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1 **AFM Structural Characterization of Drinking Water**  
2 **Biofilm under Physiological Conditions**

3

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11

12 **Abstract**

13 Due to the complexity of mixed culture drinking water biofilm, direct visual observation under in  
14 situ conditions has been challenging. In this study, atomic force microscopy (AFM) revealed the  
15 three dimensional morphology and arrangement of drinking water relevant biofilm in air and  
16 aqueous solution. Operating parameters were optimized to improve imaging of structural details  
17 for a mature biofilm in liquid. By using a soft cantilever (0.03 N/m) and slow scan rate (0.5 Hz),  
18 biofilm and the structural topography of individual bacterial cells were resolved and  
19 continuously imaged in liquid without fixation of the sample, loss of spatial resolution, or sample  
20 damage. The developed methodology will allow future in situ investigations to temporally  
21 monitor structural changes in mixed culture drinking water biofilm during disinfection  
22 treatments.

## 23 1 Introduction

24 Biofilm are complex microbial communities composed of various microorganisms (e.g., bacteria,  
25 fungi, protozoa, and yeast) that reversibly and/or irreversibly attach to surfaces. Microorganisms  
26 can protect themselves from the environment by producing and/or embedding into an  
27 extracellular polymeric substance (EPS) matrix comprised of proteins, lipids, and  
28 polysaccharides. The EPS influences overall biofilm behavior, impacting its adhesion, structure,  
29 and other physico-chemical properties.<sup>1,2</sup> Biofilm found on interior surfaces of pipes and storage  
30 tanks and also in sediment of drinking water distribution systems have been studied and found to  
31 be a continuous source of microbial contamination, posing potential human health concerns.<sup>1,3-5</sup>

32 In drinking water, disinfectants are used to mitigate/inactivate biofilm. The disinfectant's  
33 effectiveness to penetrate and inhibit microorganisms is influenced by the biofilm morphology  
34 (e.g., thickness, density, and porosity).<sup>6</sup> Therefore, there is a need to characterize the three  
35 dimensional (3D) architecture of mixed culture drinking water biofilm to understand structural-  
36 functional behaviors when exposed to disinfectant treatments. Electron and confocal microscopy  
37 have been used to elucidate the microstructure and spatial distribution of drinking water  
38 biofilm.<sup>7-9</sup> With electron microscopy, biological samples must be conductive, chemically fixed,  
39 and acquired under ultra-high vacuum which can introduce artifacts during imaging.<sup>10</sup> In  
40 confocal microscopy, sample labeling and staining can be time consuming and can also  
41 potentially produce artifacts.<sup>11</sup>

42 In contrast, atomic force microscopy (AFM) does not require elaborate sample  
43 preparation or pre-treatment, and samples can be imaged under physiological conditions, making  
44 this technique ideal for imaging biological samples.<sup>12-14</sup> Additionally, temporal in situ activities  
45 (e.g., growth and treatment responses) can be monitored along with localized mechanical

46 measurements (e.g., adhesion and detachment).<sup>15, 16</sup> However, most AFM studies have  
47 investigated only single species biofilm (e.g., *P. aeruginosa*,<sup>17, 18</sup> *E. coli*,<sup>19, 20</sup> and *Legionella*<sup>21</sup>).

48 Due to difficulties associated with imaging complex mixed species biofilm, only a few  
49 experiments have reported using AFM to characterize drinking water biofilm.<sup>21-23</sup> Specifically,  
50 Abe et al.<sup>23</sup> applied AFM to observe the conditioning layer of drinking water biofilm at 1-8  
51 weeks in air and tap water. The authors acknowledged concerns of damaging the sample with  
52 the AFM cantilever and the inability to resolve individual bacteria when imaging in liquid.

