

# Toxicology Research

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1 **Title**2 ***In vitro* effects of low-level aldehyde exposures on human**  
3 **umbilical vein endothelial cells**

4

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15

16 **Abstract**

17 Tobacco use is associated with heart and respiratory diseases and also with a number of types of cancer. Tobacco  
18 smoke contains more than 6000 chemicals and of the most abundant ones are the aldehydes. Aldehydes have been  
19 previously shown in *in vitro* studies to induce intracellular oxidative stress and activation of stress signaling  
20 pathways, which are associated with cardiovascular disease such as atherosclerosis. Also, aldehydes form one of  
21 the toxicant groups recommended for future tobacco product regulation due to its harmful effect. However, the *in*  
22 *vitro* effect of low levels aldehyde exposure has not been established. In this study, we determined the gene  
23 expression effects of aldehydes commonly found in tobacco smoke by exposing *in vitro* human umbilical vein  
24 epithelial cells (HUVEC). The most relevant aldehydes are used: formaldehyde, acetaldehyde, acrolein,  
25 propionaldehyde, crotonaldehyde and butyraldehyde. Sub-cytotoxic exposure levels of the different aldehydes  
26 were tested regarding cell proliferation, gene expression changes, oxidative stress responses, and DNA damage.  
27 Genes associated with cardiovascular disease development such as DEPP, ARID5B, DKK1, EGR1 and IER3 were  
28 found to be dysregulated. Gene expression responses were not related to measurements of oxidative stress or DNA  
29 damage using comet assay. These findings suggest that the exposure of low-level aldehydes from tobacco smoke  
30 needs to be controlled due to its effect on genes associated with cardiovascular disease.

31

## 32 Introduction

33 Aldehydes form one of the major classes of chemicals produced by tobacco combustion. Cigarette smoke is the  
34 main source of aldehydes, which are generated from thermal decomposition during the burning or smoking process  
35 of cigarettes<sup>1</sup>. Other sources of aldehyde can be found in environmental air, cooking oils, paint, and furniture or  
36 are formed endogenously through lipid peroxidation<sup>2,4</sup>. As aldehydes are so ubiquitous, it is necessary to manage  
37 aldehyde exposure especially in situation where it's preventable. During the production process of cigarettes  
38 glycols and sugars are added to tobacco, possibly increasing the amounts of aldehydes produced during tobacco  
39 burning<sup>4,6</sup>. The concentration of aldehydes in smoke of cigarettes ranges between 1.32 to 113.82  $\mu\text{M}$ <sup>7, 8-9</sup>.  
40 Acrolein, formaldehyde, and acetaldehyde form the major bulk of the aldehyde presence in cigarette smoke,  
41 followed by crotonaldehyde, propionaldehyde and butyraldehyde<sup>8</sup>. Interest in the level of aldehydes and its effects  
42 on the human body has been mounting since the World Health Organisation Framework Convention on Tobacco  
43 Control (WHO FCTC) guidelines has expressed its intention to include aldehydes in the regulation of tobacco  
44 products<sup>10</sup>. Aldehydes are reactive compounds and can easily form adducts<sup>11</sup> with cellular protein and DNA  
45 causing toxicity to cells. Reactive aldehydes such as acrolein and crotonaldehyde, which are strong electrophiles,  
46 capable of binding to proteins causing vascular lesions such as atherosclerotic lesions<sup>12</sup> and oxidative stress<sup>13</sup>.  
47 Major endpoints of tobacco smoking are lung cancer and lung disease such as chronic obstructive pulmonary  
48 disease (COPD). Previously, gene expression profiling on A549 lung epithelial cells<sup>14</sup> found evidence that gene  
49 expression responses to aldehydes are primarily indicative for genotoxicity and oxidative stress. These toxicity  
50 mechanisms are linked to lung cancer and COPD, respectively. Besides these lung diseases, tobacco use has also  
51 been associated with cardiovascular disease such as atherosclerosis<sup>15 16-18</sup>, hypertension<sup>19</sup> and cardiopulmonary  
52 dysfunction<sup>20</sup>. Aldehydes, present in abundance in tobacco smoke, have been linked to the development of these  
53 diseases. Therefore, we set out to examine gene expression responses to tobacco smoke aldehydes in a cell line  
54 representing the cardiovascular system.

55 Most published studies on *in vitro* responses are conducted on either high concentration of aldehydes<sup>21,22</sup> or long  
56 duration i.e. more than 10 hours<sup>23</sup> or both<sup>24-27</sup>. In the case of tobacco smoke exposure, however, it occurs as  
57 repeated acute (short-term) exposures, i.e. every time when a smoker inhales.

58 It is thus worthwhile to study the chemical effects of smoke aldehydes *in vitro* to cells in an acute exposure  
59 situation at physiologically relevant levels i.e. a concentration where it is not yet cytotoxic to the cells.  
60

61 To enable us to study the effects of aldehyde exposure we performed *in vitro* gene expression profiling on an  
62 endothelial cell line. Endothelial dysfunction is associated with inflammation and atherosclerosis<sup>28,29</sup>. We exposed  
63 human umbilical vein endothelial cells (HUVEC) to aldehydes at sub-cytotoxic levels and gene expression  
64 analysis was carried out to determine their responses.

