

This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. This Accepted Manuscript will be replaced by the edited, formatted and paginated article as soon as this is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/advances

1	Codelivery of doxorubicin and p53 by biodegradable micellear		
2	carriers based on chitosan derivatives		
3	Guan-Hai Wang, <sup>a,b,1</sup> Hui-Kang Yang, <sup>c,1</sup> Yi Zhao, <sup>d</sup> Da-Wei, Zhang <sup>e,*</sup>		
4	Li-Ming Zhang <sup>f,</sup> * and Jian-Tao Lin <sup>a,b,*</sup>		
5	<sup>a</sup> Dongguan Scientific Research Center, Guangdong Medical University, Dongguan		
6	523808, China		
7	<sup>b</sup> Guangdong Key Laboratory for Research and Development of Natural Drugs,		
8	Guangdong Medical University, Zhanjiang 523024, China		
9 10 11	<sup>c</sup> Department of Radiology, Guangzhou First People's Hospital, Guangzhou Medical Univ ersity, Guangzhou 510180, China <sup>d</sup> Department of Microbiology and Immunology, School of Basic Medicine, Guangdong		
12	Medical University, Dongguan 523808, China		
13	<sup>e</sup> Department of Pharmacology, School of Medicine, Guangdong Medical University,		
14	Dongguan 523808, China		
15	<sup>f</sup> DSAPM Lab, PCFM Lab, Institute of Polymer Science, School of Chemistry and		
16	Chemical Engineering, Sun Yat-sen University, Guangzhou510275, China		
17			
18			
19	Keywords: chitosan, micellear carriers, codelivery		
20			
21	* To whom correspondences should be addressed: D.w.zhang@163.com(Zhang D);		
22	ceszhlm@mail.sysu.edu.cn (Zhang); linjt326@163.com (Lin)		
23	Other authors' email addresses: wanggh0101@163.com (Wang G.),		
24	123086302@qq.com(Yang), zhaoyicomnet@gmail.com (Zhao),		
25	<sup>1</sup> These authors contributed equally to this work.		
26			
27			
28			
29			

## 30 Abstract

31 In this work, novel biodegradable cationic micelles were prepared based on poly-(N-32 ε-carbobenzyloxy-L-lysine) (PZLL) and chitosan (CS) by click reaction, and applied for 33 co-delivery doxorubicin (DOX) and p53 plasmid. The structure of copolymer was 34 characterized by <sup>1</sup>H NMR, FTIR. The loading amount of DOX in micelles was 12.8%. 35 Fluorescence spectra confirmed DOX interact  $\pi$ - $\pi$  stacking with micelles when DOX was 36 encapsulated into the micelles. In particular, its complexation with plasmid DNA was 37 investigated by agarose gel electrophoresis, flow cytometry, zeta potential, and particle 38 size analyses as well as transmission electron microscopy observation. The results 39 showed that the copolymers have strong pDNA condensation ability and protection of 40 pDNA against deoxyribonuclease I degradation. CS-g-PZLL/DOX/p53 nanoparticles 41 showed good gene transfection efficiency in vitro. Fluorescence images and flow 42 cytometry test revealed p53 and DOX could be efficiently transported into Hela tumor 43 cells simultaneously, and the optimum N/P ratio for p53 transfection was 20/1. For co-44 delivery analysis, the obtained CS-g-PZLL/DOX/p53 complexes showed a better 45 inhibition effect on Hela tumor cells than DOX or p53 used singly.

- 46
- 47
- 48
- 49
- 50
- 51

- 53
- 54
- 55

56 1. Introduction

57 So far, cancer treatment still faces serious challenge. Chemotherapy is one of 58 reliable choices for the treatment of many cancers. However, the treatment of 59 chemotherapy has been limited because of the emergence of multi-drug resistance(MDR), 60 which commonly associates with cancer cell overexpression of drug transporter 61 proteins.[1] A novel approach to address cancer drug resistance is to take the advantage 62 of the co-delivery of anticancer drugs and nucleic acid using multi-functionalized 63 nanocarriers. Co-delivery of the anticancer drugs and nucleic acid to the tumor site could 64 efficiently control the drug transporter proteins. This promising way may sidestep MDR 65 and lead to an improved therapeutic effect.

