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1 **Efficient Au⁰/C catalyst synthesized by a new method for acetylene**
2 **hydrochlorination reaction**

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7 **ABSTRACT**

8 A new impregnation method involving mixture solvents and vacuum drying process
9 was used to prepare gold catalyst (MIV-1Au/C1) for acetylene hydrochlorination
10 reaction, which brought about twice higher catalytic activity than the traditional
11 method (PI-1Au/C1). The method was green, mild and simple. Besides, it appeared to
12 be controllable by solvents and temperatures. Excellent Au dispersion in MIV-1Au/C1
13 was revealed by transmission electron microscopy (TEM). Additionally, X-ray
14 photoelectron spectroscopy (XPS) profiles proved that Au⁰ was the only active species
15 of MIV-1Au/C1 at the initial/highest point of the testing. Further XPS results showed
16 that Au⁰ could be oxidized to Au³⁺ during the reaction along with the deactivation of
17 MIV-1Au/C1. Thus, Au⁰ appeared to be preferred for the catalysis route. Our findings
18 demonstrated the potentiality of the new method for catalysts investigation in
19 acetylene hydrochlorination reaction. Moreover, this work highlighted the importance
20 of Au⁰ in this field.

21 *Key words:* Gold catalysts; Synthesis method; Dispersion of gold; Acetylene
22 hydrochlorination;

23 _____
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25 1 Introduction

26 Since 1980s, gold has become one of the most popular investigation topics in
27 catalysis¹⁻⁷. As one of the two typical catalytic systems unveiling the mystery of gold
28 catalysis firstly^{1,2}, acetylene hydrochlorination reaction ($C_2H_2+HCl\rightarrow C_2H_3Cl$),
29 which accounts for nearly 40% of the global polyvinyl chloride (PVC) production
30 ability, has drawn more and more attention in recent years. Along with the extensive
31 environmental concerns, gold catalysts, especially $AuCl_3$, tend to be the most
32 promising substitutes of commercial mercury-based catalysts for acetylene
33 hydrochlorination reaction⁸⁻¹⁶.

34 Although nearly all of the past investigations in this field focused on Au^{3+} , the
35 activity of Au^0 should not be completely ignored. For example, Nkosi and Hutchings
36¹⁷ have prepared Au^0/C catalysts by thermal decomposition of immobilized $AuCN$ in
37 activated carbon. Performance tests showed that the resulting activity was competitive
38 with Au^{3+} catalyst whilst being more stable. Besides, Wittanadecha and coworkers¹⁴,
39 using microwave assisted impregnation method, prepared Au^0/C catalysts that were
40 quite active for this reaction. Regretfully, the synthesis procedures proposed by Nkosi
41 and Hutchings was hazardous and expensive since toxic $AuCN$ was used as precursor.
42 Therefore, their Au^0 featuring catalyst failed to draw broad attentions. Besides, the
43 method proposed by Wittanadecha still required the hazardous aqua regia as solvent to
44 improve the distribution of Au during the impregnation step. In spite of the
45 complexity in operation, aqua regia will inevitably influence the surface properties of
46 carbon materials^{18,19}, which are entangled with the final catalytic performance²⁰⁻²².
47 According to the investigations of Conte and Hutchings¹², such situation was
48 unfavored because it complicated further in-depth investigations on active sites. By

49 these shortcomings, Wittanadecha's method was not appropriate for investigations of
50 Au⁰. Thereafter, a simpler and effective method to synthesize Au⁰ based catalysts for
51 acetylene hydrochlorination is imperative.

52 As is known to all, the catalytic efficiency of Au⁰ catalysts is closely related with
53 the dispersion degree of Au nanoparticles (Au NPs). In addition, traditional
54 pore-volume impregnation (PI) method is extensively used to prepare gold catalysts
55 for acetylene hydrochlorination reaction. However, without the help of aqua regia, the
56 PI method is inefficient in gaining small AuNPs⁶. To solve this contradiction, an
57 impregnation method using green mixture solvents, as well as vacuum drying process
58 is introduced to synthesize Au⁰/C catalyst for acetylene hydrochlorination. This
59 technique, referred to as MIV ("M" for mixture solvents; "I" for impregnation; "V"
60 for vacuum drying) process, has been used by our group to synthesize composite
61 support²³. To the best of our knowledge, it has not been used to prepare gold
62 catalysts for acetylene hydrochlorination. We adopt this method because it is simpler
63 than other methods designed to prepare Au⁰ catalysts, such as the coprecipitation and
64 sol gel method. Moreover, our method is much greener since it involved only green
65 agents and mild drying conditions. Experiments testify that the MIV method is much
66 more efficient than the PI method.

