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Synthesis of Ni/Au/Co Trimetallic Nanoparticles and Their Catalytic Activity for Hydrogen Generation from Alkaline Sodium Borohydride Aqueous Solution

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Abstract

A series of Poly (N-vinyl-2-pyrrolidone) stabilized colloidal Ni/Au/Co trimetallic nanoparticles (TNPs) were synthesized by co-reduction of corresponding metal precursors via dropwise addition of NaBH₄. Ultraviolet-visible spectrophotometry (UV-Vis), X-ray photoelectron spectroscopy (XPS), transmission electron microscopy (TEM), powder X-ray diffraction (XRD) and high-resolution transmission electron microscopy (HR-TEM) equipped with energy dispersive spectrometer (EDS) were combined to characterize the morphology, crystalline structure and electron distribution of the as-prepared TNPs. The effects of metal compositions on size distribution and hydrogen generation from catalytic hydrolysis of alkaline NaBH₄ aqueous solutions were also investigated. The results indicated that as-prepared alloy-structured Ni₄₅Au₄₅Co₁₀ TNPs showed the maximum catalytic activity of 1170 mol-H₂/(h mol-M), which is several times higher than that of the as-prepared Au, Ni or Co monometallic nanoparticles (MNPs), or Au₅₀Ni₅₀, Au₅₀Co₅₀ or Ni₅₀Co₅₀ bimetallic nanoparticles (BNPs). The enhanced catalytic activity of the TNPs compared with the MNPs and BNPs could be attributed to the presence of electron charge transfer effects among Au, Ni and Co atoms, which is supported by the result of X-ray photoelectron spectroscopy (XPS) and density functional theory (DFT) calculation. The apparent activation energy of the as-prepared Au₄₅Ni₄₅Co₁₀ TNPs in hydrolysis of NaBH₄ aqueous solution was determined as 18.8 kJ/mol.

Keywords: Ni/Au/Co; Trimetallic nanoparticle; Alloy; Catalytic activity; Hydrogen generation

1 1. Introduction

2 During the past decade, chemical hydrogen storage materials have attracted a great deal of
3 attention since they can release large amount of hydrogen gas at ambient temperature and thus act
4 as a new source for producing hydrogen¹⁻⁵. Comparing with other chemical hydrogen storage
5 materials such as ammonia borane (NH_3BH_3) and hydrazine hydrate ($\text{N}_2\text{H}_4 \cdot \text{H}_2\text{O}$), sodium
6 borohydride (NaBH_4) is more competitive because of its several advantages such as easier control
7 in the hydrogen generation rate and hydrogen purity⁶, the safer production process, the
8 recyclability of byproduct NaBO_2 to borohydride⁷, and the lower hydrogen releasing
9 temperature⁸.

10 Noble metal nanoparticles (NPs) such as $\text{Pt}^9, 10$, $\text{Pd}^{11, 12}$, Ru^{13-15} and Rh^{16-18} are
11 conventionally used as catalysts for hydrogen generation. However, the relatively high cost and
12 the limited reservation of the precious metals hindered their further industrial applications.
13 Recently, cost-effective transition metal NPs, such as Fe, Co and Ni, have been developed as
14 alternatives to noble metal catalysts for hydrogen generation¹⁹⁻²¹. For example, Busca, *et al.*²²
15 reported that cubic structured cobalt NPs could be used as active and selective catalyst for ethanol
16 steam reforming reaction, and ÖZdemir, *et al.*²³ found a high catalytic performance of Co/B
17 catalysts in hydrogen generation from hydrolysis of NaBH_4 solution. In addition, many researches
18 discussed the effects of preparation condition, support's type and protective agents on
19 morphologies and catalytic activities of Co NPs in hydrogen generation²⁴⁻²⁶.

20 Bi- and tri-metallic nanoparticles (BNPs and TNPs) were reported to be more active in
21 chemical reactions than their counterparts of monometallic nanoparticles (MNPs) because of the
22 so-called lattice strain effects, geometric effects and electronic charge transfer effects^{27, 28}. It was

1 also demonstrated that alloying of noble metals with other non-noble transition metals not only
2 led to the enhanced catalytic performance but also reduced the overall cost ²⁹. For instance,
3 according to Jiang *et al.* ³⁰, Ag₁₀/Co₉₀ BNP catalysts exhibited a five times greater catalytic
4 activity than Co catalysts in hydrolysis of NaBH₄ solution. Kargupta, *et al.* ³¹ reported a highly
5 active and stable graphene supported bimetallic Co/Pt nanohybrid for hydrogen generation from
6 NaBH₄ solution. And our group ³² found that the catalytic activity of alloy-structured Au₅₀Ni₅₀
7 BNPs in hydrogen generation from the hydrolysis of NaBH₄ was several times higher than that of
8 corresponding Au and Ni MNPs.

9 Although much less work has been done to date on the synthesis and catalytic activity of
10 TNPs comparing with the case of BNPs, it should be pointed that the studies on the former have
11 been increasing rapidly, especially, during the past few years. For example, Chan *et al.* ³³ found
12 that Pt/Fe/Ni trimetallic nanocatalysts with ultralow Pt loading showed a much better oxygen
13 reduction reaction (ORR) activity in fuel cells than a pure Pt electrode catalyst. On the other hand,
14 Au₆₀Pt₁₀Pd₃₀ TNPs were reported to show a better catalytic activity for the glucose oxidation than
15 their counterparts of MNPs and BNPs³⁴. Furthermore, core/shell structured-Pd/Co@Pt TNPs were
16 found to show a greatly improved electrocatalytic performance in the ORR compared to
17 commercial Pt/C catalyst³⁵. Ni/Ag/Pd and Ni₄₀Au₁₅Pd₄₅ TNPs were reported to be catalytically
18 effective, achieving nearly 100% selectivity in the dehydrogenation of formic acid ^{36, 37}. In
19 addition, Basu *et al.* ³⁸ revealed that Ir₅Pt₂₀Sn₁₅/C electro-catalysts exhibited higher activity
20 towards ethanol oxidation than the corresponding BNPs.

