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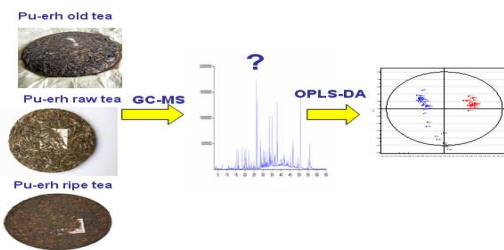


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Graphical abstracts

1 **Application of gas chromatography-mass spectrometer and**
2 **chemometrics methods for assessing volatile profiles of**
3 **Pu-erh tea with different processing ways and ageing year**

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25 **Abstract**

26 Volatile changes and the post-fermentation ageing process of tea remain largely unknown. Additionally,
27 the understanding of the ageing and storage processes of tea mostly rely on sensory experience and
28 lack the support of scientific and accurate data. In this paper, a development method based on the
29 head-space solid phase microextraction/gas chromatography-mass spectrometer (HS-SPME/ GC-MS)
30 combined with multivariate statistical methods was used to assess volatile profiles in different type
31 Pu-erh teas, including raw, ripe and aged Pu-erh teas. A total of 122 aroma components were identified
32 in 57 Pu-erh teas. Differences in the manufacturing type and storage years of Pu-erh teas resulted in
33 different compositions and contents of volatile components. The characteristic volatiles in aged teas
34 were hexadecanoic acid, dihydroactinidiolide, caffeine, linalool, 6,10,14-trimethyl-2-pentadecanone,
35 β -ionone, cedrol, and phytol; the characteristic volatiles in raw teas were linalool, tridecane, caffeine,
36 dihydroactinidiolide, β -ionone, 6,10,14-trimethyl-2-pentadecanone, dodecane, etc.; and the
37 characteristic volatiles in ripe teas were 1,2,3-trimethoxybenzene, hexadecanoic acid,
38 1,2,4-trimethoxybenzene, dihydroactinidiolide, 6,10,14-trimethyl-2-pentadecanone, caffeine, and
39 1,2,3-trimethoxy-5-methyl-benzene. Through principal component analysis (PCA), clustering analysis
40 (CA) and orthogonal projection to latent structures discriminate analysis (OPLSDA), three different
41 kinds of Pu-erh teas were classified successfully. Additionally, the aged Pu-erh teas showed similar
42 volatile constituents as the ripe teas. This study suggested that HS-SPME/GCMS combined with
43 chemometrics methods is accurate, sensitive, fast, universal and ideal for rapid routine analysis and
44 discrimination of Pu-erh teas with different processing technologies and storage times.

45 **Keywords:** *Pu-erh tea; manufacturing type; volatile component; aged tea; HS-SPME; GC-MS;*
46 *chemometrics methods*

47 **Introduction**

48 Pu-erh tea is made from the tea leaves of Yunnan big leaves species in a certain area of the
49 Yunnan province ¹. Pu-erh tea is usually processed by two different methods; raw Pu-erh tea (also
50 known as Pu-erh green tea) is traditionally produced by pressing the sun-dried green tea leaves. Ripe
51 Pu-erh tea is produced by a pile fermentation process in a hot and humid environment with
52 microorganisms for approximately 60 days before it is pressed. In addition, Pu-erh raw tea can have a
53 similar flavour characteristic as Pu-erh ripe tea after a long ageing time (generally longer than 10 years)
54 and is usually called Pu-erh aged tea or dry-stored tea ^{2,3}. In recent years, more and more people like
55 Pu-erh tea because of its unique flavour and its health efficacy; thus, Pu-erh tea has sold well and
56 obtained a good reputation both in China and abroad ⁴.

57 The ageing of tea is common, and drinking aged tea seems likely to become a trend in some
58 countries. Many teas, such as Anji white tea, Fuzhuan tea, High Mountain Oolong tea, Sichuan dark tea,
59 and Pu-erh tea, have a better quality (taste and flavour) and better health efficacy if they are treated
60 with long-term ageing. Pu-erh tea has been recognized since the Tang dynasty (AD 618-906) in China,
61 and the taste has been observed to improve with longer preservation times ⁵. Thus, Pu-erh aged tea is
62 generally more expensive than newly produced Pu-erh tea. Tea consumers and merchants are often
63 willing to pay higher prices to obtain older Pu-erh tea. With the increasing awareness of the importance
64 of healthy living, the consumption demand of high quality Pu-erh aged tea products has been
65 increasing significantly. To obtain higher profits, some manufacturers are misleading customers by
66 labelling inferior or relatively new Pu-erh tea products as older Pu-erh tea. Sensory evaluation is
67 currently a common method used to differentiate various teas that have undergone different processing
68 methods ⁶. However, this approach cannot always result in an objective judgement because it is easily

69 influenced by physical and mental conditions ⁷. Moreover, this approach is unable to reflect the
70 chemical difference of various different ages of tea and usually lacks a comprehensive view of tea
71 chemical compositions. Thus, developing a method for discriminating the natural ageing process and
72 identifying the processing methods (raw tea and ripe tea) is urgently required not only for consumers to
73 protect their interests but also for tea producers to apply quality control. Additionally, analysing the
74 chemical composition changes with different productive years by using modern instrumental analysis
75 methods can help us to understand the dynamic changes of chemical compounds in tea that is stored
76 during the ageing process.

77 The rapid development of modern instrumental analysis technology, such as LC (liquid
78 chromatogram), near infrared reflection (NIR), inductively coupled plasma mass spectrometry
79 (ICP-MS), nuclear magnetic resonance (NMR), GC-MS, etc., make it possible to obtain more
80 information (variables) of large samples (objects) in a relatively shorter time ⁸⁻¹⁰. Consequently, making
81 use of different multivariate statistical methods to maximize the extraction of useful information from
82 large data sets is of great importance. These methods mainly include principal component analysis
83 (PCA), cluster analysis (CA), SIMCA (soft independent modelling of class analogy), orthogonal partial
84 least squares discriminate analysis (OPLS-DA), etc. ¹¹⁻¹³. Currently, instrumental analysis technologies
85 combined with these chemometrics methods have been applied with increasing success in the analysis
86 of samples of different tea types. For instance, using GC-MS and the fingerprinting technique
87 combined with global volatile profiling, we have revealed that the green teas have a feature of
88 producing area-dependent chemical components ¹⁴. Lin *et al.* ¹⁵ successfully used HS-SPME combined
89 with PCA, CA and linear discriminate analysis (LDA) to discriminate five Oolong tea (*Camellia*
90 *sinensis*) varieties; Ye *et al.* ¹⁶ applied similar methods to distinguish between Hubei green teas and

91 Henan green teas in China. Other analysis technologies, such as NMR ¹⁷, ICP-MS ¹⁸, NIR ¹⁹, and
92 LC-MS ²⁰ combined with multivariate statistical methods also obtained satisfactory results when
93 applied to identify geographic origins and processing types of various tea samples. Therefore,
94 multivariate statistical methods combined with HS-SPME/GC-MS could be an effective and
95 convenient tool for comprehensive analysis of different tea volatiles in order to assess tea quality and to
96 investigate the behaviour of volatiles during long-term tea storage.

