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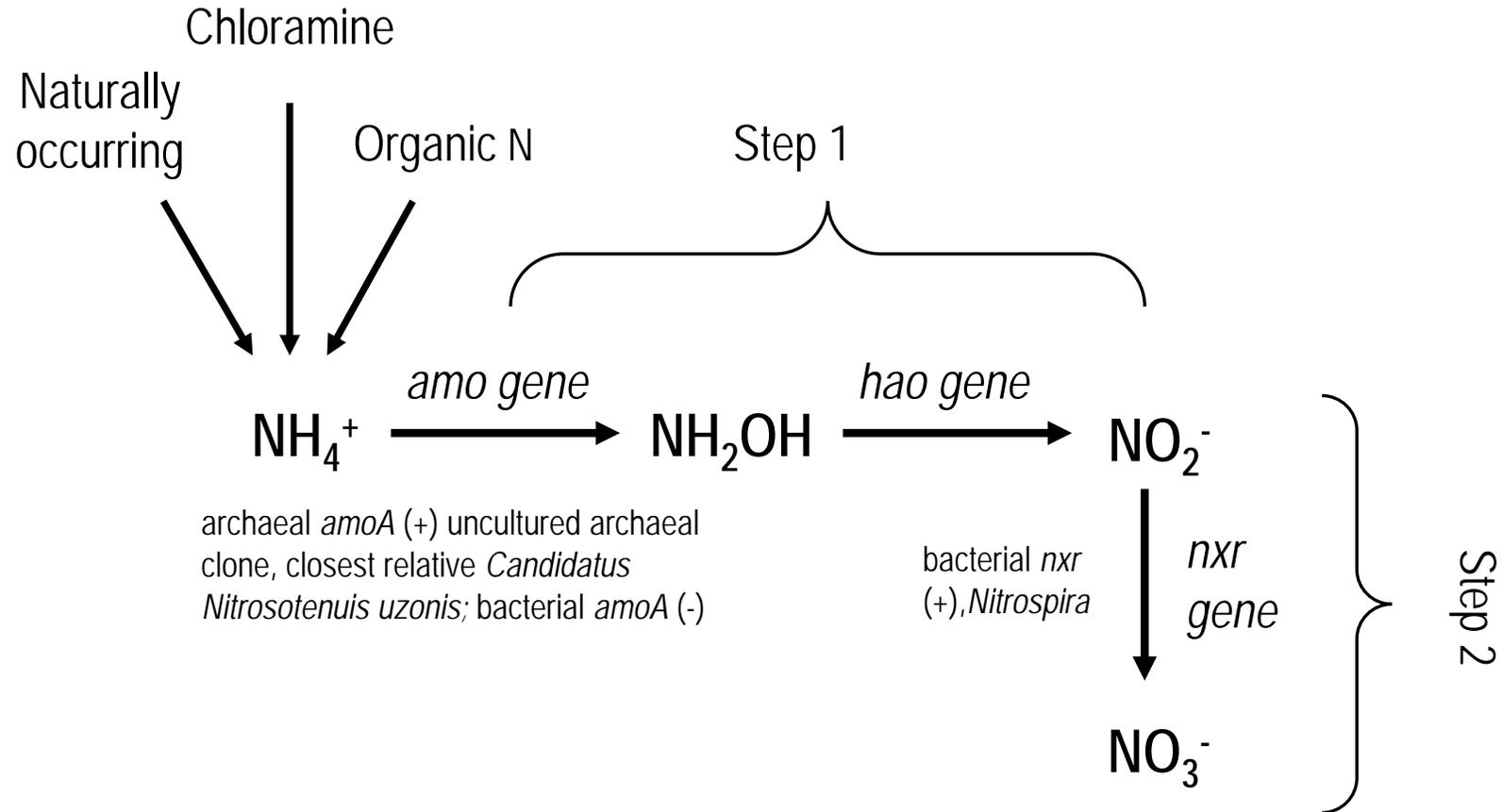
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# Drinking water nitrification



Archaeal Ammonium Oxidation Coupled with Bacterial Nitrite Oxidation in a Simulated  
Drinking Water Premise Plumbing System

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**24 ABSTRACT**

25 Simulated copper and PVC premise plumbing reactors modeling chloramine decay were  
26 monitored for complete nitrification of 0.71 mg NH<sub>4</sub>-N/L ammonium to nitrate with no nitrite  
27 detected. PCR, qPCR, fluorescent *in situ* hybridization (FISH) and DNA sequencing were used  
28 to investigate the microbial community responsible for nitrification in the reactors' influent and  
29 biofilm on copper and PVC surfaces. No bacterial ammonium oxidizers were detected by  
30 directly targeting the bacterial *amoA* gene or 16S rRNA gene amplicons. FISH images indicated  
31 an archaeal population on both surfaces. Archaeal 16S rRNA and *amoA* gene sequences showed  
32 98.6% and 87.6% similarity to the known archaeal ammonium oxidizer, *Candidatus*  
33 *Nitrosotenuis uzonenis*. Copy numbers of the archaeal 16S rRNA gene and archaeal *amoA*  
34 approximated a 1:1 ratio, suggesting that any archaea in the systems are likely to be ammonium  
35 oxidizers. Further, there was evidence for the presence of bacterial nitrite oxidizers. Copper  
36 surfaces supported fewer archaea as detected using the archaeal 16S rRNA and *amoA* genes.  
37 The results provide strong evidence for biofilms in a drinking water premise plumbing system  
38 composed of archaeal ammonium oxidizers and bacterial nitrite oxidizers, capable of complete  
39 oxidation of ammonium to nitrate. Since no bacterial ammonium oxidizers were found, this  
40 study adds to the growing body of research indicating an important role for archaeal ammonium  
41 oxidizers in freshwater/drinking water environments in the conversion of ammonium to nitrite.

**42 WATER IMPACT**

43 Chloramines added for disinfection of drinking water can decay to release ammonium, which  
44 then acts as a substrate for nitrification. Nitrification causes undesired changes in water quality  
45 and can lead to corrosion, particularly in premise plumbing. This study demonstrates the

46 possible role of archaea in drinking water distribution system nitrification, prompting the  
47 consideration of the importance of this group of organisms in water distribution systems.

## 48 INTRODUCTION

49 Driven by the need to comply with the Stage 2 Disinfectant/Disinfection By-Product  
50 (DDBP) Rule,<sup>1</sup> there has been an increasing trend towards the use of monochloramine as a  
51 secondary disinfectant in drinking water in the U.S. An undesirable outcome is nitrification, a  
52 microbial oxidation process that converts ammonium to nitrite and/or nitrate. Nitrification leads  
53 to a loss of disinfectant residual, increased nitrate and nitrite concentrations, elevated microbial  
54 counts, and decreased pH in poorly buffered waters.<sup>2,3</sup> Utilities struggle to control nitrification  
55 because of the limitations placed on operational conditions and concentrations of disinfectants.  
56 In a case study from a chloraminated drinking water treatment plant, significant nitrification  
57 episodes persisted despite an annual mitigation regime. This illustrates the complexity of  
58 drinking water disinfection and how utilities can struggle with balancing disinfectant choice,  
59 regulations, and water quality.<sup>4</sup>

60 In premise plumbing, extended water age and reaction of chloramine with plumbing  
61 materials may lead to chloramine decay and the release of ammonia.<sup>5,6</sup> Subsequent nitrification  
62 and reactions with plumbing materials, solder and fixtures can impact the concentrations of lead  
63 and copper in the water<sup>7-9</sup>, the levels of which are regulated by the US EPA via the Lead and  
64 Copper Rule. Additionally, pipe material is expected to affect nitrification<sup>3</sup> since compounds  
65 such as copper can be toxic or inhibitory to microorganisms.<sup>10-12</sup>

66 Traditionally, nitrification in drinking water has been attributed to ammonium oxidizing  
67 bacteria (AOB) and nitrite oxidizing bacteria (NOB). The first step of ammonium oxidation is  
68 often considered rate-limiting due to the slow growth of AOB and their sensitivity to

