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1 Antihyperlipidemic effect of Fu-Ling-Pi is associated with abnormal fatty acid metabolism by UPLC-HDMS-  
2 based lipidomics

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## 1 Abstract

2 The surface layer of *Poria cocos* (SLPC), a traditional Chinese medicine, has been commonly used for diuretic  
3 and antihyperlipidemia in Asia. In order to understand its biochemical mechanism of antihyperlipidemia, a  
4 lipidomic approach based on ultra-performance liquid chromatography coupled with a quadrupole time-of-flight  
5 synapt high-definition mass spectrometry was carried out to characterize the plasma lipid metabolic profile of  
6 antihyperlipidemic effect of SLPC in rats fed with high fat diet. Lipid metabolites with significant changes were  
7 characterized as potential biomarkers associated with the development of hyperlipidemia and antihyperlipidemia  
8 of SLPC using partial least-squares-discriminate analysis, heatmap display, correlation coefficient analysis and  
9 receiver-operating characteristic curves. The analysis of the biological pathway was performed with  
10 metabolomics pathway analysis (MetPA). The lipid metabolic profile of hyperlipidemia rats separated from  
11 control rats and SLPC treated rats were closer to the control rats. Six lipid metabolites including five fatty acyl  
12 lipids palmitic acid, dodecanoic acid, L-palmitoylcarnitine, oleoylcarnitine and linoleyl carnitine and one  
13 sphingolipid phytosphingosine were considered as biomarkers of diet-induced hyperlipidemia and  
14 antihyperlipidemic effect of SLPC. MetPA revealed that identified lipid biomarkers were responsible for diet-  
15 induced hyperlipidemia and antihyperlipidemic effect of SLPC. These biomarkers were associated with fatty  
16 acid metabolism, fatty acid biosynthesis, sphingolipid metabolism, fatty acid elongation in mitochondria and  
17 unsaturated fatty acids biosynthesis. The findings suggest that a high fat diet led to the perturbation of fatty acid  
18 metabolism and sphingolipid metabolism, which may be the pharmacological basis of an antihyperlipidemic  
19 effect of SLPC.

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21 **Keywords:** *Poria cocos* epidermis; hyperlipidemia; lipidomics; ultra-performance liquid chromatography; high-  
22 definition mass spectrometry; fatty acid metabolism

23

## 24 Introduction

25 Hyperlipidemia is caused mainly due to the increase in serum total cholesterol (TC), triglyceride (TG) and low-  
26 density lipoprotein cholesterol (LDL-C) as well as decrease in high-density lipoprotein cholesterol (HDL-C). It  
27 is a critical risk factor for cardiovascular and cerebrovascular diseases. It is closely associated with hypertension,  
28 obesity and coronary heart disease. Hyperlipidemia can be treated with medications and dietary alterations that  
29 alter abnormal complex lipid metabolic pathways. Statins (hydroxy methylglutaryl coenzyme A reductase

1 inhibitors), fibrates (phenoxy aromatic acids) and nicotinic acid are usually regarded as lipid-lowering drugs  
2 used in prevention and treatment of hyperlipidemia. However, these drugs have certain side effects such as  
3 muscle and liver damage.

4 Traditional Chinese medicine (TCM) may play an important role in the prevention and treatment of various  
5 diseases. More than 2000 plants are used in traditional medicine or alternative medicine and some plants are  
6 used in treatment of cardiovascular diseases including hyperlipidemia and ischemic heart disease [1]. TCM is a  
7 medical system with the main feature of the therapeutic effects of multi-component drugs that can hit multiple  
8 targets with multiple chemical components. It produces a holistic therapeutic effect via a multi-ingredient  
9 prevention or treatment to enhance therapeutic efficacy and reduce toxicity or side effects. Despite the great  
10 progress in the search of bioactive fractions and compounds from TCM, there is still a bottleneck in the  
11 development of novel methods to illuminate the integral therapeutic efficacy and synergism of a multi-  
12 component TCM regime.

13 Lipidomics, as a part of systems biology and a branch of metabolomics, is an analytical approach to holistic  
14 investigation of a multi-parametric response of living systems based on the lipid metabolic profiling in the  
15 complex biological samples [2]. Lipidomics provides the variation of whole lipid metabolic networks for  
16 observing pathological states, providing diagnostic information, demonstrating drug therapeutic efficacy and  
17 illuminating biochemical mechanism in animals and human [3,4,5,6]. Mass spectrometry and chromatography  
18 techniques have greatly promoted the developments and applications of lipidomics [7,8]. Among those analytical  
19 techniques, ultra-performance liquid chromatography coupled with quadrupole time-of-flight synapt high-  
20 definition mass spectrometry (UPLC-QTOF/HDMS) is most suitable for lipidomics, especially for untargeted  
21 lipid profiles due to its enhanced reproducibility of retention time [9,10,11,12]. Lipidomics have shown great  
22 potential in the application of therapeutic effects of the holistic approach in TCM treatment [13,14,15].

23 *Poria cocos* is a well-known TCM that has frequently been prescribed as one of the main ingredients in TCM's  
24 compound prescriptions. About ten percent of the TCM prescriptions admitted to Chinese Pharmacopoeia  
25 contain *poria cocos*. As reported previously, the chemical components of *Poria cocos* include triterpenes,  
26 polysaccharides and steroids [16,17]. However, the triterpenoid is the main component of the surface layer of  
27 *Poria cocos* (SLPC) of the sclerotia. It was used for promoting urination and to leaving out dampness, thus  
28 alleviating the problems caused by stagnation from dampness such as edema and urinary dysfunction [18,19,20].  
29 Our recent study demonstrated the ethanol extracts of SLPC had a remarkable diuretic and nephroprotective

1 effect [21,22]. To best of our knowledge, no published report investigated antihyperlipidemic effect of SLPC and  
2 its action mechanism. In the present study, the hyperlipidemia model was induced in rats with a high fat diet. A  
3 sensitive UPLC-QTOF/HDMS was used to investigate the antihyperlipidemic effect of SLPC on the diet-  
4 induced hyperlipidemic rats. Partial least squares discriminant analysis (PLS-DA), principal component analysis  
5 (PCA), correlation analysis, heatmap display, receiver-operating characteristic (ROC) curves validation and  
6 metabolomics pathway analysis (MetPA) were utilized to investigating the antihyperlipidemic effect of SLPC  
7 and to clarify the biochemical mechanism of antihyperlipidemic effect of SLPC. To date, this is the first report of  
8 antihyperlipidemic effect of SLPC from diet-induced hyperlipidemic rats using a metabolomic approach. Five  
9 fatty acyl lipids including palmitic acid, dodecanoic acid, L-palmitoylcarnitine, oleoylcarnitine and linoleyl  
10 carnitine and one sphingolipid phytosphingosine were considered as biomarkers of diet-induced hyperlipidemia  
11 and antihyperlipidemic effect of SLPC. These findings suggest a high fat diet led to the perturbation of fatty acid  
12 metabolism, which may be the pharmacological basis of antihyperlipidemic effect of SLPC.

13

## 14 **Materials and methods**

### 15 *Animals and sample collection*

16 Male SD rats (Fourth Military Medical University, Xi'an, China) were randomly assigned into a control (CTL),  
17 hyperlipidemia (HLE) or hyperlipidemia with SLPC treatment groups (HLE+SLPC) (n=8/group). The CTL  
18 group was maintained on regular diet throughout the experimental period. The HLE group was fed high fat diets  
19 for six weeks [23]. The diet-induced HLE group and HLE+SLPC group was fed a high fat diets containing 7.5%  
20 lards, 10% egg yolk powder, 0.3% sodium cholate, 1% cholesterol and 0.2% methylthiouracil for six weeks. The  
21 HLE+SLPC group was administered SLPC (60 mg/ml) by gastric irrigation for six weeks. After six weeks, blood  
22 samples were obtained by carotid artery cannulation and stored at  $-80^{\circ}\text{C}$ .

### 23 *Serum biochemistry*

24 Serum TC, TG, HDL-C and LDL-C concentrations were measured with an Olympus AU640 automatic analyser  
25 based on Olympus AU640 user manual.

