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COMMUNICATION

Composite SERS-based satellites navigated by optical tweezers for single cell analysis

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Here we have designed composite SERS active micro-satellites possessing a dual role working as: i) effective probes for cellular composition and ii) optically movable and easily detectable markers. The satellites were synthesized by the layer-by-layer assisted decoration of silica microparticles with metal (gold or silver) nanoparticles and astralen in order to ensure satellite SERS-based microenvironment probing and satellite recognition, respectively. A combination of optical tweezers and Raman spectroscopy can be used to navigate the satellites to a certain cellular compartment followed by the cellular uptake and also to probe the intracellular composition. The developed approach may serve in future as a tool for the single cell analysis focusing on both extracellular and intracellular studies with nanometer precision due to the multilayer surface design.

The single cell analysis is nowadays an extremely developing and highly promising scientific field aiming at analysis of extracellular and intracellular composition up to the level of a single cell.¹ High heterogeneity of biological cells has stimulated a research towards biological analysis of a single cell.^{2,3} Up to now the single cell analysis is fast being developed in applied fields such as diagnostics and biosensors. A number of different techniques applicable to the single cell analysis are rapidly growing including microfluidics that gives an option to separate biological cell and reduce analyzed volumes.^{4,5}

SERS is a non-contact and label-free method already applied and having strong potential to reveal a composition of

biological tissues in real-time.^{6,7} The method has high sensitivity allowing us to detect of a single molecule⁸ and approach the single cell analysis.⁹ Up to now progress in SERS is limited by the development of new nanostructured materials which can be used as SERS platforms for biomedical applications. Generation of effective surface enhancement of Raman scattering was demonstrated by specially prepared metal surfaces with high roughness. Various types of rough metal surfaces were obtained by patterning¹⁰⁻¹² or multistage transfer printing¹³ and nanoparticle assemblies made of gold nanorods,^{14,15} nanostars,^{16,17} mesoflowers,¹⁸ silver nanorods,¹⁹ nanowires²⁰ and core-shell nanoparticles¹⁰. Thanks to the great progress in synthesis of metal nanoparticles, one of the simplest and most cost-effective strategies to manufacture SERS platforms is to fabricate self-assembled nanoparticles. However, the limitations to use the nanoparticles are caused by their small sizes leading to great difficulties in their manipulation and detection. More importantly, accumulation of SERS signal from single nanoparticle still remains challenging. In contrast, micrometer sized particles can be easily observed using an optical microscope. Moreover, it is also reported that microparticles of spherical shape can be easily moved with optical tweezers.²¹⁻²³ The layer-by-layer assembly method has already been applied to the formation of nanocomposite shells using SERS platforms.^{24,25} The shells can be engulfed by a living cell allowing SERS based intracellular analysis.²⁶ However, as far as we know there are no studies demonstrating externally triggered positioning of SERS active platforms for localized cellular studies. Here for the first time we demonstrate

that optical tweezers can be used to position SERS active microspheres onto a surface of L929 mouse fibroblasts and the intracellular composition can be probed for the engulfed microspheres.

First, we synthesized composite microparticles to be used as SERS active satellites. As a solid support we chose silica microparticles because the particles are well suited for surface modification. The LbL assembly technique allows us to modify a surface of various solid particles to possess certain functionality.²⁷⁻²⁹ We utilized this approach for making the satellites detectable by Raman spectroscopy. For this purpose a thin multilayer film (poly(allylamine hydrochloride)/astralen)₃ or (PAH/Astralen)₃ was deposited on the surface of solid silica microparticles (Fig. 1). Astralen serves as a marker easily detectable by Raman spectroscopy.³⁰ PAH is here a kind of “glue molecules” providing complexation of the astralen particles by the LbL manner to tailor astralen on the microparticle surface. Three bilayers were chosen to ensure enough molecules of astralen per particle surface.

At the next step the particles were modified by metal nanoparticles in order to create surface for effective enhancement of a Raman signal (Fig. 1). Both silver and gold nanoparticles as well as films made from these nanoparticles are known to be effective SERS coatings.^{26,31} Silver or gold were chemically reduced to the surface by previously established protocols.³² The composition of the core-shell microparticles was as follows: silica microparticles/(PAH/astralen)₃/Au(or Ag).