67 **2 Experimental**

68 2.1 Catalyst preparation

69 Impurities at carbon surface were removed by acid washing according to our
70 previous report on this field²³. Morphology and textural properties of the pretreated
71 carbon (C1) were given in Fig. S1 and Table. S1 in the supporting information (SI).

72 Nominal content of Au was 1.0 wt% in the catalysts of this article, while inductively
73 coupled plasma atomic emission spectroscopy (ICP-AES) determined Au content was
74 used for detailed discussions.

75 Our MIV process involved addition of ethanol to $\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$ aqueous solution,
76 impregnation and subsequent vacuum drying steps (Scheme 1). Since we found that
77 the strong reducing ability of activated carbon^{24,25} could help realize the fully
78 reduction of HAuCl_4 , no reducing agent was needed during the MIV process. The
79 MIV method was as follows: $\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$ was dissolved in mixture of water and
80 ethanol, wherein the solution volume was 3.2 times of the total pore volume of C1. In
81 addition, the volume of ethanol was 2.25 times of the volume of water. The
82 $\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$ solution was then added dropwise to the acid-washed carbon under
83 shaking. Resulting wet sample was then dried under 60°C for 6 h in a vacuum of -
84 95 kPa to vaporize the solvents. Finally, the product was dried in air under 140°C for
85 12 h to get rid of trace moisture in carbon before being collected for use. The final
86 catalyst was denoted as MIV-1Au/C1 for distinction.

87 For comparison, gold catalyst prepared according with the pore-volume
88 impregnation (PI) technique was denoted as PI-1Au/C1. Contrasting to other reports,
89 we used H_2O to replace aqua regia to solve $\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$. In this case, Au^0 could be
90 got by the reducing ability of carbon surface. Proper amount of HAuCl_4 aqueous
91 solution (1.2 times of the pore volume of carbon) was added dropwise to the activated
92 carbon support under shaking. After that, the wet product was kept at room
93 temperature for 2 hours to gain a better impregnation. The sample was then dried at
94 140°C for 12 h before being collected for use.

95 ICP-AES revealed that the Au content in PI-1Au/C1 and MIV-1Au/C1 was 0.983

96 and 0.924 wt% respectively.

97 2.2 Catalyst testing

98 The hydrochlorination of acetylene was carried out in a fixed bed laboratory
99 microreactor. Catalysts were tested using a stainless steel reactor tube (inner diameter
100 of 8 mm). 0.5 g of the synthesized catalyst was sandwiched between glass pearls
101 inside the reactor for each run. N₂ was used as purging gas and the reactor was
102 operated at atmospheric pressure at 180°C, in down-flow mode. After being heated to
103 180°C, hydrogen chloride was regulated by mass flow controllers and fed into the
104 reactor alone for 10 min to remove the N₂ adsorbed in the catalysts. After that,
105 acetylene was introduced into concentrated sulfuric acid to remove trace poisonous
106 impurities such as acetone, moisture, S, P and As, and subsequently fed into the
107 reactor to start the reaction. Pressure of the reactants, namely HCl and C₂H₂, was
108 chosen for safety and in accordance with industrial condition.

109 A total GHSV (gas hourly space velocity) of 9800 h⁻¹, which gave a total MHSV
110 (mass hourly space velocity) of 27.7 h⁻¹, was chosen for catalyst testing. Conversion
111 of acetylene was not too high in this situation, thus all results obtained were in kinetic
112 regime ²⁶. A reactor loaded with 0.5 g bare activated carbon presented only slight
113 reactivity for hydrochlorination of acetylene (< 0.5% conversion of acetylene). The
114 gas product was passed through a vessel filled with 15% sodium hydroxide solution
115 and a drying tube in sequence to remove the remaining hydrogen chloride and
116 moisture. The composition of the effluent was determined immediately using a gas
117 chromatography equipped with a flame ionization detector. Catalytic performance was
118 evaluated by conversion of acetylene (X_A), selectivity of VCM (S_{VCM}) and the

119 deactivation rate (DR):

$$120 \quad X_A = \phi_{VCM} \times 100\% \quad (\text{Eqn.1})$$

$$121 \quad S_{VCM} = \phi_{VCM} / (1 - \phi_A) \times 100\% \quad (\text{Eqn.2})$$

$$122 \quad DR = - (X_A^L - X_A^H) / t \times 100\% \quad (\text{Eqn.3})$$

123 Where ϕ_A and ϕ_{VCM} are the volume fraction of remaining acetylene and VCM in
124 gas product separately, X_A^H and X_A^L refer to the highest and the last X_A obtained
125 respectively during the experiment. Time span from X_A^H and X_A^L is denoted as t , in
126 units of hours.

