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## Implementing a strategy for detection of cell-free DNA fragments using magnetoresistive sensors: A translational application in cancer diagnostics using ALU elements

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### Abstract

Cell-free DNA (cfDNA) is foreseen as a promising source for liquid biopsies in cancer diagnostics. Despite its promise, methods available for its evaluation lack in robustness or, in the case of Next-Generation sequencing (NGS), are extremely sensitive but overly complex for routine operation. Contrary to NGS, integrated Lab-on-Chip devices, offer advantages particularly in terms of automation, cost and speed. These devices, however, have rarely demonstrated the detection of biologically relevant DNA fragments originated from blood. For this end, we present a strategy for the magnetic labeling and detection of cfDNA fragments, using an array of 30 magnetoresistive (MR) sensors integrated in a portable biochip platform. As proof-of-concept, we selected the fragments ALU115 and ALU247, recently identified as promising cancer targets in cfDNA integrity assessment. This work reveals a rational optimization of the DNA probes design and density at the surface which allowed achieving specific target detection and increased inhibition of unspecific interactions, without the need of blocking agents. The developed strategy is adaptable for the detection of mutations, homologous or truncated sequences such as the case of ALU115 and ALU247, sequences that share great similarity. Upon optimization, the MR sensors detected a concentration of the ALU elements within the picomolar range, showing potential for cfDNA analysis in cancer diagnostics.

**Keywords:** Biochip; Cancer diagnostics; Cell-free DNA; Lab-on-Chip; Magnetoresistive sensors, Liquid biopsies

## Introduction

The assessment of circulating cell-free DNA (cfDNA) is being targeted as a promising non-invasive methodology in cancer diagnostics [1]. The cfDNA accumulates in blood mostly from cells dying either by apoptosis or necrosis, a process extensively described elsewhere [2, 3]. With cfDNA acting as a liquid biopsy, somatic mutations that were originated at an individual's tumor site can be potentially genotyped without having to perform invasive biopsies [4]. The detection of cfDNA derived from tumors, however, carries substantial challenges, as circulating tumor DNA (ctDNA), often only represents a very small fraction (< 1.0%) of the total cfDNA fraction [5]. This presents a limitation for standard sequencing approaches such as Sanger sequencing and pyrosequencing which only detect mutant alleles in a sample down to 15% and 5 %, respectively, of the total cfDNA fraction. In both cases, not enough to detect tumor-derived mutant fragments unless the patients are already affected with tumors in advanced stages [5, 6]. In contrast, techniques that use next-generation sequencing (NGS) [7] or novel PCR approaches such as *Scorpion* Amplification Refractory Mutation Systems (ARMS-Scorpion) [8], digital PCR [9], Pyrophosphorolysis-activated polymerization (PAP) assays [10], beads, emulsion, amplification, and magnetics (BEAMing) [11] or Tagged-Amplicon deep Sequencing (TAM-Seq) [12] provide a sensitivity for mutants at 1% down to 0.01 % or lower, though with a substantial extra financial cost allied to a complex operation [5] [13]. A midpoint should be met at the frontier between these high throughput NGS technologies and "ultra-low cost" diagnostic systems such as the conventional paper-based assays, so that patients can benefit from affordable, user-friendly approaches that are simultaneously robust and sensitive. Lab-on-chip technologies meet these criteria as they can be easily integrated with electronic components and highly sensitive detectors, requiring only diminutive amount of test samples for analysis. As important as the development of new technologies, the discovery of relevant biomarkers is essential. Besides the identification of somatic mutations in ctDNA, the analysis of the total cfDNA levels in blood (either of normal cfDNA or tumor DNA) alongside with its integrity (size of the cfDNA fragments) have shown to be promising in the assessment of cancer, tumor staging and metastatic potential [14].

The analysis of the concentration and integrity of the cfDNA is promising as the whole DNA content is assessed surpassing the limitations in sensitivity required (< 1 %) for the identification of somatic alterations. Regarding to cfDNA integrity, in healthy individuals, apoptosis is highly predominant over necrosis leading to the release of small and uniform DNA fragments of around 180-200 bp into the

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3 bloodstream [15, 16]. In patients with cancer, the increased cellular turnover and number of cells dying  
4 by necrosis, lead to the release of elevated amounts of undigested DNA into the circulation [17]. With  
5 these concepts, *Umetani et al.* designed a method to measure the ratio of longer to shorter DNA  
6 fragments (DNA integrity index) based on the assessment of two ALU repeats by quantitative PCR  
7 (qPCR) [18]. The fragments assessed with this method were a sequence of 115 bp (ALU115) and a  
8 sequence of 247 bp (ALU247), of which, the ALU115 sequence was truncated within the ALU247.  
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10 Various studies have reported this method, for example, in the diagnosis of gliomas [19], lobular  
11 breast cancer [20] and in the detection and monitoring of colorectal cancer patients in both early and  
12 late stages by qPCR [21].  
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20 This is the first time, however, that these ALU elements, or in fact, up to our knowledge, blood-based  
21 cfDNA sequences are targeted for detection using a portable biochip system. The designed biochip  
22 comprises an array of 30 magnetoresistive (MR) sensors and offer higher sensitivity and increased  
23 portability when compared, for instance, to standard fluorescence techniques [22]. Elucidation on the  
24 mechanism by which MR sensors detect biological entities is described elsewhere [23-25]. MR  
25 sensors have been used in the multiplexed detection of proteins, magnetically labeled cells and short  
26 sequences of single-stranded (synthetic) DNA as proof-of-concept for demonstration of GMR and  
27 TMR-based magnetoresistive platforms [26-31]. Still to demonstrate remains the detection of  
28 biologically relevant DNA fragments originated from blood as presented here. The methodology  
29 followed in this work is divided into three main sections. The first one addresses the generation of  
30 magnetically-labeled DNA targets, from the stages of blood collection and cfDNA extraction to the  
31 amplification of ALU115 and ALU247 by PCR, digestion of the generated amplicons into single-  
32 stranded products and labeling with magnetic nanoparticles. The second section addresses the  
33 optimization strategy used to promote the specific binding between SH-capture115 (DNA probe  
34 designed to capture ALU115) with ALU115 and of SH-capture247 (DNA probe designed to capture  
35 ALU247) with ALU247 at the surface of the sensors. The third section corresponds to the fabrication  
36 and characterization of the MR sensors used in this work and the detection and discrimination of  
37 ALU115 and ALU247.  
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## Material and Methods

### Plasma preparation and cell-free DNA purification

An average of 2.5 mL blood samples were collected from healthy donors into ethylenediaminetetraacetic acid (EDTA) Vacutainer tubes (BD®), and plasma was isolated via a double centrifugation process of 2500 rpm for 10 min, followed by additional 2500 rpm for 10 min, after a tube transfer following the first spin. Samples were processed within 30 min after collection to minimize the release of potential contaminants into the plasma, such as leukocyte genomic DNA. From 1 mL plasma samples, collected after centrifugation, cfDNA was isolated using the QIAamp Circulating Nucleic Acid Kit, and resuspended in 50 µL of *Tris-EDTA* (TE) buffer, pH 8.0. To validate the DNA extraction and to generate a sufficient amount of DNA amplicons for use in posterior biochip assays, Real-Time PCR was performed.

