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1 2	Cellulase stabilization by crosslinking with ethylene glycol dimethacrylate and evaluation of its activity including in water-ionic liquid mixture
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14 Abstract

Synthesis of immobilized enzymes via crosslinking is an easy route to develop 15 biocatalyst with enhanced activity and recyclability. In the present study, cellulase from 16 Aspergillus niger was crosslinked by ethylene glycol dimethacrylate (EGDMA) using 17 18 ammonium persulphate (APS) as a initiator to obtain heat and pH stable crosslinked cellulase 19 aggregates (CCAs) using 5% EGDMA and 1% APS for crosslinking. The pristine cellulase and the CCAs were characterized by various techniques. Their activity profiles were 20 evaluated as a function of time, temperature and pH. The activity of the CCAs was further 21 22 evaluated in 1-butyl-3-methyl imidazolium acetate [bmim][Ac] at the optimized conditions 23 of time and temperature. Furthermore, the CCAs were reusable upto 12 repeat cycles with 24 retention of 58% of its initial activity after 7th cycle in the hydrolysis of cellulose. Artificial 25 neuron network (ANN) model was employed to correlate the relationship between process 26 parameters and the %relative activity (RA). The predicted %RA values are in close 27 agreement with the experimental values.

28

29 Introduction

Enzyme stabilization by carrier bound immobilization methods such as adsorption,¹ encapsulation,² and covalent binding³ are relatively tedious and time consuming protocols due to the operational complications.⁴ Contrary to these approaches the carrier-free approach i.e. crosslinking of enzymes to crosslinked enzyme aggregates (CEAs) is a promising method

for immobilization of enzymes.⁵ This approach involves low production cost and simple 34 protocol. There is unusual advantage of high concentration of enzyme active sites over a 35 small volume due to the negligible molecular weight of the crosslinker.⁶ One CEA can 36 contain thousands of enzyme molecules.^{6b} Exclusion of enzyme carrier, which is a non-37 catalytic mass, results in the enhancement of catalytic activity.⁷ Thus, this synthetic protocol 38 offers advantages of high enzyme activity and simplicity of crosslinking, besides retaining all 39 40 the advantages of the carrier–based immobilization methods such as reusability, recyclability, operational stability over wide ranges of temperature and pH⁸ and high thermal and storage 41 stability.⁹ Therefore, several enzymes such as peroxidase,^{7a} lipase,^{7b,10}, penicillin acylase,¹¹ 42 invertase,¹² penicillin G amidase,¹³ α -amylase,¹⁴ etc., have been successfully stabilized by 43 crosslinking with high activity retention. The CEAs have been used in a number of 44 biocatalytic reactions such as synthesis of ampicillin,¹¹ alkyl ferulates,¹⁵ β-lactam 45 antibiotics,¹⁶ resolution of N-(2-Ethyl-6-methylphenyl) alanine¹⁷ and elimination of 46 endocrine disruptors.¹⁸ 47

The general protocol of CEA synthesis involves protein precipitation followed by 48 crosslinking with the multifunctional reagents.¹⁹ Glutaraldehyde is the most commonly 49 reported crosslinker in enzyme crosslinking as its aldehydic groups react with surface amino 50 51 groups of amino acids of enzyme to form a crosslinked network. However, due to its small 52 size, it diffuses into the inner part of the enzyme and thus restricts accessibility to the macromolecular substrates.²⁰ The crosslinking reaction can also involve some amino acids of 53 active sites leading to the loss of enzyme activity.²¹ Glutaraldehyde crosslinked enzymes 54 have other drawbacks of low activity retention, poor mechanical stability and 55 reproducibility.^{6b} Furthermore, glutaraldehyde is toxic and non-usable in biomedical 56 applications. Therefore, the use of macromolecular crosslinking reagents is an alternative that 57 needs to be investigated to avoid the diffusional restrictions of CEAs and impart more 58 stability to the enzyme. In view of this, dialdehyde starch and dextran polyaldehyde have 59 been successfully employed to synthesize CEAs via the Schiff base formation.²² 60

