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1 **Multiple cross-linked hydroxypropylcellulose-succinate-salicylate: Prodrug design,**  
2 **characterization, stimuli responsive swelling-deswelling and sustained drug release**

3

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## 20 ABSTRACT

21 Hydroxypropylcellulose-succinic anhydride (HPC-SAn) conjugate was synthesized  
22 homogeneously at 80 °C for 6 h under N<sub>2</sub> in *N,N*-dimethylacetamide (DMA). HPC-SAn  
23 conjugate was further covalently linked with salicylic acid (SA) drug using a versatile reagent  
24 ZrOCl<sub>2</sub>.8H<sub>2</sub>O at 80 °C for 6 h. Multiple crosslinking of benign HPC-SAn-SA conjugate was  
25 achieved using oxalyl chloride. The resultant cross-linked prodrug (CL-HPC-SAn-SA conjugate)  
26 was characterized using different spectroscopic techniques. UV/Vis analysis of HPC-SAn-SA  
27 conjugate has indicated that it contains 26 mg of SA per 100 mg. CL-HPC-SAn-SA showed  
28 reasonably good swelling properties in water and at different physiological pH values (6.8 and  
29 7.4). However, negligible swelling was observed at acidic pH (1.2). Kinetic studies revealed that  
30 CL-HPC-SAn-SA followed second order swelling kinetics. Additionally, CL-HPC-SAn-SA  
31 conjugate showed stimuli responsive (pH 7.4/1.2) swelling-deswelling properties. The effect of  
32 different pH (1-10) on swelling of CL-HPC-SAn-SA was also studied. Thermal analysis revealed  
33 that the cross-linked prodrug CL-HPC-SAn-SA was thermally more stable as compared to pure  
34 SA. This method of multiple crosslinking of drugs with polysaccharides and the resultant  
35 prodrugs are highly potential for pharmaceutical and medicinal applications.

36

## 37 1 Introduction

38 Different efforts have been made to synthesize useful polymeric prodrugs of salicylic acid (SA)  
39 over the years. Among synthetic polymers, poly (anhydride-ester) composed of alkyl chain and  
40 polyamidoamine dendrimer conjugates were used to esterify SA to form its prodrugs.<sup>1,2</sup> The later  
41 study showed sustained and colon targeted release of SA. Due to biocompatibility issues,

42 synthetic polymers are nowadays being replaced with naturally occurring hydrophilic, film or gel  
43 forming polysaccharides.<sup>3,4</sup> In this regard, cellulose ethers are attracting vigil eye of medicinal  
44 and material chemists working in the field of drug design and applications.<sup>5</sup> Recent reports  
45 witnessed HPC (a cellulose ether derivative) as a superb choice for prodrug formation because it  
46 is non-ionic, hydrophilic, biocompatible and has inbuilt oligo-hydroxypropyl linkers.<sup>6</sup>

47 Cross-linking (CL) of polymeric prodrugs could also be a useful reaction to develop  
48 hydrogels for sustained and targeted prodrug design.<sup>7,8</sup> Adverse aspects of toxic CL agents, like  
49 glutaraldehyde,<sup>9</sup> can be avoided by using appropriate CL agents.<sup>10</sup> A useful CL agent is oxalyl  
50 chloride that cross-links OH groups by the evolution of gaseous by-products thereby generating  
51 ester linkage among polysaccharide chains with fairly high purity.<sup>11</sup>

52 Herein, we report fabrication and characterization of HPC-SAn-SA conjugate. Aims were to  
53 utilize oxalyl chloride as a CL agent in order to develop swelling behaviour in prodrugs.  
54 Therefore, HPC-SAn-SA conjugate was cross-linked to afford CL-HPC-SAn-SA conjugates.  
55 The novel synthesis protocol for HPC-SAn-SA conjugates and concept of multiple crosslinking  
56 in prodrug design of SA are being reported for the first time. Such prodrugs of SA may have  
57 potential applications against inflammatory bowel disease due to high swelling index, ease of  
58 metabolism in basic environment and reduced hydrolysis in gastric fluid.

59

## 60 **2 Materials and methods**

### 61 **2.1 Materials**

62 HPC (MS 3.46) used was purchased from Nanjing Yeshun Industry and International Trading  
63 Co. Ltd, Jiangsu, China. HPC was dried under vacuum at 110 °C for 5 h before use. Organic  
64 solvents used during study were of LabScan whereas zirconium oxychloride octahydrate  
65 ( $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$ ), SA, SAn, and oxalyl chloride were received from Fluka and used without  
66 further purification.

## 67 2.2 Measurements

68 FTIR (KBr) spectra were taken on an IR Prestige-21 (Shimadzu, Japan) instrument.  $^1\text{H}$  and APT  
69 (attached proton test)  $^{13}\text{C}$  NMR ( $\delta$  ppm, 400 MHz) spectra were acquired on a Bruker NMR in  
70  $\text{DMSO}-d_6$  using TMS as an internal standard. The number of scans for  $^1\text{H}$  and  $^{13}\text{C}$  NMR were 16  
71 and 2000, respectively. Thermogravimetric analysis was carried out to observe thermal stability  
72 and kinetics of the samples on SDT Q 600 (TA Instruments, USA) thermal analyzer. Thermal  
73 degradation was recorded at a heating rate of 15 °C/min from ambient-1000 °C. UV/Vis  
74 spectrophotometer used was PharmaSpec 1700 (Shimadzu, Japan).

## 75 2.3 Synthesis of HPC-SAn conjugates

76 HPC (2.0 g) was dissolved in DMA (30 mL) under nitrogen at 80 °C with constant stirring for 30  
77 min. Succinic anhydride (1.95 g) was added into the polymer solution and stirred at 80 °C for 6  
78 h. The product, i.e., HPC-SAn conjugate was isolated by precipitation of reaction mixture in  
79 diethyl ether (250 mL). Precipitates were washed thrice with acetone (100 mL) to remove  
80 unreacted SAn and succinic acid by-product. These precipitates were filtered, dried and then  
81 ground to powder (colourless).

82 Yield: 3.05 g (85%); Degree of substitution (DS) = 2.84/HPC-repeating unit (HPC-RU) as  
83 calculated by  $^1\text{H}$  NMR spectroscopy; FTIR (KBr): 3462  $\nu(\text{O-H})$ , 2926  $\nu(\text{C-H})$ , 1738  $\nu(\text{C=O}_{\text{Ester}})$ ,

84 1369-1450  $\nu(\text{CH}_2)$ , 1062  $\nu(\text{C-O-C})$   $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR ( $\text{DMSO-}d_6$ ,  $\delta$  ppm): 1.05 (H 9), 3.07-4.67  
85 (HPC H 1-8), 2.37 (H 11), 2.74 (H 12); APT  $^{13}\text{C}$  NMR ( $\text{DMSO-}d_6$ ,  $\delta$  ppm): 27.48-28.61 (C  
86 11,12), 16.79 (C 9), 65.04-67.34 (C 6-8), 75.35 (C 2), 77.31 (C 7), 81.13-83.09 (C 3-5), 102.23  
87 (C 1), 169.63 (C 10), 174.21 (C 13).

#### 88 2.4 Synthesis of HPC-SAn-SA conjugates

89 HPC-SAn conjugate (1.0 g, 1.97 mmol) isolated from previous reaction was dissolved in DMA  
90 (30 mL). To the solution of HPC-SAn conjugate, SA (1.28 g, 9.25 mmol) was added followed by  
91 the addition of  $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$  (0.634 g, 1.97 mmol, 21.29 mol% to SA) as a catalyst for  
92 esterification. Reaction was preceded under stirring at 80 °C for 6 h. Resultant product (HPC-  
93 SAn-SA conjugate) was obtained by precipitation of the reaction mixture in diethylether (250  
94 mL). The product was purified by washing it with acetone (100 mL) thrice and dried under  
95 vacuum at 50 °C overnight.