69 environmental conditions.<sup>13-15</sup> AOB have long been thought to be responsible for ammonium  
70 oxidation in mesophilic environments, and pure cultures of these organisms have been routinely  
71 used in disinfection studies.<sup>16-18</sup> The past decade has seen this “bacteriocentric” view  
72 challenged,<sup>19</sup> especially in environments where AOB were detected in low abundances and  
73 ammonium concentrations were below limits of AOB affinity.<sup>20</sup> In particular, molecular  
74 methods have enabled the detection of difficult-to-culture archaeal ammonia oxidizers (AOA)  
75 (or more conservatively, putative AOA where the *amoA* gene encodes the enzyme ammonia  
76 mono-oxygenase subunit A), as potential candidates for nitrification.<sup>19</sup>

77 AOA have been overlooked because these archaea have long been considered strict  
78 extremophiles, with roles in the global nitrogen cycle largely limited to marine or thermal  
79 environments.<sup>14,19,21</sup> However, mesophilic AOA and putative AOA with the *amoA* gene have  
80 been increasingly detected by molecular methods in quantities rivaling or exceeding AOB, for  
81 example, in soil,<sup>22</sup> freshwater,<sup>23-24</sup> the rhizosphere,<sup>25</sup> wastewater treatment plants (reviewed by  
82 Limpiyakorn),<sup>13</sup> and drinking water treatment and distribution systems.<sup>4,26-34</sup> Nonetheless,  
83 environmental factors affecting niche differentiation and selection processes for AOA or AOB in  
84 a specific environment are poorly understood.<sup>19,35</sup> More importantly, the role of AOA is  
85 unresolved, as there is little evidence for their contribution to nitrification in disinfected drinking  
86 water. Additionally, it was recently demonstrated by both van Kessel et al.<sup>36</sup> and Daims et al.<sup>37</sup>  
87 that complete nitrification, or the oxidation of ammonia to nitrate, can be achieved by a single  
88 *Nitrospira* spp. This single step nitrification process is referred to as comammox, and is utilized  
89 by organisms as an energy conservation mechanism. These recent studies report that there is the  
90 genetic potential for this process from a variety of environments,<sup>37</sup> and the role of these  
91 organisms in microbial nitrogen cycling is only now being recognized.

92           The current study used well-established (nitrification at pseudo-steady state for over six  
93 years; full conversion of ammonium to nitrate) laboratory reactors simulating premise plumbing  
94 with periods of flow and stagnation. Research with these reactors had shown differences in  
95 nitrification depending on the surfaces (copper or polyvinyl chloride (PVC)) in response to  
96 potential control mechanisms (addition of copper to PVC, dosing with chlorite, increasing  
97 chlorine:ammonia ratios).<sup>9</sup> To further elucidate potential reasons for these differences in  
98 nitrification, a more thorough understanding of the microbial ecology governing nitrification was  
99 sought. Specific objectives were to 1) determine differences in populations and diversity  
100 between the two types of reactors (copper and PVC) at two time points, 2) identify the organisms  
101 responsible for nitrification, 3) assess the relative abundance of these organisms in the biofilms  
102 on two surfaces (copper and PVC), and 4) evaluate the diversity and abundance of bacterial and  
103 archaeal 16S rRNA genes and genes for nitrification. A culture-independent approach was  
104 coupled with the use of propidium monoazide (PMA) to analyze both total cells and those with  
105 intact membranes.<sup>36</sup>

106           Because complex factors control nitrification and due to the general inability to mitigate  
107 the process once it begins, there is a need to better understand the microbial ecology of  
108 nitrification in drinking water systems. This understanding would lead to improved predictive  
109 capabilities by identifying appropriate targets for detection which will enable the elucidation of  
110 reasonable control strategies.

## 111 **METHODS**

### 112 **Reactor Set-up**

113           Reactors simulating premise plumbing that had been actively nitrifying (complete  
114 conversion of ammonium to nitrate) for six years<sup>9</sup> were used for this study. Baseline conditions  
115 (feed of 0.71 mg/L NH<sub>4</sub>-N) from the previous work were continuously maintained. There were

116 four replicate reactors containing copper or PVC coupons that had been in place for a minimum  
117 of six years. Reactors were kept in the dark and at room temperature. To simulate premise  
118 plumbing, periods of flushing and stagnation were included in the operational conditions of the  
119 reactors (one reactor volume, three times daily).

120 Reactors were fed a combination of mineral amended reverse osmosis (RO) water,  
121 Bozeman tap water treated by passing through a biologically active carbon (BAC) column (BAC  
122 water; surface water source, no background ammonium, chlorinated), and a humic substances  
123 organic feed extracted from Elliot silt loam soil (International Humic Substances Society).  
124 Constituents of the feedwater have been reported previously.<sup>9</sup> Ammonium sulfate was added to a  
125 final concentration of 0.71 mg/L as N, equivalent to the ammonia concentration from the  
126 complete decay of 4 mg/L chloramine. BAC water provided a continuous inoculum of  
127 indigenous organisms ( $10^4$  CFU/mL of heterotrophic plate count (HPC)). No other inoculum of  
128 nitrifiers was added. All reactors showed signs of stable, complete nitrification as measured by  
129 conversion of ammonia to nitrate.

### 130 **Sample preparation**

131 BAC water was sampled for DNA extraction/denaturing gradient gel electrophoresis  
132 (DGGE) and qPCR. Biofilm was sampled for DNA extraction/DGGE and subsequent cloning,  
133 qPCR and for fluorescent *in situ* hybridization (FISH) analysis. All sampling was done at the  
134 end of an 8 hr stagnation period over a period of four years. Biofilms were scraped from  
135 coupons (1.5 x 1.7 cm) using a rubber policeman and homogenized. For BAC sampling, 500 mL  
136 were collected at the same time biofilm was collected and filtered through a 47 mm diameter 0.2  
137 micron pore size polycarbonate membrane ([www.millipore.com](http://www.millipore.com)). Membranes were shredded  
138 and placed into individual 1.5 mL clear centrifuge tubes with 500  $\mu$ L filtered BAC water.

139 Biofilm samples were re-suspended in 1 mL filtered BAC water, vortexed, and split (500  $\mu$ L  
140 aliquots) into clear 1.5 mL microcentrifuge tubes. PMA treatment on one of the two parallel  
141 samples followed the method of Nocker et al.<sup>38</sup> DNA was extracted from PMA treated and  
142 untreated tubes using the FastDNA® SPIN Kit for Soil (MP Biomedicals, OH) modified to  
143 include a step to remove humics with guanidine thiocyanate.<sup>39</sup> For PMA controls, killed samples  
144 were prepared by autoclaving samples at 121°C for 20 minutes.

#### 145 **Endpoint Polymerase Chain Reaction (PCR)**

146 End point PCR amplifications were conducted in an Eppendorf Mastercycler® ep  
147 (Eppendorf North America, [www.eppendorfna.com](http://www.eppendorfna.com)). Reactions used 25  $\mu$ L volumes (0.2  $\mu$ M  
148 primers, 1X Go Taq® Green Master Mix ([www.promega.com](http://www.promega.com)), DEPC treated water, 1  $\mu$ g/ $\mu$ L  
149 ultrapure BSA (Ambion) and approximately 5 ng of template DNA). Oligonucleotide primers  
150 were synthesized by IDT (Integrated DNA Technologies, [www.idtdna.com](http://www.idtdna.com)). Primer sequences  
151 and PCR thermal cycler conditions are reported in Supporting Information Table 1. PCR  
152 products were run on a 0.8% agarose gel in 1X TBE buffer for 45 minutes at 40V then stained  
153 with ethidium bromide and visualized using a FluorChem™ 8800 fluorescence imager  
154 ([www.alphainnotech.com](http://www.alphainnotech.com)).