21 Herein, we report a facile one-pot approach to the preparation of PVP (poly
22 (N-vinyl-2-pyrrolidone)) stabilized colloidal Ni/Au/Co TNPs catalysts using NaBH₄ as a
23 reduction agent for the first time. The effects of atomic ratios on the size distribution and catalytic

1 activity in hydrogen generation from alkaline NaBH_4 solutions were also examined. It was
2 demonstrated that as-prepared alloy-structured $\text{Ni}_{45}\text{Au}_{45}\text{Co}_{10}$ TNPs with an average diameter of
3 1.9 ± 0.6 nm showed much greater catalytic activity in hydrogen generation than the
4 corresponding MNPs and BNPs. The corresponding apparent activation energy of $\text{Ni}_{45}\text{Au}_{45}\text{Co}_{10}$
5 TNPs for hydrogen generation was also determined by using the Arrhenius method.

6

7 **2. Experimental Section**

8 **2.1 Raw Materials**

9 Hydrogen tetrachloroaurate tetrahydrate ($\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$, 99.9%), cobalt (II) chloride
10 hexahydrate ($\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$, 99%), nickel (II) chloride hexahydrate ($\text{NiCl}_2 \cdot 6\text{H}_2\text{O}$, 99.0%), sodium
11 borohydride (NaBH_4 , 96.0%), PVP (K30, average molecular weight of about 30, 000), and
12 sodium hydroxide (NaOH , 96.0%) purchased from Sinopharm Ltd., were used directly as the
13 main starting materials without further purification.

14 **2.2 Preparation of PVP-stabilized Ni/Au/Co TNPs**

15 PVP-stabilized Ni/Au/Co TNPs were prepared by adding NaBH_4 dropwise to a mixture of
16 the corresponding metal precursors. For example, $\text{Ni}_{50}\text{Au}_{10}\text{Co}_{40}$ TNPs (Hereafter, the numbers in
17 the subscript denote the feeding ratio of the corresponding metal ions) were prepared as follows:
18 aqueous solutions of $\text{NiCl}_2 \cdot 6\text{H}_2\text{O}$ (25 mL, 0.66 mM), $\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$ (5 mL, 0.66 mM) and
19 $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$ (20 mL, 0.66 mM) were added to an aqueous PVP solution (50 mL, 66 mM in
20 monomer unit; $R_{\text{PVP}}=100$, R_{PVP} is defined as the molar ratio of PVP in monomer units to the total
21 metal ions) in a 250 mL two-neck flask and then stirred for 30 min at 0°C . This was followed by
22 addition of an aqueous solution of NaBH_4 (10 mL, 16.5 mM, 0°C ; $R_{\text{NaBH}_4}=5$, R_{NaBH_4} is defined as
23 the molar ratio of NaBH_4 to the total metal ions) at a rate of one drop per 3 seconds to the mixed

1 solution under vigorous stirring. And finally the colloidal dispersions of Ni/Au/Co TNPs were
2 obtained after further 1 h stirring at 0°C.

3 **2.3 Characterization of Nanoparticles**

4 Ultraviolet and visible light (UV-Vis) absorption spectra were recorded over 200-800 nm
5 using a Shimadzu 2550 recording spectrophotometer equipped with a quartz cell with an optical
6 path length of 10 mm. Transmission electron microscopy (TEM) images were taken at the
7 accelerated voltage of 80 kV using an FEI Tecnai G² 50-S-TWIN TEM. The samples were
8 obtained by dropping one or two droplets of the prepared colloidal ethanol solution onto a copper
9 microgrid covered with a thin amorphous carbon film and followed by evaporating the water in
10 air at room temperature. For each sample, generally at least 200 particles from different locations
11 on the grid were selected to evaluate the mean diameter. High-resolution transmission electron
12 microscopy (HR-TEM) images were taken at the accelerated voltage of 200 kV using a
13 JEM-2100F Field Emission High-resolution TEM. Powder X-ray diffraction (XRD) analysis of
14 powder TNPs was carried out on D/MAX-RB RU-200B rotation anode high power X-ray
15 diffractometer (Cu K α radiation at a scanning rate of 0.026° s⁻¹, room temperature). All the image
16 analyses were processed by using the iPP software package. X-ray photoelectron spectroscopy
17 (XPS) measurement was performed using a Quantum 2000 spectrometer with Al K α radiation.
18 Binding energies (BE) were normalized by taking the C (1s) BE of adventitious carbon
19 contamination as 284.6 eV, and the analyses on Au were based on Au4f_{5/2} and Au4f_{7/2} peaks.

20 **2.4 Catalytic Activity of Ni/Au/Co TNPs**

21 The catalytic activity of as-prepared colloidal NPs was evaluated based on the volume of
22 hydrogen generated from hydrolysis of an alkaline NaBH₄ solution. Experiments were carried out
23 in a two-necked round-bottom flask with one opening connected to a gas burette and the other to

1 an addition funnel with a pressure-equalization arm. The volume of H₂ generated was measured
2 by the displacement level of water in a burette at room temperature, and the temperature for the
3 activity evolution was maintained at 30 °C using a water bath. All the catalytic experiments were
4 carried out after vigorous stirring of the quantified colloidal catalysts for 10 min to remove the
5 residual H₂ in the solution and to minimize the temperature difference between the colloidal
6 catalyst and the water bath. In all catalytic activity tests, the molar ratio of metals in the colloidal
7 catalyst mixture (40 mL) to the NaBH₄ in alkaline solution (10 mL, 30 mM, pH 12) was
8 controlled at 0.05.

9 In the reusability evaluation of the as-prepared TNPs, the catalyst was re-used immediately
10 in a new batch after the previous test. A new basic NaBH₄ aqueous solution (10 mL, 30 mM and
11 pH 12) was added into the flask to regenerate hydrogen. Such cycle was repeated 7 times under
12 ambient atmosphere at 30 °C.

13 **2.5 Density Functional Theory (DFT) Calculation**

14 First-principles calculations were performed with the density functional theory (DFT) using the
15 Dmol³ program³⁹. All electron relativistic core treatment and doubled numerical basis set with
16 polarization functions, along with the Perdew-Burke-Ernzerhof (PBE)⁴⁰ generalized gradient
17 approximation (GGA) exchange-correlation functions were used to perform full optimization of the
18 investigated Ni₂₅Au₆Co₂₄ model clusters without symmetry constraint. Spin-restricted
19 self-consistent-field (SCF) calculations were carried out with SCF convergence criterion set to the
20 root-mean-square change in the electronic density less than of 10⁻⁵ eV. The convergence criteria
21 applied for geometry optimization were enforced to 0.00002 au for energy, 0.004 au/Å for force,
22 and 0.005 Å for maximum displacement. Mulliken population analysis was performed to investigate
23 the atomic charges distribution.