96 Yield: 1.12 g (83%); Drug content (DC) = 26 mg/100 mg = DS 1.88/HPC-RU as calculated  
97 by UV-Vis spectrophotometry; FTIR (KBr): 3462 & 3541  $\nu$ (weak signal, O-H), 2927  $\nu$ (C-H),  
98 1728  $\nu$ (C=O Ester), 1371-1448  $\nu$ ( $\text{CH}_2$ ), 1053  $\nu$ (C-O-C)  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR ( $\text{DMSO-}d_6$ ,  $\delta$  ppm): 1.05  
99 (H 9), 3.08-4.73 (HPC H 1-8), 2.48 (H 11), 2.53 (H 12), 6.73 (H 15), 7.26 (H 16), 7.74 (H 17);  
100 APT  $^{13}\text{C}$  NMR ( $\text{DMSO-}d_6$ ,  $\delta$  ppm): 27.51-28.64 (C 11,12), 16.78 (C 9), 65.02 (C 6), 67.31 (C 8),  
101 75.38 (C 2), 77.28 (C 7), 81.09-83.12 (C 3-5), 102.19 (C 1), 116.06 (C 18), 116.98 (C 15),  
102 130.09 (C 17), 132.54 (C 16), 162.13 (C 14), 169.58 (C 10), 172.10 (C 13), 173.38 (C 19).

#### 103 2.5 Synthesis of CL-HPC-SAn-SA conjugates

104 HPC-SAn-SA conjugates (2.0 g) were added to DMF (30 mL) and stirred (30 min) to obtain  
105 optically clear solution. The solution was then cooled up to -10 °C and oxalyl chloride (5 mL)

106 was added drop wise (in 5 min) using dropping funnel and stirred for 1 h. The reaction mixture  
107 was further stirred for 3 h at room temperature. In order to remove unreacted oxalyl chloride, the  
108 reaction mixture was therefore heated up to 70 °C for 2 h. Continuous supply of nitrogen was  
109 maintained during all steps of the reaction. The cross-linked product (CL-HPC-SAn-SA  
110 conjugate) was separated from reaction mixture by precipitation. Propanol (200 mL) produced  
111 fluffy precipitates of the product. Precipitates were washed with methanol (100 mL) thrice,  
112 filtered and dried under vacuum at 50 °C overnight to obtained white powder.

113 DC = 25 mg/100 mg = 1.78/HPC-RU as calculated by UV-Vis spectrophotometry; FTIR  
114 (KBr): 2935-2763  $\nu$ (C-H), 1734  $\nu$ (intense, C=O Ester), 1450  $\nu$ (CH<sub>2</sub>), 1042  $\nu$ (C-O-C) cm<sup>-1</sup>.

## 115 2.6 Calculation of DC (mg/100 mg) by UV/Vis spectrophotometry

116 DC was calculated using a reported UV/Vis spectrophotometric method.<sup>6</sup> Sample (20 mg) was  
117 hydrolysed using 0.1 N aq. NaOH solution (20 mL) under stirring at 80 °C for 5 h absorbance  
118 was noted after filtration. Solution of different known concentrations of standard SA were  
119 prepared and absorbance was noted to plot calibration curve. Amount of SA in samples was  
120 calculated using calibration curve of standard constructed at  $\lambda_{\text{max}}$  of 296 nm.

## 121 2.7 Calculation of DS value by <sup>1</sup>H NMR spectroscopy

122 The DS of succinyl moieties onto HPC polymer was calculated by the comparison of spectral  
123 intensities of different signals in <sup>1</sup>H NMR spectrum.<sup>12</sup>

## 124 2.8 Transmission electron microscopy (TEM)

125 The conjugate CL-HPC-SAn-SA (20 mg) was dissolved in DMSO (5 mL) and dialysed for 3  
126 days against distilled water. The obtained suspension was diluted by the addition of milli-Q

127 water. Sample was drop casted onto carbon coated copper grids and dried under air before  
128 characterizing by transmission electron microscope (Philips EM420) operating at an acceleration  
129 voltage of 120 kV.

## 130 2.9 Swelling studies of cross-linked product CL-HPC-SAn-SA conjugate

131 To determine the swelling index ( $Q_t$ ), known initial weight ( $W_i$ ) of dry sample was added to  
132 distilled water. At different time intervals, final weight ( $W_f$ ) of swollen products was noted.  
133 Following relation gave the value of  $Q_t$  (eq. 1);

$$134 \quad Q_t = \frac{W_f - W_i}{W_i} \quad (\text{eq. 1})$$

135 Normalized equilibrium degree of swelling ( $Q_e$ ) was calculated using eq. 2;

$$136 \quad Q_e = \frac{W_\infty - W_i}{W_i} \quad (\text{eq. 2})$$

137 where,  $W_\infty$  is maximum swelling of the gel in maximum time.

138 Second order kinetics (eq. 3) provided the best fit on swelling data of the CL-HPC-SAn-SA  
139 conjugate;

$$140 \quad \frac{t}{Q_t} = \frac{1}{kQ_e^2} + \frac{t}{Q_e} \quad (\text{eq. 3})$$

### 141 2.9.1 Preparation of buffer solutions of different pH

142 CL-HPC-SAn-SA conjugate was studied for its swelling properties at different pH values, i.e.,  
143 1.2, 6.8 and 7.4. Buffer solution of pH 1.2 was prepared by KCl and HCl, whereas potassium  
144 dihydrogen phosphate and NaOH were used to prepare buffer solution of pH 6.8 and pH 7.4.

### 145 2.9.2 Evaluation of pH responsive properties of CL-HPC-SAn-SA conjugate



146 Accurately weighed CL-HPC-SAn-SA (0.5 g each) was packed in 4 cellophane bags and hung in  
147 separate beakers (100 mL each) containing deionized water (50 mL) and buffer solutions (50 mL  
148 each) of pH 7.4, 6.8, and 1.2. Cellophane bags containing swollen CL-HPC-SAn-SA were taken  
149 out of medium at specific time intervals and hung for some time to remove extra water, weighed  
150 and placed again in respective media for further swelling. Swelling capacity (%) was calculated  
151 by using below given equation;

$$152 \text{ Swelling capacity (\%)} = \frac{W_t - W_o - W_c}{W_o} \quad (\text{eq. 4})$$

153 where,  $W_c$  is the mass of wet cellophane bag,  $W_t$  is mass of cellophane bag containing swollen  
154 CL-HPC-SAn-SA conjugate and  $W_o$  is the mass of the pre-dried CL-HPC-SAn-SA conjugate.

### 155 2.9.3 pH responsive on-off swelling of CL-HPC-SAn-SA conjugate

156 In order to observe on-off swelling behaviour of CL-HPC-SAn-SA conjugate, it was packed in  
157 cellophane bags and tested against pH 1.2 and 7.4. Accurately weighed CL-HPC-SAn-SA  
158 conjugate (0.5 g) was packed in cellophane bag and hung in buffer solution (50 mL) of pH 7.4  
159 taken in a beaker (100 mL) for 15 min. After removing the excess liquid, bag containing CL-  
160 HPC-SAn-SA conjugate was weighed and hung in pH 1.2 buffer (50 mL) for another 15 min.  
161 These on-off experiments were performed over four cycles.

### 162 2.10 Drug release and kinetics

163 *In-vitro* drug release studies were carried out at 37 °C using dialysis method in simulated gastric and intestinal environments (pH  
164 1.2 and 7.4, respectively). Solutions of CL-HPC-SAn-SA conjugate (1% w/v) were made in phosphate buffered saline  
165 (PBS) of pH 1.2 and 7.4. Both solutions were sealed in separate dialysis tubing and dialyzed  
166 against 200 mL of PBS with prescribed pH values. While stirring the bags at 100 rpm, small

167 aliquots (2 mL) from each sample were withdrawn at specified time intervals with replacing an  
 168 equal volume of PBS having respective pH. The withdrawn aliquots were diluted and their  
 169 absorbance was recorded using a UV/Vis spectrophotometer. Cumulative drug released after hydrolysis of  
 170 the prodrug was calculated from calibration curve of SA.