### 26 *Sample preparation*

27 Lipid extractions were performed in an Ostro 96-well plate using a single-step in-well extraction as previously  
28 described [24]. Briefly, 0.1 ml of plasma was loaded into each well of a two ml Ostro sample preparation plate  
29 fitted onto a vacuum manifold. 0.3 ml of elution solvent (1:1,  $\text{CH}_3\text{OH}/\text{CHCl}_3$ ) was added to each well and mixed

1 by aspirating the mixture 10x using a micropipette. A vacuum of 15” Hg was applied to the plate until the  
2 solvent was drained completely. This step was repeated with another 0.3 ml of CH<sub>3</sub>OH/CHCl<sub>3</sub> with the fraction 3  
3 times. The eluate was dried by nitrogen, redissolved with CH<sub>3</sub>OH/CHCl<sub>3</sub> for UPLC-MS analysis.

#### 4 ***UPLC-MS analysis***

5 The UPLC-MS analysis was performed on a Waters Acquity™ Ultra Performance LC system equipped with a  
6 Waters Xevo™ G2 QToF MS. UPLC separation was carried out on a HSS T3 (2.1 mm × 100 mm, 1.8 μm)  
7 column. The mobile phase consisted of 10 mmol/L ammonium formate and 0.1% formic acid in 2-  
8 propanol/acetonitrile (90/10) (A) and 10 mmol/L ammonium formate and 0.1% formic acid in acetonitrile/water  
9 (60/40) (B) was used as follows: a linear gradient from 0–10 min, 40.0–99.0% A and 10.0–12.0 min, 99.0–40.0%  
10 A. The flow rate was 0.5 ml/min. The autosampler and column temperatures were set at 4 °C and 55 °C,  
11 respectively. A 2.0 μl sample solution was injected for each run. The scan ranged from 50 to 1200 *m/z* in positive  
12 ion mode. The cone and capillary voltage were set at 45 V and 2.5 kV, respectively. The desolvation gas was set  
13 at 900 L/h at a temperature of 550 °C; the cone gas was set at 50 L/h and the source temperature was set at  
14 120 °C. All the acquisitions were operated by Waters MassLynx v4.1 software.

#### 15 ***Pattern recognition analysis and data processing***

16 To assess the developed method, the precision and reproducibility were performed as described in detail  
17 previously [25]. The acquired data were imported to Markerlynx XS for peak detection and alignment. The data  
18 were normalized to the summed total ion intensity per chromatogram, and the resultant data matrices were  
19 introduced to the EZinfo 2.0 for PLS-DA. Lipid metabolites were extracted from PLS-DA, and the potential  
20 biomarkers were chosen based on their contribution to the variation. Correlation analysis, heatmap display AND  
21 fold changes (HLE group/CTL group, HLE+SLPC group/HLE group or HLE+SLPC group/CTL group) and the  
22 area under the curve (AUC) from the identified lipid metabolites were produced or calculated by Metaboanalyst  
23 3.0. Ingenuity pathway analysis (IPA) was performed with metabolomics pathway analysis (MetPA) for pathway  
24 analysis and visualization metabolomics. One-way analysis of variance (ANOVA) and Mann-Whitney U-test  
25 were used to calculate the statistical significance by SPSS 19.0. False discovery rate (FDR) correction was  
26 calculated to reduce the risk of a false-positive by the adjusted *p* values (<0.05) based on the Benjamini  
27 Hochberg method.

28

#### 29 **Results and discussion**

### 1 *Clinical chemistry*

2 The concentrations of TC, TG and LDL-C of the CTL rats were 2.59, 0.61 and 1.69 mmol/L, respectively.  
3 Compared to the CTL rats, the concentrations of TC (4.57 mmol/L), TG (0.97 mmol/L) and LDL-C (3.08  
4 mmol/L) were significantly greater in the HLE rats. Similarly, the concentration of HDL-C of the CTL rats was  
5 0.95 mmol/L whereas the concentration of HDL-C (0.57 mmol/L) was significantly decreased in the HLE rats.  
6 The serum TC, TG, LDL-C and HDL-C concentrations were 3.59 mmol/L, 0.81 mmol/L, 2.24 mmol/L and 0.82  
7 mmol/L in the HLE+SLPC rats, respectively. The administration of SLPC significantly altered the abnormal  
8 biochemical parameter. These results demonstrated that the HLE model was successfully reproduced and the  
9 SLPC treatment could prevent or alleviate dyslipidemia.

### 10 *Multivariate statistical analysis and lipid identification*

11 The reproducibility of the UPLC-MS method was analyzed by six replicated determinations of the same plasma  
12 sample interspersed throughout the analysis. The extracted ion chromatographic peaks of ten ions  
13 (1.09\_204.1235, 3.51\_274.2744, 3.56\_318.3003, 3.97\_373.2733, 5.65\_544.340, 5.62\_520.3403, 6.09\_496.3403,  
14 6.49\_522.3555, 7.28\_546.3527, 7.56\_524.3716) were selected for method validation. The RSD values of the  
15 retention time and peak area were below 0.54% and 2.9%, respectively. The results demonstrated good  
16 reproducibility.

17 Typical base peak intensity (BPI) chromatograms of the serum of diet-induced HLE rats were shown in Figure  
18 S1. The plasma metabolic profiling was acquired and analyzed by PLS-DA in positive ion mode. The PLS-DA  
19 scores plot was presented in Figure 1A. The corresponding loading plots (Figure 1B) showed candidate  
20 metabolites from CTL, HLE and HLE+SLPC groups. More than 3000 chromatographic peaks from three  
21 different groups were processed by MarkerLynx XS software to obtain better discrimination among CTL, HLE  
22 and HLE+SLPC groups. A clear separation between the CTL group and the HLE group was observed. The PLS-  
23 DA scores plot (Figure 1A) show the plasma lipid metabolic profile significantly changed in the diet-induced  
24 hyperlipidemic rats. Also, the data indicate the HLE+SLPC group located between the CTL group and the HLE  
25 group, and closer to the CTL group. The results reveal the general metabolic information was changed by the  
26 SLPC treatment.

27 Using the PLS-DA model from the 403 ions with high variable importance in the projection ( $VIP > 1.5$ ), a total of  
28 fifty ions were identified in this study and thirty-four lipid metabolites were selected. These lipid metabolites  
29 include seventeen glycerophospholipids, ten fatty acids or fatty acid esters, three sphingolipids, two glycerolipids

1 and one sterol lipid were identified based on criteria established in prior published studies [26,27,28] and are  
2 shown in Table 1. The lipids LPC(16:0), LPC(17:0), LPC(18:0), LPC(18:1), LPC(18:2), LPC(18:3), LPC(20:3),  
3 LPC(20:4), LPE(22:5), MG(16:1), palmitic acid, phytosphingosine, L-acetylcarnitine, cervonoyl ethanolamide,  
4 dodecanoic acid, 3-hydroxy-tetradecanoic acid and 12-O-20-carboxy-leukotriene B4 have been reported in the  
5 diet-induced hyperlipidemic rats [24]. Additional lipid metabolites were identified in the current study. Heatmap  
6 show the CTL, HLE and HLE+SLPC groups could be clearly separated based on thirty-four lipid metabolites  
7 (Figure 1C). These results were consistent with PLS-DA loading plots of the CTL, HLE and HLE+SLPC groups.

### 8 ***Selection lipid biomarkers of antihyperlipidemic effects of SLPC***

9 To further select the potential biomarkers associated with HLE model and antihyperlipidemic effects of SLPC,  
10 univariate analyses including one-way ANOVA and nonparametric test Mann-Whitney U-test were performed in  
11 the selection of differentiating lipid metabolites to compare the HLE vs CTL group and SLPC vs HLE group. To  
12 account for multiple comparisons,  $p$  values were adjusted using the Hochberg and Benjamini FDR at  $p < 0.05$  to  
13 distinguish statistically significant lipid metabolites that differed between the two groups [29]. Twenty lipid  
14 metabolites were selected with one-way ANOVA, Mann-Whitney U-test and adjusted  $p$ -values less than 0.05.