53 When imaging soft biological materials, there are interconnected, imaging parameters  
54 that must be considered because they can influence resolution.<sup>24-26</sup> In Abe et al.<sup>23</sup>, the  
55 cantilever's spring constant ( $k = 0.1-0.5$  N/m), scan rate (1 Hz), and the biofilm's young age (1-8  
56 weeks) may have played a role in the physical disturbance of the sample and likely impacted the  
57 authors' ability to identify individual cells. When imaging biological samples in contact mode,  
58 an important parameter is the applied probe force, which can result in sample deformation or  
59 damage. Reducing the spring constant has been effective in reducing the probe force, improving  
60 resolution, and providing reproducible images.<sup>24</sup>

61 The ability to obtain microstructural details of drinking water biofilm is a prerequisite for  
62 future in situ studies for temporally monitoring drinking water biofilm exposed to disinfectants;  
63 therefore, improving AFM operating conditions are critical. The objectives of our work were to  
64 optimize imaging conditions to reduce lateral shear forces in contact mode, improve resolution,  
65 and minimize damage to an approximately three year old mixed culture drinking water biofilm in  
66 liquid. The three year growth period was to ensure a well-developed and mature biofilm,  
67 allowing our study to be made beyond the conditioning layer, which has been previously  
68 reported.<sup>23</sup> This is the first report to successfully demonstrate AFM's ability to characterize

69 topographical features and resolve individual bacteria of mixed culture drinking water biofilm  
70 without sample damage.

## 71 **2 Materials and Methods**

### 72 ***2.1 Biofilm growth conditions***

73 Biofilm were developed in two annular reactors inoculated with water from two chloraminated  
74 drinking water distribution systems experiencing nitrification (Midwestern United States [reactor  
75 A] and southwestern United States [reactor B]) and grown on polycarbonate slides. Reactors  
76 were operated in an identical manner, both were fed granular activated carbon (Calgon F400)  
77 dechlorinated Cincinnati, Ohio, United States tap water and maintained at 25°C. A schematic of  
78 the annular reactor setup and a detailed description of the operating conditions was previously  
79 reported by our research group and is discussed in Schrantz et al.<sup>27</sup> Biofilm were grown for  
80 approximately three years.

81

### 82 ***2.2 AFM characterization of drinking water biofilm***

83 An Agilent 5500 AFM system with Pico View 1.20.1 software was used to observe  
84 morphologies of drinking water biofilm in contact mode. The polycarbonate slide containing  
85 biofilm growth was cut into approximately 0.50 cm × 0.50 cm pieces and placed in a custom  
86 designed (1.6 cm × 0.56 cm) Teflon cell for imaging. Samples imaged in air were naturally dried  
87 at room temperature while hydrated samples were imaged in 5 mM boric acid buffer solution at  
88 pH 8.

89 Before choosing the imaging mode, 15 cantilevers were evaluated in tap, contact, and  
90 MAC (magnetic AC) modes. Cantilevers were evaluated based on their compatibility with the  
91 Agilent system, spring constant, and the ability to image soft materials in air and liquid without

92 damaging the sample or compromising image resolution. Cantilever properties such as the force  
93 constant, resonance frequency, and coating are all crucial to AFM image quality and were  
94 considered. Hence, AFM cantilevers with and without coating were reviewed in both tapping and  
95 contact mode with nominal spring constants ranging from 0.03-0.77 N/m for contact mode and  
96 resonance frequencies between 4-300 kHz. Only one MAC cantilever was tested ( $k = 2.8$  N/m).  
97 The AFM cantilevers' spring constants were taken from the manufacturers' specifications  
98 without further calibration.

