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Complete List of Authors:	Salus, Kinga; Adam Mickiewicz University in Poznan, Faculty of Chemistry Hoffmann, Marcin; Adam Mickiewicz University in Poznań, Faculty of Chemistry Wyrzykiewicz, Bozena; Adam Mickiewicz University in Poznań, Faculty of Chemistry Pluskota-Karwatka, Donata; Adam Mickiewicz University in Poznań, Faculty of Chemistry

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**Structural Studies of Malonaldehyde-Glyoxal and Malonaldehyde-Methylglyoxal  
Etheno Adducts of Adenine Nucleosides based on Spectroscopic Methods  
and DFT-GIAO Calculations**

Kinga Salus, Marcin Hoffmann\*, Bożena Wyrzykiewicz, Donata Pluskota-Karwatka\*

Adam Mickiewicz University in Poznań, Faculty of Chemistry, Umultowska 89b,  
61-614 Poznań, Poland

e-mail: mmh@amu.edu.pl, donatap@amu.edu.pl

**Abstract**

Etheno adducts are formed in reactions of DNA bases with chloroacetaldehyde, with lipid peroxidation products, and also with metabolites of vinyl chloride and furan. Presence of such modifications in genetic material may lead to errors in replication with consequences of mutations and even carcinogenesis. For an understanding of the biological significance of etheno adducts it is important to determine their structures. Structural identification is also essential for using these adducts as inflammatory or cancer biomarkers. This paper reports structural studies on two adducts formed in reactions of malonaldehyde and glyoxal with adenosine ( $M_1Gx-A$ ), and malonaldehyde and methylglyoxal with 2'-deoxyadenosine ( $M_1MGx-dA$ ). NMR spectroscopy and theoretical methods have been used. DFT-GIAO calculations were performed at M06/6-311++G(2df,2pd), B3LYP/6-311++G(2df,2pd) and M06/6-31++G(d,p) levels both in the gas phase and taking into account the effect of solvents (water, methanol and DMSO) using PCM approximation. It has been shown that when M06 or B3LYP functionals with the 6-311++G(2df,2pd) basis set are used,  $^1H$  NMR chemical shifts very close to experimental values are obtained and that the results of GIAO calculations at the M06/6-31++G(d,p) level have better correlation with measured  $^{13}C$  NMR chemical shift values. PCM improves the correlation of results in both cases.

**Keywords:** etheno adducts, GIAO-DFT calculation, NMR spectroscopy, structure elucidation

**List of abbreviations:**

MA – malonaldehyde

MAE – mean absolute error

$M_1Gx$  – conjugate of one molecule of malonaldehyde and one molecule of glyoxal

$M_1MGx$  – conjugate of one molecule of malonaldehyde and one molecule of methylglyoxal

M<sub>1</sub>G<sub>x</sub>-A – 7-(diformylmethyl)-3-(β-D-ribofuranosyl)imidazo[2,1-*i*]purine

M<sub>1</sub>MG<sub>x</sub>-dA – 7-(diformylmethyl)-8-methyl-3-(2'-deoxy-β-D-ribofuranosyl)imidazo[2,1-*i*]purine

TMP – 1,1,3,3-tetramethoxypropane

TMS – tetramethylsilane

TSP-*d*<sub>4</sub> – 3-(trimethylsilyl)propionic-2,2,3,3-*d*<sub>4</sub> acid

## Introduction

Etheno adducts: 1,*N*<sup>6</sup>-etheno-2'-deoxyadenosine, 3,*N*<sup>4</sup>-etheno-2'-deoxycytidine, 1,*N*<sup>2</sup>-etheno-2'-deoxyguanosine and *N*<sup>2</sup>,3-etheno-2'-deoxyguanosine are structural modifications of DNA bases in which an ethylene unit bridges two nucleophilic sites. These derivatives may be formed as a result of interactions between genetic material and lipid peroxidation carbonyl products <sup>1-16</sup>.

Etheno adducts are also formed in reactions of DNA bases with chloroacetic aldehyde <sup>17</sup>. Furthermore, xenobiotics, such as furan <sup>18</sup>, tetrahydrofuran <sup>19</sup> or vinyl halides <sup>20</sup>, generate etheno adducts after their biotransformation.

Etheno adducts exhibit promutagenic and procarcinogenic properties <sup>21,22</sup>. The formation of etheno DNA adducts may affect the structure and stability of the double helix through disturbed base pairing <sup>23-26</sup> or else cause changes of glycosidic bond conformation in the modified nucleoside <sup>23</sup>. As a consequence, DNA metabolism (this affects particularly human polymerases <sup>27</sup> and taq polymerase in PCR <sup>28</sup>) and metabolism of repair enzymes (particularly glycosylases <sup>29,30</sup>) are impaired. Etheno DNA adducts may lead to conservation of mutations and in consequence to initiation of carcinogenesis or to cell apoptosis <sup>27,31</sup>. When etheno RNA adducts are formed, impaired cellular processes related to mRNA and epigenetic control may occur <sup>7</sup>.

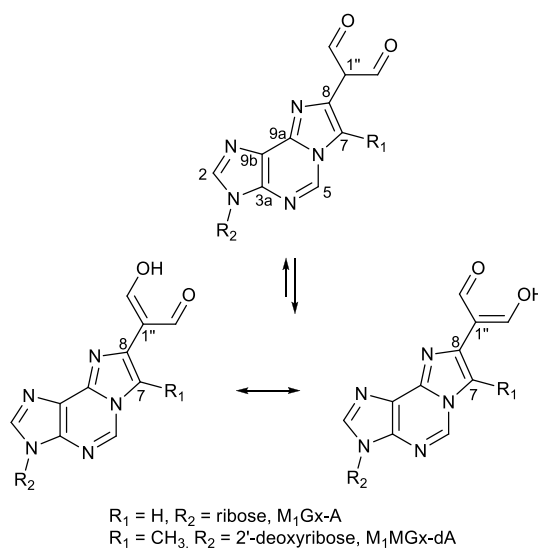
It is important to determine the structure of etheno adducts considering their potential use in biochemistry and medicine as biomarkers of oxidative stress which enhances lipid peroxidation <sup>32-34</sup>. Quantitative and qualitative etheno adduct biomonitoring may be an indicator of various pathologies, including a measure of cancer risk or an early stage of cancer <sup>32-34</sup>.