127 The specific rate of a catalyst was calculated based on the ICP-AES determined
128 mass loading of Au in a catalyst²:

$$129 \quad \text{specific rate} = \frac{\text{mol of acetylene converted}}{\text{mass of gold used (g)} \times \text{time (h)}} \quad (\text{Eqn.4})$$

130 2.3 Catalyst characterization

131 The X-ray photoelectron spectroscopy (XPS) analysis were performed by an
132 ESCALAB 250 Xi XPS system of Thermo Fisher Scientific, England and excited by
133 monochromatic Al $K\alpha$ radiation (1486.6 eV). All binding energies were calibrated
134 using the C(1s) carbon peak (284.6 eV).

135 X-ray powder diffraction spectra (XRD) were acquired with a Philips PW3050/60
136 vertical goniometer using Ni-filtered Cu $K\alpha 1$ radiation ($\lambda = 1.5406 \text{ \AA}$). A proportional
137 counter and a 0.02° step size in the 2θ range from 5 to 80° . The assignment of the
138 various crystalline phases is based on the JPDS powder diffraction file cards.

139 The size of the AuNPs was determined by transmission electron microscopy (TEM).
140 JEM 2100F field emission transmission electron microscope (JEOL) working at 200

141 kV was used to acquire the images.

142 The actual Au contents in the catalysts were determined by the ICP-AES technique
143 using ICAP 6300 (Thermo, MA). The sample was dried at 140°C for 12 h before
144 accurate weighing and digestion process.

145 **3 Results and discussion**

146 3.1 Catalytic performance

147 Fig. 1 shows the catalytic performance of PI-1Au/C1 and MIV-1Au/C1. Based on
148 the ICP-AES results and Fig. 1(a), calculated specific rate of PI-1Au/C1 (Au 0.983
149 wt%) was 15.3 mol g⁻¹ h⁻¹. For MIV-1Au/C1 (Au 0.924 wt%), a specific rate up to
150 29.7 mol g⁻¹ h⁻¹ was reached. Thus, activity of MIV-1Au/C1 was about twice as high
151 as PI-1Au/C1. It should be noted that the mild conditions involved in MIV process
152 had negligible influence on carbon properties crucial to catalytic performance¹².
153 Therefore, further investigations on active sites will be more convenient.

154 The selectivity of MIV-1Au/C1 and PI-1Au/C1 were both extremely high (Fig. 1
155 (b)), cohering with the common results of gold catalysts reported by other researchers
156^{8,15}. PI-1Au/C1 showed an induction period as long as 3 h. By contrast, MIV-1Au/C1
157 reached its highest efficiency immediately after the reaction being switched on. Such
158 situation implied that MIV-1Au/C1 had adequate active sites at its surface after
159 preparation, whilst PI-1Au/C1 required activation to gain the best performance. From
160 this viewpoint, MIV-1Au/C1 was more suitable than PI-1Au/C1 for further study on
161 active sites.

162 Further experimental results showed that the performance of MIV process was
163 related with the composition of the solvent and the vacuum-drying temperature. As

164 shown in Fig. 2(a), if we change the composition of the impregnating solvent
165 (ethanol/water) by replacing ethanol with water, or acetic acid, or methanol (keep the
166 solution volume at 3.2 times of the total pore volume of C1), the resulting specific
167 rates varied from 12.5 to 21.4 mol g⁻¹ h⁻¹, lower than the case when ethanol was used
168 (MIV-1Au/C1). Additionally, lower specific rates of 16.9 and 23.3 mol g⁻¹ h⁻¹ were
169 gained if the impregnated samples, using ethanol and water as impregnating solvent,
170 were vacuum-dried at 25 and 40°C respectively instead of 60°C (Fig. 2(b)).