99 For each mode, three channels were simultaneously generated: topography, amplitude,  
100 and phase (tapping mode, MAC mode) or topography, deflection, and friction (contact mode).  
101 The imaging mode and cantilever were selected based on topography image resolution. Samples  
102 were scanned at 0.50 Hz with a  $256 \times 256$  line/pixel resolution. Collected images were processed  
103 with Gywddion software.<sup>28</sup>

104

### 105 **3 Results and Discussion**

106 For imaging fragile biological materials, the AFM cantilever's spring constant played an  
107 important role in obtaining reliable results. After evaluating various modes and cantilevers, the  
108 best images were collected in contact mode using a coated silicon cantilever CSG01, NT-MDT  
109 with a 0.03 N/m nominal spring constant. A 0V set-point and 0.5 Hz scan rate enhanced the  
110 image resolution and allowed for biofilm imaging in liquid without sample damage. With the  
111 CSG01 probe, we were able to repeatedly acquire quality images from area to area and sample to  
112 sample without comprising imaging quality or damaging the AFM cantilever. AFM images  
113 presented were imaged using the described optimized imaging conditions.

114

### 115 *3.1 Morphology of drinking water biofilm in air*

116 Mixed culture drinking water biofilm images in air are shown in Fig. 1. In an attempt to provide  
117 the best surface representation, scans were captured at several different sample areas. Fig. 1a is a  
118  $25 \times 25 \mu\text{m}^2$  image and reveals a discontinuous biofilm network with rod-like bacterial cells  
119 randomly embedded within the matrix. Darker areas in the image represent smaller or shallow  
120 features while the brighter contrast corresponds to taller features. Solid arrows in Fig. 1a  
121 highlight individual bacterial cells within the matrix. AFM is chemically blind; therefore,  
122 identifying specific biomass components is not possible, but based on previous microscopy data,  
123 the heterogeneous biomass matrix is likely composed of a combination of microbial cells  
124 surrounded by EPS.<sup>29</sup> After scanning several areas, the biofilm structure varied in size, thickness,  
125 and density across the sample. In the area shown in Fig. 1a, the biofilm appears to be relatively  
126 thin. Imaging in air may provide greater resolution and sharper details of the sample structure  
127 compared to liquid imaging; however, the biofilm's morphology can be altered as dehydration  
128 leads to a flattened appearance in the AFM images.<sup>30,31</sup>

129 The  $10 \times 10 \mu\text{m}^2$  image (Fig. 1b) is an enlargement of the rod-like shaped feature from  
130 Fig. 1a (right, solid white arrow), measuring approximately  $3.6 \mu\text{m}$  in length. Surface scratches  
131 associated with the bare polycarbonate slide where no biofilm existed can also be seen (Fig. 1b,  
132 dashed white arrow). The scratches seen in Fig. 1b are a result of using 600 grit sand paper to  
133 add roughness to the slide prior to biofilm growth. Increasing the slide's surface roughness offers  
134 potential nucleation and adherence sites as well as introducing surface striations to serve as  
135 landmarks for distinguishing the bare surface from biofilm. The cursor profile in Fig. 1c  
136 represents relative changes in surface elevation along the blue line in Fig. 1b (left to right). The  
137 surface profile shows several peaks and valleys across the biofilm surface with a  $0.27\text{-}\mu\text{m}$

138 maximum height variation. The height measurements reflect relative variations in the surface  
139 height and not the total biofilm thickness.

140

### 141 ***3.2 Localized imaging of drinking water biofilm under physiology conditions***

142 After successful biofilm imaging in air, the next aim was to apply the imaging parameters to  
143 characterize the sample in liquid. Fig. 2 demonstrates AFM's capability to image a mixed culture  
144 drinking water biofilm in buffer solution at pH 8. Samples shown in Fig. 2 are different samples  
145 than those in Fig. 1 but grown under identical conditions. Individual cells are randomly  
146 distributed throughout the image in Fig. 2a as shown with a white arrow. The mass inside the  
147 dotted box in Fig. 2a is a small biofilm growth. An enlargement of the area (Fig. 2b) shows some  
148 of the bacterial cells were rod shaped with smooth surfaces and others have a twisted  
149 morphology. The blue line in Fig. 2b corresponds to the cross-section shown in Fig. 2c,  
150 measuring height variation along the line from left to right. The maximum height variation is  
151 1.3- $\mu\text{m}$  as you move from areas of single cells (lower left) to a cell cluster (upper right).