### Amplification of target fragments by PCR

Two sets of primers were designed for amplification of ALU115 and ALU247. The sequences of the primers were adapted from *Umetani's* work [18] with modifications that include the addition of a 5'-phosphorylated terminal group in both ALU115 and ALU247 forward primers and a biotin moiety at the 5'-end of both reverse primers. Additionally, the forward primer used for amplification of ALU115 was modified with a non-homologous overhang of 8 base pairs (bp) (GTAGGTAC) upstream to the homologous region of the primer. Generated ALU115 amplicons incorporate, therefore, the 8-bp overhang within its own sequence and assimilate, with this strategy, a distinctive signature from the generated ALU247 amplicons. The primers were purchased from StabVida, Portugal and the sequences, given the above considerations, were as follows: **ALU115 forward:** Phosphate-5'-**GTAGGTACCCTGAGGTCAGGAGTTC**-3'; **ALU115 reverse:** Biotin-5'-**CCCGAGTAGCTGGGATTACA**-3'; **ALU247 forward:** Phosphate-5'-**GTGGCTCACGCCTGTAATC**-3'; **ALU247 reverse:** Biotin-5'-**CAGGCTGGAGTGCA GTGG**-3'. The full ALU sequence (Fig. S1), reaction mixture and PCR program are presented in the Supplementary data. To validate the cfDNA extraction, a negative control (without template DNA) was run along with a positive control containing 20 ng of genomic DNA in the reaction mixture, extracted from Human Embryonic Kidney (HEK) 293T

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3 cells (ATCC-LGC Nr: CRL-11268) (additional information on the supplementary methods). PCR  
4 products were electrophoresed on a 2% agarose gel for size confirmation.  
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### 7 **Generation of single-stranded targets and labelling with magnetic nanoparticles**

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9 After size confirmation, the generated amplicons were purified from contaminants using the DNA  
10 Clean & Concentrator™-25 from Zymo research and diluted in TE (10 mM; pH 7.4) buffer for  
11 further use in labelling assays. The amount of generated fragments was quantified by UV absorption  
12 at 280 nm using a NanoDrop Spectrophotometer (NanoValue Plus from Bioextra). A quantity of 22  
13 ng/μL for ALU115 and of 53 ng/μL for ALU247 was obtained, which corresponds to a concentration of  
14 approximately 0.6 μM and 0.7 μM respectively.  
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18 Lambda exonuclease was used in this work for the digestion of the generated double-stranded  
19 amplicons into single-stranded products that can be used in the hybridization assays with  
20 complementary DNA probes. The preferential digestion of one of the strands within the DNA double  
21 helix occurs when one of the strands is modified with a phosphate terminal group [32]. The reaction  
22 used for the digestion consisted of 250 ng of DNA template ALU115 and 500 ng of ALU247 in  
23 separated solutions with 0.5 μL (2.5 Units) of Lambda exonuclease (supplied by New England  
24 Biolabs). The reaction mixture and digestion program used is provided in the supplementary  
25 information (1.5 Lambda exonuclease digestion). The digestion was assessed on a 2% gel  
26 electrophoresis. After digestion, dilutions were performed reaching to a target concentration of 3 nM  
27 and 300 pM for ALU115 and 2 nM and 200 pM for ALU247 based on the initial concentration of  
28 dsDNA quantified by UV absorption. The PCR products were finally bound to streptavidin-coated  
29 superparamagnetic 250 nm magnetic beads (prod. code 09-19-252, Micromod) through streptavidin-  
30 biotin interaction. At this step, 1 μL of target DNA at different concentrations were reacted with a 10 μL  
31 suspension of the beads, 10 x diluted from the stock suspension, for 45 min at room temperature and  
32 under agitation. With the elution in the suspension of beads, the concentration of targets was diluted  
33 10 x from its initial solution. A detailed explanation is provided in the supplementary data (1.6 Labelling  
34 of DNA targets with 250 nm magnetic beads).  
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### 52 **Preparation of gold substrates functionalized with DNA capture probes**

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54 Single-stranded DNA probes (SH-capture115 and SH-capture247), complementary to ALU115 and  
55 ALU247, were synthesized by StabVida Portugal. The base sequences of SH-capture115 and SH-  
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capture<sub>247</sub> were 5'-SH-TTTTTTTTTTTTTTTGTAGGTACCCTGAGGTCAGG-3' and 5'-SH-TTTTTTTTTTTTTTTGATCTGCAGTGGCTCACGCCTGT-3', respectively. The probes were designed with a 15-bp thymine spacer to provide better mobility and availability for interaction with target fragments and were terminally thiolated to ensure its immobilization on gold fabricated structures. Various concentrations of the DNA probes were prepared (1  $\mu$ M; 3  $\mu$ M; 5  $\mu$ M; 10  $\mu$ M) and brought into contact with gold substrates by manual spotting. The gold layer on the substrates consisted of Ti 5 nm/Au 40 nm, deposited by sputtering (Alcatel, SCM-450) over a ¼ of 6-inch silicon wafer and cut into small pieces of 7 x 5 mm<sup>2</sup>. Before the spotting of the DNA probes, the gold substrates underwent through a meticulous cleaning process, as described elsewhere [30]. A volume of 1  $\mu$ L was dispensed for the spotting of each of the concentrations tested. Immobilization for each case proceeded for 2 h at room temperature. Unbounded DNA probes were washed with TE buffer after immobilization. All the steps were performed inside a Petri-dish in a humid atmosphere to prevent evaporation. The composition of TE and PB buffers is provided in the supplementary information.

