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# Origin of the Highly Anisotropic Thermal Expansion of the Semiconducting ZnSb and Relations with its Thermoelectric Applications

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(Dated: September 30, 2015)

This article is devoted to the thermal expansion of ZnSb combining experiments (neutron and x-ray) and calculations based on density functional theory. Related properties are also studied such as: the zone-center (Raman and infrared) phonon modes, the dielectric (electronic and static) tensors, the phonon density-of-states, the specific heats and the isotropic atomic displacement parameters. Our experimental data show highly anisotropic thermal expansion with large values along the  $a$ -direction. Concomitantly, a large increase of the Zn-Zn intra-ring distances and of one of the intra-ring Zn-Sb distances is observed, while other interatomic distances do not significantly change. In agreement with our calculations, the thermal expansion has positive values along the three crystal directions except around 30 K where it has weak negative values along the  $b$  and  $c$ -directions. This anomalous expansion is more important along the  $c$ -direction and it is mainly due to phonon modes with frequencies up to  $75\text{ cm}^{-1}$ . These modes are located in the  $S - Y - \Gamma$  (resp.  $\Gamma - Z$ )  $q$ -point range along the  $b$  (resp.  $c$ ) direction. Phonon modes located in the  $\Gamma - X$  and in the  $Y - \Gamma - Z$   $q$ -point range with frequencies up to  $175\text{ cm}^{-1}$  are responsible for the positive large thermal expansion at room temperature along the  $a$ -direction. The much reduced anisotropy of the thermal conductivity is related to the lower Debye temperatures along the  $b$  and  $c$ -directions and mainly to the small transverse sound velocity between these directions.

Keywords: Phonons, Heat capacity, Grüneisen, Neutron, Raman scattering, X-ray, Infrared, ADP, Thermal conductivity.

## I. INTRODUCTION

$A^{II}B^V$  semiconducting compounds have an orthorhombic structure associated to a weak electronic band gap ( $\sim 0.5$  eV at room temperature) [1–3]. As a consequence, they have not only interesting anisotropic properties for electronic/thermoelectric applications and optical applications in the infrared range [1–4], but they are also studied for their catalytic properties [1] and possible energy storage applications as starting materials for anodes in Li-ion batteries [5–7].

Among these compounds, zinc antimonide (ZnSb) has been particularly studied from the forties for its good thermoelectric properties and its use in high temperature thermogeneration of electricity [1–3, 8, 9]. The efficiency of thermoelectric generators is related to the dimensionless figure of merit:  $ZT = \frac{\alpha^2}{\rho\kappa}T$ , where  $\alpha$  is the thermopower,  $\rho$  is the electrical resistivity,  $\kappa$  is the thermal conductivity and  $T$  is the temperature. More than forty years ago during the first period of active search of efficient thermoelectric materials, a  $ZT \sim 0.6$  at 500 K was reported for  $p$ -type ZnSb [9]. In the nineties, there was renewed interest for thermoelectric materials and in 1997 a large  $ZT \sim 1.3$  at 670 K was found for another zinc antimonide,  $\beta$ -Zn<sub>4</sub>Sb<sub>3</sub>, which is a metastable  $p$ -type rhombohedral semiconductor containing a large amount of interstitial and vacancy defects [10–12]. Since this time, little improvement was achieved and difficulties related to its limited stability have restricted its applications [13–15]. However, several groups have recently reinvestigated, both theoretically and experimentally, the  $A^{II}B^V$  semiconducting compounds for thermoelectric applications, and more particularly ZnSb [8, 16–30] for its higher stability and doping versatility (it can be  $n$ -doped) than  $\beta$ -Zn<sub>4</sub>Sb<sub>3</sub>. Nowadays, the  $ZT$  of ZnSb has been improved using two different routes. The first route is the nanostructuring of ZnSb by ball milling for which Okamura et al. [16] observed a  $ZT$  as large as 0.9 at 550 K, notably because of the decrease of thermal conductivity by a factor  $\sim 2$ . However, less good thermoelectric properties were found by another group [18] induced by an additional strong reduction of the power factor. Nonetheless, the stability of specimens prepared by this route requires further long-time high-temperature studies. The second route is the doping by Ag-atoms

which leads to  $ZT \approx 1$  above 500 K for ZnSb [8, 22] and  $ZT \approx 1.3$  at 560 K for CdSb [24]. The important issues that require additional studies are the long-time stability of the Ag-doped compound and the toxicity of CdSb whose the decomposition temperature is lower by about 100 K than in ZnSb [1].

Thus, to optimize the thermoelectric properties of ZnSb a better understanding of its thermal and physical properties comprising the established anisotropy effects is required. As discussed by Haussermann's group [23, 28], both Zn and Sb have peculiar five-fold coordination with one-like and four-unlike neighbours. The four first Sb-neighbors around Zn-atoms are forming distorted tetrahedra which share one of their edges with that of neighboring ZnSb<sub>4</sub> tetrahedra. The two Sb atoms shared by two neighboring tetrahedra are forming the Zn<sub>2</sub>Sb<sub>2</sub> rings with the two Zn-atoms in the center of these distorted ZnSb<sub>4</sub> tetrahedra (see Fig. 1). Thus, the coordination of the A<sup>II</sup>B<sup>V</sup> semiconducting compounds is ruled by an underlying tetrahedral principle, although these tetrahedra are distorted. The chemical bondings of these compounds are therefore quite unusual. Indeed, there are two types of bonding: the first one is three 2 electrons-2 centers (2e-2c) covalent bonding: one linking the Sb-atoms between them (the so-called Sb-Sb dimer), and two linking Zn-atoms with Sb-atoms belonging to different Zn<sub>2</sub>Sb<sub>2</sub> rings. The second one is a multicenter chemical bonding formed by electrons of the four atoms belonging to the same Zn<sub>2</sub>Sb<sub>2</sub> rings. This picture was first proposed by Velicky *et al.* [31] fifty years ago and was then confirmed and refined very recently with two different pictures proposed for the multicenter bonding from first principles calculations [24, 28].

The consequences are important for understanding the anisotropy of the physical properties and more specifically the thermal properties of A<sup>II</sup>B<sup>V</sup> compounds. Although the thermal conductivity of ZnSb and CdSb is weakly anisotropic, several studies performed several decades ago showed that in contrast their thermal expansion is strongly anisotropic [32–34]. In a very recent study, Fischer *et al.* [23] also found highly anisotropic thermal expansion in ZnAs with the *b* and *c*-lattice parameters decreasing with increasing temperature. Nevertheless, these observations still need to be confirmed both experimentally and theoretically.

In the present paper, we report an experimental study of the ZnSb thermal expansion combining neutron and x-ray diffraction. We confirm the high anisotropy of its thermal expansion which contrasts with the low anisotropy of its thermal conductivity reported in the literature. We analyze the origin of this anisotropy and the consequences on the ZnSb

thermoelectric applications. We observe weak negative values of the ZnSb thermal expansion around 30 K along the *b*-crystal direction with higher negative values along the *c*-direction. The understanding of the mechanisms at the origin of these observations is established using a theoretical support based on the coupling between the density functional theory and the quasi-harmonic approximation. The analysis of the thermal expansion of ZnSb allows us to focus on other of its properties such as: the phonon density-of-states, the isotropic atomic displacement parameters, the specific heats and the lattice dynamics. For the latter, we report in particular the calculated pressure dependence of phonon modes and we give the contribution of the polar transverse optical modes to the static dielectric constants.

## II. EXPERIMENTAL DETAILS

Polycrystalline samples of orthorhombic ZnSb were synthesized into two steps. In a first step, direct reaction of stoichiometric amounts of elemental zinc and antimony (both 99.999% purity) pieces in carbon-coated quartz tube were carried out at 700 °C during one day followed by a water quench. In a second step, the samples were annealed at 510 °C for three days. The purity and crystal structure of the samples were analyzed using a powder Philips XPERT PRO II diffractometer with Cu  $K_{\alpha 1}$  and  $K_{\alpha 2}$  wavelengths. A Rietveld refinement was performed with the Fullprof program [35]. Three different batches were prepared for the inelastic neutron scattering (INS) experiments with a total weight of ~14 g. Two batches contained less than 1% of antimony and one was found to be single-phase as confirmed by x-ray diffraction (XRD) and Rietveld refinement.

For the high-temperature range, we have performed XRD on powdered specimen from the single-phase batch up to 450 K using an Empyrean diffractometer with Cu  $K_{\alpha 1}$  and  $K_{\alpha 2}$  wavelength, a 0.013° step, and a heater made of platinum. At 500 K, the sample reacted with the platinum heater. The lattice parameter as a function of temperature was determined from Le Bail refinement using the Fullprof program. For the low-temperature range, neutron powder diffraction experiments were performed on the D2B diffractometer at Institut Laue Langevin (ILL) with the 1.594 Å wavelength and a 0.05° angle step from 3 to 300 K in an Orange Cryostat. Neutron diffraction were performed on the same three batches as for the INS experiments. This could explain the small difference observed for the *c* lattice parameter. Rietveld refinement of the data was performed using the Fullprof program for

determining not only the lattice parameter but also the atomic positions and the isotropic atomic displacement parameters as a function of temperature (see supplementary materials). The thermal expansion at a temperature,  $T$ , was calculated according to:  $\alpha_i = \frac{1}{a_i(T)} \frac{da_i}{dT}$ , where  $(a_i)_{i=1,2,3}$  are the lattice parameters.

INS experiments were performed on the IN6@ILL time-of-flight (ToF) spectrometer located at the ILL. An incident neutron wavelength of 4.14 Å and the time-focusing option with a focus set to 7 meV were used. Data have been collected at 300 K within a cryostat at a helium pressure of  $\sim 10$  mbars. Standard correction procedures were applied to the data comprising background and frame-overlap corrections, normalization to vanadium standard, suppression of malfunctioning detector tubes, correction of neutron wavelength dependent efficiencies of the He-detectors and multiphonon corrections. The multiphonon corrections were carried out with the software package MuPhoCorr [36]. The generalized density-of-states,  $G(E)$ , was calculated according to Refs. [37, 38].

Heat capacity experiments were performed between 3.5 and 40 K with the relaxation technique using a commercial microcalorimeter from Oxford Instruments. Raman scattering experiments were performed on a T64000 spectrometer from Horiba-Jobin Yvon using: a nitrogen-cooled CCD detector, backscattering geometry, triple-monochromator configuration and the 633 nm line radiation of a He-Ne laser. The resolution was  $1 \text{ cm}^{-1}$  and the power on the sample was 0.3 mW for a beam radius of  $\sim 1 \mu$ . As the size of the crystals in our polycrystalline samples was much larger than the beam size, for each investigated zone, we were probing only one crystal. Hence, some modulation on the Raman line intensities can occur due to different random crystal orientations.

