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Kinetics of bulk photo-initiated copper(I)-catalyzed azide-alkyne cycloaddition (CuAAC) polymerizations

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ABSTRACT: Photoinitiation of polymerizations based on the copper(I)-catalyzed azide-alkyne cycloaddition (CuAAC) reaction enables spatio-temporal control and the formation of mechanically robust, highly glassy photopolymers. Here, we investigated several critical factors influencing photo-CuAAC polymerization kinetics via systematic variation of reaction conditions such as the physicochemical nature of the monomers; the copper salt and photoinitiator types and concentrations; light intensity; exposure time and solvent content. Real time Fourier transform infrared spectroscopy (FTIR) was used to monitor the polymerization kinetics *in situ*. Six different di-functional azide monomers and four different tri-functional alkyne monomers containing either aliphatic, aromatic, ether and/or carbamate substituents were synthesized and polymerized. Replacing carbamate structures with ether moieties in the monomers enabled an increase in conversion from 65% to 90% under similar irradiation conditions. The carbamate results in stiffer monomers and higher viscosity mixtures indicating that chain mobility and diffusion are key factors that determine the CuAAC network

formation kinetics. Photoinitiation rates were manipulated by altering various aspects of the photoreduction step; ultimately, a loading above 3 mol% per functional group for both the copper catalyst and the photoinitiator showed little or no rate dependence on concentration while a loading below 3 mol% exhibited 1st order rate dependence. Furthermore, a photoinitiating system consisting of camphorquinone resulted in 60% conversion in the dark after only 1 minute of 75 mW/cm² light exposure at 400-500nm, highlighting a unique characteristic of the CuAAC photopolymerization enabled by the combination of the copper(I)'s catalytic lifetime and the nature of the step-growth polymerization.

1 INTRODUCTION

Owing to the "click" nature of being a robust, orthogonal, and efficient reaction,^{1,2} the copper(I)-2 catalyzed azide-alkyne cycloaddition (CuAAC) reaction has been widely utilized in bio-conjugation.³⁻⁶ 3 surface functionalization,⁷⁻¹¹ as a coupling chemistry,¹¹⁻¹⁴ for labeling,¹⁵⁻¹⁸ and in polymer synthesis, 4 particularly in the formation of complex polymer architectures.^{19–22} Mechanistic and kinetic 5 6 investigations of the CuAAC reactions have generally been performed in highly dilute, solution-based systems, where small molecules bearing alkyne and azide functional groups were evaluated in an 7 8 attempt to improve the efficiency, yield, and rate of the CuAAC reaction by varying an array of reaction conditions, including solvent types and catalyst concentrations.²³⁻²⁵ Vast arrays of ligands,²⁵⁻²⁹ 9 solvents,^{28,30} copper salts,^{25,28,31} alkynes,^{30–33} and azide moieties^{30,31,33} have been screened at varying 10 11 concentrations to optimize and understand the kinetics of the CuAAC reaction, to define a general rate law, or to assess a mechanistic aspect of the reaction.^{34,35} However, the conclusions from these 12 experiments as well as the detailed kinetic constant measurements are highly sensitive to the reaction 13 14 conditions that were used, making it difficult to draw conclusions about kinetic behavior, particularly for the significant extrapolation necessary for bulk CuAAC polymerizations in which the azide and 15 16 alkyne concentrations are dramatically higher and where the solubility of copper, diffusion and mobility

of reacting species, and the heat of reaction all play a crucial role in the reaction/polymerizationkinetics.

Since the discovery of the CuAAC reaction in 2001 by Meldal and Sharpless.^{36,37} the direct 19 20 addition of copper(I) salts and/or a 3 to 10 equivalent excess of a reducing agent such as sodium ascorbate with copper(II) salts have been widely used to initiate the CuAAC reaction.³⁸ The insolubility 21 of sodium ascorbate in organic media³⁸ and the lack of temporal control when using copper(I) salts has 22 23 hindered the development of homogeneous bulk polymers using solvent-free CuAAC. Few studies have 24 attempted the CuAAC polymerization in bulk or investigated kinetics and properties of the resulting 25 polymers. In 2004 and 2007, Liu et al. performed CuAAC polymerizations using solutions of multi-26 functional alkyne and azide monomers on copper substrates in order to analyze adhesive properties of CuAAC polymers.^{39,40} Later in 2010, Sheng et al. made solvent-free CuAAC linear polymers by the 27 direct addition of copper(I) salts with limited concentrations of copper used due to solubility issues.⁴¹ 28 29 The discovery and implementation of the photo-reduction of copper(II) upon light exposure from 30 several research groups promoted CuAAC polymerization as a means to enhance the spatio-temporal control of the reaction.⁴² In 2006, Ritter and König presented the photogeneration of copper(I) by the 31 reduction of copper(II) using an excitation of the chromophore in the presence of an electron donor.⁴³ In 32 33 2009, Poloukhtine et al. discovered the photo-medicated copper-free azide-alkyne reaction using light to 34 decompose cyclopropenones into cyclooctynes, which then proceed via a cycloaddition reaction with azides.⁴⁴ Adzima et al. implemented spatio-temporal control of photo-CuAAC reactions as well as 35 36 photo-polymerizations of multi-functional monomers using a visible light photoinitiator to reduce copper(II),⁴⁵ and Tasdelen et al. introduced UV-initiated CuAAC reactions based on electron charge 37 transfer from amine ligands to copper(II).^{46,47} Building on these approaches to the photoinduced 38 39 reduction of copper, bulk photoinitiated CuAAC polymerization of crosslinked networks was 40 successfully demonstrated in homogeneous and stable resin mixtures that contained multi-functional