#### Hybridization of magnetically labelled DNA targets with immobilized DNA probes

10  $\mu$ L of the DNA targets conjugated to the magnetic beads, and at a concentration of 0.5  $\mu$ M, were dispensed over the gold substrates previously functionalized with the DNA probes and left to settle and react for 45 minutes at room temperature inside a Petri-dish in a humid atmosphere to prevent evaporation. At this step, each of the generated conjugates was reacted with the correspondent complementary probes (i.e. ALU115 with SH-capture<sub>115</sub> and ALU247 with SH-capture<sub>247</sub>) and cross-reacted (i.e. ALU115 with SH-capture<sub>247</sub> and ALU247 with SH-capture<sub>115</sub>) for the various concentrations of DNA probes tested. A schematic representation of the procedure is presented in Fig. 1. These experiments were performed in order to select the condition that offered the highest specific binding between complementary pairs of probes-targets along with reduced cross-reactivity between the non-complementary pairs. After 45 min of incubation, unbounded or weakly bounded DNA-beads conjugates were removed by washing with PB buffer. Finally, the gold substrates were analysed by optical microscopy with a total magnification of 40x. The agglomerated magnetic nanoparticles over the surface exhibited a brownish colour that could be visualized depending on the density of particles agglomerated in each spot. To further and more accurately analyze the density of magnetic nanoparticles, ImageJ (image software analyzer) was used. The density of beads on spotted areas was calculated and subtracted to background reference values (areas outside the spots) to obtain the

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3 relative surface coverage. The values obtained from analysis with ImageJ must not be seen as  
4 absolute but used solely for comparison between different substrates subjected to the same  
5 conditions.  
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### 8 9 **Sensors and Biochip microfabrication**

10 The biochip configuration comprises an array of 30 U-shaped spin-valve (SV) sensors with the  
11 following stack deposited by Ion Beam deposition in a Nordiko 3000 tool: Ta 1.5/NiFe 3.6/CoFe 2.5/Cu  
12 2.1/CoFe 3.3/MnIr 11/Ta 10 (thicknesses in nm) [33]. Here, NiFe, CoFe, and MnIr stand for  $\text{Ni}_{80}\text{Fe}_{20}$ ,  
13  $\text{Co}_{90}\text{Fe}_{10}$  and  $\text{Mn}_{76}\text{Ir}_{24}$  film compositions in %. The sensors were defined by direct write laser  
14 photolithography and ion milling, resulting in U-shaped sensors with a final active area of  $2.5 \times 80 \mu\text{m}^2$   
15 (Fig. 2 III inset). Contact leads (AlSiCu 300nm /15 nm TiW(N)) were made by magnetron sputtering  
16 (Nordiko 7000 tool) and were defined by lift-off. The chip was further passivated with 300 nm thick SiN  
17 layer deposited by CVD (at 350 °C). The passivation layer was opened by reactive ion etching at the  
18 contact pads. Finally, a thin gold pad (Ti 5 nm/Au 40 nm,  $43 \times 13 \mu\text{m}^2$ ) was sputtered and patterned by  
19 lift-off on top of the sensors. The sensors were arranged in six sensing regions each one including four  
20 active sensors (with gold) plus a reference sensor (without gold) (Fig. 2 I and 2 II). With the thiol-gold  
21 biochemistry, the gold pads determine where the biological probes will chemically bind. After  
22 fabrication, the biochips were wire-bonded on a printed circuit board (PCB) and the wires were  
23 protected from the external environment with a layer of silicone gel (Elastosil E41). Encapsulated  
24 sensors had an average magnetoresistance, minimum resistance and sensitivity (**S**) of  $(7.65 \pm 0.14) \%$ ,  
25  $(750 \pm 30) \Omega$  and  $(-1.27 \pm 0.11) \text{mV/Oe}$ , respectively (Fig. 2 III). For the biochip readout, the PCB was  
26 connected to the portable measurement platform and the signal variation during each experiment was  
27 acquired in real-time for each of the sensors used.  
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### 45 **Immobilization of the DNA probes on the gold pads and readout of the sensors**

46 1  $\mu\text{L}$  of the DNA probes, SH-capture115 and SH-capture247, were manually spotted over the sensors  
47 at a concentration of 5  $\mu\text{M}$  in TE buffer. SH-capture115 was always spotted on the left column of the  
48 chip covering all the sensors in that area while the SH-capture247 was always spotted on the sensors  
49 at the right column of the chip (Illustration in Fig.2 IV). Immobilization proceeded for 2 hours at room  
50 temperature inside a Petri-dish in a humid atmosphere to prevent evaporation of the spotted  
51 drops. After immobilization, unbound DNA probes were removed by washing with TE buffer. The  
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3 PCB carrier was then inserted in the measurement platform and integrated with a microfluidic module  
4 to allow the controlled injection of the magnetically labelled DNA targets onto the sensing areas of the  
5 chip. The process for fabrication of the microfluidic device is described in the supplementary data.  
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## 9 **Results**

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11 In this work, the optimization strategy for the detection of ALU115 and ALU247 using an array of  
12 magneto-resistive sensors integrated in a portable electronic platform is presented and discussed.  
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### 15 **Efficiency of cfDNA isolation and generation of single-stranded biotinylated DNA** 16 **targets**

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18 The purification of cfDNA from plasma was validated by qPCR, using two calibrators per set of primers  
19 for ALU115 and ALU247, one positive control containing 20 ng of genomic DNA and a negative  
20 control without DNA. The amplification curves obtained for ALU115 and ALU247 are presented in the  
21 supplementary data (Figs. S3 and S4). The crossing points (Cp) for the reactions was derived and, as  
22 expected, the positive controls presented a lower Cp in comparison to the negative controls while the  
23 samples containing cfDNA purified from plasma led to a Cp value in between, but closer to the Cp of  
24 the positive controls. This indicates that a comparable amount of DNA was present in the initial  
25 sample (Fig. 3 III). The specificity in the product formation was verified by the melting curves obtained  
26 after PCR where only one peak was observed per run (Fig. 3 II). The generated products were within  
27 the expected molecular sizes as observed in Fig. 3 I (ALU115 in lane 2 and ALU247 in lane 4).  
28 Additionally, after digestion with lambda exonuclease, the ALU products migrated further in the gel in  
29 comparison with the non-digested fragments.  
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### 43 **Surface Biochemistry assays**

