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Journal Name

ARTICLE

Efficient conversion of biomass-derived furfuryl alcohol to levulinate esters over commercial α -Fe₂O₃

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An efficient process for the production of levulinate esters from biomass-derived furfuryl alcohol in liquid alcohol over commercial α -Fe₂O₃ was firstly investigated. Among the catalysts we tested, α -Fe₂O₃, a cheap, commercially available and environmentally benign catalyst, exhibited a remarkable catalytic performance for the transformation and gives levulinate esters in good yield compared to the previous studies. The corresponding esters such as methyl levulinate, ethyl levulinate and butyl levulinate were obtained in high yields under optimized reaction conditions. Several influence factors for the formation of levulinate esters were also discussed. A plausible reaction mechanism for the formation of levulinate ester from furfuryl alcohol was proposed. From viewpoint of practice and economy, the present study provided a potential application for the efficient synthesis of fine chemicals from biomass-derived compounds over cheap, commercially available and environmentally benign catalyst.

1. Introduction

Petroleum oil which promotes the development of human society is diminishing at an alarming rate during the past century. Its over-consumption leads to a host of energy crisis issues and environmental problems. Biomass and its derivatives are warmly welcomed since they are a kind of abundant, renewable and clean organic carbon resources¹⁻³. To some extent, their effective utilization can relieve the overdependence on petroleum resources⁴.

Over the past decade, reports on the acid-catalyzed conversion of carbohydrate biomass to levulinate esters (LEs), one of the important compounds, were published at an increasing rate⁵⁻⁷. LEs can be used as solvent, flavoring agent, fuel additive and intermediates for the synthesis of the value-added chemicals and fuels⁸⁻¹¹. In recent years, the production of LEs from furfuryl alcohol (FA), obtained easily *via* hydrogenation of biomass-derived furfural (FAL), has been increasingly noticed. Acid catalyst is considered as the key point for LEs production. Strong mineral acids or metal salts as homogeneous catalysts to prompt this reaction were investigated at early stage^{12, 13}. However, these homogeneous catalysts lead to some problems like difficulties in treatment and separation of highly toxic mixture liquid, inevitable reaction container corrosion. To avoid that, various

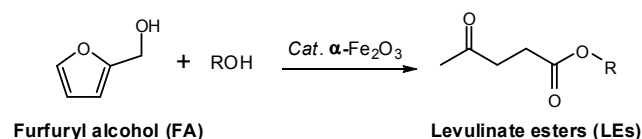
solid acid catalysts have been developed and studied, such as acidic ion-exchange resins¹⁴, organic-inorganic hybrid solid acid¹⁵, aluminosilicate acid^{16, 17}, carbon or organosilica material¹⁸⁻²⁰. Moreover, acidic ionic liquid (ILs) is another good choice. Several groups reported good selectivity of production of ethyl levulinate and γ -valerolactone (GVL) from FA and ethanol using sulfonic acid functionalized ILs^{21, 22}. However, the above-mentioned catalysts or catalytic processes still existed several defects. For example, expensive and poor thermal stability, low yield of LEs, the complex preparation of catalyst and the synthetic process of feedstock for preparation of catalyst or ILs itself with possible environmental risks and pollution²³. Therefore, development of cheaper, greener and efficient catalyst is imperative.

Previously, our group²⁴⁻²⁶ and other groups²⁷ reported some interesting results on the conversion of biomass to fuel and industrial chemicals catalyzed by metal and metal oxides. Iron oxides and iron salts are known to have widespread applications, including photocatalytic water-splitting, Fischer-Tropsch synthetic hydrocarbons^{28, 29}, due to its abundant, cheap, easily obtained, low or nontoxicity and environmentally friendly nature. Recently, iron (III) acetylacetonate as catalyst was investigated to produce LEs from FA³⁰. However, this process used toxic solvent CCl₄, and formed corrosive HCl as the reaction progress, and the formed HCl was considered as a real catalyst to prompt the FA conversion. Therefore, to develop a new cleaner route with iron-contain substance as catalyst is a promising option.