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alkynes and azides. Specifically, Gong *et al.* reported kinetic profiles of bulk CuAAC polymerizations
initiated by light in the presence of visible light photoinitiators,⁴⁸ and Sandmann *et al.* presented photoreduction of copper(II) acetates via light without the presence of photoinitiators on the CuAAC resins
containing at least 15 weight % methanol.⁴⁹

 R_2

a)
$$PI \xrightarrow{h_{U}} R \cdot$$

 $Cu(II) + R \cdot \longrightarrow Cu(I)$
 $R_1 - N_3 + = R_2 \xrightarrow{Cu(I)}_{Ligand} R_1 - N_N N$
b) $R \cdot + R \cdot \longrightarrow R - R$
 $Cu(I) + R \cdot \longrightarrow Cu(0)$
 $2 Cu(I) \longrightarrow Cu(II) + Cu(0)$
 $Cu(I) \xrightarrow{O_2} Cu(II)$
 $2 = R \longrightarrow R - R$

45

Scheme 1. Proposed reaction diagram of one approach to photoinitiated CuAAC-based polymerizations:
(a) photoinitiation, copper reduction to form Cu(I), and cycloaddition between azides and alkynes. (b)
Side reactions that can potentially occur during the course of the reaction: radical coupling, copper
disproportionation, copper oxidation, and alkyne coupling reactions.

50 Scheme 1 presents the photo-CuAAC reaction scheme that occurs when using a radical 51 generating photoinitiator along with several plausible side reactions in four distinct stages: initiation, reduction of copper, cycloaddition, and termination. Initiation, in this case, involves the cleavage of 52 53 photo-responsive compounds to generate radicals upon UV or visible light irradiation. Subsequently, the reduction of the copper(II) species into catalytically active copper(I) occurs⁴⁵ parallel to other 54 55 competing reactions, such as re-oxidation of copper(I) to copper(II), further reduction of copper(I) to copper(0), and disproportionation of copper(I) to copper(II) and copper(0).²³ The cycloaddition step 56 57 itself is a complex, multi-step mechanism involving copper diffusion, σ - and π -coordination with

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alkynes, six-membered ring formation between copper-acetylides and azides, and the ultimate release of 58 copper.⁵⁰ Termination takes place when copper(I) loses its catalytic activity by oxidation or 59 60 disproportionation. Previously, other mechanistic studies dealing with either experimental or computational modeling confirmed that the CuAAC reaction rate had a second order dependence on 61 copper concentration,⁵⁰ the formation of six-member rings during cycloaddition was a rate determining 62 step,⁵¹ and other plausible side reactions such as alkyne coupling hindered the reaction rate by forming 63 inactive species^{23,52} though all of these conclusions depend at least somewhat on the reaction conditions 64 65 used.

The nature of step-growth polymerizations enables the CuAAC polymerization to form 66 relatively homogeneous polymer networks,⁵³ where the rigid-aromatic triazole adducts formed 67 throughout the network as a product of the CuAAC reactions exhibit excellent thermal and chemical 68 stability, while also increasing the polymer stiffness and glass transition temperature.^{1,48} However, the 69 70 azide moieties can be explosive when sufficiently concentrated; therefore, designing higher molecular 71 weight azide monomers is essential to enable bulk polymerizations to be performed safely and efficiently.⁵⁴ In addition, the solubility of copper in organic substrates is often insufficient, either 72 73 requiring an addition of chelating ligands to increase solubility or only allowing for minimal concentrations of copper to be incorporated into the resin mixtures.³¹ Due to the aforementioned 74 challenges, previous investigations of the CuAAC polymerization kinetics in bulk are limited. Herein, 75 76 we explore the effects of monomer structure, copper and photoinitiator concentrations, light exposure 77 conditions, temperature, solvent, light intensity, and irradiation times on the rate of bulk CuAAC 78 polymerization to understand this complex polymerization and enable the determination of optimal 79 polymerization conditions for spatially and temporally controlled formation of photopolymerized 80 CuAAC thermosets.

