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# A General Method for the Preparation of Thickness-Controllable Fluoro-Containing Organic Film as Solid Lubricant

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## Abstract

This research presents a general electrophoretic deposition (EPD) method, which offers selective preparation of various heteroatom-containing (*i.e.*, H, Cl, F, N, S) carboxylic acid molecular (CAM) films directly on silicon wafers, as long as the precursors are water-soluble carboxylic acids. Among them, the thus prepared fluoro-carboxylic acid (trifluoroacetic acid) molecular film with controllable thickness exhibits longer wear life than self-assembled monolayers (SAMs), along with very low friction coefficient and superior tolerance to humidity. It may be a promising candidate to replace SAMs as a more wear-resistant solid lubricant in micro/nano electromechanical systems (MEMS/NEMS).

**Keywords:** fluoro-carboxylic acid film; electrophoretic deposition; wear life; humidity resistance; solid lubricant

## 1. Introduction

Due to the fact that current parts of silicon-based micro/nano electromechanical systems (MEMS/NEMS) have poor wear resistance, putting one solid lubricant layer onto silicon surface become a good approach to solve this problem.<sup>1, 2</sup> In consideration of the micro/nano-scale size of MEMS/NEMS components, coating a lubrication layer on such small parts with many nano-scale edges and corners is much more difficult than any parts of traditional mechanical systems. Traditional methods such as magnetron sputtering techniques and plasma-enhanced chemical vapor deposition (PECVD) are hard to meet these microscopic lubrication demands.<sup>3</sup> Self-assembly method was considered to be a suitable solution to prepare ultra-thin lubrication layers for MEMS/NEMS, because of their monomolecular thickness and good coverage to nano-scale locations.<sup>4-7</sup> However, the SAM films are usually too thin (only several nanometers) to endure a long-time running for MEMS/NEMS moving parts.<sup>8-10</sup> Therefore, to prepare a thicker film with good coverage to nano-size parts becomes an imperative desire.

Electrophoretic deposition (EPD) techniques combine the merits of high controllability in thickness and excellent coverage to nano-scale edges and corners.<sup>11</sup> However, how to drive the organic molecules by electric field? As we know, negatively charged graphene oxide (GO) sheets owing to the ionization of the carboxyl groups can migrate toward the anode under an electric field,<sup>12</sup> consequently, the carboxylic acid molecules negatively charged by their own ionized anions in water should also move to anode. Along this way, we speculate that the ionizable organic acids in water such as trifluoroacetic acid (TFA) can be used as fluoro-containing precursor materials to fabricate low-stiction and thicker organic molecular films.

In this work, thickness-controllable fluoro-containing carboxylic acid molecular films have been conveniently prepared directly on silicon wafers by EPD techniques. The tribological tests exhibit that the thicker films have longer wear lives and ultra-low surface stictions, along with superior resistance to humidity. Importantly, we have also examined that other fluoro-monocarboxylic acids with longer carbon chains can also be employed to prepare advanced carboxylic acid molecular (CAM) films by the EPD techniques. For instance, perfluorocaprylic acid was chosen as a precursor, and the corresponding films indeed display very low friction coefficients and wear rates. As such, other precursors with side carbon chain (citric acid), chlorin-containing (trichloroacetic acid),<sup>13</sup> nitrogen-containing (ethylenediaminetetraacetic acid), sulfur-containing (2, 5-thiophenedicarboxylic acid), and aromatic benzene-containing (salicylic acid and pyromellitic acid) monocarboxylic or multicarboxylic acid molecules were also proved. These results further demonstrate that the EPD technique is a general method for preparing organic CAM films. Their unique features of selectable heteroatoms doping and carbon skeletons create a greater room for the regulation of tribological properties of organic films to meet increasing lubrication requirements in MEMS/NEMS.

## 2. Experimental

### 2.1 Materials and preparation of the films

Trifluoroacetic acid (TFA) was bought from Shanghai Sanyou Chemical Reagent Factory. N-type polished single-crystal silicon (100) wafers with resistivity of 0.01  $\Omega$  m were purchased from MCL Electronic Materials, Ltd. Ultrapure water ( $>18$  M $\Omega$  cm<sup>-1</sup>) was used throughout the experiments. Initially, all silicon wafers were cleaned in a piranha solution (a mixture of 98% H<sub>2</sub>SO<sub>4</sub> and 30% H<sub>2</sub>O<sub>2</sub> with the ratio of 7:3 (v/v)), and then washed with ultrapure water several times and blown dry with N<sub>2</sub>. In a deposition experiment, two symmetric silicon wafers as work electrodes

were immersed into a beaker containing 3.0 mmol/L TFA aqueous solution, with a constant distance of 5 mm, and then connected to a tunable DC power source. The corresponding films deposited at various voltages (20 V, 25 V, 30 V, 35 V and 40 V) on the anode for an hour were denoted as TFAF20, TFAF25, TFAF30, TFAF35 and TFAF40, respectively. All experiments were conducted at room temperature.