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3. Results and Discussion

3.1 Structure and Catalytic Activity of Ni/Au/Co TNPs

Our previous results indicated that alloy-structured Ni₅₀Au₅₀ BNPs prepared by dropwise addition of NaBH₄ showed several times higher catalytic activity for hydrogen generation from the hydrolysis of NaBH₄ aqueous solution than corresponding Au and Ni MNPs³². Nevertheless, it is still far from practical application considering the relatively high Au content (50 atom %) in such Au-containing catalysts and their not-high-enough catalytic activity (800 mol-H₂/(h mol-M)). Thus, partial substitution of Au by Co to prepare Ni/Au/Co TNPs was firstly investigated in this work by keeping the Ni content at 50 atom % and varying the Co content from 20 to 40 atom %. Au, Ni, Co, Ni₅₀Au₅₀, Ni₅₀Au₃₀Co₂₀ and Ni₅₀Au₁₀Co₄₀ NPs were prepared by dropwise addition of NaBH₄ under identical conditions of [Mⁿ⁺] = 0.66 mM, R_{NaBH₄}=5, and R_{PVP}=100. The UV-Vis spectra of as-prepared NPs are shown in Fig. 1. The spectrum of Au MNPs exhibits a plasmon absorbance peak around 520 nm which is consistent with those reported previously⁴¹⁻⁴³. On the other hand, the spectrum of aqueous dispersed Ni and Co MNPs displays a featureless and monotonically increasing absorbance toward shorter wavelength. In the case of the prepared TNPs, the overall absorbance strength dampens with increasing the Co contents from 20 to 40 atom%, suggesting the different compositions of the TNPs. And the obvious differences between the spectra of the Ni₅₀Au₃₀Co₂₀ and Ni₅₀Au₁₀Co₄₀ TNPs and those of the corresponding MNPs and BNPs indicate that the composition of the formed TNPs were different from that of MNPs or BNPs. It can be reasonably deduced that the as-prepared colloidal TNP catalysts are alloy-structured since the suppression of the Au plasmon peaks was evidently observed with Au content decreasing.

1 TEM images were taken to characterize the average size and size distributions of the
2 prepared NPs, a representative set of TEM images along with size distribution histograms are
3 shown in Fig. 2. The average particle sizes of Au, Ni₅₀Au₅₀, Co₅₀Au₅₀, Ni₅₀Au₃₀Co₂₀ and
4 Ni₅₀Au₁₀Co₄₀ NPs are 3.0 ± 1.3 nm, 2.7 ± 0.8 nm, 2.8 ± 1.1 nm, 1.5 ± 0.4 nm and 2.1 ± 0.5 nm,
5 respectively. It can be concluded that the average sizes of all the evenly dispersed spherical TNPs
6 with a relatively narrower size distribution are smaller than those of the prepared Au MNPs, and
7 Ni₅₀Au₅₀ and Co₅₀Au₅₀ BNPs. In the cases of Co and Ni MNPs, our results (TEM not shown here)
8 revealed that it is difficult to prepare them with a very small size by using the present NaBH₄
9 reduction method. As a result, Co and Ni NPs with an average size of about several tens
10 nanometers were obtained. These results confirmed that TNPs rather than physical mixtures of Au,
11 Ni and Co MNPs or mixtures of MNPs and BNPs were obtained.

12 To further investigate the structure of the as-prepared TNPs, crystallographic lattice fringe
13 analysis based on HR-TEM images of Ni₅₀Au₁₀Co₄₀ was also carried out. As shown in Fig. 3, for
14 particle-1 and particle-3, the measured average interplanar distances of 0.217 nm and 0.218 nm
15 did not match with any face in pure Au, Ni or Co NPs. However, the measured average
16 interplanar distances of the TNPs were between the interplanar distances of (111) face of Ni
17 (0.1992 nm; Ni: JCPDS 88-2326), Co (0.2047nm; Co: JCPDS 15-0806) and Au (0.2355nm; Au:
18 JCPDS 89-3697). Similarly, the interplanar distances of 0.133 nm and 0.185 nm for particle-2 and
19 particle-4 lie between the lattice spacing of (220) and (200) face of Ni (0.1220 nm for 220 face
20 and 0.1725 nm for 200 face; Ni: JCPDS 88-2326), Co (0.1253 nm for 220 face and 0.1772 nm for
21 200 face; Co: JCPDS 15-0806) and Au (0.1442 nm for 220 face and 0.2040 nm for 200 face; Au:
22 JCPDS 89-3697) respectively. These results further confirmed that alloy-structured TNPs were
23 formed and the formation of individual Au, Co and Ni MNPs in the as-prepared NPs can be ruled

1 out. XRD was also used to characterize the structure of the prepared TNPs (Fig. S1). It showed
2 that 2 diffraction peaks at $2\theta=38.42^\circ$ and 44.68° can be observed in the XRD spectrum of the
3 $\text{Ni}_{45}\text{Au}_{45}\text{Co}_{10}$ TNPs (Fig. S1). Further analysis proved that the peaks centered at 38.42° and
4 44.68° are not well in consistent with the (111) and (200) face of the bulk Au (38.179° and
5 44.375° , respectively; Au: JCPDS 89-3697). It seems that they are located among the
6 corresponding diffraction peak of the three corresponding monometallic nanoclusters (Table S1),
7 this result is also in agreement with the results of the HRTEM in Fig. 3.

8 The catalytic activities of the as-prepared Au, Ni, Co, $\text{Ni}_{50}\text{Au}_{50}$, $\text{Co}_{50}\text{Au}_{50}$, $\text{Ni}_{50}\text{Co}_{50}$,
9 $\text{Ni}_{50}\text{Au}_{30}\text{Co}_{20}$ and $\text{Ni}_{50}\text{Au}_{10}\text{Co}_{40}$ NPs in hydrogen generation from alkaline NaBH_4 solution were
10 evaluated and compared (shown in Fig. 4). Although the prepared TNPs did not show higher
11 activity for hydrogen generation (about $790 \text{ mol-H}_2/(\text{h mol-M})$) than $\text{Ni}_{50}\text{Au}_{50}$ (about 800
12 $\text{mol-H}_2/(\text{h mol-M})$), however, it should be pointed out that the prepared $\text{Ni}_{50}\text{Au}_{10}\text{Co}_{40}$ and
13 $\text{Ni}_{50}\text{Au}_{30}\text{Co}_{20}$ TNPs are much cheaper catalysts for hydrogen generation from alkaline NaBH_4
14 solution than $\text{Ni}_{50}\text{Au}_{50}$ BNPs taking the Au % into consideration.