97 To our knowledge, the study of chemical composition associated with processing methods and
98 production length of Pu-erh tea is very limited. Ku *et al.* ²¹ have used LC-MS and chemometrics
99 methods to analyse the processing type and change of water-soluble components in Pu-erh tea with
100 different post fermentation lengths, demonstrating that a chemometrics method was an effective tool
101 for identifying processing types and the post fermentation length of Pu-erh tea. Xu *et al.* ²² used NIR
102 spectroscopy combined with chemometrics methods to discriminate the type (raw tea and ripe tea) and
103 predict the age of Chinese tuo tea. Nevertheless, there is no study on volatile profiling using GC-MS
104 and multivariate statistical method analysis from different production years and processing types of
105 Pu-erh tea, especially Pu-erh aged tea. Additionally, the study of ageing processes and the similarities
106 and differences between Pu-erh aged tea and ripe tea are essentially unknown.

107 Our previous study ^{14, 23} used the HS-SPME/GC-MS methodology to investigate the fingerprint
108 characteristic of Dayi Pu-erh ripe tea and Pu-erh green tea; however, it mainly involved the fingerprint
109 similarity analysis method, such as the correlation coefficient of similarity and overlapping
110 chromatographic peaks (ORP), and did not involve the OPLS-DA method; additionally, only a single
111 processed type of Pu-erh tea was involved. In the present study, the GC-MS method combined with
112 PCA, CA and OPLS-DA techniques was adopted to probe potential differences in aroma characteristics

113 among three types of Pu-erh teas (raw, ripe and aged). Changes in the content of volatile components in
114 relation to the ages and production process of Pu-erh teas were also explored. Our study might provide
115 a reference point for a fast, simple method for identifying Pu-erh teas that have been produced with
116 different ages and processing types.

117 **Materials and methods**

118 **Materials**

119 Different ages of Pu-erh raw, aged and ripe teas were collected from Jingmai Mountain in the Pu-erh
120 district, located in the southwest Yunnan Province. The volatile compositions of tea are easily affected
121 by climates, agricultural practices, and storage conditions; thus, tea samples coming from the same
122 geographical area were collected to minimize the effects of these factors. Although the aged and ripe
123 teas have a similar appearance, they undergo different fermentation methods. Ripe teas were rapidly
124 pile-fermented under the action of microorganisms, whereas aged teas are piled for many years in a
125 natural way without being processed by pile fermentation. A total of 57 Pu-erh tea samples were
126 collected. Raw teas more than 10 years old were regarded as old-aged teas; these have obvious
127 differences in appearance, flavour, and colour of tea infusion compared with fresh raw tea. To ensure
128 maximum reliability of the production year of Pu-erh old tea samples, all of the samples that were
129 provided must have a production logo (batch, production time, raw material, etc.) or collection records;
130 at the same time, there must be two evaluation experts that further determined auxiliary factors in
131 combination with their sensory characteristics (shape, liquor colour, taste, etc.). It is important to note
132 that a time error may exist when the Pu-erh tea is aged more than 30 years. Because it was very
133 difficult to find a reliable source of old teas, the number of old tea samples in this study was relatively
134 small (only tens). The details of all these tea samples were listed in Table 1. Among 57-Pu-erh teas,

135 “O” represented aged teas, “R” represented raw teas, and “P” represented ripe teas. Additionally,
136 samples from the same year were presented with different codes. For example, O8 means the old aged
137 tea from the year 2008, and O8-1 and O8-2 means two different samples from the year 2008. Because
138 our research was performed in March 2014, the Pu-erh tea samples that were collected were produced
139 before the year 2014.

140 **HS-SPME method**

141 The solid-phase extraction coatings (65 μm polydimethylsiloxane/divinylbenzene (PDMS/DVB)) were
142 provided by Supelco (Bellefonte, PA, USA). The HS-SPME method was described in detail by a
143 previous study²³. The ground tea sample (2.0 g) was weighed and placed in a 20 mL sealed headspace
144 vial; then the sample was infused with 5 mL of boiling distilled water. Then, the HS-SPME fibre was
145 exposed to the sample headspace while the tea powder was continuously stirred (250 rpm) for 60 min
146 at 80 °C. After extraction, the fibre coatings were removed from the headspace vial and were
147 immediately inserted into the GC-MS splitless injector for absorbance (250 °C for 3.5 min) and further
148 separation and identification.

149 **GC-MS analysis**

150 A 7890A GC-5975C MS system (Agilent Technologies, CA, USA) was used for separation and
151 identification of volatile components of Pu-erh tea. The chromatographic column was an HP-5MS
152 column (30 m \times 0.25 mm \times 0.25 μm film thickness), with the high purity helium acting as the gas
153 carrier; the flow rate was controlled at 1 mL min⁻¹. The injector temperature was 250 °C and was
154 equipped with a splitless injector. The temperature was programmed for 50 °C (held for 1 min) and
155 increased to 210 °C at 3 °C min⁻¹ (held for 3 min) and then was programmed for 210 to 230 °C for
156 15 °C min⁻¹. The MS ion source temperature was 230 °C, and electron energy was 70 eV. The scan

157 range was 35–500 amu. The solvent delay time was 2.8 min.

158 **Data processing**

159 Chromatographic peaks were recognized by ChemStation and were identified by the NIST 08.L MS
160 data library and the retention indices (RI) method²⁴⁻²⁷. The relative content of the chromatographic
161 peaks were obtained by a peak area normalization method based on the total ion current. The RI of
162 each compound was obtained by using a 1 μ L n-alkane mixture (C8-C40; Sigma-Aldrich, USA) under
163 the same GC-MS experimental conditions. The data matrix was transferred in to the SIMCA-P12
164 package (Umetrics, Umea, Sweden) where PCA, CA and OPLS-DA analyses were conducted.
165 Duncan's multiple range tests were used to test the significance of the differences among different
166 groups of Pu-erh tea samples using SPSS 17.0 software.

167 **Results and discussion**

168 **Repeatability and stability test**

169 The repeatability of the HS-SPME method was determined by analysing the same Pu-erh tea sample 6
170 times under the same experimental conditions. The relative standard deviation (% RSD) of the peak
171 area for the volatile components ranged from 7.45 to 11.13%. With the same HS-SPME/GC-MS
172 method, the sample stability was determined at 0, 4, 8, 16, 24, 48 h by using the same Pu-erh raw tea
173 sample (R1). The RSD of the peak area for the volatile components ranged from 8.97 to 11.98%. The
174 repeatability and stability test results indicated that the HS-SPME/GC-MS method was reliable and
175 applicable for analysis of the volatile components of Pu-erh tea.

176 **Analysis of volatile profiles of Pu-erh teas with different types**

177 To investigate aroma characteristics of the tested Pu-erh tea samples, their volatile compounds were
178 detected by GC-MS, and the content of identified volatiles were calculated and compared. A total of 57

179 Pu-erh teas were analysed, and the TICs of three different types of Pu-erh tea were shown in Figure S1
180 (see electronic supplementary information). The identified volatile compounds and their relative
181 contents (%) (mean value and standard deviation) were summarized in Table 2. A total of 122 aroma
182 compounds were identified in all tea samples: 116 components were identified in 10 aged teas, 82
183 components were identified in 23 raw teas, and 105 components were identified in 24 ripe teas.