## 2.2 Characterization

The values of zeta potential and conductivity of TFA aqueous solutions were measured by Laser dynamic scattering tester (Nano ZS3600, Malvern, England). The morphologies of the films were observed by microscope (Olympus, Japan) and atomic force microscope (Veeco Nanoscope IIIa, USA), and the thicknesses were obtained by a L116-E ellipsometer (Gaertner, America), which is equipped with a He-Ne laser (632.8 nm) set at an incident angle of 50°. The surface roughness was also obtained by atomic force microscope (Veeco Nanoscope IIIa, USA). In addition, transmission electron microscopy (TEM, JEM-1200EX, JEOL, Japan) and X-ray photoelectron spectroscopy (XPS, ESCALAB 250Xi, Thermo Scientific) were employed to reveal the structure and component of the films. Contact angle measurements were conducted by a Kruss DSA100 contact angle measuring instrument (Germany). Surface energies of the films were calculated with the methods described in the supplementary information. The adhesion (stiction) was measured by AFM (AIST-NT, Smart-SPM, USA) using a tip with curvature radius of 10-25 nm and normal force of 1.33 nN. The elastic modulus of the films were measured by a nanoindenter (TI-950, Hysitron, USA) equipped with a Berkowich diamond tip which is a three-sided pyramid with tip angle of 142.3°. The stress was obtained by a stress distribution tester (BGS 6341, China).

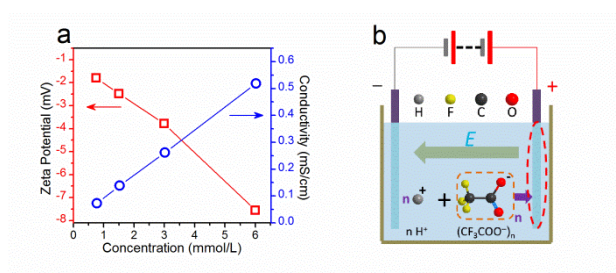
Tribological tests were performed on a rotary friction tester (MS-T3000, China) at room

temperature in ambient air. The tested sample was fixed on a rotary sample stage with a rotating rate of 32 rpm corresponding to a sliding velocity of 0.01 m/s under a normal load of 20 mN using a steel ball ( $\varphi = 3$  mm) as the stationary upper counterpart. But for the wear life experiment, the load was increased to 200 mN. To compare friction behavior, we also investigated bare silicon wafer under the same conditions. The resulting worn tracks were measured by using a dual-mode surface three-dimensional profiler (AEP, USA). The wear volume was calculated as  $V = AL$ , where  $A$  ( $\text{mm}^2$ ) is the cross-sectional area of worn scar and  $L$  (mm) is the length of the worn scar. The specific wear rate,  $W = V/SF$ , is determined as a function of the wear volume  $V$  ( $\text{mm}^3$ ) divided by the sliding distance  $S$  (m) and the applied load  $F$  (N). All tests were performed at least three times to ensure data repeatability.

### 3. Results and discussion

#### 3.1 Characterization of the films

Ionization is a common gender of organic acid in aqueous solution. Trifluoroacetic acid (TFA) is a strong monocarboxylic acid that can be easily ionized in aqueous solution. Figure 1a shows that the zeta potential values of TFA solutions are all negative over the entire concentration range, and the corresponding conductivities increase with concentration. It confirms that the TFA molecules are negatively charged in aqueous solution, and can migrate to the anode under a uniform electrical field. According to this principle, the detailed electrophoretic deposition system was designed in Figure 1b. Two symmetric silicon wafers with a constant distance of 5 mm were immersed into a beaker containing 3.0 mmol/L TFA aqueous solution. When they are connected to a DC power source, a series of TFA films (TFAF20, TFAF25, TFAF30, TFAF35 and TFAF40) deposited at different voltages (20 V, 25 V, 30 V, 35 V and 40 V) can be fabricated on the silicon substrates of the anode.