66 For the co-delivery of drugs and gene while maintaining their biological functions, 67 there has been an increasing interest in the development of multifunctional polymeric carriers by using polymers [2-4], liposomes [5-6] dendrimers [7-8], silica [9-10], 68 69 quantum dots [11]based nanoparticles and so on. As one of the most promising 70 nanocarrier systems, self-assembled cationic polymeric micelles were widely utilized as 71 drug and gene co-delivery systems. Cationic micelles are very effective nano-carriers for 72 the co-deliver of gene and drugs into various cancer cell lines. Shi et al. prepared a series 73 of cationic micelles based on triblock copolymers (MPEG-PCL-g-PEI) to deliver 74 doxorubicin and gene Msurvivin T34A. Their results showed that DOX and gene were 75 successfully co-delivered to the MCF-7 and CT26 cells. By introduction of T34A in 76 combination with doxorubicin, it could greatly reduce systemic toxicity as well as 77 improve the anti-tumor efficiency[12]. Lee et al. designed an amphiphilic copolymer 78 poly{(N-methyldietheneamine sebacate)-co-[(cholesteryl oxocarbonylamido ethvl) 79 methyl bis[13] ammonium bromide] sebacate} [P(MDS-co-CES)] to deliver human 80 TRAIL and paclitaxel simultaneously. They found the co-delivery nanoparticulate system 81 induced synergistic anti-cancer activities with relatively low toxicity in non-cancerous 82 cells[14]. However, these kind of cationic polymers are still associated with problems of 83 biodegradability, biocompatibility and cytotoxicity, which need to be overcome for in vivo application. 84

In this work, novel cationic micelles based on the polysaccharide and polypeptide were prepared by click chemistry, and applied for co-delivery of doxorubicin (DOX) and 87 p53 plasmid. Poly-(N-ε-carbobenzyloxy-L-lysine) (PZLL) is a hydrophobic derivate of 88 polypeptide. Due to their good biocompatibility and biodegradability, PZLL have been 89 widely used as the hydrophobic inner cores of micelles [15-17]. DNA can be bound 90 tightly to the surfaces of the micelles because of the amino groups in chitosan chains and 91 PZLL branch chains. Their proton buffering capability, DNA condensation ability, 92 protection of pDNA against deoxyribonuclease I degradation, in vitro cytotoxicity and 93 gene transfection efficiency into Hela cells were investigated via acid-base titration, 94 agarose gel electrophoresis, MTT, flow cytometry assay and fluorescence microscope. 95 Their drug loading capacity and in vitro release behavior were studied using DOX as a 96 model drug. The co-delivery of an anti-cancer DOX and functional gene (p53 plasmid) 97 into Hela cells was also investigated and discussed in this study.

## 98 2. Experimental section

99 2.1. Materials

100 N-ε-Carbobenzyloxy-L-lysine, doxorubicin hydrochloride, azido propylamine 101 Phthalic anhydride, hydrazine monohydrate, N-bromosuccinimide(NBS), triphenylphosphine (TPP), copper sulfate, 1-methy-2-pyrrolidinone (NMP) and 102 103 triphosgene were purchased from Aladdin Chemical Reagent Co., Ltd. (China). Chitosan 104 (Mw = 10 kDa) was purchased from Haidebei Marine Bioengineering Co. Ltd. (China). 105 Propargylamine, Sodium azide (NaN<sub>3</sub>, 99%) and sodium ascorbate (99%) were purchased 106 from Alfa Aesar. The Dulbecco's modified Eagle medium (DMEM), trypsin-107 ethylenediaminetetraacetic acid (Trypsin-EDTA), and fetal bovine serum (FBS) were 108 purchased from Gibco-BRL (Canada). Polyethylenimine (PEI, 25 kDa), 3-[4,5-109 dimethylthiazol- 2-yl]-2,5-diphenyltetrazolium bromide (MTT) were obtained from 110 Sigma-Aldrich (U.S.A.). Deoxyribonuclease I (DNaseI) was purchased from Feibo Life 111 Sciences (China). The plasmid p53 was obtained from Invitrogen. All other reagents were 112 analytical grade and were used as received.

113 2.2. Preparation of CS-g-PZLL copolymers

114 CS-g-PZLL were prepared by click reaction of  $\alpha$ -alkyne-poly-(*N*- $\epsilon$ - carbobenzyloxy-115 L-lysine) ( $\alpha$ -alkyne-PZLL) and azide focal point chitosan (6-N<sub>3</sub>-CS), as shown in Scheme 116 1.First,  $\alpha$ -alkyne-PZLL(Scheme 1A) was synthesized following a procedure reported by 117 Lin [18]. Page 5 of 17