Endogenously formed DNA damaging agents are also glyoxal and methylglyoxal <sup>35,36</sup>. These extremely reactive electrophiles were identified as precursors of advanced glycation ends products (AGEs) which comprise a structurally diverse class of DNA and protein modifications formed in living organisms <sup>37,38</sup>. While protein AGEs are believed to be involved in the pathologies associated with aging, cancer, Alzheimer's disease and diabetes-related complications <sup>39,40</sup>, little is known about the structural features of this type of DNA lesions. Aldehydes continuously generated during a number of cellular processes can coexist in biological tissues and can modify biopolymers in a synergistic manner <sup>41,42</sup>.

Recently, we synthesized etheno derivatives of adenine nucleosides formed in reactions with malonaldehyde and glyoxal (*M*<sub>1</sub>Gx-A), and with malonaldehyde and methylglyoxal, (*M*<sub>1</sub>MGx-dA) <sup>43</sup>. Data resulting from spectroscopic methods suggested that a

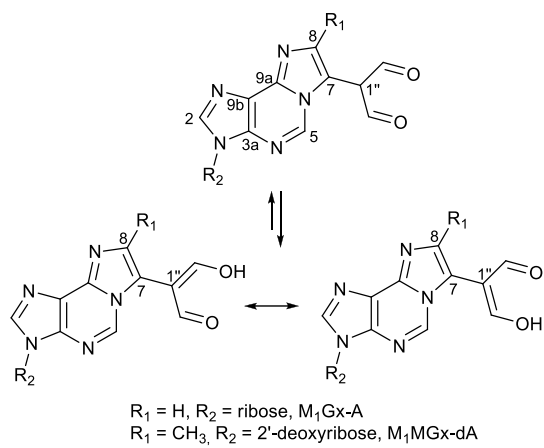
dialdehyde methyl moiety was present at position C8. However, results of our most recent preliminary studies on M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA reactivity toward nucleophilic biomolecules may indicate that the substituent can also be attached to C7. Therefore, structural studies of both adducts were undertaken in which computational together with experimental (NMR spectroscopy) methods were used. Quantum chemical methods provide useful information for determining structures of organic compounds, e.g. by predicting NMR chemical shifts. In this study GIAO method was applied as currently the most widely used method in such investigations<sup>44-58</sup>.

Calculations of <sup>1</sup>H and <sup>13</sup>C NMR chemical shifts were performed for M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA structures with a dialdehyde methyl substituent at C8<sup>43</sup> (Fig. 1).



**Fig. 1. Structures of M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA<sup>43</sup>**

Absolute errors were determined by comparison between calculated and experimental values<sup>35</sup>. The largest errors were seen for C7 and C8 atoms of the etheno ring. Therefore, we performed further thorough spectroscopic investigation and calculations for alternative structures with the dialdehyde methyl substituent at C7 (Fig. 2) and on the basis of the obtained results we found that in both adducts the dialdehyde methyl group was attached to this carbon atom.



**Fig. 2. Alternative structures for  $\text{M}_1\text{Gx-A}$  and  $\text{M}_1\text{MGx-dA}$**

## Experimental Section

### Materials

1,1,3,3-Tetramethoxypropane (TMP), 2'-deoxyadenosine monohydrate, adenosine, methylglyoxal solution (40 wt. % in H<sub>2</sub>O), glyoxal solution (40 wt. % in H<sub>2</sub>O), NH<sub>4</sub>HCO<sub>3</sub>, acetonitrile (gradient grade for chromatography), D<sub>2</sub>O (containing 0.05 % TSP-*d*<sub>4</sub>), CD<sub>3</sub>OD (containing 0.03% TMS) and DMSO-*d*<sub>6</sub> (containing 0.03% TMS) were purchased from Sigma-Aldrich.

### Chromatographic Methods

Separation and purification of M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA was carried out using an Agilent 1200 Series HPLC system consisting of a binary pump (G1312A), vacuum degasser (G1379B), autosampler (G1329A), thermostatted column compartment (G 1316A), diode-array detector (UV; G1315B), fraction collector (G1364C), and Agilent ChemStation data handling program (Agilent Technologies). Isolation was performed using a semiprep. 5 μm, 10 x 250 mm (Hypersil BDS, Thermo Scientific) reversed-phase C18 column; flow was set to 3 mL/min; the thermostat was set to 25°C; 1.2 mM NH<sub>4</sub>HCO<sub>3</sub> and ACN were used as eluents.

### General procedure for M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA synthesis

The M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA adducts were synthesized using a modified procedure developed earlier in our laboratory<sup>43</sup>. Malonaldehyde was prepared *in situ* by the acidic hydrolysis of 1,1,3,3-tetramethoxypropane (TMP)<sup>59</sup>.

Nucleosides (adenosine (170 mg, 0.64 mmol), 2'-deoxyadenosine monohydrate (170 mg, 0.63 mmol)) were dissolved in 15 mL of 0.5 M phosphate buffer at pH=4.6. Two portions of TMP (550 mg, 3.3 mmol) were mixed with 2 mL of 0.1 M HCl and stirred at 50°C for 15 min. Glyoxal (2 mL, 17 mmol) and methylglyoxal (4 mL, 26 mmol) were added separately to the hydrolyzed TMP. The pH of the resulting solutions was adjusted to 4.6 with 1 M NaOH. The malonaldehyde-glyoxal mixture was then added to the solution of adenosine, and the malonaldehyde-methylglyoxal mixture was combined with 2'-deoxyadenosine solution. The reactions were performed at 50°C for 18 h. The mixtures were then concentrated and passed through a preparative C18 column. The column was eluted with H<sub>2</sub>O (300 mL) and with a gradient of 1% to 10% ACN in H<sub>2</sub>O. The fractions containing products were combined respectively, concentrated and subjected to further isolation using a semipreparative C18 column. M<sub>1</sub>Gx-A isolation was carried out in a gradient of 0% to 0.7% ACN for 19 min, and then of 0.7% to 15% ACN for 4 min. For the purification of M<sub>1</sub>MGx-dA the column was eluted with a gradient of 0% to 0.7% ACN for 21 min, and then of 0.7% to 20% ACN for 5 min. The solutions containing pure adducts were combined, evaporated to dryness and

lyophilized. The resulting M<sub>1</sub>Gx-A (13 mg, yield: 3.6%) and M<sub>1</sub>MGx-dA (24 mg, yield: 6.7%) were characterized by NMR.

