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Journal Name

ARTICLE

Tempered glass substrate effect on the growth of polycrystalline-silicon and its applications to reliable thin-film transistors

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Jae Hyo Park[†], Hyung Yoon Kim, Ki Hwan Seok, Hee Jae Chae, Sol Kyu Lee, Yong Hee Lee, Zohreh Kiaee, and Seung Ki Joo

In this work, We investigated a novel mechanism of polycrystalline-silicon (poly-Si) grown on tempered glass and the stability under various bias-stress effects in the performance of poly-Si thin-film transistors (TFT) fabricated on tempered glass and compared with the poly-Si TFT on bare glass. The glass shrinkage, mask misaligned width, and thermal strain of the tempered glass were 0.01ppm, 0.9 μm , and 26.1 MPa. The compressively tensioned bare glass showed a suppression of the crystallization rate showing a small grains, whereas the tempered glass showed high crystallization rate showing a large grains. The thermal substrate stress effect on the poly-Si TFT showed serious reliability degradation, while the poly-Si TFT on tempered glass showed stable driving characteristics on the gate and hot-carrier bias stress. The better stability is due to the smooth surface and non microcracks of poly-Si on tempered glass, which is about 1.5 nm that is much smaller than that (5 nm) of a poly-Si on bare glass.

1. Introduction

Recently, polycrystalline-Si thin-film transistors (poly-Si TFTs) have been widely used in active-matrix flat panel displays (AMFPDs) with integrated peripheral circuits on the glass substrate¹⁻³. Moreover, the 3-D integration of very large scale integration (VLSI) technology has been developed to increase the device density⁴. However, the poly-Si TFT showed poor reliability characteristics due to its randomly formed grain boundaries and rough surface state^{5,6}. To solve the reliability issues, X. Zeng et al. reported that the combination of NH_3 and N_2O plasma passivation can improve the hot-carrier stress effect because of passivating the grain-boundary⁷. J. C. Kim et al. reported that large the grain size achieved by metal-induced crystallization through a capping layer can achieve a high reliability because of its smooth interface⁸. I.-S. Kang et al. showed that residual NiSi_2 and extended defects in the poly-Si corners degrade the reliability⁹. In addition, J. H. Park et al. showed that the substrate stress during the crystallization is also a significant factor for degrading the reliability. Unlike complementary metal-oxide-semiconductor (CMOS) desiring a strain technology for scalability beyond of 90 nm, the poly-Si should avoid the strain resulting the microcracks. The microcracks assist leakage currents including the Poole-Frenkel (P-F) emissive current and gate leakage current through gate

insulator. The compacted glass substrate showed a strain-free poly-Si TFT showing a stable and uniform electrical performance in the entire area. Unfortunately, the compacting process of bare glass was performed over 45 hrs, which is not efficient for mass productions in the industry¹⁰.

In this paper, we realize a stress-free poly-Si TFT with using the tempered glass and confirmed that substrate stress seriously degrades device reliability. The stress-free poly-Si TFT on tempered glass showed no degradation under gate-bias stress and hot carrier stress for 10^4 sec, while the poly-Si TFT on bare glass field effect mobility degrade about 40 % and showed about 5 V of threshold voltage shift under gate-bias stress and hot carrier stress.

2. Experimental

The alkaline earth boro-aluminosilicate glass, Eagle XG, was annealed at 450°C for 12 hrs and cooled rapidly under N_2 gaseous quenching process. The quenching process converts the bare glass to tempered glass. Then, hydrogenated amorphous silicon (a-Si:H) film was deposited by low-pressure chemical vapor deposition on the tempered glass substrate. Then the 5 nm thick of NiSi_2 seeds was deposited by DC magnetron sputtering and patterned into islands. The detailed formation method of NiSi_2 seeds was already reported by our group^{11,12}. The sample was annealed at 550 °C for 2 hrs in H_2 ambient for crystallization. Then, 50 nm thick of Si_3N_4 for gate insulator was deposited and 200 nm thick of $\text{Mo}_{0.9}\text{W}_{0.1}$ alloy for gate metal was deposited. After patterning both gate metal and insulator, the source and drain were doped with B2H6 at

