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1 **Non-solvent pretreatment of poly(3-hydroxybutyrate) for improved bio-based**  
2 **crotonic acid production.**

3

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23 **Abstract**

24

25 In this study, high purity bio-based crotonic acid was obtained by non-solvent  
26 pretreatment of poly(3-hydroxybutyrate), PHB prior to pyrolysis. PHB was produced by  
27 *Cupriavidus necator* KCTC 2649 utilizing heat-treated oil palm frond juice followed by  
28 mild alkaline treatment with 0.05 M NaOH. It was found that NaOH-treated PHB was  
29 highly converted to its dehydrated monomer to give bio-based crotonic acid with 89%  
30 purity; 16% higher than that produced from chloroform-treated PHB. It is believed that  
31 pretreatment of PHB with low concentration NaOH assisted in high thermal conversion  
32 of PHB into crotonic acid by producing crotonyl chain-end and Na-binding carboxyl  
33 terminal end, of which both are the accelerator for  $\beta$ -chain scission of PHB into  
34 biocrotonic acid. Initial molar mass of PHB also played a role in biocrotonic acid  
35 production. Overall, improved biocrotonic acid production with high purity biocrotonic  
36 acid is an advantage for industrial production of crotonic acid from renewable resource.

37

38 **Keywords:** Crotonic acid, Bio-based, Poly(3-hydroxybutyrate), Mild alkaline pre-  
39 treatment, Pyrolysis

## 40 Introduction

41 Chemicals from renewable resources have recently attracted attention from consumers<sup>1</sup>.  
42 This is contributed by the global increase in oil price as well as the depletion of natural  
43 gas. It is hence alternative route for industrial chemical production such as crotonic acid  
44 needs to be considered <sup>2</sup>.

45 Crotonic acid is an unsaturated carboxylic acid which is usually produced from  
46 the oxidation of petroleum-based crotonaldehyde. It acts as an alternative to acrylic acid  
47 in the manufacturing of polymers and plastics <sup>3</sup>. In addition, crotonic acid can be  
48 converted to crotonyl-CoA to be used as a precursor in fermentation or enzymatic study  
49 <sup>4</sup>. There has been a research on the utilization of crotonic acid as precursor for  
50 macrolide synthesis and promote *de novo* synthesis <sup>5</sup>. On the other hand, grafting of  
51 crotonic acid with hydrophobic plastics would also make the plastics more hydrophilic  
52 and hence suitable for biomedical applications while copolymerization of crotonic acid  
53 with other polymers can lead to hydrogel production <sup>6,7</sup>. It has been widely reported that  
54 crotonic acid and its derivatives are mainly used as components of hair styling products,  
55 paints, insecticides, softening agent for synthetic rubber, resin for coating and plasticizer  
56 <sup>8-12</sup>. Recently, crotonic acid was suggested as a platform for the production of  
57 industrially important chemicals such as acrylic acid, n-butanol, 2-propylene, maleic  
58 anhydride and fumaric acid through chemical reactions such as methathesis,  
59 hydrogenation, decarboxylation and oxidation <sup>2,13</sup>.

60 Crotonic acid can be found in two forms by different arrangement of substituents  
61 at double bond which is *trans*-crotonic acid and *cis*-crotonic acid. In industrial  
62 production of crotonic acid, crotonaldehyde is oxidized to produce mainly *trans*-  
63 crotonic acid. *Trans*-crotonic acid is more stable and commercially available in the

64 market. On the other hand, *cis*-crotonic acid is not usually produced in bulk because of  
65 its unstable structure <sup>14</sup>.

66 Current industrial crotonic acid produced through chemical synthesis involves  
67 many steps; from ethylene production by petroleum cracking, followed by oxidation of  
68 ethylene into acetaldehyde, aldol condensation of acetaldehyde into acetaldol,  
69 dehydration of acetaldol into crotonaldehyde and lastly oxidation of crotonaldehyde into  
70 crotonic acid <sup>15</sup>. After all the complicated steps, the current yield of petroleum-based  
71 CA is only 30% <sup>16</sup>. On the other hand, purification of CA in industry involves fractional  
72 distillation and crystallization from water in order to obtain pure crotonic acid. Highly  
73 contaminated effluent is formed during the crystallization process and this step also  
74 causes product loss <sup>17</sup>. Overall, low yield of crotonic acid and environmental issue have  
75 been the shortcomings for CA production from petrochemical route.

76 There have been reports on biological crotonic acid production by transgenic  
77 cell from selective species such as *Ralstonia eutropha*, *Escherichia coli*,  
78 *Corynebacterium glutamicum* and *Clostridium acetobutylicum* <sup>3</sup>. The method  
79 manipulated transgenic bacterial cell pathway via over expression of specific enzymes.  
80 Bio-based crotonic acid can be produced by altering the bacterial 2-oxoglutarate  
81 pathway with over expression of specific enzymes such as 2-hydroxyglutarate  
82 dehydrogenase, glutaconate-CoA transferase, hydroxyglutaryl-CoA dehydratase and  
83 glutaconyl-CoA decarboxylase. Other invention by Koch and Meurer (2012) <sup>18</sup>  
84 introducing a recombinant cell with elevated activity of enzymes involved in 2-  
85 ketoglutarate pathway. Crotonic acid can be produced through bacterial fermentation of  
86 *Corynebacterium glutamicum* strain by altering the enzyme activity (CoA-transferase).

87 Van Walsem et al. (2012) <sup>13</sup> reported on monomer production from genetically  
88 modified polyhydroxyalkanoate (PHA) producers; either plant or bacterial cells. The

89 biomass containing PHA was heated in the presence of catalyst to release monomeric  
90 products. However the purity of crotonic acid produced was not reported. On the other  
91 hand, Mamat et al. (2014)<sup>16</sup> has recently reported on alternative route for the production  
92 of crotonic acid, *i.e.* by pyrolysis of bacterial poly(3-hydroxybutyrate), PHB inclusions.  
93 This newly proposed bio-based crotonic acid production method is regarded  
94 advantageous over the current industrial production of crotonic acid as the material  
95 resource used is renewable, the method has less number of production steps and it  
96 contributes to the higher production yield. Furthermore, bio-based CA production  
97 method proposed by Mamat et al. (2014)<sup>16</sup> is industrially applicable since the process  
98 needs no further crystallization step as the CA formed during pyrolysis is in the form of  
99 crystals. The overall yield recorded was 63.7% while the composition of *trans*- and *cis*-  
100 crotonic acid in pyrolyzates was only 51.7% and 2.8%, respectively. This has been the  
101 shortcoming of this method since the pyrolyzates contained other components such as 3-  
102 hydroxybutyric acid (3-HB), dimer, trimer and other impurities. This has led to the low  
103 purity of CA produced.