65 In a previous study using the A549 lung cell line, we found evidence for genotoxicity and oxidative stress.  
66 However, we found differences across the A549 responses to the three most abundant aldehydes (acrolein,  
67 formaldehyde, acetaldehyde) in that formaldehyde mainly induced gene expression changes related to genotoxicity  
68 whereas the response to acrolein was mainly associated with oxidative stress. To improve our understanding, we  
69 included additional tobacco smoke aldehydes (crotonaldehyde, propionaldehyde and butyraldehyde) in our attempt  
70 to gather further information on potential differences and similarities among aldehydes in the HUVEC responses.

71

## 72 **Materials and Methods**

### 73 Materials

74 HUVEC (Product Code C-003-5C) were purchased from Cascade Biologics. The following chemicals were  
75 purchased from Sigma-Aldrich: Acrolein, Formaldehyde, Acetaldehyde, Butyraldehyde, Propionaldehyde and  
76 Crotonaldehyde. Dulbecco's Phosphated Buffered Saline (DPBS) [-]CaCl<sub>2</sub> and [-]MgCl<sub>2</sub>, 0.05% Trypsin-EDTA,  
77 Fungizone, Penicillin and Streptomycin (with 5000 Units/mL Penicillin and 5000 µg/mL Streptomycin) were  
78 purchased from Gibco/Invitrogen; Medium 200 and LSGS (Low Serum Growth Supplement) were purchased from  
79 Cascade Biologics. Trypan Blue Stain 0.4% Membrane filtered, prepared in 0.85% saline was from Invitrogen  
80 Corporation. Cell Proliferation Reagent (WST-1) was from Roche Diagnostics GmbH (Mannheim, Germany).  
81 Each chemical was prepared first in phosphated buffered saline solution followed by dilution to the desired  
82 concentration with complete medium.

83

### 84 Cell culture and exposure levels

85 Complete medium consisted of Medium 200 supplemented with 2% LSGS, Fungizone (2.5 µg/mL) and 1%  
86 Penicillin and Streptomycin antibiotics. HUVEC were grown in the prepared complete medium. Cells were kept in  
87 a humidified atmosphere at 37 °C and 5% CO<sub>2</sub>. Upon reaching approximately 80% confluence, cells were  
88 passaged using trypsin solution. Cells were passaged twice a week. Cells were seeded in a flat bottom 96-wells  
89 plate at a concentration of 1.5 x 10<sup>4</sup> cells/well with complete medium for 24 h before exposure to chemicals.  
90 Passages 8 to 12 were used in this whole study.

91 The cell proliferation after exposure to the 7 chemicals was evaluated using the WST-1 assay. Cell proliferation  
92 was assessed at three time-points (1, 2, 4h) by incubating HUVEC with increasing concentrations of each  
93 aldehyde.

94 The dose range was chosen around the corresponding inhaled concentrations based on intense smoking regime  
95 collected from a smoking machine as previously described<sup>14</sup>. Compound testing concentration ranges were  
96 selected with half-log steps between doses.

97 The concentrations of each chemical that resulted in 20% loss in cell proliferation (CV80) were determined using  
98 PROAST software ([www.rivm.nl/proast](http://www.rivm.nl/proast))<sup>30</sup>. For all calculations, we used at least three independent experiments  
99 with four replicate measurement wells per dose per experiment.

100 Cell exposure concentrations for microarray analysis were based on these CV80 concentrations at up to 4 h except  
101 where they exceeded 1 mM. In the latter case the concentration was not considered physiologically relevant and a  
102 concentration of 1 mM was used for microarray profiling. The same conditions were used for HMOX1 and Comet  
103 assays.  
104

### 105 Microarray analysis

106 Based on the cell proliferation ~~viability~~ studies, aldehyde exposure concentrations in gene expression study were  
107 as follows: Formaldehyde 4.016 µM, Acrolein 0.534 µM, Crotonaldehyde 5.206 µM, Acetaldehyde 1000 µM,  
108 Butyraldehyde 1000 µM, Propionaldehyde 1000 µM. The concentrations of each aldehyde that resulted in 20%  
109 loss in cell proliferation study, ~~viability~~ were determined using PROAST software ([www.rivm.nl/proast](http://www.rivm.nl/proast))<sup>30</sup>. CV80  
110 concentrations for transcriptomics experiments were calculated for each compound on the basis of the model curve  
111 fit. For all calculations, we used at least three independent experiments with four replicate measurement wells per  
112 dose per experiment.  
113

114 Cells were exposed to compounds or PBS vehicle for 2 h. After that, cells were detached from 12-well plates with  
115 RNA protect buffer. RNA protect buffer (Qiagen, Venlo, the Netherlands) was added to the cells. Cells were  
116 homogenized and total RNA was extracted using the RNeasy kit (Qiagen). RNA concentrations were measured  
117 using a NanoDrop spectrophotometer (Thermo Scientific, Waltham, MA, USA), and RNA quality was determined  
118 using automated gel electrophoresis (Bioanalyzer 2100; Agilent Technologies, Amstelveen, the Netherlands). All  
119 RNA samples passed RNA integrity number QC, having RIN values > 7.