Herein, we report a greener and efficient approach for the conversion of FA to LEs in liquid alcohol mediated by commercial α -Fe₂O₃ (hematite), one of the important iron oxides and widely distributed in the earth crust. The

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Scheme 1. Conversion of furfuryl alcohol into levulinate esters over α -Fe₂O₃.

corresponding esters such as methyl levulinate, ethyl levulinate and butyl levulinate have been effectively obtained in high yields. Several formed key intermediates during the reaction were also discussed (Scheme 1).

2. Experimental

2.1 Experimental Materials and Procedure

Furfuryl alcohol ($\geq 98.5\%$), methanol ($\geq 99.5\%$), ethanol ($\geq 99.7\%$), *n*-butanol ($\geq 99.5\%$), ethyl levulinate (99%) and metal powder or oxides including Ni ($\geq 99.5\%$), Co ($\geq 99\%$), Cu ($\geq 99.7\%$), Cr ($\geq 99\%$), Fe ($\geq 98\%$), Pd/C (5%), ZrO₂ ($\geq 99\%$), Al₂O₃ (AR), SiO₂ (AR), TiO₂ ($\geq 98\%$), Cu₂O ($\geq 90\%$), CuO ($\geq 99\%$), Fe₃O₄ ($\geq 98\%$), α -Fe₂O₃ ($\geq 99\%$), FeCl₃·6H₂O (AR), Fe(NO₃)₃·9H₂O (AR) were purchased from Sinopharm Chemical Reagent (China). Fe(acac)₃ (AR), Methyl levulinate (99.0%, GC) was purchased from J&K Scientific Ltd. Butyl levulinate (98%) was obtained from Aladdin Chemical Reagent. CuFe₂O₄ was prepared according to the literature³¹.

Most of the experiments were performed in a Teflon-lined stainless steel batch reactor with an inner volume of 30 mL. Typical procedure for the synthesis of levulinate esters was as follows. The catalyst, solvent and furfuryl alcohol were added into reactor, respectively. Before the reactor sealed, the loaded reactor was purged by nitrogen for excluding the effect of air. Then, the reactor was placed into a preheated oven. After a stipulated time, the reactor was taken out from the oven and cooled down to room temperature. The reaction time was defined as the time when the oven temperature was up to 250 °C after the reactor placed.

In this study, 0.23 mmol furfuryl alcohol as starting material was used in all experiments. Due to the limiting temperature of the Teflon container was 250 °C, the SUS 316 reactor was used when the experiment was performed at 300 °C in this study.

2.2 Product analysis

The liquid samples were collected via a filter procedure and analyzed by gas chromatography (Agilent GC7890A, with flame ionization detector) and gas chromatograph-mass spectrometer (Agilent GC7890A-MS5975C), both of which equipped with HP Innowax capillary column (30 m × 0.25 mm × 0.25 μm). The solid samples were collected and analyzed by X-ray diffraction (XRD).

The yield of LEs was calculated on the basis of the following equation.

$$\text{Yield, \%} = \frac{n_{LEs} \text{ after reaction, mmol}}{n_{FA} \text{ in the initial time, mmol}} \times 100 \%$$

