

Journal of Materials Chemistry C

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

1 **Tunable ferromagnetic behavior in Cr doped ZnO nanorod arrays**
2 **through defect engineering**

3 C.G. Jin,^a Y. Yang,^a Z.F. Wu,^d L.J. Zhuge,^b Q. Han,^{*a} X.M. Wu,^{*a} Y.Y. Li,^c and Z.C.
4 Feng^e

5 ^a *Department of Physics, Soochow University, Suzhou 215006, China*

6 ^b *Analysis and Testing Center, Soochow University, Suzhou 215123, China*

7 ^c *Institute of Functional Nano and Soft Materials, Soochow University, Suzhou 215123, China*

8 ^d *Yancheng Institute of Technology, Yancheng 224003, China*

9 ^e *Institute of Photonics and Optoelectronics, Department of Electrical Engineering, and Center for*
10 *Emerging Material and Advanced Devices, National Taiwan University, Taipei 106-17, Taiwan*

11 **Abstract**

12 Zn vacancies (V_{Zn}) effects on microstructure and ferromagnetism (FM) properties of
13 $Zn_{0.94}Cr_{0.06}O$ nanorod arrays have been investigated using a combination of experimental
14 measurements and first-principles calculations. The well-aligned $Zn_{0.94}Cr_{0.06}O$ nanorod
15 arrays were synthesized by radio frequency magnetron sputtering deposition at different
16 substrate temperatures. The Cr K-edge X-ray absorption near-edge structure (XANES)
17 and X-ray photoelectron spectroscopy results revealed that the Cr^{3+} ions were located at
18 the substitutional Zn sites. Moreover, the O K-edge XANES analysis and resonance
19 Raman scattering indicated the existence of numerous V_{Zn} . The stable FM observed at
20 room temperature was an intrinsic property of $Zn_{0.94}Cr_{0.06}O$ nanorod arrays. With
21 increasing substrate temperature, an improved crystallinity along with the increase in V_{Zn}
22 was observed in $Zn_{0.94}Cr_{0.06}O$ nanorod arrays, and an enhancement of magnetic moment
23 in the samples came forth. First-principles calculations revealed that the enhanced

^{*)} Corresponding author. Tel.: +86-512-6787-0289; Fax: +86-512-6787-0289.

Electronic mail: hanqin@suda.edu.cn (Q. Han), xmwu@suda.edu.cn (X.M. Wu)

24 magnetism mainly comes from the unsaturated $2p$ orbitals of the surrounding O atoms,
25 which is caused by the presence of the Zn vacancy. This research represents a novel
26 promising route for tuning the magnetic behavior of nano-dilute magnetic semiconductor
27 systems via V_{Zn} changes.

28 Introduction

29 Recent advances in ZnO-based dilute magnetic semiconductors (DMSs) have
30 indicated that electronic control of their spin properties can be used to manipulate
31 magnetic signals^{1,2}. An alternate approach is to form DMSs, by substituting magnetic
32 ions onto lattice sites of ZnO.³ It was suggested that a 100% spin-polarized carrier
33 population might be achieved in appropriate systems.⁴ ZnO doped with 3d transitional
34 metals (TM) has attracted intense attention because these materials hold the possibility of
35 DMSs with a Curie temperature (T_C) above room temperature (RT).⁵ To date, most
36 previous studies on DMSs have focused on bulk and films. One-dimensional (1D) DMSs
37 nanostructures have received much attention because they could potentially be used as
38 building blocks for fabricating 3D architecture of novel spintronics microchips.⁶
39 Realization of spintronic devices from the bottom up might be feasible by adopting
40 DMSs nanowires or nanorods.⁷ For example, ferromagnetic DMS nanowires and
41 nanorods are reported to have higher T_C and larger magnetic moment as compared to their
42 bulk and film counterparts.⁸ As compared to nonaligned ZnO nano-structures,
43 well-aligned ZnO nanorod arrays demonstrate superior device properties.⁹

44 To interpret the origin of the magnetic properties, a number of magnetic interaction
45 mechanisms have been proposed, including direct superexchange, indirect superexchange,
46 carrier-mediated exchange,¹⁰ and F-center exchange.¹¹ There is experimental evidence to
47 suggest that point defects such as oxygen vacancy (V_O),¹² zinc vacancy (V_{Zn}),¹³ zinc
48 interstitial,¹⁴ and oxygen interstitial,¹⁵ can mediate ferromagnetic coupling.¹⁶ These
49 results suggest that defects may play an important role in the observed magnetic
50 behaviors of these materials. Therefore, how to control and engineer defects becomes a

51 very interesting and challenging issue. It would be very useful if defects in these
52 materials could be manipulated to improve the properties of DMSs. Theoretical studies
53 have been carried out to investigate the mechanisms of ferromagnetism associated with
54 defects. The results of first-principles calculations indicate that neutral V_O in ZnO is
55 nonmagnetic,¹⁷ but V_{Zn} does lead to magnetism.^{18,19} Currently, the microscopic origin of
56 the high- T_c ferromagnetism (FM) in wide-band-gap DMSs is still under debate.²⁰ The
57 development of such an understanding has emerged as one of the most important
58 challenges in the magnetism of DMSs.²¹

59 In this paper, we report an approach of realizing RTFM in Cr-doped ZnO nanorod
60 arrays via complementary experimental radio frequency (RF) plasma synthesis and
61 theoretical spin-polarized density functional theory (DFT) calculations.
62 Photoluminescence (PL) and resonance Raman scattering (RRS) were utilized to detect
63 the possible presence of defects such as V_O , V_{Zn} and Zn_i . X-ray absorption near-edge
64 structure (XANES) spectroscopy and first-principles calculations were utilized to
65 investigate the local atomic and electronic structures as well as magnetic interactions in a
66 $Zn_{0.94}Cr_{0.06}O$ nanorod arrays sample with RTFM. The results show that RTFM is
67 observed which is believed to originate from $Zn_{0.94}Cr_{0.06}O$ nanorod arrays instead of any
68 other secondary phase, which indicates that the V_{Zn} plays an important role in the
69 ferromagnetic origin of $Zn_{0.94}Cr_{0.06}O$ nanorod arrays.