Department of Material Science and Engineering, Seoul National University, Seoul 151-742, Republic of Korea
[†] E-mail : p22000@snu.ac.kr

17 kV of accelerated voltage and 150 W of RF power. Next, the 300 nm thick of SiO₂ for the inter-layer dielectric was deposited and opened for the metal contact of gate, source and drain. The poly-Si TFT on bare glass was also fabricated for the comparison. The whole fabrication process was carried out in the 1000-class clean room. Fig. 1 shows the mask misalignment width (W) of the tempered and bare glass. The W is increased from center to the edge. The bare glass showed 20 μm of W in the edges, while the tempered glass showed 3 μm of W in the edges. It can be thought that the glass has been shrunk in a compressively stress shown in the inset Fig. 1. The shrinkage rate and thermal stress of poly-Si on bare glass was 10 ppm and 200.2 MPa, whereas the shrinkage rate and thermal stress of poly-Si on tempered glass was 0.1 ppm and 26.1 MPa.

3. Results and Discussion

Fig. 2 shows the Raman spectra shift in poly-Si thin-films with comparing poly-Si on bare glass, poly-Si on tempered glass, and c-Si wafer. Typically, a c-Si without a considering a strain in the film shows a sharp peak at 520 cm⁻¹ indicating that the Si atoms are in longitudinal optical (LO) phonon mode with its neighbor Si atoms. In case of strained-Si the LO-phonon peak shifts from the position of 520 cm⁻¹ due to its stress originating from the defects or lattice mismatch between the substrate and the film from thermal annealing. However, the defects are not highly considered because, the full width at half maximum (FWHM) is smaller than 8 cm⁻¹, and the broadening peak at 480 cm⁻¹ indicating a transverse optical (TO) phonon mode is missing. Moreover, the stress of the poly-Si can be estimated by the Raman peak shift^{12,13}. The compressive stress brings a Raman spectra shift higher than 520 cm⁻¹ while the tensile stress brings a Raman spectra shift lower than 520 cm⁻¹. The strain can be estimated, according to the equation¹²:

$$\sigma(\text{MPa}) = -250\Delta\omega(\text{cm}^{-1})$$

where ω is the phonon shift that is calculated from $(\omega_s - \omega_0)$. ω_0 is the wavenumber of the stress-free c-Si and ω_s is the wavenumber of the film under strained poly-Si thin-film. Consequently, the strain in poly-Si on bare glass has a 340 MPa of compressive strain and the strain in poly-Si on tempered glass has about 25 MPa. In aspect of microstructure properties, the SILC poly-Si has (110) preferred texture, and (110) projected texture observed in diamond lattice structures, where the angles between two different <111> directions are either 70 or 110°. The lateral crystallization growth rate of poly-Si on bare glass and poly-Si on tempered glass wafer was shown in Fig. 3. The compressive strained growth rate was 9.10 μm/hr; while the strain-free poly-Si was 10.13 μm/hr. Previously, N.-K. Song *et al.* reported that the effects of the mechanical stress, during the crystallization, affect the growth behavior of MILC growth rate¹⁵. The mechanism for this reaction is illustrated in fig. 4(a) and 4(b). For the catalytic phase transformation to occur, three different atomic fluxes are required in the system. First, the bond breaking of Si-Si in a-Si and migration of each atom towards the interface between a-Si and the NiSi₂ designated as F1 in fig. 4(a). Migrated silicon atoms are to be adsorbed at the NiSi₂ interface surface to create the vacancies. Second, the hopping of the vacancies inside the NiSi₂ to reach the interface between the NiSi₂ and poly-Si designated as F2. Hopping of vacancies should be coupled with the Ni ions in the NiSi₂. Finally, the rearranging of the dissociated Si atoms in interface "/2/" was attached to the dangling bonds of poly-Si indicating F3. Phase transformation of one atomic layer can be completed by the rearrangement of dissociated silicon atoms at /2/. In a steady-state, F1 should be equal to F2 and F3¹⁶. The external tensile stress accelerates the SILC growth rate because the strain energy along the growth direction assists the Si-Si bonding to be broken and eventually the broken Si atoms, which has a highly unstable chemical potential, is likely to be absorbed in