171 These findings implied that the option of solvent and drying temperature was
172 crucial for MIV process, probably because solvent could influence the transportation
173 of Au species in the support while drying temperature determined the immobilization
174 of those Au. That is to say, both solvent and vacuum drying temperature had impact
175 on the crystallization process of Au species, which would modify the distribution of
176 Au in different samples. Thus, according to Fig. 2, might it be the case that MIV
177 process is controllable by adjusting the composition of solvents and the vacuum
178 drying temperature. According to current data, the mixture of ethanol and water as
179 well as 60°C vacuum-drying seemed proper. Additional parameters, namely the
180 volume of the solvent and the vacuum degree, still need further investigations. This
181 will be done in our further work.

182 3.2 State of gold in the fresh catalysts

183 Fig. 3 shows the XPS analysis of PI-1Au/C1 and MIV-1Au/C1. It is immediately
184 evident that both of PI-1Au/C1 and MIV-1Au/C1 have no Au³⁺ species^{9,12} at their
185 surfaces. As was stated, the emergence of the great amount of Au⁰ was associated with
186 the high reducing ability of activated carbon^{24,25}. It seemed that without strong
187 oxidants such as aqua regia, Au³⁺ was unstable at the reducible surface of activated

188 carbon. Such phenomenon cohered with the literatures. Usually, only small amount of
189 Au^{3+} could be acquired even if aqua regia was used as the impregnating solvent^{8,12,27}.
190 The higher XPS intensity of MIV-1Au/C1 implied that a higher dispersion degree was
191 obtained in it, since XPS is a surface technique and the signal strength is a function of
192 the surface to bulk atoms ratio⁹.

193 Crystal form of Au was then determined by XRD technique. XRD spectra of C1,
194 PI-1Au/C1 and MIV-1Au/C1 catalysts are showed in Fig. 4. The broad peaks in the
195 range of $2\theta = 40\sim 48^\circ$ typical of carbon materials are observed in the XRD pattern of
196 C1, implying its amorphous framework. Besides, weak signals at 2θ of 36.5, 50.1, 60
197 and 68.1° are displayed and assigned to (110), (112), (211) and (203) facets of
198 α -quartz (JCPDS PDF-46-1045). For PI-1Au/C1 and MIV-1Au/C1, very strong peaks
199 at 2θ of 38, 44.3, 64.5, and 77.5° were attributed to the (111), (200), (220) and (311)
200 diffractions of face-centered cubic (FCC) metallic gold (JCPDS PDF-04-0784). The
201 presence of Au^0 peaks cohering with the XPS results.

202 To determine the dispersion degree of AuNPs in both catalysts, TEM was applied.
203 Representative TEM images of PI-1Au/C1 and MIV-1Au/C1 are displayed in Fig. 5.
204 Plenty of small and isolated AuNPs could be discerned in both samples while some
205 apparent differences could be easily identified. Following PI method, the resulting
206 AuNPs diversified in shape (Fig. 5(a)). This was in line with previously reported Au/C
207 catalysts that synthesized according to impregnation method^{8,15}. With more than 60%
208 AuNPs in the size range of 8~12 nm, the mean particle size in PI-1Au/C1 was
209 determined to be 10.6 nm. In stark contrast to PI-1Au/C1, MIV-1Au/C1 showed the
210 most peculiar characteristic of spherical AuNPs (Fig. 5(b)), which might be triggered
211 by the interfacial tension between water and ethanol and their difference in

212 evaporability. Additionally, The mean particle size in MIV-1Au/C1 was determined to
213 be 5.2 nm, much smaller than that in PI-1Au/C1. It should be noted that very small
214 AuNPs with diameters of 2 ~ 6 nm accounted for about 60% of the number of AuNPs
215 in this sample, implying an excellent dispersion of gold. This could be attributed to
216 the rapid evaporation of ethanol under the heated vacuum circumstance, which may
217 cause fast nucleation of gold species rather than growth of the crystallites. Such
218 achievement clearly confirmed that MIV method was effective in synthesis small
219 AuNPs. The resulting AuNPs were preferred for high activity since spherical and
220 smaller AuNPs could provide more surficial atoms and defects for the catalysis
221 process ²⁸.

222 The most inactive sample in each experimental set of Fig. 2, referred to as
223 H₂O-MIV-1Au/C1 and MIV-25-1Au/C1, were also characterized to illuminate the
224 influence of solvent and vacuum-drying temperature. XPS results (Fig. S2) testified
225 100% Au⁰ in both samples while the signal strength was much smaller than
226 MIV-1Au/C1, implying lower surface/bulk Au ratio in them. TEM further confirmed
227 the XPS results since only big clusters of AuNPs were discerned in both of the two
228 catalysts (Fig. S3), which led to poor gold dispersion. This firmly testified that solvent
229 and vacuum-drying temperature could greatly influence the crystallization process of
230 gold species during the MIV process.