82 1. Materials

83 1.3-Bis(isocvanatomethyl)cvclohexane. 4.4-methylenebis(cyclohexyl isocvanate). 1,3-bis(2-84 isocyanatopropan-2-yl)benzene, 4,4'-methylenebis(phenyl isocyanate), bis(4-hydroxyphenyl)methane, 85 6-chloro-1-hexanol, dibutyltin dilaurate, sodium azide, 1,1,1-tris(hydroxymethyl)propane, pentaerythri-86 tol, 1,3,5-tris(bromomethyl)benzene, phloroglucinol, propargyl alcohol, sodium hydride, diethyl azodi-87 carboxylate, tetrabutylammonium iodide, N.N.N'.N'.N'-pentamethyldiethylenetriamine (PMDETA), 88 copper(II) chloride, triphenylphosphine, 2,2-dimethoxy-2-phenylacetophenone (DMPA), propargyl 89 bromide, camphorquinone (CQ), tetrahydrofuran, and acetonitrile were used as received from Sigma 90 Aldrich, 2.2.4,4-tetramethyl-1.3-cvclobutanediol, 5-Hexvn-1-ol, hexvl isocvanate, 6-chloro-1-hexvne, 91 1-phenyl-1,2-propanedione (PPD), 2,2-bis(bromomethyl)-1,3-propanediol, sodium hydroxide, potassi-92 um carbonate, potassium hydroxide, hydrochloric acid, methanol, acetone, methylene chloride, and di-93 methylformamide were used as received from Fisher Scientific. Diphenyl(2,4,6-trimethylbenzoyl)-94 phosphine oxide (Lucirin-TPO) was used as received from VWR International. Bis(2,4,6-95 trimethylbenzoyl)-phenylphosphineoxide (I819) was used as received from BASF. All azides were syn-96 thesized according to the azide safety rules and handled with appropriate care and precaution, and generally working with the monomers, resins and polymers in small quantities.⁵⁴ Three facile reaction 97 98 schemes, alcoholysis of isocyanates, Mitsunobu, and Williamson ether, were used to synthesize di-99 functional azides and tri-functional alkynes as indicated below. All NMR measurements and vields of 100 monomers are presented in the supporting information.

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Figure 1. Monomer libraries with systematic structural variations for difunctional azides 2a-2f and multifunctional alkynes
 3-8, photoinitiators, and copper catalysts used in the bulk photo-CuAAC photopolymerizations studied here.

104 Synthesis of dicarbamate halide intermediates (1a-1d): A solution of diisocyanate - 1,3-105 bis(isocvanatomethyl)cyclohexane (a), 4.4-methylenebis(cyclohexyl isocvanate) (b), 1.3-bis(2-106 isocvanatopropan-2-vl)benzene (c), or 4,4'-methylenebis(phenyl isocvanate) (d) (4.09 mmol) - and 107 dibutyltin dilaurate (5 drops) in THF (3 mL) was added in a round bottom flask and purged under nitrogen. The reaction mixture was cooled to 0° C in an ice bath. followed by dropwise addition of 6-108 109 chloro-1-hexanol (8.60 mmol, 1.17 g). Removal of the ice bath allowed the reaction mixture to stir at 110 room temperature for 12 h. The reaction mixture was then flowed through a silica plug with excess THF 111 and purified by column chromatography if necessary. The product - dicarbamate chlorides or bromides -112 was dried *in vacuo* as a colorless oil (1a-1c) or white solid (1d).

113 Synthesis of dicarbamate halide intermediates (1f): A solution of hexyl isocyanate (34.3 mmol, 5 ml)

and dibutyltin dilaurate (5 drops) in THF (20 mL) was added in a round bottom flask and purged under

115 nitrogen. The reaction mixture was cooled to 0 °C in an ice bath, followed by dropwise addition of a

solution of 2,2-bis(bromomethyl)-1,3-propanediol (f) (17.2 mmol, 4.5 g) in THF (10ml). Removal of the ice bath allowed the reaction mixture to stir at room temperature for 12 h. The reaction mixture was then flowed through a silica plug with excess THF. The product was recrystallized in ethyl acetate as a white solid (1f).

Synthesis of carbamate diazides (2a-2d, 2f): A solution of dicarbamate chlorides or bromides (1a-1d, 1f) (4.15 mmol) and sodium azides (16.6 mmol, 1.08 g) in DMF (30 ml) was added to a round bottom flask connected with a reflux condenser. The reaction mixtures containing (1a-1d) were stirred at 80°C for 12 h and for (1f) for 30 h. The product was extracted with ethyl acetate and water, dried with Na₂SO₄, purified by column chromatography if necessary, and dried *in vacuo* as a colorless oil (2a-2c) or a white solid (2d,2f).

