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Page 1 of 25 RSC Advances

1 **Abstract**

2 Polyhedral oligomeric silsesquioxane (POSS) tethered fluorinated diblock copolymers with 3 linear-shaped ap-POSS-PMMA-b-PDFHM and star-shaped *s*-POSS-(PMMA-b-PDFHM)₁₆ are 4 synthesized by octakis(dibromoethyl) POSS (POSS-(Br)₁₆) and aminopropylisobutyl POSS 5 (ap-POSS) initiating methylmethacrylate (MMA) and dodecafluoroheptylmethacrylate (DFHM). In 6 THF solution, both diblock copolymers could self-assemble into 200 nm core/shell micelles as 7 POSS/PDFHM core and 70-80 nm PMMA shell. These micelles are able to produce typical films as 8 fluorine-rich topsurface and POSS-gathered subsurface. Although PDFHM segments and POSS 9 cages are competitively migrating onto the film surface, the migration of PDFHM segments is 10 actually improved by POSS cages. Comparatively, the surface of ap-POSS-PMMA-b-PDFHM film 11 is much more rough and fluorine-rich than *s*-POSS-(PMMA-b-PDFHM)₁₆ film, therefore, 12 ap-POSS-PMMA-b-PDFHM film gains higher viscoelasticity and higher oleophobicity, but a little 13 lower hydrophobicity than-POSS-(PMMA-b-PDFHM)₁₆ film. The hydrophobic application of both 14 linear- and star-shaped topologies are used to glass, cotton fabric and stone substrates reveals that the 15 treated samples exhibit superhydrophobicity for cotton fabric (>150°) and obvious hydrophobicity 16 for stone and glass (>135°) in resistance to water and other liquids like coffee, milk, coke and green 17 tea. It is believed that the properties of self-assembled films and the hydrophobic application are 18 related closely to the topologies of block copolymers.

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- 20
- 21

2 Self-assembled films have gained much attention in tailoring surface properties by migrating different segments in block copolymers onto the film surface.^{1,2} For this reason, fluorinated block 4 copolymers have been intensively studied for obtaining hydrophobic/oleophobic films due to their 5 typical self-migration and self-orientation on the film surface.³⁻⁶ Actually, manipulating the 6 orientation of fluorinated block copolymer on the film surface is controlled by the block structure 7 and the self-assembly behaviour of blocks in solvents.^{7,8} Therefore, the development of advanced 8 fluorinated block copolymers with extraordinary surface properties has been focused on an elaborate 9 design of molecular structures and an absolute control over the self-assembly features in selective 10 solvents.^{3,5,8} Furthermore, the introducing of inorganic constituent into fluorinated block copolymers for self-assembled films is much promising in improving the surface properties of films.^{3,5,9}

12 Polyhedral oligomeric silsesquioxanes (POSS) with cube-like structures as inorganic silica core 13 surrounded by organic groups (such as alkyl, aryl, or any of their derivatives)^{9,10} is normally used to 14 produce well-defined POSS-containing polymers for improving the surface properties of films in 15 obvious hydrophobicity, low surface energy, high thermostability and excellent surface 16 properties.¹¹⁻²⁰ Normally, the synthesis of POSS-containing polymers is focused on mono- and 17 multi-functional POSS monomers.²¹⁻²³ Compared with mono-functional monomers for obtaining 18 linear single-arm POSS-containing polymer, 24.25 the multi-functional POSS is possible to obtain 19 hyperbranched or star-shaped polymers, such as eight-arm star-shaped polymer 20 (POSS/PMMA-b-PS) grown from multi-initiator POSS-(Cl)₈ initiating methylmethacrylate (MMA) 21 and styrene (St) by atom transfer radical polymerization (ATRP).²⁶

RSC Advances **Page 4 of 25**

1 When taking into account the excellent film surface by combining both POSS and fluoropolymer,

RSC Advances Accepted Manuscript RSC Advances Accepted Manuscript

Page 5 of 25 RSC Advances

1 microbalance with dissipation monitoring (QCM-D). Their hydrophobic application on glass, cotton 2 fabric and stone substrate are compared by SEM and the water contact angles. We believe that this 3 research contributes much to the relationship between the topologies and properties of 4 POSS-tethered fluorinated diblock copolymers.