### III. COMPUTATIONAL DETAILS

First-principles calculations are performed within the density functional theory (DFT) framework as implemented in the ABINIT package [39]. The exchange-correlation energy functional is evaluated using the generalized gradient approximation (GGA) parametrized by Perdew, Burke and Ernzerhof [40]. The all-electron potentials are replaced by norm-conserving pseudopotentials generated according to the Troullier-Martins scheme [41]. Zn( $3d^{10}$ ,  $4s^2$ ) and Sb( $5s^2$ ,  $5p^3$ )-electrons are considered as valence states. The electronic wave functions are expanded in plane-waves up to a kinetic energy cutoff of 67 Ha and

integrals over the Brillouin zone are approximated by sums over a  $6 \times 6 \times 6$  mesh of special  $k$ -points according to the Monkhorst-Pack scheme [42].

Dynamical matrix, dielectric constants, Born effective charges and elastic constants are calculated within a variational approach to density functional perturbation theory [43] (DFPT). Phonon dispersion curves are interpolated according to the scheme described by Gonze *et al.* [44]. In this scheme, the dipole-dipole interactions are subtracted from the dynamical matrices before Fourier transformation, so that only the short-range part is handled in real space. We considered a  $3 \times 3 \times 3$   $q$ -points grid for the calculation of the phonon band structure and the thermal expansion, while a denser  $100 \times 80 \times 80$  grid is used for the calculation of the phonon density-of-states and heat capacity.

Lattice parameters and atomic positions were fully relaxed using a Broyden-Fletcher-Goldfarb-Shanno algorithm until the maximum stresses and residual forces were less than  $2 \times 10^{-6}$  GPa and  $6 \times 10^{-5}$  Ha/Bohr, respectively. Our relaxed lattice parameters ( $a = 6.290$  Å,  $b = 7.831$  Å and  $c = 8.237$  Å) slightly overestimate the experimental ones [ $a^{exp} = 6.202$  Å,  $b^{exp} = 7.742$  Å and  $c^{exp} = 8.100$  Å) by less than 1.7% as usually observed with GGA exchange–correlation functionals.

## IV. RESULTS AND DISCUSSION

### A. Temperature dependence of the crystallographic parameters

Fig. 2 shows the thermal dependence of the ZnSb lattice parameters measured using neutron and x-ray diffraction. We observe that the lattice parameter along the  $a$ -direction increases monotonically up to 450 K by 0.8%, whereas that along the  $b$ -direction increases by 0.4% only. The lattice parameter along the  $c$ -direction shows a more complex behaviour: it decreases from 3 to 50 K and increases weakly above this temperature up to 450 K by 0.15%. The thermal expansion of ZnSb is therefore significantly anisotropic with much larger values along  $a$  than along  $c$ . The details of our Rietveld refinement of the neutron diffraction pattern from 3 to 305 K are reported in the supplementary informations.

There are three different intra-ring interatomic distances within one  $\text{Zn}_2\text{Sb}_2$  ring (see Fig. 1): two Zn-Sb distances ( $d_{Z2-S1}$  or  $d_{Z4-S3}$  and  $d_{Z4-S1}$  or  $d_{Z2-S3}$ ) and one Zn-Zn distance ( $d_{Z2-Z4}$ ). Their thermal variations are shown in Fig. 3. The longest Z2-S1 distance and

the Z2-Z4 distance increase by about  $\sim 0.86\%$  upon  $T$  changes, whereas the shortest Z4-S1 distance of about  $2.75 \text{ \AA}$  does not change.

Upon heating the intra-ring  $d_{S1-S3}$  increases by  $\sim 0.32\%$  from  $4.91 \text{ \AA}$  at  $3 \text{ K}$  to  $4.925 \text{ \AA}$  at  $305 \text{ K}$ , which is significantly less than for the case of Zn-Zn distance. Because all four atoms forming a  $\text{Zn}_2\text{Sb}_2$  ring are in the same plane, the deformation of the rings can also be characterized by the interatomic angles. They are reported in details in the supplementary material. It is worth to note that all the Zn-Zn-Sb angles, and thus the Z2-Z4 distance, change significantly with temperature. In the same manner, the Sb-Zn-Sb and Zn-Sb-Zn angles comprising the longest  $d_{Z2-S1}$  or  $d_{Z4-S3}$  vary strongly with the temperature. All the above results indicate that the shape of the  $\text{Zn}_2\text{Sb}_2$  rings is deformed due to the increased Zn-Zn distance.

Let us focus now on the inter-ring distances. As can be seen in Fig. 3, there are three inter-ring distances to consider: the two shortest Zn-Sb distances,  $d_{Z1-S1}$  or  $d_{Z4-S5}$  and  $d_{Z3-S1}$  or  $d_{Z4-S4}$ , and the long inter-ring Sb-Sb distance ( $d_{S1-S2}$ ). Both  $d_{Z1-S1}$  and  $d_{S1-S2}$  increase by  $\sim 0.1-0.2\%$ , which is comparable to the experimental error. The shortest  $d_{Z3-S1}$  increases by  $0.325\%$ . Note that some of the interatomic angles implying at least one inter-ring bonding change significantly with increasing temperature whereas some other do not. These are essentially angles comprising the shortest inter-ring distance  $d_{Z3-S1}$ . See supplementary informations for detailed results.

In conclusion, these results indicate that an uniform increase of the size of the  $\text{Zn}_2\text{Sb}_2$  rings upon heating is related to the dominant increase of the Zn-Zn intra-ring distances. The distances between the  $\text{Zn}_2\text{Sb}_2$  rings show a smaller variation while experiencing a slight rotation. The large anisotropy of the thermal expansion, and especially the large expansion along the  $a$ -direction, is linked to the strong deformation of the  $\text{Zn}_2\text{Sb}_2$  rings. These trends are also observed in ZnAs as it shows [23] a dominant thermal expansion along  $a$ , and similar thermal variation of the Zn-As intra-ring distances and Zn-distances. Finally, we cannot establish a clear correlation between the changes on the ZnSb microscopic structure and its weak negative thermal expansion at low temperatures along the  $c$ -direction because of the limited experimental data below  $100 \text{ K}$ .



## B. Dielectric properties

The optical dielectric tensor ( $\varepsilon^\infty$ ) corresponds to the purely electronic response to a static electric field. Standard DFT usually overestimates the experimental value of  $\varepsilon^\infty$  due to the lack of the polarization dependence of GGA exchange-correlation functionals [46]. To overcome this problem, it is a common practice to apply the so-called *scissors* correction [47] in which we use an empirical rigid shift of the conduction bands to adjust the GGA band-gap value to the experimental one. By comparing our calculated band-gap value ( $E_g^{calc} = 0.01$  eV) with the experimental [2] one ( $E_g^{exp} = 0.53$  eV), the scissors correction is fixed to 0.52 eV. As expected, this correction decreases the values of the optical dielectric tensor, but does not change their trends (see Table I). The refractive indices after the scissors correction have amplitudes comparable to the experimental ones.

The fixed-strain relaxed-ion dielectric tensor ( $\varepsilon^\eta$ ) can be obtained by adding to  $\varepsilon^\infty$  the contribution coming from the response of the ions ( $\varepsilon^{ph}$ ) to the electric field. To estimate this phonon-mediated contribution, one can use a model that assimilates the solid to a system of undamped harmonic oscillators. Doing so,  $\varepsilon^\eta$  appears as [43, 48]:

$$\varepsilon_{\alpha\beta}^\eta = \varepsilon_{\alpha\beta}^\infty + \sum_m \varepsilon_{\alpha\beta,m}^{ph} = \varepsilon_{\alpha\beta}^\infty + \frac{4\pi}{\Omega_0} \sum_m \frac{S_{\alpha\beta}(m)}{\omega_m^2}, \quad (1)$$

where the sum runs over all polar TO modes  $m$ , the Greek indices denote the Cartesian components,  $\Omega_0$  is the unit cell volume,  $\tilde{S}$  is the infrared oscillator strength, and  $\omega_m$  is the frequency of the mode  $m$ . The mode-by-mode decomposition listed in Table II shows that  $\varepsilon_{22}^{ph}$  is dominated by two  $B_{2u}$ -modes calculated at 38 and 184  $\text{cm}^{-1}$ . In contrast, no clear dominance of the phonon modes is observed for the  $\varepsilon_{11}^{ph}$  and  $\varepsilon_{33}^{ph}$  components.  $\varepsilon^\eta$  comes by  $\sim 75\%$  from  $\varepsilon^\infty$ .

## C. Zone-center phonon modes

At the zone-center, optical phonon modes of ZnSb can be classified according to the irreducible representations of the  $D_{2h}$  point group into:  $\Gamma_{opt} = 6A_g \oplus 6B_{1g} \oplus 6B_{3g} \oplus 6B_{2g} \oplus 6A_u \oplus 5B_{1u} \oplus 5B_{3u} \oplus 5B_{2u}$ . The *gerade* representations are Raman active. The  $A_u$  representation is silent. The remaining *ungerade* representations ( $B_{3u}$ ,  $B_{2u}$  and  $B_{1u}$ ) are infrared active and the associated modes are polarized along the  $a$ ,  $b$  and  $c$ -axis, respectively. Close

to the  $\Gamma$ -point, the macroscopic electric field splits the polar active modes into transverse optical (TO) and longitudinal optical (LO) modes. The LO frequencies are calculated [43] from the TO frequencies with the additional knowledge of the Born effective charges and  $\epsilon^\infty$ . The analysis of the infrared reflectivity spectra allows to quantify the LO–TO splitting strength. They are calculated at normal incidence and are displayed in the left hand side of Fig. 4. The reflectivity saturates to unity because our formalism neglects the damping of the phonon modes. We also report the average reflectivity spectrum in the case of a polycrystalline ZnSb powder assuming a quasi-continuous and random distribution of the crystallite orientations. We observe that the LO–TO splitting is small; the largest splitting is estimated to  $10 \text{ cm}^{-1}$  for the  $B_{2u}(\text{TO5})$  mode. This observation is consistent with the experimental spectra reported by Smirnov *et al.* [49] on single crystals. Table III lists our calculated TO and LO phonon frequencies classified according to their symmetry with the available experimental frequencies. Over the 15 infrared TO modes expected by the group theory, 12 have been experimentally assigned and they are in reasonable agreement with our calculations. The three remaining modes are calculated at  $54 \text{ (B}_{1u}\text{)}$ ,  $62 \text{ (B}_{3u}\text{)}$  and  $140 \text{ cm}^{-1}$  ( $B_{2u}$ ). Experimentally, they are difficult to detect as they combine both a small oscillator strength and a weak LO–TO splitting (see Table II and Fig. 4). This is even more difficult for the modes below  $140 \text{ cm}^{-1}$  because of the  $2 \text{ cm}^{-1}$  resolution used in the reflectivity experiments of Smirnov *et al.* [49].

The experimental unpolarized Raman spectrum of ZnSb is displayed in the right hand side of Fig. 4, together with the position of the Raman lines measured by Smirnov *et al.* [49] and obtained by our calculation. We observe a quite good agreement between our calculation and the two sets of experimental data. This agreement is even better with our experimental data as Smirnov *et al.* [49] do not clearly observe the Raman lines between  $120$  and  $160 \text{ cm}^{-1}$ . We unambiguously assign two additional experimental lines at  $130$  and  $146 \text{ cm}^{-1}$ .