155 The functional gene encoding for bacterial *amoA* was targeted using primers amoA1-F  
156 and amoA2-R<sup>40</sup> most widely used in environmental studies.<sup>41</sup> This primer pair targets a region  
157 conserved in all betaproteobacterial AOB but does not cover gammaproteobacterial AOB.  
158 Preliminary sequencing of DGGE bands from 16S rRNA gene amplification showed no evidence  
159 of gammaproteobacteria (see Results and Discussion). DNA from *Nitrosomonas europaea*  
160 Winogradsky (ATCC strain 25978) was used as a positive control. To detect NOB, the nxrA  
161 primer pair for *Nitrobacter* spp.<sup>42</sup> and the nxrB primer pair for most *Nitrospira* spp. were used.<sup>43</sup>

162 For AOA, the functional archaeal *amoA* gene was amplified using arch-*amoAF* and arch-  
163 *amoAR*.<sup>44</sup>

#### 164 Denaturing Gradient Gel Electrophoresis (DGGE)

165 DGGE was used to separate PCR products based on sequence differences.<sup>45</sup> The 16S  
166 rRNA gene was targeted using the primers 1055F and 1392R<sup>46</sup> (with GC clamp) for bacteria and  
167 ARC344F-GC and ARC 915R<sup>47</sup> for archaea. For the archaea, products from an initial PCR  
168 reaction were used as a template for a nested PCR with the second set of primers.<sup>48</sup> This step was  
169 necessary because dilution to remove PCR inhibitors also diluted the target DNA. For DGGE  
170 analysis of archaeal *amoA*, the primer pair Arch-*amoA*-for and Arch-*amoA*-rev (with GC clamp)  
171 was used.<sup>49</sup> DGGE was performed using a DCode<sup>TM</sup> system (www.biorad.com). Denaturing gels  
172 with denaturant concentration of 40%-60% from top to bottom were used for separating the PCR  
173 amplicons, where 100% denaturant is defined as 7 M urea and 40% formamide. Gels also  
174 contained an 8 to 12% polyacrylamide gradient from top to bottom. Ten microliters of each  
175 sample was loaded per well. Electrophoresis was done at 60 V for 16 hrs. Gels were stained  
176 with Sybr®Gold (www.invitrogen.com) and visualized using a FluorChem<sup>TM</sup> 8800 fluorescence  
177 imager (www.alphainnotech.com). Marker lanes using amplicons from five unidentified 16S  
178 rRNA gene clones targeting the vector insert and selected for their different migration distances  
179 spanning the entire gel were included in each DGGE gel to allow for comparison between gels.

180 DGGE bands in the images were identified using GelCompar II software (Version 6.1,  
181 Applied Maths, Inc.) and confirmed visually. Pairwise correlation analysis of bands was done to  
182 determine if the occurrence of one band was correlated to another/other bands which may  
183 suggest that a single operational taxonomic unit (OTU) was represented by more than one band.  
184 Phylotypes of each sample were determined by counting the total number of distinct bands of

185 each sample's DGGE profile. A binary matrix (band presence-absence data) was created from  
186 the normalized DGGE gels and saved as a Comma Delimited Format (CDL) file and used in the  
187 subsequent analysis in R v.2.11.1 (R Development Core Team, 2010).

188 The Dice coefficient of similarity/Sorensen's coefficient of similarity was computed as  
189 previously reported.<sup>50, 51</sup> The computed Dice coefficient was then used for cluster analysis using  
190 flexible beta in the package cluster.<sup>52</sup>

191 Bands were excised from the DGGE gels and re-suspended in DEPC treated water. DNA  
192 was extracted using a freeze thaw cycle (3 cycles of 1 hr freezing and 1 hr at room temperature).  
193 One microliter was used as the PCR template (1055F/1392R+GC). Resulting amplicons were run  
194 on DGGE to verify the position of the bands and to make sure that each amplicon produced only  
195 one band.

#### 196 **DNA Sequencing**

197 PCR products from excised DGGE bands were gel purified using QIAquick® Gel  
198 Extraction Kit (QIAGEN) and cloned into plasmid vector pCR™4-TOPO® using the TOPO®  
199 TA Cloning kit (Invitrogen, www.invitrogen.com). Transformants were inoculated into 10 mL  
200 of Luria-Bertani (LB) broth plus 50 mg/mL ampicillin and incubated overnight at 37°C in a  
201 shaking incubator. One clone was chosen for every excised band and plasmid DNA was purified  
202 from each individual clone using the Wizard Plus SV Minipreps DNA Purification System  
203 (www.promega.com) and quantified using the NanoDrop ND-1000 spectrophotometer (Nano  
204 Drop, Wilmington USA). Clones were Sanger sequenced by the Research Technology Support  
205 Facility (RTSF) at Michigan State University using the M13F primer. Sequences were checked  
206 for chimeras using Chimera Slayer<sup>53</sup> or Bellerophon<sup>54</sup> and were compared with known sequences  
207 in the GenBank database using the Basic Local Alignment Sequence Tool (BLAST; Altschul et

208 al.<sup>53</sup> <http://blast.ncbi.nlm.nih.gov/Blast.cgi>). All sequences were deposited in the GenBank  
209 NCBI database (Supporting Information Table 2).

## 210 **Quantitative PCR**

211 Quantitative PCR (qPCR) was used to determine the relative abundance of bacterial and  
212 archaeal amoA and 16S rRNA genes in PMA treated and untreated samples of BAC and biofilm  
213 from one copper and one PVC reactor at one time point. Primers pairs 338F/518R and  
214 931F/m1100R were used for bacterial and archaeal 16S rRNA genes, respectively.<sup>56</sup> Primer pairs  
215 amoA-1F/amoA-2R<sup>40</sup> and Arch-amoA-for/Arch-amoA-rev<sup>49</sup> were used for bacterial and archaeal  
216 amoA genes, respectively. Primer sequences and thermal cycling conditions are reported in  
217 Supporting Information Table 1. The qPCR reaction mixture consisted of 1X Power SYBR®  
218 Green PCR Master Mix ([www.appliedbiosystems.com](http://www.appliedbiosystems.com)), 0.2 µM each of the forward and reverse  
219 primers, 1 µg/µL ultrapure BSA (50 mg/mL, Ambion, [www.ambion.com](http://www.ambion.com)) and DEPC water. For  
220 each 25 µL reaction, 8 µL of template DNA was used.

221 qPCR was performed in a Rotor-Gene 3000 real time PCR cycler (QIAGEN,  
222 [www.qiagen.com](http://www.qiagen.com)) in a 72-well rotor. Data were acquired using the FAM/Sybr detection channel  
223 during the extension step. Standards and samples were prepared in duplicate and negative  
224 controls containing no template DNA were included. Melt curve analysis was performed from  
225 60-95°C in 0.1°C increments held for 5 s with an initial pre-melt hold for 90 s at the first step to  
226 verify amplification of correct PCR products. Univariate analysis of variance (ANOVA) was  
227 performed on the gene copy abundance data with a general linear model using Minitab 16  
228 (Minitab).

229 16S rRNA gene amplicons were cloned into pCR™4-TOPO® using the TOPO® TA  
230 Cloning Kit (Invitrogen, [www.invitrogen.com](http://www.invitrogen.com)). A purified plasmid was randomly chosen and

231 was sequenced as described previously to verify a 16S rRNA gene insert. Plasmid concentration  
232 was determined using a ND-100 spectrophotometer (Nano Drop, Wilmington USA) and a  
233 Sybr®Gold (www.invitrogen.com) assay standardized with concentrations of double stranded  
234 Lambda DNA (Promega) measured with a ND-3300 fluorospectrometer (Nano Drop,  
235 Wilmington USA). Copy number was calculated by considering the size of the plasmid (3890  
236 bp) plus insert length (180 bp) and assuming a molecular mass of 660 Da for each base pair.  
237 qPCR standards were prepared by diluting the plasmid suspension ( $8.8 \times 10^3$  to  $8.8 \times 10^7$  target  
238 gene copies/ $\mu\text{L}$ , tenfold dilutions). The same approach was used for the bacterial *amoA* qPCR  
239 standards but with the 491bp long PCR amplicon amplified from *N. europaea* Winogradsky  
240 (ATCC strain 25978). The bacterial 16S rRNA gene standard sequence was deposited in  
241 GenBank with the accession number JQ406518.