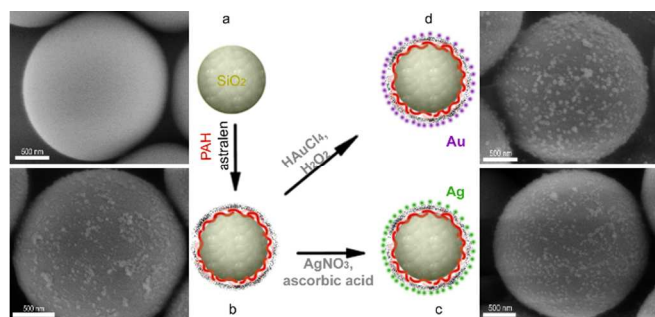


Fig. 1. The scheme of fabrication of SERS active satellites and corresponding SEM images at every fabrication step: a - SiO₂ microspheres, b - SiO₂/(PAH/Astralen)₃, c - SiO₂/(PAH/Astralen)₃/Ag and d- SiO₂/(PAH/Astralen)₃/Au microspheres.

SEM analysis clearly demonstrates an increase of the surface roughness after deposition of (PAH/astralen)₃ film as well as after the metal nanoparticles adsorption and subsequently their chemical reduction. The surface roughness of the microparticles after the deposition of the metal nanoparticles (Au or Ag) is in the range comparable with the sizes of probed molecules. This increase a chance to get strong enhancement of the Raman signal for the biomolecules located in the vicinity of the inhomogeneous metal surface. As shown in our previous study the deposition of (PAH/astralen)₃/Ag onto CaCO₃ microparticles leads to an effective enhancement of Raman

signals of biomolecules (e.g. polylactide and hyaluronic acid) commonly used for tissue engineering applications.³² However, in contrast to the silver particles the plasmon peak of the particles coated with gold is red-shifted. This allows using infrared laser range and thus to carry out non-invasive manipulation in a living tissue. Therefore, we tested the SERS activity of the satellites synthesized here for cellular experiments as will be shown later.

At the next step we have tested the capability of holding engineered microparticles by optical tweezers. The holding and subsequent moving were carried out by a continuous-wave diode infrared laser. Both types of the satellites (coated with silver or gold nanoparticles) were trapped by a focused laser beam. However, trapping of the gold-coated satellites was more effective because silver coated particles were escaped from a laser trap more often. We believe that this behavior deals with a low chemical stability of the silver coatings as compared with the gold ones.³³ Thus, the structure of the silica-based platforms with the gold coating was more effective providing stable capture by laser tweezers as schematically shown in Fig. 2a,b (see also video file in the Supporting information section). According to this scheme, the movement of the laser beam towards the glass substrate results in simultaneous moving of the captured satellites to the same direction. In the real cellular experiment the described movement leads to a clear visual observation of the cell (Fig. 2c-d).

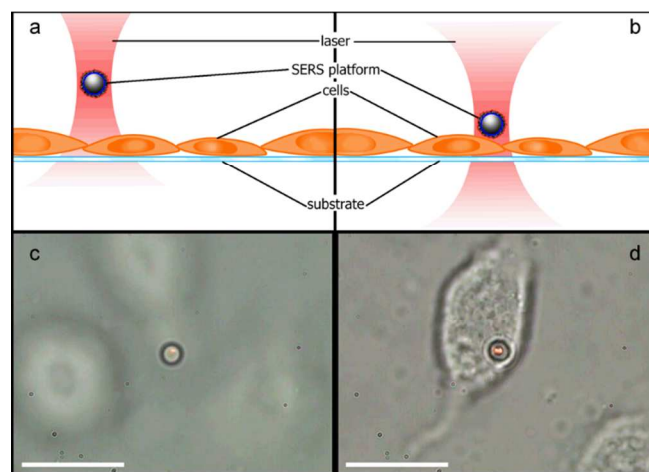


Fig. 2. Schematics of SERS active satellites trapping by laser tweezers to the surface of a cell (a-b). Optical transmission images of SERS active satellite trapped by tweezers above L929 mouse fibroblast cell (c) and in the vicinity of cell membrane (d). For movie see the Supporting information. Scale bars in optical images are 10 μm.