126 Synthesis of ether diazides, (2e): A solution of bis(4-hydroxyphenyl)methane (4.99 mmol, 1 g), 6-127 chloro-1-hexanol (14.9 mmol, 2.05 g), and triphenylphosphine (14.9 mmol, 3.93 g) in THF (5 ml) was 128 added to a round bottom flask and placed in an ice bath inside a sonicator. After dropwise addition of diethyl azodicarboxylate (14.9 mmol, 5.88 ml of a 40% solution in toluene) at 0 °C, the reaction mixture 129 130 was sonicated for 2 h and then stirred for 12 h at room temperature. Triphenylphosphine was removed from the reaction mixture by crystallization in ethyl acetate. The product - diether chlorides - was dried 131 132 in vacuo as a colorless oil, and sodium azides (20.6 mmol) in DMF (60 ml) was added to a round bottom flask connected with a reflux condenser. The reaction mixture was stirred at 80 °C for 12 h. The 133 134 product was extracted with ethyl acetate, water, and 1M NaOH, dried with Na₂SO₄, purified by column 135 chromatography if necessary, and dried in vacuo as a colorless oil.

Synthesis of trialkynes, (3): A solution of 1,1,1-tris(hydroxymethyl)propane (14.7 mmol, 1.97 g) and 40 w/w% NaOH/water in DMSO (15 ml) was added in a round bottom flask and stirred for 1 h at room temperature. After dropwise addition of propargyl bromide (94 mmol, 8.9 ml of 80% solution in toluene), the reaction mixture was stirred for 5 days. The product was extracted with diethyl ether and

- water, dried with Na₂SO₄, purified by column chromatography if necessary, and dried *in vacuo* as a
 colorless oil.
- Synthesis of tetraalkynes, (4): A solution of pentaerythritol (73.45 mmol, 10 g), KOH (1016 mmol, 57 g), and TBAI (0.95 mmol, 0.35g) in THF (250 ml) was added to a round bottom flask connected with a reflux condenser under a nitrogen purge. After dropwise addition of propargyl bromide (691 mmol, 65.45 ml of 80% solution in toluene), the reaction mixture was stirred for 3.5 h at 70 °C. The reaction mixture was extracted with ethyl acetate, water, and 1M NaOH, dried with Na₂SO₄. The product was recrystallized in ethyl acetate at 0 °C as a yellow solid.
- Synthesis of trialkynes, (5): A solution of propargyl alcohol (4.51 mmol, 0.25 g) and 60% NaH (4.51 mmol, 0.18 g) oil dispersion in DMF (15 ml) was added in a round bottom flask under a nitrogen purge at 0 $^{\circ}$ C in an ice bath. After 10 min of stirring, 1,3,5-tris(bromomethyl)benzene (1.40 mmol, 0.50 g) was added to the reaction mixture and stirred for 24 h at room temperature. The reaction mixture was neutralized with HCl. The product was extracted with ethyl acetate and water, dried with Na₂SO₄, purified by column chromatography if necessary, and dried *in vacuo* as a yellow oil.
- Synthesis of trialkynes, (6): A solution of phloroglucinol (79.3 mmol, 10.0 g) and K_2CO_3 (476 mmol, 65.8 g) in DMF (500 ml) was added in a round bottom flask connected with a reflux condenser under a nitrogen purge. After dropwise addition of propargyl bromide (560mmol, 53ml of an 80% solution in toluene), the reaction mixture was stirred for 24 h at 80 °C. The reaction mixture was extracted with ethyl acetate, water, and 1M NaOH, dried with Na₂SO₄. The product was recrystallized in methanol as a white solid.
- Synthesis of trialkynes, (7): A solution of phloroglucinol (7.93 mmol, 1.0 g) and K_2CO_3 (47.6 mmol, 6.58 g) in DMF (50 ml) was added in a round bottom flask connected with a reflux condenser under a nitrogen purge. After dropwise addition of 6-chloro-1-hexyne (55.50 mmol, 6.8 ml), the reaction

163 mixture was stirred for 24 h at 100 °C. The reaction mixture was extracted with ethyl acetate, water, and

164 1M NaOH, dried with Na₂SO₄, purified by column chromatography, and dried *in vacuo* as a white solid.

165 Synthesis of dialkynes, (8): A solution of 2,2,4,4-tetramethyl-1,3-cyclobutanediol (6.93 mmol, 1 g),

KOH (47.15 mmol, 2.65 g), and TBAI (0.048 mmol, 17.5 mg) in THF (20 ml) was added to a round

bottom flask connected with a reflux condenser under a nitrogen purge. After dropwise addition of propargyl bromide (27.74 mmol, 3 ml of 80% solution in toluene), the reaction mixture was stirred for 3.5 h at 70 °C. The reaction mixture was extracted with ethyl acetate, water, and 1M NaOH, and dried with Na₂SO₄. The product was flowed through a silica plug with hexane/ethyl acetate solvent system (9:1) and recrystallized from methanol as a white solid.

Preparation of CuCl₂[PMDETA] complex: 1:1 molar mixture of CuCl₂ and PMDETA (N,N,N',N',N''pentamethyldiethylenetriamine) in acetonitrile was stirred overnight at room temperature and dried *in*vacuo to a blue-green solid.