15 To narrow the scope of the biomarker pool, Hierarchical cluster analysis was employed to reveal the potential  
16 relationships among the lipid metabolites. These lipid metabolites were clustered based on their Pearson  
17 correlation coefficients (Figure 2A). Five major clusters were observed. Two lysophosphatidylethanolamines,  
18 LPE(22:5) and LPE(22:4), are distributed in cluster 1. Phytosphingosine and MG(16:1) are distributed in cluster  
19 2. All lysophosphatidylcholines with different carbon chain length including LPC(18:2), LPC(22:6), LPC(18:0),  
20 LPC(20:3), LPC(20:4) and LPC(20:1) are distributed in cluster 3. Three lipid metabolites including sphinganine,  
21 12-O-20-carboxy-leukotriene B4 and PGP(18:1/18:2) are distributed in cluster 4. Fatty acids or fatty acid esters  
22 including 3-hydroxy-tetradecanoic acid, dodecanoic acid, palmitic acid, lucidenic acid, oleoylcarnitine, L-  
23 palmitoylcarnitine and linoleyl carnitine are distributed in cluster 5. The same types of lipid metabolites are  
24 distributed in the same cluster and have similar changing trends. These results demonstrate the abnormal  
25 lysophosphatidylcholine metabolism and fatty acid metabolism contribute to diet-induced hyperlipidemic rats.  
26 The SLPC treatment could alleviate abnormal changes associated with HLE. Heatmap indicate the CTL, HLE  
27 and HLE+SLPC groups could be completely separated and the HLE+SLPC group is located between the CTL  
28 and HLE groups (Figure 2B). The PCA and dendrogram analyses show the HLE+SLPC group is much closer to  
29 the CTL group compared with the HLE group (Figure 2C and D). The results show the lipid metabolites



1 significantly increase in the HLE group compared with the CTL group, while increased lipid metabolites are  
2 significantly reversed by the treatment with SLPC.

3 Two additional methods were used to specify select biomarkers and to clearly characterize the  
4 antihyperlipidemic effects of SLPC. First, the correlation coefficient analysis was applied to study the  
5 connections between identified lipid metabolites and their corresponding groups (Figure 3). Variables situated  
6 upper are positively correlated to corresponding group and those situated opposite are negatively correlated to  
7 the corresponding group. The lipid metabolites PGP(18:1/18:2) have a positive correlation with the CTL group.  
8 The other groups have a negative correlation with the CTL group, indicating normal metabolism of plasma lipid  
9 metabolites in the CTL rats. All of the lipid metabolites have a positive correlation with the HLE group, showing  
10 the overall metabolic profile of a high fat diet causing significant abnormal metabolism. The lipid metabolites  
11 LPE(22:5), 12-Oxo-20-CLB and LPE(22:4) have a positive correlation with the HLE+SLPC group. The other  
12 groups have a negative correlation with the HLE+SLPC group. Compared with the CTL group, the sixteen lipid  
13 metabolites LPC(18:2), LPC(18:0), LPC(20:4), palmitic acid, phytosphingosine, LPC(20:3), dodecanoic acid,  
14 sphinganine, 3-Hydroxy-tetradecanoic acid (3-HTA), L-palmitoylcarnitine, LPC(22:6), MG(16:1),  
15 oleoylcarnitine, lucidenic acid A, linoleyl carnitine and LPC(20:1) showed the same tendencies in the  
16 HLE+SLPC group (Figure 3). The lipid metabolites were reversed by treatment of SLPC on the diet-induced  
17 hyperlipidemia. These sixteen lipid metabolites may be considered as potential biomarkers for  
18 antihyperlipidemic effects of SLPC. To further investigate the antihyperlipidemic effects of SLPC of these  
19 specific biomarkers, PLS-DA-based ROC curves were performed. The area under the curve (AUC), 95%  
20 confidence interval (95%CI), sensitivities and specificities are shown in Figure 4. When the values of AUC,  
21 sensitivity and specificity were more than 0.85, 85% and 85%, respectively, the lipid metabolites were  
22 considered as potential biomarkers. Eleven lipid metabolites had an AUC of more than 0.85. Among these  
23 identified potential lipid metabolites, palmitic acid, phytosphingosine, dodecanoic acid, L-palmitoylcarnitine,  
24 oleoylcarnitine and linoleyl carnitine have a high sensitivity (>85%) and specificity (>85%) for predicting  
25 antihyperlipidemic effects of SLPC. Although four lysophosphatidylcholines, LPC(18:2), LPC(20:4), LPC(20:3)  
26 and LPC(22:6), have a high AUC value, their specificities were found to be low and thus they were not  
27 considered to be a suitable plasma biomarker for the prediction of an antihyperlipidemic effect of SLPC. These  
28 results indicate that fatty acyl lipid metabolites could be used as potential biomarkers in plasma for the prediction  
29 of an antihyperlipidemic effect of SLPC.

### 1 ***Biological pathway analysis with MetPA***

2 The Ingenuity Pathway Analysis (IPA) is a web-based tool that combines an advanced pathway enrichment  
3 analysis with a pathway topology analysis to help investigators identify the most relevant metabolic pathway.  
4 MetPA uses a high-quality KEGG metabolic pathway as the backend knowledgebase. MetPA also implements a  
5 Google-map style interactive visualization system to provide the results in an intuitive manner. The biological  
6 pathway structure represented our knowledge about the complex interaction relationships from different  
7 molecules within a cell or a living organism. Well-known changes in more important positions of a network will  
8 trigger a more severe impact on the pathway than changes occurring in a marginal or relatively isolated position.  
9 Biological pathway analysis with MetPA shows the lipid metabolites identified together are important for the  
10 diet-induced HLE and the antihyperlipidemic effect of SLPC. They are responsible for fatty acid metabolism,  
11 fatty acid biosynthesis, sphingolipid metabolism, fatty acid elongation in mitochondria and unsaturated fatty  
12 acids biosynthesis (Figure 5 and Table 2).

13 Using the IPA analysis, we characterized six lipid metabolites, palmitic acid, phytosphingosine, dodecanoic acid,  
14 L-palmitoylcarnitine, oleoylcarnitine and linoleyl carnitine, to plot the significantly change of biochemical  
15 metabolism induced by the high fat diet and antihyperlipidemic effect of SLPC. Figure 6 as an example shows  
16 the detailed results from the biological pathway analysis of fatty acids metabolism. The others were shown in  
17 Figure S2, S3, S4 and S5. These results suggest that significant perturbations of these lipid metabolites occur in  
18 the above-mentioned metabolic pathways.

19

### 20 ***Biochemical interpretation***

21 The LIPID MAPS consortium defined lipids as hydrophobic or amphipathic small molecules that originate  
22 entirely or in part by carbocation based condensations of isoprene group or by carbanion based condensations of  
23 ketoacyl group [30]. Based on this definition, lipids can be divided into eight categories: fatty acyls,  
24 glycerolipids, sphingolipids, glycerophospholipids, saccharolipids, sterol lipids, prenol lipids and polyketides  
25 [30]. Figure 7 represents the change of the six lipid metabolites among the CTL, HLE and HLE+SLPC groups.  
26 The results indicate six lipid metabolites were considered as the biomarkers of antihyperlipidemic effects of  
27 SLPC, which are associated with the biochemical mechanism of SLPC. In this study, we observed a significant  
28 increase of five fatty acyl metabolites, palmitic acid, dodecanoic acid, L-palmitoylcarnitine, oleoylcarnitine and  
29 linoleyl carnitine, as well as, a significant increase in sphingolipid phytosphingosine in the high fat diet-induced

1 hyperlipidemic rats. However, the six lipid metabolites significantly decreased with treatment of SLPC.

2 **Fatty acid metabolism-** Fatty acids are the simplest and one of the most important lipid molecular classes. They  
3 are a basic component of all lipid molecules. Fatty acids are present in the form of saturated fatty acids (SFA)  
4 and unsaturated fatty acids in the human. The perturbation of fatty acid metabolism has an important effect on  
5 the initiation and progression of dyslipidemia and coronary heart disease [31]. Recent studies show SFA  
6 metabolism perturbed in HLE [32,33]. Hyperlipidemic rats were fed a high-fat diet, which contain a high SFA  
7 content of lard and egg yolk powder. Excessive intake of SFA caused a significant increase in TC, TG and LDL-  
8 C, and this is one of important reasons for atherosclerosis and an increased risk of suffering from coronary heart  
9 disease. Compared with the HLE rats, significantly increased plasma SFA were reversed by treatment with SLPC  
10 and the reason was not clear completely.