The cell is better focused when the satellite approaches the cellular surface. Upon contact of the satellite with the cellular surface the focused laser was off leading to sticking of the satellite to the cell surface. Thus, one can easily choose a position of interest on the cell surface with a micrometer precision and navigate the satellite to this position and subsequently release the satellite. The experiments with cells were carried out both in PBS buffer and in culture medium. In

both cases the SERS platforms were successfully taken and consistently held by the laser trap (see video in the Supporting information section). This demonstrates that the navigation by the optical tweezers can be performed under physiological conditions in the course of the real cellular experiment.

It is of interest to explore an intracellular environment by the satellites placed in contact with a certain cellular compartment. We found that after the contact with the cell surface the satellites were engulfed by a cell after four hours of incubation. This was proven by CLSM imaging using Z-stacking (Fig. 3). For this experiment the cells were stained by calcein according to the protocol elaborated earlier.³⁴ The red satellite (prepared using PAH-tetramethylrhodamine (PAH-TRITC)) can be easily recognized in the green-colored cell (Fig. 3b). The Z-stacking reconstruction profiles were obtained along two perpendicular lines (red and green), which went through the satellites and the cell. In spite of the fact that resolution of Z-stacking is not high enough compared to XY-plane scanning,³⁵ one can clearly see that the satellites were located next to the bottom of the glass but not on the cell top. This observation proves that the cells engulf the satellites after four hours of incubation.

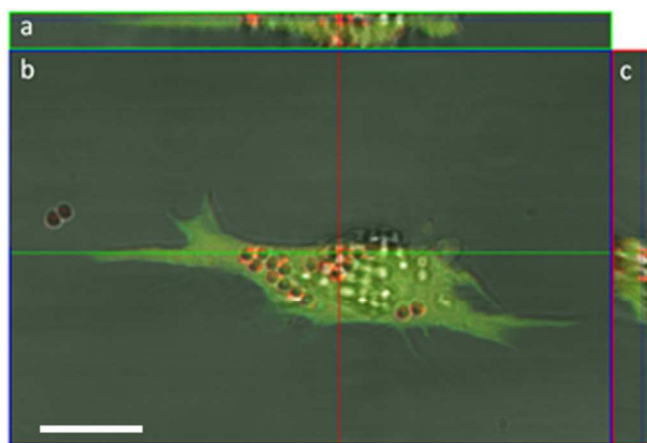


Fig. 3. (b) - CLSM image of L929 mouse fibroblast cell after 4 hours of incubation with $\text{SiO}_2/(\text{PAH-TRITC}/\text{Astralen})_3/\text{Au}$ particles. The cell has been staining by calcein (green color). (a) and (c) - reconstruction images made from Z-stacking of profiles obtained along the red and green lines on the image (b), respectively. Scale bar equals 20 μm .

The cytotoxicity analysis was performed by MTT technique. In the test a mouse fibroblast cell line L929 was used. The cells were cultivated in Dulbecco's modified Eagle medium (DMEM) supplemented with 10% FBS in culture flasks (25 cm^2) in a CO_2 incubator at 37°C in a humidified atmosphere containing 5% of CO_2 . To carry out MTT-test, the cells were seeded into 96-plates (10⁴ cells/well) and exposed in CO_2 incubator in the presence of various SERS platforms for 24 hours. As seen in Fig.1, we did not observe any acute cytotoxicity in a range of SERS concentrations (about 1000-2000 particles/well) which were used in this study. The cell viability changing was not statistically significant compared to

the control (monolayer culture without SERS platforms (Figure S2).

Following the navigation experiments we focused on the probing of the intracellular environment by the developed satellite. Before Raman experiments the cell culture medium was replaced by PBS buffer after cell cultivation for approximately 4 hours on a glass substrate. Afterwards Raman spectra were acquired from the satellite located inside the cell at laser power of 0.2 μW and irradiation wavelength of 785 nm. This extremely low power of the used IR laser ensures non-invasive Raman measurements.³⁶