70 **Methods**

71 The $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays were grown on p-Si (111) substrates using RF
72 magnetron sputtering technique with a composite target of a ceramic polycrystalline ZnO
73 (60 mm in diameter) containing two Cr pieces ($2 \times 2 \text{ mm}^2$) on the surface. Before
74 deposition, the substrates were dipped in acetone to remove surface contamination, dried
75 in a flux of N_2 , and then placed into the chamber. After vacuum pumping, the sputtering
76 was performed with an Ar pressure of 2.0 Pa in the chamber evacuated to 5×10^{-4} Pa
77 before an Ar gas flow of 20 sccm was introduced through a mass flow controller.
78 Deposition was then conducted at a RF power of 150 W at different temperatures (RT,
79 300, 500, 650 °C marked as sample C1, C2, C3, C4 respectively), which was monitored
80 using a thermocouple located under the substrate holder. In addition, no extra catalysts or
81 additives appear in this approach.

82 The morphology was measured by using a field-emission scanning electron
83 microscope (FE SEM) (Hitachi S-4700). The structural qualities of the nanorod arrays
84 were investigated by X-ray diffraction (XRD) using a Rigaku diffractometer with Cu $K\alpha$
85 radiation, high-resolution transmission electron microscopy (HRTEM) using a FEI Tecnai
86 G-20 and J.Y. (Jobin Yvon) HR800 Raman system (under excitation of 325 nm at RT). In
87 addition, the chemical state of Cr in the samples was analyzed by KRATOS Analytical
88 X-ray photoelectron spectroscopy (XPS) system. The XANES measurements were
89 performed on beamline 20A in the National Synchrotron Radiation Research Center.
90 Magnetic measurements were performed using a quantum design superconducting
91 quantum interference device (SQUID) magnetometer with the magnetic field parallel to
92 the sample surface. Electrical resistivity measurements were carried out using the

93 four-point probe system with 1 mA current. All experimental results shown in this paper
94 are for samples $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ whose compositions were checked by energy dispersive
95 spectroscopy. All the measurements were conducted at RT.

96 Our spin-polarized DFT calculations are performed by using a 48-atom $\text{Zn}_{23}\text{Cr}_1\text{O}_{24}$
97 nanorod model; in such a supercell, the Cr concentration is close to the experimental
98 value. We adopted the projected augmented wave (PAW)²² pseudopotential to describe
99 the core electrons and the general gradient approximation (GGA) of Perdew, Burke, and
100 Ernzerhof (PBE)²³ to account for the exchange and correlation. The kinetic energy cutoff
101 is 400 eV, and the Brillouin zone is sampled by $1 \times 1 \times 3$ k-points using the
102 Monkhorst-Pack method. All calculations were implemented by the Cambridge
103 Sequential Total Energy Package (CASTEP).²⁴

104 Results and discussion

105 The XRD patterns of pure ZnO and the samples C1-C4 are shown in Fig. 1. All peak
106 positions of the samples correspond to the standard diffraction pattern of wurtzite
107 hexagonal ZnO. No other peaks corresponding to either Cr metal or Cr-related oxide
108 phase can be observed within the detection limit of XRD. Also visible in the inset of Fig.
109 1 is the relative small shift of the (002) peaks for the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays. The d
110 (002) value of $\text{Zn}_{1-x}\text{Cr}_x\text{O}$ nanorod arrays decreased from 0.2612 nm for $x = 0$ to 0.2610
111 nm for $x = 0.06$ as demonstrated by plotting the (002) diffraction peak values as a
112 function of $\cos 2\theta/\sin \theta$ and extrapolating to $\theta = 90^\circ$.²⁵ The decrease in lattice parameters
113 is due to the smaller ionic radius Cr^{3+} (0.061 nm) substituting for the larger host ion Zn^{2+}
114 (0.074 nm) at its site in ZnO:Cr. Moreover, with increasing substrate temperatures,
115 crystallinity of nanorod arrays has been strongly improved as the intensity of the (002)

116 peak has significantly increased. In addition, the full-width at half-maximum (FWHM) of
117 peaks is narrowed with increasing substrate temperatures. The average size of the particle
118 (D) can be calculated according to the Scherrer formula:

$$119 \quad D = 0.9\lambda / L(2\theta)\cos\theta,$$

120 where λ is the X-ray wavelength (0.15406 nm), $L(2\theta)$ is FWHM, and θ is the diffraction
121 angle. The results show an increase of D from 16 to 29.3 nm.

122 The FE SEM images of sample C4 are shown in Fig. 2(a). Aligned nanorod arrays
123 were found when the sample was grown at a substrate temperature of 650 °C. These
124 Cr-doped ZnO nanorod arrays are aligned perpendicular to the substrate. The length of
125 the synthesized nanorod arrays is approximately 600 nm. In addition, they are in high
126 density and are uniformly distributed over the substrate. Fig. 2(b) shows the low
127 magnification TEM image of sample C4, which appears to be almost consistent with the
128 FE SEM observations. It shows that the diameter of each nanorod has little variation from
129 bottom to top and the average diameter is about 65 nm. HRTEM was performed to
130 determine the state of Cr atoms, which could not be detected by XRD. It provides an
131 insight into the detailed atomic structure of Cr-doped ZnO nanorod arrays. The HRTEM
132 image obtained from a single nanorod is shown in Fig. 2(c). There are no detectable
133 traces of Cr-related secondary phases in the overall of the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod. In
134 contrast, the doping Cr is soluble, i.e., homogeneously distributed in ZnO wurtzite
135 structure. The interplanar spacing of 0.26 nm corresponds to the d value of (002) plane.
136 The inset in Fig. 2(c) shows the corresponding selected-area electron diffraction (SAED)
137 pattern, confirming that the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod growth direction is along [001], which
138 is in agreement with the XRD result. The nanorods appear to be single crystalline. Both

139 techniques proved a preferred orientation growth of $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanocrystals along the c
140 axis. Our results suggest that the method used in our experiment can be applied to grow
141 large-scale aligned Cr-doped ZnO nanorod arrays.