175 2. Methods

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Sample preparation. Stoichiometric mixtures of a diazide, trialkyne (1:1 N₃:alkyne), and various mole percentages of CuCl₂[PMDETA] and photoinitiator per functionality were prepared. Methanol, DCM, or acetone was used to homogenize the mixture, depending on the solubility of the resin mixtures with copper, and was later removed *in vacuo*. The solvent content of each resin was verified by ¹H-NMR using a Bruker Avance-III 400 MHz spectrometer with 16 scans·sec⁻¹ and 1 s of relaxation time prior to any polymerization.

Fourier Transform Infrared Spectroscopy. An FTIR spectrometer (Nicolet 8700, Fisher Scientific) incorporated with a heating stage was used to monitor the real-time polymerization kinetics of the functional group conversion in transmission mode. Irradiation was performed using a light guide connected to a mercury lamp (Acticure 4000, EXFO) with either a 365 nm or 400-500 nm bandgap filter, depending on the photoinitiator used. Samples were placed between NaCl plates, and the azide

187 peak was monitored in the absorption range between 2300-2000 cm⁻¹ having the alkane C-H stretching

bonds as a reference peak between 2980-2840 cm⁻¹ with 12 scans \cdot sec⁻¹ and 2 cm⁻¹ resolution.

189 RESULTS AND DISCUSSION

190 Monomer Structural Variations. Combinations of azide and alkyne monomers containing monomers of 191 various structure and functionality were examined while maintaining all other reaction conditions the 192 same, including the copper loading, photoinitiator concentration, light exposure time and intensity, and 193 temperature. The resulting CuAAC polymerization kinetics are shown in Figures 2 and 3. Generally, 194 despite being initiated by relatively low light intensities (only 10 mW/cm²), these polymerizations are 195 largely complete after only 2-5 minutes of irradiation though persistent polymerization does occur well 196 after exposure is complete, indicative of the long lifetime of the catalytic Cu(I) species formed during 197 the exposure period.

198 As shown in Figure 2, structural elements within the azide monomers such as the presence of 199 either aromatic or non-aromatic cores and either the carbamate or ether linkages in the monomer 200 backbones affect both the initial polymerization rate and final conversion significantly. Azides having 201 cyclohexane cores, 2a and 2b, resulted in slightly more rapid initial polymerization rates and 202 approximately 6.5% higher final conversion after 30 minutes, as compared to azides bearing aromatic 203 cores, 2c and 2d. Similarly, an azide monomer 2e containing an ether linkage in contrast to a carbamate 204 linkage as in monomer 2d, showed a two-fold increase in the initial polymerization rate during the first 205 2 minutes of irradiation and resulted in approximately 20% higher maximum conversion after 30 minutes. From the viscosity measurement for the pure azides at 50 °C via rheometry, the viscosity of the 206 207 azide monomers with a single ring core, 2a and 2c, was 0.18 Pa·s, while the viscosity of the azide monomers having two ring cores, **2b** and **2d**, was 5.8 Pa·s at a shear rate of 20 s⁻¹ (See Table S1). 208 209 Similarly, the azide monomer 2e containing an ether linkage had a viscosity of 0.05 Pa.s. approximately 210 100 times less viscous than the azide monomer 2d with a carbamate linkage (Table S1). By correlating

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the viscosity of the monomers with the kinetic profiles from Figure 2, it is clear that increasing the monomer viscosity results in a reduction of both the initial rate of polymerization and the maximum conversion. The effect of viscosity on the polymerization rate is likely caused by diffusional limitations, either of the initiation reaction or of the CuAAC reaction itself. A similar effect of increasing viscosity was also observed in resins with azides **2f** and **2d** as shown in Figure 2.

Azide **2f** contains two azide functional groups held in close proximity by sterically hindered carbamate side groups. This short distance between the two azides has previously been reported to accelerate the CuAAC reaction, proposing that the formation of a first triazole works as a ligand to aid copper coordination for the very proximate neighboring azide.⁵⁵ However, no significant difference in kinetics was observed between azides **2d** and **2f**, suggesting that this proximal effect is of minimal importance in these bulk, highly concentrated reaction environments.