15 It is well known that the catalytic activity of NPs is determined by its structure and
16 composition. To get a thorough understanding of the effect of composition on the particle size and
17 catalytic activity of the Ni/Au/Co TNPs in hydrogen generation, another series of
18 $\text{Ni}_{(50-x/2)}\text{Au}_{(50-x/2)}\text{Co}_x$ ($x=10, 20, 30, 40$) TNPs were also synthesized by varying the Co content
19 while fixing the Au: Ni (atom%) at 1: 1 (this was because our previous results showed that the
20 $\text{Ni}_{50}\text{Au}_{50}$ NPs possessed the highest catalytic activity in all the prepared Ni/Au BNPs³²). The
21 corresponding UV-Vis spectra of the prepared TNPs (Fig. 5) show that the spectra are similar to
22 those of the $\text{Ni}_{50}\text{Au}_{30}\text{Co}_{20}$ and $\text{Ni}_{50}\text{Au}_{10}\text{Co}_{40}$ TNPs synthesized earlier. The suppression of the
23 characteristic absorption peak of Au NPs at 520 nm with the reducing of Au content indicated

1 again that alloy-structured Ni/Au/Co TNPs were formed, which is in agreement with the results in
2 Figs. 1-4.

3 The average particle sizes based on TEM images of Ni₃₀Au₃₀Co₄₀, Ni₃₅Au₃₅Co₃₀,
4 Ni₄₀Au₄₀Co₂₀ and Ni₄₅Au₄₅Co₁₀ TNPs were determined as 3.1 ± 0.6 nm, 3.1 ± 0.9 nm, 2.5 ± 0.6
5 nm and 1.9 ± 0.6 nm, respectively (Fig. 6). The catalytic activities of the TNPs were also
6 evaluated for the hydrolysis of alkaline NaBH₄ aqueous solution. The results indicated that the
7 Ni₄₅Au₄₅Co₁₀ TNPs showed the highest catalytic activity of 1170 mol-H₂/(h mol-M) (Fig. 7)
8 which was about 1.5, 8, 10 and 14 times greater than that of Ni₅₀Au₅₀ BNPs, Au, Ni and Co MNPs
9 respectively (Fig. 4, Table S2), and at least 2.5 times greater than that of the physically mixed
10 catalyst of Au and Ni MNPs in the molar ratio of 50/50⁴⁴. These results suggested once again that
11 the prepared NPs were Ni/Au/Co TNPs, not physical mixtures of the corresponding MNPs and/or
12 BNPs.

13 The reusability of the Ni₄₅Au₄₅Co₁₀ TNPs for hydrogen generation from alkaline NaBH₄ was
14 also investigated. The catalyst was re-used immediately in a new batch after the previous test. The
15 catalytic activity of Ni₄₅Au₄₅Co₁₀ TNPs sharply decreased after use in the first run, and about 60%
16 and 25% of the initial catalytic activity were maintained after the first and seventh cycles, as
17 shown in Fig. S2-3. The deactivation of the Ni₄₅Au₄₅Co₁₀ TNPs can be ascribed to the
18 agglomeration of the TNPs after the catalytic reaction, and the agglomeration can be clearly
19 observed from the TEM images shown in Fig. S4 which demonstrated that the originally well
20 dispersed colloidal Ni₄₅Au₄₅Co₁₀ TNPs were got together after seven catalytic runs. Although the
21 reusability of the prepared TNPs is still far from satisfying, it should be pointed out that, to the
22 best of our knowledge, this has been the first report to date on the preparation and catalytic
23 activity of Ni/Au/Co TNPs for hydrogen generation from hydrolysis of NaBH₄.

1 Moreover, the effect of temperature on the catalytic activity of the as-prepared TNPs was
2 also investigated using $\text{Ni}_{45}\text{Au}_{45}\text{Co}_{10}$ and $\text{Ni}_{50}\text{Au}_{10}\text{Co}_{40}$ as example catalysts. The catalytic
3 properties were enhanced with increasing the reaction temperature. And the apparent activation
4 energy (E_a) of the PVP-protected $\text{Ni}_{45}\text{Au}_{45}\text{Co}_{10}$ TNPs in hydrogen generation from the hydrolysis
5 of alkaline NaBH_4 solution was calculated by using the Arrhenius method (Fig. 8). The slope of
6 the linear plot between the natural logarithm of the temperature-dependent rate constant and the
7 inverse of temperature is E_a/R , where R is the universal gas constant. In terms of the Arrhenius
8 plots within the temperatures range from 303 to 318 K, E_a was calculated to be 18.8 kJ/mol for
9 $\text{Ni}_{45}\text{Au}_{45}\text{Co}_{10}$ and 91.6 kJ/mol for $\text{Ni}_{50}\text{Au}_{10}\text{Co}_{40}$ TNPs (Fig. 8 and Fig. S5). For comparison, the
10 E_a values of $\text{Ni}_{50}\text{Au}_{50}$ ³², $\text{Co}/\text{Cu}/\alpha\text{-Al}_2\text{O}_3$ ⁴⁵, $\text{Ni}/\text{Fe}-\text{B}$ ³⁰, $\text{Ru}/\text{montmorillonite}$ ⁴⁶, $\text{Co}/\text{Ru}-\text{B}$ ⁴⁷, $\text{Co}-\text{P}$ ⁴⁸
11 and $\text{Au}/\text{Co}-\text{Ti}$ ⁴⁹ catalysts were reported to be 30.3 kJ/mol, 52 kJ/mol, 57 kJ/mol, 54.7 ± 1 kJ/mol,
12 48.8 kJ/mol, 48.1 kJ/mol and 37.9 kJ/mol respectively. These results suggested that the
13 $\text{Ni}_{45}\text{Au}_{45}\text{Co}_{10}$ TNPs prepared in this work were excellent catalysts for the hydrolysis of NaBH_4 .