184 The volatile components identified in 57 Pu-erh teas mainly included hydrocarbons, alcohols,
185 ketones, methoxyphenolic compounds, esters, aldehydes, furans, nitrogen compounds, lactones, and
186 acids. As listed in Table 2, obvious differences in the volatile composition and content among these
187 three different Pu-erh teas can be found. The main volatile components in 10 aged teas were
188 hexadecanoic acid, dihydroactinidiolide, caffeine, linalool, 6,10,14-trimethyl-2-pentadecanone,
189 β -ionone, cedrol, and phytol; the main volatile components in 23 raw teas were linalool, tridecane,
190 caffeine, dihydroactinidiolide, β -ionone, 6,10,14-trimethyl-2-pentadecanone, dodecane, etc.; and the
191 main volatile components in 24 ripe teas were 1,2,3-trimethoxybenzene, hexadecanoic acid,
192 1,2,4-trimethoxybenzene, dihydroactinidiolide, 6,10,14-trimethyl-2-pentadecanone, caffeine, and
193 1,2,3-trimethoxy-5-methyl-benzene. The Pu-erh raw tea retains the flavour and colour of the original
194 tea because of the lack of post fermentation in the manufacturing process, and therefore, it is not
195 surprising that its aroma components and taste were similar to other green teas, such as Longjing tea ²⁵,
196 Hubei green tea ¹⁶, Biluochun green tea ¹⁴, etc. The most obvious feature was that the content of
197 alcohols accounted for a higher proportion (30.65%) in raw teas, especially linalool, phytol, and
198 geraniol. These volatiles, together with some other terpene alcohols, were likely the major contributor
199 to the herbaceous and pleasant flowery odour of the raw tea ²⁸. In contrast, the content of these volatile
200 compounds in the aged tea and ripe tea were only 21.95% and 14.69%, respectively. Because the aged

201 teas were not processed by fast pile fermentation, the relative content of alcohols is obviously lower
202 than that of the raw tea. There seems to be two possible reasons for such a decrease: the first was that
203 some lower boiling alcohol compounds were volatilized during long-term storage process and the
204 second was that some alcohol compounds were transformed into other substances by exogenous
205 microbes and natural oxidation during long-term storage. In the case of the ripe tea, the fermentation
206 process may effectively facilitate the oxidation and decomposition of some alcohols and therefore lead
207 to the lowest content of alcohols among the three types of teas. The content of hydrocarbons in raw tea
208 was higher than in the aged and ripe teas, especially that of tridecane and dodecane. This is possibly a
209 result of the effective preservation of these components (that are enriched in the fresh tea leaves) in
210 green tea without specific processing. Most of these identified hydrocarbons were saturated
211 hydrocarbons; they usually make a minor contribution to tea flavour²⁹. Unsaturated hydrocarbons play
212 a vital role in the aroma of the tea; their content was relatively low, e.g., only 2.56% in ripe tea, and as
213 such, may make a limited contribution to the aroma of Pu-erh tea. Ketones, with a sweet and woody
214 flavour, exhibit comparable content in the three types of Pu-erh. We speculate that the processing and
215 storage time has only a minor effect on the content of ketone compounds, and the contribution of
216 ketone compounds to aromas of different types of Pu-erh tea is insignificant, similar to ionone. In
217 addition, the content of methoxyphenolic compounds showed a significant difference ($p < 0.001$)
218 among these three types of Pu-erh tea. The content of methoxyphenolic compounds was found to be the
219 highest (27.78%) in ripe teas in comparison with the aged teas (11.85%) and raw teas (1.05%).
220 Methoxyphenolic compounds made a strong contribution to the stale flavour of Pu-erh ripe teas, and as
221 such, can be used as potential markers to distinguish among these three types of Pu-erh tea²⁶. Some
222 methoxyphenolic components, such as 1,2,3-trimethoxybenzene and 1,2,4-trimethoxybenzene, were

223 commonly found in the aged and ripe teas, demonstrating that these two teas share certain chemical
224 similarities to each other. In fact, although the aged tea has not experienced a post fermentation process,
225 its quality characteristics were similar to the ripe tea after lengthy ageing. Therefore, we suggested that
226 the methoxyphenolic compounds could be the important factor causing similar quality characteristics
227 between aged tea and ripe tea. Similarly, under the action of microorganisms and hot and humid
228 conditions, Pu-erh ripe tea generates many methoxyphenolic compounds within a short time. Although
229 microorganisms have an important role in the formation of methoxyphenolic compounds, the observed
230 high content of methoxyphenolic compounds in the aged tea suggested that the formation of these
231 compounds did not necessarily rely on microorganisms. Consequently, the formation mechanism of
232 methoxyphenolic compounds needs to be investigated further. The content of aldehyde compounds in
233 aged tea (3.12%) was relatively higher than in raw tea (1.55%) and ripe tea (1.08%). Among them, the
234 content of (E)-2-decenal was the highest in the aged tea, whereas it was almost undetectable in the
235 other two tea types. 2-Dodecenal was only detected in the aged tea, and the contents of hexanal and
236 benzaldehyde were higher in the aged tea than in the other two tea types. Therefore, these volatiles are
237 likely to make a notable contribution to the aroma of aged tea. With regard to the lactone compounds,
238 only dihydroactinidiolide has been detected in all teas. Dihydroactinidiolide, which has a coumarin and
239 musk flavour and has a certain contribution to the aroma of Pu-erh tea, may be a degradation product of
240 β -carotene. As for the acid compounds, only hexadecanoic acid has been detected. Of note, its content
241 was higher in aged tea (8.44%) and ripe tea (10.52%) than in raw tea (1.82%). It therefore seems likely
242 that the content of hexadecanoic acid is related to the fermentation processing and storage time. In
243 addition, the similar quality characteristics of aged tea and ripe tea may also be attributed to the
244 comparable contents of hexadecanoic acid in these two tea types. The main nitrogen compound in the

245 three types of Pu-erh tea was caffeine, which was mainly related to the taste characteristics of the tea.
246 The contents of esters, phenolics and oxygen-containing heterocyclic compounds were low in all
247 Pu-erh teas. 2-Pentyl furan, whose formation is related to the Maillard and Strecker degradation
248 reactions of amino acids and sugars, was detected but showed significant differences in content among
249 the three types of Pu-erh tea³⁰.

250 Pu-erh raw tea has an even richer set of chemical substances than regular green tea, including
251 water-extractable substances and tea polyphenols, which provide a favourable material base for the
252 transformation of chemical constituents during the post-fermentation process and the natural ageing
253 process². In terms of the volatile components in Pu-erh teas, after post-fermentation, alcohol and
254 hydrocarbon component content reduced sharply, while methoxyphenolic components increased
255 significantly, and as a result, a great change in aroma quality occurred. As mentioned above, it has been
256 reported that longer ageing improves the quality of Pu-erh tea. It can be seen from our results that aged
257 tea and ripe tea have some similarities based on aroma components, such as methoxyphenolic and
258 alcohol compounds; this makes these two tea types share some similar aroma characteristics. Some
259 water-soluble ingredients, such as tea polyphenols and flavonoid compounds, should be further
260 compared to explore the similarities and differences of their taste characteristics. In a word, the aged
261 tea was piled in a natural way without being processed by pile fermentation, and therefore, it is not
262 surprising that some differences are observed in the content and composition of aroma components
263 between the aged tea and the ripe tea. With the aid of microorganisms, ripe tea achieves similar quality
264 characteristics as aged tea through rapid fermentation in a short time; these quality characteristics have
265 been widely recognized by consumers.

266 In the process of long-term ageing, Pu-erh aged tea experiences complex chemical changes,

267 resulting in a sharp decrease in levels of low-boiling alcohols and hydrocarbons, and an increase in
268 some of the high-boiling acids, e.g., hexadecanoic acid. Because of storing the tea for several decades,
269 some low-boiling point substances were lost via evaporation, while some ingredients were enriched
270 because of chemical transformation from other compounds such as tea polyphenols. Du ²⁴ and Lv ²⁶
271 found that the formation of methoxyphenolic compounds may have a particularly close connection with
272 the methylation of tea catechins. Overall, the mechanism of post fermentation and long-term ageing of
273 Pu-erh tea needs to be studied in-depth to explore the effects of various conditions on changes of tea
274 inclusions, including polyphenols and volatile components.