#### **RSC** Advances

118 In brief, 5.0 g N- $\varepsilon$ -carbobenzyloxy-L-lysine (17.8 mmol) was reacted with 3g 119 triphosgene (10.1 mmol) by using tetrahydrofuran (THF) as solvent. The reaction time 120 was 1h and reaction temperature was 50 °C. After reaction, solvent was removed in 121 vacuo, the obtained residue was first dissolved in ethyl acetate, then washed with cold 5% 122 NaHCO<sub>3</sub> solution. The ethyl acetate layer was collected and dried by anhydrous Na<sub>2</sub>SO<sub>4</sub>. Ethyl acetate was removed in vacuo and a *\varepsilon*-carbobenzyloxy-L-lysine N-123 124 carboxyanhydride (Lys (Z)-NCA) white solid with a yield of 77% was obtained. After 125 that, 1.0 g Lys (Z)-NCA was reacted with 0.01 g propargylamine in anhydrous 126 dimethylformamide (DMF), the reaction time was 3 days. After reaction, methanol was 127 added into solution and white powder deposit was obtained. The chemical structure was confirmed by <sup>1</sup>H NMR and FTIR. <sup>1</sup>HNMR (400 MHz, D<sub>2</sub>O):  $\delta$  7.36–7.41 (5H, m -Ph), 128 5.08 (s, 2H, -OCH<sub>2</sub>Ph), 3.95 (s, 2H, -CCH<sub>2</sub>NH-), 2.89 (d, 2H, -NHCH<sub>2</sub>CH<sub>2</sub>), 1.7 (t, 2H, -129 CHCH<sub>2</sub>CH<sub>2</sub>-), 1.24 (m, 2H, -CHCH<sub>2</sub>CH<sub>2</sub>-). IR (KBr, cm<sup>-1</sup>): 3299, 3053, 2970, 1645, 1544, 130 1258, 1165, 1013, 539. 131

132 Second, 6-N<sub>3</sub>-CS (Scheme 1B) was synthesized by the similar method reported by Deng et al[19]. In brief, chitosan and phthalic anhydride was dissolved in DMF and 133 134 reacted for 8h at 120 °C to obtain N-phthaloyl-chitosan (2), (2) was then reacted with N-135 bromosuccinimide to obtain 6-bromide-6-deoxy-N-phthaloyl-chitosan (3), after that, (3) was reacted with sodium azide in N-methylpyrrolidone for 8h at 80 °C. After reaction, 136 137 the solution was filtered and precipitated with ethanol, precipitate was collected and 138 washed by acetone for three times, then dried under a vacuum to get 6-Azido-6-deoxy-Nphthaloyl-chitosan (4) with a yield of 73%.<sup>1</sup>HNMR (400 MHz, D<sub>2</sub>O): δ 3.30-3.90 (m, 139 140 D-glucosamine unit, H-3, H-4, H-5, H-6, H-60), 3.34-3.78 (2H, -CONHCH<sub>2</sub>-), 2.90 (protons next to amines). IR (KBr, cm<sup>-1</sup>): 3442, 2930, 2114, 1667, 1382, 1071, 1013, 657. 141 142 At last, CS-g-PZLL was synthesized by Scheme 1C. α-alkyne-PZLL(3 mmol), 6-N<sub>3</sub>-CS (1 mmol) and the catalyst (CuSO<sub>4</sub>·5H<sub>2</sub>O/sodium ascorbate, 0.5 mmol/1 mmol) were 143 144 dissolved in 20.0 mL DMSO/water (5:1, v/v) mixture solution and reacted at 50 °C for 24 145 h. The mixture was then precipitated with ethanol and purified by dialysis in water for 2 146 days. After dialysis and lyophilization, the CS-g-PZLL was collected as a brown powder with a vield of 87%. <sup>1</sup>HNMR (400 MHz, D<sub>2</sub>O): δ 7.36-7.41 (5H, m -Ph), 5.08 (s, 2H, -147

- OCH<sub>2</sub>Ph), δ 3.30-3.90 (m, D-glucosamine unit, H-3, H-4, H-5, H-6, H-60), 3.34-3.78 (2H, 148
- 149 -CONHCH2-), 2.90 (protons next to amines) 1.7 (t, 2H, -CHCH2CH2-),1.24 (m, 2H,-
- CHCH<sub>2</sub>CH<sub>2</sub>-). IR (KBr, cm<sup>-1</sup>): 3299, 3053, 2930, 1645, 1382, 1258, 1165, 1013, 539. 150
- 151

( A) | NH2 1 DMF.RT.3da 152 ( B) нс NH. Chitosan a но 2 NHA 3 NHA но 5 (c) Click recatio но но NH. 6 5 g = PZLL chains





triphenylphosphine, NMP, 80 °C, 2 h; (c) sodiumazide, NMP, 80 °C, 4 h; (d) hydrazine
monohydrate, water, 100 °C, 10 h; (e)CuSO4·5H<sub>2</sub>O/sodium ascorbate, DMSO/water, 40

159 °C, 24 h.