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45 SH-capture115 and SH-capture247, at different concentrations (1  $\mu$ M, 3  $\mu$ M, 5  $\mu$ M and 10  $\mu$ M), were  
46 individually spotted over gold fabricated substrates and hybridized with the generated amplicons  
47 ALU115 and ALU247, digested *a priori* with lambda exonuclease and labelled with the 250 nm  
48 magnetic beads. Before proceeding with analysis in the biochip platform, the hybridization between  
49 the capture probes and target DNA, in these assays, was assessed through optical microscopy and  
50 data treatment with ImageJ. When magnetically labelled ALU115 and ALU247 were spotted over gold  
51 substrates functionalized with TE (without DNA probes), a relative surface coverage of respectively 15  
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3 .7 ± 0.7 % and of 27 ± 6% were obtained (Fig. 4 I), suggesting that unspecific adsorption of the  
4 targets occurred, with a higher extent for ALU247, possibly due to its higher mass and increased  
5 number of nitrogen atoms in the DNA chain available for the unspecific adsorption on gold [34]. The  
6 surface coverage for the interaction between complementary probe-target pairs (ALU115 with SH-  
7 capture115 and ALU247 with SH-capture247) at 1 µM concentration of DNA probes was identical to  
8 the surface coverage obtained when the pairs were cross-reacted (ALU115 with SH-capture247 and  
9 ALU247 with SH-capture115). These results are comparable to when no functionalization with DNA  
10 probes was performed. For a concentration three times higher of the DNA probes (3 µM), an increase  
11 in the surface coverage for ALU115 when paired with SH-capture115 was verified from 17.5 ± 2.5% to  
12 21 ± 3.6 %. For the cross-reaction of ALU115 with SH-capture247, the surface coverage decreased to  
13 10 ± 0.9 %. In the case of ALU247, the hybridization with SH-capture247 resulted in a coverage  
14 density of 27.0 ± 7.8 % and a substantial decrease was verified when interacted with SH-capture115,  
15 from 32.7 ± 10.5 to 20.7 ± 5 %. By increasing the concentration of the DNA probes to 5 µM, a surface  
16 coverage of 18.5 ± 2.6 % and 6.0 ± 0.6 % was obtained for ALU115 with SH-capture115 and with  
17 SH-capture247, respectively. For ALU247, the surface coverage was 26.6 ± 6.0 % with SH-  
18 capture247 and 6.4 ± 0.5 % with SH-capture115. To conclude, at a concentration of 5 µM, a  
19 proportionally higher surface coverage for complementary pairs of DNA probes and target DNA was  
20 obtained when compared to the non-specific pairs when cross-reacted. The concentration of probes  
21 was tested further at 10 µM and at this concentration, the unspecific interactions were shown to be  
22 practically inexistent, however, the specific interactions started to be compromised. The hybridization  
23 of ALU115 with SH-capture115 resulted in a surface coverage of 5 ± 0.2%, nearly three times less  
24 than for 5 µM. For the case of ALU247, the hybridization with SH-capture247 at this concentration,  
25 resulted in a surface coverage of 18.0 ± 4.0%, also reduced in comparison to a concentration of 5 µM.  
26 The values reported here are specific to this system and assay conditions used in this study, however  
27 the general trends presented in Fig. 4 should be expected for a wide range of parameters, including  
28 but not limited to the initial DNA target concentrations, probe-target kinetics, buffer's ionic strength or  
29 incubation times and temperature. An illustration summarizing the prevailing events for the different  
30 concentration of probes is provided in Fig. 4 II. Optical images from which the surface coverage  
31 percentages were calculated using ImageJ are shown in Fig. S5 as an example of the formed spots  
32 for ALU115 when hybridized with SH-capture115 and SH-capture247.  
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### On-chip detection of ALU115 and ALU247

Droplets of 1  $\mu\text{L}$  of SH-capture115 and SH-capture247 at a concentration of 5  $\mu\text{M}$  (corresponding to  $3 \times 10^{12}$  DNA probes/  $\mu\text{L}$ ) were brought into contact with the biochip over the two columns of sensors, separately, before assembling with the microfluidic module. The measurement platform can detect in a single experiment the output signal of 24 bioactive sensors and of 6 reference sensors present in the biochip. For each sensor, a real-time acquisition of the signal was performed. In Fig. 5 it is shown the signal acquisition obtained for six sensors, for detection of magnetically-labeled ALU115 at a concentration of 300 pM. Three of these sensors were functionalized with SH-capture115 and other three with SH-capture247. For each of the sensors, the data presented in Fig. 5 corresponds to the signal measured in real-time ( $V^{measured}$ ) subtracted to the sensor's baseline signal ( $V^{baseline}$ ), acquired before the loading of the magnetic beads, and divided by the sensor's baseline ( $V^{baseline}$ ) (equation 1).

$$(1) \quad \Delta V^{output} = \frac{V^{baseline} - V^{measured}}{V^{baseline}} (V_{rms}/V_{rms})$$

With these adjustments it was possible to normalize and compare in the same plot the signal output variation for various sensors ( $V^{output}$ ), as they all possess a different baseline signal but all vary proportionally to the presence of magnetic beads. In Fig. 5 it is emphasized the various phases of the measured signals, starting with the I) baseline acquisition ( $V^{baseline}$ ) of the sensor, acquired before the loading of the magnetic beads, followed by the II) injection of the magnetically labelled DNA targets and III) incubation to allow the targets to react with the DNA probes at the surface of the sensors. After incubation, IV) a washing step is performed to remove unbounded or weakly bounded beads from the surface and, finally, V) the binding signal ( $V^{binding}$ ), originated by specifically bounded beads, is acquired and subtracted to the baseline signal of the sensor ( $V^{baseline}$ ) to obtain the output signal variation ( $\Delta V^{output}$ ). The  $\Delta V^{output}$  for each sensor is further subtracted to the output signal generated by the reference sensors (biologically inert) ( $\Delta V^{output\ reference}$ ) to account for signal variations that may have been originated by thermal drifts at the surface of the sensors ( $\Delta V^{corrected}$ ) (equation 2).

$$(2) \quad \Delta V^{corrected} = \Delta V^{output} - \Delta V^{output\ reference} (V_{rms}/V_{rms})$$

The average of the normalized and corrected output signals ( $\Delta V^{corrected}$ ) for each of the interactions performed on-chip between the probes and targets are presented in Fig. 6. For each interaction a

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3 mean of at least 6 sensors was considered. The standard error of the mean for each case was  
4 calculated. When ALU115 (300 pM) was interacted with SH-capture115, a signal of  $0.048 \pm 0.0010$   
5 (Vrms/Vrms) was achieved, significantly higher than when interacted with SH-capture247, with a  
6 (Vrms/Vrms) was achieved, significantly higher than when interacted with SH-capture247, with a  
7 signal of  $0.003 \pm 0.0002$  (Vrms/Vrms). The hybridization of ALU247 (200 pM) with SH-capture247  
8 resulted in a signal of  $0.029 \pm 0.0012$  (Vrms/Vrms) while the same concentration of ALU247 with SH-  
9 capture115 resulted in  $0.008 \pm 0.0003$  (Vrms/Vrms). Decreasing the concentration of ALU115 to 30  
10 pM resulted in a signal of  $0.018 \pm 0.0050$  (Vrms/Vrms) upon interaction with SH-capture115 while the  
11 interaction with SH-capture247 led to a signal of  $0.001 \pm 0.0001$  (Vrms/Vrms). For a concentration of  
12 20 pM of ALU247, a signal of  $0.019 \pm 0.0060$  (Vrms/Vrms) was obtained for the pairing with SH-  
13 capture247. Finally, for the pairing with SH-capture115 the signal obtained was  $0.004 \pm 0.0010$   
14 (Vrms/Vrms). In Fig.S7 it is presented a group of five sensors exhibiting the presence of magnetic  
15 particles. The reference sensor is bioinert while the other four correspond to specific biointeraction  
16 between probe and target DNA after on-chip hybridization.  
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## 28 Discussion