120 Gene expression profiling was performed at the MicroArray Department of the University of Amsterdam, the  
121 Netherlands, using the same procedures as for our previous study<sup>14</sup>. Test samples were labeled with Cy3 and a  
122 Reference sample (made by pooling equimolar amounts of RNA from test samples) was labeled with Cy5,  
123 followed by hybridisation to Nimblegen 12 x 135 k Homo sapiens HG18 microarrays. Each microarray  
124 corresponded to labeled RNA from one individual sample.

125 Raw microarray signal data were normalized in R statistical software ([www.r-project.org](http://www.r-project.org)), as described previously  
126 <sup>14 31</sup>. Normalized data for the resulting 45,033 probes were further analyzed in R and Microsoft Excel.

127 Regulated genes for the various compounds were identified by using a one-way ANOVA. Obtained p-values were  
128 corrected for multiple testing by calculating the false discovery rate (FDR). Probes with a false discovery rate <5%  
129 and absolute ratio >1.5 (between compound and vehicle control) were considered significant. When multiple

130 probes corresponding to the same gene were significant, their data were averaged to remove redundancy in further  
131 analysis.

132 Similarities across compound gene expression profiles were determined using the cosine correlation coefficients  
133 across the set of regulated genes. This type of correlation coefficient looks at overall similarities between gene  
134 expression fold changes patterns that are normalized against their overall effect size compared to the non-treated  
135 (i.e. PBS) exposure.

136 Functional and Gene Ontology (GO) term Annotation were determined using the DAVID web tool  
137 (<http://david.abcc.ncifcrf.gov>)<sup>32</sup> in combination with CoPub text mining (<http://services.nbic.nl/cgi-bin/copub/CoPub.pl>)<sup>33</sup> and the Comparative Toxicogenomics Database (<http://www.ctdbase.org>)<sup>34</sup>.

139

#### 140 Determination of HMOX1 response

141 Heme oxygenase-1 (HMOX1) is an antioxidant enzyme, which plays an important role in the protection of cells  
142 against oxidative stress due to chemical insult. We examined the effects of low-level aldehyde exposure on  
143 HMOX1 expression in HUVECs at 2-hour exposure. The exposure for the HMOX1 determination is performed in  
144 12- well plates based on the 2-hour cell proliferation concentrations of the aldehydes. After exposure, cells were  
145 washed in PBS, followed by incubation with PBS+0.5% Triton-X100 for 10 min at 0 °C. To measure HMOX1 in  
146 the cell lysates, the DuoSet IC ELISA kit from R&D Systems (USA) was used. For the determination of the  
147 amount HMOX1 in the cell lysates, measurement of the total protein is needed. This was measured using the BCA  
148 Protein Assay Kit (Pierce Biotechnology). The HMOX1 determination is prepared in accordance to the supplier's  
149 protocol. One-way ANOVA was used for the analysis of HMOX1 results.

150

#### 151 Comet Assay

152 The method used for the modified comet assay was described earlier<sup>35</sup>. Briefly, the alkaline comet assay was  
153 conducted under normal conditions (without pre-incubation with Fpg) and with a pre-incubation with Fpg. The  
154 normal comet assay measures DNA strand breaks and alkali labile sites. In the Fpg comet assay, kindly provided  
155 by Gunnar Brunborg (NIPH, Oslo, Norway), samples were treated with the enzyme Fpg, which is a glycosylase  
156 that recognizes oxidatively damaged DNA (especially 8-oxo-dG and ring-opened formamidopyrimidine lesions)  
157 and cuts the DNA at these sites. This will increase the overall number of DNA fragments, and the difference  
158 between these 2 measurements (i.e. with vs without Fpg) is usually used as an indication for oxidative stress  
159 induced DNA lesions. However, this does not hold for aldehydes, because aldehydes are able to form DNA-  
160 protein crosslinks. These crosslinks inhibit the migration of DNA in the COMET assay and therefore a smaller  
161 difference between the 2 measurements (with vs without Fpg) is indicative for more crosslinks. Taken together, the  
162 modified comet assay (computed using gene expression data) can therefore provide different kinds of data on  
163 aldehyde effects on DNA. Comet assay data were compared to gene expression responses by determining the  
164 mutual correlation between genes and comet assay parameters. Additionally, comet assay data were compared  
165 between the various exposures using a one-way ANOVA.

166

## 167 Results

### 168 *Cytotoxic effects of aldehydes on HUVEC*

169 HUVEC were exposed to a range of aldehyde concentrations for 1, 2, and 4 h. For each of the aldehyde  
170 exposures, at the different time points, concentrations were determined that led to a 20% loss in cell proliferation  
171 study, as described in the Materials and Methods section. These CV80 concentrations are given in Table 1 and  
172 Figure 1. When taken together, 4 h seems to be the most sensitive time point regarding cytotoxicity. To examine  
173 the gene expression responses in the period leading up to this time point, the 2 h time point was chosen for  
174 microarray gene expression analysis.

### 176 *Gene expression responses*

177 To determine HUVEC gene expression responses for the various aldehyde exposures, RNA isolated from exposed  
178 cells was used for microarray analysis. Using the same statistical stringencies as used in our A549 study<sup>14</sup> (FDR  
179 5% and absolute ratio to PBS control > 1.5) we found 17 genes differentially expressed by at least one aldehyde  
180 (Table 2).