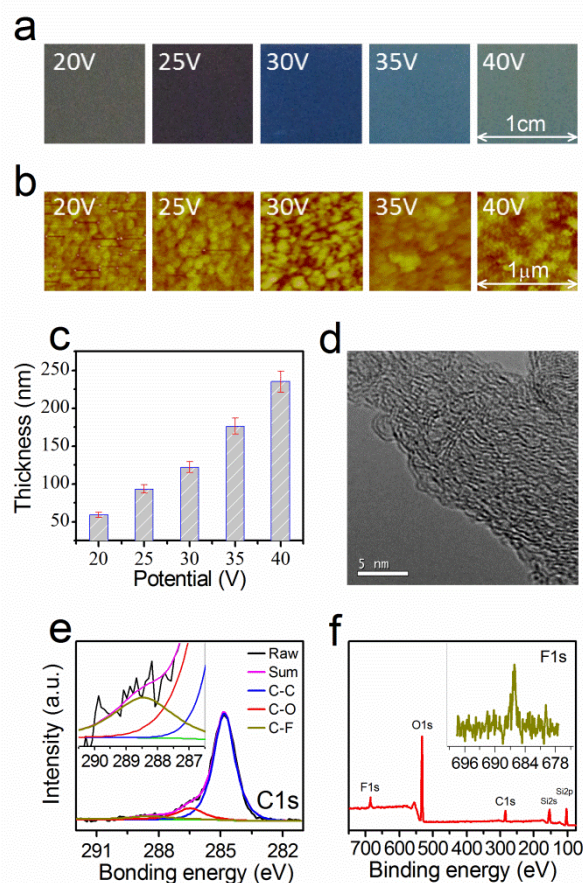


**Figure 1.** (a) Zeta potential and conductivity of TFA solutions at various concentrations. (b) Schematic of electrophoretic deposition system for TFA films.

As Figure 2a shows, the as-prepared films look homogeneous and smooth, and the color varies with increasing voltage (20–40 V). The corresponding AFM images (Figure 2b) exhibit that these films are composed of uniform nanoparticles, and the size increases with the applied voltage. The changes in color and size of nanoparticles imply the variations in film thickness, which was confirmed by the measurements of thickness. The thickness of TFAF20, TFAF25, TFAF30, TFAF35 and TFAF40 is 59.0 nm, 93.0 nm, 122.0 nm, 175.9 nm and 234.8 nm, respectively, as shown in Figure 2c, which nearly increases linearly with voltage (20–40 V). These results demonstrate that thickness-controllable TFA films with homogeneous surface can be easily obtained by electrophoresis techniques. To further reveal the microstructure of the films, TEM was conducted for TFAF30, and the corresponding image (Figure 2d) shows that TFAF30 is composed of amorphous aggregations with unorderly nano-chains, which may be caused by the electropolymerization of organic acid molecules. In the high-resolution C1s spectrum of TFAF30 (Figure 2e), two peaks at  $\sim 284.6$  eV and  $\sim 288.2$  eV correspond to C–C bonds with  $sp^2$  configuration and C–F bonds (see the enlarged image in Figure 2e), respectively.<sup>14</sup> A typical full spectrum of the film deposited at 30 V was shown in Figure 2f, indicating that the film is mainly composed of C, F and O elements (Si signals come from silicon substrate). The inset image in Figure 2f is the corresponding F1s spectrum, the peak at  $\sim 686.2$  eV demonstrates that the fluorine in the film originates from the F–C bonds rather



than fluoride ion,<sup>15, 16</sup> and the ratio of F/C is  $\sim 2.7\%$ . The above XPS spectra suggest that the as-prepared films are indeed fluoro-containing molecular films. In addition, the full spectra of the other four films were given in Figure S3, showing that they all have the same composition.



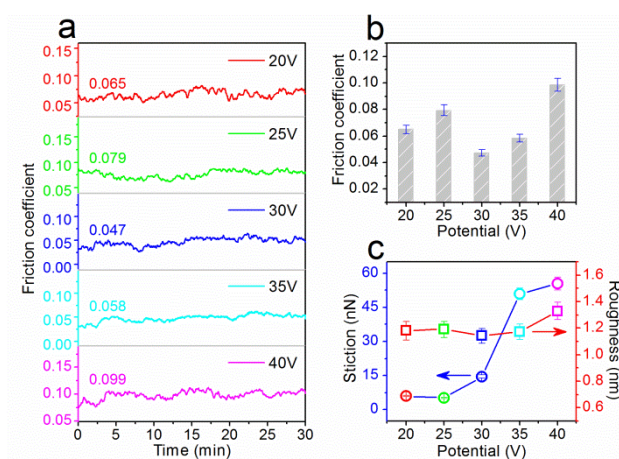
**Figure 2.** (a) Optical and (b) AFM images of the films deposited at different voltages (20-40 V). (c) Thicknesses of the films as a function of voltages. (d) TEM image of the film deposited at 30 V. (e) C1s (inset is an enlarged image of the C-F bond) and (f) XPS full spectrum of the film deposited at 30 V (inset is the corresponding F1s spectrum).