104 It is believed that pretreatment of PHA aimed at purifying the polymer prior to  
105 pyrolysis could contribute to the higher purity of crotonic acid produced. PHA can be  
106 purified either by chemical (chloroform, sodium hypochlorite, alkali digestion),  
107 biological (enzymatic digestion), mechanical (bead mill, high pressure homogenization)  
108 and physical (ultrasonication, osmotic shock, freezing) treatments. Mohammadi and  
109 colleague<sup>28</sup> have recently developed a new method which involved the use of mild  
110 alkaline solution. It was reported that PHA purification by 0.05M NaOH contributed to  
111 96 % purity of PHA. This result is comparable with conventional method of PHA  
112 purification using chloroform.

113 In this paper, we intend to demonstrate the production of high purity bio-based  
114 crotonic acid from bacterial PHB by introducing a mild alkaline pretreatment step prior  
115 to pyrolysis. PHB used in this study was produced from fermentation of heat-treated oil  
116 palm frond (OPF) juice by *C. necator* KCTC 2649. Mild NaOH treatment was  
117 conducted prior to pyrolysis as a pretreatment step in order to improve the purity of  
118 PHB and consequently, the purity of biocrotonic acid.

119

## 120 **Results and Discussion**

121

### 122 **Effect of OPF juice heating on PHB production**

123 It has been recently reported that OPF juice can be an alternative novel fermentation  
124 feedstock for the production of PHB and other value-added products <sup>16,19</sup>. OPF juice  
125 contains some amount of amino acids <sup>19</sup> which might produce Maillard reaction during  
126 heat sterilization. In this study, effect of OPF juice heating on PHB fermentation was  
127 clarified. Fig. 1 shows the growth profile of cell dry weight (CDW), PHB content and  
128 sugar concentration throughout the fermentation of PHB by *C. necator* KCTC 2649 in  
129 three different carbon sources: mixture of synthetic sugars, autoclaved OPF juice and  
130 filter-sterilized OPF juice. It was seen that the cells grew steadily until stationary phase  
131 in all experiments. Similar trend was seen for sugar consumption profile in all  
132 experiments. Nevertheless, PHB production profile showed that PHB production in  
133 synthetic sugar medium was lower compared to the others. This was due to the low cell  
134 biomass production in the medium. Higher CDW at 15.9 and 16.7 g/l for autoclaved and  
135 filter-sterilized OPF juice was recorded, respectively (Table 1). The results showed that  
136 the bacterial cells can grow better in OPF juice than in synthetic sugars. This can be  
137 explained by the presence of other organic compounds such as amino acids,

138 carbohydrates and other essential minerals for bacterial growth<sup>19</sup>. Based on our results,  
139 we can conclude that heating the OPF juice prior to fermentation did not affect the  
140 growth of bacterium and PHB production from *C. necator* KCTC 2649. Our result is in  
141 agreement with Maail et al. (2014)<sup>20</sup> whereby it was reported that there was no  
142 inhibition of growth for several microorganisms tested in heat-treated OPF juice.  
143 Overall, fed-batch fermentation of PHB in 20L bioreactor produced 24 g/l of cells with  
144 75% PHB content.

145

#### 146 **Biocrotonic acid production from pre-treated PHB biomass**

147 Pretreatment of PHB with NaOH and chloroform improved its purity to 92 and 99 %,  
148 respectively, which was higher compared to untreated PHB (75%). TG analysis of  
149 untreated and treated PHB samples showed smooth decomposition from beginning until  
150 the end of the process (Fig. 2). Chloroform-treated PHB as control sample in this study  
151 was fully degraded and there was no carbonaceous residue left in the sample pan. On  
152 the other hand, untreated PHB biomass showed an early decomposition between 40 –  
153 300 °C which was due to volatilization of other components in the cell (non-polymer  
154 cellular material, NPCM) such as moisture, proteins and cell wall components. Small  
155 amount of residue was observed at the end of TG analysis for PHB biomass (untreated  
156 PHB) and NaOH-treated PHB. Based on the degradation temperature in the TG curves,  
157 temperature of ~310°C was selected as pyrolysis temperature of PHB for biocrotonic  
158 acid production from NaOH-treated PHB and PHB biomass, while ~320 °C was  
159 selected for chloroform-treated PHB.

160 Biocrotonic acid was produced in glass tube oven by pyrolyzing the PHB  
161 samples. Pyrolyzates were collected and analyzed for their composition by GC-MS  
162 (Table 2). Pyrolyzate recovery and crotonic acid recovery yield were calculated



163 gravimetrically according to the method by Mamat et al. (2014)<sup>16</sup>. The highest  
164 pyrolyzate recovery was recorded by chloroform-treated PHB (94%) followed by  
165 NaOH-treated PHB (84%) and PHB Biomass (70%). Distinct observation was seen in  
166 crotonic acid recovery yield whereby NaOH-treated PHB showed the highest crotonic  
167 acid recovery yield at 80%, followed by chloroform-treated PHB and PHB Biomass at  
168 69% and 65%, respectively. As comparison, the recovery yield of crotonic acid from  
169 NaOH-treated PHB obtained herewith was 50% higher than that obtained from  
170 petroleum-based crotonic acid<sup>16</sup>. Detailed composition of the pyrolyzates were  
171 determined by GC-MS, and it was shown that crotonic acid (*cis* and *trans*) purity  
172 increased to about 89% for NaOH-treated PHB compared with PHB biomass at 62%.  
173 Meanwhile, chloroform-treated PHB showed only slight increment (73%) compared  
174 with PHB biomass. The composition of pyrolyzates recorded by GC-MS is supported  
175 by <sup>1</sup>H-NMR spectra (Fig. 3) which clearly show the formation of mainly *trans*-crotonic  
176 acid as shown by methyl signal at ~ 1.9 ppm<sup>10,21</sup>. Overall mass balance for pyrolysis of  
177 PHB Biomass, NaOH-treated PHB and Chloroform-treated PHB is shown in Fig 4.  
178 Calculation for recovery yield is similar to that reported by Mamat et al. (2014)<sup>16</sup>.