5 **2. Experimental section**

6 **2.1 Materials**

7 Octakis(dibromoethyl) polyhedral oligomeric silsesquioxane $(POSS-(Br)_{16}$, $C_{16}H_{24}Si_8O_{12}Br_{16}$, 8 Mw=1911g·mol⁻¹, >99%wt) and aminopropylisobutyl POSS (ap-POSS, $C_{31}H_{71}NSi_8O_{12}$, Mw=874.58 9 g·mol⁻¹, >99%wt) were purchased from Hybrid Plastics Co. (USA) and were used as received. The 10 white powder of ap-POSS-PMMA $(Mn=16030 \text{ g} \cdot \text{mol}^{-1}$, PDI=1.07) is prepared by ap-POSS and 11 BiBB to obtain macroinitiator ap-POSS-Br and then to initiate MMA as the previous method.³⁴ 12 Dodecafluoroheptyl methacrylate (DFHM, C11H8O2F12, liquid, Xuegia Fluorine-Silicon Chemical 13 Company, China) and methyl methacrylate (MMA, C₅H₈O₂, 99%wt, Aldrich) were rinsed with 14 5%wt NaOH aqueous solution and then ion-free water until the rinsed water reaches pH=7, followed 15 by drying over CaH2 for 24 h and distilling under reduced pressure to remove inhibitor before use. 16 N,N,N',N',N''-pentamethyldiethylenetriamine (PMDETA, 99%) was supplied by Aldrich and was 17 used without further purification. Cyclohexanone and tetrahydrofuran (THF) were stirred over CaH² 18 for 24 h at room temperature, and distilled under reduced pressure prior to use. Cuprous chloride 19 (CuCl) was purified according to the previous method.³⁵ Methylene iodide (CH₂I₂, 98%) was 20 supplied by Aladdin and was used without further purification.

21 **2.2 Preparation of star-shaped diblock copolymer s-POSS-(PMMA-b-PDFHM)16 by ATRP**

RSC Advances **Page 6 of 25**

6

6 in Scheme 1 according the similar procedure as *s*-POSS-(PMMA-b-PDFHM)16.

7 In order to compare, non-POSS initiator EiBB was used to synthesize diblock copolymer

- 8 E-PMMA-b-PDFHM (Mn=34130 g·mol⁻¹, PDI=1.115) by ATRP as the same procedure (Table 1).
-

9 Table 1 The polymerization condition and detail recipes for prepared samples

Copolymer	Initiator/ g	MMA/g	DFHM/g	CuCl/PMDETA/g	C yclohexanone/g
$POSS$ -(PMMA) ₁₆	$0.5 \text{ (POSS-(Br)16$	8.3704	$\overline{}$	0.4185/0.9710	15
$POSS$ -(PMMA-b-PDFHM) ₁₆	5 (POSS-(PMMA) ₁₆)	$\overline{}$	1.882	0.2354/0.4078	10
ap-POSS-PMMA	0.5426 (ap-POSS-Br)	5.30	۰	0.06585/0.114	10
ap-POSS-PMMA-b-PDFHM	4.410 (ap-POSS-PMMA)	$\overline{}$	3.2	0.02751/0.04759	10
E-PMMA-b-PDFHM	5 (E-PMMA)	۰	1.067	0.02973/0.05067	10

10 **2.4 Characterization**

11 *Chemical structure.* The nuclear magnetic resonance $({}^{1}H\text{-NMR}$ and ${}^{13}C\text{-NMR}$ measurement for 12 *s*-POSS-(PMMA-b-PDFHM)₁₆ and ap-POSS-PMMA-b-PDFHM was performed on a Bruker 13 AV-500 spectrometer using CDCl3 as solvent. Their molecular weight was determined on a DAWN 14 EOS size exclusion chromatography (SEC) coupled with multiangle laser light scattering instrument 15 (Wyatt Technology, USA) using SEC/DAWN EOS/Optilab rEX/QELS model. 0.01 mol·L⁻¹ LiCl in 16 THF solutiong was used as the eluent at a flow rate of $0.5 \text{ mL} \cdot \text{min}^{-1}$.