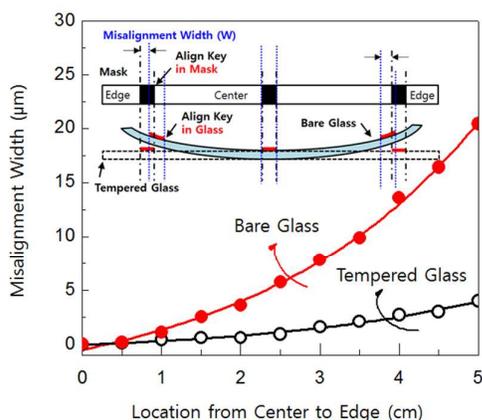


Fig. 1. Misalignment width of bare and tempered glass depending on the location.

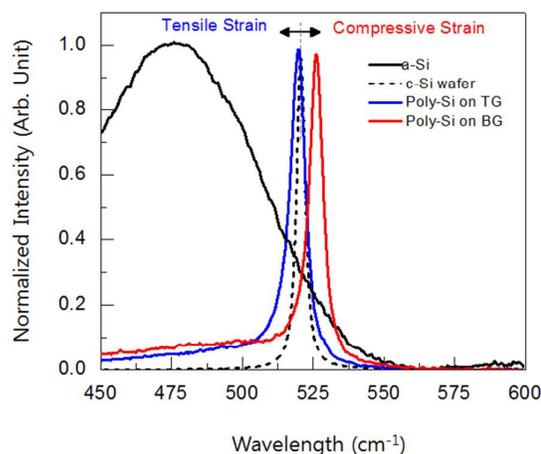


Fig. 2. Normalized Raman spectra shift characteristics of a-Si, poly-Si on tempered glass (TG), poly-Si on bare glass (BG), and c-Si wafer, respectively.

the NiSi₂/a-Si interface. Typically, the SILC growth on strain-free condition shows a needle-like structure of grains in (110) texture shown in Fig. 4(c). On the other hand, the external compressive strain decelerates the MILC growth rate because it suppresses the breakage of Si-Si bonding by decreasing the interface energy shown in Fig. 4(b). Therefore, the MILC growth rate of compressive strain is lower than that of tensile stress with short needle-like grains shown in Fig. 4(d). Moreover, the compressively strained lateral growth showed 12 min longer incubation time than the strain-free lateral growth.

Fig. 5 shows the typical transfer curve of P-channel poly-Si TFT on bare and tempered glass and its field-effect mobility curve. The device dimension was W=L=10 μm and the location of the device was both at the edges of the glass to see the stress effects clearly. The poly-Si TFT on bare glass exhibit a field effect mobility (μ_{FE}) of 32 cm²v⁻¹s⁻¹, a minimum leakage current

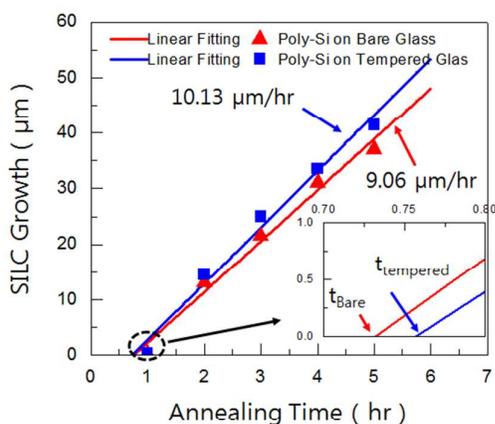


Fig. 3. SILC poly-Si crystallization growth rate on bare glass and tempered glass.