The pressure dependence of the zone-center phonon modes has been calculated using the mode Grüneisen parameter:

$$\gamma_m = \frac{B_0}{\omega_{m,0}} \left( \frac{\partial \omega_m}{\partial P} \right)_0, \quad (2)$$

where  $P$  is the external pressure,  $B_0$  is the bulk modulus, and the subscript '0' indicates a quantity taken at the equilibrium lattice parameters. The  $\gamma_m$  have been computed for three pressures: ambient and  $\pm 0.5 \text{ GPa}$ . Results are listed in Tables III (infrared) and IV (Raman

+ silent modes). All modes show a standard pressure dependence (*i.e.* their frequency increases with increasing pressure) except the Raman  $B_{2g}$ -mode and the silent  $A_u$ -mode at the lowest frequency and three polar modes [ $B_{2u}(\text{TO1})$ ,  $B_{1u}(\text{TO1})$ ,  $B_{3u}(\text{TO1})$ ] which exhibit a softening. The largest softenings are predicted for the  $B_{1u}(\text{TO1})$  mode ( $-0.20 \text{ cm}^{-1}/\text{GPa}$ ) and the  $A_u$  mode centered at  $35 \text{ cm}^{-1}$  ( $-0.24 \text{ cm}^{-1}/\text{GPa}$ ). The negative pressure dependence of the calculated Raman mode is experimentally supported by Triches *et al.* [17] on ZnSb nanograins. In addition, they also observed that the hydrostatic pressure induces a standard increase of the energy of the Raman modes centered at  $177 \text{ cm}^{-1}$  ( $B_{1g}$ ) and  $172 \text{ cm}^{-1}$  ( $A_g$ ) in agreement with our calculations as these two modes have Grüneisen parameters calculated as positive (see Table IV).

#### D. Phonon dispersion curves and density-of-states

The phonon dispersion curves of ZnSb calculated using the DFPT formalism are displayed in Fig. 5 along high-symmetry directions. These results agree with prior DFT-based calculations [29, 30] where phonons were computed from the direct method on a supercell. No soft mode is predicted by our calculations at the GGA level in the whole Brillouin zone, suggesting that the compound is thermodynamically stable at 0 K and 1 atm. The contribution of each kind of atom to each phonon branch is indicated in this figure using a color code. We observe that atomic motions of Zn-atoms dominate the modes  $\sim 85 \text{ cm}^{-1}$ , while atomic motions of Sb-atoms contribute at  $\sim 120$  and  $\sim 160 \text{ cm}^{-1}$ . For the other frequencies, Zn- and Sb-atoms equally contribute. The acoustic branches show a significant dispersion and exhibit a noticeable mixing with the first low-frequency optical branches especially between  $Y$  and  $\Gamma$  high symmetry points. This could suggest a complex behaviour of the thermal expansion and thermal conductivity. The maximum value of the phonon frequencies is given by the  $B_{2u}(\text{LO5})$  mode calculated at  $195 \text{ cm}^{-1}$ .

Fig. 6 reports the experimental generalized density-of-states,  $G(E)$ , with the calculated atom-projected and the total phonon density-of-states,  $Z(E) = \sum_{\mathbf{q},j} \delta(E - E_{\mathbf{q}j})/3N$  where  $\mathbf{q}j$  is the phonon wavevector  $\mathbf{q}$  in branch  $j$  and  $N$  is the number of atom in the primitive unit cell. One can see that the main features in  $Z(E)$  are observed in  $G(E)$  obtained from INS at room temperature. We observe a small gap between the optical branches around  $130 \text{ cm}^{-1}$ . When comparing with the experiment, this gap is associated to the dip at  $\sim 140 \text{ cm}^{-1}$  due

to a decreasing resolution of the spectrometer at high energy (this progressively broadens the experimental sharp features at high energy).

Acoustic branches (LA+TA) mainly contribute to the lowest experimental peak at  $44 \text{ cm}^{-1}$ . The peak at  $84 \text{ cm}^{-1}$ , located at the  $X$ -high symmetry point, mainly involves the Zn-atoms moving along the  $a$ -direction. Our measurements also support the presence of a peak around  $48 \text{ cm}^{-1}$  (6 meV) as seen by Belash *et al.* [50] using INS on a sample containing a mixture of ZnSb and antimony, and by Claudio *et al.* [51] on nanometric  $\text{Zn}_{1+x}\text{Sb}$  using antimony nuclear inelastic scattering. In addition, comparing our results on bulk ZnSb with those on  $\text{Zn}_4\text{Sb}_3$  [30, 52, 53], the low energy peak in  $G(E)$  is located around the same energy ( $\sim 5.5 \text{ meV}$ ) in both compounds.

### E. Heat capacities

The constant-volume heat capacity ( $C_v$ ) has been derived from the knowledge of the phonon density-of-states as:

$$C_v(T) = \frac{\hbar}{\Omega_0} \sum_{j,\mathbf{q}} \omega(j, \mathbf{q}, \{a_i\}) \frac{\partial}{\partial T} \left[ \exp\left(\frac{\hbar\omega(j, \mathbf{q}, \{a_i\})}{k_B T}\right) - 1 \right]^{-1} \quad (3)$$

where  $k_B$  is the Boltzmann constant,  $\hbar$  is the reduced Planck constant, and  $\omega(j, \mathbf{q}, \{a_i\})$  is the frequency of the phonon with wavevector  $\mathbf{q}$  in branch  $j$ , evaluated at constant-volume  $\{a_i\}$ . The temperature dependence of the heat capacity at constant-pressure  $C_p$  has been also calculated as:  $C_p(T) = C_v(T) + \alpha_V^2(T) B_0 \Omega_0 T$  where  $\alpha_V$  is the volumic thermal expansion. The different calculated heat capacities are plotted as solid lines in Fig. 7. The experimental values of  $C_p$  are also reported up to the room temperature. The difference between  $C_p$  and  $C_v$  is small up to 450 K as the thermal expansion of ZnSb is small.  $C_v$  tends to the classical Dulong and Petit constant ( $49.89 \text{ J.mol}^{-1}.\text{K}^{-1}$ ) as the temperature increases, while  $C_p$  still increases. We have an excellent agreement between our calculations and the two sets of experimental data up to 250 K. Above this temperature, the two experimental sets significantly deviate and our calculations are closer with the results of Mamedova *et al.* [55]. Although the agreement between the present calculations and our experiments is improved with respect to our prior work [29] by including the  $\alpha_V$  term, the calculated curves are still lower than the experimental data if we suppose the experimental data of Danilenko *et al.* [56] as reliable. Consequently, the present result does not change our previous conclusion about

the possible contribution of anharmonicity and/or the defects above 250 K.

We get a calculated Debye temperature,  $\theta_D = 217$  K, from the linear fit of  $C_v$  with respect to  $T^3$  at very low temperatures ( $T < 4$  K), in good agreement with that calculated from the elastic constants ( $\theta_D = 233$  K). At low temperatures, we have a peak calculated at 13 K which agrees well with our experimental heat capacity data where it is found at 15 K (see inset of Fig. 7). Such a deviation of  $C_P/T^3$  from a constant value expected for a basic Debye behaviour is related to the large peak seen in  $G(E)/E^2$  at about  $47 \text{ cm}^{-1}$  (see inset of Fig. 6). In both cases, this excess signal has the same origin mainly related to the presence of zone boundary acoustic modes and also of a low-energy optical mode  $\sim 47 \text{ cm}^{-1}$ .

### F. Atomic Displacement Parameters

The isotropic atomic displacement parameters  $U_{iso}$  derived from Rietveld refinement and calculated from  $Z_X(E)$  for  $X = \text{Zn}$  and  $\text{Sb}$  in harmonic approximation are depicted in Fig. 7. Their behaviour is in line with features established in the vibrational properties and the specific heat data. As expected from the mass difference and comparable  $Z_X(E)$  one finds larger ADP values for zinc than for antimony. The  $U_{iso}$  data derived from DFPT are overestimated compared to the experimental results. This behaviour is as well expected as lower phonon frequencies are observed in our calculations than in experiments.

Fits of the Einstein and Debye models to the experimental data result in very similar values for the characteristic temperatures  $\Theta_E$  and  $\Theta_D$  of  $\text{Zn}$  and  $\text{Sb}$ . This highlights as well that the dynamics of  $\text{Zn}$  and  $\text{Sb}$  are strongly coupled. For the Einstein model we derive a  $\Theta_E$  of 128 K for  $\text{Zn}$  and 130 K for  $\text{Sb}$ . These values are about twice larger than the energy of the first peak in the  $G(E)$  at about 70 K. For the Debye model we derive a  $\Theta_D$  of 222 K for  $\text{Zn}$  and 224 K for  $\text{Sb}$ . They agree well with Debye temperatures derived from experimentally determined elastic constants (253 K) [4].

Note that we were able to model the  $U_{iso}$  only with the high temperature linear extrapolation of the Einstein and Debye models from 50 K to 250 K. Indeed, experimental  $U_{iso}$  at  $\sim 3$  K was too small for being fitted with the Einstein and Debye models. Another striking feature of  $U_{iso}$  is the behaviour at 300 K. The  $U_{iso}$  tend to higher values than the linear  $T$ -dependence predicted by a harmonic model. This is in line with the departure of the experimentally determined  $C_p$  towards higher values than predicted within harmonic approx-

imation (see Fig. 7). For this reason only data up to 250 K were considered for the Einstein and Debye fits. Mozharivskij *et al.* [54] obtained from powder x-ray diffraction at room temperature even higher values of  $U_{iso}(\text{Zn}) = 0.019 \text{ \AA}^2$  and  $U_{iso}(\text{Sb}) = 0.0162 \text{ \AA}^2$ .

### G. Thermal expansion

The thermal expansion of ZnSb has been calculated using the quasi-harmonic approximation. Within this formalism, the linear thermal expansion coefficient  $\alpha_i(T)$  of a crystal at a temperature  $T$  and along the lattice directions,  $a_i$  with  $i = 1$  (*i.e.*  $a$ ), 2 (*i.e.*  $b$ ) and 3 (*i.e.*  $c$ ), is given by [57]:

$$\alpha_i(T) = \frac{1}{\Omega_0} \sum_{j, \mathbf{q}} C_v(j, \mathbf{q}, T) \sum_{k=1}^3 s_{ik} \gamma_k(j, \mathbf{q}), \quad (4)$$

where  $\tilde{s}$  is the compliance tensor written in Voigt notation and  $\gamma(j, \mathbf{q})$  is the Grüneisen parameter of the  $(j, \mathbf{q})$ -phonon mode:

$$\gamma_k(j, \mathbf{q}) = - \left( \frac{\partial \ln[\omega(j, \mathbf{q}, \{a_k\})]}{\partial \ln a_k} \right)_0. \quad (5)$$

Eq. (5) has been calculated from finite differences. For instance, to compute  $\gamma_1$ , the phonon dispersion curves have been calculated at two perturbed structures that are derived from the equilibrium structure by straining the  $a$ -lattice parameter by  $\pm 1\%$ , and reoptimizing the atomic positions. The left hand side of Fig. 8 shows the calculated dispersion of the  $\gamma(j, \mathbf{q})$  along the three crystal axes. The dispersions are discontinuous at the zone-center as a consequence of the anisotropy and polarization dependence of the sound velocities. Positive and negative  $\gamma(j, \mathbf{q})$  are observed along the three crystal directions and the whole Brillouin zone. Some mode Grüneisen parameters are weakly negative ( $\sim -0.5$ ) along the  $a$ -direction and are pronouncely negative along the two other directions (up to  $\sim -2.5$ ). Modes with negative  $\gamma(j, \mathbf{q})$  are located up to  $65 \text{ cm}^{-1}$  along the  $a$  and  $b$ -directions, and up to  $100 \text{ cm}^{-1}$  along the  $c$ -direction (see Fig. 8, right). Above these threshold frequencies, all modes have positive Grüneisen parameters with maximal values larger than 3 near the  $X$  and  $\Gamma$  high-symmetry points along the  $a$ -direction while no clear maximum is observed for the two other directions. As a consequence, an anomalous negative thermal expansion could occur at low temperatures along the  $b$  and  $c$ -directions. In contrast, we expect this effect is

strongly diminished at low temperatures along the  $a$ -direction or even suppressed, leading to positive  $\alpha_a(T)$ .