11 The previous study demonstrated an increase in blood and liver SFA promoted  $\beta$ -oxidation, leading to greater  
12 amounts of acetyl-CoA. Part of the acetyl CoA contributed to the tricarboxylic acid cycle to generate energy, and  
13 the rest participated in ketone bodies and cholesterol, causing increased blood ketone bodies and cholesterol [31].  
14 It has been reported the activity of liver HMG-CoA reductase increased after excessive intake of SFA that  
15 increased cholesterol synthesis [34]. Another study reported an increase in fatty acids in plasma than in the liver  
16 tissue [35]. This may be attributed to fatty acids synthesis in the liver promptly converting to triglyceride by  
17 hepatic diglycerol acyltransferase and exported in the circulation by very low density lipoprotein. Plasma  
18 lipidomics show an increase level of palmitic acid, dodecanoic acid, L-palmitoylcarnitine, oleoylcarnitine and  
19 linoleyl carnitine in the diet-induced hyperlipidemic rats. Our results are consistent with a previous study in rats,  
20 in which increased absorption of lipids resulted in increased plasma fatty acids [36]. These results indicate SLPC  
21 regulated abnormal SFA metabolism on the diet-induced hyperlipidemia by the inhibition of HMG-CoA  
22 reductase activity.

23 Our study identified three fatty acyl carnitines as the main biomarkers in the diet-induced hyperlipidemia and  
24 antihyperlipidemic effect of SLPC. The Carnitine cycle is the first step for the biochemical reaction of fatty acid  
25 oxidation, in which the fatty acyl CoA enters the mitochondria as fatty acyl carnitine by the carnitine transport  
26 [37]. Under dyslipidemia condition, the abnormal glycolysis accelerates the reaction of fatty acid oxidation to  
27 supply the required energy, in which fatty acyl carnitine is metabolized to promote the long-chain fatty acid in  
28 the mitochondria. It has been reported that excessive intake of cholesterol upregulates the gene expression of the  
29 fatty acid oxidation in ApoE\*3 Leiden transgenic mice, which provide the indirect evidence for their promotion

1 of fatty acids oxidation [38]. Furthermore, study reported the inhibition of fatty acid metabolism caused an  
2 accumulation of toxic intermediates such as long-chain acylcarnitine derivatives [39].  
3 ***Sphingolipid metabolism***-Phytosphingosine plays an important role in the sphingolipid biosynthesis and  
4 metabolism. Phytosphingosine is a highly bioactive metabolite and participates in cell proliferation,  
5 differentiation and apoptosis processes. Phytosphingosine induces apoptosis in human non-small cell lung cancer  
6 cells and T-cell lymphoma cells, and induces caspase-independent cytochrome c release from mitochondria. Our  
7 study show phytosphingosine in plasma significantly increase in the diet-induced hyperlipidaemic rats and  
8 significantly decrease by treatment with SLPC. An increase in phytosphingosine in plasma was consistent with  
9 reported results from an atherosclerosis model [40]. Sphingomyelinase hydrolyse sphingomyelin causes  
10 ceramide release and phytosphingosine accumulation [41]. Significant increase of sphingolipids may reduce  
11 reverse cholesterol transport and thereby increase risk of hyperlipidemia-related diseases [42,43]. A significant  
12 increase in phytosphingosine in the diet-induced hyperlipidemic rats demonstrates the expression of  
13 sphingomyelinase was upregulated and enhanced sphingolipid metabolism with the consumption of a high fat  
14 diet. Based on our current study, compared with the diet-induced hyperlipidemic rats, phytosphingosine  
15 decreased by treatment with SLPC, which may show dyslipidemia progress is involved in phytosphingosine  
16 metabolism.

17

## 18 **Conclusion**

19 The antihyperlipidemic effect of SPLC was evaluated in the high fat diet-induced hyperlipidemic rats using a  
20 UPLC-QTOF/HDMS-based lipidomic approach. Pathological and pharmacological biomarkers were used to  
21 construct the metabolic pathways underlying the pharmacological bioactivity of the antihyperlipidemia of SPLC.  
22 Twenty-five lipid metabolites significantly varied between the HLE group and the CTL group. These were  
23 characterized as potential pathological biomarkers associated with HLE. Twenty-seven lipid metabolites varied  
24 between the HLE group and the HLE+SLPC group and were characterized as potential biomarkers for the  
25 antihyperlipidemic effect of SPLC. Six lipid metabolites including five fatty acyl lipid metabolites palmitic acid,  
26 dodecanoic acid, L-palmitoylcarnitine, oleoylcarnitine and linoleyl carnitine and one sphingolipid  
27 phytosphingosine were considered as biomarkers of diet-induced hyperlipidemia and antihyperlipidemic effect  
28 of SLPC. These findings suggest a high fat diet led to the perturbation of fatty acid metabolism and sphingolipid  
29 metabolism, which may be the pharmacological basis of antihyperlipidemic effect of SLPC.

1

## 2 **Conflict of interest statement**

3 The authors declare that there are no conflicts of interest.

4

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Table 1. Identified plasma lipids, fold changes (FC) and *p*-values among CTL, HLE and HLE+SLPC groups