In our previous work, *N*,*N*-methylene bisacrylamide was successfully used as a macromolecular crosslinker to generate CEAs.²³ In the present work, a different crosslinker, ethylene glycol dimethacrylate (EGDMA) is introduced to crosslink cellulase. It can be predicted that CEAs of cellulase, hence after referred to as CCAs, prepared using glutaraldehyde would exhibit low activity towards hydrolysis of cellulose which itself is a macromolecular substrate. In view of this, EGDMA was used as an alternative to avoid all the limitations of glutaraldehyde. The parametric studies were carried out of the pristine

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cellulase and the CCAs with respect to time, temperature and pH and also evaluated for their
thermal and storage stability. The reusability studies of CCAs were carried out for cellulose
hydrolysis upto 12 repeat cycles. The cellulose hydrolysis catalyzed by the CCAs in 1-butyl3-methyl imidazolium acetate [bmim][Ac] was explored thus proving its stability in ionic

72 liquids also.

73 **Results and discussion**

74 Synthesis and characterization of CCAs

Aspergillus niger cellulase was crosslinked by thermally initiated free radical 75 crosslinking using ammonium persulphate (APS) as initiator²³ and EGDMA as crosslinker. 76 The crosslinking reaction proceeds by free radical polymerization reaction. Ammonium 77 78 persulphate (APS) generate free radicals, which initiate polymerization of EGDMA. The free 79 radicals thus generated further interact with enzyme to generate crosslinked enzyme 80 aggregate. The CCAs were characterized by Fourier transform infrared (FTIR) spectroscopy, scanning electron microscopy (SEM), transmission electron microscopy (TEM), particle size 81 analysis and X-ray diffraction (XRD) to get evidence for crosslinking. In FTIR spectra, 82 appearance of peaks at 1647.3 cm^{-1} and 1422.5 cm^{-1} for pristine and 1642.5 cm^{-1} and 1456 83 cm⁻¹ for CCAs confirms the presence of cellulase in samples (Fig. 1). In literature, the 84 characteristic peaks of cellulase at 1624 cm⁻¹ and 1408 cm⁻¹ have been reported²⁴ which 85 supports the precise spectra of present study. In addition to the above peaks, FTIR spectrum 86 of the CCAs (b) has a prominent band at 1728.6 cm⁻¹ that is assigned to the -C=O stretching 87 and at 1255 cm⁻¹ can be assigned to C-O-C stretching of crosslinker EGDMA and 1154 cm⁻¹ 88 due to the C–N stretching vibration. In the FTIR spectrum of pristine cellulase (a) the peaks 89 at 853.45 cm⁻¹ and 909 cm⁻¹ are due to –NH out of plane bending (wagging and twisting) of 90 91 amino groups, which are disappeared in spectrum of CCAs. It is revealed that -NH₂ groups of cellulase are also the possible crosslinking sites so these may be consumed in the 92 crosslinking reactions.²³ 93

Surface morphology of the CCAs was characterized from SEM images at different magnifications (**Fig. 2**). It appears therefrom that the crosslinking resulted in nanoparticle formation as small spheres can be seen on the surface of the CCAs. The CCAs are aggregated particles of spherical shape and have porous appearance. Similar surface morphology has been reported elsewhere for glutaraldehyde crosslinked lipase.^{6b} The CCAs particles are spherical nature as is also evident from the TEM images. Each enzyme particle is ~50 nm