We also derived the thermodynamic Grüneisen parameters [57]:

$$\Gamma_i(T) = \frac{\Omega(T)}{C_p} \sum_k c_{ik}(T) \alpha_k(T), \quad (6)$$

where  $\tilde{c}$  is the elastic constant matrix. For this purpose, we used our own measurements of  $\alpha_k(T)$  (see below), combined with the heat capacity of our experiments and from literature (from Refs. [55], [56]) and elastic constants  $c_{ik}$  from Balazyuk *et al.* [4]. One finds that the thermodynamic Grüneisen parameters  $\Gamma_k(T)$  increases with temperature and saturates above the Debye temperature ( $\sim 230$ - $250$  K) to the following values :  $\Gamma_a \sim 1.4$ ,  $\Gamma_b \sim 1.1$  and  $\Gamma_c \sim 0.9$ . These values are in excellent agreement with the corresponding calculated ones ( $\bar{\gamma}_a = 1.46$ ,  $\bar{\gamma}_b = 1.24$  and  $\bar{\gamma}_c = 0.92$ ) as above room temperature all the vibrational modes are thermally excited and  $\Gamma_i$  converges to  $\bar{\gamma}_i$ .

The thermal expansion measured up to 450 K is reported in Fig. 9 combining the elastic neutron scattering (2-300 K) and x-ray (300-450 K) experiments. We observe a strong anisotropy in agreement with prior works on  $A^II B^V$  compounds [23, 32-34] and small negative values around 30 K along the  $b$  and  $c$ -directions. The negative thermal expansion is however more pronounced in the  $c$ -direction. Between 100 and 300 K,  $\alpha_a$  and  $\alpha_b$  values are close to those reported by Anatyshuk and Mikhalchenko [34], while  $\alpha_c$  is twice larger. Among other  $A^II B^V$  compounds, CdSb has similar  $\alpha_a$  and  $\alpha_c$ , but  $\alpha_b$  is significantly smaller [32, 33]. In contrast, the thermal expansion of ZnAs is bisected along  $a$  and negative along the two other directions [23]. At room temperature, the volume thermal expansion of ZnSb ( $34 \text{ MK}^{-1}$ ) is significantly smaller than in  $\text{Zn}_4\text{Sb}_3$  where it is between  $46.5 \text{ MK}^{-1}$  (Ref. [15]) and  $58 \text{ MK}^{-1}$  (Refs. [10, 58]).

The calculated temperature dependence of the linear thermal expansion of ZnSb is in quite good agreement with the experimental data although  $\alpha_c$  tends to higher values than the data derived from diffraction experiments above 300 K. Along the  $a$ -direction, the thermal expansion has a standard behaviour: a  $T^3$  dependence at low temperatures, followed by a monotonic increase in the intermediate temperature range, and a constant value at high temperatures. In contrast, for the two other directions, the thermal expansion does not follow this standard dependence. Indeed, the acoustic modes and some low-frequency optical modes are excited up to 30 K. These modes have in majority negative mode Grüneisen parameters,

and the sign of the thermal expansion becomes negative (see Fig. 8). Above 30 K, the optical modes are beginning to be increasingly excited and the contribution of these modes with a positive Grüneisen parameter increases. This has the effect of reversing the sign of the thermal expansion that is now positive and increases monotonically to become constant at high temperatures as all optical modes are now excited.

The calculated negative thermal expansion around 30 K is mainly due to phonon modes with frequencies up to  $\sim 75 \text{ cm}^{-1}$ . Along the  $b$ -direction, they are located in the  $S - Y - \Gamma$   $q$ -point range and the most negative contribution comes from the silent  $A_u$  mode centered at  $35 \text{ cm}^{-1}$ . Along the  $c$ -direction, they are located between  $\Gamma$  and  $Z$  high-symmetry point and most negative contribution comes from the polar  $B_{2u}$  mode centered at  $38 \text{ cm}^{-1}$ . The eigendisplacement vectors of these two modes displayed in Fig. 10 show that the Zn and Sb intra-ring atoms have a wagging-type vibration (*i.e.* each atom type moves in phase and out of the ring plane) and the inter-ring atoms have a complex torsional mode. This kind of motion leads to a network contraction and therefore to a negative thermal expansion as the ZnSb structure is built from  $\text{Zn}_2\text{Sb}_2$  rhomboid rings which are arranged in layers and linked to 10 neighboring rings (see Fig. 1). We think that this explanation can be applied for the understanding of the negative thermal expansion of ZnAs between 100 and 300 K as Fischer *et al.* [23] observed a decrease of the inter-ring Zn-As bondings in this temperature range.

The calculated positive thermal expansion at room temperature along the  $a$ -direction is mainly due to phonon modes located in the  $\Gamma - X$  and in the  $Y - \Gamma - Z$   $q$ -point range with frequencies up to  $175 \text{ cm}^{-1}$ . In particular, it is dominated by the Raman mode at  $75 \text{ cm}^{-1}$  ( $A_g$ ) and the mode at  $83 \text{ cm}^{-1}$  located at the  $X$ -point. These modes are assigned to a scissoring-type vibration of the Zn-Zn intra-rings along the  $a$ -direction (see Fig. 10 in the case of the  $A_g$  mode). This assignment is consistent with our analysis of the thermal dependence of the ZnSb crystallographic parameters and notably with the large increase of the Zn-Zn distances inside the  $\text{Zn}_2\text{Sb}_2$  rings (see Sec. IV.A). So, the large anharmonicity of the Zn-motions along the  $a$ -direction is one of the key ingredients for explaining the large anisotropy of the ZnSb thermal expansion and especially its large value.



## V. CONNECTION WITH THE THERMAL CONDUCTIVITY AND THERMOELECTRIC APPLICATIONS

In a previous work [29], we have studied the low thermal conductivity of ZnSb from the elementary Slack's model [59]:

$$\kappa_{Slack}(T) = \frac{AM_{at}V_{at}^{1/3}\theta_D^3}{T(n^{1/3}\Gamma)^2}, \quad (7)$$

where  $\Gamma$  is the thermodynamic Grüneisen parameter,  $M_{at}$  is the atomic mass,  $V_{at}$  is the atomic volume,  $n$  is the number of atoms in the primitive cell and  $A$  is a constant equal to  $3.04 \times 10^{-10} \text{s}^{-3} \cdot \text{K}^{-3}$  chosen to get the thermal conductivity in W/m.K unit. Considering the strong anisotropy of ZnSb, in our previous work [29], we have made a quite strong approximation by using Grüneisen parameters determined from the pressure derivative of the Bulk modulus obtained *via* the fit of the Vinet equation-of-states.

Within the more rigorous present approach, we get an experimental thermodynamic Grüneisen parameter  $\Gamma \sim 1.1$  at 300 K (see equ. (S-3) in supplementary informations). This value is slightly smaller than the average Grüneisen parameter  $\bar{\gamma} \sim 1.2$  obtained from our DFPT calculations and much larger than that reported by Bjerg *et al.* [30] from DFT calculations ( $\sqrt{\bar{\gamma}^2} = 0.76$ ). This experimental thermodynamic Grüneisen parameter is however smaller than that obtained experimentally for  $\text{Zn}_4\text{Sb}_3$  ( $1.35 < \Gamma < 1.57$ ) [10, 53]. This indicates that the overall anharmonicity is larger in  $\text{Zn}_4\text{Sb}_3$  than in ZnSb.

Using our experimental  $\Gamma$  and the Debye temperature obtained from Balazyuk's work [4] ( $\theta_D = 253$  K), the Slack model gives a thermal conductivity between 5.87 and 6.37 W/m.K at 300 K. This is more than 50% larger than the experimental values for bulk samples (2-4 W/m.K) [3, 11, 18, 23, 34]. The agreement is however reasonable as the Slack's model usually overestimates the thermal conductivity compared to experiments [30, 59]. When using the DFPT calculated parameters, one finds  $\kappa_{Slack} = 3.95$  W/m.K at 300 K which is close to the experimental values. Note also that Bjerg *et al* [30] found a larger thermal conductivity mainly due to a smaller  $\Gamma$ .

The thermal conductivity is weakly anisotropic as the  $\kappa_a : \kappa_b : \kappa_c$  ratio is 1 : 1.05 : 1.15 [3]. This contrasts with the very anisotropic thermal expansion and the rather anisotropic Grüneisen parameter. Moreover, the thermal conductivity is the smallest for the direction with the largest thermal expansion and Grüneisen parameter and *vice versa*. In order to

understand the anisotropy of the thermal conductivity, Anatyshuk and Mikhalchenko [34] have used a modified version of the Slack's equation in which they respectively replaced the Debye temperature  $\theta_D$  and the thermodynamic Grüneisen parameter  $\Gamma$  by the Debye temperatures  $\theta_{D,i}$  and the Grüneisen parameters  $\Gamma_i$  that can be indeed defined for each  $i$ -direction in the case of anisotropic system from the sound velocity  $v_{si}$  (see supplementary informations for details). However, if this is a too rough approximation to reach an understanding of the anisotropy of the thermal conductivity, we point out however that the anisotropy of the sound velocity and hence Debye temperatures  $\theta_{D,i}$ , are the inverse to that of the Grüneisen parameters  $\Gamma_i$ . Indeed, the Debye temperatures for the  $b$  and  $c$ -directions are smaller by about 15% both experimentally and in DFT. This is because the transverse sound velocity  $v_{tbc}$  between  $b$  and  $c$ -directions is much smaller than for the other directions. It seems therefore that the small anisotropy of the thermal conductivity of ZnSb could be due to a partial compensation of the anisotropy of the Debye temperatures  $\theta_{D,i}$  and of the sound velocities by the opposite anisotropy of the Grüneisen parameters and hence of the anharmonicity and Umklapp relaxation time. The above discussion is just qualitative and a full explanation of the anisotropy of the thermal conductivity is beyond the scope of the present paper and would require to calculate the thermal conductivity.