242 PCR inhibition was investigated by using dilutions of sample DNA as templates for the  
243 qPCR reaction along with standards. Ct values were compared to that of the standards. The  
244 lowest dilution falling within the linear range that was parallel to that of the standards was  
245 chosen for analysis. For validation, a spiked sample dilution was prepared by adding a known  
246 amount of standard template to the sample dilution. The Ct value of the spiked dilution was  
247 compared to that of a standard with an equal concentration of DNA.

#### 248 **Phylogenetic analyses of 16S rRNA and *amoA* genes**

249 Amplified 16S rRNA and *amoA* sequences from the reactors were screened for the  
250 presence of chimeric sequences using Bellerophon.<sup>54</sup> Respectively, reference sequences of either  
251 16S rRNA or *amoA* gene sequences were compiled from publically available sources: NCBI  
252 BLASTN function (www.ncbi.nlm.nih.gov/blast/Blast.cgi, Altschul et al.<sup>57</sup>) using the nucleotide  
253 collection and the whole-genome shotgun contigs databases. The amplified 16SrRNA and *amoA*

254 sequences were aligned to respective reference sequences with Clustal W.<sup>57</sup> Prior to constructing  
255 phylogenetic trees all alignments were manually refined. Phylogenetic trees were constructed in  
256 Geneious using the neighbor-joining method<sup>58</sup> and the Jukes–Cantor distance model<sup>59</sup> with  
257 bootstrap values of 1000 replicates.

### 258 **Fluorescence *in situ* hybridization (FISH)**

259 FISH analysis was performed on biofilm that was not treated with PMA. Biofilm was  
260 scraped from coupons and re-suspended in 1 mL phosphate-buffered saline (PBS) (0.8% NaCl in  
261 10mM phosphate, pH 7.2). Re-suspended biofilm (500  $\mu$ L) was mixed with ice cold 4%  
262 paraformaldehyde (PFA) solution in PBS. Samples were incubated at 4°C for 4 hrs. A  
263 centrifugation (14,000 x g, 5 min), supernatant removal, and PBS washing step was repeated  
264 three times. Samples were then re-suspended in one volume of ice cold PBS and one volume of  
265 ice cold 96% (v/v) ethanol (500  $\mu$ L total volume).

266 Five microliters of fixed sample was deposited on a Teflon coated slide and air dried at  
267 46°C for 10 min. Samples were dehydrated by dipping sequentially in 50, 80 and 100% ethanol  
268 baths. Ten microliters of hybridization buffer (0.9 M NaCl, 20 mM Tris HCl, 35% formamide,  
269 0.01% (w/v) SDS and 0.3 ng of archaeal and bacterial specific probes (Supporting Information  
270 Table 1) were added and incubated at 46°C for 3 hrs. The slide was then transferred to a 50ml  
271 tube containing the washing buffer (0.07 M NaCl, 0.02 mM Tris HCl, 5 mM EDTA) at 46°C for  
272 10 minutes. The slide was then dipped in ice cold water and dried. Samples were mounted with  
273 Citifluor AFI antifadent (Citifluor Ltd, Leicester,UK).

274 A Leica TCS-SPZ AOBS laser scanning confocal microscope was used for imaging. A  
275 561 nm laser was used to excite Cy3 and a 633 nm laser to excite Cy5. Fluorescence was  
276 collected from 568-618 for Cy3 and from 660-800 nm for Cy5. Cy3 fluorescence was false

277 colored red and Cy5 green. Samples were imaged using a HCX PL APO CS100x1.4NA oil  
278 objective.

## 279 **RESULTS AND DISCUSSION**

280         The purpose of this research was to characterize the microbial community in simulated  
281 premise plumbing laboratory reactors that had been nitrifying for six years as determined by  
282 ammonium loss and nitrate production. One of the reasons for doing so was the difference shown  
283 previously<sup>60</sup> in nitrification in the same copper vs PVC reactors sampled in the current study;  
284 copper systems began nitrifying several months after the PVC systems. Once nitrification was  
285 established, ammonium was depleted within three hours in the PVC system while copper  
286 systems required four hours for ammonium removal during the eight hour stagnation periods.<sup>60</sup>  
287 Other notable characteristics were (i) copper added to PVC reactors at levels up to 1.3 mg/L  
288 (regulatory limit of copper as per the EPA's Lead and Copper Rule) did not impact nitrification,  
289 (ii) the copper reactor was more sensitive to the addition of 20 mg/L chlorite, (iii) chlorite at  
290 concentrations below 20 mg/L and at levels determined to control nitrification in some field  
291 studies<sup>61,62</sup> did not affect nitrification in either reactor type, and (iv) nitrification in copper  
292 reactors recovered more slowly after termination of chloramination at a 5:1 chlorine/ammonium  
293 ratio.<sup>9</sup> In these experiments Rahman et al.<sup>9</sup> reported most probable number (MPN) values for  
294 ammonium and nitrite oxidizing organisms in the bulk phase. Results were greatly dependent  
295 upon treatment, the abundance of ammonium oxidizing organisms ranged from 7 to 50 MPN/mL  
296 while the abundance of nitrite oxidizing organisms was from below detection limit to  
297 approximately 300 MPN/mL. It is important to note that the MPN method is based on culturing  
298 and microbial identities inferred by substrate conversion. Consequently, phylogenetic analysis

299 was required to give greater insight into the organisms responsible for ammonium and nitrite  
300 oxidation.

301 An initial study was done to determine if there was a potential inhibitory effect of copper  
302 on the community that would lead to damaged cells, for which PMA use would be beneficial as a  
303 diagnostic tool. Two representative bacterial 16S rRNA gene DGGE profiles (PMA treated and  
304 untreated, not shown) were produced from the influent BAC water and biofilm from one copper  
305 and one PVC reactor. Dice Coefficient/Sorensen's pairwise similarity coefficients of the DGGE  
306 profiles were 84% for BAC, 98% for PVC biofilm, and 96% for copper biofilm. No profile for  
307 the same sample (PMA treated vs. untreated) matched 100%, indicating the removal of some  
308 members from the community, presumably because they were dead and/or had compromised cell  
309 membranes. Only faint bands were removed by PMA treatment from profiles and no new bands  
310 appeared. Therefore, it did not appear that there was inactivation of organisms on the copper  
311 surfaces but that there could be selection based on surface material.

312 To ensure that any comparisons between copper and PVC were not the result of time  
313 dependent variability, a bacterial 16S rRNA gene DGGE banding pattern was obtained from  
314 replicate influent BAC water and copper/PVC biofilm samples collected from two sequential  
315 months (Supporting Information Figure 1). All samples were PMA treated to restrict the analysis  
316 to DNA from intact cells. A total of 37 distinct DGGE bands/OTUs were detected. Pairwise  
317 correlations no greater than 0.75 suggest each band is representative of a unique OTU. The  
318 average number of DGGE profile bands for BAC (n=2) was 17.5, for copper biofilms (n=8) 21.4,  
319 and for PVC biofilms (n=8) 19.4. Band richness of BAC, copper and PVC samples were not  
320 significantly different from each other ( $p \geq 0.58$ ) and were in the same range as those in  
321 Supporting Information Tables 3 (copper) and 4 (PVC). Only one band was present across all

322 samples, and three bands were found only in the PVC biofilm. Based on the cluster analysis of  
323 DGGE banding patterns a community profile, over time and sample type, was detected (Figure  
324 1). The influent BAC and PVC samples clustered more closely together compared to the copper.  
325 This suggests that the PVC biofilm organisms most closely resembled those of the reactor  
326 influent compared to the copper. However, BAC samples clustered more strongly compared to  
327 the PVC samples, which is indicative of greater temporal variability in the PVC samples  
328 compared to the influent BAC water. Additionally, copper samples clustered separately from the  
329 PVC and influent BAC samples, indicating some level of selection on the copper. There was  
330 also similarity between months indicating that the population was stable over this time period.  
331 These data also supported the concept that each surface selected for a different community.