14 3.2 Correlation between Catalytic Activity of the TNPs and the Electronic Structure

15 The higher catalytic activity of the Ni/Au/Co TNPs than that of the corresponding MNPs and
16 BNPs could be attributed to the effects of electronic charge transfer between Ni, Au and Co atoms.
17 Such effects were confirmed previously to be responsible for the high catalytic activities of
18 several types of BNPs⁵⁰⁻⁵² and TNPs³⁴. It can be reasonable deduced that there exist at least three
19 types of charge transfer effects in the prepared Ni/Au/Co TNPs due to the differences in the
20 ionization energy values (Ni: 7.63 eV; Au: 9.22 eV; and Co: 7.86 eV); 1) charge transfer from
21 individual Co atoms to Au atoms; 2) charge transfer from individual Ni atoms to Au atoms; and 3)
22 electron donation from Ni to Co and then to Au atoms. The possible electronic charge transfer
23 routes in Ni/Au/Co TNPs are illustrated in Fig. 9. The negatively charged Au and Co atoms, and

1 positively charged Ni atoms resulted from the electron charge transfer effects can act as the
2 catalytic active sites for the hydrogen generation from alkaline NaBH₄ aqueous solution. It can be
3 considered that the negatively charged Au and Co atoms may facilitate the breaking of the H-O
4 bonds in H₂O molecules, in which the two hydrogen atoms are in one-positive-valence. On the
5 other hand, the positively-charged Ni atoms may promote the breaking of the B-H chemical bonds
6 in NaBH₄ molecules. And then a hydrogen molecule is formed via combination of the two
7 hydrogen atoms produced from H₂O and NaBH₄ molecules, respectively. We consider that the
8 enhanced catalytic activity of the TNPs could be resulted from the synergetic effect between the
9 charged Ni, Au and Co atoms and NaBH₄/H₂O molecule.

10 To confirm the electronic charge transfer effects and the presence of the negatively charged
11 Au and Co atoms and positively charged Ni atoms in the TNPs, the electronic characteristics of
12 the surface atoms of Ni₅₀Au₁₀Co₄₀ TNPs were investigated by using high-resolution XPS with
13 monochromated Al K_α electron radiation. The Ni₅₀Au₁₀Co₄₀ TNPs stabilized by low content of
14 PVP ($R_{\text{PVP}}=5$) were prepared for the characterization. XPS results (shown in Fig. S6) showed that
15 the electron apparent BE of Au 4f_{7/2} was 83.85 eV for the Ni₅₀Au₁₀Co₄₀ TNPs, this is about 0.10
16 eV lower than that in bulk Au (83.95 eV). This result provided a direct evidence for the presence
17 of the negatively charged Au atoms in the TNPs. As for Ni and Co atoms, the electron BE values
18 of Ni 2p_{3/2} and Co 2p_{3/2} were 855.80 eV and 781.05 eV, respectively, suggesting the formation of
19 Ni²⁺ and Co²⁺ ions. The formation of Ni²⁺ and Co²⁺ ions can be attributed to the oxidation of the
20 TNPs during the XPS measurement. Even so, it can be still considered that Ni, Au and Co atoms
21 in the TNPs play dominant roles in the catalytic activity for hydrogen generation from NaBH₄
22 solution considering that the preparation of the TNPs was carried out in a N₂ atmosphere, and the
23 TNPs in all the catalytic activity tests were protected by a sufficient amount of PVP ($R_{\text{PVP}}=100$).

1 The electronic charge transfer effects and the existence of negatively charged Au and Co
2 atoms, and positively charged Ni atoms in the synthesized TNPs were further confirmed by the
3 DFT calculations of M_{55} model NPs with the composition of $Ni_{25}Au_6Co_{24}$ (whose composition is
4 similar to that of the $Ni_{50}Au_{10}Co_{40}$ TNPs). As seen from Fig. 10, the Au and Co atoms in the M_{55}
5 model are indeed negatively charged, whereas the Ni atoms are positively charged. The DFT
6 calculation results suggest again the presence of electronic charge transfer effects among Au, Ni
7 and Co atoms in the TNPs.

9 4. Conclusions

10 A series of PVP-protected $Ni_{50}Au_xCo_{50-x}$ and $Ni_{(50-x/2)}Au_{(50-x/2)}Co_x$ ($x=10, 20, 30, 40$) TNPs
11 with an alloyed structure were synthesized by co-reduction of corresponding metal precursors via
12 dropwise addition of $NaBH_4$ solution. Among the corresponding MNPs, BNPs and TNPs,
13 as-prepared $Ni_{45}Au_{45}Co_{10}$ TNPs exhibited the highest catalytic activity with a value of 1170
14 mol- H_2 /(h mol-M) in hydrogen generation from hydrolysis of $NaBH_4$. This could be attributed to
15 the presence of negatively charged Au and Co atoms and positively charged Ni atoms, which was
16 confirmed by both XPS and DFT calculations. The apparent activation energy of the prepared
17 $Ni_{45}Au_{45}Co_{10}$ TNPs in hydrolysis of $NaBH_4$ aqueous solution was determined as 18.8 kJ/mol.