181 The magnitudes of the response differed across the various aldehydes but were most pronounced for  
182 propionaldehyde and butyraldehyde. Overall responses to aldehydes differed in (quantitative) magnitude, but were  
183 mostly similar in their (qualitative) nature. This is also illustrated by the cosine correlations, which is a measure on  
184 overall similarity of the compound responses across the dysregulated genes, with a value of 1.0 (exactly similar) to  
185 0 (no similarity), Correlation values between the compound profiles which were all > 0.5 (Table 3) indicating there  
186 is a positive similarity across the affected genes by each aldehyde. Correlation values were especially high for  
187 propionaldehyde and butyraldehyde. These findings all points towards common transcriptomic responses of  
188 HUVEC to the different aldehydes.

189 In general, a total of 17 genes were differentially expressed at this short term 2 hour exposure at sub-cytotoxic  
190 level, with 13 genes up-regulated and 4 genes down-regulated (Table 2 and Figure 2). Among the regulated genes,  
191 two genes showed consistent down-regulation for all aldehyde exposures, namely CXXC5 and SMAD5. Several  
192 other genes showed consistent up-regulation (Table 2), most notably C10ORF10 (DEPP), LRIG3, and PNR1.  
193 C10ORF10 (DEPP) gene is the most significantly affected gene with 2.66- and 4.05-fold upregulation after  
194 exposure to propionaldehyde and butyraldehyde, respectively.

195 Functional annotation analysis found no evidence for a significant functional enrichment based on GO terms or  
196 other databases. However, literature search and CoPub text mining analysis gave evidence that many of the genes  
197 are associated with cardiovascular disease (DEPP, ARID5B, DKK1, EGR1, IER3) and stress response (ERF11,  
198 SMAD6, GADD45A) as well as pathways associated with these processes.

199 Contrary to our expectations, we did not find a significant effect on the heme oxygenase gene, although aldehyde  
200 exposure is associated with generation of oxidative stress and HMOX1 was found to be induced by acrolein in  
201 A549 cells<sup>14, 36, 37</sup>. Gene expression ratios for HMOX1 were mostly subtle up to 1.091 (acetaldehyde), with the  
202 notable exception of crotonaldehyde, which gave a 2.019 fold induction of HMOX1 expression at 2 h exposure.  
203 However, it should be pointed out that this induction did not meet the statistical significance threshold of 5% FDR.

204

### 205 *HMOX1*

206 To examine oxidative stress responses in more detail, we determined HMOX1 protein levels upon exposure for 2-  
207 h timepoint at levels used for gene expression analysis. HMOX1 levels to the 7 aldehydes studied are given in  
208 Figure 3. A one-way ANOVA comparison between these groups have a p-value of 0.015, however, in a post-hoc  
209 comparison (Dunnnett's test, Bonferroni correction) none of the aldehydes showed a significant difference when  
210 compared to the control (p > 0.1). Crotonaldehyde was found to affect HMOX levels, followed by butyraldehyde.  
211 We found both crotonaldehyde (at 5.2 μM and 2-hour exposure) and butyraldehyde (at 1000 μM), at 2-hour  
212 exposure, to increase the level of HMOX1 in HUVEC by 50% and 15% respectively, although this was not  
213 statistically significant (P > 0.1). The other 4 aldehydes did not show any changes in the expression of HMOX1.  
214 The results suggest that formaldehyde and acrolein, although present at levels that weakly affect cell proliferation,  
215 are not potent enough to induce elevated levels of HMOX1. Overall, under the present exposure conditions, no  
216 significant increase in the cellular oxidative response as measured with HMOX1 was observed.

217

### 218 *Comet Assay*

219 In the comet assay experiment, we found less pronounced effects compared to gene expression results (Table 4).  
220 For each of the four parameters given in Table 4, a one-way ANOVA comparison across the seven exposures  
221 results in a p-value > 0.4. The Fpg comet assay assesses a combined effect of oxidative stress (*i.e.* strand breaks  
222 and alkali labile sites that increase migration of DNA in the COMET-assay) and DNA-crosslinks (leading to  
223 decreased migration). Since we found no significant oxidative stress related gene-expression changes and Fpg

224 treated samples that were exposed to aldehydes generally had lower percentage tail-DNA than control cells, we  
225 assume that the observed differences are mainly due to aldehydes forming DNA-DNA or DNA-protein crosslinks.  
226 The results showed that the DNA damage can be ranked as (smallest to largest damage): propionaldehyde <  
227 crotonaldehyde < (formaldehyde, acrolein) < butyraldehyde < acetaldehyde. It is interesting to find the effect of  
228 4.106  $\mu\text{M}$  formaldehyde has the same DNA damage extend to that of acrolein which is at a concentration about 7.6  
229 times lower at 0.534  $\mu\text{M}$ . Similarly, crotonaldehyde, which has the concentration at 5.206  $\mu\text{M}$ , was found to have a  
230 stronger effect than propionaldehyde at 1000  $\mu\text{M}$ .

231 To help understand this finding, we determined the correlations between gene expression and the comet assay  
232 difference between samples with and without treatment with FpG (potentially representing crosslinks). Among  
233 genes that correlated negatively ( $R < -0.8$ ,  $P = 0.031$ ) with the comet assay difference, we found enrichment for  
234 apoptosis and cytoskeleton/kinetochore-associated genes. These findings suggest that the cells are delayed at the  
235 cell cycle checkpoint, leading to cell cycle arrest and/or apoptosis.