142 Fig. 3(a) displays the RT PL spectra and RRS of $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays grown
143 at different substrate temperatures. Sample C4 exhibits clear PL band emission while
144 samples C1, C2 and C3 only show multi-phonon RRS, which indicates that the
145 nonradiative centers relating to the Cr dopants (Cr acted as traps to the excited electrons)
146 decrease with increasing substrate temperature up to 650 °C. Sample C4 exhibits a sharp
147 near-band-edge emission at 3.31 eV and another emission centered at 3.15 eV as shown
148 in the inset of Fig. 3(a). The 3.31 eV emission band is related to a near band edge
149 transition of ZnO, namely, the recombination of the free excitations. The V_{O} -related
150 emission at around 2.4 eV is absent in all of the samples deposited at all temperatures.
151 The emission shoulder (3.15 eV) may be assigned to a transition from the conduction
152 band to the V_{Zn} level.²⁶ This peak is dominant, hence, we suggest the existence of a large
153 amount of V_{Zn} in the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays.

154 RRS is an important tool in the study of basic physical properties of semiconductors
155 including nanostructure materials. Fig. 3(a) shows multi-phonon RRS of samples C1-C4,
156 excited by the 325 nm laser line (3.81 eV). In general, the number of longitudinal optical
157 (LO) phonons in semiconductors varies monotonically with the polaron coupling
158 coefficient. The polaron coefficient is a measure of the strength of the electron-phonon
159 interaction and determines the availability of relaxation paths and transport properties of
160 the excited electrons in a semiconductor. It is evident from Fig. 3(a) that the maximum
161 LO intensity is at 7LO, which coincides with the energy of the PL at 3.31 eV. This

162 observation is consistent with an outgoing resonant behavior: $E_{laser} - n\hbar\omega_{LO} = E_g$. In our
163 case, we found $n=7$ and LO energy is 0.071 eV (corresponding to 570 cm^{-1}). Thus, the
164 scattered energy of the 7LO for a laser excitation line 3.81 eV can be expressed as
165 $3.81 - 7 \times 0.071 \approx 3.31\text{ eV}$, which is equal to the PL energy (as can be seen in the inset of
166 Fig. 3(a)). Therefore the resonance process is coupled to the electronic states
167 corresponding to those responsible for the PL transitions. There are no detectable traces
168 of Cr-related secondary phase LO in the overall of $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays.

169 Fig. 3(a) also shows that the integrated Raman intensity of first LO phonon (1LO) is
170 larger than that of second LO phonon (2LO). This may be due to impurity or defect
171 scatters, which give contributions to the RRS as well. The I_{2LO}/I_{1LO} ratio as a function of
172 substrate temperature reflects the electron-LO phonon coupling originating from the
173 variation of Fröhlich interactions within the material,²⁷ as plotted in Fig. 3(b). The
174 I_{2LO}/I_{1LO} increases monotonically with substrate temperature, indicating disorder effects
175 as well as other defect scatter effects on the RRS decrease. When the sample grows at
176 high substrate temperature, the grain size increases and the crystallinity of the nanorod
177 arrays is improved. In addition, it is well known that the second-order structures are very
178 sensitive to atomic scale disorder. As a result, the I_{2LO}/I_{1LO} ratio increases due to the
179 better crystallinity at high substrate temperature. The electron-LO phonon coupling will
180 also be greatly enhanced accordingly.

181 In order to understand the role of O 2p states on the electronic structure of
182 $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ as a function of substrate temperature, XANES experiments at the O K edge
183 were carried out as shown in Fig. 4. This probes the orbital character of the spectral
184 features of the O 2p unoccupied states in the conduction band and its hybridization with

185 different Cr and Zn orbitals. The observed spectral features are assigned as follows: (a)
186 the energy region between 530–539 eV (marked by A1-A2) is mainly attributed to O 2*p*
187 hybridization with highly dispersive Zn 3*d*_{4*s*} /Cr 3*d* states and (b) the region between
188 539-550 eV (marked by A3-A4) can be assigned to O 2*p*–Zn 4*p*/Cr 4*sp* hybridized states.
189 The pre-edge peak A2 (537.5 eV) evolves with Cr-doping in ZnO and its intensity
190 increases monotonically with substrate temperature, suggesting a strong hybridization of
191 O 2*p* orbitals with Cr 3*d* states. Thus, a significant enhancement of pre-edge spectral
192 features reveals that the effective doping of Cr in a ZnO matrix induces a strong
193 hybridization of *s-p-d* orbitals. Therefore, it is reasonable to conclude that Cr ions are
194 incorporated in the system and responsible for the change in the electronic structure of
195 this material. The weak shoulder peak A1 in the pre-edge region of the O K-edge
196 XANES indicates that V_{Zn} is present along with Cr_{Zn} in the Zn_{0.94}Cr_{0.06}O nanorod arrays.
197 This is because that V_{Zn} in Cr-doped ZnO is a shallow acceptor.²⁸