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31 This paper reports the optimization process for detection of ALU115 and ALU247 with an integrated  
32 system based on magnetoresistive sensors as a promising lab-on-chip technology for cfDNA analysis.  
33 Its key features are portability, versatility for manufacturing and capability for integration with various  
34 electronic components and microfluidics, providing the system a superior autonomy when compared,  
35 for instance with conventional laboratory procedures [35].  
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41 The purification and amplification of the target fragments is still not integrated with the detection  
42 system but the strategy here employed serves as the basis for a total integrative device having in mind  
43 the required steps to generate magnetically labelled single-stranded.  
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48 In the particular case of detection of the ALU elements, since the fragment ALU115 is truncated within  
49 ALU247, the detection and discrimination of both DNA targets required a particular optimization.  
50 ALU115 was generated by PCR but using a forward primer modified with a non-homologous 8-bp  
51 (GTAGGTAC) overhang, as a strategy to assimilate in ALU115 a distinctive signature from the  
52 generated ALU247 amplicons. SH-probe115 was designed with the complement of the 8-bp signature  
53 in order to target that region of ALU115, which in turn, was absent in the ALU247 products. With this  
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3 strategy, the complementarity between SH-capture115 and ALU115 was maintained while the  
4 complementarity between SH-capture115 and ALU247 was decreased by 40 %. The 8-bp signature  
5 was balanced in terms of GC and AT content to ensure stable binding between the probe and the  
6 complementary target. The reaction efficiency for ALU115 amplification was not affected by the 8-bp  
7 overhang as demonstrated by the amplification curves (Fig. S3 and S4), product formation (verified on  
8 an agarose gel) and melting curve analysis (Fig. 5).  
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12 Regarding to the hybridization tests, it was important to optimize the biofunctionalization of the  
13 sensors so that specific complementary targets could be recognized at the surface of the sensors,  
14 simultaneously avoiding unspecific adsorption or cross-reactions. Especially knowing that DNA  
15 adsorbs non-specifically to gold via interaction with nitrogen atoms from the DNA chains, this  
16 optimization was essential [36]. Usually, blocking agents, such as bovine serum albumin (BSA), are  
17 used to diminish the amount of free sites at the surface and, therefore, used to prevent the unspecific  
18 interaction of target DNA with the gold atoms of the surface [37]. As an alternative, in this work, it was  
19 investigated a simple strategy based on the optimization of the DNA probes density at the surface so  
20 that no free gold spaces were available for the non-specific adsorption of DNA. It is well known that  
21 the density of DNA probes at the surface highly affect the amount of target DNA that can be captured  
22 [38]. A highly dense monolayer of probes may inhibit the capture of complementary target DNA due to  
23 steric hindrance effects while a low dense monolayer may not be enough to specifically capture a  
24 good proportion of target DNA [34, 39]. These concepts were applied in this work so that the DNA  
25 probes itself could act as blocking agents to non-complementary DNA but still used at a concentration  
26 that allowed the recognition of complementary target DNA. At 1  $\mu\text{M}$ , an equivalent surface coverage  
27 was verified for complementary probe-target pairs (ALU115 with SH-capture115 and ALU247 with SH-  
28 capture247) and for cross-reacted pairs (ALU115 with SH-capture247 and ALU247 with SH-  
29 capture115), indicating that the spots of beads may have been originated mainly through adsorption of  
30 the DNA targets on the gold substrates. An increased concentration of probes (3  $\mu\text{M}$ ) led to a  
31 decreased adsorption and increased specific binding as the surface coverage for the non-  
32 complementary pairs diminished in comparison to the complementary pairs. At 5  $\mu\text{M}$ , the disparity  
33 between both complementary and cross-reacted pairs increased, meaning that the specific binding  
34 was favoured in comparison to the adsorbed fraction. The packaging of DNA probes, at this condition,  
35 seemed to have blocked the unspecific adsorption of DNA, at a greater extent, and limited the  
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3 interaction of ALU247 with SH-capture115. At 10  $\mu\text{M}$ , the amount of probes at the surface started  
4 competing with the specific hybridization amongst complementary probe-target pairs.  
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7 After optimization of the concentration of probes, the magnetic detection of both targets was validated  
8 in the picomolar range, using an array of magnetoresistive sensors as demonstrated in Figs 5 and 6.  
9 Although in the past, the detection of DNA in the femtomolar range was performed, using magnetic  
10 fields to direct the magnetic particles towards the sensors, in this work, the limit of detection required  
11 for cfDNA analysis was circumvented by using pre-amplification of target DNA [25]. This was  
12 performed to analyse the signal variation of the system for known concentrations of the targets, for the  
13 conditions tested in these assays. For evaluation in a clinical setting, the PCR would have to be  
14 stopped at the exponential phase of the reaction so that a correlation with initial DNA concentrations  
15 can be performed upon detection.  
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24 In conclusion, the strategy here utilized for the manipulation of the DNA target strands and,  
25 consequently, of the DNA capture probes, along with the optimization of their density at the surface,  
26 was effective in preventing the majority of the unspecific interactions without affecting specific target-  
27 probe recognition events. This strategy can be adapted to the detection of other truncated sequences  
28 or applied for the detection of polymorphisms or mutations as a method to decrease the specificity  
29 between cross-reactant species. Plus, the blocking of unspecific interactions solely by controlling the  
30 density of probes at the surface, in general, decrease the time and cost of any assay as no blocking  
31 solutions are required. Above all, these results demonstrate that MR sensors can effectively be used  
32 at the Point-of-Care for detection of blood-based DNA biomarkers upon proper optimization of each  
33 particular case-study. For future work, a module for PCR amplification is expected to be implemented  
34 into the system so that the integration between amplification and detection can be achieved.  
35 Additionally required is a microfluidic module for purification of target DNA from the contaminants in  
36 the plasma which affect the amplification of targets by PCR and ultimately the detection. Finally, we  
37 aim to detect other biomarkers, characteristic to specific types of cancer, in combination to ALU115  
38 and ALU247, for a more inclusive analysis in cancer diagnostics, hopefully surpassing NGS devices in  
39 terms of speed, costs and portability.  
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## 54 55 **Acknowledgments** 56 57 58 59 60