160 2.3. DOX loading and in vitro release

161 DOX was loaded by using a dialysis method reported by Lin et al[9]. Briefly, 10mg 162 CS-g-PZLL and 5.0 mg DOX hydrochloride were first dissolved by using 5.0 mL DMSO 163 as solvent, for neutralization of HCl, a drop of triethylamine was then added to the 164 solution. The complete dissolved solution was then transferred to a dialysis bag (MWCO 165 3000) and subjected to dialysis against distilled water for 48 h. After that, the dialysis 166 solution was filtered through a 0.45 m filter and then lyophilized. To investigate the 167 interactions between CS-g-PZLL and DOX, the obtained CS-g-PZLL/DOX complex was 168 analysised by fluorescence spectra. The excitation wavelength was 330 nm and the 169 fluorescence emission spectra were recorded in the range from 400 to 700 nm. To 170 determine the loading amount of DOX, the obtained DOX/CS-g-PZLL was dissolve in 171 DMSO and analyzed by UV-vis spectrophotometry (TU-1900, China) at 480nm. It was 172 found that the loading amount of DOX was 12.8 %. The loading amount of DOX was 173 calculated according to the following equation:

174 175

## $LC = M_1/M_0 \times 100\%$

where  $M_0$  is the weight of micells,  $M_1$  is the weight of the loading DOX.

176 The release of DOX from CS-g-PZLL was assayed at 37 °C in PBS buffer of pH 5.8 177 (simulate pH of tumor) and 7.4 (simulate pH of blood plasma). Predetermined amount of 178 the DOX/CS-g-PZLL complexes in 5.0 mL of PBS (pH 5.0 and 7.4) was sealed in a 179 dialysis bag (MWCO=3KDa), then the dialysis bag was submerged in 20 mL of the 180 corresponding buffer. At predetermined time intervals, 2.0 mL of aqueous solution was 181 taken out for drug concentration measurement and replaced by an equal volume of fresh 182 PBS. The released DOX in the incubation buffer was analyzed using a UV-vis 183 spectrophotometry (TU-1900, China) at 480 nm. All measurements were performed in 184 triplicate.

185 2.4. Plasmid binding

186 2.4.1. Formation

187For the plasmid binding to the CS-g-PZLL, p53 solution was added to the CS-g-188PZLL or DOX loaded CS-g-PZLL solutions at various N/P ratios, then mixed by gentle

agitation for 5 s and incubation at 37 °C for 30 min before used.

190 2.4.2. Gel electrophoresis

191 Agarose gel retardation assay was carried out to determine the DNA condensation 192 ability of CS-g-PZLL micellar nanoparticles to p53. CS-g-PZLL/p53 complexes were 193 prepared at various N/P ratios (CS-g-PZLL to p53: 5, 10 and 20). The complexes were 194 mixed with appropriate amounts of loading buffer and incubated for 30 min at room 195 temperature, then loaded onto 1.0 % agarose gel containing GeneGreen (0.1mg·mL-1, 196 Sigma) and electrophoresed with tris-acetate buffer for 30 min at 100 V. The location of 197 DNA in the gel was analyzed using a UV transilluminator and a digital imaging system 198 (Fisher Scientific, PA, USA).

DNase I was added to CS-g-PZLL/p53 complexes (N/P 5, 10 and 20) for examining the protection ability of CS-g-PZLL against DNase degradation. DNase I and complexes were incubated at 37  $^{\circ}$ C for 30 min, after that, EDTA (4.0 mL, 250 mM) and sodium dodecyl sulfate (SDS) solution (4.0 mL,10%, w/v) was added and the mixture was incubated at room temperature for another 1 h. The samples were then electrophoresed on the 1.0 % agarose gel to examine the integrity of DNA.

205 2.4.3. Size and morphology

The particle size and surface charge of the complexes were determined by a Zeta Potential Analyzer instrument (ZetaPALS, Brookhaven Instruments Corporation, USA). The morphology of the complex was observed by a JEM-2010HR high-resolution transmission electron microscope instrument.

210 2.4.4. In vitro transfection

For transfection, Hela cells were plated in 24-well plates at  $1 \times 10^4$  cells/well. Prior to transfection, the cells were washed once with PBS buffer, and the medium in each well was replaced with serum-free media for 12h. After that, Cells were replenished with 10% fetal bovine serum media containing CS-g-PZLL/p53 complexes at different N/P ratios (containing 2.0 µg p53 in each N/P ratio). After 48 h transfection at 37 °C, the cells were observed with a Olympus IX71 fluorescence microscope (Melville, NY, U.S.A.). The transfected cells were washed once with PBS, detached with 0.25% trypsin and collected,

218 transfection efficiency was analyzed via flow cytometry quantitatively by scoring the 219 percentage of cells expressing GFP (FACS Aria flow cytometer Germany).