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- 14

Captions

- 1
- 2
- 3 Fig. 1 UV-Vis spectra of colloidal dispersions of Au, Co, Ni, Ni₅₀Au₅₀, Ni₅₀Au₃₀Co₂₀ and
- 4 Ni₅₀Au₁₀Co₄₀ NPs ($[M^{n+}] = 0.66$ mM, $R_{NaBH_4} = 5$, $R_{PVP} = 100$).
- 5 Fig. 2 TEM micrographs and size distribution histograms of Au, Ni₅₀Au₅₀, Co₅₀Au₅₀,
- 6 Ni₅₀Au₃₀Co₂₀ and Ni₅₀Au₁₀Co₄₀ NPs ($[M^{n+}] = 0.66$ mM, $R_{NaBH_4} = 5$, $R_{PVP} = 100$).
- 7 Fig. 3 HR-TEM image of as-prepared Ni₅₀Au₁₀Co₄₀ TNPs ($[M^{n+}] = 0.66$ mM, $R_{NaBH_4} = 5$,
- 8 $R_{PVP} = 100$).
- 9 Fig. 4 Comparison of catalytic activity of Au, Ni, Co, Ni₅₀Au₅₀, Co₅₀Au₅₀, Ni₅₀Co₅₀, Ni₅₀Au₃₀Co₂₀
- 10 and Ni₅₀Au₁₀Co₄₀ NPs ($[M^{n+}] = 0.66$ mM, $R_{NaBH_4} = 5$, $R_{PVP} = 100$).
- 11 Fig. 5 UV-Vis spectra of colloidal dispersions of Ni_(50-x/2)Au_(50-x/2)Co_x (x=10, 20, 30, 40) TNPs
- 12 ($[M^{n+}] = 0.66$ mM, $R_{NaBH_4} = 5$, $R_{PVP} = 100$).
- 13 Fig. 6 TEM micrographs and size distribution histograms of Ni₃₀Au₃₀Co₄₀, Ni₃₅Au₃₅Co₃₀,
- 14 Ni₄₀Au₄₀Co₂₀ and Ni₄₅Au₄₅Co₁₀ TNPs ($[M^{n+}] = 0.66$ mM, $R_{NaBH_4} = 5$, $R_{PVP} = 100$).
- 15 Fig. 7 Comparison of catalytic activity of Ni_(50-x/2)Au_(50-x/2)Co_x (x=10, 20, 30, 40) TNPs ($[M^{n+}] =$
- 16 0.66 mM, $R_{NaBH_4} = 5$, $R_{PVP} = 100$).
- 17 Fig. 8 Linear fit of $\ln k$ to $1/T$ of Ni₄₅Au₄₅Co₁₀ catalyst for hydrogen generation from NaBH₄.
- 18 Fig. 9 Schematic illustration of electronic charge transfer effects in Ni/Au/Co TNPs.
- 19 Fig. 10 DFT calculations of electronic structure of Ni₂₅Au₆Co₂₄ TNPs (Ni atoms: the large light
- 20 blue balls locate at top sites; Au atoms: the large yellow balls locate at face sites; and Co atoms:
- 21 the small dark blue balls locate at edge sites).
- 22

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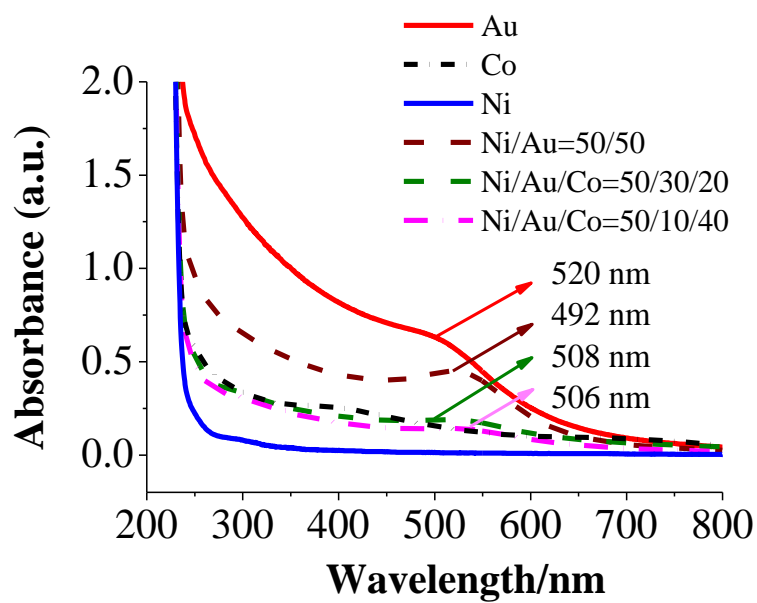


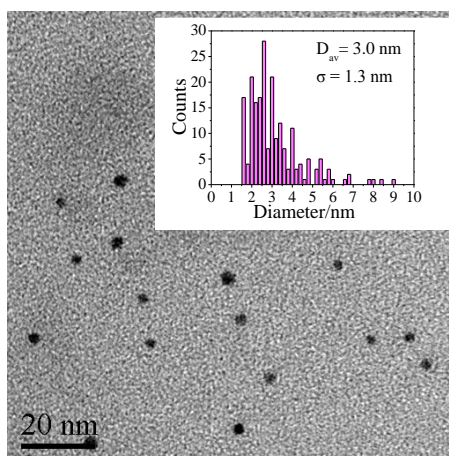
Fig. 1

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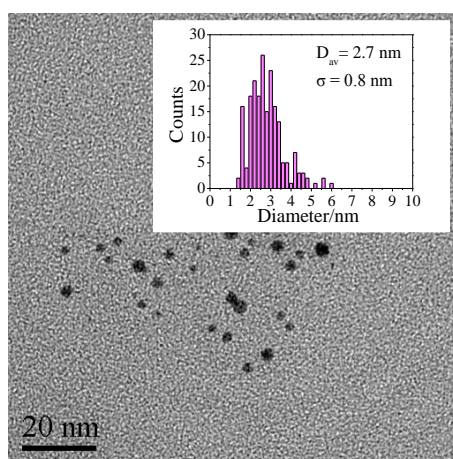
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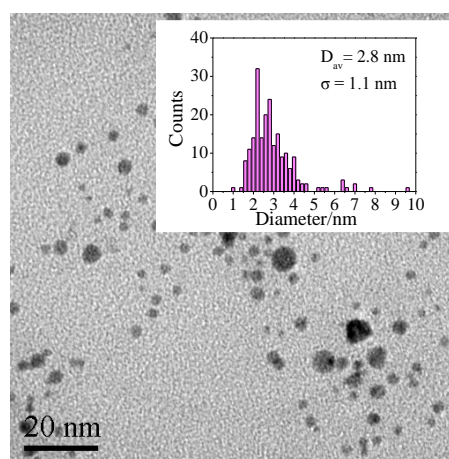
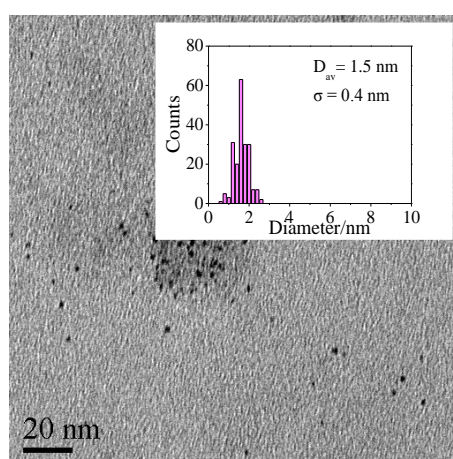
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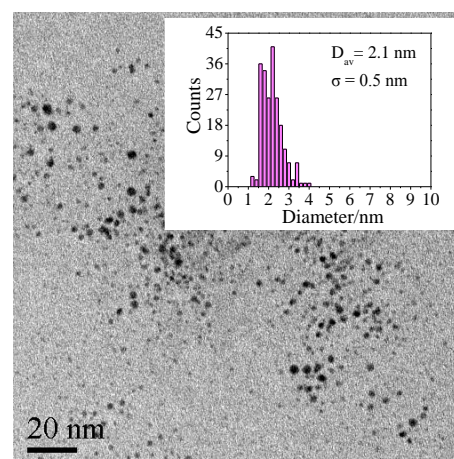
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 $\text{Ni}_{50}\text{Au}_{50}$  $\text{Co}_{50}\text{Au}_{50}$ 