275 However, it is extremely difficult to predict the volatile change during the storage process of
276 Pu-erh tea. Because tea aroma component is a very complicated system and easily influenced by many
277 factors, it needs to simultaneously characterize large numbers of volatiles in data matrices. In
278 subsequent work, study of the dynamic change of the chemical composition and content associated
279 with processing and storage length of Pu-erh tea is necessary. Additionally, not all volatile components
280 contribute to fragrance equivalently; sometimes the aromatic contribution of specific volatile
281 ingredients on a large scale was required to study more techniques in order to investigate and expose
282 their hidden characteristics. Therefore, electronic nose (e-nose) and gas chromatography-olfactometry
283 (GC-O) can be used in further studies for sensory evaluation of Pu-erh teas.

284 **Optimization of data scaling methods in PCA**

285 PCA, a well-known unsupervised technique, has been proven to be a powerful tool in summarizing and
286 further explaining large data sets both statistically and visually ³¹⁻³⁴. Of importance, the
287 multidimensional data set can be transformed into 2D or 3D coordinates via principal component
288 projection. At present, five automatic scaling procedures are available in SIMCA-P12 software: UV

289 scaling (variables are centred and divided by their standard deviation), UVN scaling (same as UV, but
290 the variable is not centred), Par scaling (variables are centred and divided by the square root of their
291 standard deviation), ParN scaling (same as Par, but variables are not centred), and Ctr scaling (variable
292 is centred but not scaled). UV scaling gives each variable an equal chance of being expressed in the
293 statistical analysis, while Ctr scaling influences a variable that is related to its amplitude; hence, low
294 content elements have little influence. Par scaling is a compromise between them; the influence of low
295 amplitude variables is enhanced, and centring the variables before scaling is helpful to reduce the
296 distortion of results induced by multicollinearity³⁵. Thus, it was essential to determine which scaling
297 method gives the most reliable and unbiased results for our research. For this reason, it was decided to
298 compare (by means of PCA) the influence of all five scaling methods based on the content of identified
299 volatiles in all Pu-erh teas, and the corresponding results were shown in Figure 1.

300 As shown in Figure 1a and 1c, raw teas and ripe teas are well separated, and old teas are located
301 between ripe teas and raw teas. However, in UVN (Figure 1b), ParN (Figure 1d) and Ctr (Figure 1e),
302 the separation between samples from the same or different processing methods was not clear, and
303 samples from different groups overlapped. Moreover, samples from one group were highly scattered
304 and were not clearly clustered. In addition, compared with the UV and Par models, data from old teas
305 were scattered in UV; the value of t [1] and t [2] was larger than the Par model. Therefore, Par scaling
306 is more suitable for the comprehensive analysis of Pu-erh tea volatile components.

307 Although PCA is an unsupervised method, it yielded sufficient results for most of the analysed
308 types of Pu-erh teas (Figure 1c). The best discrimination was observed between Pu-erh raw teas and
309 ripe teas. The overlap observed between old teas and ripe teas suggests that they have some similar
310 chemical characteristics.

311 **Difference in Aroma Compositions between Pu-erh Teas with Different ages**

312 Because the collected teas have different ages in this study, it is interesting to probe the differences
313 in volatile components between them with different ages. This analysis was performed through a PCA
314 loading plot. The loading plots of different ages of old tea (a), raw tea (b) and ripe tea (c) were shown
315 in Figure S2 (see electronic supplementary information). Figure S2a shows that there are differences in
316 some aroma components among aged teas with different ages. These aromatic compounds mainly
317 included hexadecanoic acid (V118), V98 (dihydroactinidiolide), V112 (caffeine), linalool (V28),
318 6,10,14-trimethyl-2-pentadecanone (V113), β -ionone (V90), cedrol (V102), phytol (V122), α -terpineol
319 (V40), geranyl acetone (V86), 1,2,3-trimethoxybenzene (V62), etc. The content of these components
320 was relatively higher, and coefficients of variance were larger among these aged teas; thus, they were
321 relatively dispersed in the loading plot. In Figure S2b, some of the aroma components might cause
322 differences among raw tea from different years. These aromatic compounds mainly included linalool
323 (V28), tridecane (V60), V98 (dihydroactinidiolide), V112 (caffeine), β -ionone (V90), etc. Similar to the
324 above analysis, the content of these components was higher in the raw tea. In Figure S2c,
325 1,2,3-trimethoxybenzene (V62), hexadecanoic acid (V118), V98 (dihydroactinidiolide),
326 1,2,4-trimethoxybenzene (V68), V112 (caffeine), and 6,10,14-trimethyl-2-pentadecanone (V113) might
327 cause differences among ripe tea from different years. The content of these components was higher in
328 the ripe tea.

329 CA analysis

330 Like PCA, cluster analysis (CA) is also an unsupervised data analysis method that requires no
331 prior knowledge of the test sample ³⁶. CA is another method that we have adopted to extract
332 information on differences among different Pu-erh teas. It divides all samples into groups (clusters)
333 according to similarities and finds the similarity among objects in a multidimensional space, forming
334 clusters between the nearest objects ³⁷. To establish the clusters, Ward's method was used as the
335 amalgamation rule and the squared Euclidean distance was used as the metric ³⁸. The dendrogram
336 results of the CA were shown in Figure 2, showing that these Pu-erh tea samples were clustered into
337 three groups, which is similar to the results of PCA. However, we found that two old teas were
338 gathered in the ripe teas cluster; they had some similar aroma characteristics, but sometimes when the
339 similarities were very prominent, they were not enough for a highly sustained conclusion.

340 The results of PCA and CA may lead to an inaccurate conclusion because of the lack of sufficient
341 information in the original data set and the inherent flaws of these two methods, i.e., data dimension
342 reduction and unsupervised recognition. Therefore, it is difficult to discriminate the most important
343 variables from this loading plot in order to obtain a more accurate classification model. An alternative
344 method, supervised OPLS-DA, was adopted to reveal the most important variables among 122
345 variables but also to have better discrimination among different kinds of Pu-erh teas.

346 OPLS-DA of three different types Pu-erh teas

347 In PCA analysis, original variables are preserved as much as possible in the first few components,
348 which may lead to poor separation of the groups when the variability between groups is less than that
349 within groups. Alternatively, OPLS-DA is a supervised method that reveals the direct correlation
350 between variables and categories with a linear regression model ^{39,40}. It is often used to sharpen the

351 partition between groups of observations and maximise the separation among classes⁴¹. The OPLS-DA
352 data set is the same as in the PCA analysis. The model showed one orthogonal component, with
353 $R^2Y=0.92\%$ and $Q^2=0.77\%$ in Pu-erh tea samples (Figure 3). It also reveals three significant
354 classifications with different colours, although the classifications were observed to be scattered among
355 the aged teas. The changes of aroma components can be affected by environmental conditions, such as
356 temperature, humidity, and microorganisms. As a result, during long-term storage, tea aroma
357 components could undergo complicated changes, and the difference in storage duration could lead to
358 different aroma components of these aged teas.