For high temperature thermoelectric applications, the thermoelectric materials experience a large thermal gradient that can induce large stresses along the thermoelectric leg. The knowledge of both thermal expansion and elastic constants is useful for evaluating if the material will crack or not under large thermal gradient and under long duration. Therefore, materials with low thermal expansion are suitable. As we have seen, ZnSb has strongly anisotropic thermal expansion and it will be only weakly deformed by thermal gradient along  $c$  axis. Its average linear thermal expansion  $\alpha$  is about  $12 \text{ MK}^{-1}$  at room temperature and only slightly increasing at higher temperatures. This value is comparable to that of other thermoelectric antimonide compounds such as skutterudite or clathrate compounds ( $\alpha = 9\text{-}14 \text{ MK}^{-1}$ ) [60–63] but significantly larger than in silicon and germanium which have small  $\alpha$  ( $\sim 2\text{-}6 \text{ MK}^{-1}$ ) [57, 62]. On the contrary, the thermal expansion is significantly lower than in  $\text{Bi}_2\text{Te}_3$  used around room temperature with rather small thermal gradient and in  $\text{PbTe}$  used at larger temperature and with larger thermal gradient which have rather large thermal expansion ( $17$  and  $20 \text{ MK}^{-1}$  respectively) [62]. As discussed by Case [62], it is necessary to have the smallest possible  $\alpha E$  value ( $E$  being the Young modulus) and also a small Poisson

coefficient in order to minimize the maximum thermal stress due to the thermal gradient and to maximize the thermal shock resistance parameter. In the case of ZnSb (with  $\alpha$  from present work and  $E$  from Balazyuk's work [4]), one finds the isotropic  $\alpha E = 962.7$  GPa/MK which is typical of thermoelectric materials [62]. Indeed, when comparing  $\alpha E$  with those of other thermoelectric materials, one sees that this is 30% larger than in Bi<sub>2</sub>Te<sub>3</sub> but 20% smaller than in PbTe and much smaller than in antimony-based skutterudites, but this is however much larger than for silicon or germanium [62].

Another important point for thermoelectric applications is the interfacial stress between the thermoelectric material and their electrically contacting materials. As copper is often used as electrical contact, one could minimize the interfacial stresses if the thermal expansion of thermoelectric material was close to that of copper (17 MK<sup>-1</sup> [57]). From this point of view, the average thermal expansion of polycrystalline ZnSb is close to that of copper but the thermal expansion of single-crystal oriented along  $c$  direction is three times smaller. Therefore, from thermal stresses point of view, probably polycrystalline samples are better suited than single-crystalline samples for high temperature applications.

To summarize, ZnSb seems to have thermo-mechanical properties at least as good as the usual thermoelectric materials.

## VI. CONCLUSIONS

The origin of the thermal expansion of ZnSb has been studied using the combination of experiments (neutron and x-ray) and calculations based on the density functional theory. Related properties are also studied such as: the zone-center (Raman and infrared) phonon modes, the dielectric (electronic and static) tensors, the phonon density-of-states, the specific heats and the isotropic atomic displacement parameters.

First, our experimental data show highly anisotropic thermal expansion with large values along the  $a$ -direction. Concomitantly, a large increase of the intra-ring Zn-Zn and of one of the Zn-Sb distances is observed, while other interatomic distances do not significantly change. The thermal expansion of ZnSb is positive except around 30 K where it is weakly negative along the  $b$ -direction with a more pronounced effect along the  $c$ -direction.

Then, the calculated mode-by-mode decomposition of the thermal expansion shows that the negative expansion is mainly due to phonon modes with frequencies up to 75 cm<sup>-1</sup>.

Along the  $b$ -direction, they are located in the  $S - Y - \Gamma$   $q$ -point range and the most negative contribution comes from the silent  $A_u$  mode centered at  $35 \text{ cm}^{-1}$ . Along the  $c$ -direction, they are located between  $\Gamma$  and  $Z$  high-symmetry point and the most negative contribution comes from the polar  $B_{2u}$  mode centered at  $38 \text{ cm}^{-1}$ . The eigendisplacement vectors of these two modes show that the Zn and Sb intra-ring atoms have a wagging-type vibration and the inter-ring atoms have a complex torsional mode, leading to a network contraction. The calculated positive thermal expansion at room temperature along the  $a$ -direction is mainly due to phonon modes located in the  $\Gamma - X$  and in the  $Y - \Gamma - Z$   $q$ -point range with frequencies up to  $175 \text{ cm}^{-1}$ . In particular, the Raman mode at  $75 (A_g)$  and the mode at  $83 \text{ cm}^{-1}$  located at the  $X$ -point dominate this standard thermal expansion. These modes are assigned to a scissoring of the Zn-Zn intra-rings along the  $a$ -crystal direction. This assignment is consistent with our analysis of the thermal dependence of the ZnSb crystallographic parameters and notably with the large increase of the Zn-Zn distances inside the  $\text{Zn}_2\text{Sb}_2$  rings. This work provides benchmark theoretical and experimental results to understand the thermal expansion mechanisms of  $A^IVB^V$  compounds belonging to orthorhombic structures.

Finally, we have discussed the origin of the much reduced anisotropy of the thermal conductivity compared to the anisotropy of the thermal expansion and Grüneisen parameters. It is related to a compensation by the lower Debye temperatures along the  $b$  and  $c$ -directions and mainly to the small transverse sound velocity between these directions. ZnSb is promising for future thermoelectric applications as it has thermo-mechanical properties similar to the usual thermoelectric materials.

## VII. ACKNOWLEDGEMENTS

RV thanks Bernard Fraisse (ICG) for his help during the high-temperature x-ray experiments.

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	GGA	GGA+SCI
$\epsilon_{11}^{\infty}$	34.115	26.970
$\epsilon_{22}^{\infty}$	26.928	21.521
$\epsilon_{33}^{\infty}$	29.586	23.010
$n_1^{\infty}$	5.841	5.193 (5.4 <sup>a</sup> )
$n_2^{\infty}$	5.189	4.639 (4.7 <sup>a</sup> )
$n_3^{\infty}$	5.439	4.797 (5.0 <sup>a</sup> )
$\epsilon_{11}^0$	41.425	34.280
$\epsilon_{22}^0$	32.959	27.552
$\epsilon_{33}^0$	37.604	31.029

<sup>a</sup> measured at 2 $\mu$ m

TABLE I: Optical dielectric tensor ( $\epsilon^{\infty}$ ), optical refractive index ( $n_i^{\infty} = \sqrt{\epsilon_{ii}^{\infty}}$ ) and static dielectric tensor ( $\epsilon^0$ ) calculated at the GGA level and with a scissors correction of 0.52 eV (GGA+SCI). Experimental refractive indexes measured at room temperature by Komiya *et al.* [2] are also reported between brackets.

	B <sub>1u</sub> -modes			B <sub>2u</sub> -modes			B <sub>3u</sub> -modes		
	$\omega_m$	$S_m$	$\epsilon_{33,m}^{ph}$	$\omega_m$	$S_m$	$\epsilon_{22,m}^{ph}$	$\omega_m$	$S_m$	$\epsilon_{11,m}^{ph}$
TO1	51	1.978	1.710	38	1.591	2.402	57	2.615	1.778
TO2	54	0.570	0.426	56	0.283	0.196	62	1.071	0.613
TO3	117	10.168	1.629	110	3.183	0.582	120	9.959	1.531
TO4	142	20.130	2.208	140	0.147	0.017	154	23.114	2.156
TO5	176	28.711	2.046	184	43.523	2.834	173	16.745	1.231
Total (Phonons)			8.019			6.031			7.310

TABLE II: Calculated transverse optical (TO) phonon contributions ( $\omega_m$ , given in  $\text{cm}^{-1}$ ) to static dielectric tensors and mode oscillator strengths ( $S_m$ ,  $\times 10^{-5}$  *a.u.*). Note: 1 *a.u.* = 253.2638413  $\text{m}^3 \cdot \text{s}^{-2}$ .

Mode	TO-modes					LO-modes	
	Symmetry	Calc.	Exp. [49]	$d\omega/dP$	$\gamma_m$	Calc.	Symmetry
		( $\text{cm}^{-1}$ )	( $\text{cm}^{-1}$ )	( $\text{cm}^{-1}/\text{GPa}$ )			
$B_{2u}$	TO1	38	44	-0.14	-0.18	LO1	39
$B_{1u}$	TO1	51	58	-0.20	-0.19	LO2	52
$B_{1u}$	TO2	54		0.00	0.00	LO2	55
$B_{2u}$	TO2	56	61	0.12	0.11	LO2	57
$B_{3u}$	TO1	57	66	-0.13	-0.11	TO1	58
$B_{3u}$	TO2	62		0.49	0.38	LO2	63
$B_{2u}$	TO3	110	119	1.01	0.44	LO3	111
$B_{1u}$	TO3	117	121	1.29	0.53	LO3	120
$B_{3u}$	TO3	120	123	1.10	0.44	LO3	122
$B_{2u}$	TO4	140		1.55	0.53	LO4	140
$B_{1u}$	TO4	142	154	1.78	0.60	LO4	147
$B_{3u}$	TO4	154	166	1.51	0.47	LO4	158
$B_{3u}$	TO5	173	184	1.79	0.50	LO5	178
$B_{1u}$	TO5	176	189	1.83	0.50	LO5	184
$B_{2u}$	TO5	184	195	1.94	0.51	LO5	194

TABLE III: Calculated frequencies of polar modes along with the experimental ones. Calculated pressure dependence and Grüneisen parameter of modes are also given.

Raman-modes				Silent-modes			
Mode	Freq.	$d\omega/dP$	$\gamma_m$	Mode	Calc.	$d\omega/dP$	$\gamma_m$
Symmetry	( $\text{cm}^{-1}$ )	( $\text{cm}^{-1}/\text{GPa}$ )		Symmetry	( $\text{cm}^{-1}$ )	( $\text{cm}^{-1}/\text{GPa}$ )	
$B_{2g}$	48	-0.05	-0.05	$A_u$	35	-0.24	-0.32
$A_g$	54	0.03	0.02	$A_u$	44	0.15	0.16
$B_{1g}$	61	0.17	0.13	$A_u$	58	0.17	0.14
$B_{3g}$	75	0.33	0.21	$A_u$	91	0.39	0.21
$A_g$	75	0.80	0.51	$A_u$	153	2.05	0.64
$A_g$	81	0.55	0.33	$A_u$	185	1.99	0.52
$B_{3g}$	85	0.83	0.47				
$B_{1g}$	87	1.13	0.62				
$B_{2g}$	99	1.13	0.54				
$B_{3g}$	114	1.18	0.50				
$B_{1g}$	119	0.87	0.35				
$B_{2g}$	121	1.03	0.41				
$B_{2g}$	144	1.26	0.42				
$B_{3g}$	155	1.22	0.38				
$B_{1g}$	158	0.63	0.19				
$B_{3g}$	160	0.72	0.21				
$A_g$	161	0.93	0.28				
$A_g$	165	1.37	0.40				
$B_{2g}$	167	0.58	0.17				
$B_{1g}$	172	1.45	0.40				
$B_{1g}$	177	1.49	0.40				
$B_{3g}$	183	1.58	0.41				
$B_{2g}$	189	1.73	0.44				
$A_g$	190	1.78	0.45				

TABLE IV: Calculated frequencies, pressure dependence and Grüneisen parameter of unpolar modes.