171 Since, drug release is dependent on hydrolysis of the ester bonds, therefore it followed a  
 172 pseudo first order kinetic rate expression that is shown in eq. 5.<sup>13</sup>

$$173 \ln(q_e - q_t) = \ln q_e - kt \quad (\text{eq. 5})$$

174 where,  $q_e$  and  $q_t$  are equilibrium concentration and concentration at time  $t$ , while,  $k$  is the rate  
 175 constant.

### 176 2.11 Thermal degradation kinetics

177 Drug (SA) and CL-HPC-SAn-SA conjugates were subjected to thermogravimetric analyses.  
 178 Thermograms of the samples were recorded from ambient temperature to 1000 °C using ramp  
 179 method at a heating rate of 15 °C/min under nitrogen atmosphere. Different thermal kinetic  
 180 methods, i.e., Friedman,<sup>14</sup> Chang<sup>15</sup> and Broido<sup>16</sup> models were applied to thermal data for the  
 181 calculation of kinetic parameters such as order of the reaction ( $n$ ), pre-exponential factor ( $Z$ ) and  
 182 activation energy ( $Ea$ ) of the samples. The  $n$  values were calculated from Chang model.  
 183 Friedman, Chang and Broido methods use eq. 6-8, respectively.

$$184 \ln\left(\frac{d\alpha}{dt}\right) = \ln Z + n \ln(1 - \alpha) - \frac{Ea}{RT} \quad (\text{eq. 6})$$

$$185 \ln\left[\frac{\frac{d\alpha}{dt}}{(1-\alpha)^n}\right] = \ln Z - \frac{Ea}{RT} \quad (\text{eq. 7})$$

$$186 \ln\left(\ln\frac{1}{y}\right) = -\frac{Ea}{RT} + \text{constant} \quad (\text{eq. 8})$$

187 where, in eq. 6-8,  $R$  is gas constant;  $da/dt$  is rate of weight loss;  $T$  is absolute temperature;  $1-\alpha$  is  
188 weight of sample left at a certain temperature;  $w_0$  is initial weight;  $w_\infty$  is final weight;  $y$  is  $(w_t -$   
189  $w_\infty)/(w_0 - w_\infty)$  and  $w_t$  is weight at a given time  $t$ .

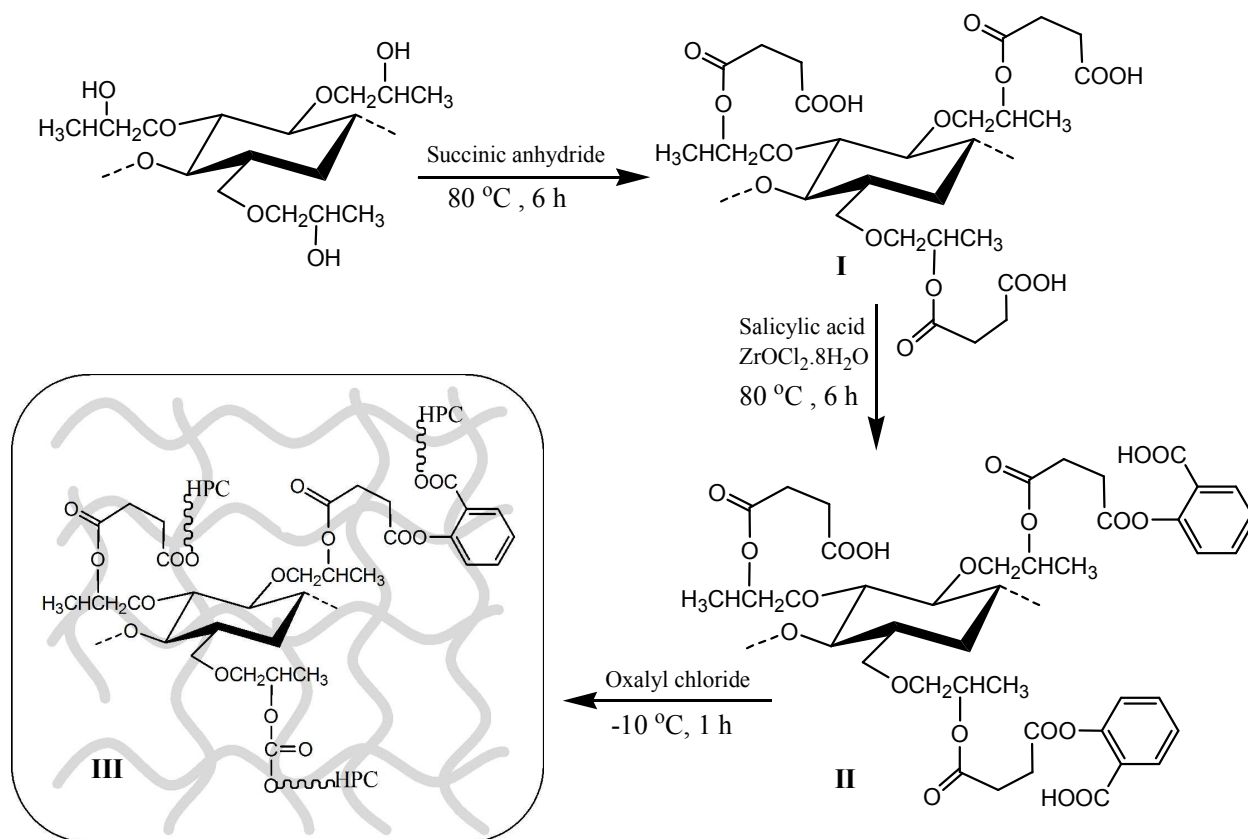
190 The thermodynamic parameters, i.e., enthalpy ( $\Delta H$ ), entropy ( $\Delta S$ ) and Gibb's free energy  
191 ( $\Delta G$ ) were also calculated using Eyring method.<sup>17</sup> Moreover, index of thermal stability (ITS) and  
192 integral thermal decompositional temperatures (IPDT) of SA and CL-HPC-SAn-SA conjugate  
193 were also calculated using a reported method.<sup>18</sup>

194

### 195 **3 Results and discussion**

#### 196 **3.1 Synthesis, cross-linking and characterization of HPC-SAn-SA conjugate**

197 HPC-SAn conjugate were synthesized employing homogeneous reaction conditions using DMA  
198 as a medium of reaction. SAn reacts with free hydroxyls of HPC to form ester bonds between  
199 HPC and SAn. The resultant HPC-SAn conjugate was isolated and purified by precipitation and  
200 washing. HPC-SAn conjugate was further reacted with SA (a non-steroidal anti-inflammatory  
201 drug) in the presence of an efficient catalyst, i.e.,  $ZrOCl_2 \cdot 8H_2O$  to form HPC-SAn-SA conjugate.  
202 This reaction strategy for making multiple CL prodrug of SA is novel and being shown in Fig. 1.