220 2.5 In vitro Cytotoxicity Assay

221 In vitro Cytotoxicity assay of CS-g-PZLL and DOX loaded CS-g-PZLL 222 nanoparticles was performed against Hela cells by MTT assay. Five multiple holes were 223 set for every sample. Briefly, Hela cells were respectively cultured onto 96-well plates at a density of  $1 \times 10^4$  cells/well and incubated in a humidified atmosphere of 5% CO<sub>2</sub> at 37 224 °C for 12 h. After that, the growth medium was replaced by 100 µL complete DMEM 225 226 containing indicated amount of sample and and further incubated for 24 h. Then 10 µL of MTT (0.5 mg·mL<sup>-1</sup>) in PBS solution was added to each well, the cells were incubated for 227 228 another 4h to form formazan crystals. Finally, the medium was removed and 100 µL of 229 DMSO was added to each well. The optical density values of the samples were measured 230 at 490 nm by using a MRX-Microplate Reader (Thermo, USA). The cells treated with the 231 same amount of PBS were used as control. The relative cell viability was calculated as 232 follow:

233 Cell viability (%) =  $[A_{490} (sample) / A_{490} (control)] \times 100$ 

- Where A<sub>490</sub> (sample) and A<sub>490</sub> (control) were obtained in the presence and absence
- of sample, respectively.
- 236 3. Result and discussion
- 237 3.1. Synthesis and characterization of CS-g-PZLL



238 239

Figure 1. <sup>1</sup>H NMR spectra of CS-g-PZLL, N<sub>3</sub>-CS and PZLL.

Figure 1 shows <sup>1</sup>H NMR spectra of the CS-g-PZLL, 6-N<sub>3</sub>-CS, and PZLL. As seen in

the spectrum of the CS-g-PZLL, the new peaks at 5.0, 7.0-7.30 ppm showed the presence

of the PZLL compared to the spectrum of 6-N<sub>3</sub>-CS. Moreover, the peak at 8.1 ppm in the

- spectrum of the CS-g-PZLL indicated the presence of the triazole proton, which could be
- attributed to the formation of  $6-N_3-CS$  and PZLL by the click reaction.



Figure 2. FTIR spectra of CS-g-PZLL, N<sub>3</sub>-CS and PZLL.
Figure 2 shows the FTIR spectra of the CS-g-PZLL, N<sub>3</sub>-CS and PZLL. As seen in
ETIR spectrum of N<sub>2</sub> CS, the characteristic vibration hand for azide group at 2110 cm<sup>-1</sup>

255

FTIR spectrum of  $N_3$ -CS, the characteristic vibration band for azide group at 2110 cm<sup>-1</sup> demonstrated that azide groups were successfully incorporated in  $N_3$ -CS. The new peak at 1450 cm<sup>-1</sup> appeared in the samples of CS-g-PZLL, which is attributed to the 1,2,3triazole structure formed during click modification, respectively[20-21]. The FTIR data indicated that the PZLL dendrimer was successfully grafted onto chitosan chains via click chemistry.

254 3.2. DOX-loaded micelles and in vitro drug release



Figure 3 (A) The fluorescence spectra of the micells, DOX solution and complex  $(\lambda_{\text{excitation}}=330 \text{ nm})$ . (B) In vitro release profiles of the loading DOX from CS-g-PZLL nanoparticles in phosphate buffer (pH 7.4 or 5.8) at 37 °C

259 In vitro release of drugs form micells were investigated in pH 7.4 and 5.0 to imitate 260 the pH of blood and tumor site. DOX was chosen as the model drug, and DOX was 261 encapsulated into the hydrophobic core of the micelles. Figure 3(A) show the interaction 262 of DOX and micells by fluorescence spectra. It was found that the fluorescence of 263 complex was nearly completely quenched when DOX was encapsulated into the micells, 264 confirming the  $\pi$ - $\pi$  stacking interaction between DOX and phenyl groups of PZLL 265 chains [22-23]. Moreover, the  $\pi$ - $\pi$  stacking interaction help to improve high loading 266 amount of DOX. It was found that the loading amount of DOX in micells was 12.8%. As 267 shown in Figure 3(B), release profiles showed sustained release behaviors of DOX at pH 268 7.4 and pH 5.0. 35.7% and 37.8% of the loaded DOX were released at pH 7.4 and pH 5.0 269 in the initial 12 h, and the accumulated release reached 61.4% and 75.4% after 96 h, 270 respectively (Figure 3B). It is interesting to find that the release rate and released amount 271 of DOX at pH 5.0 were a little higher than those at pH 7.4, which revealed a promoted 272 drug release behavior at the tumor site. DOX released from micelles mainly by free 273 diffusion. The better solubility of DOX at pH 5.0 than at pH 7.4 promoted the rapid 274 release behavior. Moreover, the pH-responsive property of the copolymers leads to the 275 higher release rate in lower pH. Since tumor shows lower extracellular pH, this 276 observation demonstrated that the CS-g-PZLL micelle might show potential applications 277 as a tumor-targeting drug delivery platform.