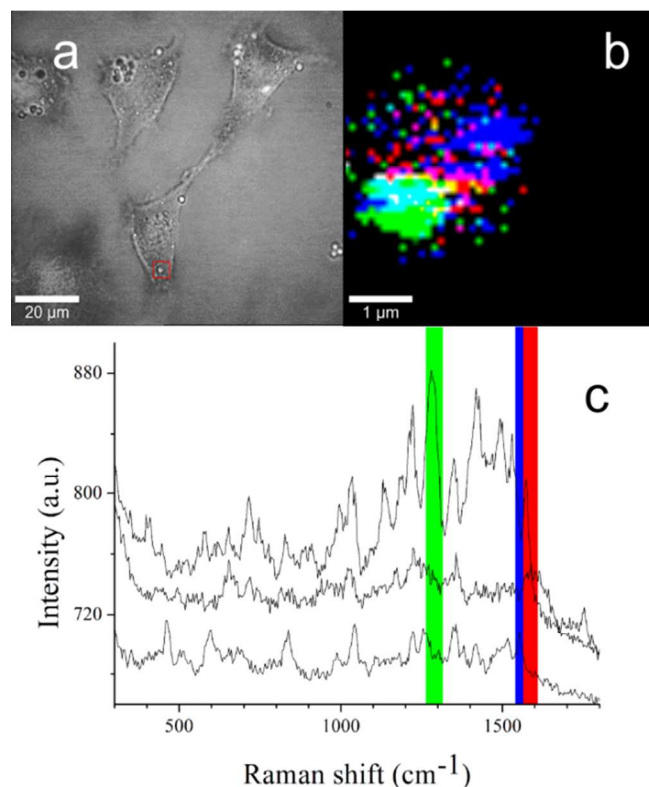


Fig. 4. a - Optical image of L929 mouse fibroblast cells after 4 hours of incubation with $\text{SiO}_2/(\text{PAH}/\text{Astralen})_3/\text{Au}$ satellites; b - Mapping of Raman signals coming from the area (red rectangle) depicted in the image (a) according to the chosen characteristic bands represented in the image (c). The characteristic bands of astralene (in red), DNA (in blue), and lipids (in green) are highlighted in the spectra (c). The spectra are taken at wavelength of 785 nm and power of 0.2 μW .

Fig. 4 shows the cells with uptaken satellites (a) including the enlarged image with the mapping of the area around the satellite (b). The Raman spectra (c) were taken and certain bands (green, red and blue) were chosen for mapping the enlarged cell image according to these bands (Fig. 4b). The signals in the spectra were coming from biologically relevant compounds as identified by colors according to the typical bands on the Raman spectra (Fig. 4b,c). The red area in Figure 4c corresponds to astralene³⁰ (typical C=C band oscillation in the range of 1500 – 1900 cm^{-1}). Astralene can be used as a marker in complex environments, such as biological fluids, living

1 tissues and cells.³² Lipids are shown in green color (Figure 4c)
2 that corresponds to the characteristic band of $\sim 1656\text{ cm}^{-1}$
3 indicated the presence of C–C stretch vibrations, while the
4 position of a band at 1740 cm^{-1} indicates the presence of
5 carbonyl (C=O) stretching bands. In addition to lipids bands,
6 the probe reveals peaks related to aromatic ring vibrations of
7 nucleic acids, namely DNA/RNA macromolecules (bands at
8 1314 cm^{-1} , 1376 cm^{-1} and 1479 cm^{-1}), which were marked by
9 blue color in Fig. 4b,c.

10 The two well-identified spots of blue and green colors show the
11 distribution of nucleic acids and lipids near the satellite surface
12 (Fig. 4b). One can speculate that the cell nucleolus is located on
13 the right side of the satellite and the lipidic membrane on the
14 left underneath the area of the satellite. This may happen
15 because the size of the satellite ($2\text{ }\mu\text{m}$) is closed to the thickness
16 of a spread cell and cellular compartments are expected to be in
17 direct contact with the uptaken satellite. Thus, the satellites
18 prepared in this study may be used for probing intracellular
19 composition by Raman analysis. We believe that a combination
20 of optical tweezers and Raman spectroscopy may open a way to
21 the single cell analysis with high spatial and temporal precision
22 focusing not only on the intracellular composition but also on
23 highly dynamic extracellular microenvironment. Single cell
24 analysis using LbL assembled films loaded with bioactive
25 molecules gives many options for analysis of extracellular
26 microenvironment.^{37, 38} Externally controlled delivery from
27 microcarriers embedded into the LbL films^{39, 40} shows new
28 opportunities for localized non-invasive delivery on demand.
29 For this purpose, encapsulation of fragile biologically active
30 molecules into externally activated containers such as LbL-
31 assembled microcapsules should further be developed.^{41,42} The
32 issues considered above might be of great importance for tissue
33 engineering applications and fundamental study of cell biology.