179

### 180 **Effect of pretreatment method on biocrotonic acid production**

181 Biocrotonic acid composition in pyrolyzate from NaOH-treated PHB was 27% and 16%  
182 higher compared to those from untreated and chloroform-treated PHB, respectively  
183 (Table 2). This can be explained by several reasons. The first reason could be due to the  
184 purity of the starting material, *i.e.* PHB. In comparison to untreated PHB biomass,  
185 NaOH-treated PHB had higher purity at 92 % (Table 2). This provided a purer starting  
186 material for pyrolysis and hence the pyrolyzate from NaOH-treated PHB contained  
187 lesser impurities. This is supported by TEM images of untreated and NaOH-treated

188 PHB biomass (Fig 5), which showed that NaOH-treated PHB biomass had thinner cell  
189 wall. This observation is similar with that of Mohammadi et al. (2012a)<sup>22</sup> which  
190 reported that thinner bacterial cell wall after NaOH treatment was due to protein and  
191 other NPCM released from the cells.

192 It is interesting to note that even though chloroform-treated PHB had very high  
193 purity at 99%, this did not contribute to the high purity of biocrotonic acid produced.  
194 Therefore we concluded that the purity of pyrolysis starting material was not the sole  
195 reason for the high purity of biocrotonic acid produced. Detailed analysis showed that  
196 this observation can be related to thermal degradation pathway of alkaline-treated PHB.  
197 It has been reported that when PHB is treated in an alkaline solution at moderate  
198 temperature, PHB has high flexibility to form transient structure (6-membered ring  
199 state). This allows carboxylate anions which are formed during hydrolysis to accelerate  
200  $\beta$ -elimination chain scission and subsequently producing crotonic acid and PHB with  
201 crotonyl-chain end<sup>23</sup>. Our group has previously reported that PHB thermal degradation  
202 into crotonic acid can be accelerated in the presence of crotonyl chain-end through  
203 unzipping reaction<sup>10,24</sup>. In order to check the possibility of crotonyl chain-end  
204 formation during NaOH pretreatment, FTIR (Fourier transform infrared spectroscopy)  
205 analysis was conducted. It was evident from the FTIR spectrum of NaOH-treated PHB  
206 sample (Fig 6) that a strong absorption was found at 1600-1660  $\text{cm}^{-1}$ , indicating the  
207 C=C stretching. This signal was not observed in chloroform-treated PHB spectrum.  
208 From this result, it was confirmed that crotonyl-chain end was present in NaOH-treated  
209 PHB and it accelerated the pyrolysis of NaOH-treated PHB into biocrotonic acid. On  
210 the other hand, FTIR spectrum of NaOH-treated PHB also showed a more intense signal  
211 at 3300  $\text{cm}^{-1}$ , indicating the abundance of hydroxyl group forming during hydrolysis.

212 Yu & Marchessault (2000)<sup>25</sup> reported that hydrolysis of PHB occurs in NaOH solution  
213 and the reaction is heterogeneous and non-random.

214 Another interesting finding from this research was selective formation of *trans*-  
215 biocrotonic acid. Our group has reported earlier that selective formation of *trans*-  
216 crotonic acid can be accelerated in the presence of metallic compounds<sup>24</sup>. The presence  
217 of alkali earth compounds such as Na, Ca and Mg assisted in catalytic thermal  
218 degradation of PHB<sup>10,24,26,27</sup>. In our study, elemental analysis of all the three PHB  
219 samples by Atomic Absorption Spectrometry (AAS) showed that NaOH-treated PHB  
220 had the highest Na content at 140 ppm, which is 40% higher compared to those in  
221 chloroform-treated PHB and PHB biomass. Higher Na content in NaOH-treated sample  
222 could be contributed by the replacement of sodium ions at the end of PHB carboxylic  
223 chain-end during treatment in alkaline solution. The presence of Na is believed to  
224 promote NaOH-treated PHB degradation into *trans*-crotonic acid. Kim et al. (2008)<sup>27</sup>  
225 specifically mentioned that Na compound accelerated random chain scission of PHB by  
226 cis-elimination to produce crotonic acid.

227 Additional explanation to the higher biocrotonic acid recovery yield and purity  
228 was due to the low molar mass of NaOH-treated PHB. The use of low molar mass PHB  
229 may assist in rapid degradation of PHB into crotonic acid. Previous study reported that  
230 NaOH pretreatment caused hydrolysis of PHB<sup>25</sup>. Mohammadi et al. (2012b)<sup>28</sup> also  
231 reported a marked reduction in molar mass of PHA after treatment in alkaline solution.  
232 PHB molar mass of treated samples is shown in Table 3 and it is seen that NaOH-  
233 treated PHB had  $M_w$  of 510 kDa compared to chloroform-treated PHB which had  $M_w$  of  
234 860 kDa. Since NaOH-treated PHB has lower molar mass, this is another reason for  
235 higher biocrotonic acid formation from NaOH-treated PHB compared to chloroform-  
236 treated PHB.

237 The overall findings from this research showed that there was interaction between  
238 pretreatment method and pyrolysis of PHB for biocrotonic acid production. Despite of  
239 the higher purity starting material obtained from chloroform treatment, it did not  
240 contribute to the high formation of biocrotonic acid from PHB. Scheme 1 summarizes  
241 the differences between chloroform-treated and NaOH-treated PHB, which led to the  
242 formation of biocrotonic acid.

243

## 244 **Experimental**

### 245 **Oil palm frond**

246 OPF petioles were collected from oil palm plantation located in Universiti Putra  
247 Malaysia, Serdang, Selangor. OPF juice was obtained from the OPF petiole according  
248 to the method by Zahari et al. (2012)<sup>19</sup>. OPF juice used in this study had an initial total  
249 sugar concentration of 40 g/l, consisted of 25 g/l glucose, 13 g/l sucrose and 2 g/l  
250 fructose.

251

### 252 **Microorganism**

253 *Cupriavidus necator* KCTC 2649 was used in this study for the production of PHB. The  
254 bacterium was purchased from Korean Collection for Type Cultures (KCTC). The  
255 culture was kept in -80°C as a frozen stock in 20% glycerol prior to use.