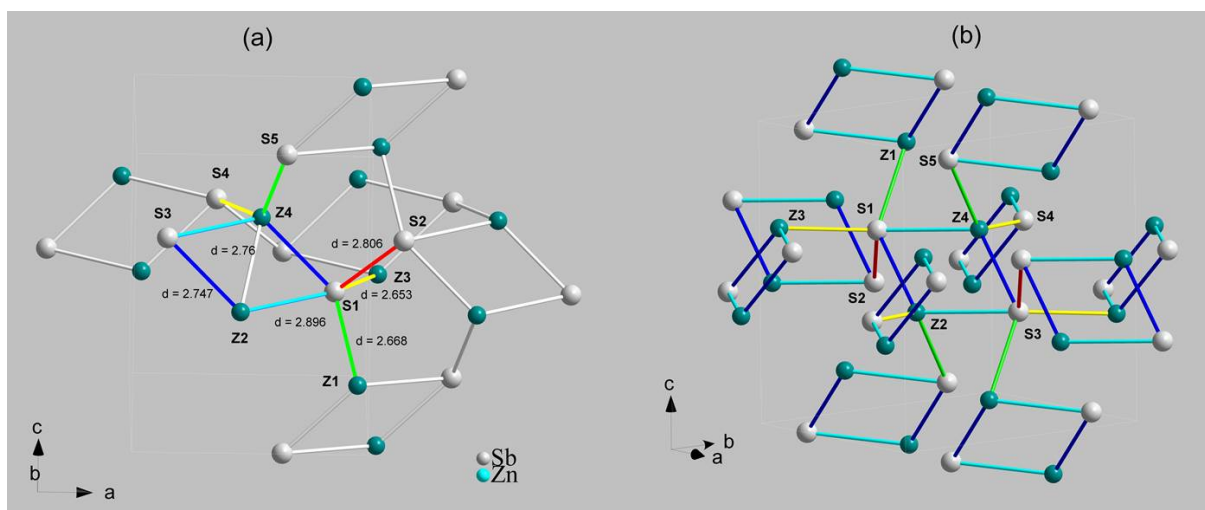


FIG. 1: Crystallographic structure of ZnSb highlighting the different interatomic distances (a). Selected Sb and Zn-atoms are labeled respectively with S and Z. The crystal structure corresponds to the experimental data at 300 K. Coordination of the ten neighboring Zn<sub>2</sub>Sb<sub>2</sub> rings around one Zn<sub>2</sub>Sb<sub>2</sub> ring (b).

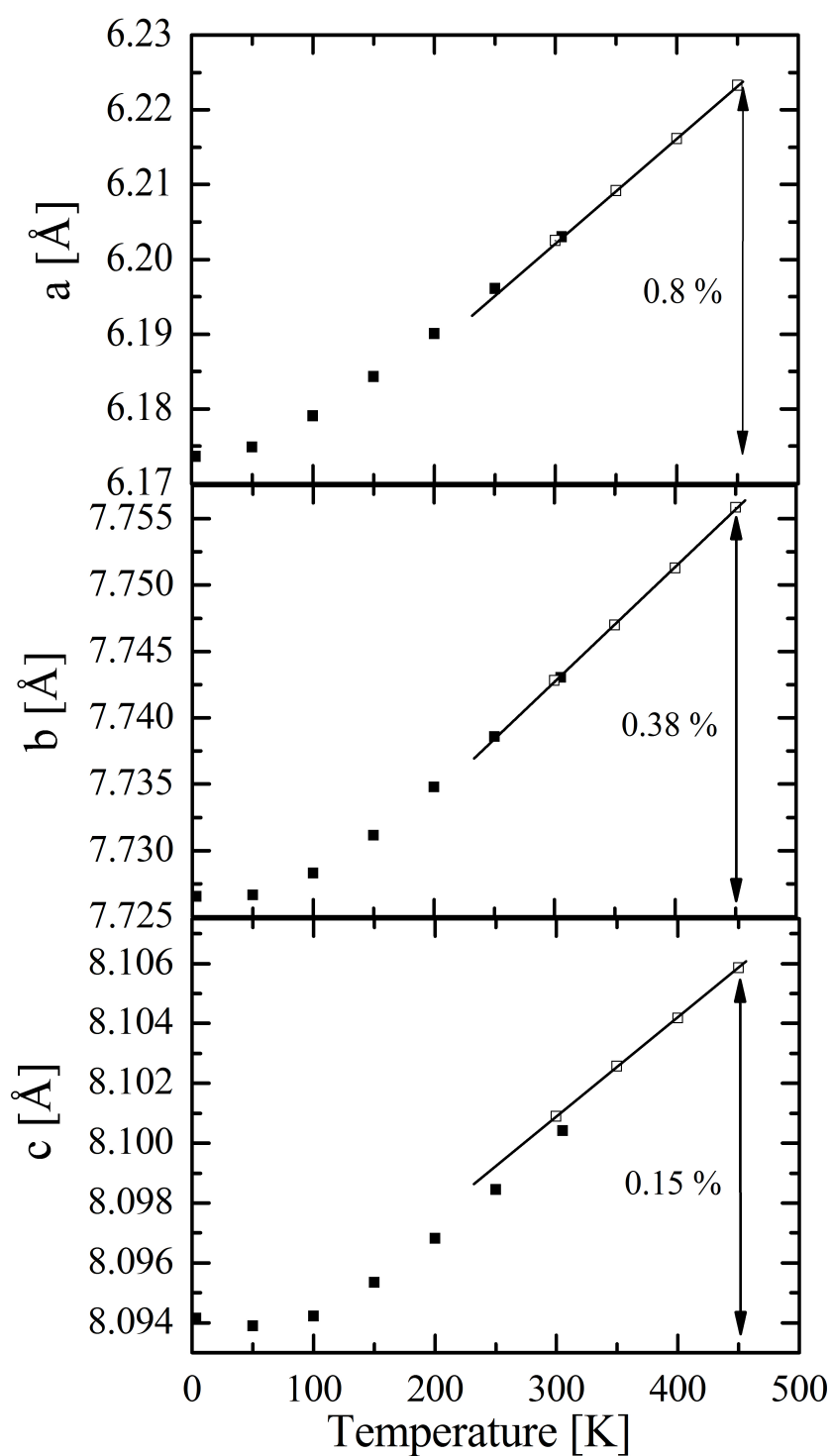


FIG. 2: Thermal variation of the lattice parameters of ZnSb determined from Rietveld refinement of neutron diffraction patterns (solid symbols) and from Le Bail fitting of X-ray diffraction patterns (open symbols). The size of the symbols matches the reliability parameters of the fits. Inset : The minimum of the thermal variation of the  $c$  lattice parameter at low-temperature is highlighted.

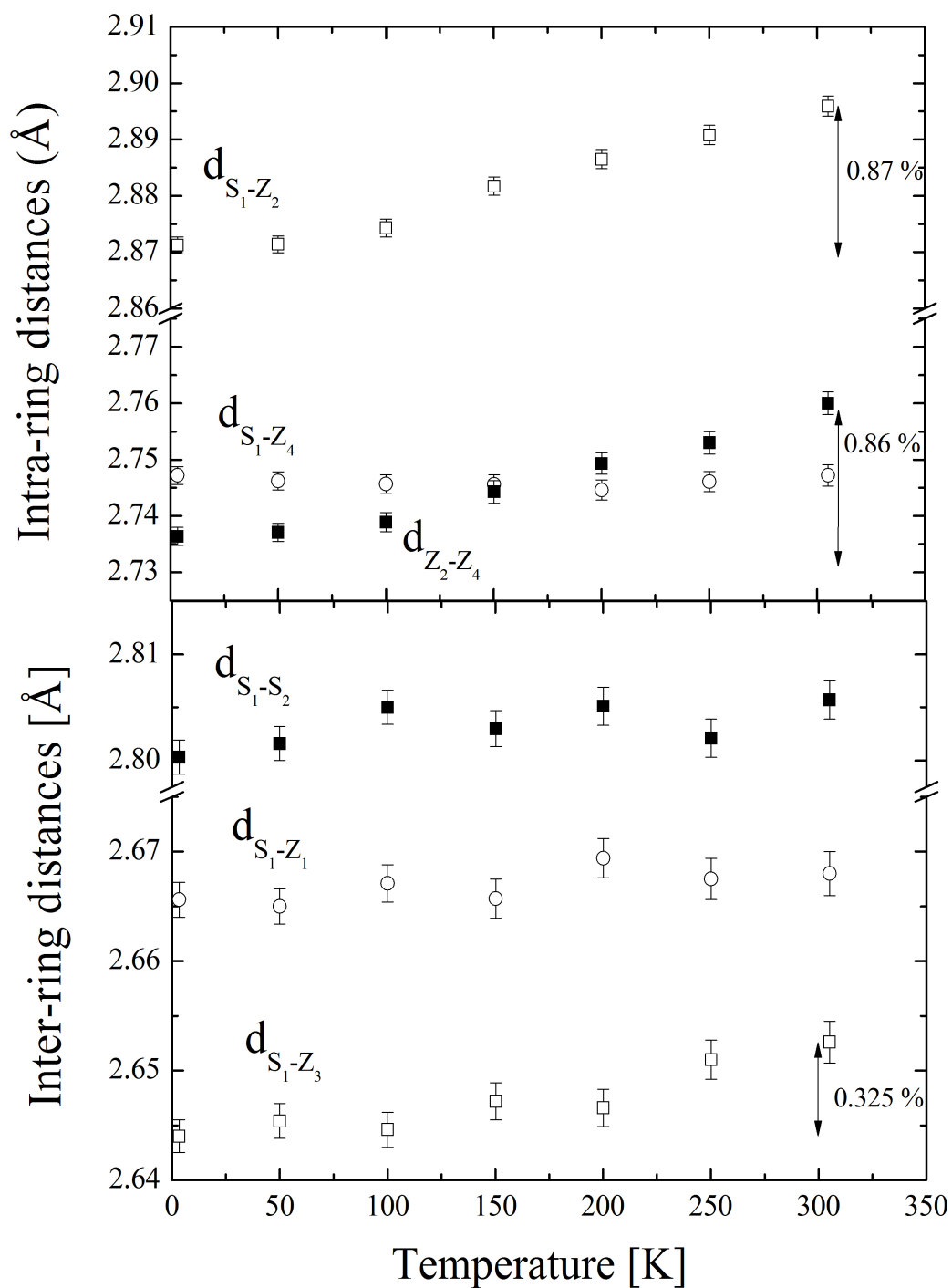


FIG. 3: Thermal variation of the intra-ring (top) and inter-ring interatomic distances (bottom).

See Fig. 1 for the atom labels.