17 Self-assembled micelles. The self-assembled micelles were prepared by 0.01 g·mL⁻¹ solution of

RSC Advances Accepted Manuscript RSC Advances Accepted Manuscript

RSC Advances Page 8 of 25

1 *s*-POSS-(PMMA-b-PDFHM)16 and ap-POSS-PMMA-b-PDFHM in THF. After filtering the solution 2 through 0.45 µm disposable polyamide (PA) membrane, and keeping them for 30 minutes at room 3 temperature, the morphology of self-assembled micelles was observed by transmission electron 4 microscopy (TEM, JEM-3010) in an acceleration voltage of 100 kV. The micelle solutions were 5 drop-casted onto carbon-coated copper grids, and then air-drying at room temperature before 6 measurement. Elemental mapping and energy dispersive X-ray spectrometer EDX was performed on 7 the X-ray spectrometers attached to the JEM-3010 instruments. 8 *Casted films.* The casted films were prepared by casting the micelle solutions (in THF, 2%wt) of 9 *s*-POSS-(PMMA-b-PDFHM)16 and ap-POSS-PMMA-b-PDFHM onto a glass and then drying it at 10 ambient temperature. The topographies and roughness (root-mean-square roughness) of the films 11 were characterized by atomic force microscope (AFM) unging NT-MDT new Solver-Next at room 12 temperature under 38-42% R.H. Tip information: radius <10 nm, cantilever length 90±5 µm; width 13 40 ±3 µm; thickness 2.0±0.5 µm, resonant frequency 330 kHz, force constant 48 N/m. X-Ray 14 photoelectron spectroscopy (XPS) measurement for elemental composition of surfaces was 15 processed on the copolymer of the air-exposed film surface by an AXIS ULTRA (England, 16 KRATOS ANALYTICAL Ltd) using an Al mono Kα X-ray source (1486.6 eV) operated at 150 W.

17 The overview scans were obtained with pass energy of 160 eV and acquisition times of 220 s. 18 Scanning electron microscopy coupled with an energy dispersive X-ray spectrometer (SEM-EDX, 19 Hitachi Model 8010) was used to observe the cross section structure of film, the corresponding 20 distribution of element at 1.0 kV accelerating potential. Q-Sense E1 quartz crystal microbalance with 21 dissipation monitoring (QCM-D, Sweden) was used to measure the surface water adsorption and

Page 9 of 25 RSC Advances

RSC Advances Page 10 of 25

RSC Advances Accepted Manuscript RSC Advances Accepted Manuscript

1 120-125 $(\underline{CF(CF_3)CHFCF(CF_3)}$ in PDFHM). For ap-POSS-PMMA-b-PDFHM in Fig. 1a, ¹H-NMR 2 (δ_{H} , ppm) at 0.60 (-Si-CH₂- in POSS), 0.80 (c, R-CH₃ in PMMA and PDFHM), 1.05-0.98 (d, -CH₃ in 3 POSS), 1.86 (b, -CH₂-C<u>H</u>(CH₃)₂ in POSS), 3.60 (a, -OCH₃ in PMMA), 5.5 (f, -O-CH₂- in PDFHM) 4 and 4.5 (e, -CHF- in PDFHM), together with ¹³C-NMR (ppm) at 178.5 (-CO-O- in PMMA and 5 PDFHM) and 120-125 $(CF(CF_3)CHFCF(CF_3)$ in PDFHM), indicate its diblock structure as 6 designed in Scheme 1.