3. Results and discussion

3.1 Catalyst screening

Initially, we screened the catalysts for the alcoholysis of FA in ethanol in the production of ethyl levulinate (EL). All experiments were conducted in the presence of catalysts in 10 mL ethanol at 250 °C for 60 min, the results are summarized in Table 1. It can be seen that the target product (EL) did not appear when no catalyst was used (entry 1). We first tested Fe(acac)₃, which is an effective catalyst for the alcoholysis of FA according to the previous report, and no reaction took place, even all the FA was exhausted (entry 2). A magnetic CuFe₂O₄ containing Cu and Fe atoms did not give the desired product EL (entry 3) either. Interestingly, α -Fe₂O₃ exhibited an excellent catalytic activity for the transformation and provided a good yield of 73% (entry 4). In Figure SI-1, it is clear that the peak of product EL (*m/z* = 144.1) by GC/MS spectrum was observed. The catalytic role of α -Fe₂O₃ has been confirmed by XRD patterns and SEM images in Figure SI-2 and Figure SI-3. It can be seen clearly that α -Fe₂O₃ did not change before and after the reaction. However, the presence of other catalysts, such as Fe₃O₄, ZrO₂ and CuO, did not produce the desired EL, the conversion of FA was also low (entries 5-7). In order to improve the yield of EL, various catalysts were also investigated and no desired products EL were obtained (see Table SI-1 in Supporting Information). Based on the obtained results, the acidity of commercial α -Fe₂O₃, CuFe₂O₄ and Fe₃O₄ was investigated by NH₃-TPD analysis. The result found the acid amount of commercial α -Fe₂O₃ is 0.1 mmol/g while CuFe₂O₄ and Fe₃O₄ did not show the acidity. It indicated that commercial α -Fe₂O₃ acted as acidic catalyst for the reaction. However, the acidity of α -Fe₂O₃ after repeat reaction was investigated and found that the acid amount was decreased from 0.1 mmol/g to 0.06 mmol/g and yield was decreased to 38%. This indicated that decreasing the acidity of α -Fe₂O₃ might lead to the low yield and also reduce its recycle ability.

In view of the good result of used α -Fe₂O₃, the effect of the amount of α -Fe₂O₃ on the production of EL was checked as shown in Figure 1a. The experiments were conducted in 10 mL ethanol at 250 °C for 60 min in the amount of catalyst range of 2.5-12.5 mmol. All the FA was exhausted quickly. The yield of EL increased remarkably with the amount of α -Fe₂O₃ raising from 2.5 to 7.5 mmol. The maximum EL yield of 83% was achieved when the amount of α -Fe₂O₃ up to 7.5 mmol. However, the yield of EL decreased with the amount of α -Fe₂O₃ increasing from 7.5 to 12.5 mmol. The decreasing yield might be attributed to the polymerization of FA during the reaction when the amount of α -Fe₂O₃ was excessive. The detail discussion of polymerization will be given later.

Table 1. Catalyst screening for the production of EL from FA.^a

Entry	Catalyst	Alcohol	FA Conv. (%)	EL Yield (%)
1	none	ethanol	0	0
2	Fe(acac) ₃	ethanol	100	0
3 ^b	CuFe ₂ O ₄	ethanol	9.9	0
4	α-Fe ₂ O ₃	ethanol	100	73
5	Fe ₃ O ₄	ethanol	0.5	0
6	ZrO ₂	ethanol	0	0
7	CuO	ethanol	4	0

^a Reaction condition: 0.23 mmol FA, catalyst 5 mmol, ethanol 10 mL, 250 °C, 60 min. ^b 2.5 mmol CuFe₂O₄ was used.

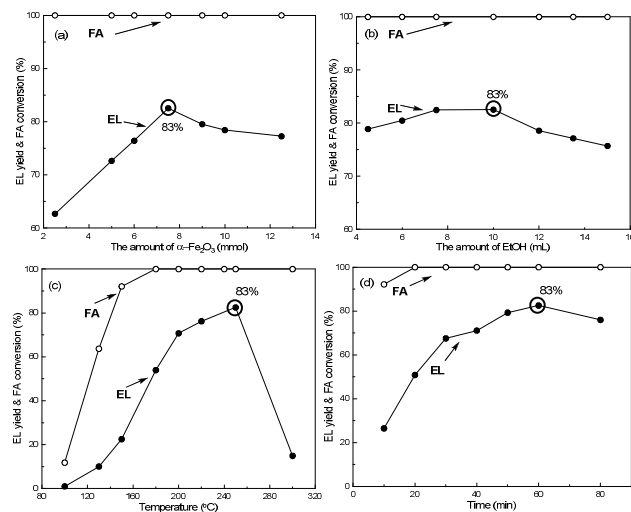


Figure 1. Effect of parameters: (a) Amount of α-Fe₂O₃ (0.23 mmol FA, 10 mL ethanol, 250 °C, 60 min); (b) Volume of ethanol (0.23 mmol FA, 7.5 mmol α-Fe₂O₃, 250 °C, 60 min); (c) Temperature (0.23 mmol FA, 7.5 mmol α-Fe₂O₃, 10 mL ethanol, 60 min); (d) Time (0.23 mmol FA, 7.5 mmol α-Fe₂O₃, 10 mL ethanol, 250 °C).