332           Once reproducibility of sampling times and communities were established, the research  
333 focused on identifying the organism(s) responsible for ammonium oxidation. Since there were  
334 no reports of the presence of AOA in premise plumbing systems, it was assumed that AOB were  
335 responsible for the first step in nitrification. PCR targeting the functional *amoA* bacterial gene  
336 was performed. However, even with repeated attempts over the course of the research to  
337 optimize conditions, no amplicon indicative AOB was ever obtained from any sample. To  
338 confirm that the selected primers were accurately targeting and amplifying the bacterial *amoA*  
339 gene, a positive control was included with every amplification that was performed, and an  
340 amplicon was consistently obtained. Simultaneously, bacterial studies were extended to  
341 investigate the second step in nitrification; the conversion of nitrite to nitrate. Using *nxrA*  
342 primers designed for *Nitrobacter*, an amplicon was obtained from biofilm from the PVC reactor,  
343 but not from the copper reactor. When *nxrB* primers designed to amplify *nxrB* from most  
344 *Nitrospira* were used, amplicons were obtained from both PVC and copper. The presence of

345 amplification products from the two sets of primers suggests the probable presence of more than  
346 one nitrite oxidizing genus as well as organisms capable of comammox. Recently identified  
347 organisms that are capable of comammox belong to *Nitrospira* lineage II. Upon the comparison  
348 of the 16S rRNA sequences from the PVC reactors, to the 16S ribosomal database, sequences  
349 were most closely related to a *Nitrospria japonica* sp. (ranging from 93-98% similarity).  
350 Similarly, the only 16S rRNA sequence retrieved from the copper biofilm identified as a  
351 *Nitrospira* sp., had the closest similarity (96%) to the same organism, *Nitrospria japonica* sp.  
352 when compared to the 16S ribosomal database. While *Nitrospria japonica* sp. is a member of  
353 *Nitrospira* lineage II based off from findings from Daines et al.<sup>37</sup> it phylogenetically belongs to a  
354 different cluster than does the identified comammox organisms.<sup>37</sup> While this does not provide  
355 definitive evidence in support or against comammox in these systems, based on the current  
356 understanding of comammox the organisms in our system are not most closely related to  
357 organisms possessing the ability for complete nitrification. Since the primary focus was on  
358 ammonium oxidation, amplicons obtained using *nxr* primer sets were not sequenced and  
359 presumed positive PCR results were based on obtaining an *nxB* amplicon of the expected  
360 length.

361 To further investigate the prominent members of the communities of the copper and PVC  
362 biofilms as detected by DGGE, an initial study of bacterial diversity was conducted by targeting  
363 the bacterial 16S rRNA gene because it had the potential to identify sequences similar to those of  
364 known bacterial ammonium and nitrite oxidizers. Total DNA from a copper and PVC reactor  
365 was used instead of DNA from PMA treated samples to assess the microbial diversity of the  
366 entire microbial community. Supporting Information Table 3 (copper) and Table 4 (PVC) show  
367 the BLAST taxonomic identities of the bands obtained from the DGGE profiles. Twelve of 15

368 bands from the biofilm from the copper coupon and 14 of 16 bands from the biofilm from the  
369 PVC coupon were successfully re-amplified to yield DNA sequences considered sufficiently  
370 long for phylogenetic analysis ( $\geq 200$  bp). No sequence was common to both reactor types.  
371 Additional diversity may have been present but below some threshold such that no visible band  
372 was produced from these templates as band intensity was not considered; this is a potential  
373 limitation for DGGE. However, this method did confirm the initial inability to detect bacterial  
374 ammonium oxidizers using *amoA* genes as targets, and no sequences from either biofilm sample  
375 obtained using the 16S rRNA gene as a target had significant percent identity to known bacterial  
376 ammonium oxidizers. Several sequences were highly similar to sequences from NOB belonging  
377 to the genus *Nitrospira* (Supporting Information Table 3, band 8; Table 4, bands 3, 5, 11, 12).  
378 The detection of *Nitrospira*-like 16S rDNA sequences with high percent identity scores (e.g.,  
379 Supporting Information Table 4, band 8), supports the detection of *nxr* genes by PCR, reported  
380 above.

381         The information gained from the 16S rRNA sequences was used to evaluate the potential  
382 diversity of the most common members of the biofilm populations. Obtained sequences  
383 (Supporting Information Table 2) had closest GenBank relatives from a variety of environments,  
384 many completely unrelated to drinking water systems. However, an interesting exception is  
385 band 2 from the copper reactor (Supporting Information Table 3) that shares 100% sequence  
386 identity with (a) 11 clones from a laboratory study of the relationship between drinking water  
387 distribution system bacteria and chloramine decay,<sup>63</sup> (b) seven clones from a study of the effects  
388 of plumbing materials (but not including copper) on microbial community composition,<sup>64</sup> and (c)  
389 a single clone from two other studies of drinking water microbial communities.<sup>65,66</sup> These  
390 reported clones are not listed because they were not taxonomically identified in GenBank. The

391 richness in the PVC biofilm compared favorably with the 17 OTUs reported by Lin et al.<sup>64</sup> for  
392 biofilm grown on PVC in a similar laboratory study. Jang et al.<sup>67</sup> found distinct profiles for  
393 replicate samples of copper, stainless steel, cast iron, and PVC surfaces. No sequences  
394 associated with bacterial ammonium oxidizers were detected.

395         Because there was no evidence of bacterial ammonium oxidizers from bacterial *amoA*  
396 PCR or bacterial 16S rRNA derived sequences based on the primers utilized in this study, it  
397 appeared possible that archaea were responsible for ammonium oxidation. This conclusion is  
398 also supported by the fact that the archaea population is dominated by only one species where  
399 *amoA* gene copy numbers are in agreement with the abundance of archaea. Lebedeva et al.<sup>68</sup>  
400 also reported failure to detect AOB by *amoA* and 16S rRNA gene PCR in a nitrifying  
401 environment where they did detect AOA. Likewise, Park et al.<sup>69</sup> failed to detect AOB in an AOA  
402 enrichment culture from marine sediment. FISH was performed with domain specific probes and  
403 both archaea and bacteria were detected in PVC and copper biofilms (Figure 2), while control  
404 probes confirmed the absence of nonspecific binding. Archaea appeared to be relatively more  
405 abundant in the PVC biofilm compared to the copper.

406         Based on evidence obtained from the FISH results and the lack of evidence for bacterial  
407 ammonium oxidizing organisms, the research then focused on the potential presence of  
408 ammonium oxidizing archaea. A single, near full length, archaeal 16S rRNA gene fragment  
409 from DNA collected from biofilms growing on both the PVC and the copper and the BAC water  
410 was amplified using archaeal primers regardless of sample date or PMA treatment. Supporting  
411 the earlier noted differences between copper and PVC, a two-step PCR was needed to amplify  
412 the fragment from the copper sample. Even though there was apparently lower abundance of the  
413 archaea on copper, screening of clone libraries generated from these amplicons indicated

414 identical sequences amplified from both PVC and copper. The 710 bp sequence was submitted  
415 to GenBank as accession JQ717299. In addition, four shorter archaeal *amoA* fragments were  
416 found over the course of the research: 617 bp, (JQ406520) from one PVC reactor, 254 bp  
417 (JQ717297), and 253 bp (JQ717298) in the BAC influent and coupon biofilms and 256 bp  
418 (JQ406519), from PVC biofilm. The latter was used as a qPCR standard. All four of the *amoA*  
419 gene sequences were highly similar to each other (>99%), and for the purpose of this study the  
420 longest amplified sequence (617 bp, JQ406520) was used as a representative *amoA* gene  
421 sequence.