152 Resolving individual bacterial cells within a mixed culture drinking water biofilm under  
153 physiological conditions has not been previously shown. Using a low applied force was crucial  
154 to obtaining reproducible images without sample deformation or damage to biological samples.  
155 By using a small spring constant, lateral forces between the cantilever and sample can be  
156 reduced, thus improving resolution.<sup>24</sup> Tapping mode is generally considered a better choice for  
157 imaging biological materials in liquids because it reduces the lateral force compared to contact  
158 mode,<sup>32</sup> but for the current experiments, measurements in tapping mode were not the optimal  
159 choice. Our evaluation of AFM cantilevers and imaging modes revealed that even the softest  
160 cantilevers in tapping mode were found to be intrusive on the sample and unable to produce



161 quality images. Magnetic AC mode provided better images compared to tapping mode; however,  
162 imaging in contact mode with the described parameters resulted in the best images. Results also  
163 showed that even though samples were grown under identical conditions, biofilm structure  
164 varied in thickness, surface coverage, and observed morphology between and within individual  
165 samples, indicating the heterogeneous nature and complexity of mixed culture drinking water  
166 biofilm.

167

### 168 **3.3 Compilation of drinking water biofilm images**

169 Fig. 3 demonstrates the robustness and reproducibility of the methodology to image mixed  
170 culture drinking water biofilm in air (top row) and liquid (bottom row) without compromising  
171 resolution. Air images (Fig. 3a) revealed a thick and very densely arranged biomass in the  
172 image's bottom right corner (solid arrow) along with other biofilm growth of various thickness  
173 (dashed arrow) and a few individual cells throughout. The biofilm formed a discontinuous  
174 arrangement, allowing the underlying polycarbonate surface to be visible in some locations.  
175 Long rod-shaped bacterial cells 12-30  $\mu\text{m}$  in length were observed covering the image in Fig. 3b  
176 (dashed arrow). Long rods have been previously reported as cell chains that did not readily  
177 separate upon dividing.<sup>33</sup> Individual bacterial cells and small amorphous aggregates were also  
178 scattered throughout the image (Fig. 3b). The cells exhibited an interesting twisted configuration  
179 (Fig. 3b, solid arrow) with lengths ranging between 2.4-2.6  $\mu\text{m}$ . Pelling et al.<sup>34</sup> observed this  
180 morphology with *Myxococcus xanthus* cells.

181 Fig. 3c and 3d show biofilm imaged in liquid. The samples were different from those  
182 shown in Fig. 2, although from the same reactor, validating the reproducibility of the developed  
183 method. Mixed culture drinking water biofilm samples were repeatedly imaged in liquid using

184 contact mode without damaging or contaminating the AFM cantilever. In Fig. 3c, the biofilm  
185 appears to be thick and densely packed in the bottom left (solid arrow). The difference between  
186 biofilm and the striated surface is clearly visible in Fig. 3c. In Fig. 3d, bacterial cells with a  
187 filament attached to the end (dashed arrow) were also resolved along with a long rod feature  
188 approximately 5.3  $\mu\text{m}$  long (solid arrow).