3.2 Effect of the volume of ethanol

The concentration of substrate is a key factor for most of chemical reactions. In general, polymerization of FA to oligomeric compounds will occur at a high concentration. Thus, the effect of the volume of ethanol for the conversion of FA into EL was investigated. The experiments were carried out in the presence of 7.5 mmol α-Fe₂O₃ at 250 °C for 60 min with the volume range of ethanol from 4.5 to 15 ml as shown in Figure 1b. The conversion of FA was 100% with ethanol range from 4.5 to 15 ml. The yield of EL increased gradually when the ethanol volume changed from 4.5 mL to 7.5 mL. The highest yield of EL reached 83% when the ethanol volume was 7.5 mL. Between 7.5 mL and 10 mL, of EL decreased from 83% at 10 mL to 76% at 15 mL. This might be because higher ethanol volume caused higher pressure to accelerate the decomposition of EL.

3.3 Effect of the temperature and time

It is generally known that both reaction temperature and time are also important factors on the reaction efficiency and product yield. Figure 1c shows the influence of temperature in the range of 100 °C to 300 °C in presence of 7.5 mmol α-Fe₂O₃ in 10 mL ethanol for 60 min. The yield of EL was rather low (1%) when the reaction was carried out at 100 °C and the conversion of FA was 11.8%, indicating that the reaction for the formation of EL was difficultly happened at low temperature. As the temperature raised from 100 °C to 250 °C, the yield of EL increased significantly from 1 to 83% and the conversion of FA also increased quickly. However, the yield of EL decreased rapidly at 300 °C and only a 15% yield of EL was obtained, many undetermined peaks were observed on the gas chromatogram. This results indicated that side reactions of FA might take place at a higher temperature.

Consideration of the potential polymerization of FA at a higher temperature, the experiment at lower temperature was carried out at 130 °C with the reaction time changed from 2 h to 12 h. The results in Figure SI-4 showed that FA was consumed within 2 h, the EL yield raised as the reaction time increased, and the maximum value of EL was 82% at 10 h which is similar to the highest yield of EL at 250 °C at 60 min. From the observation above, it is thought that the temperature from 130 °C to 250 °C for the polymerization process of FA did not give a significant influence. However, a higher reaction temperature can effectively shorten the reaction time.

The influence of the reaction time from 10 to 80 min on the production of EL was shown in Figure 1d by keeping other conditions constant (α-Fe₂O₃ 7.5 mmol, ethanol 10 mL, 250 °C). The yield of EL increased as the reaction time prolonged to 60 min, and the maximum yield of 83% was obtained. When the time up to 80 min, the yield of EL decreased gradually. To investigate whether the produced EL was decomposed and gave reduced yield at longer time or not, the experiment using EL as feedstock was carried out with 7.5 mmol α-Fe₂O₃ in 10 mL ethanol at 250 °C for 80 min. The concentration of EL decreased from 14.1 mmol/L before reaction to 13.3 mmol/L after reaction. Hence, this evidence supports that a longer reaction time, to certain extent, can be negative for the production of EL.

3.4 Scope of various alcohols

With optimized reaction condition in hand, we next assessed the substrate scope of the reaction. The results are summarized in Table 2. The reaction of FA and methanol, instead of ethanol, gave the methyl levulinate (ML) in 73% yield (entry 1). The substrate *n*-butanol also proceeded well and obtained the corresponding *n*-butyl levulinate (BL) in 86% yield (entry 3).