359 Although the number of tea samples is limited, these results showed that it is possible to
360 discriminate and classify different processing types of Pu-erh tea based on the analysis of the volatile
361 contents using pattern recognition techniques such as PCA, CA, and OPLS-DA. In the present study,
362 the number of aged tea samples is relatively few because of the difficulty in obtaining reliable old tea
363 sources. Future studies will collect more standard samples for aged tea with different ages and focus on
364 the effect of storage time on dynamic changes in ingredients because of biochemistry and the impact of
365 different environmental conditions on different chemical components, ultimately providing a
366 theoretical basis for the scientific storage of Pu-erh tea. In conclusion, our study can lay a foundation
367 for improving the scientific value of Pu-erh tea and provide understanding of the chemical composition
368 and differences of different processing types of Pu-erh tea for consumers.

369 **Conclusions**

370 In the present study, the aroma characteristics from different manufacturing types and ageing lengths
371 of Pu-erh teas were investigated by using GC-MS combined with a chemometrics method. A total of
372 122 volatile components were identified, among which 116 compounds were from aged teas, 82 were

373 from raw teas, and 105 were identified in ripe teas. Large differences in aroma components among
374 three types of Pu-erh tea were observed. The characteristic volatiles in aged teas were hexadecanoic
375 acid, dihydroactinidiolide, caffeine, linalool, 6,10,14-trimethyl-2-pentadecanone, β -ionone, cedrol, and
376 phytol; the characteristic volatiles in raw teas were linalool, tridecane, caffeine, dihydroactinidiolide,
377 β -ionone, 6,10,14-trimethyl-2-pentadecanone, dodecane, etc.; and the characteristic volatiles in 24 ripe
378 teas were 1,2,3-trimethoxybenzene, hexadecanoic acid, 1,2,4-trimethoxybenzene, dihydroactinidiolide,
379 6,10,14-trimethyl-2-pentadecanone, caffeine, and 1,2,3-trimethoxy-5-methyl- benzene. The observed
380 large changes in methoxyphenolic compounds confirm that they play the dominant role in unfermented
381 Pu-erh tea during long-term storage, suggesting that these compounds could be used as an index for
382 discriminating between aged tea and raw tea. PCA, CA and OPLS-DA performed well in distinguishing
383 among different Pu-erh tea samples. In summary, we have demonstrated that a multivariate statistical
384 method is a useful tool for analysing the compositional pattern of Pu-erh teas that were produced using
385 different manufacturing types or that were obtained from different ageing lengths.

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463 **Figure captions**

464 **Figure 1** Influence of different scaling methods on PCA results: (a) UV; (b) UVN; (c) Par; (d) ParN;

465 (e) Ctr, among “O” represented aged teas, “R” represented raw teas, “p” represented ripe teas.

466 **Figure 2** CA results of all 57 Pu-erh teas

467 **Figure 3** Score plot of 57 Pu-erh teas based on the content of volatiles

Table 1 The detail information of various Pu-erh teas used in this work (raw tea, ripe tea and aged tea)

No.	Sample ID	Type	Production year	Production period	Amount
1	O1	Aged tea	1914	100 years	1
2	O2	Aged tea	1984	30 years	1
3	O3	Aged tea	1989	25 years	1
4	O4	Aged tea	1991	23 years	1
5	O5	Aged tea	1994	20 years	1
6	O6	Aged tea	1997	17 years	1
7	O7	Aged tea	1998	16 years	1
8	O8	Aged tea	1999	15 years	2
9	O9	Aged tea	2000	11 years	1
10	R1	Raw tea	2004	10 years	1
11	R2	Raw tea	2005	9 years	1
12	R3	Raw tea	2006	8 years	1
13	R4	Raw tea	2007	7 years	1
14	R5	Raw tea	2008	6 years	1
15	R6	Raw tea	2009	5 years	3
16	R7	Raw tea	2010	4 years	3
17	R8	Raw tea	2011	3 years	2
18	R9	Raw tea	2012	2 years	2
19	R10	Raw tea	2013	1 year	8
20	P1	Ripe tea	1996	18 years	1
21	P2	Ripe tea	2000	14 years	1
22	P3	Ripe tea	2001	13 years	1
23	P4	Ripe tea	2002	12 years	1
24	P5	Ripe tea	2003	11 years	1
25	P6	Ripe tea	2004	10 years	2
26	P7	Ripe tea	2005	9 years	2
27	P8	Ripe tea	2006	8 years	2
28	P9	Ripe tea	2007	7 years	1
29	P10	Ripe tea	2008	6 years	1
30	P11	Ripe tea	2009	5 years	1
31	P12	Ripe tea	2010	4 years	2
32	P13	Ripe tea	2011	3 years	2
33	P14	Ripe tea	2012	2 years	3
34	P15	Ripe tea	2013	1 year	3

Table 2. Volatile compounds and their relative contents in three different types of Pu-erh teas