422 The archaeal 16S rRNA gene sequence was most closely related to the ammonium  
423 oxidizing organism *Ca. Nitrosotenuis uzonensis* (98.6% identity, Figure 3) isolated from a  
424 geothermal feature.<sup>68</sup> When compared to the identity obtained using the *amoA* gene sequence,  
425 the closest relative is also *Ca. Nitrosotenuis uzonensis*<sup>68</sup> (87.6% identity, Figure 4). Additionally,  
426 the *amoA* gene amplified from the reactor influent and biofilms is more closely related to other  
427 archaea isolated from geothermal environments than it is to other freshwater or marine habitats.  
428 Both the amplified 16S rRNA and *amoA* gene had the same closest relative, suggesting that the  
429 amplified 16S rRNA and *amoA* gene sequences are from the same organism. Since these were  
430 the only sequences retrieved after exhaustive sequencing efforts, it is speculated that there is only  
431 one AOA present in these reactors. Other evidence supporting the hypothesis of the presence of  
432 one type of AOA and the absence of bacterial ammonia oxidizers in the reactors are the qPCR  
433 results that determined the relative copy numbers of the archaeal and bacterial 16S rRNA and  
434 *amoA* genes in PMA treated and untreated samples from copper and PVC reactors. As with  
435 endpoint PCR, no bacterial *amoA* was amplified during qPCR from any sample. Figure 5  
436 reports gene copy numbers in the BAC influent water (copies/mL) and in copper and PVC

437 biofilms (copies/cm<sup>2</sup>). In general, there were no significant differences between PMA treated  
438 and untreated samples, and PMA treatment indicates that the detected genes came predominately  
439 from intact bacterial and archaeal cells.

440         There were almost two logs more bacterial than archaeal 16S rRNA gene copies in the  
441 reactor BAC influent (p=0.006). Bacterial 16S rRNA gene abundance in the biofilm from the  
442 copper and PVC coupons were not significantly different (p=0.927), while there were  
443 significantly (three logs, p=0.004) more archaeal 16S rRNA gene copies in the biofilm from the  
444 PVC coupon compared to the copper coupon. This agrees with the visual observation in the  
445 FISH images of more archaea in PVC biofilm compared to copper. There were significantly  
446 more bacterial than archaeal 16S rRNA gene copies in the biofilm from the copper coupon (four  
447 log difference, p=0.001). Bacterial and archaeal 16S rRNA gene copies were not significantly  
448 different for non PMA treated biofilm from the PVC coupons (p=0.487). However, the PMA  
449 treated PVC biofilm sample had approximately 1.5 logs fewer archaeal than bacterial 16S rRNA  
450 gene copies (p=0.012). There were almost four logs fewer archaeal *amoA* copies in the copper  
451 biofilm compared to the PVC (p=0.008). This is another indication of the potential selective  
452 effect of the copper surface on archaea compared to the more inert PVC surface.

453         There is need for caution in interpreting relative copy numbers of *amoA* and 16S rRNA  
454 genes from archaea and bacteria obtained by qPCR. Herrmann et al.<sup>25</sup> cited Kapplenbach et al.<sup>70</sup>  
455 and Leininger et al.<sup>22</sup> to justify a correction based on the assumption that AOB have an average  
456 of 2.5 copies of *amoA* and 3.6 copies of the 16S rRNA gene, while to date AOA have been  
457 shown to have only single copies of these two genes. Gene abundance *per se* may have little  
458 correlation with ammonium oxidizer activity.<sup>20</sup> Mußmann et al.<sup>71</sup> reported cases where the

459 number of AOA estimated by qPCR of *amoA* could not have been supported autotrophically by  
460 the amount of ammonium measured in the system.

461 The most important result from the qPCR data is the remarkable agreement in copy  
462 numbers between archaeal 16S rRNA and *amoA* genes, which holds true for all three pairings of  
463 these genes (BAC, copper biofilm, and PVC biofilm). This is strong evidence that the archaeal  
464 population, which appears from DNA sequencing data to be limited to a single phylotype, is an  
465 AOA. The archaeal cells detected in the system probably carry a single copy of both genes,  
466 which is consistent with what is currently known about the archaeal ammonium oxidizers.<sup>72</sup>  
467 Kasuga et al.<sup>32</sup> also reported archaeal 16S rRNA gene and *amoA* copy numbers that were  
468 consistently within the same order of magnitude during a four month period on filters used in  
469 drinking water treatment. In an enrichment of an archaeal ammonium oxidizer, Hatzenpichler et  
470 al.<sup>19</sup> also suggested that 16S rRNA gene and *amoA* sequences came from the same organism, but  
471 were only able to confirm that by subsequent near-complete genome sequencing.<sup>68</sup> The same  
472 process would be required to confirm that our archaeal *amoA* and 16S rRNA gene sequences  
473 came from the same organism.

474 The question then arises as to why AOA and not AOB were responsible for nitrification  
475 in the model premise plumbing reactors. Prosser and Nicol<sup>35</sup> did not believe that any single  
476 factor explained the selection of AOA vs. AOB, but thought that the three most important were  
477 ammonium concentration, mixotrophy, and pH. Work by Martens-Habbena et al.<sup>73</sup> showed that  
478 *Candidatus Nitrosopumulus maritimus* in pure culture had a low  $K_m$  of 133 nM total ammonium  
479 and a low substrate threshold of  $\leq 10$  nM and could therefore effectively compete with other  
480 organisms in the ocean environment. A tempting hypothesis is that the presence of only AOA  
481 was determined primarily by the ammonium feed concentration (0.71 mg NH<sub>4</sub>-N/L). Several

482 studies in water systems suggest that AOA are present at lower ammonium concentrations while  
483 AOB are more likely to be found at levels over 1 mg NH<sub>4</sub>-N/L.<sup>23,26,27,31,34</sup> However, the  
484 importance of ammonium concentration is not clear cut, and there are studies where ammonium  
485 concentration did not appear to explain selection of AOB vs. AOA, or where the results were  
486 inconclusive.<sup>28,29,33</sup>

487 In summary, we have described the microbial community in simulating premise  
488 plumbing systems that have been nitrifying for six years. Ammonium oxidation appears to be  
489 performed by archaea with diversity limited to a single organism. Nitrite oxidation is most likely  
490 performed by bacteria. Therefore, complete nitrification is accomplished by an archaeal-  
491 bacterial consortium. From previous work<sup>9</sup> it was shown that the addition of copper to a PVC  
492 system at the regulated limit of 1.3 mg/L did not inhibit nitrification, but copper reactors were  
493 less robust to potential nitrification mitigation strategies (addition of chlorite, changes in  
494 chlorine:ammonium ratios to form chloramine). The current work suggests that there is a  
495 different community of organisms on copper vs PVC. It was more difficult to detect AOA on  
496 copper vs PVC by molecular methods (including FISH). Copper had a lower abundance of  
497 archaea as detected using the 16S rRNA and amoA genes. PMA treatment results suggested that  
498 the two surfaces selected for different populations in addition to copper having a greater effect  
499 on cell viability as detected through cell wall integrity; this effect was most pronounced on the  
500 archaea. However, both the PVC and copper reactors were capable of fully oxidizing 0.71 mg/L  
501 added ammonium to nitrate in the 8 hour stagnation period.

502 An understanding of the diversity of organisms that impact nitrification in freshwater  
503 systems, including drinking water, requires further investigation. An awareness of the types of  
504 organisms and their responses to environmental and operational conditions will lead to better

505 mechanisms for predicting, identifying, and controlling nitrification events in water systems that  
506 have naturally occurring ammonium or use chloramine for secondary disinfection. An extension  
507 of this information to premise plumbing will be beneficial in determining what systems are at  
508 risk for the detrimental aspects of nitrification. With the recognition that AOA may be the  
509 prevalent group of organisms responsible for nitrification in some systems, it is now important to  
510 determine the environmental factors that favor AOB over AOA and the implications for the  
511 dominance of one organism over the other in system performance and operations.