3.5 Intermediates study and proposed mechanism

The mechanism of the conversion of FA to LEs is still not clear for the identification of the different intermediates separated during the alcoholysis processes of FA, and several plausible reaction pathways were proposed in previous studies^{15, 16, 32}.

Table 2. Reaction of FA with different alcohols to produce levulinate esters.^a

Entry	Alcohol	FA Conv. (%)	Yield (%)
1 ^b	methanol	100	73
2 ^c	ethanol	100	83
3 ^d	<i>n</i> -butanol	100	86

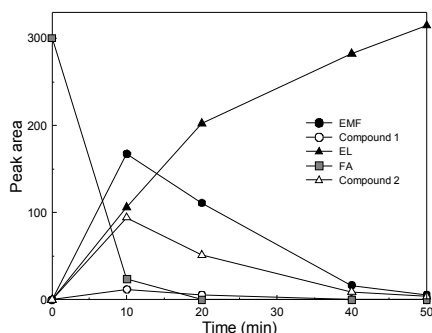
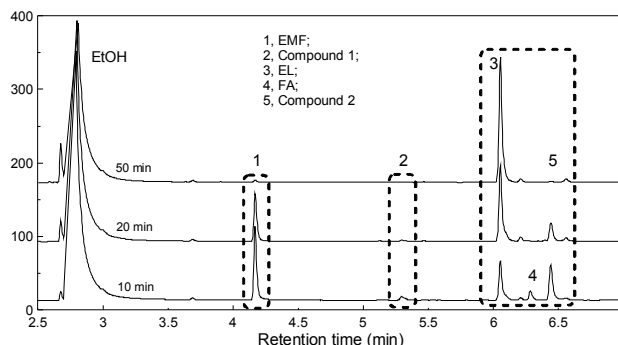
^a Reaction condition: 0.23 mmol FA, 7.5 mmol α -Fe₂O₃, 10 mL alcohol, 250 °C, 40 min. ^b 7.5 mL methanol was used. ^c Time was 60 min. ^d Time was 80 min.

In general, the intermediates can contribute to understand the reaction pathway. As shown in Figure 2, the time profile of the reaction of FA monitoring by GC. From Figure 2, the completely conversion of FA occurred within 20 min. Peaks of three possible intermediates formed within the first 10 min were observed, which then became smaller and disappeared completely at the end. However, it is obvious that the peak area of product EL increased continuously during the reaction. This observation indicated that the intermediates including 2-(ethoxymethyl)furan (EMF), compounds 1 and 2 were converted gradually to product EL after 10 min.

Figure 3 also shows the same changes of peaks of FA, EL, and three possible intermediates. With the time increased, peaks of FA, EL and three intermediates can be observed at the first 10 min and then peaks of FA and three intermediates became smaller and smaller until disappeared completely from 10 min to 50 min, only peak of EL could be observed at last.

Mass spectrum informations of three possible intermediates by GC/MS are described in Figure SI-5. EMF was identified based on the comparison of the mass spectra between our result and previous report¹⁶. 2-(alkoxymethyl)furan was considered to be a first-step intermediate during the alcoholysis of FA¹⁵. However, other two intermediates with the probable molecular weight of 203 or 173 g/mol were hard to be identified.

As we know, water is produced in the alcoholysis process of FA which will cause the dehydration reaction to form diethyl ether^{16,32} and 2-(ethoxymethyl)furan. Next, the effect of water and its amount on the alcoholysis of FA was further discussed. Herein, we carried out the experiments of the alcoholysis of FA with added large amount of water at first (Table 3). As a result, interestingly no catalyst also gave a lower 5% yield of EL (entry 1). It might be because water acted as an acid catalyst to affect the alcoholysis of FA⁴. However, its selectivity was low and mixtures, such as EMF, EL, FA and other by-products, were

**Figure 2.** The peaks area change of FA, EL and possible intermediates at different time (0.23 mmol FA, 7.5 mmol α -Fe₂O₃, 10 mL ethanol, 250 °C).**Figure 3.** Gas chromatogram of liquid samples (0.23 mmol FA, 7.5 mmol α -Fe₂O₃, 10 mL ethanol, 250 °C).