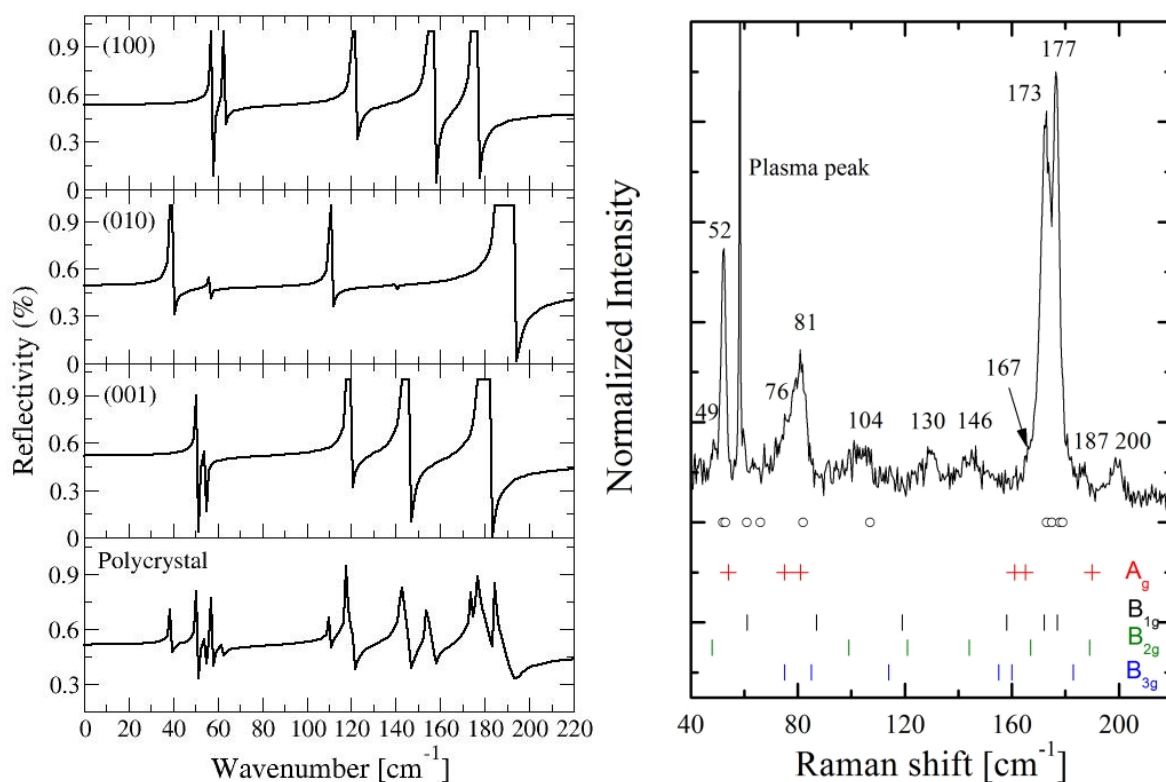


FIG. 4: (Left hand side) Calculated infrared reflectivity spectra on polycrystal and on single crystals with light polarization along (100) [B<sub>3u</sub>], (010) [B<sub>2u</sub>] and (001) [B<sub>1u</sub>] directions. (Right hand side) Experimental unpolarized Raman spectra. Open symbols represent experimental data of Smirnov *et al.* [49]. Calculated positions of the Raman lines with their symmetry are sketched by the bars and crosses at the bottom of the figure.

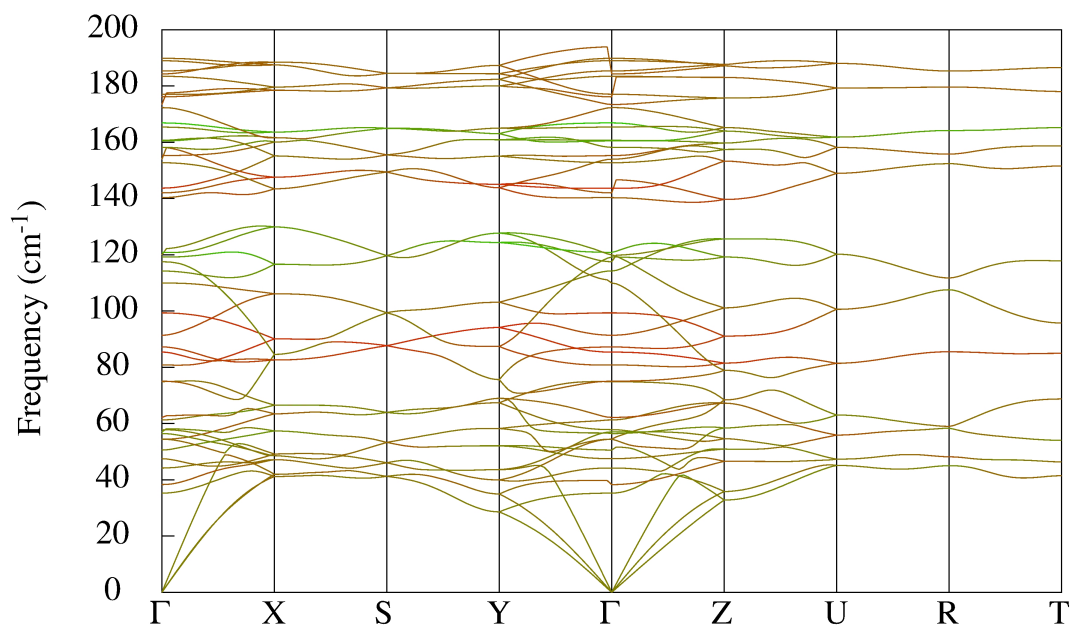


FIG. 5: Calculated phonon dispersion curves. The red and green colors highlight motions dominated by Zn and Sb-atoms, respectively. High symmetry  $q$ -points are defined as:  $\Gamma(0, 0, 0)$ ,  $X(\frac{1}{2}, 0, 0)$ ,  $S(\frac{1}{2}, \frac{1}{2}, 0)$ ,  $Y(0, \frac{1}{2}, 0)$ ,  $Z(0, 0, \frac{1}{2})$ ,  $U(\frac{1}{2}, 0, \frac{1}{2})$ ,  $R(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$  and  $T(0, \frac{1}{2}, \frac{1}{2})$ . The discontinuities near the  $\Gamma$  point are due to the splitting between TO and LO modes. These discontinuities have not been corrected on the figure to easily estimate the strength of this splitting.

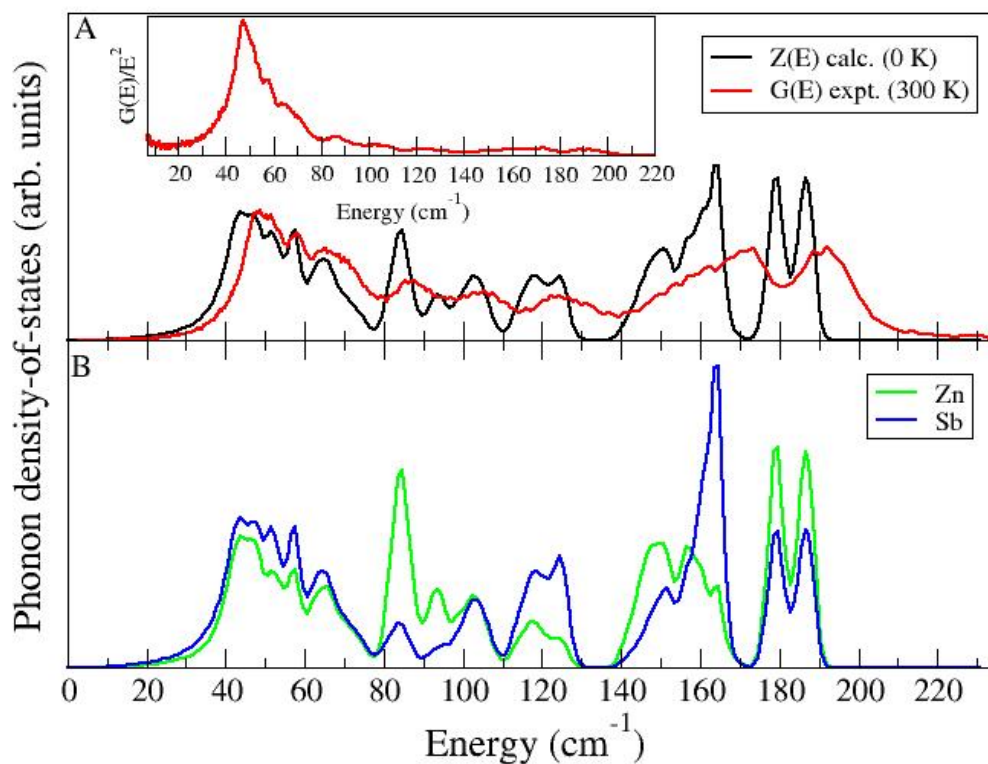


FIG. 6: Calculated  $Z(E)$  and experimental  $G(E)$  density-of-states (upper panel). Calculated atom-projected  $Z(E)$  (lower panel). Inset: Debye presentation,  $G(E)/E^2$ , of the experimental data. A constant gaussian broadening of  $1.1 \text{ cm}^{-1}$  has been used to plot the calculated spectra.