size. It appears therefrom that crosslinking resulted in nanoparticle formation (Fig. 3). The 100 101 TEM image is result of crosslinking of many enzyme molecules together. Such crosslinking 102 can be expected with a crosslinker like EGDMA where chain reaction can take place to bring 103 together thousands of enzyme molecules. It has been reported in literature even that 104 crosslinking with glutaraldehyde brings together one aggregate up to thousands of lipase molecules.^{6b} Particle size analysis of the pristine cellulase and the CCAs reveals an 105 interesting aspect of this study. Both show almost very narrow size distribution and the same 106 107 size of $\sim 1 \,\mu m$. It appears that swelling in the 1.4 dioxane and water and for pristine cellulase 108 and CCAs, respectively, makes these to have higher size than the actual (Fig. S1 109 supplementary material). Such a uniform morphology of the CCAs with nano-size has not been reported in literature. Contrary to these results, enzyme aggregates with wide size 110 distribution, from 1 up to 100 µm size, have been reported.²⁵ XRD pattern of the pristine 111 112 cellulase exhibits more intense peaks as compared to the peaks in the XRD pattern of the 113 CCAs, which is typical for its semi-crystalline structure (Fig. S2 supplementary material). 114 The XRD pattern of the CCAs displays peaks of low intensity indicating a predominantly 115 amorphous structure of cellulase. The disappearance of crystalline peaks due to decrease in 116 the intensity in XRD pattern of CCAs indicates that crosslinking induces amorphous nature to 117 the cellulase structure.

118 Activity analysis of pristine cellulase and CCAs

Enzyme activity of the pristine cellulase and the CCAs was determined using 3,5-119 dinitrosalicylic acid (DNS) method.²⁶ The results are provided in relative form with the 120 highest value being 100 % activity. Relative activities of the pristine cellulase and the CCAs 121 122 were calculated from the relationship – Relative activity (%) = activity of pristine cellulase or 123 CCAs/ highest activity \times 100. The relative activities were obtained with respect to time, temperature and pH (Fig. 4). Activity of the pristine cellulase as well as the CCAs increased 124 with contact time. Optimum activity was obtained at 20 min for the pristine cellulase and at 125 30 min for the CCAs. The contact time for the CCAs is higher as being a polymeric network 126 127 it takes time to expand fully and expose the reactive sites to the substrate. Activity profile of 128 the CCAs shows better results than the pristine cellulase throughout the range of temperature 129 studied. Thus, demonstrating that crosslinking imparts thermal stability or thermophilicity to cellulase. Optimum temperature for the pristine cellulase was 55 °C whereas for CCAs it was 130 131 65 °C. The CCAs retain high activity even at temperature higher than the optimum. Even for the pre-treated cellulose the cellulase activity has been reported to be the maximum at 60 132 °C.²⁷ Optimum pH was found to be 5.6 for both the pristine as well as the CCAs. Beyond the 133

134 optimum pH, the activity of the pristine cellulase decreased drastically, and in contrast the 135 decrease in the case of CCAs was much slower implying stability under the harsh chemical environment. In literature pH stability over a narrow range, but high thermal stability has 136 been reported.²⁸ For the sake of comparison only two parameters, variation of temperature 137 and pH, were chosen for comparison as the other parameter, contact time, was studied at 138 different ranges. %Relative activities of the crosslinked enzymes (CCAs and crosslinked 139 lipase aggregates (CLLAs²³) have been calculated at different temperatures and pH in 140 comparison to their pristine forms and are presented in Table 1. Therefrom it is obvious that 141 142 the performance of new CCAs generated with the crosslinking agent EGDMA is far better than the earlier reported N,N-methylene bisacrylamide (NNMBAAm)-crosslinked CLLAs.²³ 143 In the former, post crosslinking changes resulted in the enhancement of the enzyme activity 144 145 with respect to their pristine form while in the latter case it decreased.

146

147 Thermal and storage stability of pristine cellulase and CCAs and reusability of CCAs

148 Thermal stability of the pristine and the CCAs was obtained at 60 °C which revealed 149 better results for the CCAs thus making it suitable candidate for industrial application (Fig. 150 5). At 60 °C, the CCAs retained 91.240% of its initial activity after 100 min while pristine cellulase retained only 66.267% of its initial activity. Storage stability of the CCAs was also 151 152 better than the pristine one retaining 61.246% of its initial activity after 30 days whereas pristine cellulase retained only 37.991% (Fig. 6). The CCAs are easily recyclable and being 153 154 insoluble can be used in multiple cycles at optimum conditions of 30 min. and 65 °C (Fig. 7). 155 The CCAs retained 58% of its initial activity after 7 cycles for hydrolysis of cellulose 156 indicating an adequate operational stability of the CCAs and making it suitable candidate for 157 the cost effective industrial use. Such improvement in the activity of the CCAs is result of high concentration of active sites in the nano-form which makes maximum contact with the 158 159 substrate. Also, post-crosslinking conformational changes in CCAs favour higher activity 160 than the pristine cellulase.