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## List of Figures

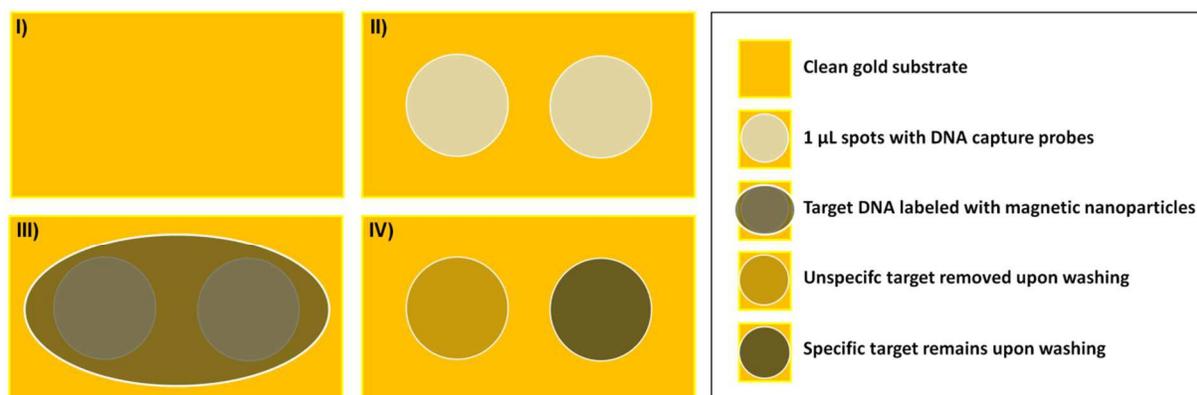


Fig. 1: Scheme with steps for the spotting of DNA probes and biomolecular recognition of magnetically-labeled targets. I) Bare gold substrates; II) Manual spotting of 1  $\mu\text{L}$  of DNA probes; III) 10  $\mu\text{L}$  spotting of magnetically labeled targets over previously immobilized DNA probes (complementary or non-complementary probes); IV) The specific recognition of targets by the complementary probes spotted at the surface creates a dense spot while the hybridization with non-complementary DNA probes results in the removal of the particles, after washing.

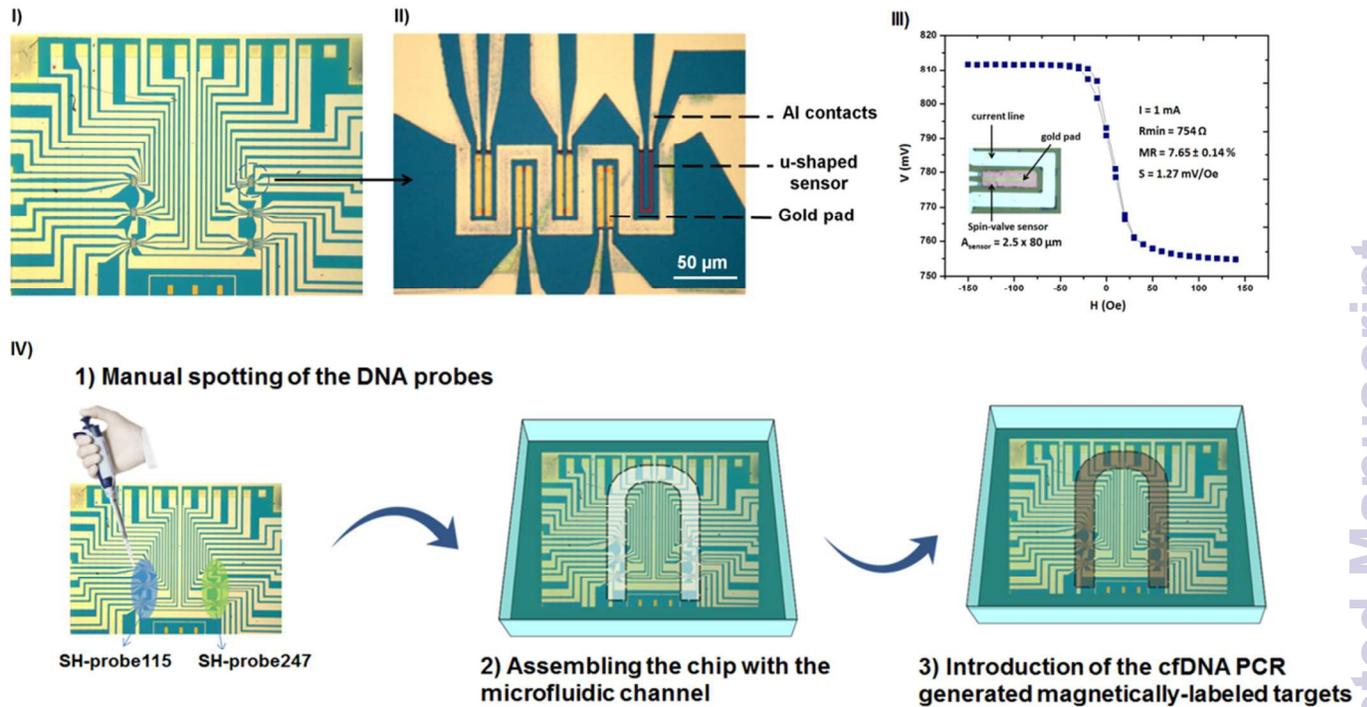


Fig. 2: I) Biochip comprising six sensing regions. II) Each one including four active sensors (gold pad on top of the sensors) plus a reference sensor (without gold). III) Transfer curve of a U-shaped spin-valve sensor ( $2.5 \times 80 \mu\text{m}^2$ ). Inset: Optical microscope image (400 x magnification) of a sensing unit comprising the spin-valve surrounded by an aluminium current line. IV) Spotting of DNA probes and integration with microfluidics. 1) SH-capture115 was always spotted on the left column of the chip covering all the sensors in that area while the SH-capture247 was always spotted on the sensors at the right column of chip. 2) The biochip was integrated with a microfluidic channel to allow the 3) loading of the magnetically-labeled targets into the system.