### NMR Spectroscopy

Samples were dissolved in D<sub>2</sub>O (internal standard: 3-(trimethylsilyl)propionic-2,2,3,3-*d*<sub>4</sub> acid sodium salt, TSP-*d*<sub>4</sub>), CD<sub>3</sub>OD or DMSO-*d*<sub>6</sub> (internal standard: tetramethylsilane, TMS). The experiments were performed at the temperature of 298 K. All spectra were acquired on a Bruker Avance III DRX system, operating at frequencies of 600.3 MHz (<sup>1</sup>H) and 150.9 MHz (<sup>13</sup>C). Spectrometer was equipped with 5 mm triple-resonance inverse probe head [<sup>1</sup>H/<sup>31</sup>P/BB]. High-power <sup>1</sup>H, <sup>13</sup>C  $\pi/2$  pulses of 9.00 and 15.00  $\mu$ s, respectively were used. 1D and 2D homo- and heteronuclear correlation experiments were carried out using pulse sequences from Bruker pulse program library.

### Calculation methods

The initial structures of M<sub>1</sub>Gx-A, M<sub>1</sub>MGx-dA and NMR standards: tetramethylsilane (TMS) and 3-(trimethylsilyl)-2,2',3,3'-tetradeuteropropionic acid (TSP-*d*<sub>4</sub>) were generated based on average bond lengths and valence angles; torsional angles for rotatable bonds were sampled randomly. All initial conformers of M<sub>1</sub>Gx-A (11 of enol and 11 of dialdehyde forms), M<sub>1</sub>MGx-dA (11 of enol and 13 of dialdehyde forms), TMS and TSP (1 of anion and 7 neutral forms) were optimized at the M06/6-31G(d) level.

The lowest-energy conformers were selected for further research (one each for the enol and dialdehyde form for each adduct) and for the NMR standards. NMR shielding constants were calculated for the structures using GIAO at the following levels: M06/6-311++G(2df,2pd), B3LYP/6-311++G(2df,2pd) and M06/6-31++G(d,p). The calculations were performed for the compounds in the gas phase and also taking into account the effect of solvents (water, methanol and DMSO) using the polarizable continuum model (PCM). Geometry was optimized and GIAO calculations were performed using Gaussian 09<sup>60</sup>. Calculations of <sup>1</sup>H and <sup>13</sup>C NMR chemical shifts ( $\sigma_{\text{calc}}$  [ppm]) were based on the isotropic magnetic shield tensor (IMS) [ppm].  $\sigma_{\text{calc}}$  values [ppm] were derived as usual<sup>55</sup> by subtracting IMS values for the test structures from the IMS value of the NMR standard (TSP for calculations in the gas phase and water or TMS for calculations in the gas phase, methanol and DMSO), that is:  $\sigma_{\text{calc}} = \text{IMS}_{\text{ref}} - \text{IMS}_{\text{calc}}$ .

The NMR chemical shifts calculated using quantum chemical methods were compared with the experimental values. Comparisons for the enol and dialdehyde forms of the studied compounds were made for each solvent. Absolute errors of NMR chemical shifts and mean



absolute errors (MAEs) were calculated. The experimental and calculated results were also compared using linear regression.

Energy barriers for proton transfer in the  $\beta$ -dicarbonyl moieties in  $M_1Gx$ -A and  $M_1MGx$ -dA were calculated using the M06/6-31++G(d,p)//M06/6-31G(d) level.

## Results and discussion

### Synthesis of malonaldehyde-glyoxal and malonaldehyde-methylglyoxal etheno adducts of adenine nucleosides

$M_1Gx$ -A was formed in the reaction of malonaldehyde and glyoxal with adenosine, while  $M_1MGx$ -dA was prepared in the reaction of malonaldehyde and methylglyoxal with 2'-deoxyadenosine<sup>43</sup> (Fig. 3). The optimization of the syntheses conditions resulted in the products yields of 3.6% and 6.7%, respectively.

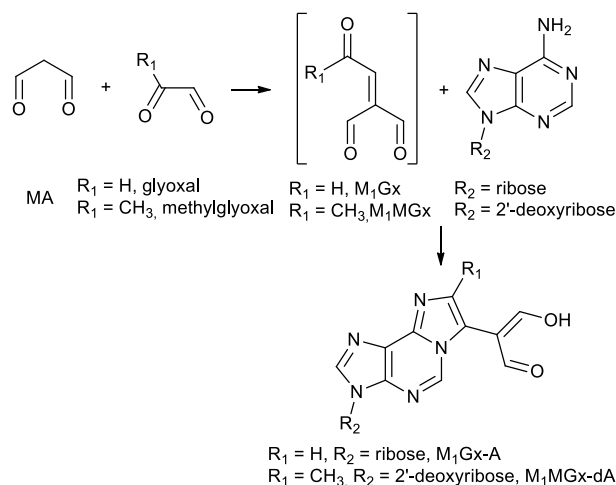


Fig. 3. Formation of  $M_1Gx$ -A and  $M_1MGx$ -dA

### Mechanism for the studied adducts formation

$M_1Gx$ -A and  $M_1MGx$ -dA belong to the group of conjugate adducts – the nucleosides modifications that comprise units derived from condensation products of carbonyl compounds. The presence of malonaldehyde in the reaction mixtures results in the formation of dimers and trimers of this aldehyde, but also of conjugates with glyoxal and methylglyoxal, respectively. Multimeric adducts of malonaldehyde were proposed to be formed by reactions of this aldehyde oligomers with the DNA bases<sup>61</sup>. The analogous mechanism was suggested for formation of the nucleosides-malonaldehyde-acetaldehyde conjugate adducts<sup>62,63</sup> and

similar one is most likely valid also for the yielding of  $M_1Gx-A$  and  $M_1MGx-dA$ . We proposed that these adducts arose from reactions of the adenine nucleosides with the initially formed malonaldehyde-glyoxal and malonaldehyde-methylglyoxal conjugates, respectively. The reaction is thought to take place through a two-step addition, however mechanism for this addition is still to be clarified in further kinetics studies beyond the scope of the current paper.

Reactions between  $\alpha,\beta$ -unsaturated aldehydes and 2'-deoxyguanosine are supposed to take place through Michael addition occurring by  $N^2$  or  $N1$  of the nucleoside at the  $\beta$ -carbon atom followed by nucleophilic attack of  $N1$  or  $N^2$  of dG at the carbon atom of the aldehyde group<sup>64</sup>. Presence of a substituent at the  $\beta$ -carbon atom can preclude initial attack by  $N1$ <sup>64</sup>. Reactions of  $\alpha,\beta$ -unsaturated aldehydes with 2'-deoxyadenosine are suggested to occur by the addition of  $N^6$  of dA to the carbonyl carbon atom with subsequent ring closure by attack of  $N1$  at C2 of the carbonyl compound<sup>2,5</sup>.