236



237 **Discussion**

238 Aldehydes form one of the major groups of chemicals found in cigarette smoke, and accumulating evidence shows  
239 its role in the development of tobacco related diseases. To broaden our knowledge on the effects of aldehydes on  
240 the cardiovascular system, we exposed human endothelial cells to the most common aldehydes present in tobacco  
241 smoke and compared the effect on gene expression level as well as other endpoints.

242 In the gene expression results, several of the genes found in this study have been associated with cardiovascular  
243 disease development, for example, DEPP, ARID5B, DKK1, EGR1 and IER3. C10ORF10 (or DEPP) is expressed  
244 in peripheral vascular endothelial cells and the gene was reported as upregulated in neo-vascularization and tumor  
245 angiogenesis settings<sup>38</sup>. We found here that propionaldehyde and butyraldehyde significantly upregulated DEPP.  
246 Interestingly, this gene is also induced by the other aldehydes in this study, and is one of the most strongly  
247 responding genes. This indicates that further investigation into this gene and its response to compound exposure  
248 may be warranted to gain understanding of its functionality.

249 EGR1 functions as transcriptional regulator that responds to a number of stimuli. In a study on cardiovascular  
250 damage after ischemia/reperfusion, EGR1 was found to be overexpressed in myocardial tissues (both *in vivo* and  
251 *in vitro*) and to be downregulated by cardioprotective calcium channel blockers, indicating a mechanistic role in  
252 cardiovascular damage<sup>39</sup>. Other studies found that EGR1 contributes to Ang-1-induced endothelial cell migration  
253 and proliferation<sup>40</sup> and that cigarette smoking induces vascular proliferative disease through the activation of Egr1  
254<sup>41, 42</sup>. Also, EGR1 is involved in vascular cell proliferation<sup>43</sup>. Additionally, EGR1 is upregulated in the thrombus-  
255 covered wall of human abdominal aortic aneurysm and contributes to the thrombotic and inflammatory  
256 pathogenesis involved<sup>43, 44</sup>.

257 Pyruvate dehydrogenase kinase-4 (PDK4) is responsible for the regulation of acetyl-CoA where glucose is  
258 converted to fatty acids or amino acids. We found upregulation of PDK4 gene in HUVEC upon exposure to  
259 butyraldehyde and (albeit not significant) to most other aldehydes. Overexpression of PDK4 is reported in the  
260 heart and other tissues of diabetic rodents<sup>45</sup>. Work by Zhao et al<sup>46</sup> found that overexpression of PDK4 is sufficient  
261 to cause metabolic imbalances and provoke cardiomyopathy as a result of stress induced pathways.

262 Gene Ontology annotations indicate that EFNA1 and EFN2 are tyrosine kinases involved in angiogenesis and  
263 cardiac development.

264 For some genes found dysregulated in our study, a functional connection to cardiovascular disease was found by  
265 means of genetic studies.

266 ARID5B is highly expressed in the cardiovascular system and a study on a Japanese population, found association  
267 between genetic variation of ARID5B and susceptibility to coronary atherosclerosis<sup>47</sup>.

268 Other genetic studies have found an association between LRIG3 and risk of incident heart failure in adults of  
269 European and African ancestry<sup>48</sup> and between genetic variation of SMAD6 and congenital cardiovascular  
270 malfunction<sup>49</sup>.

271 Besides genes involved in cardiovascular (dys)function or development, we found regulation of several genes for  
272 which Gene Ontology or text mining indicated a role in stress response, such as ERFF1, which was found to be  
273 upregulated during chronic stress<sup>50, 51</sup>. In addition to this, a gene associated with DNA damage signaling and  
274 apoptosis,<sup>52</sup> CXXC5 was significantly upregulated. CXXC5 was found to be dysregulated in butyraldehyde  
275 exposure in HUVEC cell lines. The function of this CXXC5 (CXXC finger 5 (CF5)) is not well known but in a  
276 recent study, CXXC5 gene has been identified as a critical component in the DNA damage-signaling pathway<sup>52</sup>.  
277 Other genes of similar functions, such as GADD45A and IER3 were found to be upregulated rather significantly  
278 by butyraldehyde. Several studies found that GADD45A plays an important role in control of cell-cycle  
279 checkpoint, DNA repair process and signaling transduction, and DNA damaging agents induces its expression<sup>53</sup>.  
280<sup>54</sup>. Upregulation of GADD45A has found to contribute to stress response effect that causes cardiomyopathy in  
281 diabetic condition<sup>55</sup> and dysregulated blood pressure in pregnant women<sup>56</sup>.