11 On the other hand, the molecular weights of 29230 g·mol⁻¹ for POSS-(PMMA)₁₆ (PDI=1.261) 12 and 35070 g·mol⁻¹ for *s*-POSS-(PMMA-b-PDFHM)₁₆ (PDI=1.310) from SEC results (Fig. 1c) 13 indicate that POSS-(Br)16 initiator shows the similar wide distribution in the molecular weight to

Page 11 of 25 RSC Advances

POSS-(Cl)₈ initiator in ATRP approach (PDI=1.61-1.66²⁶ and PDI=1.3-1.4).³⁶ These molecular 2 weight distributions are really higher than EiBB for E-PMMA-b-PDFHM ($Mn=34130 g\text{ mol}^{-1}$, 3 PDI=1.115), ap-POSS for ap-POSS-PMMA $(Mn=16030 \text{ g} \cdot \text{mol}^{-1}$, PDI=1.07) and 4 ap-POSS-PMMA-b-PDFHM $(Mn=23730 \text{ g} \cdot \text{mol}^{-1}$, PDI=1.148) (Fig. 1c). Furthermore, the calculated 5 molecular weight of ap-POSS-PMMA-PDFHM from Fig. 1a of $21286 \text{ g} \cdot \text{mol}^{-1}$ (Supporting 6 Information S1) is nearly matched well with the SEC results $(Mn=23730 \text{ g} \cdot \text{mol}^{-1})$.

7 **3.2 The self-assembled micelles in solution**

8 The self-assembled micelles of two topological diblock copolymers in THF solution are observed in 9 Fig. 2. Both *s*-POSS-(PMMA-b-PDFHM)16 (Fig. 2a) and ap-POSS-PMMA-b-PDFHM (Fig. 2c) are 10 able to self-assemble into 200 nm core/shell micelles with 70-80 nm thickness of shell. Through 11 comparing with 110 nm POSS core/PMMA shell micelles for *s*-POSS-(PMMA)16 (Fig. 2b), the 12 core/shell micelles for *s*-POSS-(PMMA-b-PDFHM)₁₆ are composed of POSS/PDFHM inner core 13 and PMMA shell (Fig. 2a), because both PDFHM and POSS have less solubility than PMMA and 14 therefore are able to shrink into the inner core. But for ap-POSS-PMMA-b-PDFHM (Fig. 2c), the 15 inner core looks like plum blossom (non-circularity) due to the obvious phase separation of ap-POSS 16 and PMMA, and the size of plum blossom core is smaller than the core in the micelles formed by 17 *s*-POSS-(PMMA-b-PDFHM)16. Furthermore, compared with 80-100 nm spade-like micelles 18 composed of PMMA spade top (the light part) and ap-POSS spade tail (15-30 nm, the dark part) for 19 ap-POSS-PMMA (Fig. 2d), this plum blossom morphology is proved as PMMA shell and 20 POSS/PDFHM core (Fig. 2c).

13 Fig. 2. TEM morphology of *s*-POSS-(PMMA-b-PDFHM)16 (a), *s*-POSS-(PMMA)16 (b), 14 ap-POSS-PMMA-b-PDFHM (c) and ap-POSS-PMMA (d), TEM-EDX mapping of 15 *s*-POSS-(PMMA-b-PDFHM)16 (e and f) in THF solution

16 In order to confirm these specific morphology of micelles, both elemental mapping (Fig. 2e) 17 and element distribution (Fig. 2f) of the core/shell structure by *s*-POSS-(PMMA-b-PDFHM)₁₆ are 18 analyzed. The elemental mapping in Fig. 2e clearly reveals the spherical distribution of F and Si

1 elements in the inner core, and circular distribution of C element in the shell. The detailed element

21 *s*-POSS-(PMMA-b-PDFHM)₁₆, because the strong interaction between PMMA-b-PDFHM chains in

20 ap-POSS-PMMA-b-PDFHM is much easier migrating onto the film surface than that in

1 *s*-POSS-(PMMA-b-PDFHM)16 around the POSS cage might limit the movement of its migration

6 Fig. 3. AFM images of the film surface for *s*-POSS-(PMMA)16 (a), *s*-POSS-(PMMA-b-PDFHM)¹⁶

7 (b), ap-POSS-PMMA-b-PDFHM (c), for E-PMMA-b-PDFHM (d), and SEM-EDX plots for the