Due to the distinctive structure of malonaldehyde-glyoxal and malonaldehyde-methylglyoxal conjugates all plausible routes leading to the formation of  $M_1Gx-A$  and  $M_1MGx-dA$  should be considered. One mechanism that can be proposed, involves Michael-type addition of  $N^6$  of the adenine nucleosides to the  $\beta$ -carbon atom followed by addition of the nucleoside  $N1$  to the carbonyl group derived from glyoxal or methylglyoxal, respectively (Supplementary information, Fig. S1 A). An alternative mechanistic explanation for  $M_1Gx-A$  and  $M_1MGx-dA$  formation is based on 1,4-addition of  $N1$  to the conjugate and subsequent attack of  $N^6$  at the appropriate carbonyl carbon atom (Supplementary information, Fig. S1 B). Preference of the first route would result in the formation of adducts with the dialdehyde methyl substituent at C8, while the second mechanism would give rise to compounds having this substituent at C7.

Discussion on the  $M_1Gx-A$  and  $M_1MGx-dA$  formation is additionally complicated by the fact that in plausible both mechanisms the opposite order of the addition reactions could not be ruled out (Supplementary information, Fig. S1 C and D, respectively).

### **Determination of the dialdehyde methyl substituent position in $M_1Gx-A$ and $M_1MGx-dA$ on the basis on spectroscopic analysis**

$^1H$  NMR,  $^{13}C$  NMR and correlation spectra (COSY, HSQC, HMBC and NOESY) were recorded for the  $M_1Gx-A$  and  $M_1MGx-dA$  adducts.

The assignment of the appropriate signals from  $^{13}\text{C}$  NMR spectra to the etheno ring carbon atoms C7 and C8 were essential for the determination of the dialdehyde methyl group position. The assignment of the signal observed in  $^{13}\text{C}$  NMR spectrum of M<sub>1</sub>Gx-A at  $\delta$  133.32 ppm (Table 1) to the carbon atom C8 was based on the one-bond correlation (HSQC) with the proton signal at  $\delta$  7.49 ppm (Table 1) and long-range correlations (HMBC) between this proton signal and carbon signals derived from C7, C1'', CHO and C9a (Fig. 4 A). The assignment of the signal at  $\delta$  121.55 ppm to the carbon atom C7 was achieved on the basis of correlation observed in the HMBC spectrum between this signal and the H-C5 proton signal (Table 1, Fig. 4 A), while no correlation were seen between the signal assigned to C8 and H-C5 signal (Fig. 4 A). Furthermore, NOESY spectrum of the adduct showed correlation between the aldehyde protons and the H-C5 proton (Fig. 4 B). Therefore, the dialdehyde methyl substituent was assumed to be at position C7. This was additionally confirmed by the lack in the NOESY spectrum of M<sub>1</sub>Gx-A of correlation between the H-C5 proton and proton of the etheno ring. This correlation should be strong if the dialdehyde methyl group was bonded to the C8.

In the etheno ring of M<sub>1</sub>MGx-dA neither C7 nor C8 is bonded to proton and correlation observed in the HMBC spectrum between H-C5 proton signal and one of these carbon atoms signals is not sufficient to distinguish one of these atoms from the other (Table 2, Fig. 5 A). Therefore, correlations observed in the NOESY spectrum between H-C5 proton and the aldehyde protons were crucial for the assignment of the signal at  $\delta$  117.65 ppm (Table 2, Fig. 5 B) to the C7 carbon atom bearing the dialdehyde methyl group.

Based on the presence of respective correlations in the NOESY spectra, and also on the lack thereof, the structures of other substituted etheno adducts were determined<sup>9,65</sup>. The position of the 2-oxobutyl group in the etheno ring of the 2'-deoxyadenosine derivative formed in a reaction between the nucleoside and 4-oxo-2-hexanal<sup>9</sup> as well as the position of methyl group in etheno adenosine derivatives was determined<sup>65</sup>. The 4-oxobutyl substituent at position C7 was additionally confirmed by correlation between protons of the methylene group of the substituents bonded to the etheno ring and the adenosine N1 atom seen in the  $^1\text{H}$ - $^{15}\text{N}$  HMBC spectrum<sup>9</sup>.

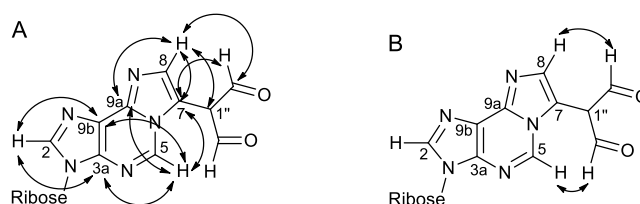
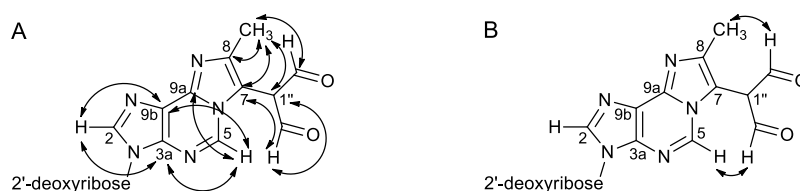


Fig. 4. Major correlations in the HMBC spectrum (D<sub>2</sub>O) (A) and in the NOESY spectrum (DMSO-*d*<sub>6</sub>) (B) of M<sub>1</sub>Gx-A

**Table 1. NMR data of M<sub>1</sub>Gx-A (D<sub>2</sub>O). (NMR data derived from spectra recorded in CD<sub>3</sub>OD and DMSO-*d*<sub>6</sub> and NOESY data – Tables S2-4 in Supplementary information)**

	$\delta$ (H) [ppm]	Multi- plicity	$J_{\text{H,H}}$ [Hz]	$\delta$ (C) [ppm]	HMBC
<b>2 CHO</b>	8.98	s		193.21	C7
<b>C1''</b>				110.58	
<b>C3a</b>				142.85	
<b>H-C2</b>	8.46	s		143.77	C3a, C9b, C1'
<b>H-C5</b>	8.60	s		139.89	C3a, C9a, C9b, C7
<b>H-C7</b>				121.55	
<b>C8</b>	7.49	s		133.32	C7, C1'', CHO, C9a
<b>C9a</b>				141.56	
<b>C9b</b>				125.13	
<b>H-C1'</b>	6.21	d	5.43	91.90	C2, C3a, C2', C3', C4'
<b>H-C2'</b>	4.90	t	5.43	76.74	C1', C4'
<b>H-C3'</b>	4.49	dd	4.18; 5.10	73.28	C1', C4', C5'
<b>H-C4'</b>	4.30	dd	4.18; 7.21	88.31	C2', C3', C1', C5'
<b>H-C5'a</b>	3.94	dd	3.02; 12.76	64.25	C3', C4'
<b>H-C5'b</b>	3.88	dd	4.25; 12.76		C3', C4'