189

#### 190 **4 Conclusions**

191 In this study, AFM was successfully used to visualize an approximately three year old mixed  
192 culture drinking water biofilm in liquid and air. By using a cantilever with a low force spring  
193 constant (0.03 N/m) and a slow scan rate (0.5 Hz), lateral forces between the cantilever and  
194 sample were reduced and no modification to the sample surface or AFM cantilever was required.  
195 The presented AFM data demonstrated optimal imaging conditions for reproducibly capturing  
196 the microstructure of mature mixed culture biofilm beyond the conditioning layer in liquid  
197 without damaging the sample. The resolution of the biofilm's morphology in liquid was  
198 comparable to the quality obtained in air. Depending on the scanned area and the imaging  
199 environment, thin and patchy masses were observed in some areas while thicker and denser  
200 structures were visible in other locations. In addition, rod and spherical shaped bacterial cells  
201 within the biofilm were clearly distinguishable in air and liquid without resolution loss. Results  
202 from this study will allow future in situ investigations to temporally monitor structural changes  
203 in drinking water biofilm during disinfection treatments, thereby increasing our understanding of  
204 how disinfectants impact biofilm surfaces in drinking water systems.

205

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215

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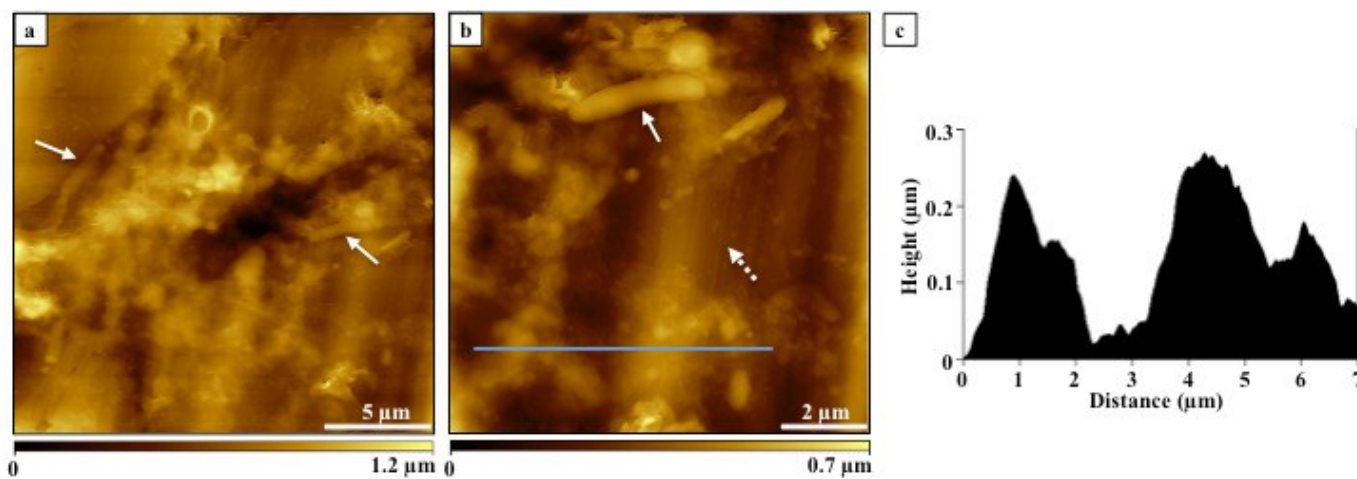
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304

305 **Fig. 1.** AFM images of mixed culture biofilm grown on polycarbonate slides. AFM data was  
306 acquired in air with contact mode. (a)  $25 \times 25 \mu\text{m}^2$  image size, (b)  $10 \times 10 \mu\text{m}^2$  image size, and  
307 (c) height profile corresponding to blue line in (b).