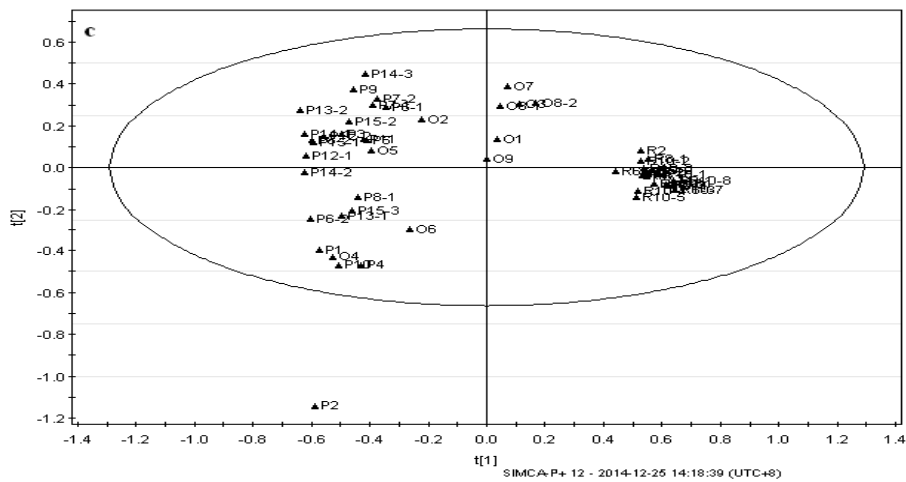
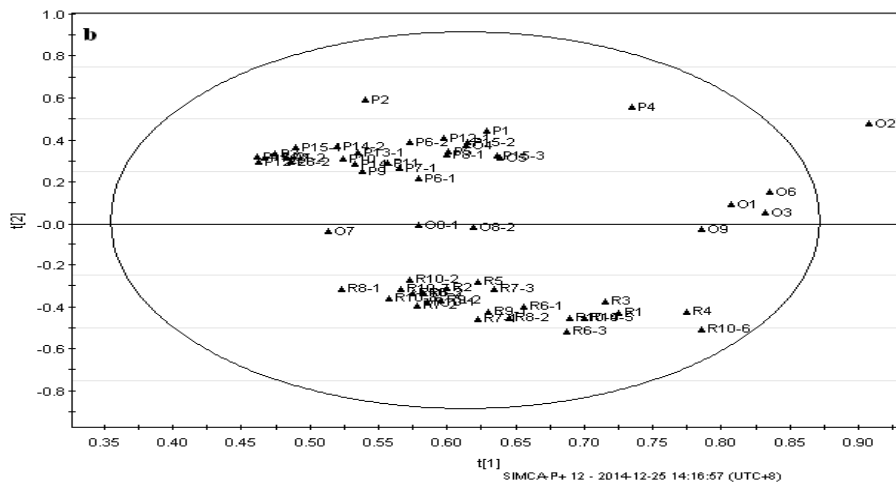
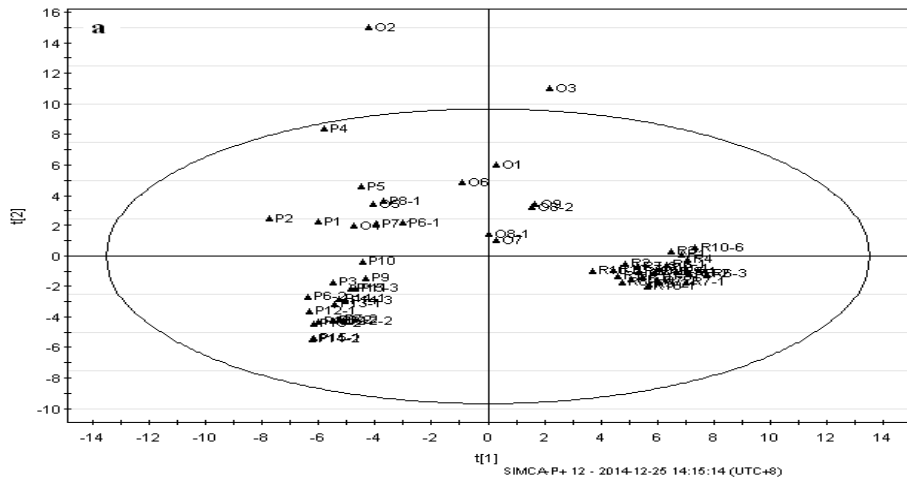
No.	RI ^a	Compound ^b	Relative percentage content[% (range)] ^c		
			Aged tea (n=10)	Raw tea (n=23)	Ripe tea (n=24)
1	802	1-pentanol	0.00±0.00a	0.03±0.09a	0.00±0.00a
2	806	hexanal	0.26±0.29a	0.00±0.00b	0.07±0.12b
3	843	cis-3-hexenol	0.03±0.07a	0.00±0.00b	0.00±0.00b
4	861	1-hexyl alcohol	0.02±0.04 a	0.00±0.00 b	0.00±0.00b
5	884	2-heptanone	0.10±0.09a	0.03±0.05b	0.02±0.04b
6	894	2-heptanol	0.11±0.17a	0.02±0.04b	0.01±0.05b
7	957	benzaldehyde	0.29±0.17a	0.20±0.06b	0.14±0.08b
8	979	1-octen-3-ol	0.36±0.26a	0.90±0.99b	0.03±0.05a
9	982	2,3-octadione	0.04±0.05a	0.06±0.08b	0.01±0.02a
10	985	6-methyl-5-hepten-2-one	0.45±0.25a	0.25±0.11b	0.05±0.07c
11	989	2-pentyl-furan	0.49±0.25a	0.91±0.30b	0.21±0.13c
12	998	cis-2-(2-pentenyl)furan	0.09±0.09a	0.14±0.09a	0.03±0.05b
13	1010	α-terpinene	0.04±0.06a	0.12±0.06b	0.01±0.02a
14	1022	1-methyl-2-(1-methylethyl)-benzene	0.27±0.15a	0.19±0.18a	0.05±0.13b
15	1026	D-limonene	0.58±0.58a	1.20±0.79b	0.08±0.09c
16	1030	2-ethylhexanol	0.02±0.04a	0.00±0.00a	0.07±0.18a
17	1034	benzyl alcohol	0.14±0.16a	0.40±0.10b	0.02±0.04c
18	1037	(E)-3,7-dimethyl-1,3,6-octatriene	0.16±0.15a	0.27±0.09b	0.00±0.00c
19	1042	phenyl acetaldehyde	0.22±0.12a	0.24±0.10a	0.06±0.07b
20	1048	1-ethyl-1H-pyrrole-2-carbaldehyde	0.02±0.05a	0.07±0.11ab	0.14±0.16b
21	1051	Ocimene	0.05±0.08a	0.45±0.15b	0.00±0.00a
22	1056	γ-terpinene	0.30±0.19a	0.33±0.11a	0.07±0.17b
23	1064	acetophenone	0.07±0.07a	0.03±0.12a	0.03±0.06a
24	1068	(E)-2-octen-1-ol	0.19±0.15a	0.39±0.46b	0.00±0.00a
25	1072	linalool oxide I	0.90±0.61a	0.97±0.50a	0.82±0.53a
26	1087	linalool oxide II	1.48±1.24a	1.92±0.63a	1.65±0.87a
27	1092	(E,E)-3,5-octadien-2-one	0.23±0.47a	0.06±0.09b	0.12±0.16ab
28	1098	linalool	4.34±2.71a	14.72±4.51b	0.77±0.65c
29	1101	hotrienol	1.20±0.66a	1.76±0.48b	0.31±0.32c
30	1110	phenylethyl alcohol	0.25±0.17a	0.00±0.00b	0.25±0.23a
31	1135	benzene acetonitrile	0.64±0.75a	0.00±0.00b	0.13±0.32b
32	1137	2,5-pyrrolidinedione, 1-ethyl-	0.12±0.20a	0.02±0.08b	0.03±0.06b
33	1139	3-nonen-2-one	0.05±0.09a	0.00±0.00b	0.00±0.00b
34	1149	1,2-dimethoxybenzene	0.47±0.60a	0.01±0.03b	1.09±0.49c
35	1153	1,4-dimethoxy-2-methylbenzene	0.05±0.13a	0.00±0.00b	0.00±0.00c
36	1159	(E)-2-nonenal	0.09±0.14a	0.00±0.00b	0.06±0.10ab
37	1169	linalool oxide III	0.19±0.21a	0.00±0.00b	0.38±0.26c
38	1175	linalool oxide IV	1.20±0.58a	0.84±0.41a	1.31±0.85a
39	1178	naphthalene	0.45±0.40a	0.44±0.16a	0.49±0.59a
40	1188	α-terpineol	2.48±2.09a	2.53±1.18a	1.33±0.75b
41	1190	methyl salicylate	0.61±0.41a	0.68±0.76a	0.40±0.28a