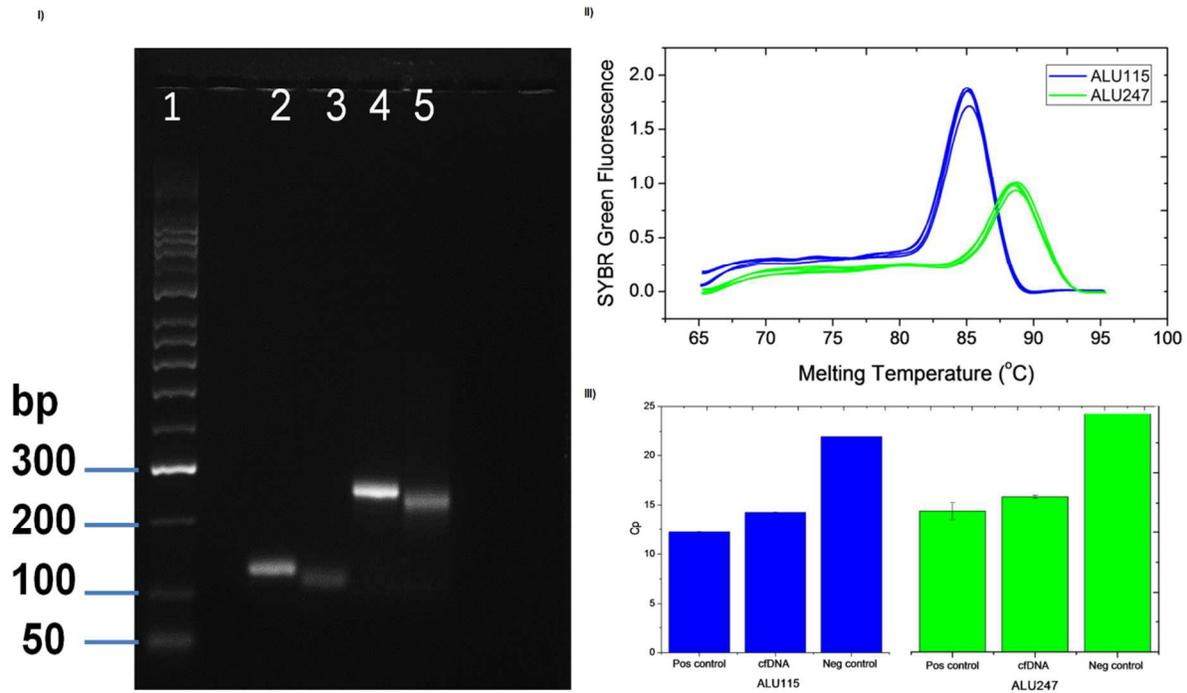


Fig. 3: DNA product analysis for ALU115 and ALU247. I) Agarose gel with ALU115 and ALU247 before and after digestion with lambda exonuclease. 1- HyperLadder IV (ranging from 10kb to 200 bp). 2- ALU115 before digestion. 3- ALU115 after digestion. 4- ALU247 before digestion. 5- ALU247 after digestion. II) Melting curve analysis of the PCR products. III) Cp values obtained from the amplification by PCR of ALU115 and ALU247, including the positive and negative controls. The Cp values were derived using the Fit Points Method.

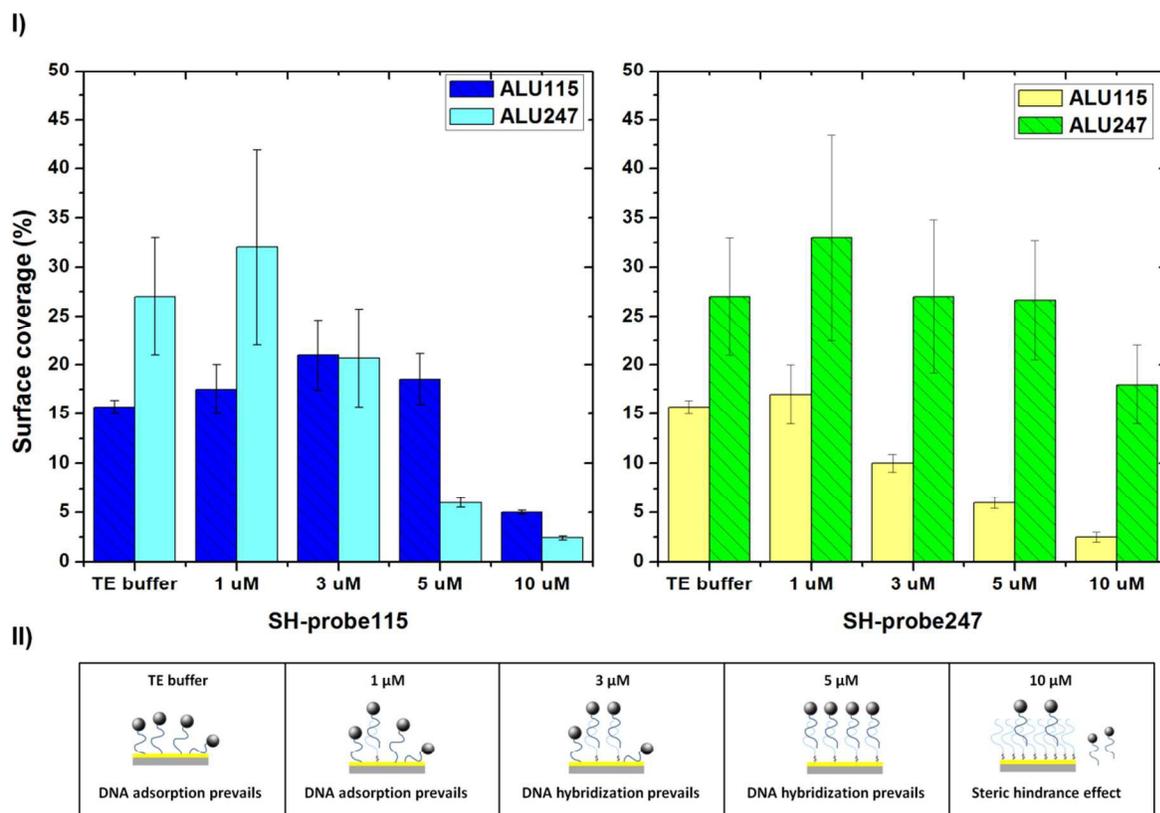


Fig. 4 I) Surface coverage (%) for various concentrations of probes SH-probe115 and SH-probe247 in interaction with magnetically labelled ALU115 and ALU247 ( $n \geq 3$ ). II) Illustrations representing each situation and summarizing the prevailing event for each of the concentration of DNA probes.

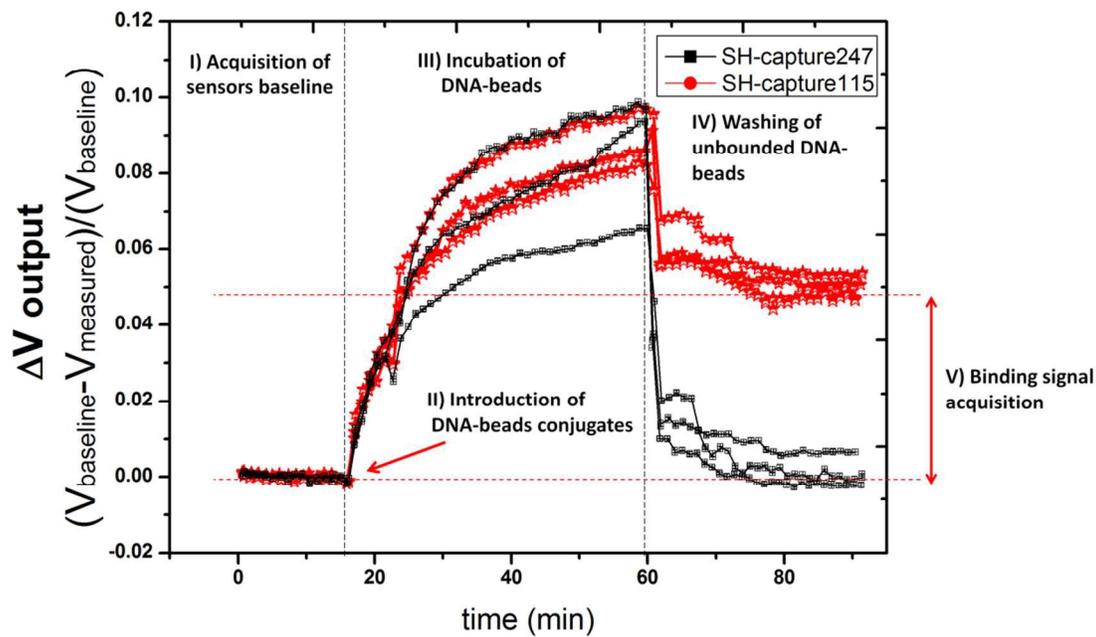


Fig. 5: Signal response for detection of ALU115 (300 pM) by sensors functionalized with complementary SH-probe115 (red curves) and sensors functionalized with non-complementary SH-probe247 (black curves).