Figure 2. Bulk photo-CuAAC polymerization kinetics as measured by FTIR. 1:1 azide:alkyne mixture with varying azide structures, including 2a (closed square), 2b (open triangle), 2c (closed triangle), 2d (closed circle), 2e (open square), 2f (open circle). Each azide was polymerized stoichiometrically with alkyne 3 in the presence of 2 mol% CuCl₂[PMDETA], 4 mol%

228 As observed in Figure 3, structural variations in the alkyne monomers have a pronounced effect 229 on the initial polymerization rate and the final conversion. Alkynes 3, 4, 5, 6, and 8 showed a noticeable 230 increase in the average initial polymerization rate, as compared to alkyne 7, during the first 2 minutes of irradiation, mainly due to the higher reactivity of an alkyne functional group next to an ether linkage 231 compared to a hydrocarbon linkage.^{32,34} The alkyne reactivity was also confirmed through a study of 232 233 small molecule model compound reactivity in solution by FTIR, using a 2 M solution in DMF of 234 propargyl alcohol or 5-hexyn-1-ol, difunctional azides 2c, 2% CuCl₂[PMDETA], and 4% DMPA, 235 irradiated at ambient temperature (Figure S1). The average initial reaction rate using propargyl alcohol 236 was 4.5 mol/(L·min) while the average initial rate using 5-hexyn-1-ol was only 1.7 mol/(L·min), 237 suggesting approximately 2.6 times higher reactivity of propargyl alcohol towards the CuAAC reaction 238 under these conditions. However, despite a slower average initial polymerization rate as compared with 239 alkyne 6, a resin containing alkyne 7 reached 97% conversion after 50 minutes, as compared to alkyne 6 240 which reached only 82% conversion after 50 minutes. Longer hydrocarbon linkages between the alkyne 241 functional groups in 7 in contrast to 6 provide flexibility to the resin, which aids in increasing the final 242 conversion, as long as the copper(I) species are able to remain active. Furthermore, by increasing the 243 alkyne functionality from 3 to 4, alkyne 4 yielded only 82% conversion after 50 minutes while alkyne 3 244 achieved 90% conversion after the same time. However, when the alkyne functionality was further 245 reduced to 2, alkyne 8 only exhibited 87% conversion after 50 minutes, where the number average 246 degree of polymerization is predicted to be 8 repeat units using the Carothers equation, which 247 corresponds to a number average molecular weight of 6000 (PDI = 1.9). Clearly, the final conversion is 248 strongly affected by vitrification and structural elements of the monomer that impact the final glass 249 transition temperature of the polymer will have a significant effect on the final conversion as well.

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250 Specifically, the correlation between conversion and the structural rigidity of monomers, rather than the 251 reactivity of functional groups, suggests that the kinetics of CuAAC bulk polymerizations are highly 252 diffusion-limited, especially at the later stages of polymerization.



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Figure 3. Bulk photo-CuAAC polymerization kinetics using FTIR. 1:1 azide:alkyne mixture with varying alkyne structures, including 3 (open triangle), 4 (closed triangle), 5 (closed circle), 6 (open square), 7(closed square), 8 (open circle). Each alkyne was polymerized stoichiometrically with azide 2c in the presence of 2 mol% CuCl₂[PMDETA], 4 mol% DMPA per azide functional group, and <0.5 wt% methanol. Each mixture was irradiated for 5 min (gray shaded area) at 50 °C with 10 mW/cm² of 365 nm light following 3 minutes in the dark as a baseline measuring period.

Figure 4 illustrates the effect of the presence of solvent on the final conversion of the CuAAC polymerization via variations in methanol concentration within a single resin mixture. With increasing methanol content, one expects the initial viscosity and final glass transition temperature for the polymer to be reduced. Here, the primary effect was found to be the plasticization of the methanol which increases chain mobility.⁵⁶ The conversion after 10 minutes reaction time was increased dramatically by the presence of the methanol with a negligible influence on the initial polymerization rate. In addition,

the effect of methanol content on polymerization conversion was only significant at ambient

temperature, while negligible differences were observed at elevated temperature, 50 °C.



Figure 4. Bulk photo-CuAAC polymerization kinetics using FTIR. 1:1.2 azide:alkyne mixture with varying methanol concentration ranging from 0.1 to 12.8% by weight. Azide 2c was polymerized with alkyne 3 in the presence of 2 mol% CuCl₂[PMDETA], 4 mol% DMPA per azide functional group, and methanol. Each mixture was irradiated for 5 min (gray shaded area) at (top) ambient temperature and (bottom) 50 °C with 10 mW/cm² of 365 nm light following 3 minutes in the dark as a baseline measuring period.

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274 Visible light photoinitiators. In Figure 5, a variety of visible light photoinitiators, including CQ 275 PPD I819 (camphorquinone), (1-phenyl-1,2-propanedione), (bis(2,4,6-trimethylbenzoyl)-276 phenylphosphineoxide), and Lucirin TPO (2,4,6-trimethylbenzoyl-diphenylphosphine oxide) - were 277 tested to examine their effectiveness in initiating the CuAAC polymerization. A 1:1 stoichiometric mixture of azide 2c and alkyne 3 with 2 mol% CuCl₂[PMDETA] and 2 mol% visible light 278 photoinitiators was polymerized using 10 mW/cm² of 400-500 nm light. For all cases, over 60% 279 280 conversion was achieved with substantially different initial polymerization rates under the same 281 condition tested. The most obvious behavior observed was that rapid initial polymerization rates were 282 only achieved for photoinitiators with higher molar extinction coefficient such as TPO and I819;⁵⁷ 283 however, relatively high conversions were obtained using the CQ initiation system over an extended 284 time period, indicative of the versatility of copper reduction by nearly any radical initiator and the 285 longevity of the copper(I) catalyst that is formed.