278 3.3.1 Gel electrophoresis



279

Figure 4. (A) Agarose gel electrophoresis retardation assay of CS-g-PZLL/p53 complexes at different N/P ratios. (B) Protection and release assay of p53. p53 was released by adding 10% SDS to CS-g-PZLL/p53 complexes at different N/P ratios.

283 The formation of CS-g-PZLL/p53 complexes was examined by agarose gel 284 electrophoresis assay. As shown in Figure 4A, the migration of pDNA was completely 285 retarded when the MSN-x-G3/pDNA weight ratio exceeded 5. These results indicated 286 that CS-g-PZLL has a strong binding ability to p53. Figure 4B shows the protection effect 287 of CS-g-PZLL against p53 degradation by DNase I. It was found that the naked p53 was 288 completely digested, while CS-g-PZLL/p53 at all N/P ratios (5, 10 and 20) exhibited 289 distinct protective effects against DNase I. These results indicated that the micells formed 290 by CS-g-PZLL copolymer could be used as a co-delivery system for loading hydrophobic 291 drugs and gene. Moreover, the co-delivery system could protect genes against DNase 292 simultaneously.

293 3.3.2. Size and morphology



294

Figure 5. (A) Particle sizes and zeta potentials of CS-g-PZLL/DOX/p53 complexes formed at various N/P ratios. (B) Typical particle size distribution and TEM image of the CS-g-PZLL/DOX/p53 complex formed at an N/P ratio of 20.

298 The mean particle sizes and zeta potential of CS-g-PZLL/DOX/p53 complexes were 299 investigated by Zeta Potential Analyzer and TEM, as shown in Figure 5. It was found that 300 the zeta potential increased with the N/P ratio of 2-80, and the range was between 0.5-301 12.5 mV. By contrast, the size of the complexes tended to decrease with the increase of 302 N/P ratio, and remained in the size range from 400 to 150 nm. The TEM photos showed 303 that CS-g-PZLL/DOX/p53 complexes (Figure 5 B) have a spherical shape and compact 304 structure. The complexes had an average diameter of about 100 nm, which was consistent 305 with the results measured by zeta potential test. It is reported that the size range of pDNA 306 containing complexes from 50 to 400 nm was suitable for cellular endocytosis[24]. In this

- 307 study, the test result of CS-g-PZLL/DOX/p53 could meet the requirement of efficient
- 308 gene delivery.
- 309 3.3.3 Co-delivery and cell viability
- 310

A

311312

313

314

315316





Figure 6. (A)Fluorescence field images of Hela cells transfected by CS-gPZLL/p53/DOX complexes formed at various N/P ratios(1 N/P=10, 2 N/P=20, 3 N/P=40).
(B) Quantitative determination of transfected Hela cells by flow cytometry (C)Hela cells
viability treated by CS-g-PZLL, CS-g-PZLL/p53 and CS-g-PZLL/p53/DOX

317

322 To investigate the co-delivery ability of CS-g-PZLL, Hela cells was studied by 323 fluorescence microscopy using plasmid eGFP-N1-53 and DOX as model gene and 324 hydrophobic drug. The representative fluorescence images are shown in Figure 6. The 325 green and red fluorescence were both observed in the Hela cells at different N/P ratio, the 326 green fluorescence was from eGFP and the red fluorescence was from DOX (Figure 6 A). 327 The results suggested that both p53 and DOX can be delivered into Hela cells by CS-g-328 PZLL at different N/P ratio. The intensity of the red fluorescence at different N/P ratios 329 did not show apparent difference. It is suggested that DOX could be efficiently 330 transported into cells by at all N/P ratios. On the other hand, the intensity of the green 331 fluorescence from eGFP was stronger at N/P ratio of 20 than others, indicating that N/P 332 ratio of 20 was the optimum N/P ratio for p53 transfection. This result was consistent 333 with the results of flow cytometry, as shown in figure 5 B. The highest transfected ratio 334 was 31.2% while the N/P ratio was 20. The transfected cells at the N/P ratio of 40 were 335 slightly less than those at the N/P ratio of 20. This result may be caused by the slightly 336 reduced tolerance of Hela cells under the high CS-g-PZLL concentration (figure 6 C).