42	1196	safranal	0.40±0.23a	0.37±0.10a	0.18±0.13b
43	1200	dodecane	0.32±0.85a	3.31±0.93b	0.03±0.06a
44	1205	decanal	0.27±0.27a	0.00±0.00b	0.26±0.14a
45	1218	β -cyclocitral	0.42±0.15a	0.67±0.18b	0.16±0.10c
46	1221	2,3-dihydrobenzofuran	0.25±0.65a	0.00±0.00a	0.26±0.86a
47	1224	3-carene	0.09±0.11a	0.21±0.08b	0.00±0.00c
48	1228	nerol	0.19±0.20a	0.29±0.13b	0.05±0.09c
49	1236	2-methoxy-4-methyl-1-(1-methylethyl)-benzene	0.20±0.18a	0.00±0.00b	0.04±0.10b
50	1241	3,4-dimethoxytoluene	0.85±0.94a	0.00±0.00b	0.88±0.79a
51	1256	geraniol	1.20±0.48a	1.59±0.46b	0.44±0.28c
52	1259	7-methoxybenzofuran	0.06±0.15a	0.00±0.00b	0.01±0.04b
53	1261	2-methoxybenzyl alcohol	0.10±0.11a	0.00±0.00b	0.03±0.06b
54	1263	(E)-2-decenal	1.07±2.06a	0.00±0.00b	0.01±0.05b
55	1265	3,5-dimethoxytoluene	1.24±3.51a	0.00±0.00b	0.07±0.11b
56	1285	2-methyl-naphthalene	0.52±0.36a	0.34±0.08b	0.37±0.28ab
57	1287	tridecene	0.00±0.00a	0.21±0.12b	0.00±0.00a
58	1290	indole	0.06±0.11a	0.06±0.16a	0.00±0.00a
59	1294	2-undecanone	0.29±0.29a	0.00±0.00b	0.20±0.20a
60	1300	tridecane	0.01±0.03a	8.05±2.06b	0.01±0.20a
61	1302	1-methyl-naphthalene	0.25±0.19a	0.00±0.00b	0.22±0.11a
62	1316	1,2,3-trimethoxybenzene	2.94±4.10a	0.34±0.19a	11.52±5.75b
63	1325	4-ethyl-1,2-dimethoxy-benzene	1.43±2.01a	0.01±0.04b	2.27±1.56a
64	1334	2,6,6-trimethyl-1-cyclohexene-1-ethanol	0.16±0.19a	0.00±0.00b	0.14±0.23a
65	1351	2,6-dimethoxyphenol	0.53±0.70a	0.31±0.18a	0.29±0.17a
66	1362	2-dodecenal	0.08±0.17a	0.00±0.00b	0.00±0.00b
67	1366	α -ylangene	1.51±1.48a	0.00±0.00b	0.71±1.65ab
68	1375	1,2,4-trimethoxybenzene	0.83±1.06a	0.00±0.00a	4.87±3.37b
69	1381	β -damascenone	0.04±0.11a	0.02±0.09a	0.00±0.00a
70	1383	1-methoxy-4-propenyl-benzene	0.15±0.39a	0.00±0.00b	0.02±0.08b
71	1387	α -gurjunene	1.70±5.38a	0.00±0.00b	0.00±0.00b
72	1389	β -guaiene	0.00±0.00a	0.00±0.00a	0.38±0.36b
73	1397	cis-jasmone	0.00±0.00a	0.40±0.39b	0.24±0.23b
74	1400	tetradecane	0.63±0.53a	1.05±0.19b	0.31±0.20c
75	1404	1,2,3-trimethoxy-5-methyl-benzene	1.49±2.67a	0.01±0.05a	3.34±3.28b
76	1406	6,10-dimethyl-2-undecanone	0.12±0.25a	0.00±0.00b	0.00±0.00b
77	1408	1,2-dimethoxy-4-n-propenyl-benzene	0.10±0.33a	0.00±0.00a	0.33±0.70a
78	1411	α -cedrene	0.65±0.82a	0.15±0.25b	0.49±0.47a
79	1417	β -caryophyllene	0.21±0.44a	0.20±0.25a	0.02±0.10b
80	1428	α -ionone	1.12±0.48a	1.22±0.39a	0.88±0.37b
81	1433	1,2-benzopyrone	0.53±0.32a	0.43±0.19a	0.43±0.52a
82	1438	dihydro- β -ionone	0.13±0.21ab	0.00±0.00a	0.27±0.39b
83	1442	1-methoxy-naphthalene	0.07±0.12a	0.00±0.00a	0.52±0.25b
84	1447	2-methoxy-naphthalene	0.08±0.16a	0.00±0.00a	0.71±0.56b

85	1449	1,2,3,4-tetramethoxybenzene	0.71±0.80a	0.00±0.00b	1.12±0.62c
86	1455	geranyl acetone	2.25±1.22a	2.33±0.64a	1.51±0.62b
87	1460	aromandrene	0.23±0.38a	0.00±0.00b	0.03±0.10b
88	1468	5-methoxy-6,7-dimethyl-benzofuran	0.55±0.65a	0.00±0.00b	0.09±0.20b
89	1483	4-(2,6,6-trimethylcyclohexa-1,3-dienyl)-but-3-en-2-one	1.44±2.07ab	0.25±0.17a	2.72±2.55b
90	1487	β -ionone	3.28±1.80a	5.05±1.23b	3.02±1.27a
91	1489	pentadecene	0.38±0.64a	0.89±0.27b	0.00±0.00c
92	1492	2-tridecanone	0.19±0.39a	0.00±0.00a	0.69±1.04b
93	1500	pentadecane	0.77±1.02a	0.62±0.24a	0.50±0.38a
94	1502	1,2-dimethoxy-4-(1-propenyl)benzene	0.00±0.00a	0.00±0.00a	0.34±0.35b
95	1504	cuparene	0.56±0.93a	0.00±0.00b	0.35±0.76ab
96	1506	dibenzofuran	0.48±0.57a	0.68±0.63a	0.56±0.30a
97	1508	α -farnesene	0.00±0.00a	1.40±0.86b	0.49±0.50c
98	1528	dihydroactinidiolide	5.74±3.50a	6.16±1.05a	4.37±1.38b
99	1549	1,2,3-trimethoxy-5-(2-allylbenzene)	0.15±0.34a	0.00±0.00b	0.00±0.00b
100	1554	nerolidol	0.05±0.14a	0.29±0.39a	1.37±1.60b
101	1572	fluorene	0.88±0.44a	0.97±0.32a	0.85±0.42a
102	1598	cedrol	3.57±2.45a	0.57±0.29b	1.73±1.57c
103	1600	hexadecane	1.31±0.80a	1.32±0.47a	1.48±0.89a
104	1653	α -cadinol	0.36±0.48a	0.96±0.23b	0.83±0.38b
105	1659	2,2',5,5'-tetramethyl-1,1'-biphenyl	0.22±0.22a	1.05±0.26b	0.46±0.36c
106	1664	2-methyl-hexadecane	0.10±0.22a	0.17±0.28ab	0.35±0.24b
107	1700	heptadecane	1.51±1.30a	0.87±0.70a	1.56±0.99a
108	1706	2,6,10,14-tetramethyl-pentadecane	1.77±1.14a	2.66±0.91b	1.78±1.16a
109	1765	anthracene	1.40±0.48a	0.64±0.42b	1.54±0.74a
110	1800	octadecane	0.94±0.78a	0.41±0.45b	1.06±0.73a
111	1809	2,6,10,14-tetramethyl-hexadecane	0.94±0.91ab	0.49±0.42a	1.07±0.90b
112	1840	caffeine	4.83±2.31ab	6.13±2.89b	4.05±1.90a
113	1846	6,10,14-trimethyl-2-pentadecanone	4.04±2.32a	3.75±1.90a	4.21±2.31a
114	1900	nonadecane	0.15±0.24a	0.13±0.20a	0.13±0.20a
115	1918	farnesyl acetone	0.29±0.26a	0.38±0.44a	0.41±0.31a
116	1927	hexadecanoic acid methyl ester	0.36±0.31a	0.51±1.70a	0.46±0.33a
117	1949	isophytol	0.13±0.15a	0.06±0.07a	1.03±0.64b
118	1975	hexadecanoic acid	8.44±3.16a	1.82±1.49b	10.52±5.43a
119	2000	eicosane	0.01±0.04a	0.05±0.08a	0.18±0.18b
120	2093	methyl linoleate	0.07±0.04ab	0.00±0.00a	0.08±0.14b
121	2099	methyl linolenate	0.17±0.13a	0.17±0.26a	0.31±0.34a
122	2122	phytol	3.28±4.20a	2.41±1.58a	2.12±0.34a
Alcohols			21.95a	30.65b	14.69a
Hydrocarbons			18.91a	28.19b	15.07a
Ketones			14.66a	14.26a	14.81a
Esters			1.21a	1.36a	1.25a
Aldehydes			3.12a	1.55b	1.08b

Nitrogen compounds	5.65ab	6.21b	4.21a
Lactones	5.74a	6.16a	4.37b
Methoxyphenolic	11.85a	1.05b	27.78c
Acids	8.44a	1.82b	10.52a
Others	1.36a	1.36a	0.79a

^a RI, retention indices as determined on HP-5MS column using the homologous series of n-alkanes (C₈–C₄₀). ^b Compounds were listed in order of retention time. ^c The content of volatile compounds were represented as mean value \pm standard deviation (mean \pm SD), different letters indicated significant differences (P < 0.05, ANOVA, Duncan's multiple range test).