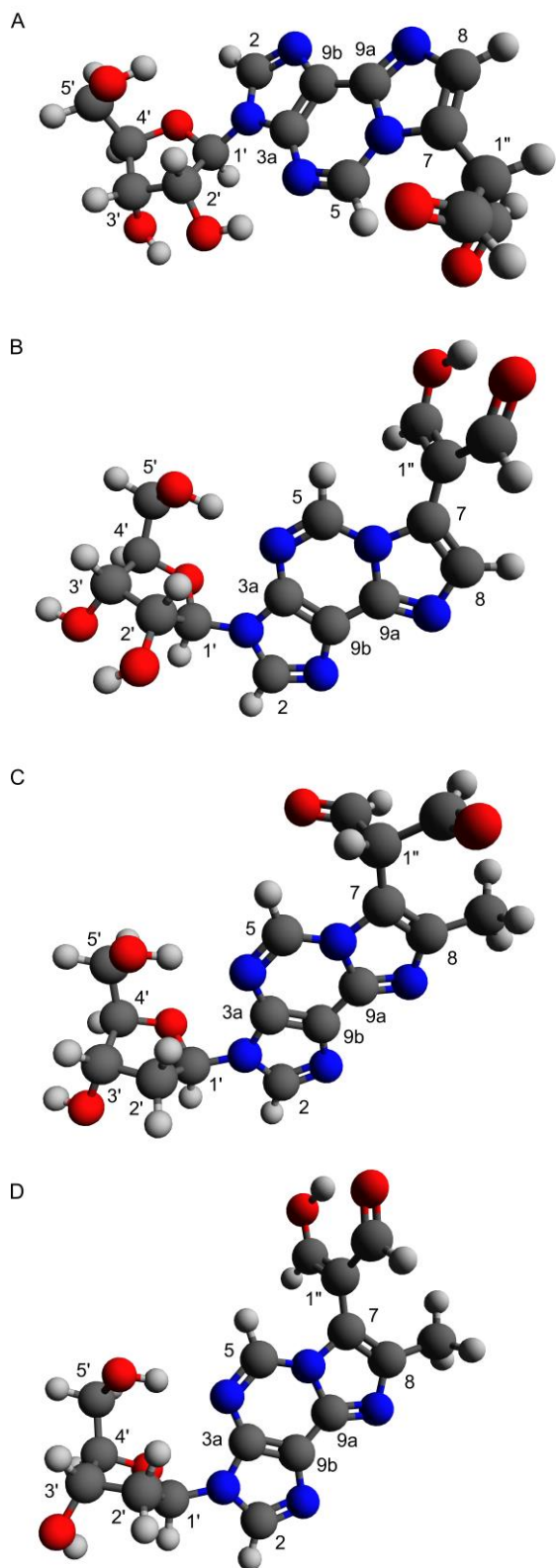
**Fig. 5. Major correlations in the HMBC spectrum (D<sub>2</sub>O) (A) and in the NOESY spectrum (DMSO-*d*<sub>6</sub>) (B) of M<sub>1</sub>MGx-dA**

**Table 2. NMR data of M<sub>1</sub>MGx-dA (D<sub>2</sub>O). (NMR data derived from spectra in CD<sub>3</sub>OD and DMSO-*d*<sub>6</sub> – Tables 6-7 in Supplementary information)**

	$\delta(\text{H})$ [ppm]	Multi- plicity	$J_{\text{H,H}}$ [Hz]	$\delta(\text{C})$ [ppm]	HMBC	NOESY
<b>2 CHO</b>	9.02	s		193.25	C1', C7	CH <sub>3</sub> , H-C5
<b>C1'</b>				110.14		
<b>C3a</b>				142.01		
<b>H-C2</b>	8.44	s		143.71	C3a, C9b, C1'	H-C1', H-C2'a, H-C3', H-C5'a, H-C5'b
<b>H-C5</b>	8.54	s		139.08	C3a, C9a, C9b, C7	CHO
<b>C7</b>				117.65		
<b>CH<sub>3</sub></b>	2.32	s		14.77	C7, C8, C1', CHO	CHO
<b>C8</b>				141.70		
<b>C9a</b>				142.12		
<b>C9b</b>				124.05		
<b>H-C1'</b>	6.57	t	6.80	87.82	C2, C3a, C2, C3', C4', C5'	H-C2, H-C2'a, H-C2'b, H-C4', H-C5'a, H-C5'b
<b>H-C2'a</b>	2.92	m	6.80, 14.02	41.92	C1', C3', C4'	H-C1', H-C2'b, H-C3', H-C2
<b>H-C2'b</b>	2.63	ddd	3.92, 6.43, 14.02		C3', C4'	H-C1', H-C2'b, H-C3', H-C4'
<b>H-C3'</b>	4.68	dt	3.73, 6.43	73.94	C1', C4', C5'	H-C2, H-C2'a, H-C2'b, H-C4', H-C5'a, H-C5'b
<b>H-C4'</b>	4.18	td	3.59, 7.21	90.24	C1', C2', C3'	H-C1', H-C2'a, H-C3', H-C5'a, H-C5'b
<b>H-C5'a</b>	3.83	dd	3.59, 12.51	64.43	C3', C4'	H-C1', H-C2'b, H-C3', H-C4', H-C2
<b>H-C5'b</b>	3.79	dd	4.87, 12.51		C3', C4'	H-C1', H-C2'b, H-C3', H-C4', H-C2

### Structural determination of M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA based on comparison between calculated and experimental chemical shift values

Most of the M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA conformers selected for GIAO calculations (Fig. 6) had *syn* conformation, even though it is generally assumed that the *anti* conformation prevails in DNA, in nucleosides and nucleotides. However, results of spectroscopic studies combined with calculations indicated that adenine nucleosides take both conformations at a similar level in solution<sup>66</sup>. Furthermore, results based on quantum chemical calculations suggest that *syn* conformers of nucleoside derivatives are more stable in aqueous media<sup>67</sup>. Etheno adducts reveal a tendency for *syn* conformation in double-strand DNA that facilitates formation of Hoogsteen base pairs<sup>23,68</sup>. Furthermore, etheno adducts in the *syn* conformation are more susceptible to DNA repair processes<sup>69</sup>.



**Fig. 6.** Lowest-energy conformers of M<sub>1</sub>Gx-A (A – dialdehyde form; B – enol form) and of M<sub>1</sub>MGx-dA (C – dialdehyde form; D – enol form)

GIAO calculations were carried out at the M06/6-311++G(2df,2pd), B3LYP/6-311++G(2df,2pd) and M06/6-31++G(d,p) levels. TMS and a neutral and anionic TSP form

were standards in NMR chemical shift calculations. The calculations were performed for *in vacuo* molecules and using the PCM to take into account the effect of solvents (water, methanol and DMSO).