282 Immediate early response gene 3, IER3 is reported to be involved in various cellular functions and have a role in  
283 the physiology of the cardiovascular system. In the hearts from pressure overloaded mice due to aortic  
284 constriction, IER3 expression was elevated in the strained myocardium<sup>57</sup>, similar to the strain induced IER3  
285 expression in cultured cardiomyocytes<sup>58</sup>. Previous studies by Lee et al<sup>59</sup> and Jeong et al<sup>26</sup> which treated HUVEC  
286 with acrolein at 10  $\mu$ M and 25  $\mu$ M for 1 hour and 6 hours and crotonaldehyde at 10  $\mu$ M for 2 and 12 hours found a  
287 strong and significant effect on cardiovascular genes. This “no-observed-effect level” as found in this study, may  
288 be due to short exposure time coupled with relatively low sub-cytotoxic levels of acrolein and crotonaldehyde  
289 exposure (at 0.534  $\mu$ M and 5.206  $\mu$ M respectively). This shows that at low level and short exposure interval, there  
290 is not yet a detrimental effect on the cells, although this may not be the case if the low level of exposure is repeated  
291 over a prolonged period of time through continuous smoking or exposure to this aldehyde.

292 Earlier studies on HUVEC found evidence of oxidative stress when exposed to acrolein for 0.5 to 1.0 hours above  
293 1  $\mu$ M<sup>60, 61</sup> and that this effect is restored after 24 hours. Another study<sup>62</sup> found similar effects by crotonaldehyde,  
294 another  $\alpha$ ,  $\beta$ -unsaturated aldehyde, which lead the authors to conclude that HUVEC is capable of maintaining the  
295 balance of the redox status via an antioxidant enzymatic process as an adaptive response towards oxidative stress.  
296 In our study, perhaps due to shorter exposure or lower dose or both, we did not find significant effects on oxidative  
297 stress. Additionally, we did not observe significant effects on several other genes or pathways that might have  
298 been expected to respond to aldehyde exposure. These include adhesion molecules and other genes involved in

299 endothelial function; inflammatory response genes such as cytokines; as well as genes involved in aldehyde  
300 metabolism (such as aldehyde dehydrogenases or aldo-keto reductases). For these pathways, the short exposure  
301 time and low dose are probably reasons why no significant regulation was found. However, whereas these findings  
302 were contrary to our expectations, it is interesting that, under these conditions, we did find effects on gene  
303 expression indicating perturbed cardiovascular functionality. These changes warrant further study to determine  
304 their relevance for tobacco smoke regulation.  
305

306 The extent of DNA damage caused by the various aldehyde exposures was assessed using the comet assay<sup>63</sup>.  
307 Aldehydes are able to form strand breaks and protein-crosslinks, which may inhibit the migration of DNA in the  
308 comet assay; a smaller difference in percentage DNA in the tail between the measurements with Fpg *versus*  
309 without Fpg in aldehyde treated cells, when compared to the control sample, is indicative for possible crosslinks  
310 caused by these aldehydes. DNA damage was found upon exposure to (in increasing order) formaldehyde,  
311 acrolein, crotonaldehyde and propionaldehyde at the onset of cytotoxic (CV 80) concentrations at a short 2-hour  
312 exposure. Within the context of the present study, there is only limited DNA damage found in the comet assay.  
313 Similarly as for oxidative stress, this can probably be attributed to short exposure time and exposure at the onset of  
314 cytotoxic concentration. At higher level of exposure, DNA strand breaks and DNA protein cross links effects were  
315 reported on acrolein at 1-hour exposure of above 3 $\mu$ M<sup>64</sup>, at mM level for formaldehyde, acrolein and  
316 crotonaldehyde<sup>65</sup> and above 100mM for acetaldehyde, propionaldehyde and butyraldehyde<sup>66</sup>.

317 Overall, however, we found no correlation between aldehyde chain length, unsaturated bonds, or concentration,  
318 and the degree of DNA crosslinking, nor with gene expression responses of the genes that were specifically found  
319 for all aldehydes. Most notably, the two aldehydes that gave the most pronounced gene expression responses  
320 (propionaldehyde and butyraldehyde) give the most divergent comet assay results. When correlating gene  
321 expression changes with the amount of damage, we find evidence for cell cycle arrest and/or apoptosis for those  
322 genes that correlate negatively with the comet assay data. Taken together, the combined comet assay data show  
323 limited signs of DNA damage for the exposures used in this study, and the gene expression changes as described in  
324 Table 2 are of a different nature than those involving genotoxicity.

325

## 326 **Conclusions**

327 To summarize, we found that various aldehydes give qualitatively similar gene expression responses in genes  
328 associated with cardiovascular dysfunction. Therefore, we conclude that under the conditions used in this study  
329 (relatively short exposures to sub-cytotoxic levels), the responses in HUVEC indicate that aldehydes might  
330 influence processes underlying cardiovascular risks. These gene expression responses occur at exposures at which  
331 oxidative stress and genotoxicity data do not occur at a statistically significant level. Although a limitation of this  
332 study is that only one time-point was studied and not at continuous exposure, this study indicates that exposure to  
333 aldehydes, in sub-cytotoxic amount, for instance through smoking, may contribute to the development of vascular  
334 diseases. Further work needs to be carried out to understand the continuous exposure of aldehyde *in vivo* (human)  
335 related to DNA damage and various toxicological effects in the human biological system.

336

## 337 **Conflict of Interest**

338 The authors declare that they have no conflict of interest. The content is solely the responsibility of the authors and  
339 does not necessarily represent the views of National Institute of Public Health, The Netherlands (RIVM),  
340 Netherlands Food and Consumer Product Safety Authority (NVWA) or Health Sciences Authority (HSA),  
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342

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**Table 1:** Calculated concentration (in  $\mu\text{M}$ ) corresponding to 80% cell proliferation study for the 3 time points studied.