231 3.3 Evolution of Au⁰ during the reaction

232 Although only Au⁰ could be detected in the fresh catalysts, Nkosi ¹⁷ and
233 Wittanadecha ¹⁴ have mentioned that HCl could oxidize Au⁰ to Au³⁺ through
234 coordination with surficial gold atoms. In this viewpoint, active site of the catalysts

235 should be discussed in a more rigorous way. It is necessary to monitor the evolution of
236 Au species during the experimental processes to distinguish the contributions of
237 different Au species to catalytic activity. MIV-1Au/C1 was chosen for such analysis
238 because it was much more active and did not need any activation. The latter feature
239 meant that no transformation of active sites was required to reach the highest catalytic
240 efficiency.

241 In our experiments, oxidation of Au⁰ might take place during the HCl purging
242 process before the reaction being switched on (10 min, subsection 2.2). Additionally,
243 Au⁰ might be oxidized during the reaction.

244 To clarify the initial Au³⁺ content at the starting point of the performance testing,
245 the XPS spectrum of MIV-1Au/C1 after HCl purging was firstly taken. No signal of
246 Au³⁺ exceeded the noise of the equipment was viewed (SI, Fig. S4). Furthermore,
247 after 15 min of acetylene hydrochlorination reaction, no obvious Au³⁺ emerged (SI,
248 Fig. S5). Thus, no apparent transformation of the active sites happened during these
249 short periods. Accordingly, the initial/highest activity of MIV-1Au/C1 was totally
250 attributed to Au⁰.

251 By contrast, as the testing time was lengthened, Au³⁺ content markedly increased at
252 the surface of MIV-1Au/C1, as shown in Fig. 6. This clearly confirmed the oxidation
253 of Au⁰ by HCl. However, the increase in Au³⁺ content was much more significant than
254 the decrease in activity (Fig. 6(b) and Fig. S6). That is, the two quantities are not
255 proportional to each other, suggesting that Au⁰ was the main active species.

256 **4 Conclusions**

257 An impregnation method involved mixture solvents and vacuum-drying process,
258 referred to as MIV method, was introduced to prepare gold catalysts (MIV-1Au/C1)

259 for acetylene hydrochlorination reaction. With a specific rate up to $29.7 \text{ mol g}^{-1} \text{ h}^{-1}$,
260 MIV-1Au/C1 appeared to be twice as active as the catalyst prepared by traditional PI
261 method (PI-1Au/C1), testifying that the MIV method was applicable in this subject.
262 The MIV method involved only green solvents and mild conditions; hence, it had
263 negligible influence on the surface properties of the support. Such situation will
264 simplify further investigations on gold active sites. Besides, it was demonstrated that
265 solvent and vacuum-drying temperature were both key parameters in determining the
266 final achievement of MIV process, which made the MIV method adjustable.

267 Only Au^0 was presented at the surface of the resulting MIV-1Au/C1 catalyst, which
268 was in the FCC form. TEM observations revealed a mean AuNPs size of 5.2 nm in
269 MIV-1Au/C1, which was much smaller than that in PI-1Au/C1. Thus, gold dispersion
270 in MIV-1Au/C1 was much higher. It was proved that Au^0 was the only active species
271 that enabled the initial/highest activity of MIV-1Au/C1. Further analysis testified that
272 Au^0 could be oxidized to Au^{3+} during the reaction. However, the increase in Au^{3+}
273 content was much more significant than the decrease in activity. Such
274 non-proportional relationship suggested that Au^0 was the main active species in our
275 catalyst.

276

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282 **Appendix A. Supplementary material**

283 Supplementary data associated with this article can be found, in the online version, at
284 xxxx.

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Figure captions:

Scheme 1 Schematic representation of MIV process.

Fig. 1 Catalytic performance of PI-1Au/C1 and MIV-1Au/C1: (a) Conversion of acetylene and (b) Selectivity of VCM. Reaction Conditions: C₂H₂ flow rate = 77 mL/min, HCl/C₂H₂ = 1.13, Temp = 180°C, GHSV = 9800 /h.