198 Fig. 5(a) shows the normalized Cr K-edge absorption spectrum of Zn_{0.94}Cr_{0.06}O
199 grown at different substrate temperatures, in which three representative features, namely,
200 peaks “B1”, “B2,” and “B3” are observed. The pre-edge peak (peak B1) is ascribed to a
201 1*s*→3*d* transition, while the main absorption is due to a 1*s*→4*p* one. Wong et al.²⁹ have
202 shown that the presence of the pre-edge peak is indicative of a tetrahedral environment of
203 the x-ray absorbing transition metals, which coincides well with the fourfold coordination
204 of substitutional Cr atoms in wurtzite ZnO. Its intensity increases with increasing
205 substrate temperatures, implying a strong 1*s*→3*d* transition. XPS was further used to
206 investigate the bonding characteristics and oxidation states of Cr in the nanorod arrays.
207 Fig. 5(b) shows that the Cr 2*p*_{3/2} core line of the nanorod arrays grown at 650 °C centered

208 at 577.1 eV based on Gaussian fitting is a characteristic of Cr³⁺ ions.³⁰ No Cr, Cr₂O₃,
209 CrO₂, and CrO₃ are observed, indicating that Cr dopants do not exist as metal or oxide
210 clusters. It shows that Cr exists only in the oxidized state. Therefore, the substitutional
211 state of Cr dopants in the nanorod arrays is safely concluded.

212 Magnetic measurements on Zn_{0.94}Cr_{0.06}O nanorod arrays grown at different
213 temperatures were performed using a SQUID magnetometer. All the measurements were
214 corrected by removing the substrate effects. Magnetization versus magnetic field (*M-H*)
215 loops for Zn_{0.94}Cr_{0.06}O nanorod arrays measured at RT, are shown in Fig. 6(a). All three
216 loops are found to be hysteretic, indicating FM at RT. The moment per Cr atom increases
217 with increasing substrate temperature. The sample C1 possesses a net moment of 0.76
218 μ_B/Cr . With increasing substrate temperature up to 650 °C, the value of magnetic moment
219 shows a remarkable increase to 1.16 μ_B/Cr . The coercive forces (H_c) of the Zn_{0.94}Cr_{0.06}O
220 nanorod arrays grown at RT, 300, 500 and 650 °C are about 104, 42, 53 and 82 Oe,
221 respectively. The high substrate temperature enhanced the magnetic moment of the
222 system to some extent, but it does not change the magnetic properties of the Zn_{0.94}Cr_{0.06}O
223 nanorod arrays drastically. In order to distinguish the intrinsic FM from Cr dioxides, the
224 zero-field cooling (ZFC) and field cooling (FC) magnetization curves of sample C4 were
225 measured at an applied magnetic field of 1000 Oe from 5 K to 400 K as shown in Fig.
226 6(b). It can be seen that the ZFC and FC curves are kept separated up to 400 K. This
227 indicates that the T_C is well above 400 K. Among the impurity phases related to Cr:ZnO
228 systems, CrO₂ is the only ferromagnetic phase ($T_C \sim 386$ K).³¹ However, as the obtained
229 T_C of the Zn_{0.94}Cr_{0.06}O nanorod arrays is well above 400 K, the FM is not from the CrO₂
230 cluster. Therefore the Cr-doped ZnO nanorod arrays are expected to be free of

231 ferromagnetic precipitates and the FM phenomenon observed in our samples is expected
232 to be intrinsic to the Cr-ZnO matrix.

233 To gain a deep insight into the origin of the RTFM at atomic level, we performed the
234 spin-polarized DFT calculations by using a 48-atom $\text{Zn}_{23}\text{Cr}_1\text{O}_{24}$ nanorod model (Fig.
235 7(a)); in such a supercell, the Cr concentration is close to the experimental value. The
236 total magnetic moment is as high as $3.33 \mu_{\text{B}}$, for the calculated supercell. The obtained
237 densities of states (DOS) are shown in Fig. 8. We found that the magnetism mainly comes
238 from the 3d electrons of Cr ions with the local spin moment of $\sim 3.26 \mu_{\text{B}}$, and both Zn and
239 O atoms have nearly zero spin contribution (see Fig. 8(a)). The influence of point defects
240 on the magnetism of the Cr-doped ZnO nanorod arrays was also investigated. We
241 considered Zn vacancies and found that V_{Zn} can enhance the magnetism by about $0.7 \mu_{\text{B}}$
242 as compared with the defect-free system. The spin density and the projection of DOS
243 onto the O $2p$ and Cr $3d$ orbitals are shown in Fig. 8(b); we can see that, besides the
244 magnetic moment induced by Cr atoms, the Zn vacancy also contributes about $0.7 \mu_{\text{B}}$ per
245 V_{Zn} to the total magnetic moment of this system. This enhanced magnetism mainly comes
246 from the unsaturated $2p$ orbitals of the surrounding O atoms (Fig. 7(b)), which is caused
247 by the presence of the Zn vacancy. These magnetic moments induced by Zn vacancies are
248 aligned by the external magnetic field and further contribute to the total magnetic
249 moment of the system.

250 The origin of FM in DMS materials remains a very controversial topic. In order to
251 address the origin of FM in $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays, electrical resistance of the
252 samples was measured. The resistivity of undoped ZnO is about $0.2\text{-}0.3 \Omega \text{ cm}$ but $10^4 \Omega$
253 cm for the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays. The presence of Cr ions in ZnO increases the