### 3.2 Tribological study of the films

The tribological properties of the films were investigated by a rotary friction tester, as shown in Figure 3a. With the increase of voltage, the friction coefficients increase firstly and then decrease. The trend in variation of friction coefficient is summarized in Figure 3b. It can be seen that the film deposited at 30 V (TFAF30) has the lowest friction coefficient (0.047), which is only about 1/13 of

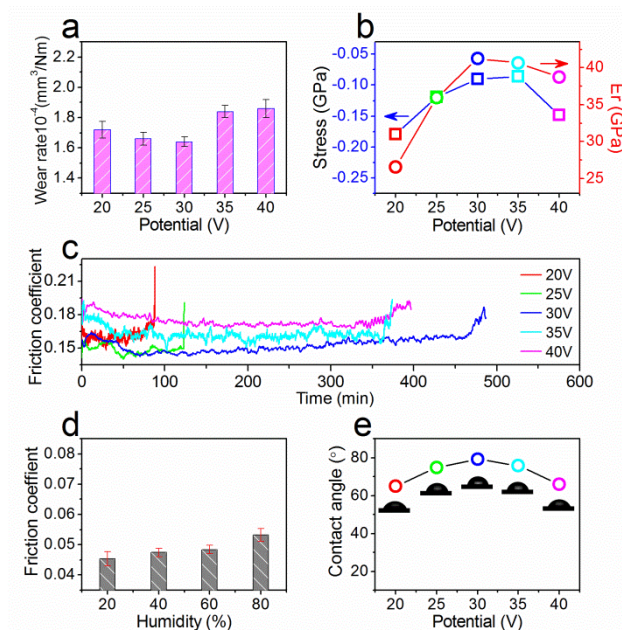


bare Si wafer (0.62) (Figure S1a). Even compared to low-friction SAMs which are self-assembled with long carbon-chain perfluoroalkylsilane molecules,<sup>17</sup> the friction coefficients of TFA films are one magnitude less than them (0.12, when normal load was 5–20 mN). In this regard, the optimal deposition voltage is 30 V. This may be due to the unique surface properties (stiction, roughness, and contact angle) of TFAF30 (Figure 3c and Figure 4e). Compared with TFAF35 and TFAF40, the films deposited at lower voltages (20–30 V) have low surface stiction (Figure 3c). For instance, TFAF25 has the lowest adhesive force ( $\sim 5.3$  nN). Even for TFAF30 ( $\sim 14.6$  nN), the stiction is also lower than the perfluorodecyltrichlorosilane or perfluoroalkylphosphonate SAMs.<sup>18, 19</sup> However, the roughness shown in Figure 3c seems almost constant within errors when the voltage changes between 20 V and 40 V. So, the introduction of fluorine greatly reduces surface stiction (also proved by the results of contact angle in Figure 4e), which may be also an important factor for the reduction of friction coefficient of TFAF30.



**Figure 3.** (a) Friction coefficient vs. time curves of the films deposited at different voltages (20–40 V). Conditions for friction experiments: load = 20 mN, sliding velocity = 0.01 m/s. (b) Friction coefficient of the films as a function of voltages. (c) Stiction and roughness of the films as a function of voltages, respectively.

Wear rate variations of the electrophoresis-deposited TFA films illustrate that their anti-wear performances vary with voltage (Figure 4a). Among them, TFAF30 has the lowest wear rate ( $1.64 \times 10^{-4} \text{ mm}^3/\text{Nm}$ ), which is only about 1/7 of bare Si wafer ( $1.15 \times 10^{-3} \text{ mm}^3/\text{Nm}$ ) (Figure S1b). By analysis of mechanical properties of the TFA films (Figure 4b), we find that TFAF30 shows the smallest internal stresses (-0.09 GPa) and the highest Young's modulus (41.3 GPa), which may be directly responsible to its superior wear-resistant ability. To illustrate the wear lives of the films, the load was increased tenfold (from 20 mN to 200 mN), and the corresponding friction curves are shown in Figure 4c. Compared with other films, TFAF30 shows the longest life span (up to 466 minutes), which is about 5 times longer than TFAF20 (89 minutes). Additionally, the thicker films such as TFAF35 and TFAF40 also exhibit longer life spans, but they have higher friction coefficients (0.161 and 0.174) when compared with TFAF30 (0.146), which may be attributed to their deteriorative mechanical properties caused by applied higher voltages. This further suggests that the electrophoresis-deposited TFA films with suitable thickness have better anti-wear ability. Films too thin or thick all accumulate internal stresses and reduce the elastic moduli, and ultimately deteriorate the anti-wear performances.