Page 15 of 25 RSC Advances

1 distribution profile of Si and F element on the surface of *s*-POSS-(PMMA-b-PDFHM)₁₆ film (e) and 2 ap-POSS-PMMA-b-PDFHM film (f)

3

4 Fortunately, this migration is also proved by the chemical composition on the film surface in 5 Table 2. Firstly, the fluorine content on the film surface is much higher than the powder for both 6 *s*-POSS-(PMMA-b-PDFHM)16 (41.39% for film and 15.05% for powder) and 7 ap-POSS-PMMA-b-PDFHM (61.56% for film and 9.81% for powder), which distinctly indicates the 8 migration of PDFHM segments onto the film surface. Secondly, compared with their powder, much 9 higher increasing percentage of fluorine content on the surface of ap-POSS-PMMA-b-PDFHM film 10 (527%) than that on *s*-POSS-(PMMA-b-PDFHM)16 film (175%) in Table 2 further proves that 11 PDFHM segment in ap-POSS-PMMA-b-PDFHM is much easier migrating onto the film surface than 12 that in *s*-POSS-(PMMA-b-PDFHM)₁₆. Furthermore, the distribution profile of SEM-EDX on the 13 surface of *s*-POSS-(PMMA-b-PDFHM)₁₆ film (Fig. 3e) and ap-POSS-PMMA-b-PDFHM film (Fig. 14 3f) also prove the higher content distribution of fluorine on the top surface of films (bottom red lines 15 of Fig. 3e and f).

16 In order to understand the function of POSS cages during the film formation, 17 E-PMMA-b-PDFHM film is analyzed by AFM and XPS. Much more smooth surface for 18 E-PMMA-b-PDFHM film (3.70 nm Ra and 15 nm RMSR in Fig. 3d) than 19 ap-POSS-PMMA-PDFHM film (Ra=18.5 nm) indicates that POSS cages could improve the 20 migration of PDFHM segments onto the film surface, and therefore increase the surface roughness of 21 films,²⁴ which is also proved by the fact that 105% increasing percentage of fluorine content on

RSC Advances **Page 16 of 25**

15 Therefore, there must be a competition migration between POSS and PDFHM onto the film 16 surface during the film formation by self-assembled micelles. Due to the migration of PDFHM 17 segments onto the top surface, both *s*-POSS-(PMMA-b-PDFHM)₁₆ and ap-POSS-PMMA-b-PDFHM 18 films obtain the fluorine-rich topsurface (Table 2), which is similar to other literatures, $4-6$ but silicon 19 element in *s*-POSS-(PMMA-b-PDFHM)₁₆ film (Fig. 3e, blue line) is also enriched in the subsurface, 20 suggesting that POSS cages could also migrate onto the film surface, but this migrating is much 21 difficulty than PDFHM segment, so as to gather in the subsurface (Scheme 2). In this case, PMMA

$\mathbf{1}$	segments are of course distributed mainly in the bottom layer of film. Therefore, it is possible to
$\overline{2}$	conjectured that the self-assembled s-POSS-(PMMA-b-PDFHM) ₁₆ and ap-POSS-PMMA-b-PDFHM
\mathfrak{Z}	films are distributed as fluorine-rich topsurface/POSS-gather subsurface structure of films (Scheme)
4	2), and the arranged fluorine groups on the topsurface in ap-POSS-PMMA-b-PDFHM film are much
5	denser than on s -POSS-(PMMA-b-PDFHM) $_{16}$ film (Scheme 2).

6 Table 2 Surface roughness and chemical composition, viscoelasticity (∆*D*/∆*f*) and water absorption

8 * The increasing percentage of fluorine content on ap-POSS-PMMA-b-PDFHM film (527%) is much higher than

9 that on *s*-POSS-(PMMA-b-PDFHM)₁₆ film (175%) compared with their powders.