161 Activity of CCAs in 1–butyl–3–methyl imidazolium acetate [bmim] [Ac]

Activity of CCAs was also studied in 1–butyl–3–methyl imidazolium acetate [bmim][Ac] at the optimum conditions i.e. at 30 min. and 65 °C (**Fig. 8**). The activity of the CCAs was found to be the maximum when the ratio of water and ionic liquid was 1:0.75,

higher than what it was without [bmim][Ac] other conditions being the same. It can be 165 166 inferred therefrom that within a short contact time the ionic liquid decreases crystallinity of 167 cellulose and opens up the cellulose structure resulting in the enhanced cellulose hydrolysis. 168 However, it decreased with an increase in the amount of ionic liquid. Even a small trace of ionic liquid has been reported to adversely affect cellulase activity.²⁶ However; in the present 169 case we obtained better hydrolysis result in the presence of ionic liquid. The rate of 170 171 hydrolysis beyond 1:0.75 (water: ionic liquid) did not necessarily decrease due to the 172 denaturation or adverse effect of [bmim][Ac], rather the amount of water also matters.

173

Application of ANN model to evaluate %RA

174 Artificial neural network (ANN) model was developed to estimate the %RA as a function of time, temperature and pH using the experimental parametric framework. The 175 176 statistical results of the inputs and output parameters are presented (Fig. S3 supplementary 177 **material**). The variable normalization process was used with respect to the maximum and the 178 minimum values in the entire data set. The ANN model was trained using a back propagation 179 algorithm and the sigmoid function was used as an activation function. A detailed description of the back propagation algorithm and training procedure has been reported in literature.²⁹ 180 181 The ANN model consisted of three neurons (time, temperature and pH) in the input layer and 182 one neuron (%RA) in the output layer. The ANN model training involves of adjusting the 183 weights associated with each connection between the neurons until the computed outputs for 184 each set of input data are as close as possible to the experimental output values. Total 185 available experimental data sets were 18. The developed ANN model can be used to predict 186 and analyze %RA through performing sensitivity analysis at various process parameters. The 187 sensitivities provide the first approximation of the effect of various perturbations, and thus provide an initial assessment as to which interventions might be the most useful. In the 188 189 present work, the sensitivity analysis was calculated by changing one input parameter at 190 small intervals while keeping the other elements unchanged and estimating the output, and 191 changing the two input parameters and studying their effects on the output. The obtained 192 results based on the above model were compared with the experimental %RA. It is evident 193 there from that there is only a small variation between the predicted and the experimental 194 values. The average % error for the CCAs and the pristine cellulase were found to be 1.875 and 0.94, respectively. Very high values of $R^2 = 0.99957$ and 0.99961 were obtained for 195 training and testing data set. The interactions between different parameters significantly 196 influenced % RA even with a small variation of the input parameter. 197

198 Fig. S4 (supplementary material) represents the processing window for 199 optimum %RA with the variation of time and temperature at different pH. It can be observed 200 therefrom that the CCAs are active even at low temperature, but at longer time intervals than 201 the optimum value. At pH 5.6, the highest %RA can be obtained over a wide range of time 202 and temperature, i.e. at a short interval of time, but on both sides of the optimum temperature. 203 In conclusion, the results reported have been supported by the ANN model, yet the use of the 204 operational window can be useful for determining the input parameters in future studies. Conclusions 205

We have successfully demonstrated a simple and green crosslinking protocol that enhanced cellulase activity. The CCAs is thermophillic and stable at a wide spectrum of pH. It also has good storability, recyclability and reusability when studied up to 12 cycles. The obtained ANN model predictions are close with the experimental % RA values. The CCAs is also active in the medium having ionic liquid. All the reported features of the CCAs made this crosslinking method technologically acceptable as it involves non-toxic crosslinker, simple, low cost and green protocol.