Time Point (hour)	1	2	4
Formaldehyde	61.7	6.8	4.0
Acetaldehyde	> 1000	> 1000	> 1000
Acrolein	12.8	7.3	0.53
Propionaldehyde	> 1000	> 1000	> 1000
Crotonaldehyde	19.4	9.5	5.2
Butyraldehyde	> 1000	> 1000	> 1000

Table 2: Ratios to control for significantly regulated genes by at least one compound\*

	form	acet	acro	prop	croton	butyr
ARID5B	0.930	1.040	0.942	1.142	1.052	1.631
C10ORF10	1.264	1.158	1.101	2.668	1.167	4.053
CXXC5	0.876	0.932	0.937	0.806	0.834	0.634
DKK1	1.089	1.105	1.009	0.910	1.138	0.533
EFNA1	0.996	1.063	0.981	1.253	0.984	1.543
EFNB2	1.017	1.024	1.012	1.361	1.049	2.021
EGR1	1.052	1.006	1.054	1.236	1.030	1.513
ERRFI1	1.016	0.919	0.998	1.130	1.039	1.659
FAM13A	1.076	1.104	1.004	1.320	1.081	1.681
GADD45A	1.020	1.036	0.957	1.335	1.034	1.933
GBP1	1.004	1.033	1.050	1.321	1.160	1.756
IER3	0.946	1.041	1.039	1.331	1.008	1.715
LRIG3	1.177	1.196	1.097	1.313	1.182	1.535
PDK4	1.074	1.015	1.001	1.340	1.141	1.603
PNRC1	1.028	1.113	1.111	1.431	1.182	1.615
RIMBP3	1.083	1.061	1.088	0.915	0.951	0.662
SMAD6	0.825	0.928	0.934	0.772	0.866	0.637

\*Affected genes have more than  $\pm 1.50$  fold change (i.e.  $> 1.5$  or  $< 0.67$ ) after 2 hour exposure of HUVEC to the aldehydes relative to PBS as vehicle control.



Table 3: Overall correlation analysis (using the cosine correlation approach) among the aldehydes, which is showing the extent of similarity among the regulated genes for the various exposures

aldehydes	form	acet	acro	prop	croton	butyr
form	1	0.666	0.668	0.616	0.677	0.459
acet		1	0.683	0.700	0.718	0.542
acrolein			1	0.599	0.677	0.452
prop				1	0.742	0.950
croton					1	0.672
butyr						1

Table 4: HUVEC analyzed with Comet Assay performed with and without pretreatment with Fpg. Cells were exposed for 2 hours at the concentrations used for microarray, relative to DMSO as control.

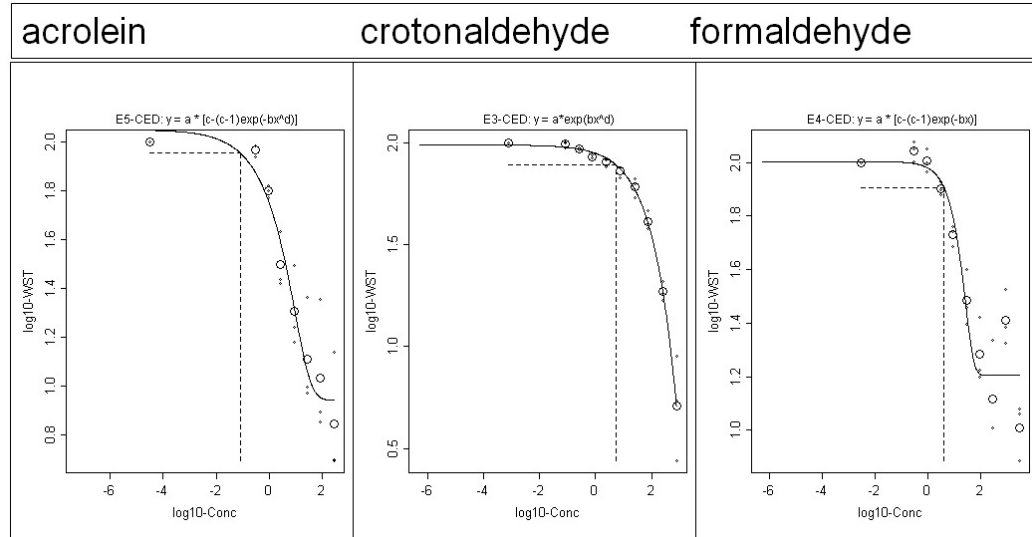
Chemical	Concentration μM	Average (%DNA in Tail)			
		Without Fpg	With Fpg	Difference (with Fpg minus without Fpg)	Difference vs Control (± SD)
Control	0.00	0.94 ± 0.46* <sup>@</sup>	3.24 ± 0.18	2.30 ± 0.49	0 (± 0.69)
Formaldehyde	4.00	0.79 ± 0.47	2.71 ± 0.19	1.92 ± 0.51	-0.38 (± 0.71)
Acetaldehyde	1000	0.79 ± 0.13	1.57 ± 0.17	0.78 ± 0.21	+1.52 (± 0.53)
Acrolein	0.53	1.33 ± 0.22	3.30 ± 1.42	1.97 ± 1.43	-0.33 (± 1.51)
Propionaldehyde	1000	0.60 ± 0.01	3.35 ± 0.98	2.75 ± 0.98	+0.45 (± 1.10)
Crotonaldehyde	5.20	1.03 ± 0.09	3.09 ± 0.10	2.06 ± 0.13	-0.24 (± 0.51)
Butyraldehyde	1000	1.51 ± 0.36	2.34 ± 0.01	0.83 ± 0.36	-1.47 (± 0.61)