No	metabolites	VIP <sup>a</sup>	HLE vs CTL				SLPC vs HLE				SLPC vs CTL			
			FC <sup>b</sup>	<i>p</i> -value <sup>c</sup>	<i>p</i> -value <sup>d</sup>	FDR <sup>e</sup>	FC <sup>a</sup>	<i>p</i> -value <sup>b</sup>	<i>p</i> -value <sup>c</sup>	FDR <sup>d</sup>	FC <sup>a</sup>	<i>p</i> -value <sup>b</sup>	<i>p</i> -value <sup>c</sup>	FDR <sup>d</sup>
1	LPC(16:0)	8.7	-0.08	3.17E-01	2.70E-01	3.47E-01	0.34	5.50E-04	1.63E-03	1.56E-03	0.25	2.56E-03	8.65E-03	7.91E-03
2	LPC(18:2)	8.4	0.38	2.26E-05	1.12E-03	4.51E-05	-0.34	1.08E-03	3.25E-03	2.44E-03	0.04	5.78E-01	6.74E-01	5.78E-01
3	LPC(18:0)	7.7	0.58	2.68E-08	7.71E-04	4.55E-07	-0.38	2.59E-07	7.64E-04	8.81E-06	0.21	4.34E-03	8.60E-03	9.85E-03
4	LPC(18:1)	6.7	0.28	3.30E-02	4.58E-02	4.32E-02	-0.43	3.65E-04	1.62E-03	1.38E-03	-0.15	2.80E-01	1.88E-01	2.88E-01
5	LPC(20:4)	6.4	0.66	7.67E-07	7.78E-04	3.73E-06	-0.27	8.23E-04	3.25E-03	2.00E-03	0.38	3.22E-03	6.28E-03	9.12E-03
6	MG(18:2)	6.1	0.34	3.80E-01	3.98E-01	4.04E-01	1.87	1.42E-04	7.23E-04	8.03E-04	2.21	1.19E-02	2.34E-02	1.50E-02
7	Palmitic acid	5.8	0.71	6.30E-06	7.71E-04	1.53E-05	-0.29	5.22E-04	7.71E-04	1.61E-03	0.42	7.43E-03	1.57E-02	1.20E-02
8	Phytosphingosine	5.1	0.86	2.70E-07	7.78E-04	2.30E-06	-0.39	1.69E-06	7.78E-04	2.87E-05	0.46	8.03E-04	1.93E-03	3.41E-03
9	LPC(20:3)	3.6	0.95	3.01E-07	7.43E-04	2.05E-06	-0.48	7.09E-04	3.77E-03	1.86E-03	0.47	3.22E-03	4.40E-03	8.43E-03
10	L-Acetylcarnitine	3.3	0.11	7.47E-01	4.27E-01	7.47E-01	0.37	4.46E-02	5.15E-02	5.42E-02	0.48	5.23E-02	3.50E-02	6.13E-02
11	Cervonoyl ethanolamide	3.2	0.63	5.54E-01	3.09E-01	5.70E-01	1.21	1.35E-02	2.00E-02	2.00E-02	1.84	7.41E-03	1.50E-02	1.26E-02
12	Dodecanoic acid	3.2	0.94	6.85E-06	7.57E-04	1.55E-05	-0.40	4.25E-04	2.61E-03	1.45E-03	0.54	3.97E-03	1.75E-02	9.65E-03
13	TG(18:0/16:1/20:0)	2.8	-0.56	8.69E-04	3.01E-03	1.28E-03	-0.26	6.58E-02	2.26E-01	7.71E-02	-0.81	8.81E-09	6.29E-04	3.00E-07
14	Sphinganine	2.7	0.54	3.88E-04	5.28E-03	6.00E-04	-0.16	2.11E-02	2.54E-02	2.99E-02	0.37	7.89E-03	1.13E-02	1.22E-02
15	LPC(18:3)	2.6	0.39	8.43E-05	9.85E-04	1.51E-04	-0.09	1.20E-01	6.17E-02	1.35E-01	0.30	6.22E-03	9.75E-03	1.18E-02
16	3-Hydroxy-tetradecanoic acid	2.5	0.95	4.56E-06	7.37E-04	1.19E-05	-0.44	2.92E-04	1.54E-03	1.24E-03	0.50	8.57E-03	1.51E-02	1.21E-02
17	L-Palmitoylcarnitine	2.4	2.46	1.06E-05	6.78E-04	2.26E-05	-0.54	1.24E-02	1.72E-02	1.91E-02	1.92	2.13E-06	6.65E-04	2.41E-05
18	LPC(17:0)	2.4	-0.22	2.00E-01	3.19E-01	2.27E-01	1.23	1.75E-04	5.43E-04	8.48E-04	1.01	2.44E-03	6.06E-03	8.28E-03
19	LPC(22:6)	2.4	0.63	5.31E-05	7.57E-04	1.00E-04	-0.28	1.58E-03	3.16E-03	3.36E-03	0.35	5.50E-03	1.53E-02	1.10E-02
20	LPE(22:5)	2.3	0.38	2.73E-02	3.89E-02	3.72E-02	-0.71	4.64E-06	8.06E-04	5.26E-05	-0.33	7.39E-02	9.46E-02	8.11E-02
21	LPE(20:4)	2.3	-0.43	1.84E-03	3.65E-03	2.89E-03	0.06	3.51E-01	6.95E-01	7.22E-01	-0.47	7.56E-02	6.59E-02	7.89E-02
22	Crustecdysone	2.3	0.07	1.83E-01	1.84E-01	2.22E-01	0.12	2.59E-02	2.61E-02	3.52E-02	0.19	7.21E-03	1.34E-02	1.29E-02
23	LPC(16:1)	2.2	0.64	2.89E-06	7.43E-04	9.82E-06	-0.05	5.69E-01	5.24E-01	5.87E-01	0.58	7.61E-05	1.06E-03	3.69E-04
24	MG(16:1)	2.2	0.61	1.32E-04	1.55E-03	2.24E-04	-0.24	1.73E-03	5.94E-03	3.45E-03	0.37	9.55E-03	1.94E-02	1.30E-02
25	LPE(18:2)	2.1	0.09	1.50E-01	1.68E-01	1.89E-01	0.11	3.09E-02	3.95E-02	4.04E-02	0.20	8.02E-03	9.97E-03	1.19E-02
26	12-O-20-carboxy-leukotriene B4	2.0	1.62	1.30E-06	6.78E-04	5.50E-06	-0.90	1.10E-04	1.61E-03	7.50E-04	0.71	9.78E-03	4.03E-03	1.28E-02
27	Oleoylcarnitine	2.0	2.91	3.62E-06	7.30E-04	1.12E-05	-0.83	2.25E-03	3.50E-03	4.24E-03	2.08	2.49E-05	7.04E-04	1.41E-04
28	PGP(18:1/18:2)	2.0	2.96	1.61E-08	4.85E-04	5.48E-07	-0.55	5.63E-03	8.24E-03	1.01E-02	2.42	2.04E-05	7.69E-04	1.39E-04
29	LPE(22:4)	1.9	0.90	2.54E-07	7.04E-04	2.88E-06	-0.32	6.53E-03	1.38E-02	1.11E-02	0.58	1.09E-03	2.94E-03	4.13E-03
30	LPC(20:5)	1.9	1.11	4.55E-06	6.72E-04	1.29E-05	-0.38	2.02E-01	7.86E-02	2.15E-01	0.73	2.09E-02	2.60E-02	2.54E-02
31	Dihydroceramide	1.8	0.45	3.48E-04	1.87E-03	5.64E-04	-0.11	1.58E-01	1.66E-01	1.73E-01	0.34	5.25E-03	1.30E-02	1.12E-02
32	Lucidenic acid A	1.8	2.47	5.86E-07	5.32E-04	3.32E-06	-0.19	3.23E-02	3.65E-02	4.07E-02	2.27	4.22E-06	4.32E-04	3.58E-05
33	Linoleyl carnitine	1.8	2.92	2.09E-06	6.84E-04	7.90E-06	-0.66	7.44E-03	1.44E-02	1.20E-02	2.25	9.55E-08	4.80E-04	1.62E-06
34	LPC(20:1)	1.7	0.38	1.21E-03	4.11E-03	1.72E-03	-0.59	4.82E-05	8.60E-04	4.10E-04	-0.20	6.72E-02	7.68E-02	7.61E-02

<sup>a</sup> VIP value was obtained from PLS-DA model; <sup>b</sup> The fold change (FC) was calculated based on binary logarithm for HLE vs CTL, SLPC vs HLE or SLPC vs CTL. FC with a value greater than zero indicates a higher intensity of the plasma metabolite between HLE vs CTL, between SLPC vs HLE or between SLPC vs CTL, while a FC value less than zero indicates a lower intensity of the plasma metabolite between HLE vs CTL, between SLPC vs HLE or between SLPC vs CTL; <sup>c</sup> *p*-values are calculated from a one-way ANOVA; <sup>d</sup> *p*-values are calculated from nonparametric test Mann-Whitney U-test; <sup>e</sup> The false discovery rate (FDR) value was obtained from the adjusted *p* value of FDR correction using Benjamini Hochberg method.



Table 2 Ingenuity pathway analysis (A) and sphingolipid metabolism (B) with MetPA from lipid metabolites

Pathway Name	Total metabolites	Hits	$p$	$-\log(p)$	Holm $p$	FDR	Impact	Details
Fatty acid metabolism	39	2	0.0043	5.45	0.35	0.21	0.0	Figure 6
Fatty acid biosynthesis	43	2	0.0052	5.26	0.42	0.21	0.0	Figure S2
Sphingolipid metabolism	21	1	0.0580	2.84	1.00	1.00	0.0	Figure S3
Fatty acid elongation in mitochondria	27	1	0.0741	2.60	1.00	1.00	0.0	Figure S4
Unsaturated fatty acids biosynthesis	42	1	0.1135	2.17	1.00	1.00	0.0	Figure S5

Total is the total number of lipid metabolites in the pathway; the Hits is the actually matched number from the user lipid metabolites; the Raw  $p$  is the original  $p$  calculated from the enrichment analysis; the Holm  $p$  is the  $p$  value adjusted by Holm–Bonferroni method; the impact is the pathway impact value calculated from pathway topology analysis.