213 **Experimental**

214 Materials

Potassium dihydrogen phosphate, Disodium hydrogen phosphate, cellulose (S.D. fine
chem. Limited, Mumbai, India), *Aspergillus niger* cellulase, 1 butyl 3 methyl imidazolium
acetate (Sigma Aldrich) Glucose, Sodium hydroxide, DNS (3,5–Dinitrosalicylic acid)
(HIMEDIA), Ammonium persulphate, Sisco Research Laboratory Pvt. Ltd. Bombay, India),
Ethylene glycol dimethacrylate (Merck, India), were used as received.

220 Synthesis of CCAs

Aspergillus niger cellulase was dissolved in distilled water and its crosslinking was achieved using EGDMA as crosslinker. 5% EGDMA and 1% APS was added to cellulase solution and mixture was kept at 65 °C for 5h in order to allow its crosslinking. The solid CCAs thus obtained was separated by simple filtration, washed with water and dried in air.

225 Characterization of CCAs

226 CCAs were characterized by Fourier transform infrared (FTIR) spectroscopy,
 227 scanning electron microscopy (SEM), transmission electron microscopy (TEM), particle size
 228 analyzer and X-ray diffraction (XRD) to get evidence of crosslinking. FTIR spectrum was

recorded on Perkin Elmer in transmittance mode in KBr. FE-SEM and TEM images were recorded on JEOL JSM-7000F and JEM2010 JEOL, respectively. Particle size measurements were obtained from Nanowave particle size analyzer (Metrohm). X–ray diffraction patterns of samples were recorded on Riago Smart Lab 9 Kv diffractrometer system using a typical wavelength of 1–54060 Å (Cu– K α radiation). The diffraction angle 2θ was varied from 10 to 70 degrees.

235 Activity assay

236 of pristine cellulase and the CCAs determined Activity the was spectrophotometrically by carrying out the hydrolysis of cellulose.³⁰ 1% (w/v) solution of 237 cellulose was prepared in phosphate buffer of pH 5.5. The reaction mixture comprised of 238 239 stock solution and 10 μ L pristine or 10 mg CCAs and to make final volume upto 3 mL. The 240 reaction mixture was incubated at 50 °C for 15 min. 1 mL of DNS reagent was added to this 241 reaction mixture and kept in boiling water bath for 15 minutes. Reaction mixture was cooled 242 at room temperature and read at 540 nm against blank prepared from 1.0 mL of DNS reagent 243 and 2.0 mL of phosphate buffer. A calibration curve of the absorbance and known glucose 244 concentrations was plotted. Calculations were made by using commercial glucose as 245 standard. Enzyme activity was studied as a function of time (10 to 50 min. after 10 min. 246 interval), temperature (25 to 65 °C after 10 °C interval) and pH (1.2, 4.0, 5.6, 7.0, 8.5 or 9.0). 247 All the experiments were carried out in duplicate and reported values are average of the two 248 values. The reusability studies of the CCAs were carried out for twelve repeat cycles at the 249 optimized conditions of time, temperature and pH.

250 Thermal and storage stability

For obtaining thermal stability of the pristine cellulase and the CCAs, their samples were incubated at 60 °C. After every 20 min, 10 μ L of the pristine or 10 mg of the CCAs was taken out from incubator and added to reaction mixture. The activity of enzymes was obtained up to100 min. by using the earlier discussed method. Calculated optimum activity of the pristine and the CCAs was taken as 100% and then both enzymes were stored at 4 °C and activity was obtained after every 10 days upto 30 days using the same procedure to find out its storage stability.