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## 518 **SUPPORTING INFORMATION**

519 Supporting information includes the original DGGE image, primer sequences and  
520 thermal cycler conditions for PCR, probe sequences for FISH, and the sequences deposited in the  
521 GenBank NCBI database as well as identities of DGGE bands from copper and PVC coupon  
522 biofilms.

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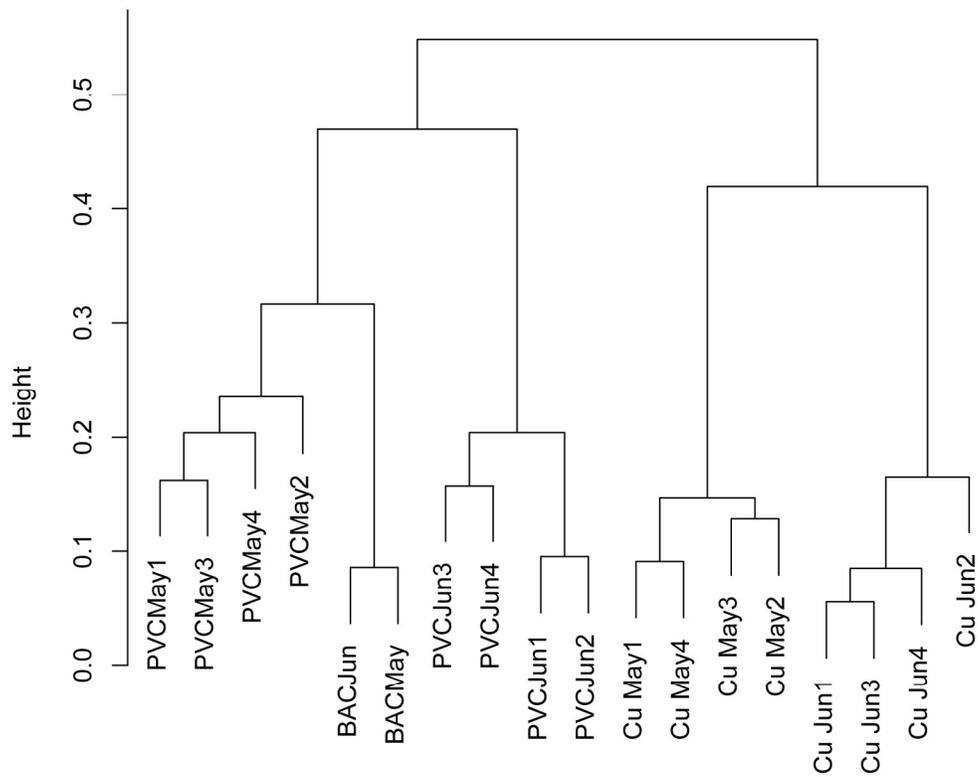
802 Fig. 1 Dendrogram cluster analysis of 16S rRNA banding patterns from DGGE-profiles using the  
803 flexible beta method (Lance and Williams 1967) of biofilm and source water microbial  
804 communities. Along the x-axis is the date of sampling and corresponding sample type: copper  
805 pipe biofilm (Cu), PVC pipe biofilm (PVC), and BAC sample which provided source water to  
806 the reactors.

807 Fig. 2 Fluorescence in situ hybridization microscopy images of biofilms from copper (left) and  
808 PVC (right) coupons showing bacteria (red) and archaea (green).

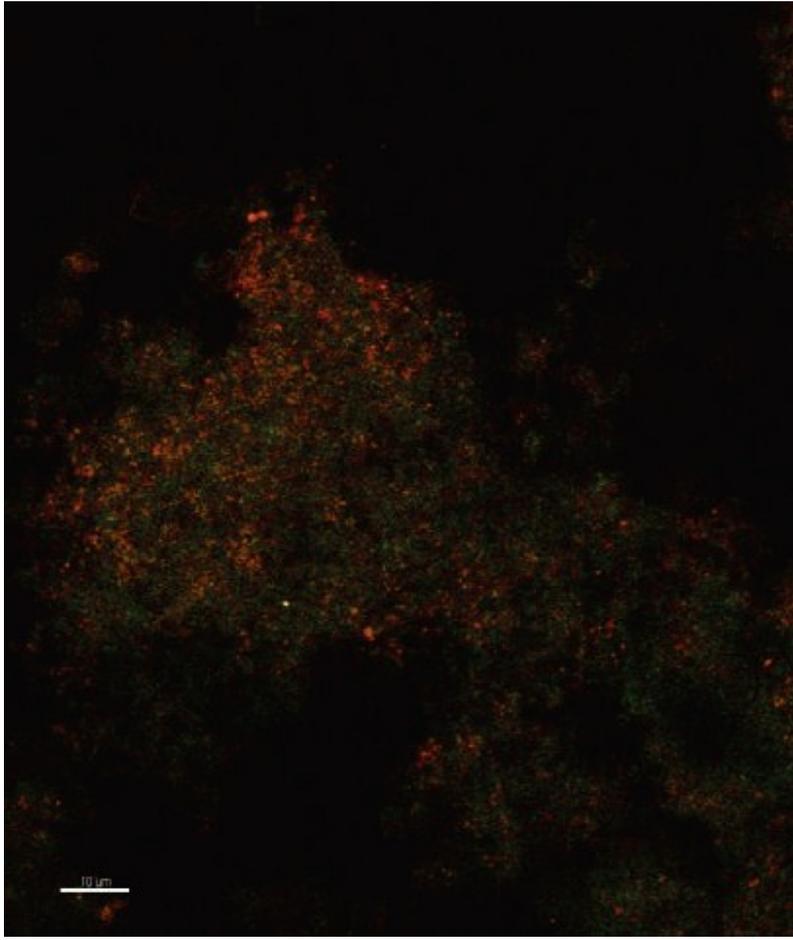
809 Fig. 3 Phylogenetic relationship of uncultured archaeal clone JQ717299 16S rRNA sequence  
810 shown in bold, and reference sequences of other publically available 16S rRNA sequences of  
811 ammonium oxidizing archaea. The closest relative is indicated in red as are all other sequences  
812 obtained from geothermal environments. Numbers in parentheses indicated the number of  
813 sequences in each environmental group. GenBank accessions numbers are given in parentheses.  
814 The tree was inferred by the neighbor-joining method. Scale bars show an estimated 10%  
815 sequence divergence. Bootstrap values  $\geq 50$  are shown.

816 Fig. 4 Phylogenetic relationship of *amoA* uncultured archeal clone JQ406520 sequence and  
817 reference sequences of other publically available *amoA* sequences of ammonium oxidizing  
818 archaea. The closest relative is indicated in red as are all other sequences obtained from  
819 geothermal environments. Numbers in parentheses indicated the number of sequences in each  
820 environmental group. GenBank accessions numbers are given in parentheses. The tree was  
821 inferred by the neighbor-joining method. Scale bars show an estimated 10% sequence  
822 divergence. Bootstrap values  $\geq 50$  are shown.

823 Fig. 5 16S rRNA and *amoA* gene copy numbers from qPCR for influent water (BAC) and  
824 biofilm from the copper and PVC reactor. BAC gene copy numbers are per mL, and biofilm  
825 samples per cm<sup>2</sup>. Samples are paired with or without the treatment of propidium monoazide  
826 (PMA) for the removal of DNA from cells with damaged membranes.