254 resistivity by four orders. This seems to argue against a free carrier mediated mechanism
255 (such as the Ruderman-Kittel-Kasuya-Yoshida-type model).³² Besides, conventional
256 superexchange interactions can not produce long-range magnetic order at concentrations
257 of magnetic cations of a few percent, i.e., (6 at. %) Cr doped ZnO.^{12,33} Some recent
258 studies have shown that the native defects in the ZnO host can induce the RTFM in
259 TM-doped ZnO.³⁴ Based on the above analysis, we propose that the bound magnetic
260 polarons (BMP) model may be legitimate in our samples. Within the BMP model the long
261 range FM ordering could be formed by either direct overlaps between BMP (i.e., the
262 distance between two polarons is smaller than the polaron diameter) or indirect
263 BMP-magnetic impurities-BMP interactions³⁵ (i.e., the distance between two polarons
264 can be much larger than the polaron diameter). The latter case is apparently more
265 applicable in highly insulating materials, which do not have many carriers but sufficient
266 magnetic impurities. The magnetic impurities whose density is presumably boosted by
267 the Cr doping can still facilitate the FM alignment of BMP. We suspect that the RTFM of
268 $Zn_{0.94}Cr_{0.06}O$ may be connected with the presence of V_{Zn} . The experimental results show
269 that the enhancement of the magnetic moment may be due to the increase of the V_{Zn}
270 mediated *p-d* exchange interaction between O *2p* and Cr *3d* orbitals with increasing
271 substrate temperature, which is consistent with the results of RRS and XANES. The
272 strong hybridization between O *2p* and Cr *3d* orbitals gives the spontaneous spin
273 polarization. Thus, these convoluted effects of band alteration, polaronic interaction and
274 orbital hybridization must be carefully taken into account in order to reveal the physics in
275 doped wide-band-gap oxides.

276 **Conclusions**

277 In summary, well-aligned $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays were synthesized by the RF
278 plasma deposition method. The $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays were aligned perpendicular
279 to the Si substrate. The PL and O K-edge XANES analyses indicate the existence of
280 numerous Zn vacancies. No secondary phase in the sample is found within the XANES
281 and HRTEM detection limits. The saturated magnetization is $1.16 \mu_{\text{B}}/\text{Cr}$ at $650 \text{ }^{\circ}\text{C}$ and
282 decreases with decreasing substrate temperature. The $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays exhibit
283 obvious stable RT ferromagnetic ordering, which is believed to originate from V_{Zn}
284 mediate BMP model. From first-principles calculations, we conclude that the V_{Zn} can be
285 controlled to tune the ferromagnetism of the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays.

286 **Acknowledgments**

287 This work is supported by the National Natural Science Foundation of China
288 (No.11175126 11104197 11204266 11375126), the Key University Science Research
289 Project of Jiangsu Province (13KJA140001), the Natural Science Foundation of Jiangsu
290 Province for the Higher Education Institutions (No.11KJB140011), the Qing Lan Project,
291 the Program for Graduates Research & Innovation in University of Jiangsu Province
292 (No.CXZZ11_0085), and NSC 98-2221-E-002-015-MY3 and 98-3114-E-005-002-CC2,
293 and NTU Excellent Research Project (10R80908).

294 **Notes and references**

- 295 1 H. Ohno, *Science*, 1998, **281**, 951.
- 296 2 S. A. Wolf, D. D. Awschalom, R. A. Buhrman, J. M. Daughton, S. V. Molnar and M. L.
297 Roukes, *Science*, 2001, **294**, 1488.
- 298 3 J. K. Furdyna, *J Appl Phys*, 1982, **53**, 7637.
- 299 4 R. N. Gurzhi, A. N. Kalinenko, A. I. Kopeliovich, A. V. Yanovsky, E. N. Bogachek
300 and U. Landman, *Phys Rev B*, 2003, **68**, 125113.
- 301 5 T. Diel, H. Ohno, F. Matsukura, J. Cibert and D. Ferrand, *Science*, 2000, **287**, 1019.
- 302 6 Y. Wu, J. Xiang, C. Yang, W. Lu and C. M. Lieber, *Nature*, 2004, **430**, 61.
- 303 7 W. B. Jian, Z. Y. Wu, R. T. Huang, F. R. Chen, J. J. Kai, C. Y. Wu, S. J. Chiang, M. D.
304 Lan and J. J. Lin, *Phys Rev B*, 2006, **73**, 233308.
- 305 8 D. Vanmaekelbergh and L. K. van Vugt, *Nanoscale*, 2011, **3**, 2783.
- 306 9 Y. Jun, Y. Jung and J. Cheon, *J Am Chem Soc*, 2002, **124**, 615.
- 307 10 C. Liu, F. Yun and H. Morkoc, *J Mater Sci:Mater Electron*, 2005, **16**, 555.
- 308 11 B.K. Roberts, A. B. Pakhomov, V. S. Shutthanandan and K. M. Krishnan, *J Appl*
309 *Phys*, 2005, **97**, 10D310.
- 310 12 C. G. Jin, T. Yu, Y. Yang, Z. F. Wu, L. J. Zhuge, and X. M. Wu, *Materials*
311 *Chemistry and Physics*, 2013, **139**, 506.
- 312 13 Y. M. Hu, S. S. Li and C. H. Chia, *Appl Phys Lett*, 2011, **98**, 052503.
- 313 14 J. L. MacManus-Driscoll, N. Khare, Y. Liu, and M. E. Vickers, *Adv. Mater.*, 2007, **19**,
314 2925.
- 315 15 G. Brauer, W. Anwand, W. Skorupa, J. Kuriplach, O. Melikhova, and C. Moisson,
316 *Phys. Rev. B*, 2006, **74**, 045208.