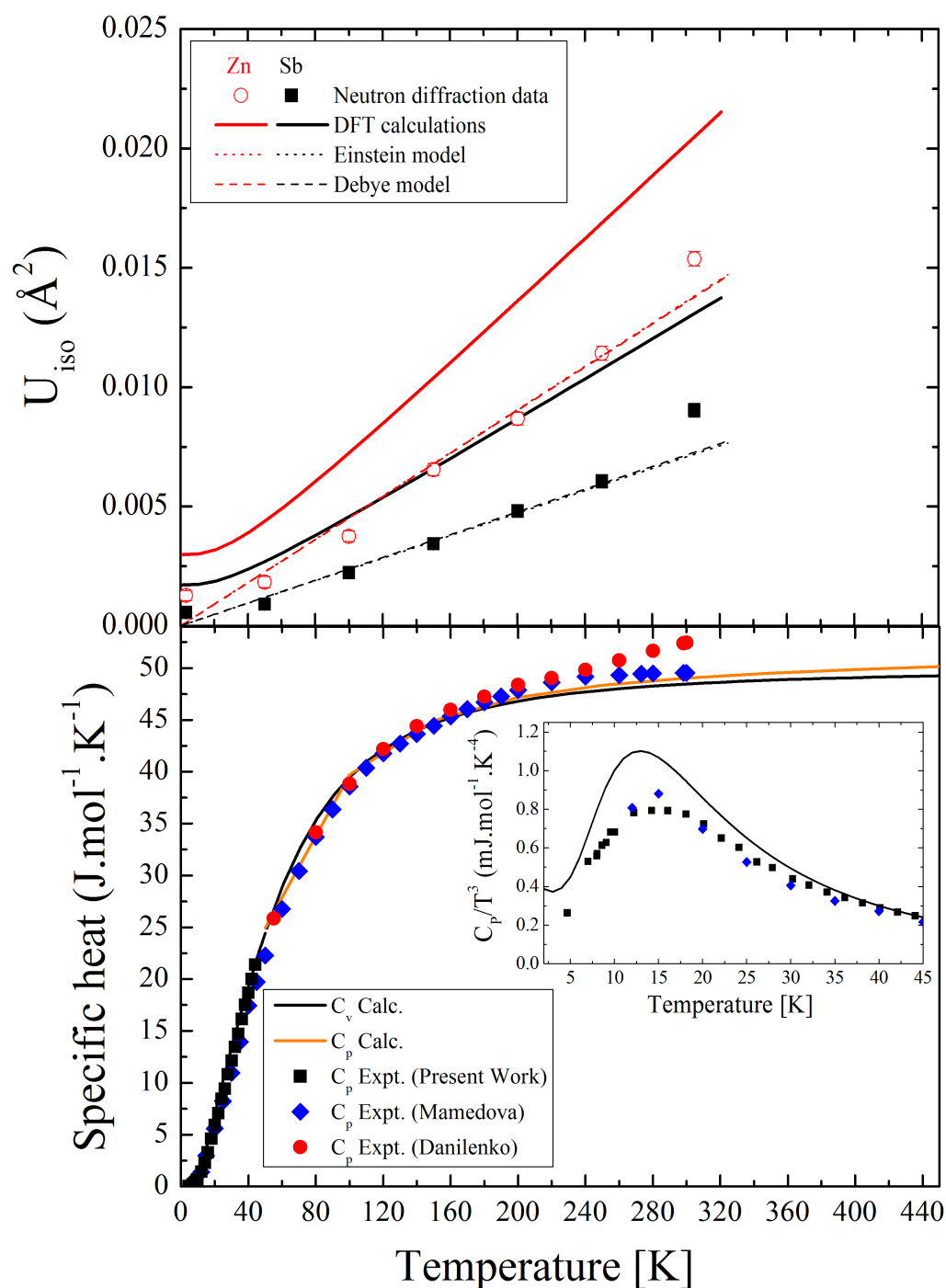


FIG. 7: Calculated specific heat at constant-volume ( $C_v$ ) and constant-pressure ( $C_p$ ) with the experiment (lower panel). Mamedova *et al.* and Danilenko *et al.* refer to Refs. [55] and [56], respectively. Experimental isotropic atomic displacement parameters (upper panel). Inset: Debye presentation,  $C_p/T^3$ , for experimental and calculated data.

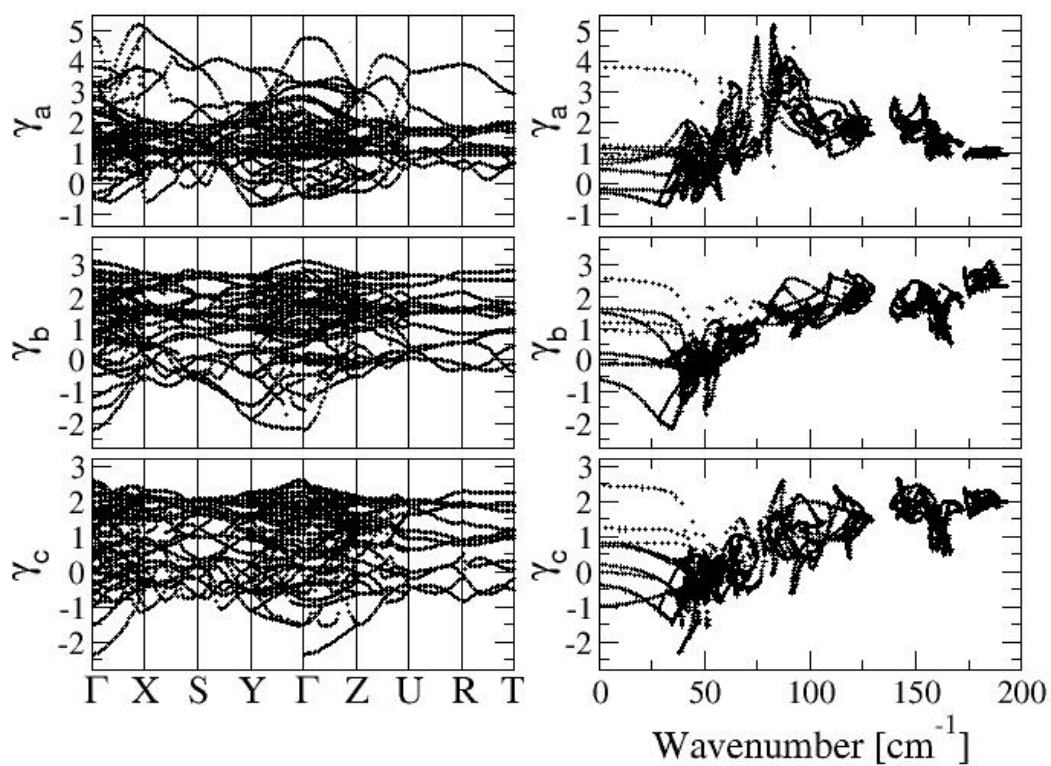


FIG. 8: Calculated mode Grüneisen parameters  $[\gamma_j(\mathbf{q})]$  along high-symmetry crystal directions (left hand side) and as a function of the frequency (right hand side).

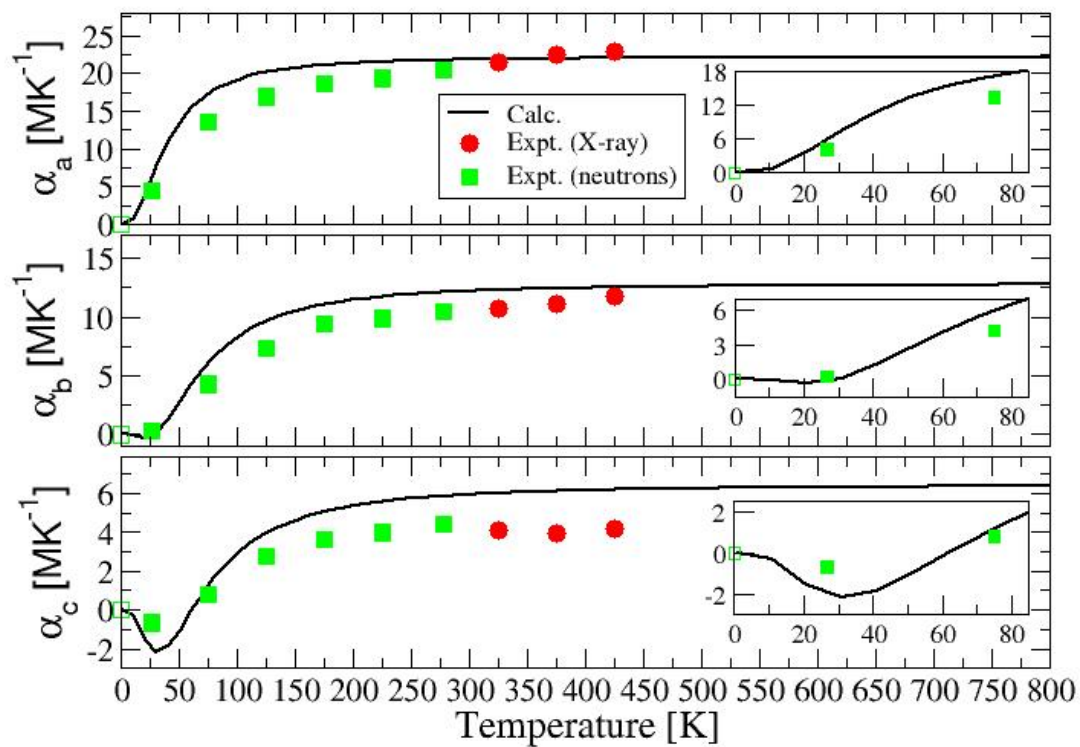


FIG. 9: Calculated and experimental thermal expansion. Insets: thermal expansion at low temperatures. The open squares label the zero thermal expansion at 0 K given as guide for the eyes to highlight the sign change in the experimental data.

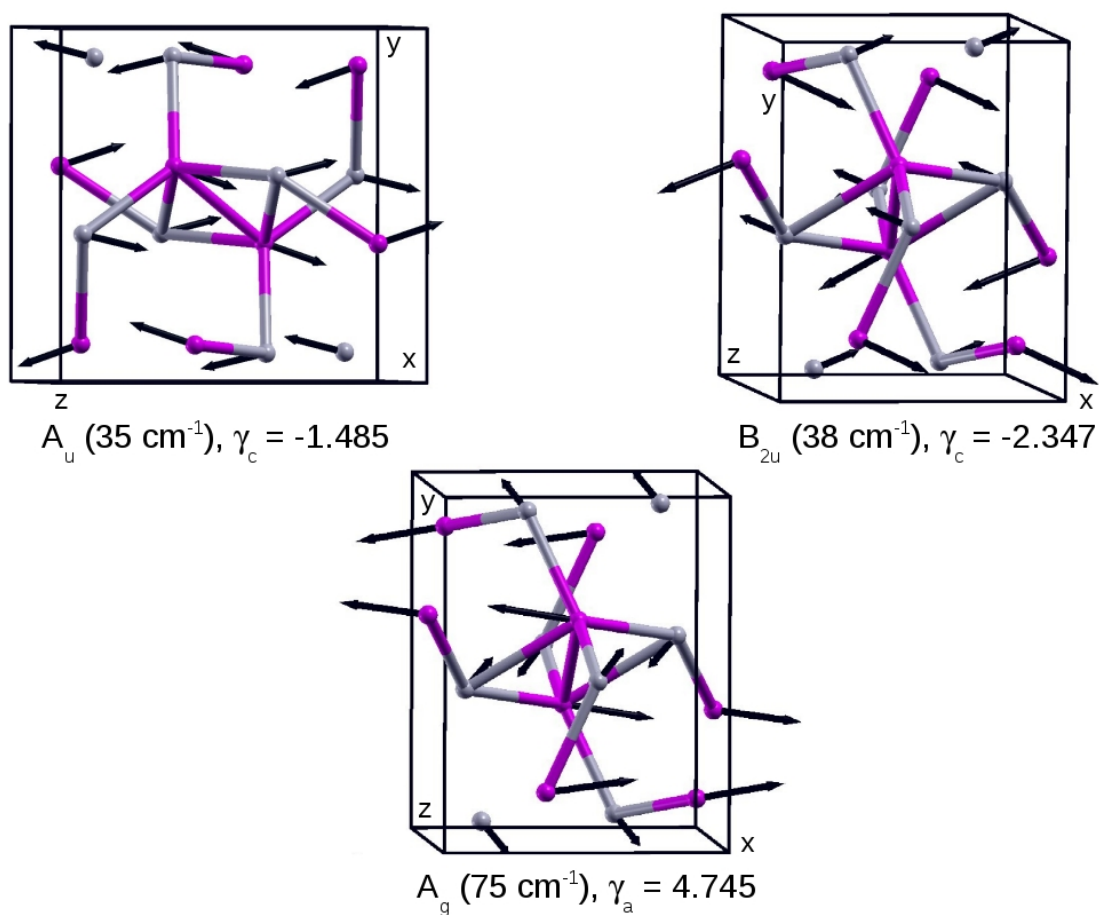


FIG. 10: Eigendisplacement vectors of selected zone-center modes at the origin of the thermal expansion. Arrows are proportional to the amplitude of the atomic motions. Color of Zn and Sb-atoms is pink and gray, respectively.