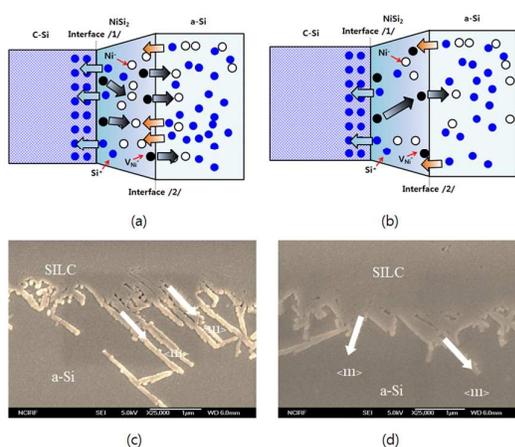


Fig. 4. Mechanism diagram of poly-Si lateral growth on (a) bare glass, and (b) tempered glass. SEM image of the poly-Si lateral growth on (c) bare glass, and (d) tempered glass

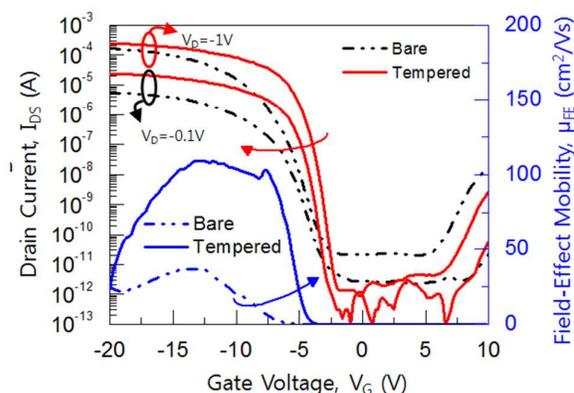


Fig. 5. Transfer and field effect mobility characteristics of poly-Si TFT on bare glass and tempered glass, respectively.

($I_{\min, \text{off}}$) of $<1.0 \times 10^{-11}$ A/μm at $V_D = -1$ V, threshold voltage (V_{TH}) of -6.5 V, and subthreshold slope (SS) of 0.72 Vdec⁻¹. On the other hand, the poly-Si TFT on tempered glass exhibit a μ_{FE} of 110.5 cm²v⁻¹s⁻¹, $I_{\min, \text{off}}$ of $<1.0 \times 10^{-12}$ A/μm at $V_D = -1$ V, V_{TH} of -3.5 V, and SS of 0.48 Vdec⁻¹. All of the electrical properties of the poly-Si TFT on tempered glass were superior to that of poly-Si TFT on bare glass. The V_{TH} was determined by the constant drain current method, which indicates the drain current of $W/L \times 10$ nA at $V_D = -0.1$ V. The μ_{FE} was extracted in the linear regime at $V_D = -0.1$ V by the following equation¹⁷:

$$\mu_{FE} = \frac{\partial I_D}{\partial V_G} \frac{L}{W} \frac{1}{C_{ox} V_D}$$

There is a complex dependence of the μ_{FE} on gate voltage. In the low gate voltages from 0 to -7 V, the μ_{FE} is strongly influenced by both drift across the grains and thermionic emission across their grain boundaries. It can be shown that the bare glass poly-Si TFT showed dominated carrier scattering from the less dense texture. In the middle gate voltage from -7 to -15 V, the mobility shows the maximum value due to the competing the thermionic emission and interface scattering. In the high gate voltage above -15 V, it shows the carrier transports are degraded by the interface scattering¹⁸⁻²⁰.

Fig. 6(a) and 6(b) shows the gate-bias stress at $V_G = 20$ V and hot carrier stress at $V_G = -5$ V and $V_D = -20$ V, respectively. In the gate-bias stress condition, the origin of the V_{TH} shift can be thought in two ways. One is the charge trapping into the gate insulator, which gives us a parallel shift of the transfer curve, but the charge trapping to the deep-state level degrades the SS-factor and μ_{FE} ²⁰⁻²⁴. It can be seen that the poly-Si TFT on bare glass shows about 5 V of V_{TH} shifts after 10^4 sec, which means the gate stress induces the electron (hole) trappings for positive (negative) gate bias into the gate insulator. In addition, the μ_{FE} mobility has been degraded more than 80 % after 10^4 sec biasing in positive and negative condition. On the other hand, the poly-Si TFT on tempered glass exhibited almost no changes in the V_{TH} shift and μ_{FE} after 10^4 sec. This is because