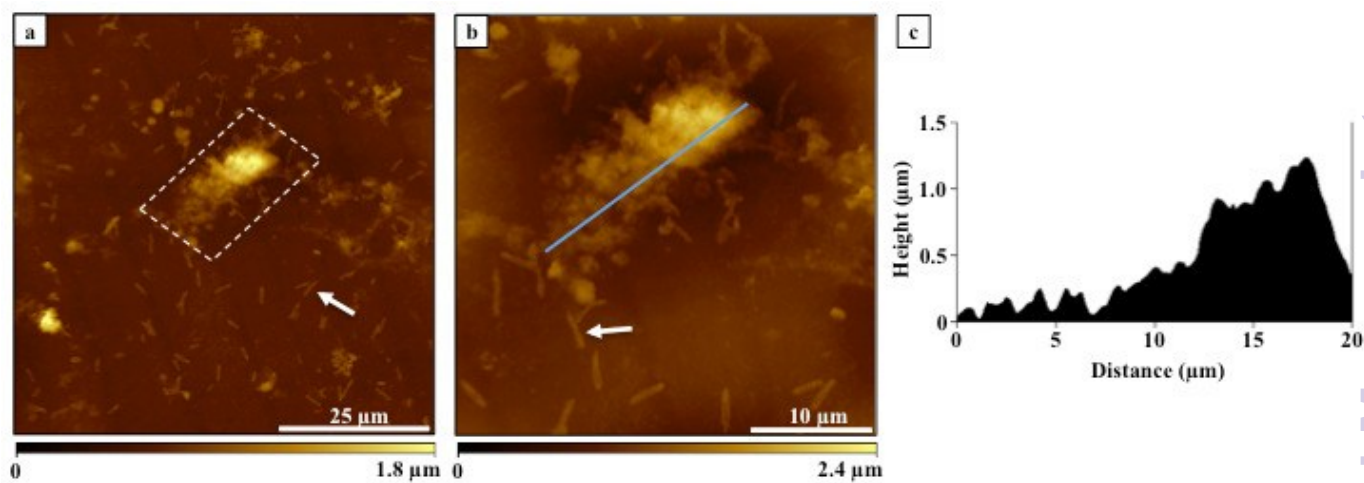


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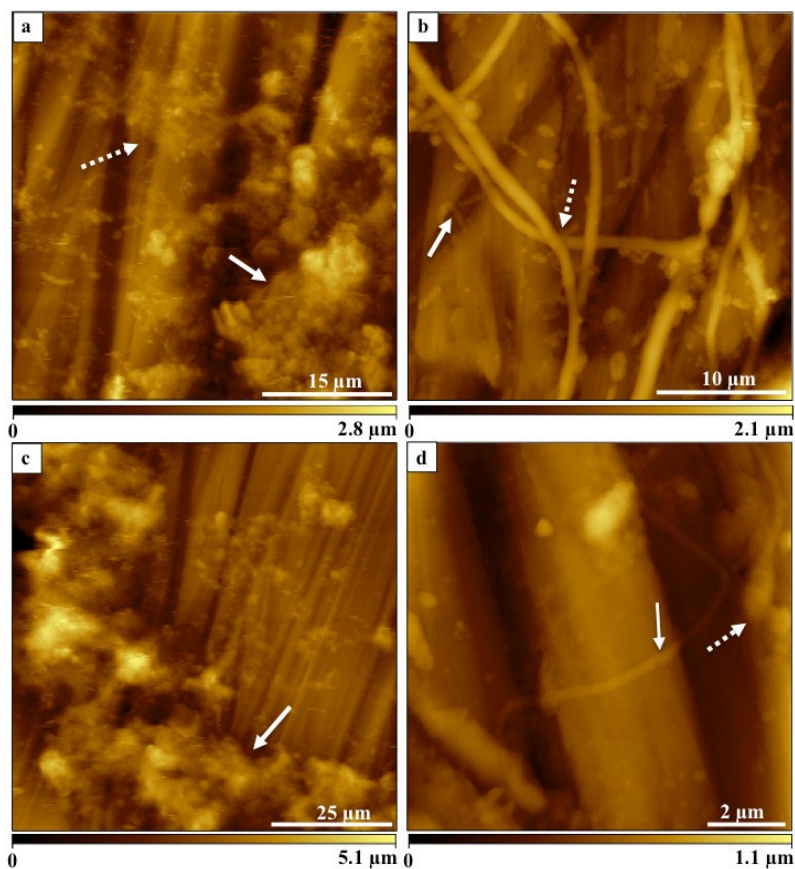
310 **Fig. 2.** Mixed culture drinking water biofilm observed in 5 mM boric acid buffer solution at pH  
311 8 with contact mode. (a)  $70 \times 70 \mu\text{m}^2$  image size, (b)  $35 \times 35 \mu\text{m}^2$  image size, and (c) height profile  
312 corresponding to the area underneath the blue line in image (b).