34 Conclusions

35 SERS active satellites with a multifunctional shell composed of
36 Raman active compound (astralen) and electroless plating
37 either Au or Ag nanoparticles assembled by the LbL technique
38 were externally trapped by optical tweezers and located onto
39 the surface of living L929 mouse fibroblasts with a micrometer
40 precision. The satellites coming to contact with the cell surface
41 were internalized by L929 mouse fibroblasts after 4 hours of
42 incubation. It should be noted that it is possible to use the
43 automatic movement of the laser trap⁴³, and thus SERS sensors,
44 to given coordinates for increasing of the accuracy of satellite
45 positioning in space. Astralen as a Raman tag in SERS satellite
46 shell enables one to recognize a probe inside the cells. Probing
47 the cellular internal composition by SERS satellite identifies
48 characteristic cellular biomolecules such as nucleic acids and
49 lipids.

50 Experimental Section

51 **Materials.** Poly(allylamine hydrochloride) (PAH, MW \sim
52 70000, Sigma, Aldrich), sodium hydroxide, tetrakis

(hydroxymethyl) phosphonium chloride (THPC, Fluka), and
potassium carbonate were purchased from Sigma-Aldrich
(Germany). Sodium chloride (NaCl) was purchased from
Merck. Hydrogen tetrachloroaurate trihydrate ($\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$)
was purchased from Alfa Aesar (UK). Astralen particles were
produced by thermal vaporization of graphite anode by an arc
discharge using special conditions of vaporization, extraction
and subsequent treatment of the cathode deposit.³⁰ Graphite
powder produced from pulverized Alpha Aesar graphite rod
(99%) was used. After chemical modification the surface of the
astralen particles contained sulfa and hydroxide groups in the
ionized state and was negatively charged at neutral pH.
Therefore the astralen nanoparticles were dispersible in water.
Zeta potential of the astralen particles was measured to be -11 ± 5
mV. Silica microspheres (diameter $3.5\text{ }\mu\text{m}$) were
purchased from Bangs Laboratories Inc (USA). Deionized
water was obtained using Vodoley water treatment system
(NPP Himelektronika, Russia) or Millipore Milli-Q purification
system (Millipore Milli-Q, USA).

Fabrication of the SERS satellites. Silica microparticles
(1 mg/ml) were coated with (PAH/astralen)₃ shell by the layer-
by-layer (LbL) manner using aqueous PAH solutions (1 mg/ml)
and astralen water dispersion (0.1 mg/ml) according to the
protocol described elsewhere.^{44,45} At the next step, an additional
layer of metal (silver or gold) was deposited on the surface by
chemical reduction on the surface of the coated microspheres.
The modification of the microsphere surface by silver was
carried out on two stages using a well-known «silvered mirror»
reaction. First, $10\text{ }\mu\text{l}$ of silver nitrate solution (0.01 M, reagent
grade) and $10\text{ }\mu\text{l}$ of L-ascorbic acid solution (0.1 M, reagent
grade) were added to 1 ml of dilute suspension of the LbL
coated microspheres. The mixture was carefully shaken, and
then $100\text{ }\mu\text{l}$ of silver nitrate was added. The color of the
microsphere suspension was switched to less transparent due to
silver coating on the particle surface. The obtained metal-
coated microspheres were washed 5 times with water by
centrifugation (6000 rpm, 20 sec) followed by re-dispersion.
The modification of the microspheres by a gold layer was made
using two-stage protocol previously reported for silica/gold
nanoshell synthesis.⁴⁶ So-called growth solution was prepared
by adding of 50 mg K_2CO_3 to 100 ml of water followed by
addition of 3 ml of 1% HAuCl_4 solution. For the preparation of
gold seeds, $220\text{ }\mu\text{l}$ of 1 M aqueous NaOH solution and $6\text{ }\mu\text{l}$ of
80% THPC solution were added to 20 ml of water. The
obtained solution was vigorously agitated on a magnetic stirrer
at 1000 rpm, and then $880\text{ }\mu\text{l}$ of 1% HAuCl_4 solution was
added. The solution color was changed from yellow to
brownish immediately after adding of HAuCl_4 solution
indicating the formation of gold particles (size of about 2 nm).
Then 1 ml of gold nuclei was added to $300\text{ }\mu\text{l}$ of the LbL coated
microspheres. The obtained mixture has been incubated by
shaking for 15 min. After centrifugation 0.5 ml of water was
added to the microsphere suspension. Further 1 ml of the
growth solution and $10\text{ }\mu\text{l}$ of hydrogen peroxide (3.7% water
solution) were sequentially added to the microspheres
decorated with gold nanoparticles ($300\text{ }\mu\text{l}$). The color of the