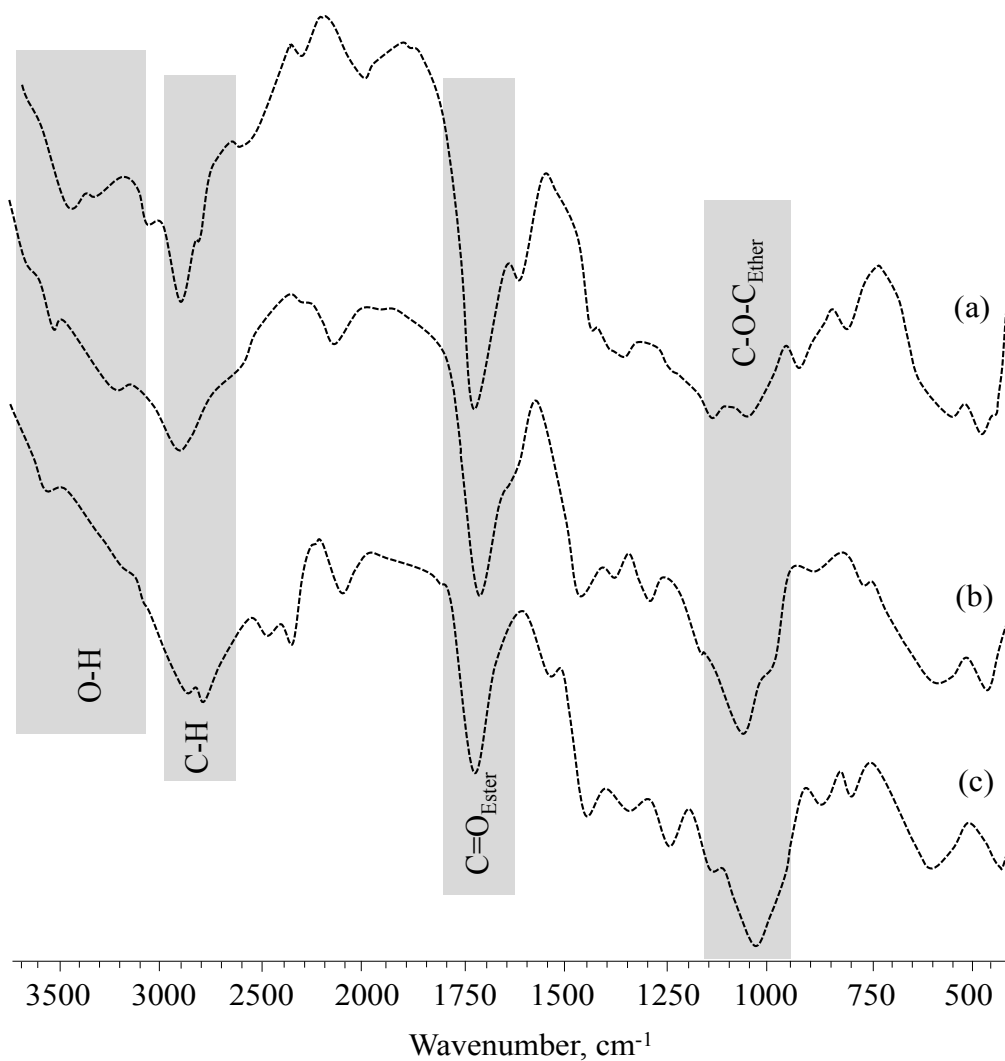
203

204 **Fig. 1** Synthesis of HPC-SAn conjugate (I), HPC-SAn-SA conjugate (II) and CL-HPC-SAn-SA  
 205 conjugate (III).

206

207 HPC-SAn-SA conjugates were subjected to cross-linking in DMF solvent. Oxalyl chloride is  
 208 used for cross-linking between OH groups as well as OH and COOH groups (see Fig. 1). Benefit  
 209 associated with the use of oxalyl chloride is that by-products produced during reaction are  
 210 gaseous (CO and HCl) or soluble (oxalic acid) hence easily removed by washing. The reaction  
 211 produced water swellable and cross-linked HPC-SAn-SA conjugate, i.e., CL-HPC-SAn-SA  
 212 conjugate. This strategy resulted in multiple cross-linking due to esterification of SA with OH  
 213 groups of other chains of HPC or HPC-succinate as well as hydroxyls available on different  
 214 polymer chains, etc.

215 HPC-SAn-SA conjugate and its cross-linked derivative CL-HPC-SAn-SA are novel products  
216 which were characterized by different spectroscopic techniques. FTIR spectra of HPC-SAn,  
217 HPC-SAn-SA and CL-HPC-SAn-SA conjugates are cumulatively shown in Fig. 2. FTIR  
218 spectrum of HPC-SAn conjugate witnessed the success of reaction by showing distinct ester  
219 carbonyl absorptions at  $1738\text{ cm}^{-1}$ . Likewise, HPC-SAn-SA conjugate showed broad ester signal  
220 at  $1728\text{ cm}^{-1}$ . Whereas, ester signals of CL-HPC-SAn-SA conjugate were shifted towards  
221 relatively higher absorption at  $1734\text{ cm}^{-1}$  (intense peak) as expected due to cross-linking by ester  
222 formation as well. Reduction in hydroxyl absorptions of HPC in its esterified products is also  
223 observed indicating that OH groups were esterified. Indirect assessment of cross-linkages in CL-  
224 HPC-SAn-SA conjugate might be taken from the fact that said product swells in water as  
225 expected for cross-linked polysaccharides. All other vital signals of the drug, succinyl moieties  
226 and HPC were also present in spectra.

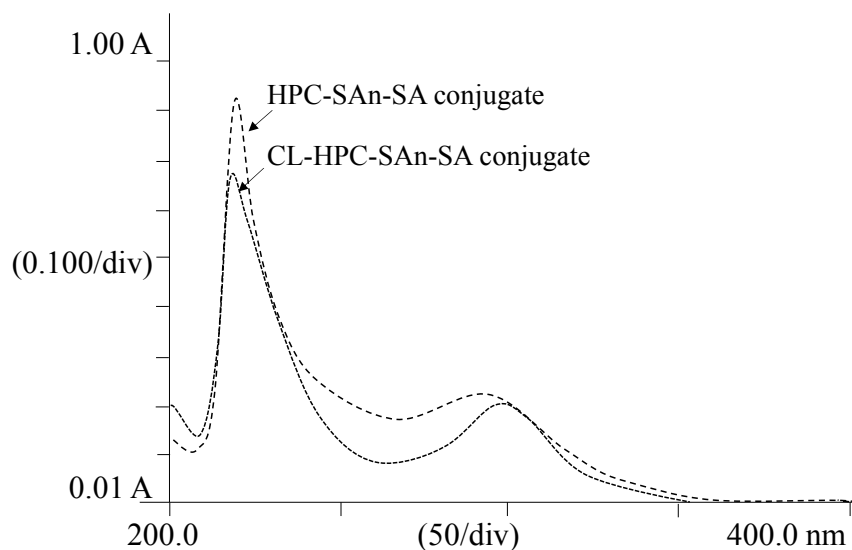


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228 **Fig. 2** FTIR spectra of (a) HPC-SAn, (b) HPC-SAn-SA and (c) CL-HPC-SAn-SA conjugates.

229

230 DC of SA in HPC-SAn-SA conjugate was determined in terms of mg of drug per 100 mg of  
231 conjugate by using calibration curve of standard SA and found to be 26 mg/100 mg. The  
232 overlaid UV spectral pattern of HPC-SAn-SA conjugate and CL-HPC-SAn-SA conjugate are  
233 shown in Fig. 3.