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 $\text{Ni}_{50}\text{Au}_{30}\text{Co}_{20}$ 

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 $\text{Ni}_{50}\text{Au}_{10}\text{Co}_{40}$

Fig. 2

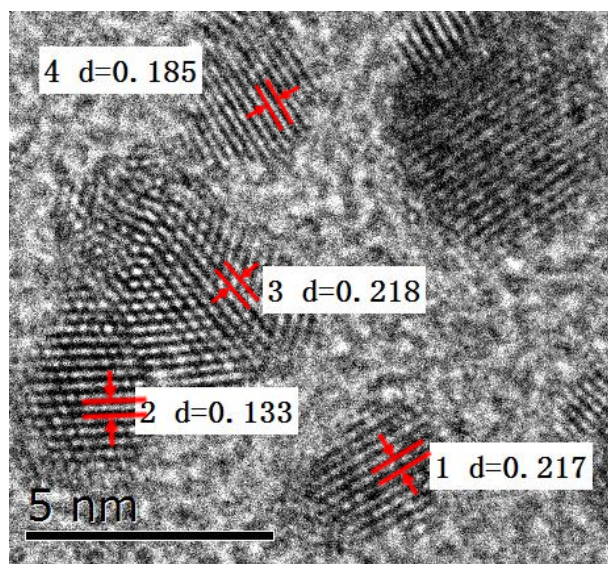


Fig. 3

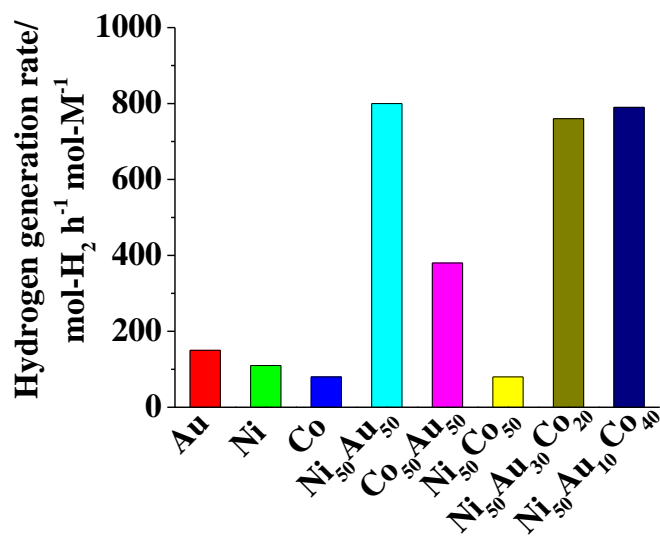


Fig. 4