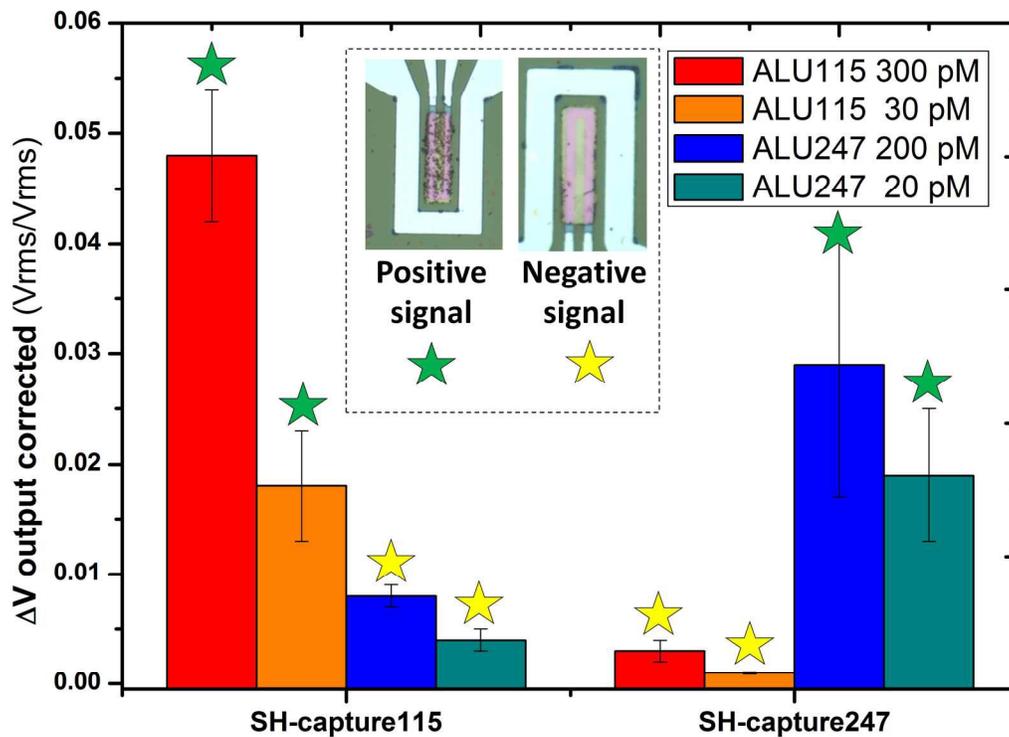
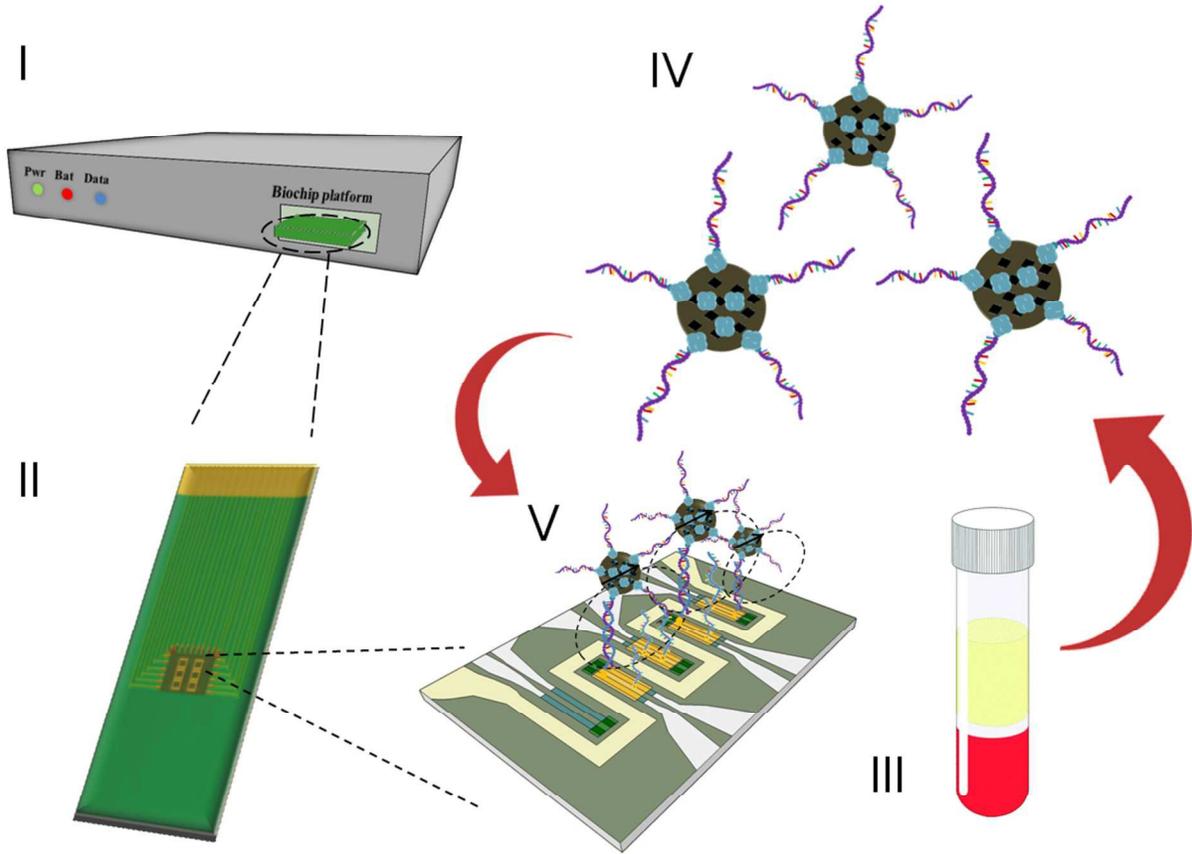


Fig. 6: On-chip detection of ALU115 and ALU247. Data obtained from the average of different sensors for each of the measurements (min = 8 sensors; max = 12 sensors).

Table of contents

Optimization of a strategy for detection of cell-free DNA using an array of magnetoresistive (MR) sensors integrated in a portable readout platform.



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