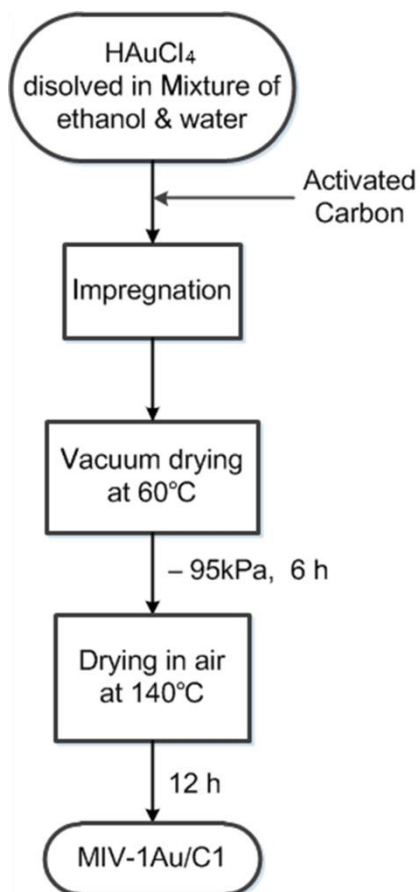
Fig. 2 (a) Influence of solvents on the final catalytic activity following MIV method; (b) Influence of vacuum-drying temperature on catalytic activity when water and ethanol was used as solvents. Reaction Conditions: C₂H₂ flow rate = 77 mL/min, HCl/C₂H₂ = 1.13, Temp = 180°C, GHSV = 9800 /h.

Fig. 3 XPS profiles of fresh PI-1Au/C1 and MIV-1Au/C1 catalysts.

Fig. 4 XRD patterns of bared activated carbon (C1), PI-1Au/C1 and MIV-1Au/C1.

Fig. 5 TEM images and histograms showing the distribution of AuNPs (inset): (a) PI-1Au/C1 and (b) MIV-1Au/C1.

Fig. 6 Valence change of Au: (a) XPS spectra of spent MIV-1Au/C1 after 300 min testing; (b) Evolution of catalytic activity and Au³⁺ content during the testing duration.