Figure 5. Bulk photo-CuAAC polymerization kinetics using FTIR. 1:1 azide:alkyne mixture with varying photoinitiators, including TPO (open triangle), I819 (closed triangle), PPD (open circle), CQ (closed circle). Azide 2c was polymerized stoichiometrically with alkyne 3 in the presence of 2 mol% CuCl₂[PMDETA], 2 mol% photoinitiators per azide functional

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292 Copper & Photoinitiator Concentration. Figure 6 presents the effects of the photoinitiator and copper 293 concentration on the average initial polymerization rate, as measured by the time required to react from 294 10% to 30% conversion. In order to minimize the number of variables affecting the polymerization rate 295 other than the concentration of copper and photoinitiator, one specific reaction condition was chosen as 296 follows: azide 2c and alkyne 3 were polymerized stoichiometrically with CuCl₂[PMDETA] as a catalyst, and CQ as a visible light photoinitiator, with continuous irradiation at a light intensity of 75 mW/cm². 297 wavelength range of 400-500 nm, and a temperature of 35°C. It should be noted that the absorption 298 299 efficiency of the photoinitiator, molar extinction coefficient of the photoinitiator, the solubility of 300 copper(II), the stability of copper(I) and several other factors are all also important factors controlling 301 initiation and copper reduction that are intended to be preserved by using a single polymerization 302 condition.

303 For both PI:Cu ratios cases, the average initial polymerization rates increased linearly as the copper concentration varied from 0 mol% to 3 mol%, indicating that the initial rate is first order in 304 305 copper concentration under these circumstances. However, as the concentration of copper increased 306 from 3 mol% to 5 mol%, either a subtle increase or a plateau in the average polymerization rate was 307 observed. It is worth noting that precipitation of copper catalysts started to appear for resin formulations 308 containing higher copper loadings above 4 mol% several hours after mixing. This slight increase or 309 plateau in the rate regardless of higher copper and photoinitiator loadings is possibly due to phenomena 310 associated with various CuAAC reactions. First, at higher copper concentrations, disproportionation of 311 copper(I), aggregation and/or precipitation of insoluble copper species can occur rapidly, and each one 312 promotes the formation of inactive copper species. Furthermore, at higher photoinitiator concentrations, 313 higher density of radicals generated from the photoinitiator increases radical-radical а

314 recombination/termination reactions which then eliminates radicals that would otherwise be available to

315 reduce copper.

320

For the case when the PI concentration is 1 mol%, the average initial polymerization rates remained constant as the copper concentration varied from 1 mol% to 6 mol%. This constant rate over a wide range of copper loadings indicates that copper reduction which strongly dictates the average initial polymerization rates is highly restricted by insufficient amounts of photoinitiator relative to the copper.



Figure 6. The average initial polymerization rate taken between 10% to 30% conversion from FTIR as a function of the copper concentration. A 1:1 azide:alkyne mixture with varying copper and photoinitiator concentration. Azides 2c was polymerized stoichiometrically with alkynes 3 in the presence of different molar ratio of $CuCl_2[PMDETA]$ and CQ per azide functional group, and <1 wt% methanol. Each mixture was irradiated for continuous at 35 °C with 75 mW/cm² of 400-500 nm light following 3 minutes in the dark as a baseline measuring period. [PI]/[Cu]=1 (closed square) and [PI]/[Cu]=2 (closed circle) indicate the molar ratio of the photoinitiator to copper (PI:Cu) is fixed at 1 and 2 while [PI]=1 (closed triangle) represents when the photoinitiator concentration is fixed at 1 mole % with varying copper concentration.

328 Light Exposure. To probe the influence of light intensity and exposure dose on the polymerization rate, a 329 single resin formulation consisting of a 1:1 stoichiometric mixture of azide 2c and alkyne 3 with 2 330 mol% CuCl₂[PMDETA] and 2 mol% CQ was polymerized using different light intensities and exposure 18

times. Figure 7 demonstrates the effects of light intensity on the polymerization kinetics. The initial 331 332 polymerization rate was significantly improved by increasing light intensity using CO, mainly because 333 the lower molar extinction coefficient of CQ requires higher light intensity to effectively generate radicals without the presence of excess amine as a co-initiator.⁵⁸ It must be noted that at 75 mW/cm², a 5 334 °C temperature increase was observed, due to the heat generated at the higher light intensity. The small 335 336 increase in temperature also serves to accelerate the CuAAC polymerization, though not enough to 337 significantly increase conversion. Interestingly, the lag time between the start of irradiation and the 338 maximum rate of polymerization increased monotonically with decreasing light intensity, ranging from 339 3 minute to 26 minutes under the conditions tested. After 60 minutes of irradiation, all samples with 340 different light intensities resulted in a conversion over 60%.



