$  for PEHA-GN indicates that toxicity is not a realistic safety issue for the exposures  
 11 encountered in most biomedical uses, it is comparable to values reported for most silica particles.<sup>65, 67</sup>

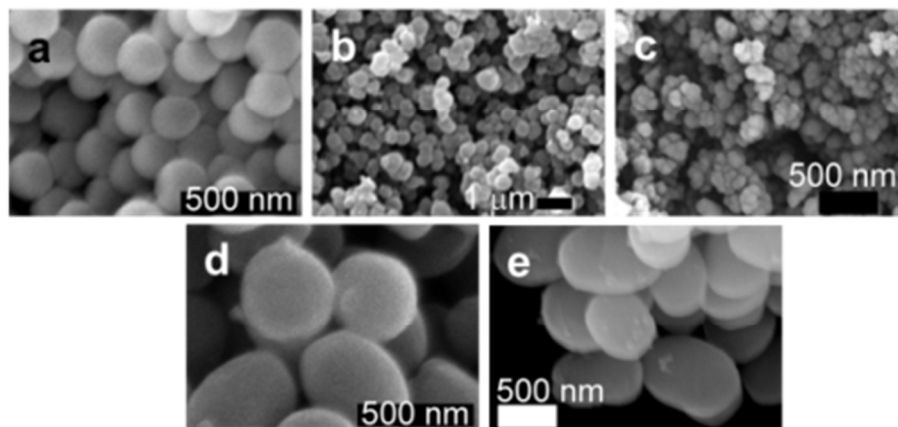
12 The increased sensitivity of activated monocytes to PEHA-GN probably occurs due to intracellular  
 13 access of particles following phagocytosis, leading to some specific toxic response inside the cells.<sup>64, 68</sup>

14

15 Inflammatory responses to nanoparticles are known to cause considerable adverse effects *in vivo*, and in  
 16 order to predict the likelihood of these the release of inflammatory cytokines, interleukins, tumour  
 17 necrosis factor- $\alpha$  ( $\text{TNF}\alpha$ ) and interferon- $\gamma$ , in response to nanoparticle exposure are commonly  
 18 measured by specific ELISA.<sup>21</sup>  $\text{TNF}\alpha$  secretion has been reported to increase by 20-fold in the presence

1 of silica particles.<sup>69</sup> However, in this study, only at high levels of exposure  $\geq 500$   $\mu\text{g/ml}$  was detectable  
2 cytokine release measured at either 24 or 48 h treatment, although transient trace secretion of all four  
3 mediators was induced by PAH-GN at 24 h, but not at 48 h (data not shown). It is evident that none of  
4 the NPs used induced secretion of inflammatory cytokines at the concentrations proposed for use in  
5 DDS.

6  
7 Physicochemical properties of nanomaterials such as chemical composition, particle size, porosity and  
8 surface functionalisation, are important when considering cell responses.<sup>23</sup> “Silica” represents a vast  
9 number of materials with distinct structures and properties, including crystalline (e.g. quartz),  
10 amorphous, porous, colloidal, gels, etc.<sup>7, 8</sup> They differ from one another in the sizes of particles and  
11 aggregates, porosities, the level of hydration, and the surface chemistry. These seemingly subtle  
12 differences which mainly arise from the processing conditions, can manifest themselves in significant  
13 ways such as drastic differences in the biodegradation<sup>9</sup> and biological response.<sup>70, 71</sup> For Stöber silicas,  
14 there was no evidence of the presence of organics from Infrared spectroscopy (FTIR), while small  
15 amounts of organics were detected in all other samples, as expected (also verified from thermal analysis,  
16 **Figure S5**). A combination of surface sensitive ATR-FTIR, zeta potential measurements and titrations  
17 revealed that Stöber silica possessed only silanol (Si-OH) and siloxide (Si-O<sup>-</sup>) groups on the surface  
18 with a zeta potential of -48 mV (**Figure S5** and **Table S3**). SAMSN possessed acidic surfaces and  
19 negative zeta potential, which was also confirmed by titration, while APMSN had a basic surface with  
20 positive zeta potential, as expected (**Figure S6** and **Table S3**). Interestingly, PEHA-GN exhibited a  
21 slightly positive zeta potential ( $\zeta=+10$  mV), perhaps from surface-bound PEHA, while PAH-GN had a  
22 slightly negative zeta potential ( $\zeta=-18$  mV), indicating that the majority of PAH remains inside the  
23 sample. It has been reported that positively charged silica particles are relatively less toxic,<sup>67, 72</sup> which  
24 explains the differences in toxicity between PEHA-GN and PAH-GN. However, AP-MSN particles,  
25 which were also positively charged were found to be highly toxic ( $\text{IC}_{50} \approx 20$   $\mu\text{g/mL}$ ) compared with the  
26 positively charged PEHA-GN ( $\text{IC}_{50} \gg 500$   $\mu\text{g/mL}$  for both 3T3 and resting U937 cell lines).