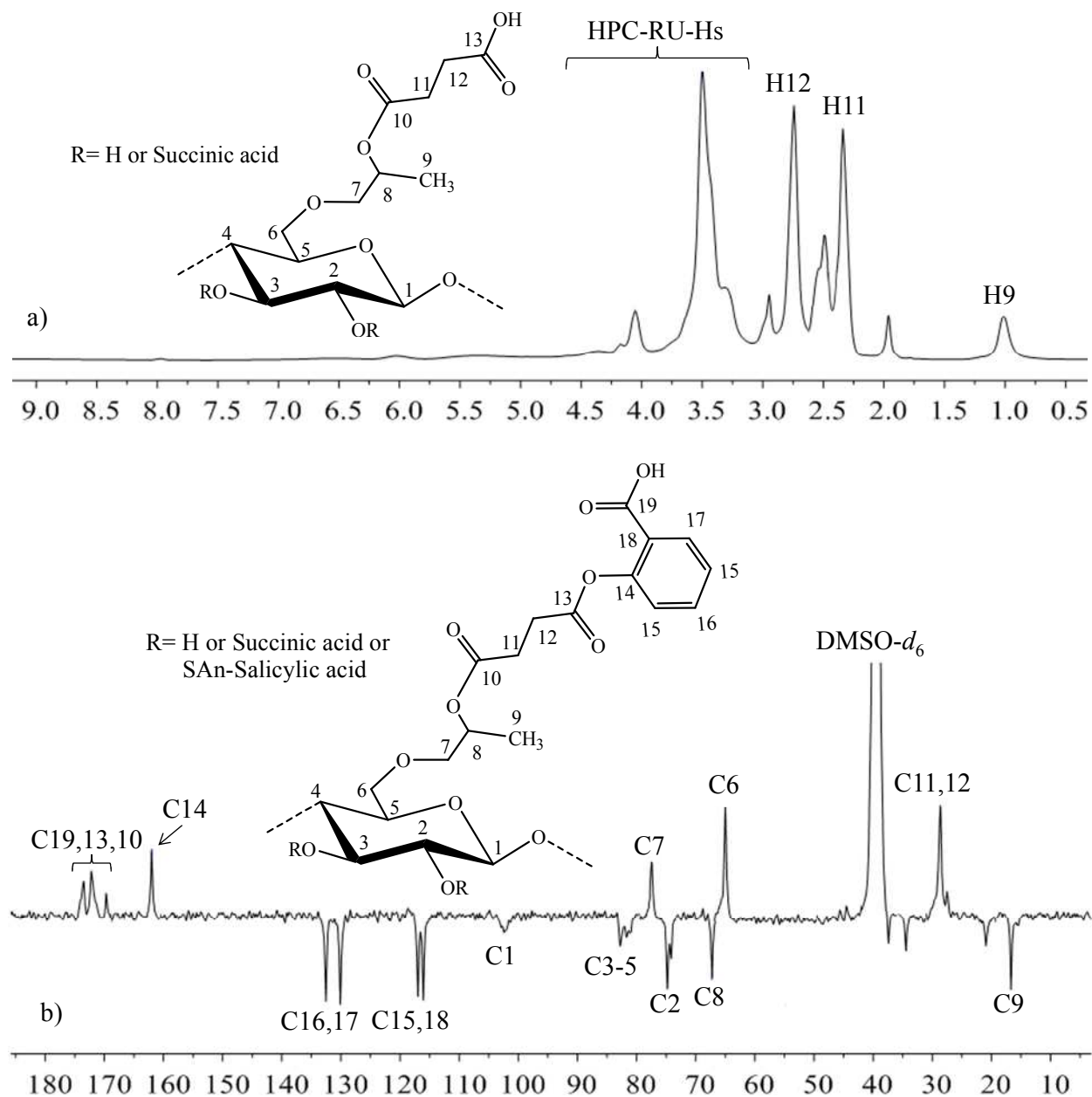
235 **Fig. 3** UV/Vis spectral pattern of HPC-SAn-SA and CL- HPC-SAn-SA conjugates.

236

237  $^1\text{H}$  NMR (400 MHz, 16 scans) spectrum of HPC-SAn conjugate was recorded in  $\text{DMSO-}d_6$   
238 (Fig. 4). Appearance of  $\text{CH}_2$  signals (H 11,12) of SAn at  $\delta$  2.37-2.74, respectively indicated the  
239 successful esterification of SAn with HPC unlike pure succinic acid where both  $\text{CH}_2$  give single  
240 peak due to identical environment. HPC repeating unit protons were detectable at  $\delta$  3.07-4.67 (H  
241 1-8) ppm. The  $\text{CH}_3$  protons of hydroxypropyl (HP) moieties of HPC were detectable at  $\delta$  1.05  
242 ppm while signals of CH and  $\text{CH}_2$  of HP moieties are overlapped with AGU signals.

243 APT  $^{13}\text{C}$  NMR spectra (400 MHz, 2000 scans) of HPC-SAn-SA conjugate was recorded in  
244  $\text{DMSO-}d_6$ . Spectrum of HPC-SAn-SA (see Fig. 4) confirmed the success of esterification by up  
245 field shift of ester carbonyl (C 13) signal from  $\delta$  174.21 to 172.10 ppm. Other signal of ester  
246 carbonyl (C 10) appeared at  $\delta$  169.58 ppm. Signal of carboxyl carbon of SA can be observed at  $\delta$   
247 173.38 ppm. Signals of aromatic carbons appeared at  $\delta$  116.06-162.13 ppm. HPC repeating unit  
248 signals C 1, C 2 and C 6 appeared at  $\delta$  102.19, 75.38 and 65.02 ppm, respectively. Signals for C

249 8 and C 7 appeared at  $\delta$  67.31 and 77.28 ppm, respectively. Methyl (C 9) of HPC was found at  $\delta$   
 250 16.78 ppm. Signals of C 11 and C 12 of succinic acid appeared at  $\delta$  27.51-28.64 ppm and  
 251 overlapped signal indicated that both ends of succinyl moieties are occupied with ester bonds.



252  
 253 **Fig. 4** <sup>1</sup>H and APT <sup>13</sup>C NMR (400 MHz, DMSO-*d*<sub>6</sub>, ppm) spectra of HPC-SAn (a) and HPC-  
 254 SAn-SA conjugate (b), respectively.



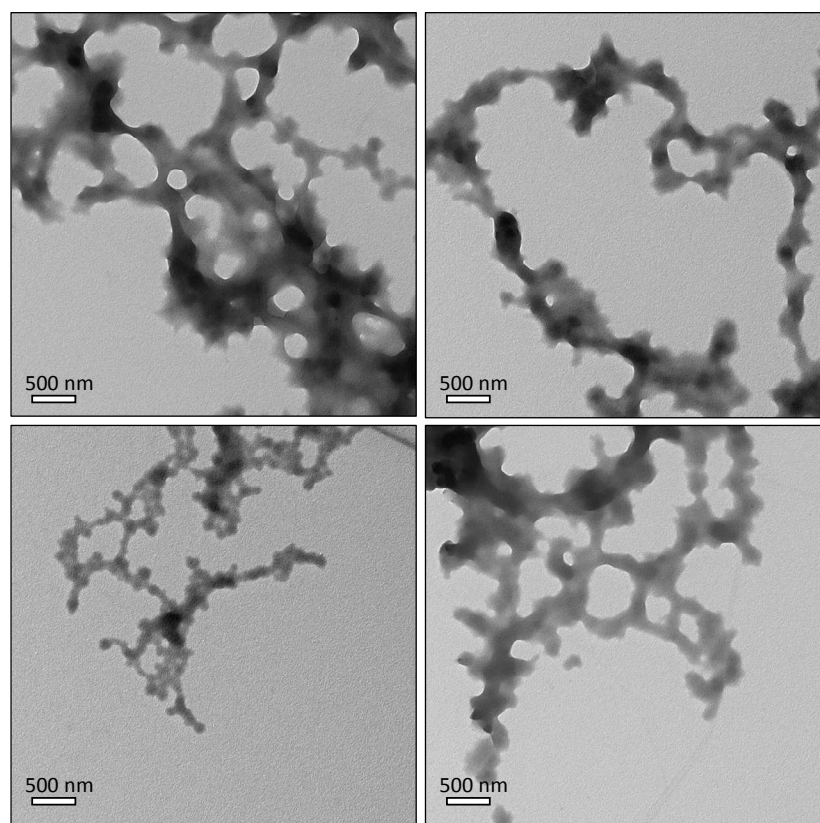
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### 256 3.2 Transmission electron microscopic analysis

257 Fig. 5 shows TEM images of the cross-linked prodrug of SA, i.e., CL-HPC-SAn-SA conjugate.

258 Upon exposure of CL-HPC-SAn-SA conjugate to solvent diffusion (dissolved in DMSO and

259 dialyzed vs. water), it self-assembled into cross-linked nanowires of 102-214 nm diameter.