Computational results were compared with the experimental data. The comparison was based on the following parameters: absolute errors, mean absolute errors (MAEs) and coefficients of determination  $R^2$ . Furthermore, the solvent effects on the correlation between experimental and theoretical results was determined.

The analysis of calculated  $^{13}\text{C}$  NMR chemical shifts for the dialdehyde forms of  $\text{M}_1\text{Gx-A}$  and  $\text{M}_1\text{MGx-dA}$  showed that the largest absolute errors occurred for the  $\text{C1''}$  atoms (up to 60 ppm) and  $\text{C8}$  and  $\text{CHO}$  (up to 20 ppm) (see Supplementary information, Tables S9-S12 and S17-20). The largest errors for the enol forms were seen for the  $\text{CHO}$ ,  $\text{C1'}$  and  $\text{C2}$  atoms (up to 20 ppm) in  $\text{M}_1\text{Gx-A}$  and  $\text{CHO}$ ,  $\text{C8}$  (up to 20 ppm) in  $\text{M}_1\text{MGx-dA}$  (see Supplementary information, Tables S13-S16 and S21-24). The largest absolute errors for  $^1\text{H}$  NMR chemical shifts for the dialdehyde and enol forms of  $\text{M}_1\text{Gx-A}$  and  $\text{M}_1\text{MGx-dA}$  occurred for the aldehyde protons (2 ppm) (see Supplementary information, Tables S9-S24). The  $R^2$  coefficients determined for calculations of  $^{13}\text{C}$  NMR chemical shifts for  $\text{M}_1\text{Gx-A}$  and  $\text{M}_1\text{MGx-dA}$  were 0.83-0.89 and 0.91-0.93, respectively, for the dialdehyde forms and 0.98-0.99 and  $> 0.99$ , respectively, for the enol forms of both compounds. The  $R^2$  coefficients determined for calculations of  $^1\text{H}$  NMR chemical shifts for  $\text{M}_1\text{Gx-A}$  and  $\text{M}_1\text{MGx-dA}$  were 0.97-0.98 and 0.93-0.97, respectively, for the dialdehyde forms and 0.96-0.99 and 0.98-0.99, respectively, for the enol forms (see Supplementary information, Tables S9-S24). Comparison of  $R^2$  values for the calculations performed for the enol forms shows that they are closer to the experimental data. The calculated values with the best correlation with the spectroscopic data are shown in Tables 3 and 4, and also S26 and S27 in Supplementary information. (Remaining data in Supplementary information, Tables S9-S28).

**Table 3. Comparison of NMR experimental data for M<sub>1</sub>Gx-A (D<sub>2</sub>O) with data calculated for the enol form of M<sub>1</sub>Gx-A using the B3LYP/6-311++G (2df,2pd) method, PCM and a neutral form of TSP as the NMR standard**

	$\delta_{\text{exp}}(\text{H})$ [ppm]	$\delta_{\text{exp}}(\text{C})$ [ppm]	$\delta_{\text{calc}}(\text{H})$ [ppm]	$ \Delta  \delta(\text{H})$ [ppm]	$\delta_{\text{calc}}(\text{C})$ [ppm]	$ \Delta  \delta(\text{C})$ [ppm]
<b>2 CHO</b>	8.98	193.21	8.78	0.20	193.81	0.60
<b>C1''</b>		110.58			112.67	2.09
<b>C3a</b>		142.85			145.38	2.53
<b>H-C2</b>	8.46	143.77	8.03	0.43	149.65	5.88
<b>H-C5</b>	8.6	139.89	8.92	0.32	140.47	0.58
<b>C7</b>		121.55			125.60	4.05
<b>C8</b>	7.49	133.32	7.66	0.17	142.53	9.21
<b>C9a</b>		141.56			150.69	9.13
<b>C9b</b>		125.13			134.36	9.23
<b>H-C1'</b>	6.21	91.9	5.98	0.23	100.73	8.83
<b>H-C2'a</b>	4.9	76.74	4.88	0.02	80.05	3.31
<b>H-C3'</b>	4.49	73.28	4.63	0.14	80.80	7.52
<b>H-C4'</b>	4.3	88.31	4.38	0.08	96.42	8.11
<b>H-C5'a</b>	3.94	64.25	3.81	0.13	69.75	5.50
<b>H-C5'b</b>	3.88		4.07	0.19		
			<b>MAE</b>	0.19	<b>MAE</b>	5.47
			<b>R<sup>2</sup></b>	0.9877	<b>R<sup>2</sup></b>	0.9922

**Table 4. Comparison of NMR experimental data for M<sub>1</sub>MGx-dA (DMSO-*d*<sub>6</sub>) with data calculated for the enol form of M<sub>1</sub>MGx-dA using the M06/6-31++G(d,p) method, PCM and TMS as the standard**

	$\delta_{\text{exp}}(\text{H})$ [ppm]	$\delta_{\text{exp}}(\text{C})$ [ppm]	$\delta_{\text{calc}}(\text{H})$ [ppm]	$ \Delta  \delta(\text{H})$ [ppm]	$\delta_{\text{calc}}(\text{C})$ [ppm]	$ \Delta  \delta(\text{C})$ [ppm]
<b>2 CHO</b>	8.91	183.64	9.03	0.12	182.54	1.10
<b>C1''</b>		106.56			101.19	5.37
<b>C3a</b>		137.86			137.88	0.02
<b>H-C2</b>	8.49	139.56	8.13	0.36	140.00	0.44
<b>H-C5</b>	8.33	136.1	8.88	0.55	135.56	0.54
<b>C7</b>		115.37			113.88	1.49
<b>CH<sub>3</sub></b>	2.18	14.16	2.61	0.43	9.92	4.24
<b>C8</b>		138.42			145.70	7.28
<b>C9a</b>		138.68			141.07	2.39
<b>C9b</b>		122.33			125.20	2.87
<b>H-C1'</b>	6.47	83.87	6.34	0.13	86.64	2.77
<b>H-C2'a</b>	2.74	39.71	3.49	0.75	38.12	1.59
<b>H-C2'b</b>	2.36		2.26	0.10		
<b>H-C3'</b>	4.44	70.68	4.20	0.24	75.13	4.45
<b>H-C4'</b>	3.9	87.9	4.28	0.38	89.28	1.38
<b>H-C5'a</b>	3.63	61.65	3.99	0.36	64.31	2.66
<b>H-C5'b</b>	3.54		3.76	0.22		
			<b>MAE</b>	0.33	<b>MAE</b>	2.57
			<b>R<sup>2</sup></b>	0.9819	<b>R<sup>2</sup></b>	0.9951