1

2 **Figure 10** Scanning electron micrographs of silica particles used: (a) Stöber silica, (b) PEHA-GN, (c) PAH-GN, (d)  
 3 APMSN and (e) SAMSNS. Scale bars = 500nm except 1 $\mu$ m for (b).

4

5 The only differences between both positively charged silica samples (PEHA-GN and APMSN) were  
 6 their porosities and particle size. It was found that MSN samples exhibited large surface areas ( $\approx$ 500-  
 7 700 m<sup>2</sup>/g) and pore volumes with narrow and ordered mesopores of  $\sim$ 2.6 nm (**Figures S7-9** and **Table**  
 8 **S3**). In contrast, GN appeared to have low surface areas ( $<$ 55 m<sup>2</sup>/g), broad pore size distribution and  
 9 disordered pores, which is consistent with the literature reports.<sup>41</sup> Despite low surface areas, the drug  
 10 loading in GN was more than twofold higher compared to that reported in the literature (see section  
 11 3.1). Although low surface area may affect drug release, it has been reported that surface area of GN can  
 12 be readily controlled.<sup>30</sup> Furthermore, there were variations in the particle sizes between samples, which  
 13 ranged from 130 nm (PAH-GN) to 720 nm (SAMSNS) (**Figure 10**). SEM revealed that the particles were  
 14 present as aggregates, which was also confirmed from DLS analysis (data not shown) with a broad  
 15 distribution of particle size, suggesting aggregated systems (with an exception of Stöber particles). It is  
 16 therefore clear that in this study, the cells were not interacting with individual nanoparticles but rather  
 17 with aggregates of nanoparticles which were several hundreds of nm or even  $\mu$ m in size. This  
 18 observation is not unexpected since nanoparticles are unlikely to avoid aggregation in complex  
 19 biological media containing a wide variety of ions and biomolecules<sup>11, 73</sup> and hence our systems are  
 20 thought to closely represent realistic conditions. There was no apparent trend between particle size and  
 21 their toxicity, which is contrary to most literature reports.<sup>65</sup> However, the particles reported in the  
 22 literature are much smaller with negligible aggregation compared to those reported here.



1

**2 Conclusions:**

- 3 1. We have shown that for the model hydrophilic drug molecule calcein, additive chemistry and  
4 synthesis/loading method can be used to tailor release profile, particularly in the burst time  
5 frame (first few hours). This shows that GN DDS have the potential to be tailorable in release  
6 profile terms without the need for extensive synthetic routes/functionalisations.
- 7 2. We have shown that GN DDS have the potential to perform sustained release with drug  
8 molecules which cover a range of Log P values and this, coupled with point 1, and the ease of  
9 synthesis, makes GN a viable alternative to MSN systems which have traditionally dominated  
10 the DDS field with regards to silica.
- 11 3. GN were least toxic silica particles in most experiments, while, both types of MSN particles  
12 showed substantially reduced cell viability, even at low concentrations. Furthermore, GN exhibit  
13 additional benefits such as green synthesis and ease of functionalization, strengthens the  
14 argument for future use of GN in DDS and other biomedical applications.

15

16 Further studies using a wider expanse of cell lines, organ baths and animal models are required to fully  
17 understand the GN and optimise administrative routes of GN DDS but current *in vitro* cell data appears  
18 highly promising.

19

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26 adsorption.

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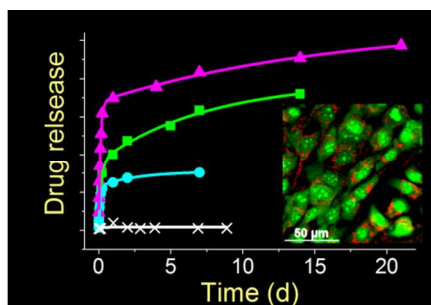
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1 **TOC**

2 Green nanosilicas offer improved biocompatibility and are excellent alternatives for drug delivery  
3 systems as they provide tailorability and eliminate many of the potential biomedical issues associated  
4 with mesoporous silicas.

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