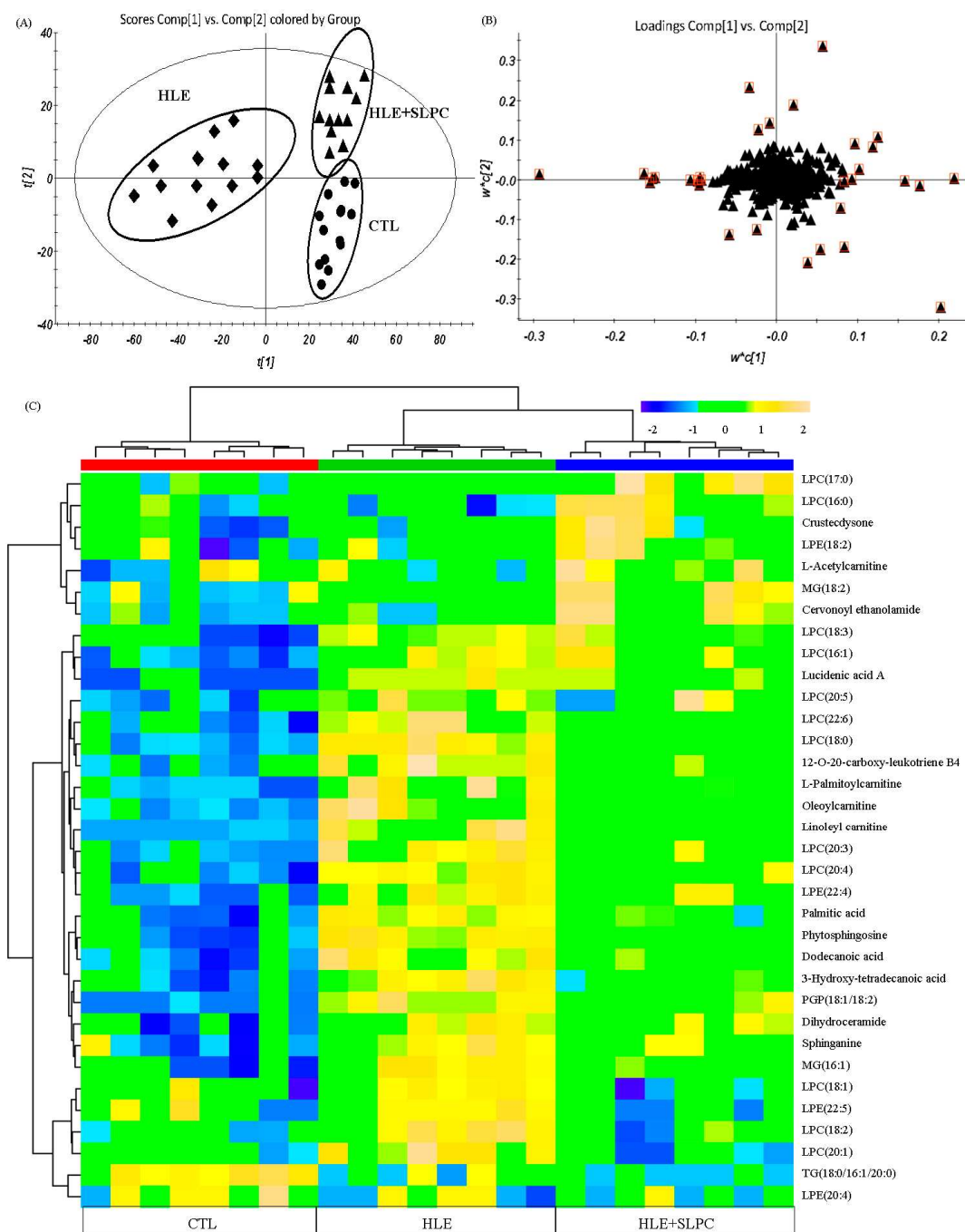


Figure 1. Multivariate statistical analysis of the CTL, HLE and HLE+SLPC groups. PLS-DA scores plot (A) loading plot (B) of plasma from CTL, HLE and HLE+SLPC groups. The variables marked are the metabolites selected as potential biomarkers. (C) Hierarchical clustering heat map of the thirty-four differential lipids, with the degree of change in the CTL, HLE and HLE+SLPC groups marked with colors indicating up-regulation (pink) and down-regulation (blue). Identified lipids were represented in the horizontal axis, and individual samples in the vertical axis.  $t[1]$ , component 1;  $t[2]$ , component 2;  $w*c[1]$ , component 1;  $w*c[2]$ , component 2; comp[1], component 1; comp[2], component 2.

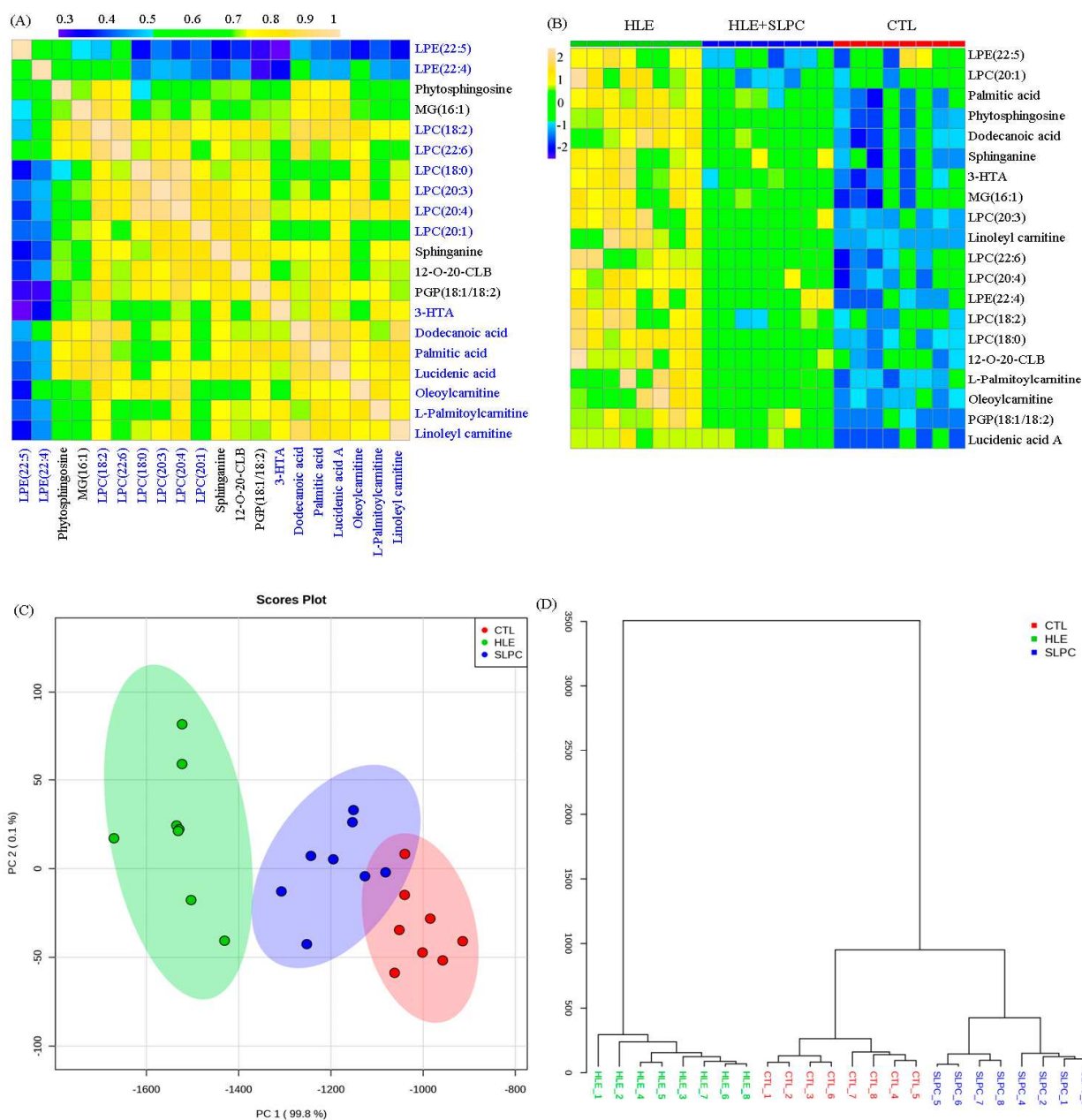


Figure 2. Hierarchical cluster of twenty identified lipid metabolites. (A) Correlation analysis of the differential lipid metabolites in the CTL, HLE and HLE+SLPC groups. (B) Heat map cluster for identified plasma lipid metabolites in the CTL, HLE and HLE+SLPC groups. The color of each section is proportional to the significant change in lipid metabolites (pink, up-regulation; blue, down-regulation). 12-O-20-CLB: 12-O-20-carboxy-leukotriene B4; 3-HTA: 3-hydroxy-tetradecanoic acid. (C) PCA of twenty differential lipid metabolites. The HLE and control could be separated completely based on these identified lipid metabolites. (D) Dendrograms of hierarchical clustering of CTL, HLE and HLE+SLPC groups using significant metabolites by one-way ANOVA.