Figure 7. Bulk photo-CuAAC polymerization kinetics using FTIR. 1:1 azide:alkyne mixture with varying light intensity,
including 75 mW/cm² (closed triangle), 50 mW/cm² (open triangle), 20 mW/cm² (closed square), 10 mW/cm² (open square).
Azide 2c was polymerized stoichiometrically with alkyne 3 in the presence of 2 mol% CuCl₂[PMDETA], 2 mol% CQ per
azide functional group, and <1 wt% DCM. Each mixture was irradiated continuously (gray shaded area) at 35 °C with differ-
ent light intensities of 400-500 nm light following 3 minutes in the dark as a baseline measuring period.

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As shown in Figure 8, different exposure times at a fixed light intensity, 75 mW/cm², were 347 screened to define an optimal exposure dose required to yield the maximum polymerization rate. 348 349 Exposure times greater than 3 minutes were found to have a limited benefit, as essentially the same kinetic profiles were observed as with continuous irradiation. In order to determine the concentration of 350 351 CQ after 3 minutes of light exposure, a solution containing 0.044M CQ with 0.044M PMDETA as a coinitiator in methanol was irradiated using 75 mW/cm² of light irradiation at 400-500nm (Figure S2-3) 352 353 and the absorption spectra was measured at different exposure times. Approximately 70% of the CQ 354 was bleached following 3 minutes of light exposure in the presence of PMDETA. Given that outcome in 355 an optically thick sample, one would reasonably expect that at elevated temperatures and in the presence 356 of monomers also bearing carbamate functionality which provide an additional coinitiator source for CQ.⁵⁹ CQ is largely decomposed during a 3 minute irradiation period used in Figure 8. Thus, the lack of 357 358 any benefit associated with continuous exposure after this period likely results from the lack of 359 generation of any additional radicals that would be capable of reducing Cu(II) to Cu(I). Further, from 360 the emission spectra of the mercury arc lamp with 400-500nm band pass filter and using the absorbance 361 spectra of the CQ photoinitiator as measured by the UV/Vis spectrometer, approximately 2 moles of photons are absorbed per mole of CO during 3 minutes of irradiation (Figure S4). With only 3 minutes 362 363 of exposure time, 82% azide conversion was achieved after 60 minutes, but approximately 55% of this 364 reaction conversion occurred after the light was turned off. Interestingly, this extended dark 365 polymerization was also observed after as little as 1 minute of irradiation; less than 2% conversion was 366 obtained during the first minute of irradiation, but an additional 60% conversion was achieved during 56 minutes of time in the dark. Furthermore, the cases of 1, 2, and 3 minutes of irradiation all achieved 367 368 more than 50% conversion in the dark despite the differences in the initial polymerization rate and the 369 final conversion at 60 minutes. This extent of dark polymerization highlights the longevity of the

- 370 catalytically active Cu(I) species as compared to conventional radical processes, where radical
- 371 termination events cease the polymerization rapidly after initiation is halted.



Figure 8. Bulk photo-CuAAC polymerization kinetics using FTIR. 1:1 azide:alkyne mixture with varying exposure times, including 0 minute (closed circle), 0.5 minute (open circle), 1 minute (closed triangle), 2 minutes (open triangle), 3 minutes (closed square), 60 minutes (open square). Azide 2c was polymerized stoichiometrically with alkyne 3 in the presence of 2 mol% CuCl₂[PMDETA], 2 mol% CQ per azide functional group, and <1 wt% methanol. Each mixture was irradiated at 35 °C with 75 mW/cm² of 400-500 nm light following 3 minutes in the dark as a baseline measuring period. Only the start of light irradiation is highlighted in gray dotted line.

379 CONCLUSIONS

The kinetics of CuAAC photopolymerizations are highly influenced by the resin viscosity and the ultimate glass transition temperature of the polymer, both being strongly dictated by the monomer structure. CuAAC photopolymerizations exhibit a rapid initial rate followed by the attainment of a maximum conversion that is limited by vitrification and therefore increases dramatically with the addition of plasticizers. The most efficient photo-reduction of copper occurred here when 3 mol% of the copper per functional group were present. Of significant practical benefit, it was demonstrated that the

copper(I) catalyst persists long after irradiation is ceased causing polymerization to continue without additional light exposure. Although this extent of dark polymerization limits the temporal control of the photo-induced CuAAC polymerization, controlled initiation of the reaction is readily achieved. For numerous applications, the persistence of the polymerization long after exposure is a significant benefit, enabling dark polymerization for an extended time following only a short exposure. For example, in one instance, approximately 50% to 60% conversion occurred in the dark after only one minute of irradiation.

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Table of Contents

Kinetics of bulk photo-initiated copper(I)-catalyzed azide-alkyne cycloaddition (CuAAC) polymerizations





Time