256

### 257 **Culture Media**

258 The strain was cultivated in nutrient rich medium composed of (per litre of distilled  
259 water); nutrient broth (8g), peptone (5g), yeast extract (3g) and glucose (10g). Mineral  
260 Salt Medium (MSM) was used for PHB production, composed of (per litre of distilled  
261 water); carbon source (20g), KH<sub>2</sub>PO<sub>4</sub> (5g), K<sub>2</sub>HPO<sub>4</sub> (1.5g), (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (1.0 g) and

262 MgSO<sub>4</sub> (0.2 g). One ml of trace element was added after sterile filtration<sup>29</sup>. OPF juice  
263 was used as carbon source while mixture of synthetic sugars (glucose, sucrose and  
264 fructose) having similar concentration with OPF juice was used as control carbon  
265 source. In order to study effect of OPF juice heating on PHB production, heat-sterilized  
266 OPF juice was used and compared with filter-sterilized OPF juice.

267

### 268 **Biosynthesis of PHB in 20L Bioreactor**

269 PHB production was carried out in 20L bioreactor with working volume of 15L through  
270 fed-batch fermentation. The culture was incubated for 72h at 34°C with agitation speed  
271 of 200 rpm. pH was controlled at pH 6.8 with 10% H<sub>2</sub>SO<sub>4</sub> solution and 25% NH<sub>4</sub>OH  
272 solution, while dissolved oxygen level was maintained at 20% saturation throughout  
273 fermentation by using cascade mode and supplied with air at 1.0 vvm<sup>29</sup>. Sugar  
274 concentration was measured by using DNS method. Cells containing PHB were  
275 harvested by centrifuge with 10000 x g for 10 min at 4°C in a Sorvall Legend RT+  
276 Centrifuge and lyophilized using freeze-dryer. The cells were then ground and stored at  
277 -20°C prior to storage<sup>22</sup>.

278

### 279 **Pretreatment of cell containing PHB by low concentration sodium hydroxide** 280 **(NaOH)**

281 About 20 g/l of dry cell was treated with 20 ml of 0.05M NaOH and incubated at 4°C  
282 for 3h with no agitation. After the NaOH pretreatment, biomass pellet containing PHA  
283 was recovered by centrifugation at 15000 × g for 20 min at 4°C in a Sorvall Legend  
284 RT+ Centrifuge. Purification process was carried out by adding 1% (v/v) of ethanol  
285 (96%, Fisher, analytical grade) to the pellet and incubated at 30°C with an agitation  
286 speed of 200 rpm for 3 h. The washed pellet was then centrifuged at 15000 × g for 10

287 min at 4°C. Finally, the pellet was harvested and resuspended in distilled water for  
288 further washing and centrifuged at  $15000 \times g$  for 10 min at 4°C prior to freeze-drying<sup>22</sup>.  
289 NaOH-treated PHB cells were then used for the production of biocrotonic acid. PHB  
290 extracted by chloroform was used as control sample.

291

### 292 **Biocrotonic acid production in glass tube oven**

293 Dynamic pyrolysis in thermogravimetric analyzer (TGA, Perkin Elmer, USA) was  
294 performed to estimate the PHB degradation temperature<sup>16</sup>. Biocrotonic acid production  
295 was conducted in a glass tube oven (Shibata GTO-350D) by pyrolyzing about 500 mg of  
296 PHB samples<sup>16</sup> according to the following steps: i) heated the sample from room  
297 temperature to 200°C, hold at 200°C for 30 min, ii) heated the sample from 200 -  
298 310°C, and hold at 310°C for 30 min. Vaporized pyrolyzates were condensed in a cold  
299 trap and collected by dissolving in acetone and left to dry into white crystals. The  
300 obtained product was analyzed by <sup>1</sup>H NMR and GCMS for characterization of the  
301 product. Three types of PHB samples were used in this experiment: untreated PHB  
302 cells, NaOH-treated PHB and chloroform-treated PHB (control sample). Effect of  
303 NaOH pretreatment on recovery and purity of biocrotonic acid was also determined by  
304 <sup>1</sup>H NMR and GCMS.

305

### 306 **Analytical Procedures**

#### 307 **Atomic absorption spectrometry (AAS)**

308 Sodium residue in PHB was quantified using atomic absorption spectrophotometer  
309 (AAS). PHB sample was degraded by 25% ammonia solution followed by dissolving in  
310 1M HCL and then measured by AAS<sup>10</sup>.

311

**312 Gas chromatograph and mass spectrometry (GC-MS)**

313 Pyrolyzates from isothermal pyrolysis was analyzed using Perkin Elmer Clarus 600 GC-  
314 MS. Highly pure helium gas was used as a carrier gas at a constant flow rate of 6  
315 ml/min. Pyrolyzates were dissolved in chloroform prior to analysis and were introduced  
316 into MS through 5% Phenyl Polysilphenylene-siloxane column; 30m x 0.25mm I.D x  
317 0.25  $\mu\text{m}$  film thickness (BPX-5, SGE analytical science). The ion source temperature  
318 used for MS was 200°C. The data was taken from 3 min until 32 min <sup>16</sup>.

319

**320 Gas Chromatography (GC)**

321 PHB content and composition in lyophilized cell was determined using GC.  
322 Approximately 20 mg of lyophilized cells were subjected to methanolysis in the  
323 presence of methanol and sulfuric acid [85:15 (%v/v)]. Organic layer containing  
324 reaction products was separated, dried over sodium sulphite ( $\text{Na}_2\text{SO}_3$ ), and analyzed by  
325 GC according to the standard method, with benzoic acid as an internal standard <sup>30</sup>.

326

**327 Gel permeation chromatography (GPC)**

328 Molar mass of the samples was measured by gel permeation chromatography (GPC) on  
329 TOSOH HLC-8120 GPC system with a refractive index (RI) detector at 40°C using  
330 TOSOH TSK gel Super HM-M column and chloroform eluent (0.6ml/min).  
331 Approximately, 12 mg of the sample was dissolved in 2 ml chloroform and the solution  
332 was filtered through a membrane filter with 0.45  $\mu\text{m}$  pore size <sup>10</sup>.

333

**334 Proton-NMR spectrometry (<sup>1</sup>H-NMR)**

335 Chemical composition of pyrolyzate was also determined by <sup>1</sup>H-NMR to support the  
336 result obtained from GC-MS. The spectrum was recorded on a JEOL NMR 500 MHz

337 system. Chloroform-*d* (CDCl<sub>3</sub>) was used as solvent. Chemical shifts were reported as  $\delta$   
338 values (ppm) relative to internal tetramethylsilane (TMS) in CDCl<sub>3</sub> unless otherwise  
339 noted. The expected <sup>1</sup>H-NMR chemical shifts were predicted using a ChemNMR  
340 program in a CS ChemDraw Ultra version 6.0<sup>10,11</sup>.