258 Evaluation of CCAs activity in ionic liquid

For the evaluation of activity of CCAs in ionic liquid, different volume ratio of the water to ionic liquid (1:0 to 1:5) were prepared and stock solution of cellulose was added to mixtures, and final concentrations were made up to 1%. The CCAs activity was obtained

262	using the method described earlier at the optimized conditions of time and temperature i.e. at						
263	30 min. and 65 °C.						
264							
265	Acknowledgements						
266	The author acknowledges the financial support by University Grants Commission						
267	(UGC), New Delhi, India, in the form of UGC-Basic Scientific Research (BSR) scholarship,						
268	and facilities provided by the Department of Chemistry under DST FIST-I and UGC-SAP						
269	(II).						
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С	2	o
3	2	0

Table 1

Temperature (°C)	CCAs	CLLAs ²³	pН	CCAs	CLLAs ²³
25	192.22	58.75	1.2	153.11	42.51
35	148.20	63.49	4.0	119.49	62.18
45	162.89	77.56	5.6	141.91	73.88
55	134.35	80.49	6.8	124.41	-
65	173.96	82.47	7.0	-	80.83
75	153.40	-	7.2	197.69	-
			8.5	202.62	77.56
			9.0	-	78.58
			9.2	136.52	-

^a%Relative activities of the crosslinked enzymes (CCAs and CLLAs) obtained with respect to their
 pristine forms. The enzyme activity data of CCLAs was sourced from the Figures 5 and 6 of reference 23,
 after obtaining permission from M/s. Elsevier Ltd., and transformed to %RA.





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363

15.0 KV 3.0 200

13

Acc.V Spot Mac 15.0 kV 3.0 500 Det WD SE 4.7

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- 367 Fig. 3









377 Fig. 4b

















Fig. 7



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407 Legends of Tables and Figures

408 Table 1: %Relative activity values for CCAs (EGDMA based crosslinking) and 409 $CLLAs^{23} (N, N MBAAm based crosslinking)^{a}$

410 Fig. 1: FTIR spectra of pristine cellulase (a) and CCAs (b).

Fig. 2: SEM images of CCAs at magnification 2000X and 5000X (the CCAs are particle aggregates of spherical shape and as a whole have porous appearance).

413 Fig. 3: TEM images of CCAs (each enzyme particle is ~50 nm size).

Fig. 4a: Effect of time on the activities of pristine cellulase and CCAs (the
measurements of enzyme activities were carried out in phosphate buffer (pH 5.5) at 50
°C. Relative activities were calculated by using the highest activity as 100 %).

Fig. 4b: Effect of temperature on the activities of pristine cellulase and CCAs (the
measurements of enzyme activity were carried out in phosphate buffer (pH 5.5) at 20
and 30 min for pristine cellulase and CCAs respectively, at different temperatures.
Relative activities were calculated by using the highest activity as 100 %).

Fig. 4c: Effect of pH on the activities of pristine cellulase and CCAs (measurements of enzyme activity were carried out at 55 °C and 65 °C for pristine cellulase and CCAs respectively at 20 and 30 min. Relative activities were calculated by using the highest activity as 100 %).

Fig. 5: Thermal stability of pristine cellulase and CCAs (enzymes were incubated at 60 °C and the measurements of enzyme activities were carried out in phosphate buffer (pH 5.6). Relative activities were calculated by using the highest activity as 100 %).

Fig. 6: Effect of storage time on activities of pristine cellulase and CCAs (pristine cellulase and CCAs were stored at 4 °C. The measurements of enzyme activities were carried out in phosphate buffer (pH 5.6). Activities were obtained upto 30 days taking optimum activity of both the pristine and the CCAs as 100%).

433

Fig. 7: % Relative activity plots for reusability upto twelve repeat cycles for CCAs
(reactions were carried out in phosphate buffer (pH 5.6) at 65 °C. Relative activities
were calculated by using the highest activity as 100 %).

Fig. 8: Activity of CCAs cellulase in different ratios of water and 1 butyl 3 methyl
imidazolium acetate (measurements of enzyme activities were carried out at 30 min
and 65 °C).

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