\*%DNA in the tail

<sup>@</sup>Mean of n=2 analyses

## Figures

Figure 1: Cell proliferation and CV80 determination of acrolein, crotonaldehyde and formaldehyde exposure during 4 h:



The three graphs represent concentration dependent effect on cell proliferation (CV80) of acrolein, crotonaldehyde and formaldehyde determined by WST-1 cytotoxicity assay at 4 hour time point on HUVEC.

Figure 2: Heatmap of gene expression responses of aldehyde exposed compared to PBS control

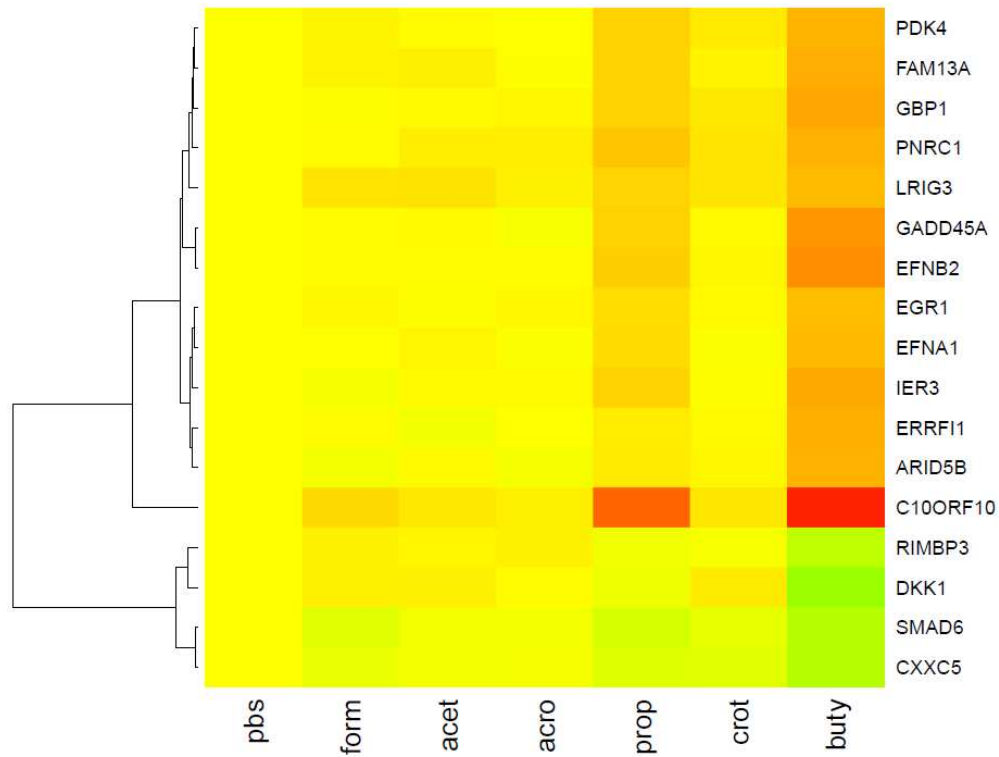
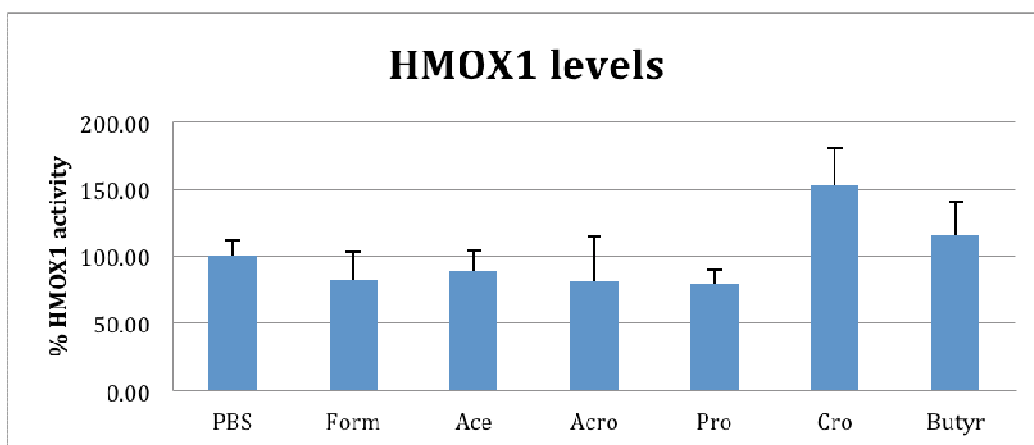


Figure 3: HMOX1 protein levels after 2 hour exposure of the six aldehydes at the concentrations used for microarray, calculated as percentage relative to PBS vehiculum as control



\*Mean value is presented with n=3. No significant differences are observed ( $P>0.1$ ).