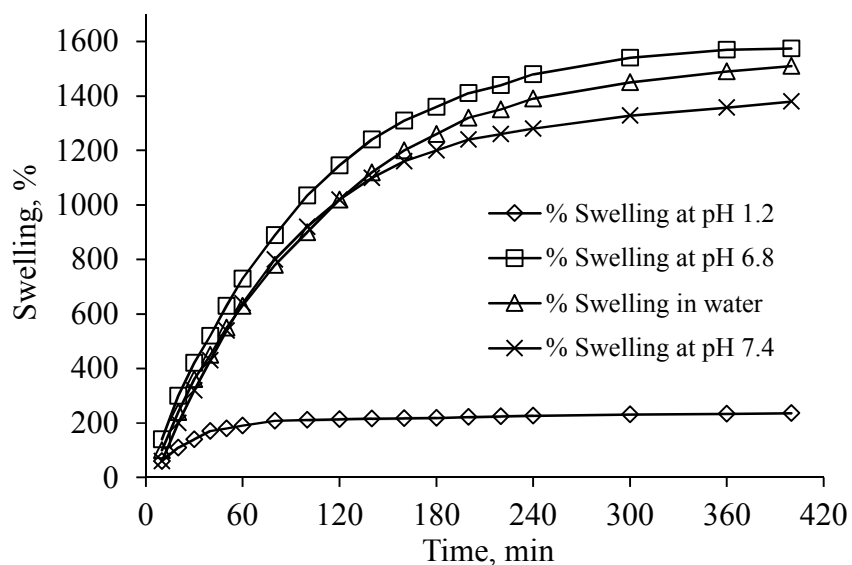
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261 **Fig. 5** TEM image of CL-HPC-SAn-SA conjugate showing nanowire formation at DMSO/water  
262 interface.

### 263 3.3 Swelling studies of CL-HPC-SAn-SA conjugate

#### 264 3.3.1 Swelling behaviour and kinetics of CL-HPC-SAn-SA conjugate in water

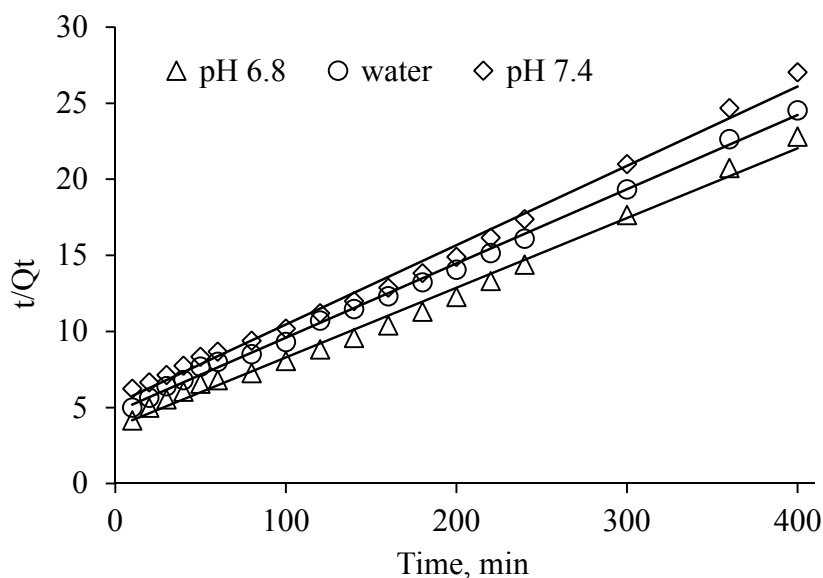
265 Stimuli (temperature, pH, ionic strength) responsive hydrogels are smart materials for controlled  
266 release of drugs.<sup>19-21</sup> As we are focused to develop multiple crosslinking in aforesaid conjugate  
267 therefore there is a possibility that the cross-linked product may show stimuli responsive  
268 properties. In order to assess the swelling properties of CL-HPC-SAn-SA conjugate, swelling  
269 ratio of cross-linked product was determined thrice in water and buffers of pH 1.2, 6.8 and 7.4  
270 and mean values are being shown in Fig. 6. The results revealed that CL-HPC-SAn-SA  
271 conjugate got significant water-swelling ratio. It was noted that swelling rate was faster in first  
272 200 min and then it slowed down. After 350 min, the equilibrium of swelling was established.  
273 Observed high rate of swelling can be attributed to the crosslinking of HPC-SAn-SA conjugate.  
274 Normalized degree of swelling and normalized equilibrium degree of swelling were calculated  
275 and graphs were plotted to calculate order of swelling of CL-HPC-SAn-SA conjugate. The  
276 kinetic results have indicated that CL-HPC-SAn-SA conjugate followed second order swelling  
277 kinetics in water and buffers of pH 1.2, 6.8 and 7.4 (Fig. 7).



278

279 **Fig. 6** Graphical representation of percentage swelling of CL-HPC-SAn-SA conjugate at pH  
280 1.2, 6.8, 7.4 and in distilled water.

281



282

283 **Fig. 7** Swelling kinetics of CL-HPC-SAn-SA conjugate at pH 6.8, 7.4 and in distilled water.

284

### 285 3.3.2 pH responsive swelling and kinetics of CL-HPC-SAn-SA conjugate

286 Hydrogels often show sensitivity to pH of the aqueous media which makes them materials of  
287 choice for controlled/sustained release of several classes of drugs.<sup>22-24</sup> Responsive swelling of the  
288 newly designed CL-HPC-SAn-SA conjugate was studied in water and at different physiological  
289 pH values (pH 1.2, 6.8 and 7.4, see Fig. 6). It was noted that CL-HPC-SAn-SA conjugate did not  
290 significantly swell at pH 1.2. Therefore, no significant release of SA at this pH is expected from  
291 CL-HPC-SAn-SA conjugate. This may lead to the assumption that CL-HPC-SAn-SA conjugate

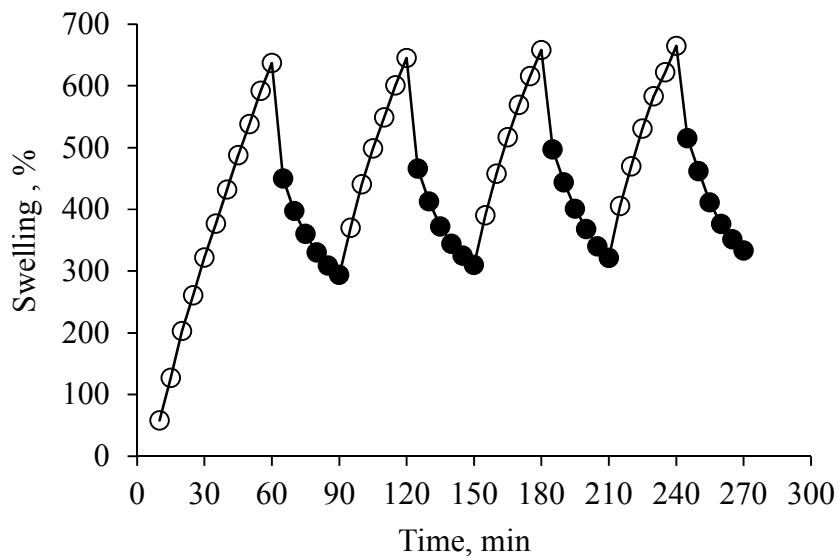
292 will be stomach safe and will not release significant amount of SA in stomach. In this way, side  
293 effects of SA on stomach can be reduced.

294 At pH 6.8 and 7.4, maximum swelling is observed for CL-HPC-SAn-SA conjugate. This is  
295 important to note that on reaching intestine, the conjugate starts swelling and releases SA at  
296 faster rate particularly in later part of intestine. In this way, intestine targeted drug delivery can  
297 be achieved.

298 Swelling data revealed that CL-HPC-SAn-SA conjugate show comparable swelling in water  
299 as well as at pH 7.4 and 6.8. However, swelling ratio was found to be negligible at pH 1.2  
300 because unmodified COOH groups of SAn moieties form hydrogen bonding with the still free  
301 hydroxyls in HPC polymer. Kinetics analyses of swelling data of CL-HPC-SAn-SA conjugate  
302 showed that swelling followed second order kinetics at pH 6.8, 7.4 and in water (see Fig. 7).