- 317 16 J. Huang, L. Zhu, L. Hu, S. Liu, J. Zhang, H. Zhang, X. Yang, L. Sun, D. Li, and Z.
318 Ye, *Nanoscale*, 2012, **4**, 1627.
- 319 17 C. H. Patterson, *Phys. Rev. B*, 2006, **74**, 144432.
- 320 18 D. Galland and A. Herve, *Phys. Lett. A*, 1970, **33**, 1.
- 321 19 Q. Wang, Q. Sun, G. Chen, Y. Kawazoe, and P. Jena, *Phys. Rev. B*, 2008, **77**,
322 205411.
- 323 20 K. Ando, *Science*, 2006, **312**, 1883.
- 324 21 J. M. D. Coey, M. Venkatesan, and C. B. Fitzgerald, *Nat Mater*, 2005, **4**, 173.
- 325 22 P. E. Blöchl, *Phys Rev B*, 1994, **50**, 17953.
- 326 23 J. P. Perdew and Y. Wang, *Phys Rev B*, 1992, **45**, 13244.
- 327 24 S. J. Clark, M. D. Segall, C. J. Pickard, P. J. Hasnip, M. J. Probert and K. Refson, *Z.*
328 *Kristallogr*, 2005, **220**, 567.
- 329 25 B.D. Cullity, *Elements of X-ray Diffraction, 2nded*, Addison-Wesley, Massachusetts,
330 1978.
- 331 26 Y. M. Hu, S. S. Li, and C. H. Chia, *Appl. Phys. Lett.*, 2011, **98**, 052503.
- 332 27 J. F. Scott, T. C. Damen, W. T. Silfvast, R. C. C. Leite and L. E. Cheesman, *Opt*
333 *Commun*, 1970, **1**, 397.
- 334 28 W. S. Yan, Z. H. Sun, Q. H. Liu, Z. R. Li, T. F. Shi, F. Wang, Z. M. Qi, G. B. Zhang,
335 S. Q. Wei, H. W. Zhang, and Z. Z. Chen, *Appl Phys Lett*, 2007, **91**, 062113.
- 336 29 J. Wong, F. W. Lytle, R. P. Messmer and D. H. Maylotte, *Phys Rev B*, 1984, **30**, 5596.
- 337 30 Y. M. Hu, S. S. Li, and C. H. Chia, *Appl. Phys. Lett.*, 2011, **98**, 052503.
- 338 31 N. H. Hong, A. Ruyter, W. Prellier, and J. Sakai, *Appl. Phys. Lett.*, 2004, **85**, 6212.

- 339 32 C. Song, K. W. Geng, F. Zeng, X. B. Wang, Y. X. Shen, F. Pan, Y. N. Xie, T. Liu, H.
340 T. Zhou and Z. Fan, *Phys Rev B*, 2006, **73**, 024405.
- 341 33 N. Khara, M. J. Kappers, M. Wei, M. G. Blamire and J. L. MacManus-Dridcoll, *Adv*
342 *Mater*, 2006, **18**, 1449.
- 343 34 D. Karmakar, S. Mandal, R. Kadam, P. Paulose, A. Rajarajan, T. Nath, A. Das, I.
344 Dasgupta, and G. Das, *Phys. Rev. B*, 2007, **75**, 144404.
- 345 35 A. Kaminski and S. D. Sarma, *Phys Rev Lett*, 2002, **88**, 247202.

346 **Figure captions**

347 Fig. 1 XRD patterns of the investigated ZnO samples. The inset shows the enlarged
348 region of the (002) diffraction peak.

349 Fig. 2. (a) FE SEM images and (b) TEM images of $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays grown at
350 650 °C. (c) HRTEM image of an individual $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod and its
351 corresponding SAED pattern at the inset.

352 Fig. 3. (a) PL spectra and RRS of the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ grown at RT, 300, 500 and 650 °C
353 with excitation of 325 nm. The inset shows the PL spectra of the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ grown
354 at 650 °C. (b) The ratio of $I_{2\text{LO}}/I_{1\text{LO}}$ as a function of the substrate temperature.

355 Fig. 4. O K-edge experimental XANES spectra of the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ grown at RT, 300,
356 500 and 650 °C.

357 Fig. 5. (a) Cr K-edge experimental XANES spectra of the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ grown at RT, 300,
358 500 and 650 °C. (b) XPS spectra showing Cr 2p core level of $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod
359 arrays grown at 650 °C.

360 Fig. 6. (a) M vs H of $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays (grown at RT, 300, 500 and 650 °C,
361 respectively) at RT. (b) ZFC/FC curves for sample C4 measured at 1000 Oe.

362 Fig. 7. Spin-density isosurface for the $\text{Zn}_{23}\text{Cr}_1\text{O}_{24}$ nanorod arrays (cross-sectional view):
363 (a) without a V_{Zn} and (b) with a V_{Zn} . Here, the Zn, O, and Cr atoms are indicated by
364 gray, red, and blue spheres, respectively.

365 Fig. 8. The total and projected density of states (DOS) of the $\text{Zn}_{23}\text{Cr}_1\text{O}_{24}$ nanorod arrays:
366 (a) without a V_{Zn} and (b) with a V_{Zn} . The green dotted line indicates the Fermi level.

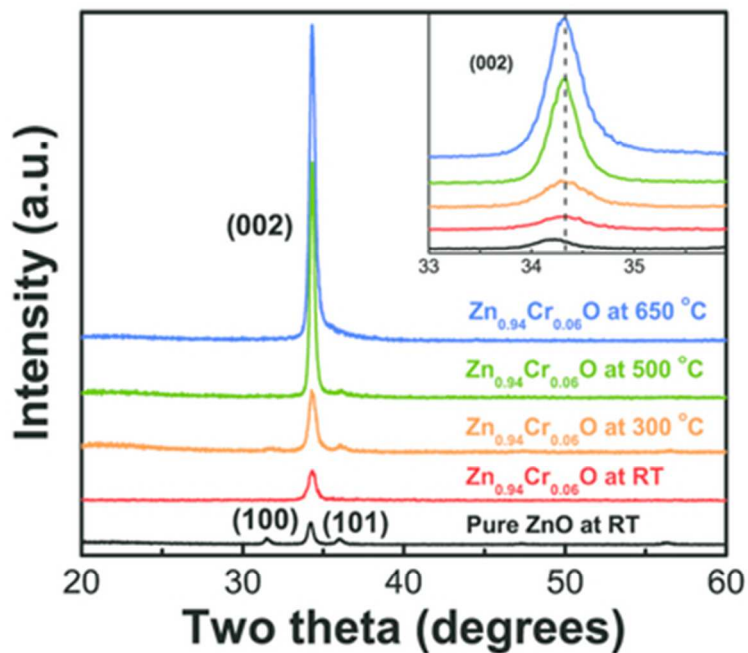


Fig. 1 XRD patterns of the investigated ZnO samples. The inset shows the enlarged region of the (002) diffraction peak.