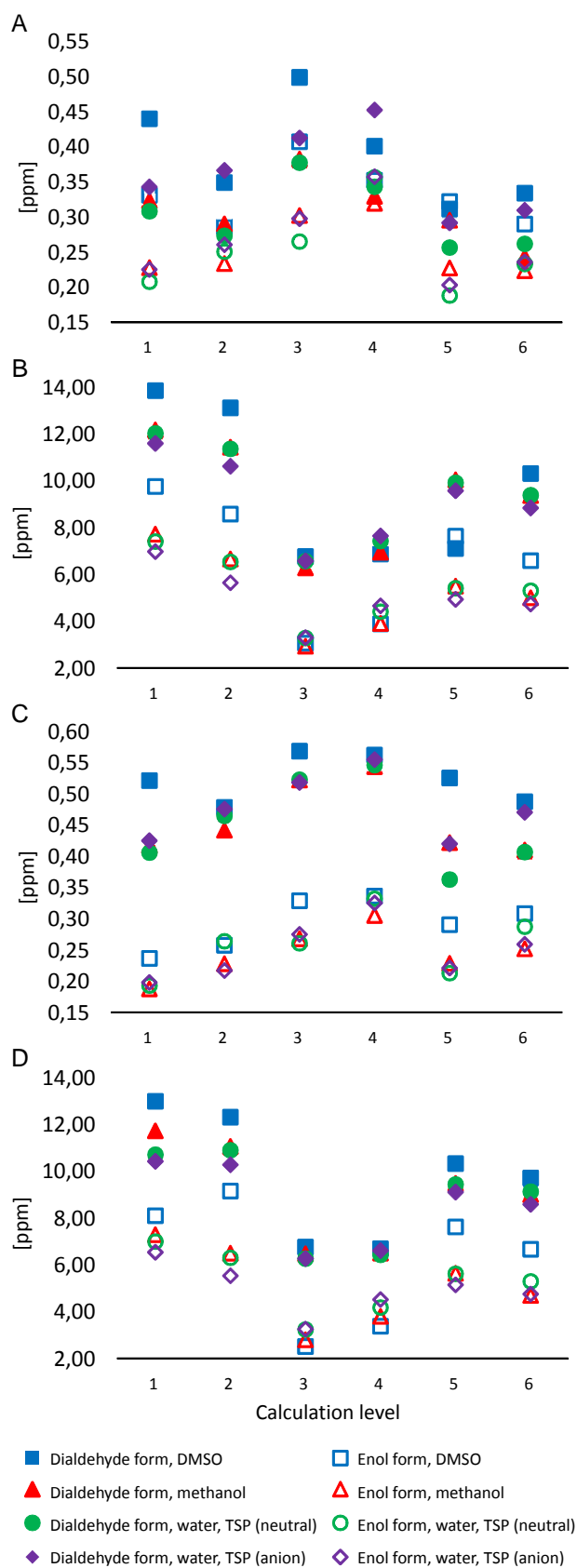


Similarly to  $R^2$  values, the analysis of mean absolute errors (MAEs) for  $^1\text{H}$  NMR chemical shifts for  $\text{M}_1\text{Gx-A}$  (Chart 1 A) shows that error values are typically higher for the dialdehyde form. Computational results for the enol form of the adduct are much more similar to the experimental data other than results for the spectrum with  $\text{DMSO-}d_6$  as solvent. When model for solvent was included in calculations, lower MAE values were obtained. The lowest MAE values (approx. 0.2 ppm, Chart 1 A) were found with M06 and B3LYP functionals and the 6-311++G(2df,2pd) basis set for the enol form of the adduct when the results were compared to the NMR spectra measured with  $\text{D}_2\text{O}$  and  $\text{CD}_3\text{OD}$  as solvents.

The comparison of experimental and calculated  $^{13}\text{C}$  NMR chemical shifts for  $\text{M}_1\text{Gx-A}$  (Chart 1 B) showed that mean absolute errors were higher for the dialdehyde form, similarly as for the  $^1\text{H}$  NMR chemical shifts. The best correlation with the experimental data derived from NMR spectra recorded with using  $\text{D}_2\text{O}$ ,  $\text{CD}_3\text{OD}$  and  $\text{DMSO-}d_6$  as solvents was seen for the calculations based on the M06/6-31++G(d,p) level for the enol form of  $\text{M}_1\text{Gx-A}$  with solvent effects included (MAE = 3 ppm, Chart 1 B).

The comparison of MAE values for  $^1\text{H}$  NMR chemical shift calculations for  $\text{M}_1\text{MGx-dA}$  shows significant separation of results for the dialdehyde and the enol form (Chart 1 C). Calculated results for the enol form are more similar to the spectroscopic data. Calculations that include solvent effects show even better correlation with the experimental data than those based on the same methods for the compound in the gas phase. Similarly as for  $\text{M}_1\text{Gx-A}$ , the lowest MAE values (approx. 0.2 ppm, Chart 3) were obtained with M06 and B3LYP functionals and the 6-311++G(2df,2dp) basis set including PCM for the solvent when the results were compared to the NMR spectra recorded with using  $\text{D}_2\text{O}$  and  $\text{CD}_3\text{OD}$ . Slightly higher MAEs were obtained when the calculated results based on the above parameters were compared with the experimental results with  $\text{DMSO-}d_6$  as solvent (Chart 1 C).

The comparison of MAE values for the  $^{13}\text{C}$  NMR chemical shifts calculated and measured for the  $\text{M}_1\text{MGx-dA}$  adduct shows clear separation of results for the dialdehyde and the enol form (Chart 1 D). The chart shows that calculations for the enol form at the M06/6-31++G(d,p) level including PCM for the solvent provided results closest to the experimental data (MAE of 2.5-3.5 ppm). The comparison shows that DMSO is the solvent that yields the best match between the experimental and the computational results (MAE = 2.57 ppm) (Chart 1 D).