### 303 **3.3.3 pH responsive on-off-switching**

304 Reversible on-off-switching with respect to pH change is a hot topic of research nowadays.<sup>25-27</sup>  
305 Therefore, CL-HPC-SAn-SA conjugate was subjected to pH responsive on-off swelling studies.  
306 CL-HPC-SAn-SA conjugate showed quick pulsatile and on-off switching pH sensitive  
307 behaviour. Fig. 8 shows swelling and de-swelling behavior of hydrogel at pH 7.4 and 1.2,  
308 respectively. The sharp and reversible swelling of CL-HPC-SAn-SA conjugate makes it suitable  
309 candidate for controlled drug (SA) delivery systems. After four swelling-deswelling (on-off)  
310 cycles at pH 7.4 and 1.2, the CL-HPC-SAn-SA conjugate still showed pH-sensitivity which is  
311 highly reversible.



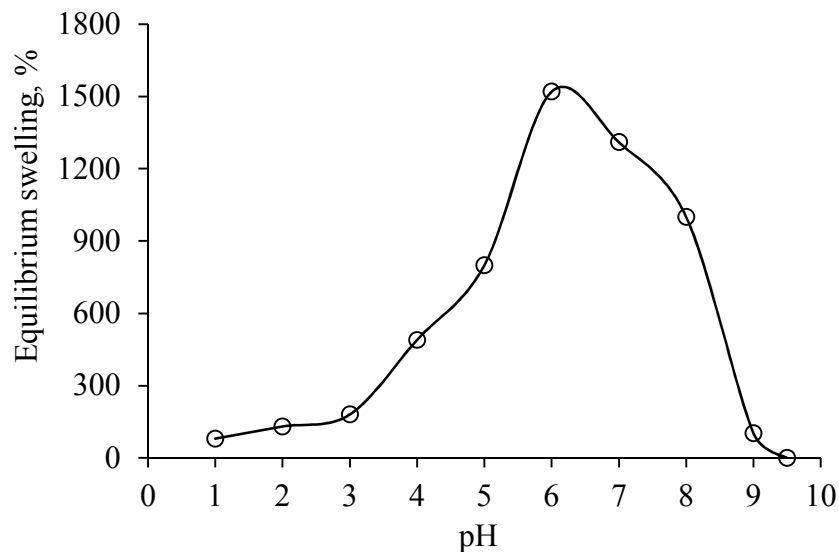
312

313 **Fig. 8** On-off swelling behaviour of CL-HPC-SAn-SA conjugate at pH 7.4 (on) and 1.2 (off)  
314 over four cycles.

315

### 316 3.3.4 Effect of pH on equilibrium swelling

317 Equilibrium swelling of CL-HPC-SAn-SA conjugate was measured in triplicate at different pH  
318 of solutions ranged from 1.0-9.5 (Fig. 9). It has been now academically well understood that by  
319 the addition of cations in polysaccharidal hydrogels, shrinkage is observed due to decrease in  
320 hydrogen bonding and by increasing pH, more swelling is observed due to repulsion among like  
321 charges. Moreover, maximum swelling of 15.2 and 13.1 g/g was obtained at pH 6 and 7,  
322 respectively which is abruptly dropped afterwards due to start of dissolution. However, from Fig.  
323 6, it is also obvious that maximum swelling for CL-HPC-SAn-SA conjugate was noted at pH 6.8.  
324 The CL-HPC-SAn-SA conjugate completely dissolved at pH 9.5. Similar way of pH-dependent  
325 swelling have been reported in the case of other related hydrogel systems.<sup>25,27,28</sup>



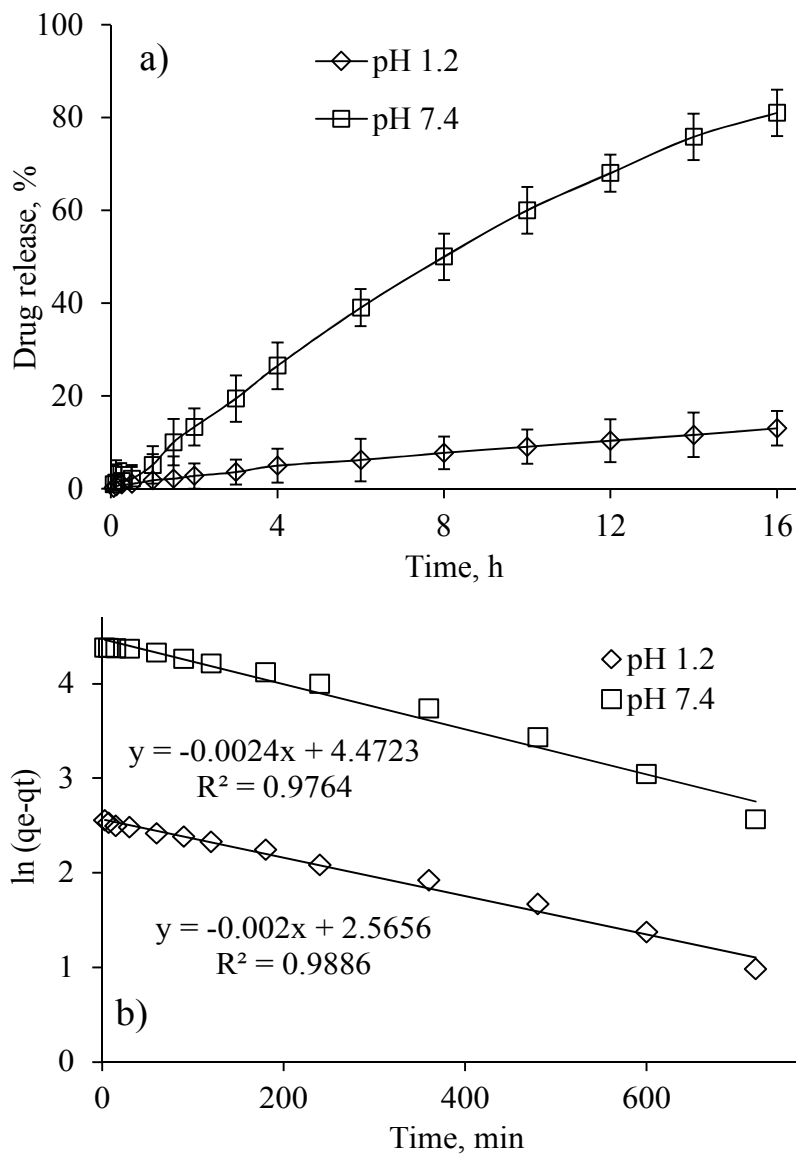
326

327 **Fig. 9** Effect of pH of solutions on equilibrium swelling of CL-HPC-SAn-SA-conjugate.

328

### 329 3.4 Drug release studies

330 The hydrolytic release of SA from newly fabricated CL-HPC-SAn-SA conjugate was  
331 investigated at simulated gastric and intestinal pH (Fig. 10a). All the measurements were carried  
332 out in triplicate and the mean values have been shown. SA release is significantly faster at pH  
333 7.4 than 1.2. The amounts of SA released in first 30 min were 1.01 and 2.12% at pH 1.2 and 7.4,  
334 respectively. CL-HPC-SAn-SA showed relatively much faster hydrolysis in simulated intestinal  
335 pH 7.4 (68%) as compared to pH 1.2 (10%) within 12 h. Therefore, it can be proposed that CL-  
336 HPC-SAn-SA conjugate can act as a prodrug of SA for sustained release. The  $\ln(q_e - q_t)$  vs. time  
337 plot (Fig. 10b) confirmed that drug release kinetics followed pseudo first order kinetics. The drug  
338 release from conjugate is time dependent and increases with passage of time.