The co-delivery of drug and gene has become the primary strategy in cancer and other disease therapy in recent years, because this technique could promote synergistic actions, improve target selectivity and deter the development of drug resistance. For the

340 co-delivery of antitumor drugs and genes while maintaining their chemophysical 341 properties and biological functions, a multifunctional carrier which could load gene and 342 drug simultaneously is necessary. In previous studies, cationic polymeric micelles have 343 been widely used as drug and gene co-delivery carriers. Zhu et al.[26] prepared 344 biodegradable cationic micelles based on the self-assembly of PDMAEMA-PCL-345 PDMAEMA triblock copolymers as siRNA and paclitaxel co-delivery carriers. The 346 results demonstrated that combinatorial delivery of VEGF siRNA and paclitaxel showed 347 an efficient knockdown of VEGF expression. Zheng et al. [27] prepared polypeptide 348 cationic micelles based on poly(ethylene glycol)-b-poly(l-lysine)-b-poly(L-leucine) 349 (PEG-PLL-PLLeu) triblock copolymers as docetaxel (DTX) and siRNA-Bcl-2 co-350 delivery vectors. The results showed that the co-delivery of DTX and siRNA-Bcl-2 351 (siRNA that suppresses the expression of anti-apoptotic Bcl-2 gene) significantly 352 inhibited tumor growth as compared to the individual siRNA or DTX treatment.

353 To confirm the cell inhibition effect of complexes containing both DOX and p53, we 354 evaluated their cytotoxic effects using a MTT assay. The results showed that CS-g-PZLL 355 was non-toxicity at the concentration of this assay, while the samples containing p53 356 showed an obvious cytotoxicity, the cell viability was decrease to 70, 58 and 55% at N/P 357 ratio of 10, 20 and 40 (Figure 6C). The further inhibition effect was found by the co-358 delivery group, the cell viability was decrease to 63, 41 and 44% respectively. The better 359 effect may attribute to that the released DOX could damage DNA; meanwhile, p53 could 360 instigate mRNA to down-regulate protein expression. The result suggested that the co-361 delivery induce synergistic actions and lead to an effective method for tumor therapy.

362 4. Conclusion

363 For the co-delivery of anti-tumor drug and gene to tumor cells, a new cationic 364 micelle consisting of poly-(N- $\varepsilon$ -carbobenzyloxy-L-lysine) (PZLL) and chitosan has been 365 synthesized, and used to co-deliver DOX and p53 for cancer therapy. CS-g-366 PZLL/DOX/p53 nanoparticles showed good gene transfection efficiency in vitro, and 367 could delivered p53 and DOX simultaneously to Hela tumor cells. For co-delivery 368 obtained CS-g-PZLL/DOX/p53 complexes analysis. the showed a better 369 inhibition effect on Hela tumor cells than p53 used singly. Such a cationic micelle deliver 370 system may be used as a potential multifunction vector for future cancer therapy

- applications.
- 372 Acknowledgment

373 This work was financially supported by National Natural Science Foundation of China

374 (81402563), Traditional Chinese Medicine Bureau Foundation of Guangdong Province