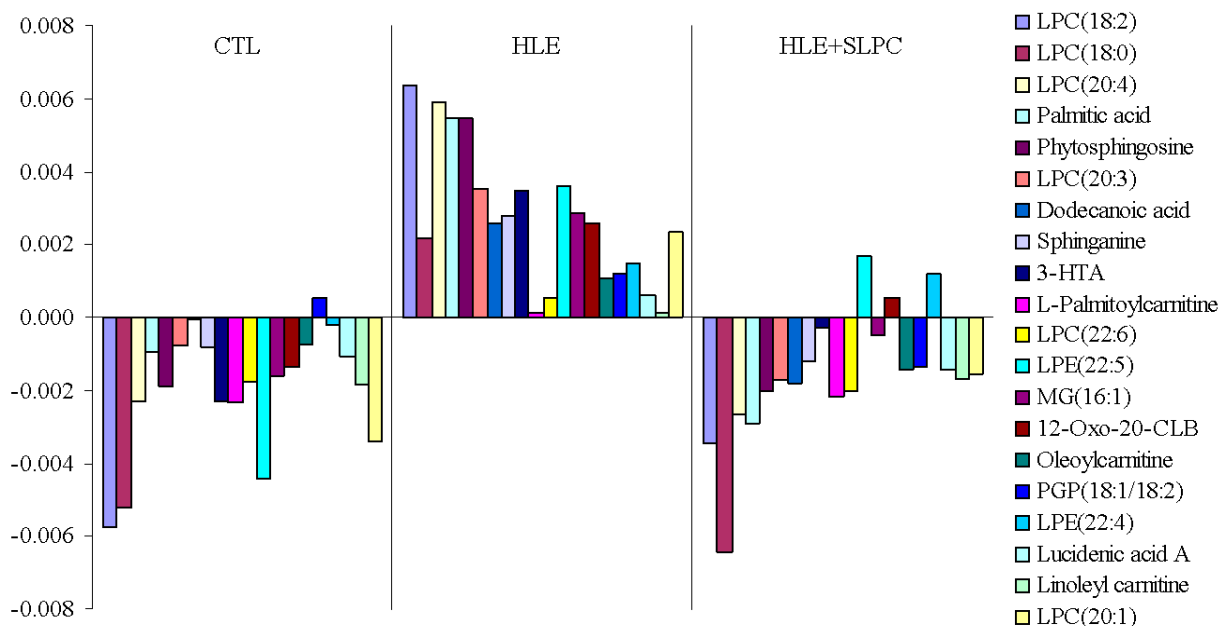


Figure 3. Correlation coefficient analysis among CTL, HLE and HLE+SLPC groups with corresponding lipid metabolites in the different groups. Variables are presented in CTL, HLE and HLE+SLPC groups. Values of correlations are shown in the vertical axis (upper for positive correlations and under for negative correlations) and corresponding lipid metabolites represented to the right of the bars.

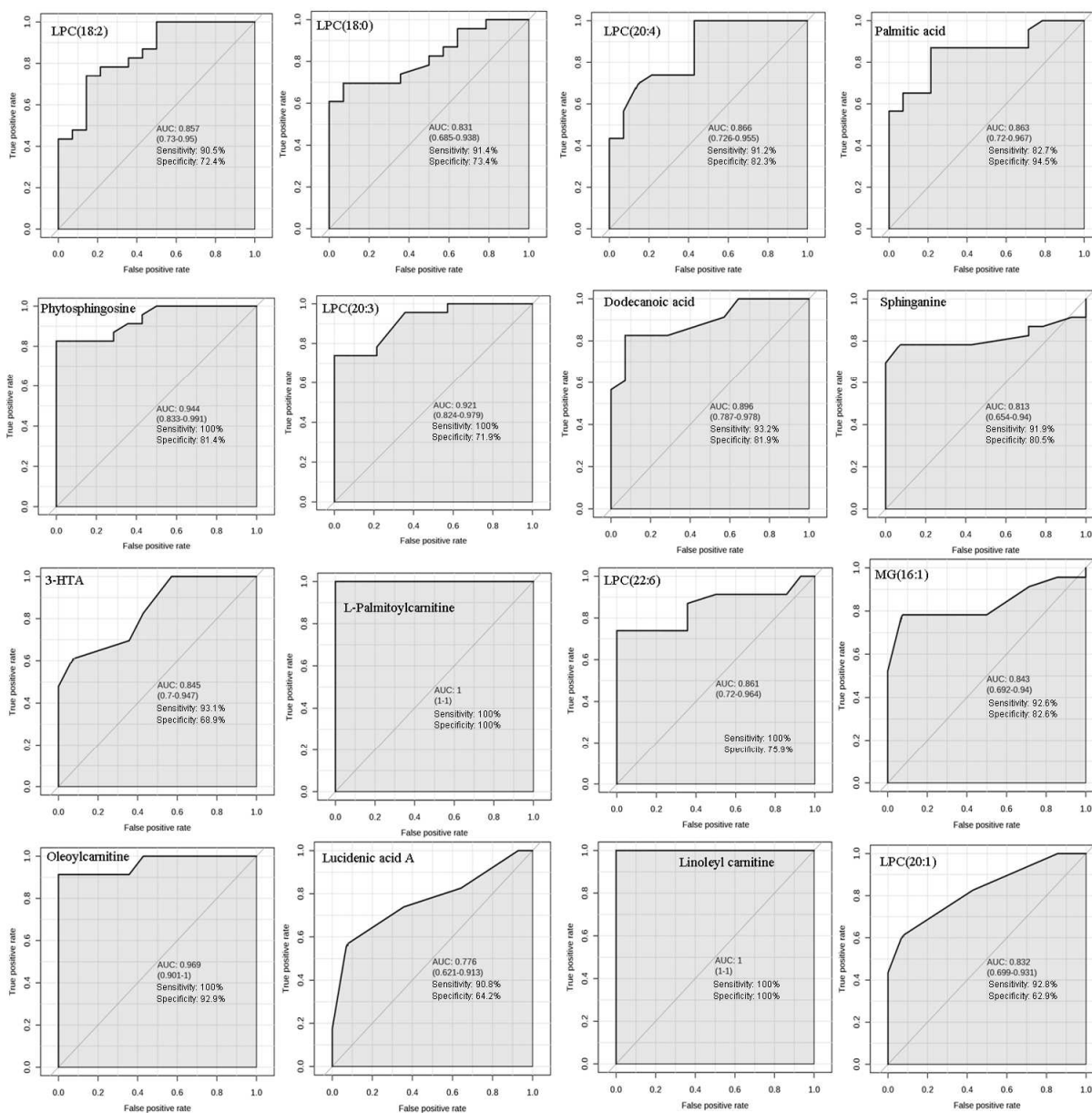


Figure 4. PLS-DA-based receive operating characteristic curves of the identified lipid metabolites for evaluation of antihyperlipidemic effects of SLPC. The associated area under the curve (AUC), 95% confidence interval (95%CI), sensitivities and specificities were indicated.

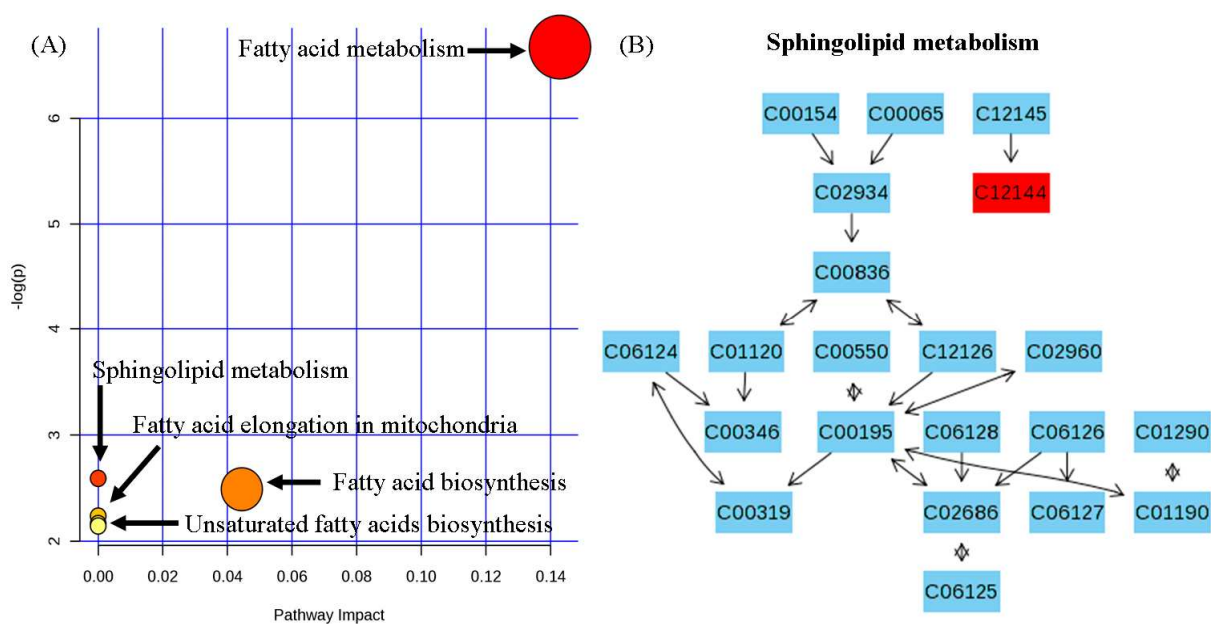


Figure 5. Ingenuity pathway analysis with MetPA. Summary of ingenuity pathway analysis with MetPA including fatty acid metabolism, fatty acid biosynthesis, spingolipid metabolism, fatty acid elongation in mitochondria and unsaturated fatty acids biosynthesis with MetPA from significantly lipid metabolites (A) and spingolipid metabolism (B). The size and color of each circle was based on pathway impact value and  $p$ -value, respectively.