39x32mm (300 x 300 DPI)

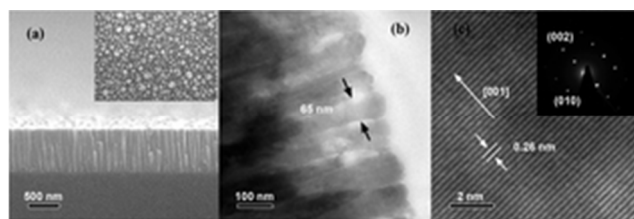


Fig. 2. (a) FE SEM images and (b) TEM images of $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod arrays grown at 650 °C. (c) HRTEM image of an individual $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ nanorod and its corresponding SAED pattern at the inset. 26x8mm (300 x 300 DPI)

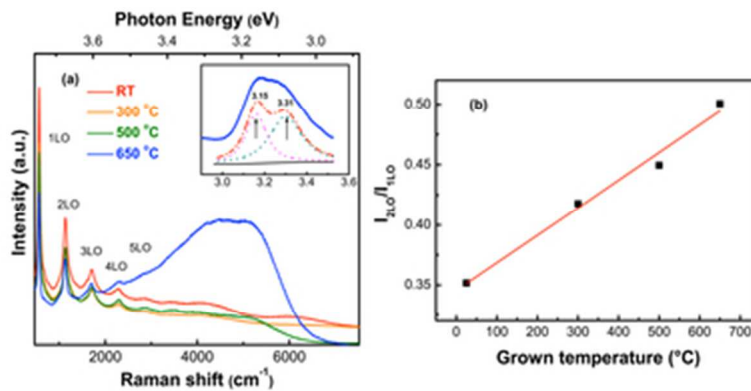


Fig. 3. (a) PL spectra and RRS of the Zn_{0.94}Cr_{0.06}O grown at RT, 300, 500 and 650 °C with excitation of 325 nm. The inset shows the PL spectra of the Zn_{0.94}Cr_{0.06}O grown at 650 °C. (b) The ratio of I_{2LO}/I_{1LO} as a function of the substrate temperature.
37x17mm (300 x 300 DPI)

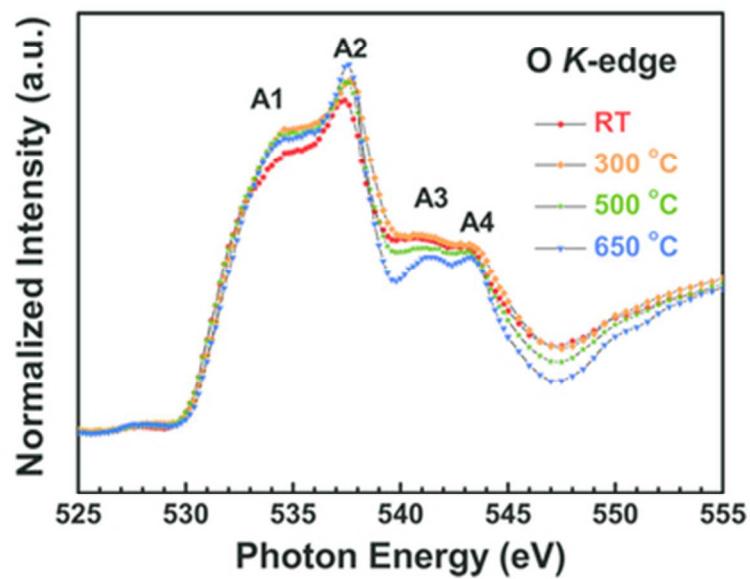


Fig. 4. O K-edge experimental XANES spectra of the $\text{Zn}_{0.94}\text{Cr}_{0.06}\text{O}$ grown at RT, 300, 500 and 650 °C. 39x28mm (300 x 300 DPI)

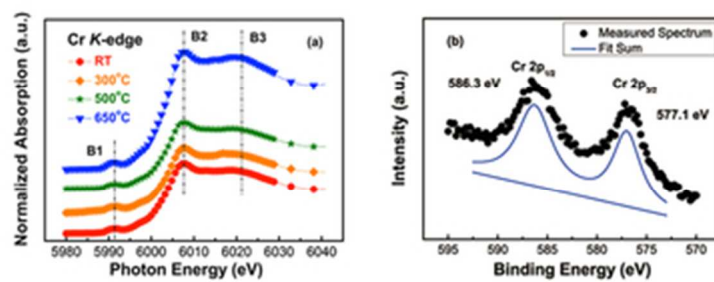


Fig. 5. (a) Cr K-edge experimental XANES spectra of the Zn_{0.94}Cr_{0.060} grown at RT, 300, 500 and 650 °C. (b) XPS spectra showing Cr 2p core level of Zn_{0.94}Cr_{0.060} nanorod arrays grown at 650 °C. 32x13mm (300 x 300 DPI)