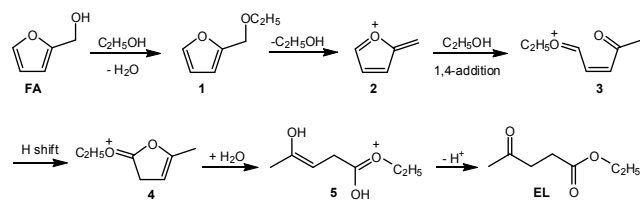
obtained as shown in Figure SI-6. The yield of EL decreased quickly from 73% without water (entry 4, Table 1) to 36% with water in the presence of α -Fe₂O₃ (entry 2, Table 3). Catalysts ZrO₂ and Cu₂O gave lower yield in ethanol/water system (entries 3-4). These results indicate that large amount of water is favoured for the side reactions and polymerization^{15,33,34} similar to appearances observed by Dumesic and coworkers³².

However, it is still debatable whether produced trace amount of water as a reactant participates in the alcoholysis process of FA or not^{15,32}. Two reactions by using drying agents were conducted to identify. 4 Å molecular sieve (MS), which has been reported as drying agent used to remove water in organic reaction³⁵, was added into ethanol system to investigate the influence of the trace amount of water. The yield of 30% was obtained (entry 5). When molecular sieve was used in the absence of α -Fe₂O₃, no reaction took place and no desired product was obtained (entry 6). The presence of α -Fe₂O₃+molecular sieve or α -Fe₂O₃ only gave 30% (entry 5) and 83% yield (best result obtained in this study), respectively. These results indicated that molecular sieve could not catalyze this reaction and α -Fe₂O₃ acted as an efficient catalyst for EL production. These observation indicate that trace amount of water plays an important role and can promote the alcoholysis process as a reactant.

Table 3. Influence of water on the alcoholysis process of FA.^a

Entry	Catalyst	Alcohol	Additive	FA Conv. (%)	EL Yield (%)
1 ^b	none	ethanol+water	-	96	5
2	α -Fe ₂ O ₃	ethanol+water	-	100	36
3	ZrO ₂	ethanol+water	-	100	21
4	Cu ₂ O	ethanol+water	-	100	15
5 ^c	α -Fe ₂ O ₃	ethanol	Molecular sieve	100	30
6 ^c	none	ethanol	Molecular sieve	100	0

^a Reaction condition: FA 0.23 mmol, catalyst 5 mmol, ethanol or ethanol/water (v/v = 1/1) 10 mL, 250 °C, 60 min. ^b FA 1.157 mmol, 200 °C. ^c 1 g molecular sieve was used.



Scheme 2. A possible pathway of the alcoholysis process of FA to EL.

Based on the observation above, a plausible mechanism of the alcoholysis process of FA to EL is presumably similar to the previous reports^{15, 32}. As is illustrated in Scheme 2, FA first reacted with ethanol to produce intermediate **1**, and subsequent loss of ethanol to give **2**. Next, the obtained cation **2** underwent nucleophilic conjugate 1,4-addition of ethanol to give species **3**. Species **4** was formed by a hydrogen shift from **3**. Finally, H₂O as nucleophile attacked species **4** to produce **5**, and then isomerization to obtain desired EL.

Conclusions

We explored an efficient method for the production of levulinate esters by the alcoholysis process of biomass-derived furfuryl alcohol over commercial α -Fe₂O₃. Among the alcohol substrates test, the highest yield of 73%, 83% and 86% of corresponding methyl levulinate, ethyl levulinate and *n*-butyl levulinate were achieved, respectively under optimal reaction conditions. On the basis of the investigation of intermediates and the role of water and its amount, a plausible mechanism was proposed. The present study provides a fast, efficient and environmentally friendly method for the conversion of biomass-based compounds to value-added chemicals.

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Graphic abstract