Scheme 1

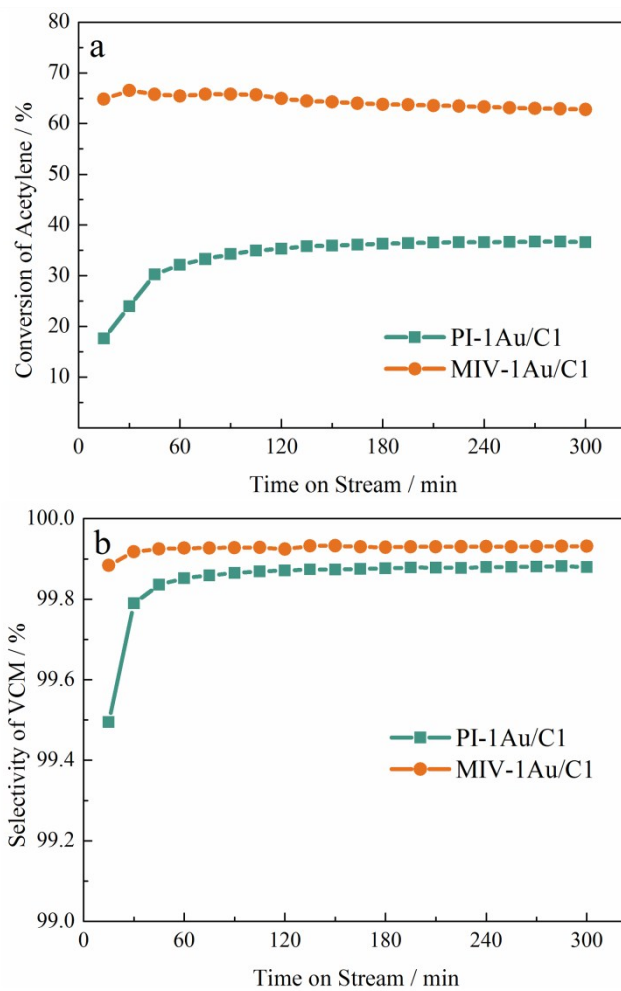


Fig. 1

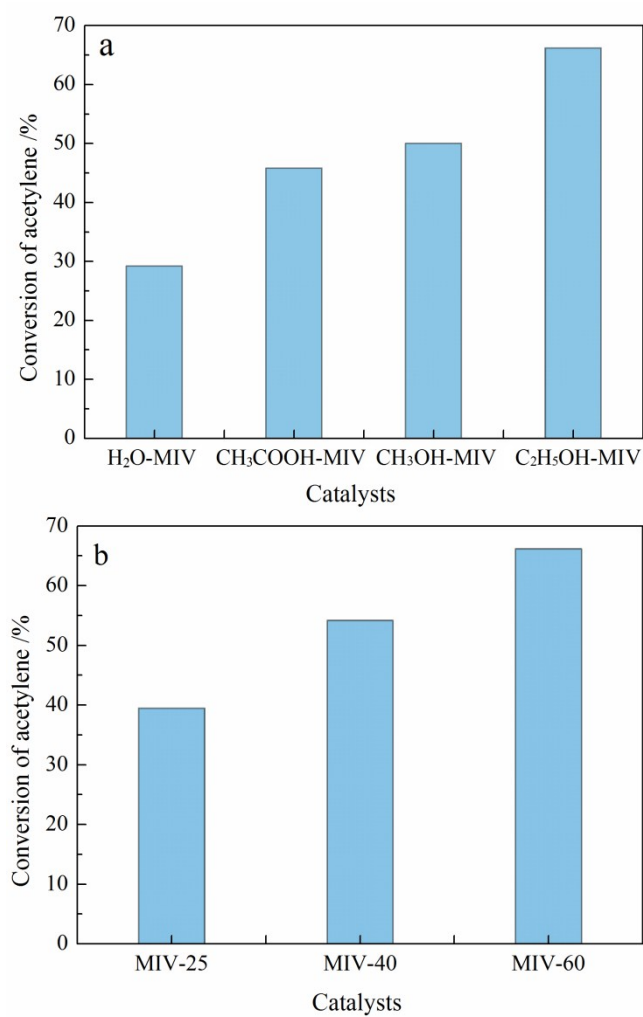


Fig. 2

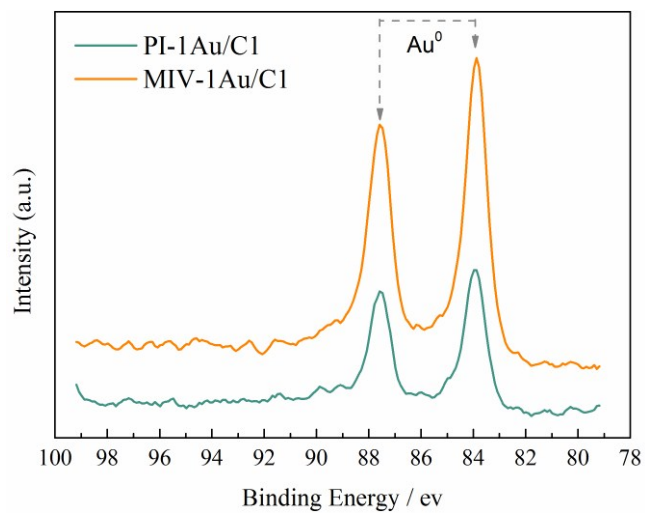


Fig. 3

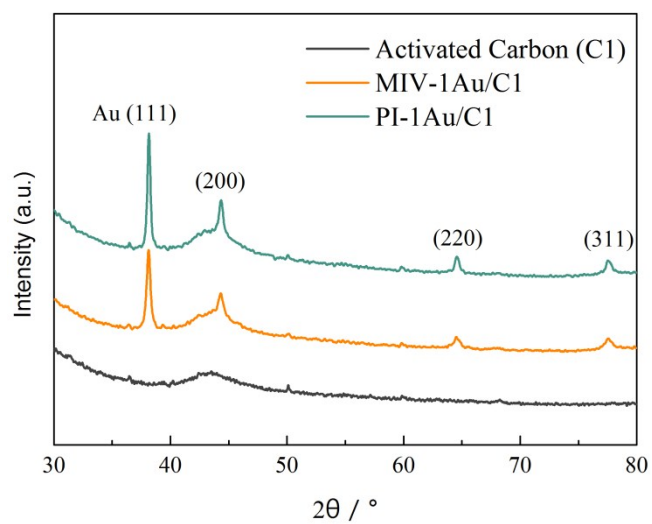


Fig. 4

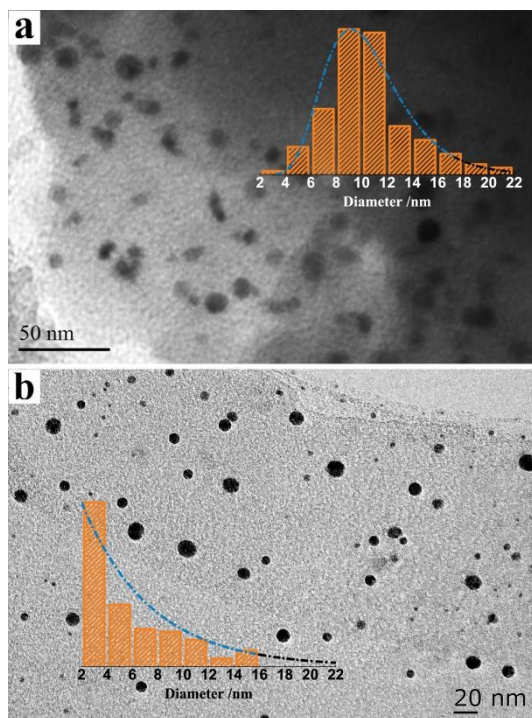


Fig. 5

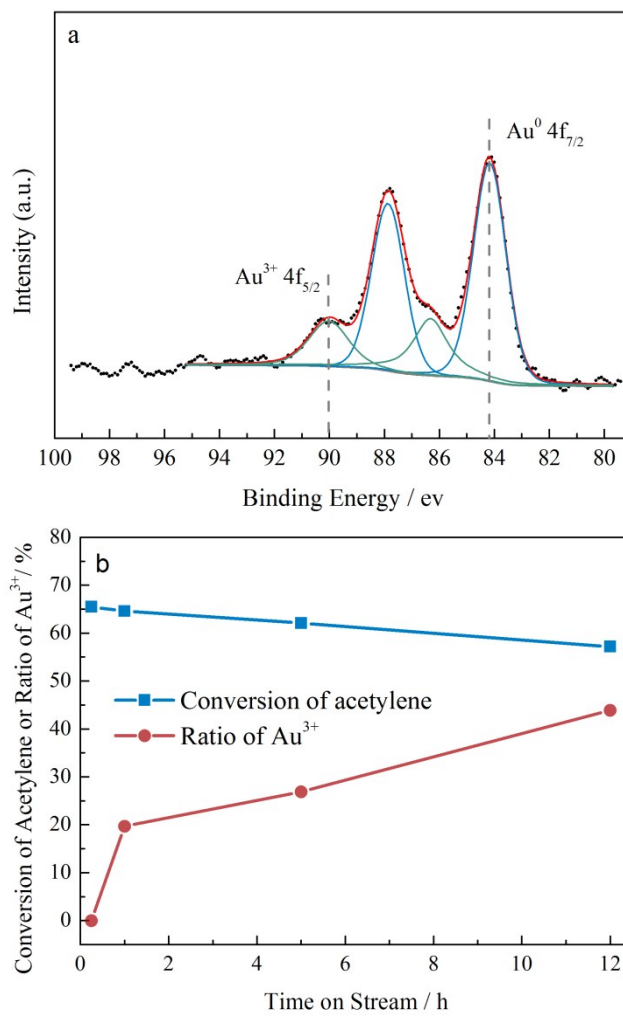


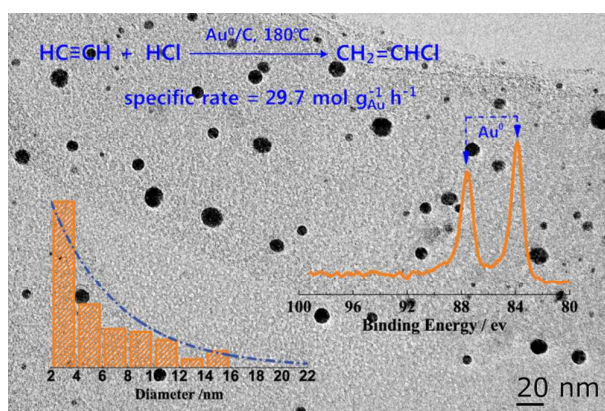
Fig. 6

Efficient Au⁰/C catalyst synthesized by a new method for acetylene hydrochlorination reaction

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Graphical Abstract:



Au⁰/C catalyst for acetylene hydrochlorination reaction was prepared via a novel method, excellent Au dispersion and catalytic activity was obtained.