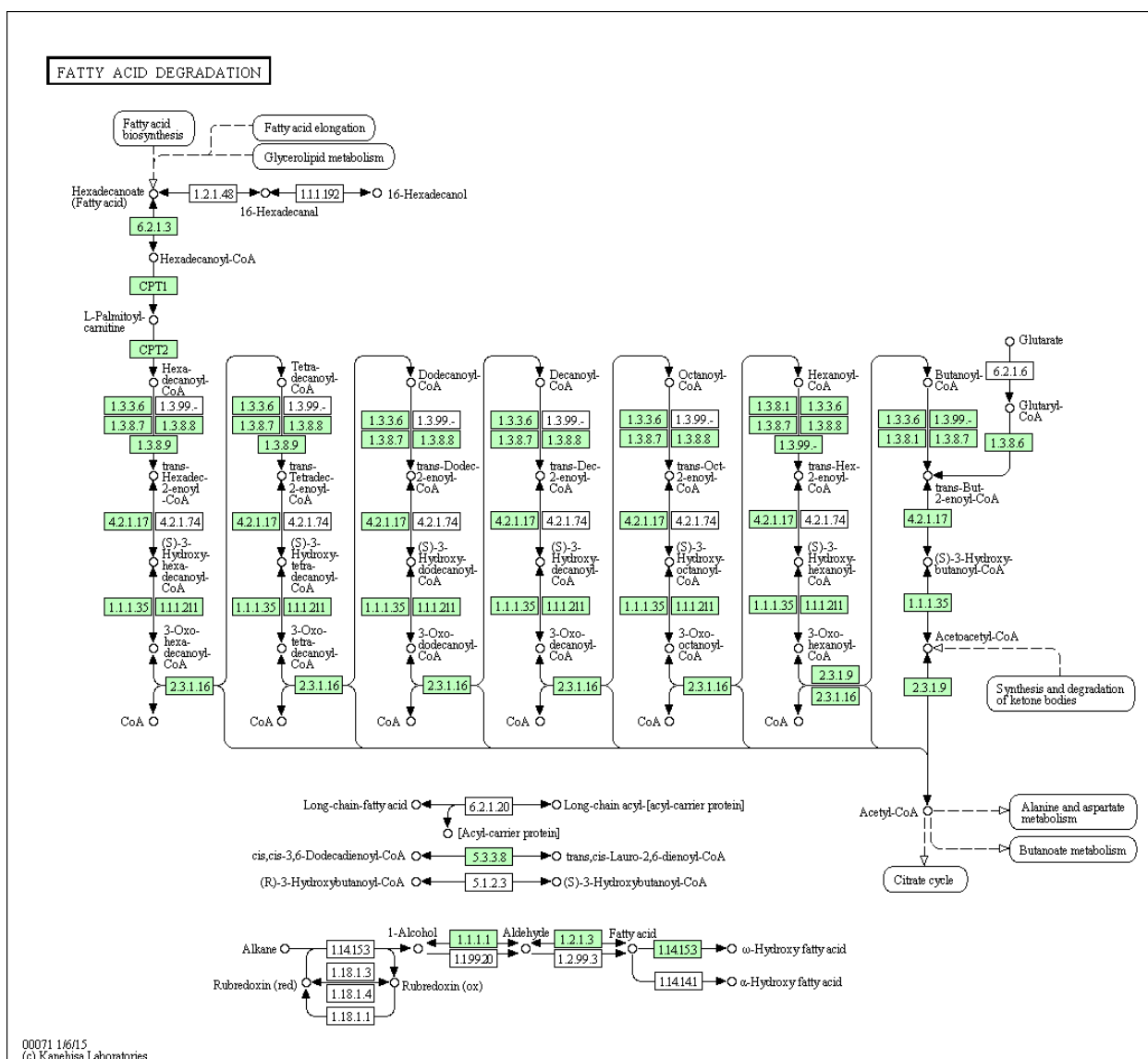


Figure 6. Overview of fatty acid metabolism with MetPA in rats. The reference map by KEGG (<http://www.genome.jp/kegg/>). The green boxes represent enzymatic activities with putative cases of analogy in rats.

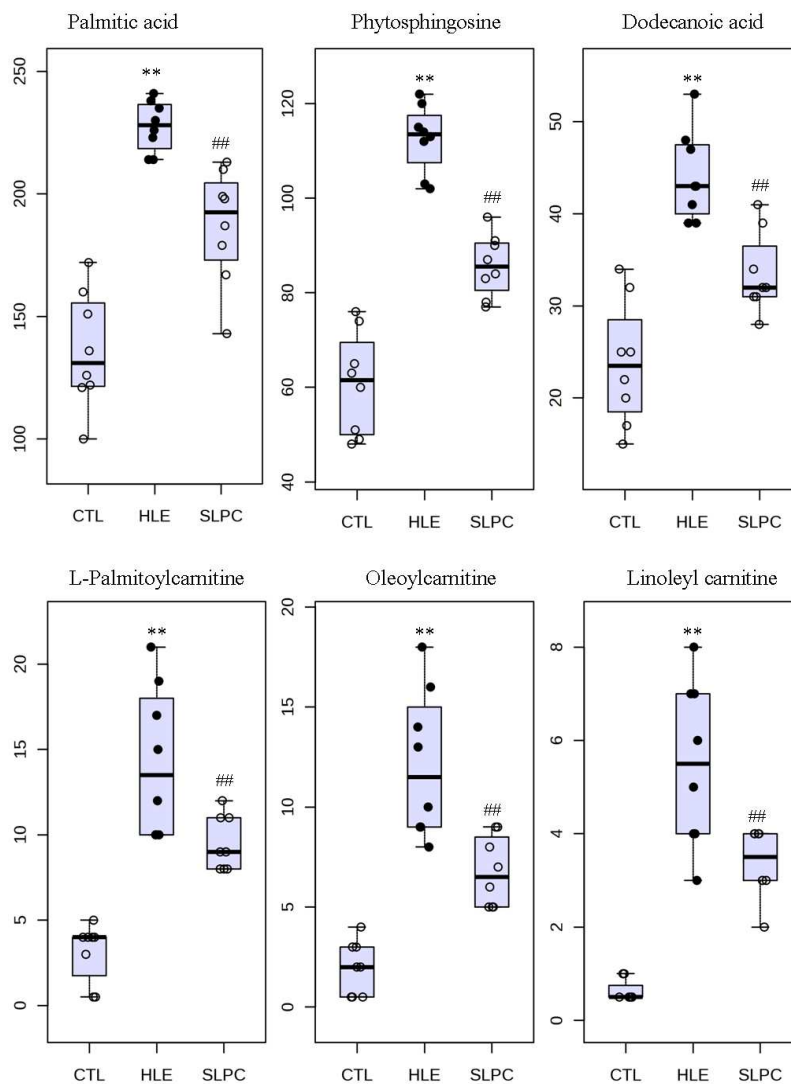


Figure 7. Combined box-and-whisker and dot plot of normalized intensity of six lipid metabolites (palmitic acid, phytosphingosine, dodecanoic acid, L-palmitoylcarnitine, oleoylcarnitine and linoleyl carnitine) in the CTL, HLE and HLE+SLPC groups. The statistical significance between the two groups are marked. \*\* $P < 0.01$  significant difference compared with CTL group; ## $P < 0.01$  significant difference compared with HLE group.



## Graphical Abstract