341

### 342 **Sample preparation for TEM analysis**

343 Freeze-dried cells were pre-fixed with 2.5% glutaraldehyde and washed in sodium  
344 phosphate buffer. Then, 1% of osmium tetroxide was used for post-fixation of cell at  
345 4°C for 2 h. Similar buffer was used to wash post-fixed cells and this was done three  
346 times. Cells were later dehydrated with graded acetone series. Infiltration of cells was  
347 made with propylene oxide and resin mixtures and after that 100% resin was used for  
348 cell embedding. Ultra- thin sections of the embedded sample with an ultramicrotome  
349 were completed in epoxy resin. Finally, it was stained with 2% uranyl acetate and lead  
350 citrate respectively, for 10 min to develop contrast between the different polymer  
351 phases. The obtained specimens were analyzed by TEM (Technai G2 20S TwinTEM).

352

### 353 **Conclusion**

354 Our study shows that biocrotonic acid formation from PHB was greatly affected by  
355 PHB pretreatment method prior to pyrolysis. NaOH-treated PHB showed high purity  
356 and recovery yield at 89 and 80 %, respectively. It is interesting to note that mild NaOH  
357 pretreatment assisted in high thermal conversion of PHB into biocrotonic acid by the  
358 creation of crotonyl chain- and Na-binding carboxyl terminal- ends; of which both  
359 accelerated the formation of crotonic acid. Reduced molar mass of PHB after NaOH  
360 treatment also contributed to the acceleration of PHB conversion into crotonic acid.



361 Overall, improved biocrotonic acid production with high purity biocrotonic acid is an  
362 advantage for industrial production of crotonic acid from renewable resource.

363

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371

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443 **Figure and Scheme Legends**

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445 **Fig 1** Fermentation profile of *Cupriavidus necator* KCTC 2649 in Erlenmeyer flask at  
446 200 rpm and 30°C with different carbon sources: (a) synthetic sugars, (b) autoclaved  
447 OPF juice and (c) filter-sterilized OPF juice.

448

449 **Fig 2** TG curves of chloroform-treated PHB, NaOH-treated PHB and PHB biomass.

450

451 **Fig 3** <sup>1</sup>H-NMR spectra of PHB pyrolyzates obtained from thermal degradation of PHB  
452 biomass, chloroform-treated PHB and NaOH-treated PHB.

453

454 **Fig 4** Mass balance of pyrolysis process for crotonic acid production from (a) PHB  
455 Biomass, (b) Chloroform-treated PHB and (c) NaOH-treated PHB. All data are average  
456 of triplicate experiments.

457

458 **Fig 5** TEM images of *Cupriavidus necator* KCTC 2649 cell (a) before and (b) after  
459 NaOH pretreatment. Arrow indicates the cell wall of *Cupriavidus necator* KCTC 2649  
460 cell; G indicates PHA granule.

461

462 **Fig 6** FTIR spectra of PHB pyrolyzates.

463

464 **Scheme 1** Pathway of biocrotonic acid production from chloroform-treated and NaOH-  
465 treated PHB.

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467

468 **Table 1** Comparison of PHB fermentation by *Cupriavidus necator* KCTC 2649 in  
469 different carbon sources.

470

Carbon source for fermentation	Cell dry mass (g/l)	PHB concentration	
		(%)	(g/l)
Mixture of synthetic sugars	11.9	40.4 ± 2.5	4.8
Autoclaved OPF juice	15.9	51.1 ± 1.5	8.2
Filter-sterilized OPF juice	16.7	49.1 ± 1.9	8.2

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488 **Table 2** Recovery yield and composition of PHB pyrolyzates.

	Amount (%)		
	PHB Biomass	Chloroform-treated PHB	NaOH-treated PHB
Initial PHB purity	75	99	92
Pyrolyzate recovery	70	94	84
CA recovery yield	65	69	80
<b><u>GC-MS analysis</u></b>			
<b>Component</b>			
<i>Trans</i> -crotonic acid	57.1 ± 4.8	69.2 ± 0.5	86.6 ± 2.5
<i>Cis</i> -crotonic acid	5.0 ± 0.6	3.9 ± 0.4	1.9 ± 0.2
Oligomer	37.9 ± 5.4	26.9 ± 0.9	11.5 ± 2.7

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501 **Table 3** Molar mass of PHB samples.

Sample	$M_n$ (kDa)	$M_w$ (kDa)	$M_w/M_n$
PHB Biomass	1100	3330	1.21
Chloroform-treated PHB	420	860	2.05
NaOH-treated PHB	220	510	2.32

502  $M_w$ : weight average molar mass,  $M_n$ : number average molar mass,  $M_w/M_n$ : Polydispersity  
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Figure 1