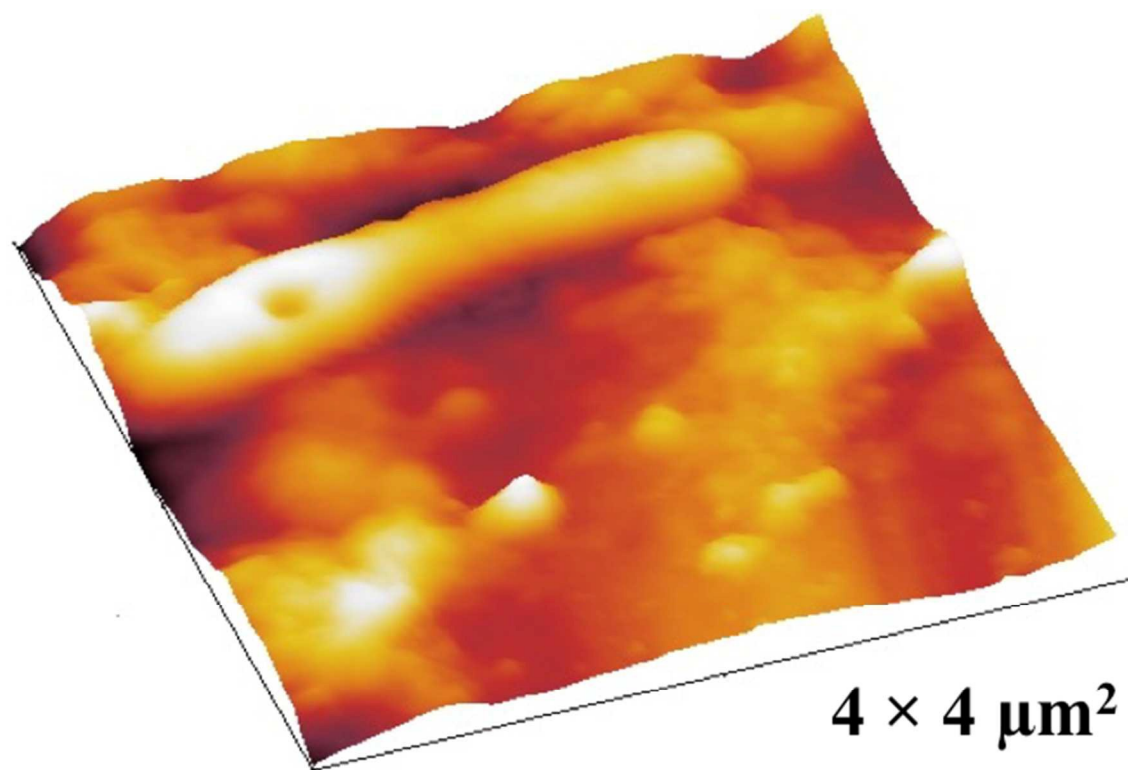


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314

315 **Fig. 3.** AFM image gallery of various morphologies found in a mixed culture drinking water  
316 biofilm. Top row was acquired in air with image sizes of (a)  $45 \times 45 \mu\text{m}^2$  and (b)  $30 \times 30 \mu\text{m}^2$ .  
317 Bottom row was acquired in 5 mM boric acid buffer solution at pH 8 with image sizes of (c)  
318  $80 \times 80 \mu\text{m}^2$  and (d)  $10 \times 10 \mu\text{m}^2$ .





Insights into the complex morphology of multi-species drinking water biofilm using atomic force microscopy (AFM)