**Figure 4.** (a) Wear rate, (b) internal stress and elastic modulus, and (c) wear life of the films deposited at different voltages (20-40 V). (d) Friction coefficient of the film deposited at 30 V as a function of humidity. (e) Contact angle of the films deposited at different voltages (20-40 V).

On the other hand, for fine MEMS/NEMS parts, humidity is a crucial inducement to increase surface adhesion between micro/nano-scale moving parts.<sup>20</sup> So the influences of humidity on lubrication performance for TFAF30 were studied, as shown in Figure 4d. The friction coefficient of TFAF30 fluctuates in a tiny range (0.045-0.053) when the humidity ranges from 20% to 80%, indicating that TFA films exhibit strong resistance to humidity. This demonstrates that the fluoro-containing molecular films possess superior anti-humidity ability, which is in good agreement with the measurements of contact angle (Figure 4e). Compared with others, TFAF30 shows the largest contact angle, which may arise from its smallest surface energy (Table S1). This may be also responsible for its outstanding anti-humidity performance. Taken together, using the electrophoresis method, thicker fluoro-containing organic film with lower surface stiction and robust mechanical property can be easily obtained, which also exhibits lower friction and wear, and outstanding ability to resist humidity.

Since the excellent TFA films were prepared with the precursor of trifluoroacetic acid via a simple EPD approach, we speculate that other water-soluble fluoro-monocarboxylic acids may also be suitable to prepare advanced fluoro-CAM films using the same method. To verify the hypothesis, perfluorocaprylic acid was chosen as a precursor, and the corresponding films were successfully synthesized and denoted as PFAF. The friction curves of the PFAF films are showed in Figure S2. As expected, the PFAF films also display lower friction coefficients and wear rates along with higher friction and wear stability. Likewise, other carboxylic acid precursors with different substitution elements and carbon skeletons, including citric acid, trichloroacetic acid, ethylenediaminetetraacetic acid, 2, 5-thiophenedicarboxylic acid, salicylic acid, and pyromellitic acid, were all confirmed. These results further demonstrate that the EPD technique is a general method for the preparation of organic CAM films.

#### 4. Conclusions

In summary, we have demonstrated that different element-containing (*i.e.*, H, Cl, F, N, S) carboxylic acid molecular films can be fabricated by a general EPD method. Notably, this EPD process is robust, allowing us to obtain thicker organic molecular films by increasing applied voltage. But too high voltages will increase the surface roughness of the TFA films and result in the rise of friction coefficient. The optimized TFA film (TFAF30) exhibits very low friction coefficient and wear rate, which are both far smaller than that of silicon wafers. More importantly, TFAF30 also displays the longest life span and superior tolerance to large humidity changes. By the analysis of their surface properties, compositions, and mechanical properties, we find that the low wear rate derives from its tiny internal stress, great elastic modulus; and the introduction of fluorine is responsible for its excellent anti-humidity ability. In short, the fluoro-containing molecular films

prepared by general EPD techniques not only possess larger thicknesses and longer wear lives than SAMs, but also have superior anti-humidity ability. These outstanding features enable fluoro-CAM films prepared by EPD to serve as attractive candidates for practical MEMS/NEMS.

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## Notes and references

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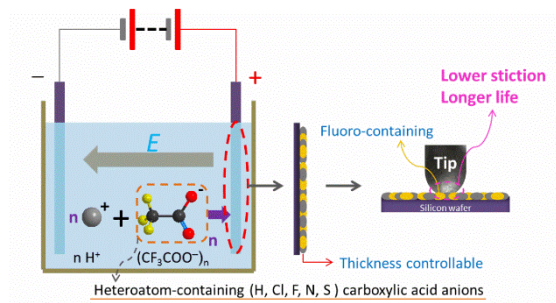
†Electronic Supplementary Information (ESI) available: Friction coefficient vs. time curves and 2D profile plots of wear tracks for bare silicon wafer and perfluorocaprylic acid films deposited at various voltages (20-30 V), respectively; the full spectra of the films deposited at 20V, 25V, 35V and 40V, respectively; the calculation method and values of surface energy for the films deposited at various voltages (20-40 V), respectively. See DOI: 10.1039/b0000000x/

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## TOC



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