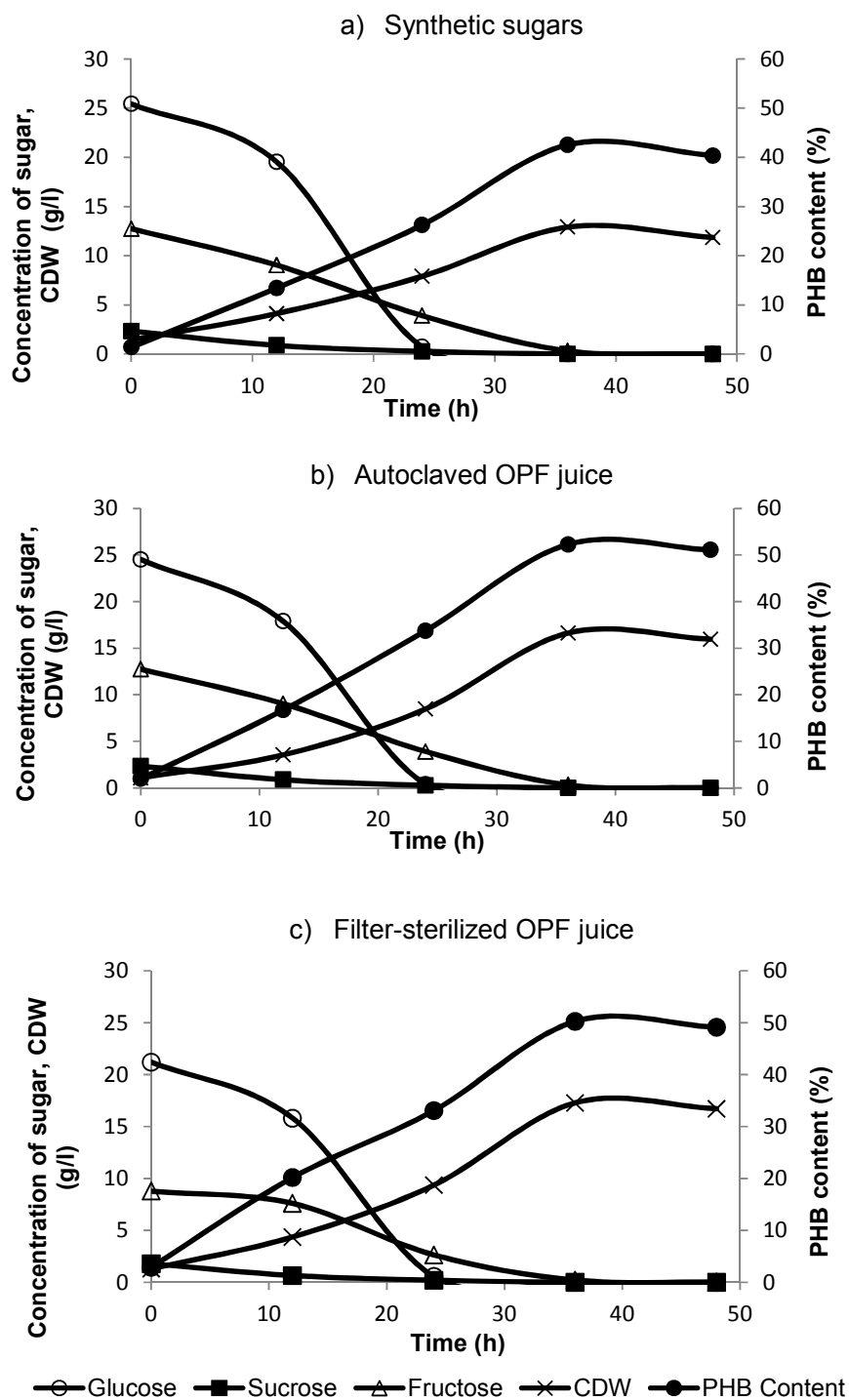




Figure 2

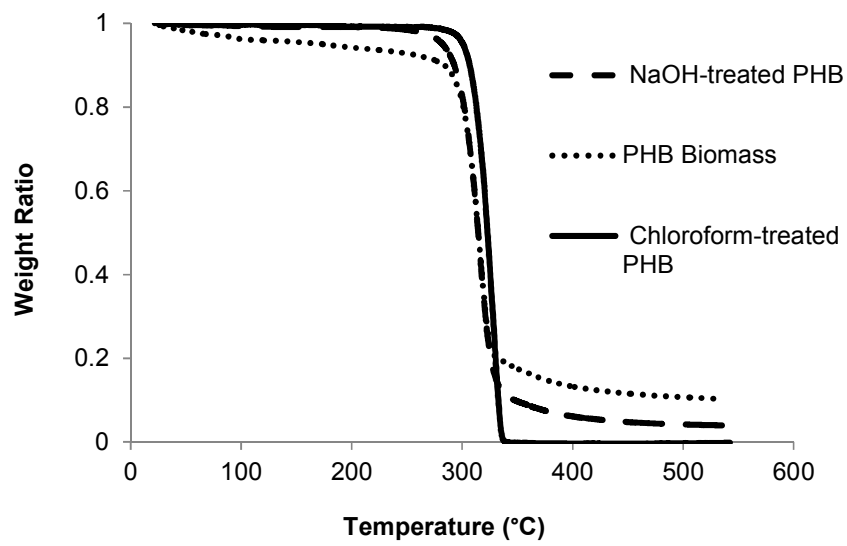


Figure 3

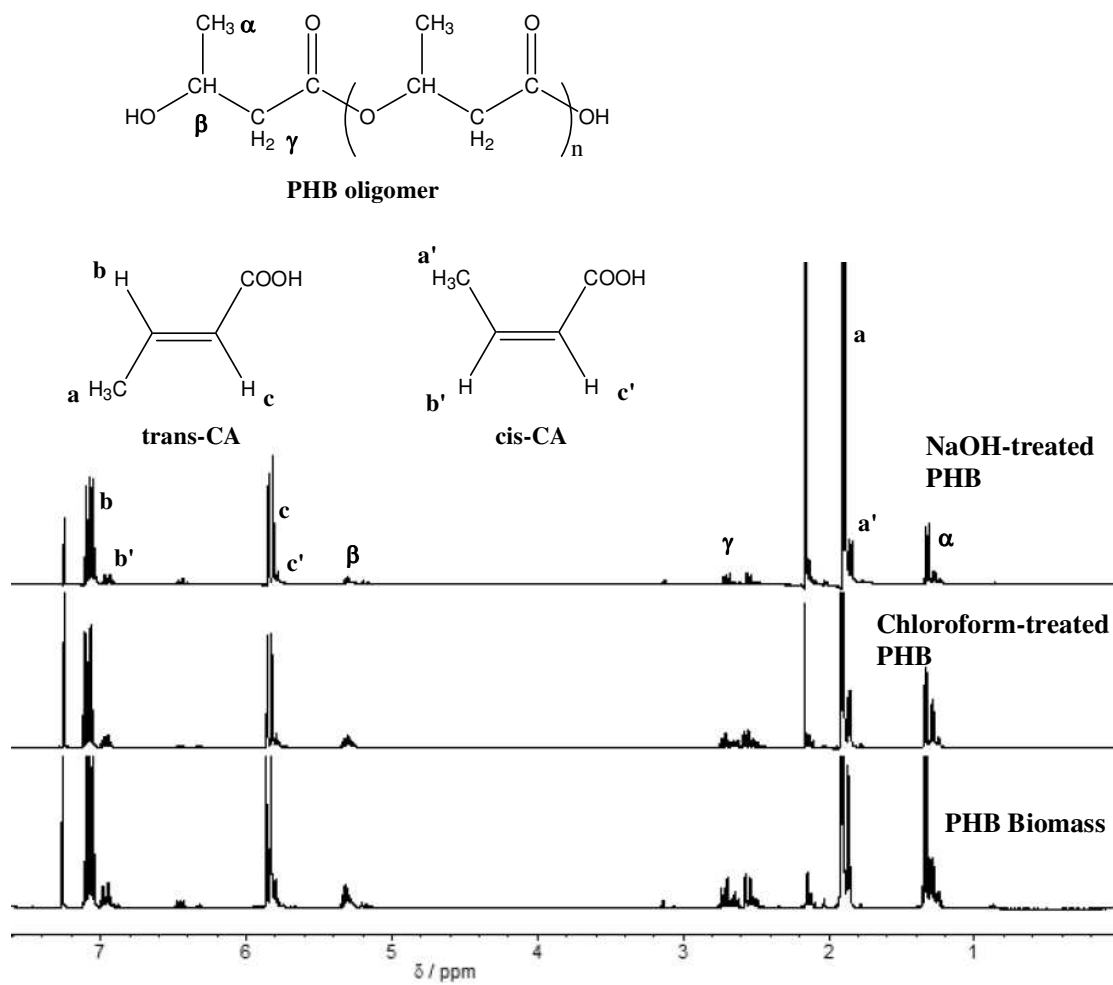


Figure 4