339

340

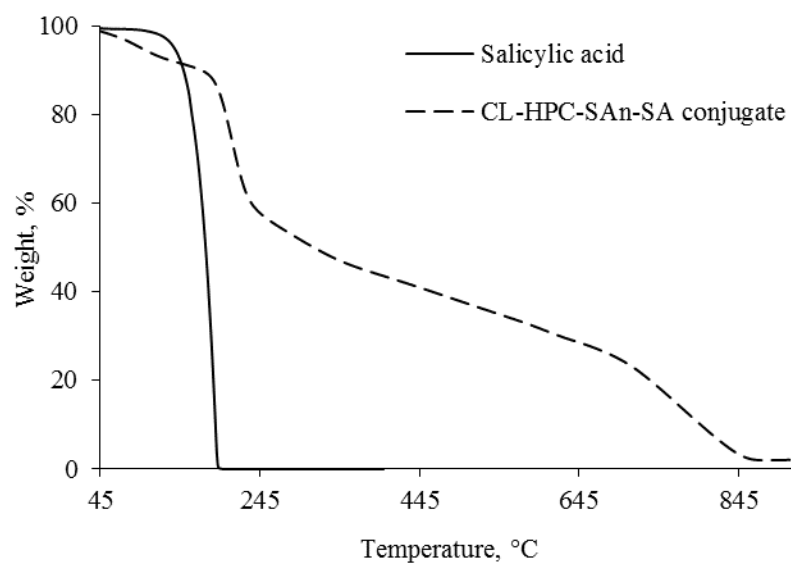
341 **Fig. 10** a) Drug release and b) pseudo first order release kinetics of SA from CL-HPC-SAn-  
342 SA conjugate.

343

### 344 3.5 Thermal analysis

345 Thermogravimetric analyses of SA and CL-HPC-SAn-SA conjugate were carried out in order to  
346 determine their thermal stability. Fig. 7 is showing comparative degradation pattern of SA and

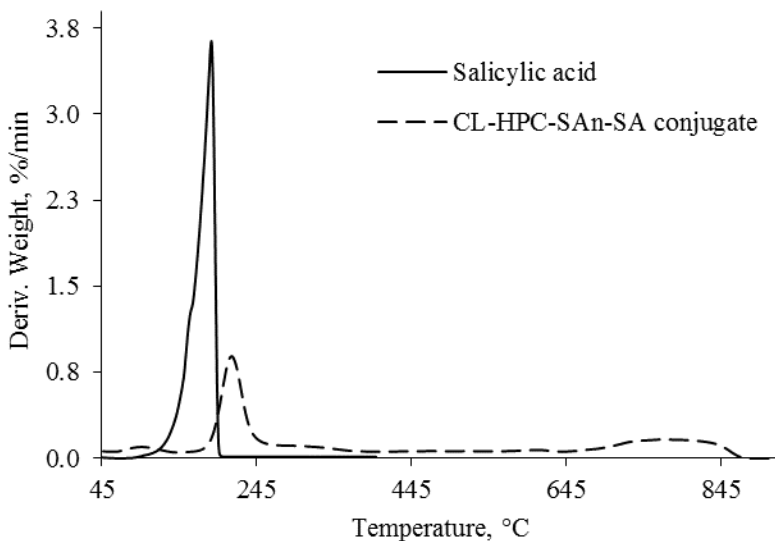
347 CL-HPC-SAn-SA conjugate. TG curve of CL-HPC-SAn-SA conjugate revealed two step  
348 degradation in contrast to SA which exhibited single step degradation. SA showed complete  
349 degradation from 125-199 °C (T<sub>di</sub> and T<sub>df</sub>, respectively). A 34% mass of CL-HPC-SAn-SA  
350 conjugate was lost in first degradation step whereas the remaining mass of the sample degraded  
351 slowly and completed at 856 °C. It is inferred from these results that drug (SA) got significant  
352 thermal stability after conjugate formation and cross-linking. Results of thermal analyses of SA  
353 and CL-HPC-SAn-SA conjugate are summarized in Table 1. The increased stability of SA in  
354 prodrug (CL-HPC-SAn-SA conjugate) has greater benefits because stable drugs may show  
355 improved pharmaceutical performance parameters, e.g., shelf-life.<sup>28</sup>



356

357 **Fig. 11** Overlay TG curves of SA and CL-HPC-SAn-SA conjugate.





358

359 **Fig. 12** Overlay DTG curves of SA and CL-HPC-SAn-SA conjugate.

360

361 **Table 1** Thermal decomposition temperatures and char yield values of salicylic acid (SA) and  
 362 CL-HPC-SAn-SA conjugate.

Sample	Steps	Tdi	Tdm	Tdf	Weight loss % at Tdf
		(°C)	(°C)	(°C)	
SA	I	125	188	199	99.91
CL-HPC-SAn-SA conjugate	I	180	212	261	33.83
	II	697	778	856	97.22

363

364 Different kinetic and thermodynamic parameters were calculated in order to assess the  
 365 stability, order of reaction  $n$  and  $E_a$ . The  $E_a$  values for first degradation step of CL-HPC-SAn-

366 SA conjugate were found in the range of 163.52-165.25 kJ/mol whereas for SA were found  
 367 96.77-109.54 kJ/mol as calculated by Friedman, Broido and Chang methods. Higher  $E_a$  values  
 368 of CL-HPC-SAn-SA conjugate indicated that extra thermal stability was imparted to SA after  
 369 conjugate formation and cross-linking. Degradation of SA and CL-HPC-SAn-SA conjugate  
 370 followed first order kinetics. All thermal kinetic and thermodynamic parameters of SA and CL-  
 371 HPC-SAn-SA conjugate are summarized in Table 2 for comparison. Higher values of  
 372 thermodynamic parameters, ITS and IPDT of the CL-HPC-SAn-SA conjugate as compared to  
 373 SA also indicated the thermal stability imparted to multiple cross-linked conjugate (prodrug) of  
 374 SA.

375

376 **Table 2** Thermal kinetic and thermodynamic parameters of salicylic acid (SA) and CL-HPC-  
 377 SAn-SA conjugate.

Sample	Method	Step	$R^2$	$n$	$E_a$ (kJ/mol)	$\ln Z$	$\Delta H$	$\Delta S$	$\Delta G$	ITS	IPDT (°C)
SA	Friedman		0.999	-	96.77	26.59	92.45	-38.75	110.32		
	Chang	I	0.999	1	99.67	27.82	95.83	-28.24	108.85	0.30	168
	Broido		0.999	-	109.54	28.85	105.73	-18.89	114.44		
SAn-SA conjugate	Friedman	I	0.997	-	165.25	41.36	161.12	87.27	118.87		
	Chang		0.998	1	164.47	41.88	160.43	91.56	116.01	0.33	421

Broido		0.999	-	163.52	40.43	159.48	79.45	120.94
Friedman		0.998	-	115.16	11.64	106.45	-182.12	297.88
Chang	II	0.997	1	112.13	11.34	103.39	-184.88	297.68
Broido		0.996	-	110.67	12.65	101.93	-174.05	284.89

378

379 **4 Conclusions**

380 The reagent  $ZrOCl_2 \cdot 8H_2O$  appeared highly valuable for macromolecular prodrug formation of  
381 SA and structurally related drugs with polysaccharidal hydroxyl groups via esterification. To  
382 impart the swelling characteristics in HPC-SAn-SA conjugate, cross-linking of the prodrug was  
383 achieved using oxalyl chloride. Thermally stable and cross-linked prodrug CL-HPC-SAn-SA is a  
384 novel material designed for the sustained drug release through its swelling at intestinal pH. The  
385 cross-linked prodrug of SA appeared advantageous as it showed remarkable swelling properties  
386 in water and at pH 6.8 and 7.4. However, negligible swelling was observed at pH 1.2 indicating  
387 that the cross-linked prodrug of SA is safe for stomach and will be colon targeted having  
388 additional benefit of sustained release. The cross-linked prodrug CL-HPC-SAn-SA also showed  
389 pH responsive swelling properties which further add to stomach safe and intestine targeted  
390 potential drug design. Present study can easily be modelled for carboxylic acid containing drugs  
391 with OH containing polymers and vice versa.

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397

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