obtained suspension was changed from pink to blue-violet. The finally obtained particles were washed by centrifugation (6000 rpm 20 sec) followed by re-dispersion in water. Core-shell particles were transferred to PBS buffer directly before the cellular experiments.

Characterization. The prepared samples were put on electrically conductive glasses covered with ITO (indium-tin oxide) dried and investigated by SEM. The SEM images were recorded by LEO 1550 Carl Zeiss (Germany) operating at voltage of 3 kV.

Optical tweezers. The optical tweezers setup was equipped with single mode continuous-wave diode infrared lasers (Lumics LU0975M250, Germany) with wavelength of 976 nm and output power of up to 250 mW (Fig. S1). The diode laser was pigtailed by polarization-maintaining optical fiber, and the output radiation was collimated by an aspheric lens. An oil immersion objective (Olympus UPLFLN 100XO12, Japan) with numerical aperture of 1.3 and about 60% optical transmittance at 976 nm was used for focusing the laser beam to form the optical trap. To create a maximal optical field gradient at the focal points for the most efficient trapping of micro-objects, the laser beam was expanded to the full objective aperture. The beam shifter consisting of a system of lenses placed in the confocal configuration controlled the position of the optical trap in the sample area by moving the first lens perpendicular to the beam propagation direction. The extra diode laser with the output power of 0.3 mW at wavelength of 635 nm was used to detect displacements of the trapped micro-objects. The 635 nm beam passed through the optical elements controlling the positions and the width of the beam, and then was focused into the sample chamber. The forward scattered light was collected by a 40X objective and detected using quadrant photodiodes (QPD) (Thorlabs PDQ80A, USA). Displacements of the trapped objects were extracted from the changes in the QPD photocurrent collected by an analog-to-digital-converter (National Instruments PCIe-6353, USA) working at the rate of 105 samples per second per each channel. The CCD camera was used for visual control of the trapped objects. This method is particularly sensitive for measuring displacements of the trapped spherical objects on the nanometer scale. The hermetic observation chamber was made of micro-objects suspension placed between two coverslips separated by a gap of about 0.15 mm. The observation chamber was placed on the two-coordinate motorized stage for fine sample positioning in the focal plane.

Raman Spectroscopy. Raman confocal microscope (CRM200, WITec, Ulm, Germany) equipped with a piezo-scanner (P-500, Physik Instrumente, Karlsruhe, Germany) and a diode-pumped 785 nm NIR laser excitation (Toptica Photonics AG, Graefelfing, Germany) was used in this study. The laser beam was focused through a 60 \times water immersion microscope objective (Nikon, NA = 1.0). The spectra were acquired with a thermoelectrically cooled CCD detector (DU401ABV, Andor, UK) behind a grating (300 g mm⁻¹) spectrograph (Acton, Princeton Instruments Inc., Trenton, NJ, USA) with a spectral resolution of 6 cm⁻¹.

For imaging integration time of 0.5 s per pixel was used. The ScanCtrlSpectroscopyPlus software (version 1.38, Witec) and WITec Project Plus (version 2.02, Witec) were used for measurement and for spectra processing, respectively. Calculated single spectra were exported into the OPUS software package (version 6.0).

In vitro cell cultivation. Mouse fibroblasts (L929 cell line) were cultivated in Dulbecco's modified Eagle medium (DMEM) supplemented with 10% fetal bovine serum (Gibco) in culture flasks (25 cm²) in a CO₂-incubator in 5% of CO₂ atmosphere at 37°C. The medium was replaced and the cells were reseeded every 2–4 days.

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