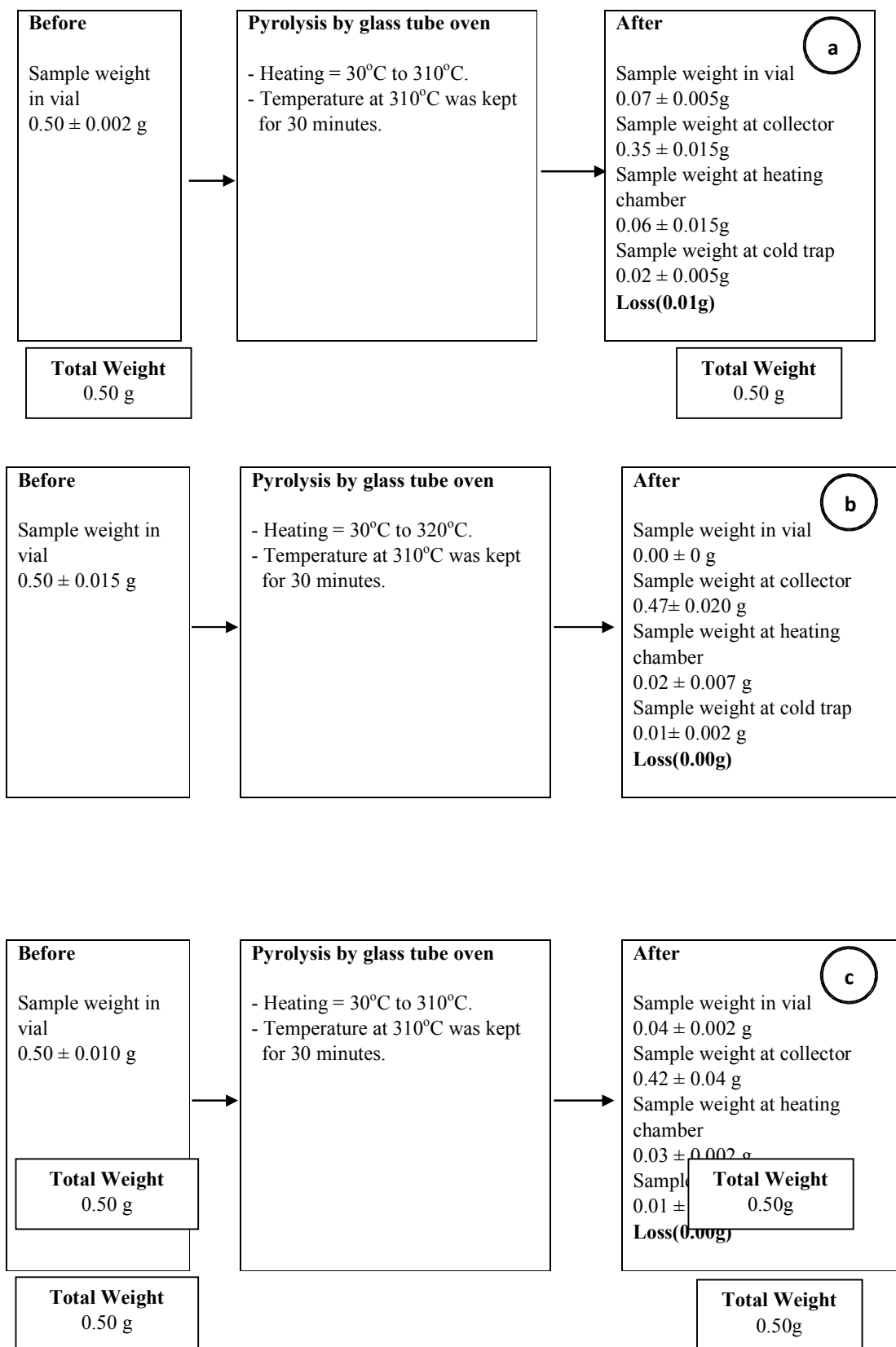




Figure 5

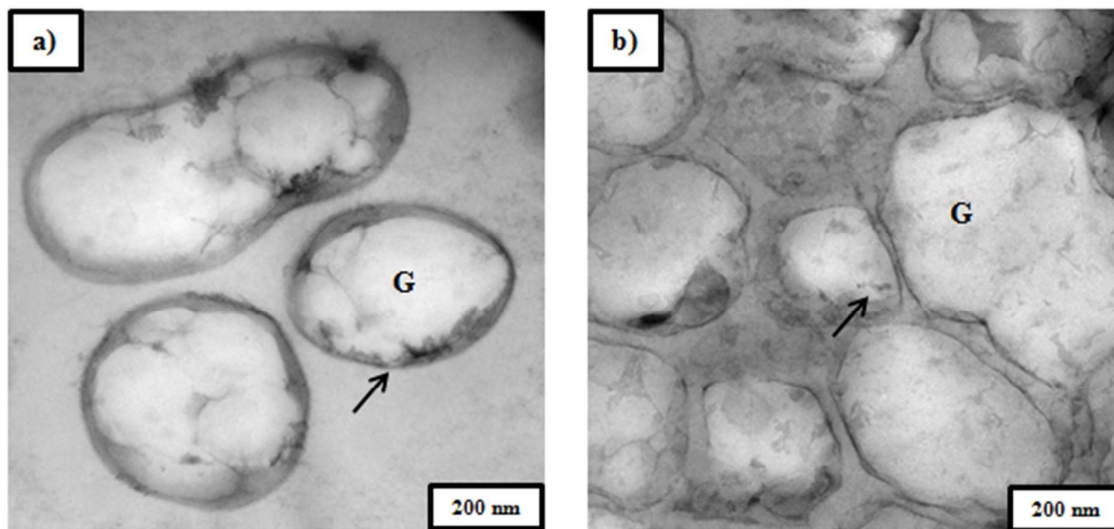
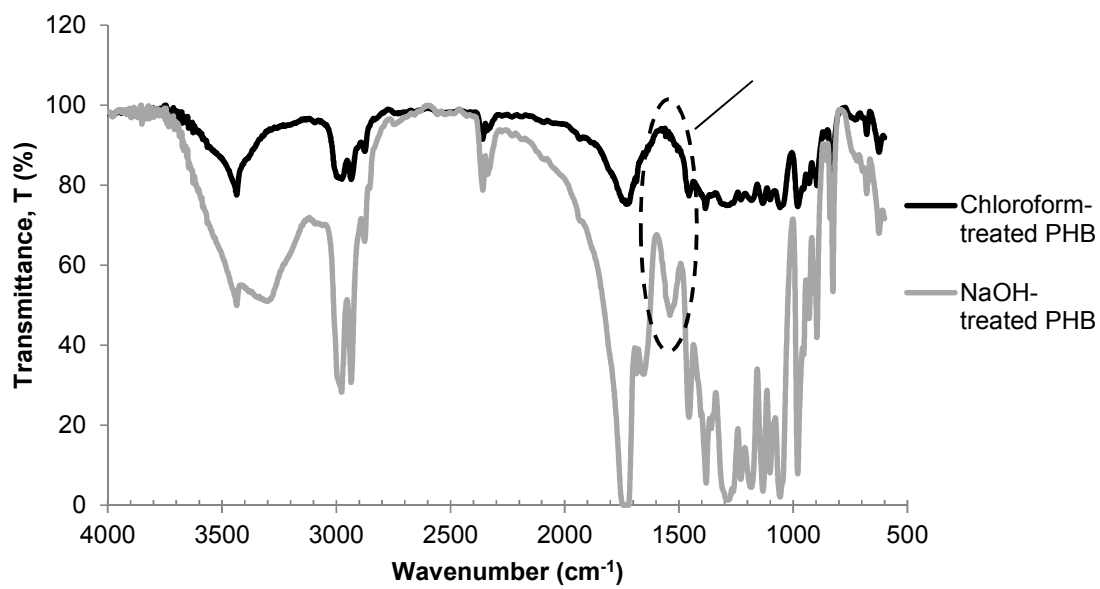
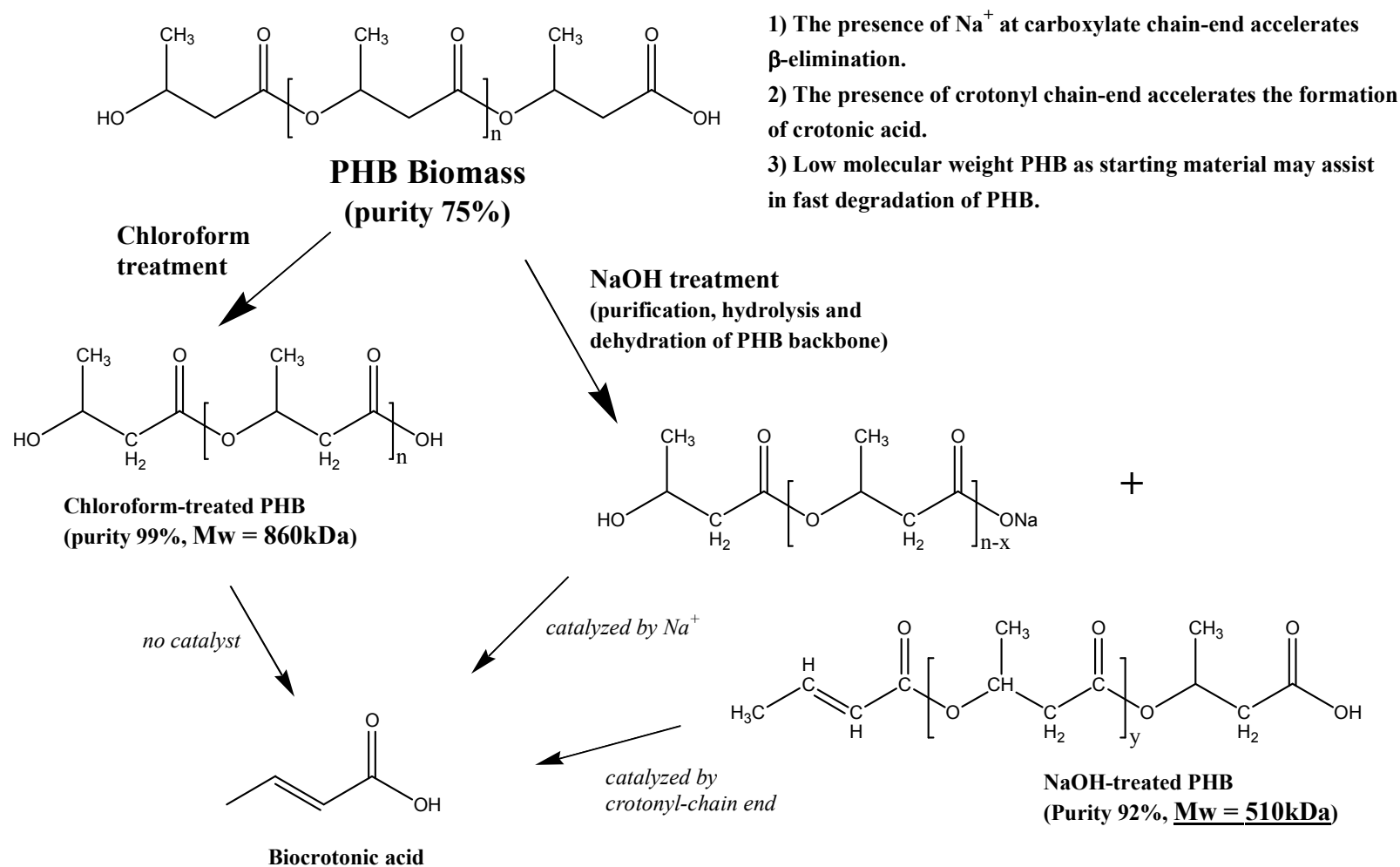


Figure 6



Scheme 1



### Graphical abstract:

Production of high purity crotonic acid from bio-based resource is an alternative to petroleum-based synthesis and omits the need for purification process.