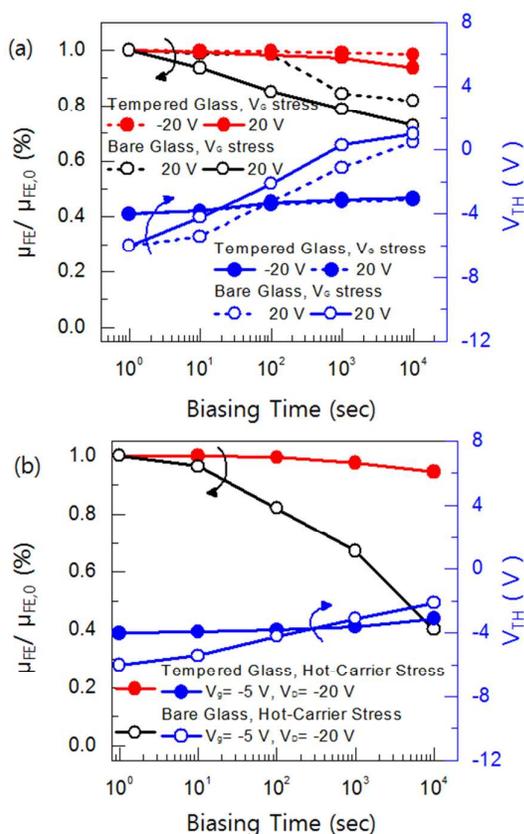


Fig. 6. Reliability of (a) negative ($V_g = -20$ V) and positive ($V_g = 20$ V) effect on the field-effect mobility variation and threshold voltage shift. (b) Hot-carrier bias stress (at $V_g = -5$ V, $V_d = -20$ V) effect on the field-effect mobility variation and the threshold voltage shift for poly-Si TFT on bare and tempered glass.

neither weak bond breaking, such as Si-Si, Si-O, Si-N nor carrier trapping into the gate insulator by gate-bias stress. It indicates that the poly-Si TFT on tempered glass shows a smooth surface due to its a highly dense (111) plane, which makes a strong bonds of Si-Si, Si-O, and Si-N. In addition, the high grain boundaries and the dangling bonds at the interface, which are acting as a stepping-stone to the carriers, assist the Poole-Frenkel (P-F) emissive current. The hot-carrier stress magnificently creates the deep level traps within the gate insulator and the channel²⁵⁻²⁷. In the results of hot carrier-stress, there was a only a little V_{TH} shift in both poly-Si TFT on bare and tempered after 10^4 sec. However the μ_{FE} and SS-factor of the poly-Si TFT on bare glass degraded about 60 % after 10^4 sec from the initial state, whereas there was only a little degradation in poly-Si TFT on tempered glass. It is well reported that the hot-carrier reliability in MOSFET is significantly improved by smoothing the surface, homogenous interface state, and a low defects in the near drain junctions;

however the MOSFETs did not consider the substrate thermal stress due to is extremely low stress level²⁸⁻³⁰. The thermal substrate stress can affect several factors, such as grain boundary density, microcracks, interface bonding state, and surface smoothness.

Conclusions

In this paper, we have successfully fabricated a high reliable stress-free poly-Si TFT on tempered glass and the effect was compared with the thermal stressed poly-Si TFT on bare glass. The poly-Si TFT on tempered glass exhibit a μ_{FE} of $110.5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, $I_{\text{min,off}}$ of $<1.0 \times 10^{-12} \text{ A}/\mu\text{m}$ at $V_D = -1$ V, V_{TH} of -3.5 V, and SS of 0.48 Vdec^{-1} . In addition, the gate-bias stress and hot-carrier stress showed excellent reliability. In poly-Si on tempered glass showed smoother surface roughness and a fewer microcracks than poly-Si on bare glass. Therefore, fabricating a poly-Si TFT on a stress-free substrate is an important issue for realizing the high performance device.

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