10

11 Scheme 2. The formation of *s*-POSS-(PMMA-b-PDFHM)₁₆ and ap-POSS-PMMA-b-PDFHM films

12 **3.4 Surface water adsorption and viscoelasticity of films**

RSC Advances **Page 18 of 25**

Page 19 of 25 RSC Advances

 $ΔD/Δf=0.15×10⁻⁶ Hz⁻¹$ and a little higher water adsorption (Δ*f*=-315 Hz) at the end adsorption than *s*-POSS-(PMMA-b-PDFHM)16 film (∆*D*/∆*f*=-0.27×10-6 Hz-1 2 , ∆*f*=-290 Hz). While, due to the 3 contribution of POSS cages, ap-POSS-PMMA-b-PDFHM film gains lower water adsorption and lower viscoelasticity than E-PMMA-b-PDFHM film (Δ*f*=-650Hz, Δ*D*/Δ*f*=-0.29×10⁻⁶ Hz⁻¹, Fig. 4d).

7

8 Fig. 4. The QCM-D data of ∆*f* and ∆*D* of *s*-POSS-(PMMA-b-PDFHM)16 (a), *s*-POSS-(PMMA)16 (b),

10 mechanism of films (e)

1 **3.5 Hydrophobic application to substrates**

14 *: As for the water contact angles of untreated substrates, $\theta_s = 54^\circ$ for the untreated glass sheets, $\theta_s = 0^\circ$ for the 15 untreated cotton fabrics and untreated stones.

16

17 On the other hand, the treated fabrics by both copolymers achieve superhydrophobic surface as

18 the largest θ_A (155.8° and 160.5°) and θ_R (152.4° and 157.6°), and the smallest $\Delta\theta_{A-R}$ (2.9-3.4°).

Page 21 of 25 RSC Advances

- 1 and high-hydrophobicity to stones in resistance to other liquids as coffee, milk, coke and green tea
- 2 (Fig. 6 and Fig. S3).

3

- 4 Fig. 5. SEM images of treated stones and cotton fiber by *s*-POSS-(PMMA-b-PDFHM)₁₆ (a, c) and
- 5 ap-POSS-PMMA-b-PDFHM (b, d)

- 6
- 7 Fig. 6. The hydrophobicity of treated stones and cotton fabric to water, coffee, milk, coke and tea
- 8

9 **4. Conclusion**

- 10 POSS-tethered fluorinated diblock copolymers of linear-shaped ap-POSS-PMMA-b-PDFHM and
- 11 star-shaped *s*-POSS-(PMMA-b-PDFHM)₁₆ are synthesized. Their self-assembled films are proved as

Page 23 of 25 RSC Advances

1 fluorine-rich topsurface and POSS-gathered subsurface casted from 200 nm POSS/PDFHM core and 2 PMMA shell micelles in THF solution. This typical surface is built by the competitive migrating 3 between PDFHM segments and POSS cages, but POSS cages could improve the migration of 4 PDFHM segments onto the film surface to increase the surface roughness of films. Since PDFHM 5 segment in ap-POSS-PMMA-b-PDFHM is much easier migrating onto the film surface than that in 6 *s*-POSS-(PMMA-b-PDFHM)16, the surface of ap-POSS-PMMA-b-PDFHM film is much more rough 7 (Ra=18.5nm) and fluorine-rich (61.56%) than *s*-POSS-(PMMA-b-PDFHM)₁₆ film ((Ra=18.5nm and 8 F%=41.39%). Therefore, ap-POSS-PMMA-b-PDFHM film gains higher water adsorption and 9 viscoelasticity than *s*-POSS-(PMMA-b-PDFHM)₁₆ film. The protective treated fabrics achieve 10 superhydrophobic surface (>153°), the treated stones own high hydrophobicity (>136°) and the 11 treated glasses get enough hydrophobicity (>112°). All the treated fabric and stone samples by 12 ap-POSS-PMMA-b-PDFHM have higher water contact angles than *s*-POSS-(PMMA-b-PDFHM)16. 13 Therefore, the linear-shaped ap-POSS-PMMA-b-PDFHM shows better hydrophobicity than the 14 star-shaped *s*-POSS-(PMMA-b-PDFHM)₁₆ in resistance to water, coffee, milk, coke and green tea. 15

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Page 25 of 25 RSC Advances

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