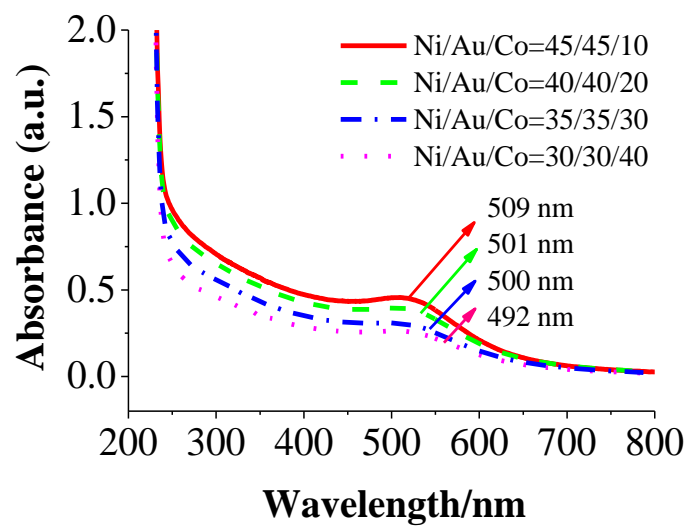


Fig. 5

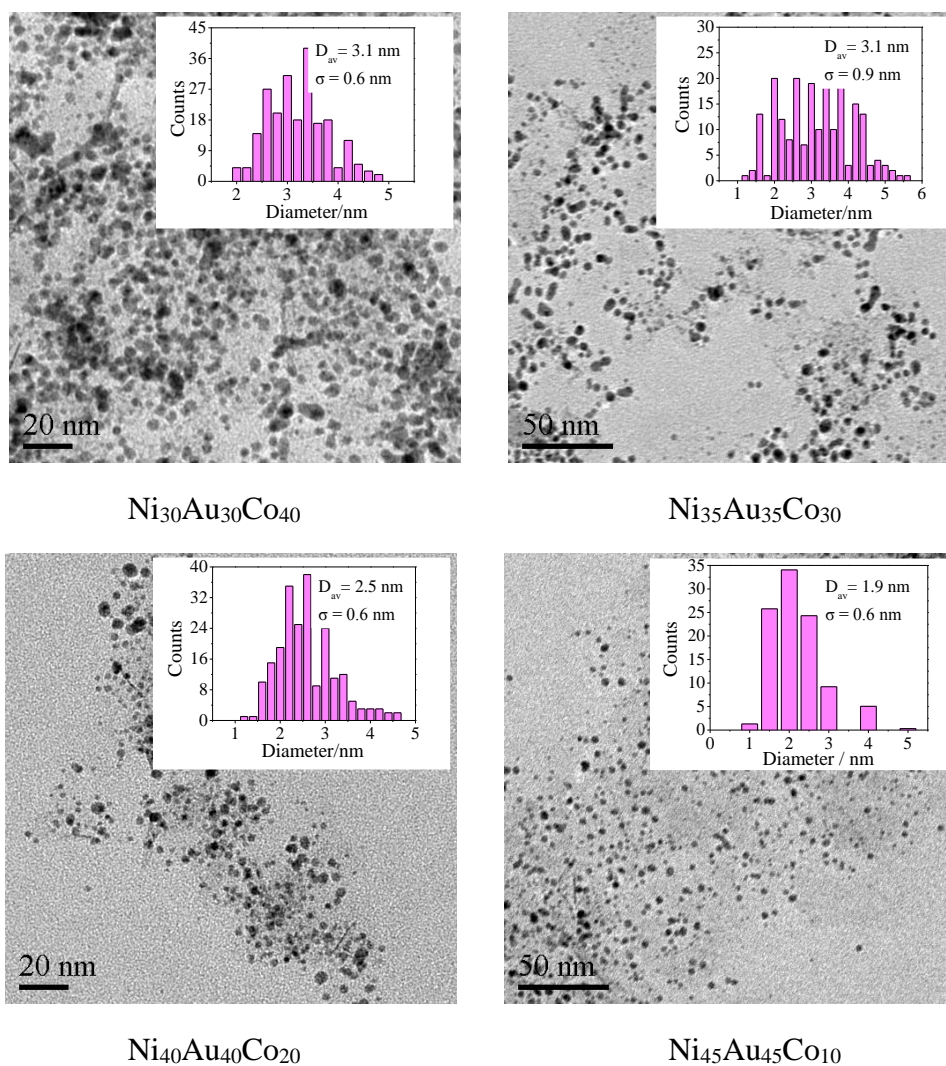


Fig. 6

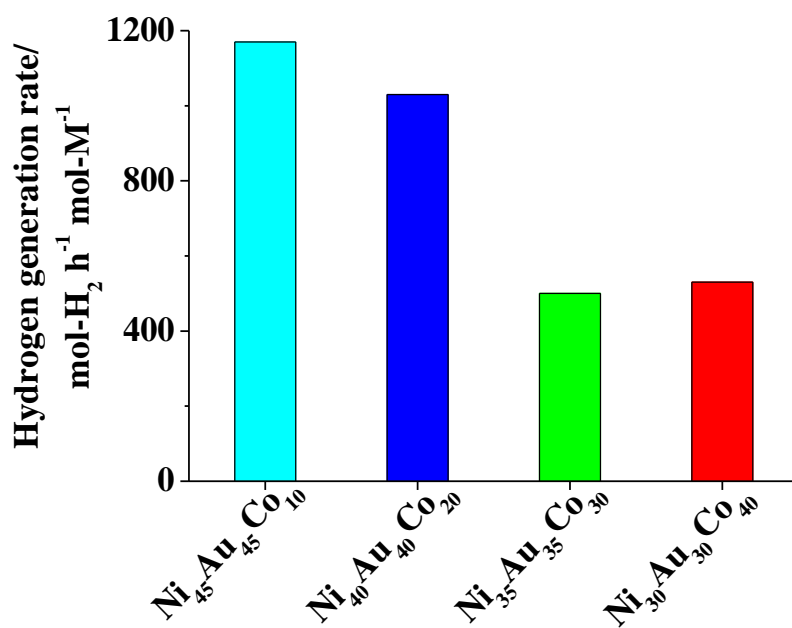


Fig. 7

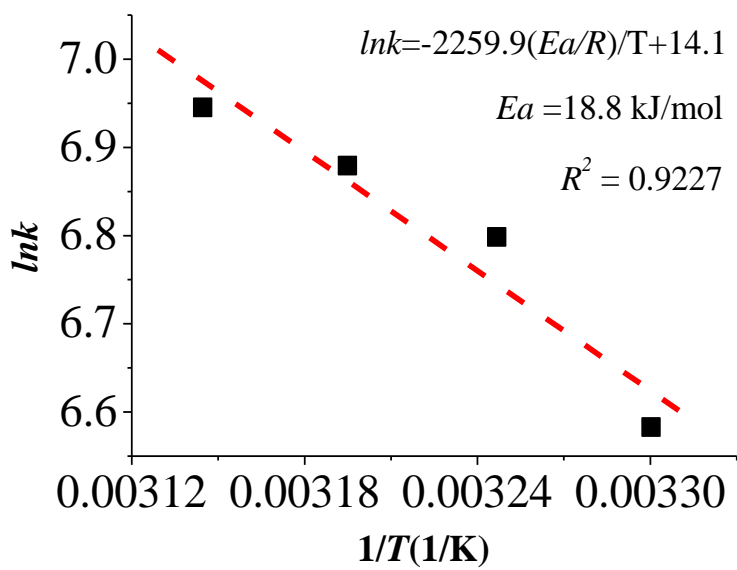


Fig. 8

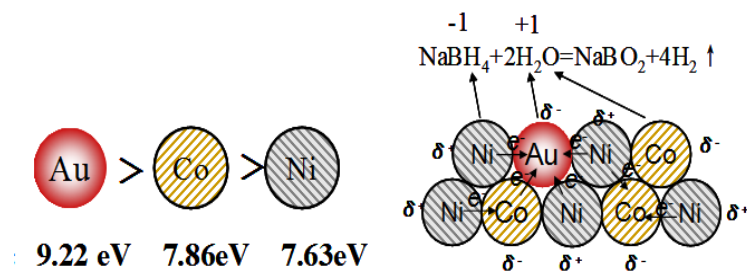


Fig. 9

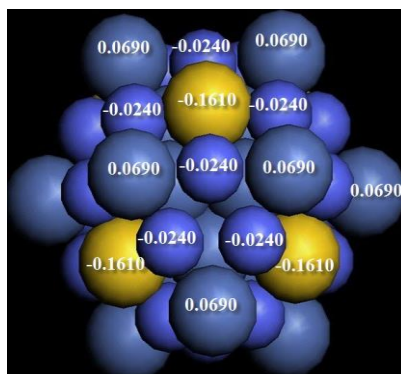


Fig. 10