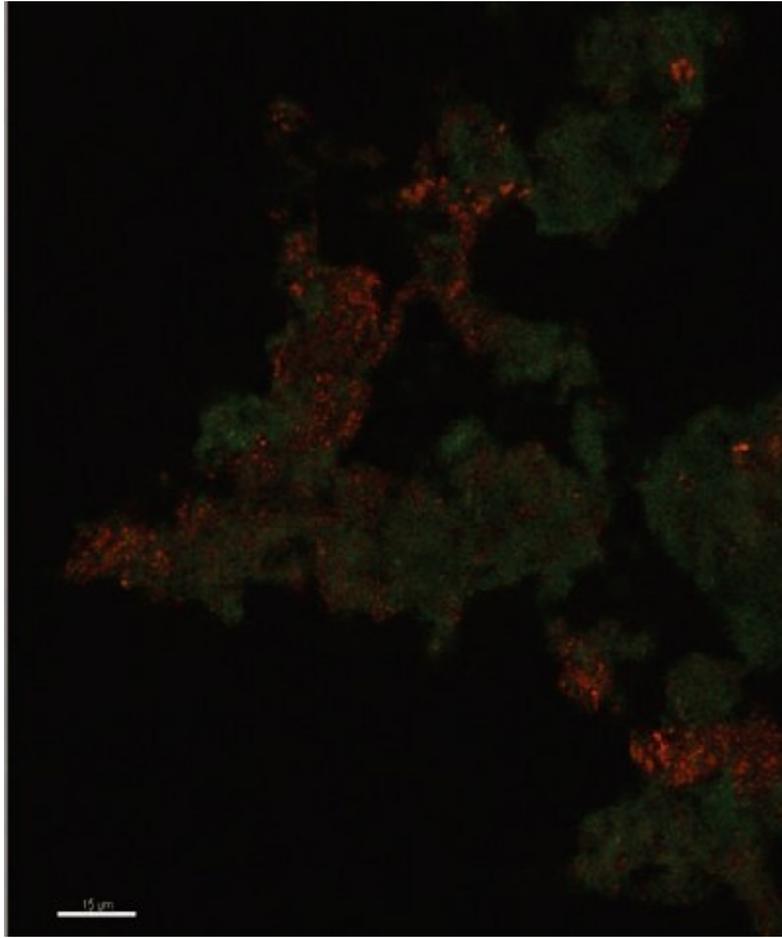


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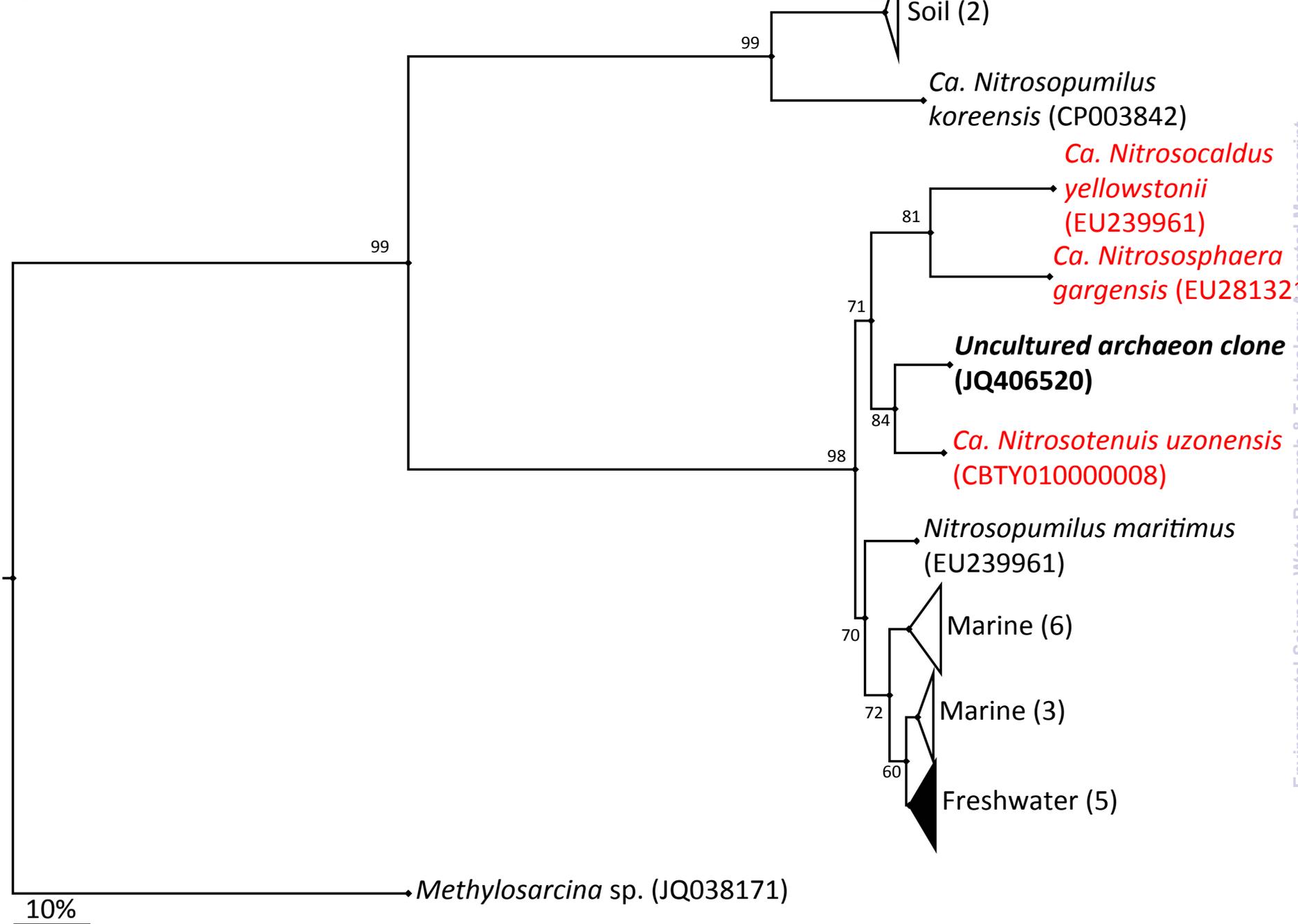


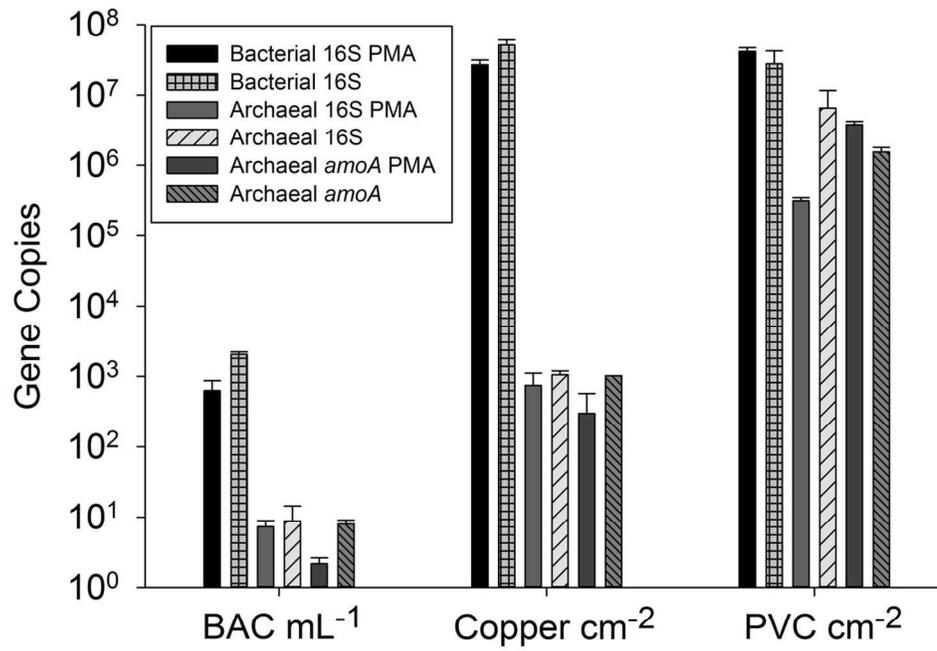
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