- 375 (20141158), Doctoral Research Program of Guangdong Medical College
- 376 (XB1303 and XB1387) and Special Foundation for Young Innovation Scientists of
- 377 Department of Education of Guangdong Province(4CX14119G and 4CX14118g).
- 378 References
- 379 1. X.-B. Xiong and A. Lavasanifar, ACS nano, 2011, 5, 5202-5213.
- Y. Wang, S. Gao, W.-H. Ye, H. S. Yoon and Y.-Y. Yang, *Nature materials*, 2006,
   5, 791-796.
- Q.-D. Hu, H. Fan, Y. Ping, W.-Q. Liang, G.-P. Tang and J. Li, *Chemical Communications*, 2011, 47, 5572-5574.
- M. Ma, Z. Yuan, X. Chen, F. Li and R. Zhuo, *Acta biomaterialia*, 2012, **8**, 599-607.
- M. S. Suh, G. Shim, H. Y. Lee, S.-E. Han, Y.-H. Yu, Y. Choi, K. Kim, I. C.
  Kwon, K. Y. Weon and Y. B. Kim, *Journal of Controlled Release*, 2009, 140, 268-276.
- Z. Xu, Z. Zhang, Y. Chen, L. Chen, L. Lin and Y. Li, *Biomaterials*, 2010, **31**, 916-922.
- 391 7. S. Liu, Y. Guo, R. Huang, J. Li, S. Huang, Y. Kuang, L. Han and C. Jiang,
   *Biomaterials*, 2012, 33, 4907-4916.
- 393 8. T. L. Kaneshiro and Z.-R. Lu, *Biomaterials*, 2009, **30**, 5660-5666.
- 394 9. J.-T. Lin, C. Wang, Y. Zhao and G.-H. Wang, *Materials Research Express*, 2014, 1, 035403.
- M. Akin, R. Bongartz, J. G. Walter, D. O. Demirkol, F. Stahl, S. Timur and T.
  Scheper, *Journal of Materials Chemistry*, 2012, 22, 11529-11536.
- J.-M. Li, Y.-Y. Wang, M.-X. Zhao, C.-P. Tan, Y.-Q. Li, X.-Y. Le, L.-N. Ji and Z.W. Mao, *Biomaterials*, 2012, 33, 2780-2790.
- 400 12. S. Shi, K. Shi, L. Tan, Y. Qu, G. Shen, B. Chu, S. Zhang, X. Su, X. Li and Y.
  401 Wei, *Biomaterials*, 2014, 35, 4536-4547.
- 402 13. D. G. Anderson, W. Peng, A. Akinc, N. Hossain, A. Kohn, R. Padera, R. Langer
  403 and J. A. Sawicki, *Proceedings of the National Academy of Sciences of the United*404 *States of America*, 2004, **101**, 16028-16033.
- 405 14. A. L. Lee, Y. Wang, S. Pervaiz, W. Fan and Y. Y. Yang, *Macromolecular bioscience*, 2011, 11, 296-307.
- 407 15. H.-Y. Wen, H.-Q. Dong, W.-j. Xie, Y.-Y. Li, K. Wang, G. M. Pauletti and D.-L.
  408 Shi, *Chemical Communications*, 2011, 47, 3550-3552.

409	16.	J. Ding, J. Chen, D. Li, C. Xiao, J. Zhang, C. He, X. Zhuang and X. Chen,
410		Journal of Materials Chemistry B, 2013, 1, 69-81.
411	17.	C. Deng, X. Chen, H. Yu, J. Sun, T. Lu and X. Jing, <i>Polymer</i> , 2007, 48, 139-149.
412	18.	JT. Lin, Y. Zou, C. Wang, YC. Zhong, Y. Zhao, HE. Zhu, GH. Wang, LM.
413		Zhang and XB. Zheng, Materials Science and Engineering: C, 2014, 44, 430-
414		439.
415	19.	J. Deng, Y. Zhou, B. Xu, K. Mai, Y. Deng and LM. Zhang, <i>Biomacromolecules</i> ,
416		2011, <b>12</b> , 642-649.
417	20.	J. T. Lin, Y. Zou, C. Wang, Y. C. Zhong, Y. Zhao, H. E. Zhu, G. H. Wang, L. M.
418		Zhang and X. B. Zheng, Materials Science & Engineering C Materials for
419		Biological Applications, 2014, 44, 430–439.
420	21.	J. Deng, Y. Zhou, X. Bo, K. Mai, Y. Deng and L. M. Zhang, Biomacromolecules,
421		2011, <b>12</b> , 642-649.
422	22.	M. Dong, L. Zong-Hua, Z. Qian-Qian, Z. Xiao-Yan, Z. Yi, S. Yun-Feng, L. Jian-
423		Tao and X. Wei, Macromolecular Rapid Communications, 2013, 34, 548-552.
424	23.	D. Ma, J. Lin, Y. Chen, W. Xue and L. M. Zhang, <i>Carbon</i> , 2012, <b>50</b> , 3001–3007.
425	24.	M. Metzke, N. O'Connor, S. Maiti, E. Nelson and Z. Guan, Angewandte Chemie
426		International Edition, 2005, 44, 6529-6533.
427	25.	C. Zhu, S. Jung, S. Luo, F. Meng, X. Zhu, T. G. Park and Z. Zhong, <i>Biomaterials</i> ,
428		2010, <b>31</b> , 2408-2416.
429	26.	C. Zheng, M. Zheng, P. Gong, J. Deng, H. Yi, P. Zhang, Y. Zhang, P. Liu, Y. Ma
430		and L. Cai, <i>Biomaterials</i> , 2013, <b>34</b> , 3431-3438.
431		
420		