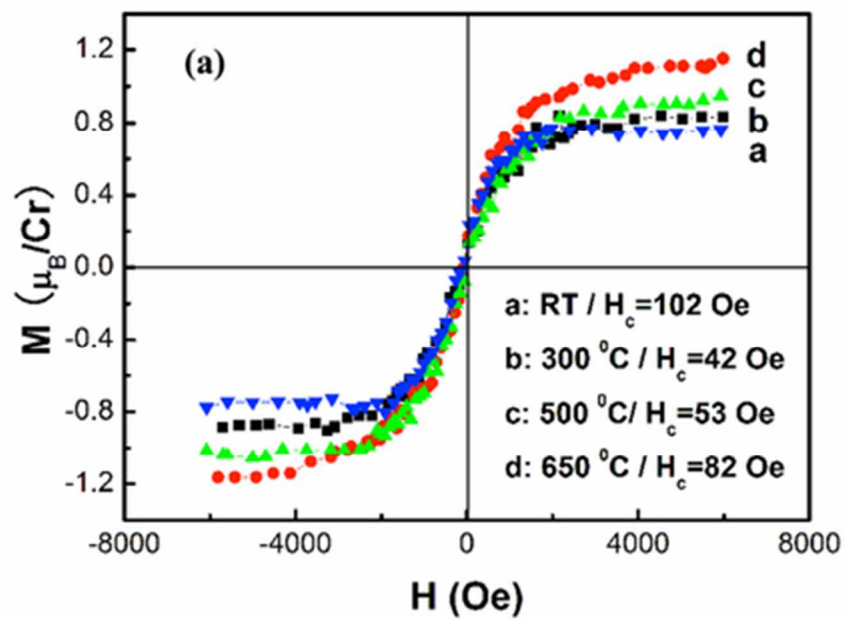


Fig. 6. (a) M vs H of Zn_{0.94}Cr_{0.06}O nanorod arrays (grown at RT, 300, 500 and 650 °C, respectively) at RT. 39x32mm (300 x 300 DPI)

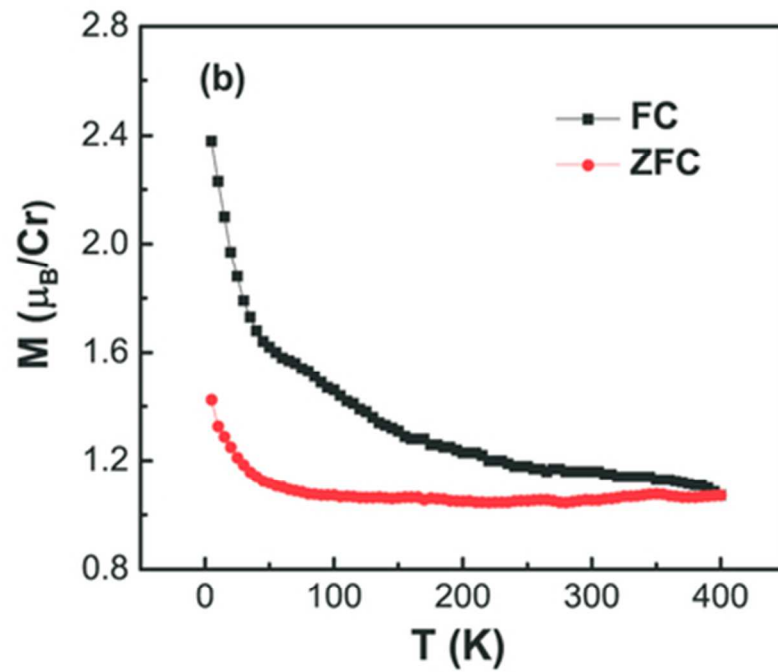


Fig. 6. (b) ZFC/FC curves for sample C4 measured at 1000 Oe.
39x32mm (300 x 300 DPI)

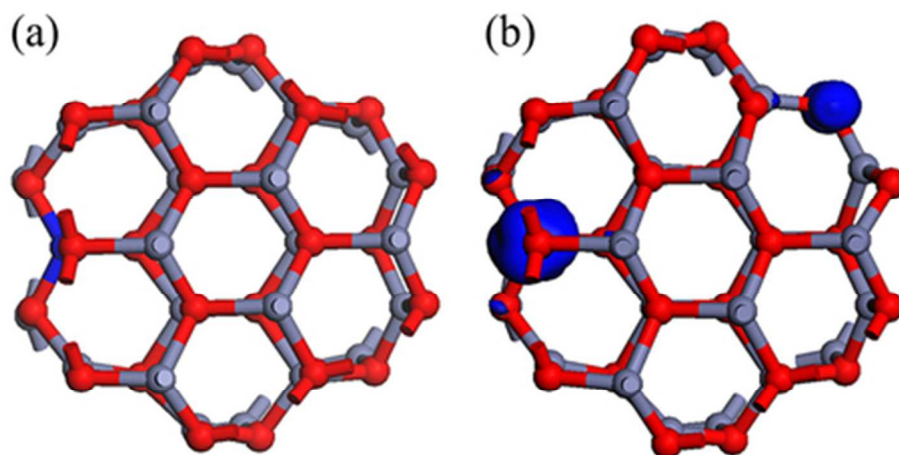


Fig. 7. Spin-density isosurface for the Zn₂₃Cr₁O₂₄ nanorod arrays (cross-sectional view): (a) without a VZn and (b) with a VZn. Here, the Zn, O, and Cr atoms are indicated by gray, red, and blue spheres, respectively.
39x20mm (300 x 300 DPI)

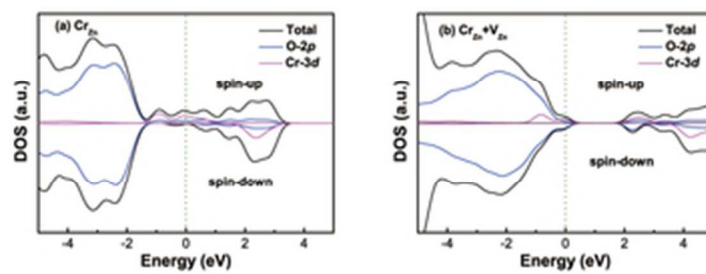


Fig. 8. The total and projected density of states (DOS) of the Zn₂₃Cr₁₀24 nanorod arrays: (a) without a VZn and (b) with a VZn. The green dotted line indicates the Fermi level.
32x12mm (300 x 300 DPI)