**Chart 1. Comparison of MAE values for chemical shift calculations: A)  $^1\text{H}$  NMR,  $\text{M}_1\text{Gx-A}$ ; B)  $^{13}\text{C}$  NMR,  $\text{M}_1\text{Gx-A}$ ; C)  $^1\text{H}$  NMR,  $\text{M}_1\text{MGx-dA}$ ; D)  $^{13}\text{C}$  NMR,  $\text{M}_1\text{MGx-dA}$ ;**

**1) M06/6-311++G(2df,2pd), PCM; 2) M06/6-311++G(2df,2pd), *in vacuo*; 3) M06/6-31++G(d,p) PCM;**

**4) M06/6-31++G(d,p), *in vacuo*; 5) B3LYP/6-311++G(2df,2pd) PCM; 6) B3LYP/6-311++G(2df,2pd), *in vacuo*.**

The largest differences between the experimental and the computational results concerned the  $\beta$ -dicarbonyl moiety in both adducts. The computational results for the dialdehyde forms significantly differed from experimental data especially for the shielding constant of the C1'' atom. The computational results for the enol forms were much closer to the experimental data. Furthermore, one signal with integration 2 from the aldehyde protons was seen in the  $^1\text{H}$  NMR spectra and one signal from the aldehyde carbon atoms in the  $^{13}\text{C}$  NMR spectra. This proves that the experimental NMR chemical shifts within those parts of the adducts were averaged. This most likely results from the enol form being dominant for the compounds and more rapid conversions between the two possible enol forms than the relaxation of excited hydrogen and carbon nuclei <sup>70-72</sup>. The calculated energy barriers for proton transfer in the  $\beta$ -dicarbonyl moieties are 1.8 kcal/mol for M<sub>1</sub>Gx-A and 1.5 kcal/mol for M<sub>1</sub>MGx-dA. Literature reports are available that confirm that the energy barriers for proton transfer in similar systems are low <sup>73,74</sup>. The energy barrier for proton transfer in malonaldehyde calculated using *ab initio* methods is similar to the values obtained for M<sub>1</sub>Gx-A and M<sub>1</sub>MGx-dA <sup>73</sup>, while the results of semiempirical methods seem to be much too high <sup>75</sup>. Results of the crystallographic investigation of compounds with a  $\beta$ -dicarbonyl system also confirm the averaged position of the hydrogen atom between the two oxygen atoms <sup>76</sup>.

It is noted that the experimental results (D<sub>2</sub>O) were obtained with TSP-*d*<sub>4</sub> as the NMR standard. The standard was also used in chemical shift calculations. TSP may have an neutral or anionic form. Both structures were optimized and subjected to GIAO calculations using the same methods as the adducts studied. Subsequently, IMS values for both the neutral and anionic TSP form were used to determine NMR chemical shifts of the adducts. Better correlation with the experimental data was seen with the anionic TSP form as the NMR standard in calculations for  $^{13}\text{C}$  NMR chemical shifts. The neutral form of the standard, in turn, provided better correlation with spectroscopic data for  $^1\text{H}$  NMR chemical shifts. More thorough investigation would be needed to determine the actual TSP form present in the studied solutions, and it goes beyond the scope of this project. It is noted, however, that TSP was used in literature reports for NMR experiments whose results were compared to computational results with TMS as the reference <sup>77,78</sup>. This may be due to the fact that NMR chemical shifts for both TMS and TSP are by definition  $\delta_{\text{H}} = 0$  ppm and  $\delta_{\text{C}} = 0$  ppm. Experiments prove, however, that minor differences occur between chemical shifts of the standards, being approx. 0.1 ppm for  $^1\text{H}$  NMR and approx. 0.2 ppm for  $^{13}\text{C}$  NMR depending on the solvent <sup>79-82</sup>. The conformers of TSP in the neutral and anionic form used in GIAO

calculations with the calculated IMS values are listed in Supplementary information, Fig. S2-3 and Table S8.

### Conclusions

It is now commonly agreed that exocyclic etheno adducts together with other oxidative DNA damage could play an important role in the multistage process of carcinogenesis. The use of such adducts as biomarkers offers a promising tool in studies on cancer etiology and prevention, particularly for human neoplasms in which the causative factors and mechanisms are still poorly understood<sup>83</sup>. Therefore detailed knowledge of DNA adduct structure is extremely important.

Experimental methods (NMR spectroscopy) together with quantum chemical calculations were applied for the structural studies of substituted malonaldehyde-glyoxal and malonaldehyde-methylglyoxal etheno adducts of adenine nucleosides ( $M_1Gx-A$  and  $M_1MGx-dA$ , respectively). It was found on the basis of the results that in both adducts the dialdehyde methyl substituent was attached to C7 of the etheno rings, in contrast to the earlier report<sup>43</sup>. Our results as well as those derived from the literature<sup>9,65</sup> clearly indicate that correlation spectra, especially NOESY, are necessary for the determination of the substitution position in the etheno rings of the adducts among spectroscopic techniques. However NOE data are not always pivotal<sup>2</sup>. Our studies demonstrate that quantum chemical calculations provide valuable information that helps in solving the structural problems associated with the presence of the substituent at C7 or C8 in substituted etheno adducts.

Based on the comparison of data derived from NMR spectra recorded in three solvents ( $D_2O$ ,  $CD_3OD$  and  $DMSO-d_6$ ) with computational results in the gas phase and with using PCM, the optimum computational method for the compounds was selected. The experimental  $^1H$  NMR chemical shift values had the highest correlation with computational results for M06 or B3LYP functionals with the 6-311++G(2df,2pd) basis set. However,  $^{13}C$  NMR chemical shift calculations had the highest correlation with GIAO results at the M06/6-31++G(d,p) level. PCM improved the correlation of results in both cases.

Furthermore, computational results for the enol forms of adduct structures were closer to the experimental data. Therefore, the enol form was suggested to prevail in solution for the compounds studied. Furthermore, the averaging of  $^1H$  and  $^{13}C$  NMR chemical shifts indicated rapid proton transfer between both enol forms of the compounds. This resulted from a low energy barrier for proton transfer in the  $\beta$ -dicarbonyl system. The calculated energy barrier for proton transfer is 1.8 kcal/mol for  $M_1Gx-A$  and 1.5 kcal/mol for  $M_1MGx-dA$ .

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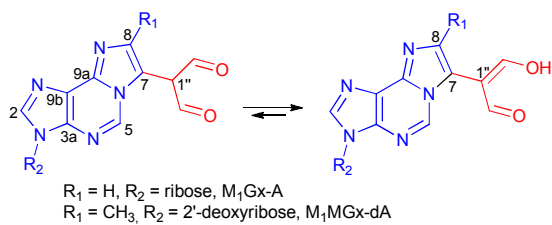
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Based on comparison of data derived from NMR spectra with results obtained from computational calculations performed in the gas phase and with using PCM, the substitution position in the etheno rings of  $M_1\text{Gx-A}$  and  $M_1\text{MGx-dA}$  was determined and the optimum computational method for structural studies of these compounds was selected.