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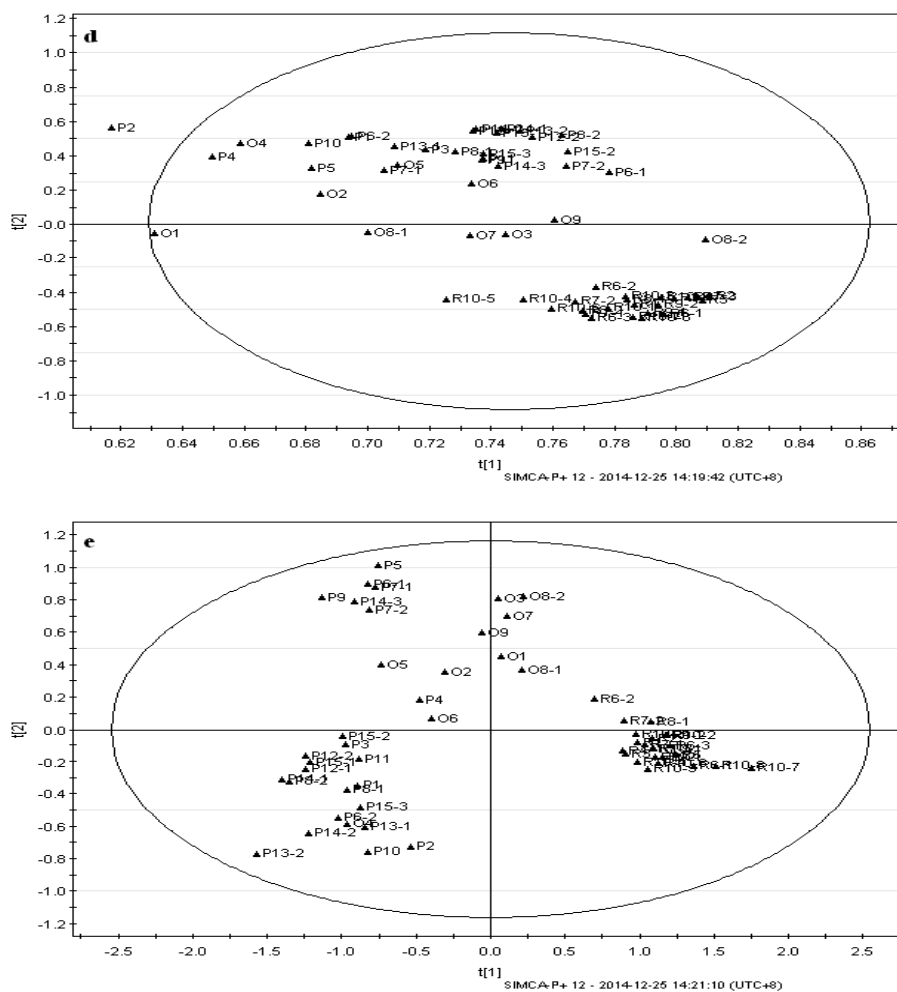


Figure 1 Influence of different scaling methods on PCA results: (a) UV; (b) UVN; (c) Par; (d) ParN; (e)

Ctrl, among “O” represented aged teas, “R” represented raw teas, “p” represented ripe teas.

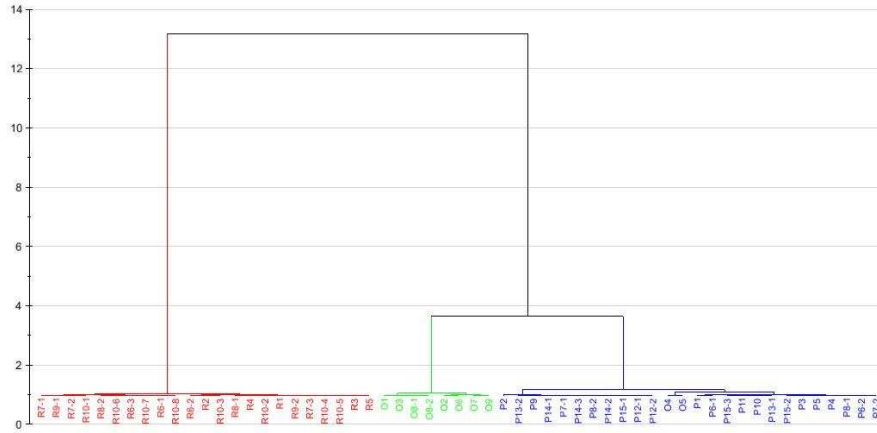


Figure 2 CA results of all 57 Pu-erh teas

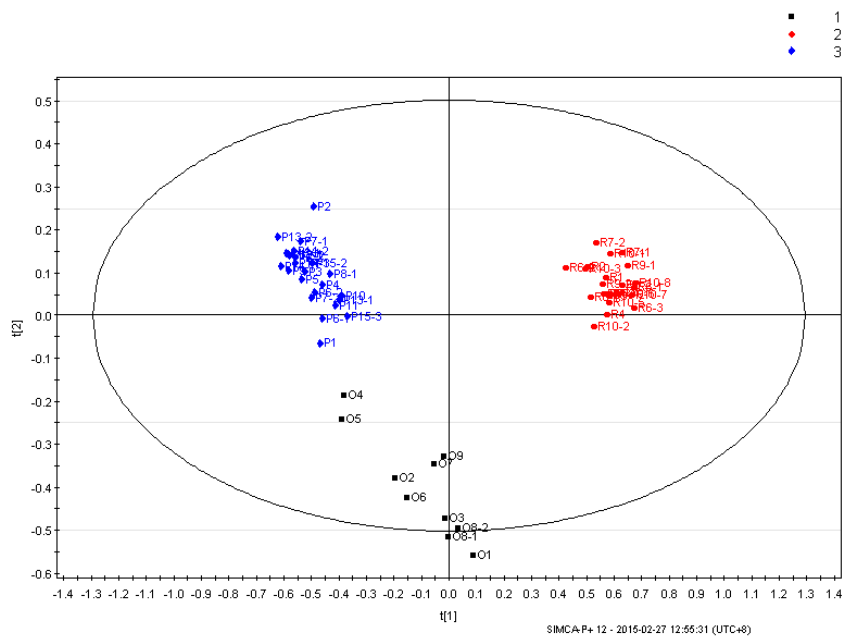
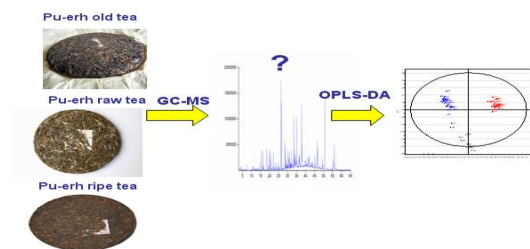


Figure 3 Score plot of 57 Pu-erh teas based on the content of volatiles



Graphical abstracts