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1 The evaluation of cellular uptake efficiency and tumor-target
2 ability of MPEG-PDLLA micelles: Effect of particle size

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13 **Abstract**

14 In this study, we successfully prepared the MPEG-PDLLA polymer micelles with
15 two different particle sizes A and B. DLS and TEM assays demonstrated that the
16 particle size of the polymer micelles A and the polymer micelles B were about 25 nm
17 and 150 nm respectively. The stability of the MPEG-PDLLA polymer micelles
18 *in vitro* revealed that the free cy5.5 dye had been successfully loaded into the polymer
19 micelles as a fluorescence marker and the fluorescence wasn't quenched until 72 h.
20 The cellular uptake of the polymer micelles was time-dependent and the micelles A
21 (particle size 25 nm) showed a higher efficiency to be internalized into the cytoplasm
22 of MCF-7 cells than the micelles B (particle size 150 nm). Furthermore, *in vivo* and *in*
23 *vitro* biodistribution and tumor-target of polymer micelles MPEG-PDLLA were

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24 investigated in female MCF-7 tumor-bearing balb/cA-nu mice with an IVIS imaging
25 system. The results showed that the polymer micelles A (particle size 25 nm) had a
26 time dependent biodistribution and tumor sites accumulation in mice bearing MCF-7
27 tumors. Meanwhile, the NIR fluorescence intensity of the polymer micelles B
28 (particle size 150 nm) in tumor sites showed a pattern of a rise, a peak and then a
29 decline. What's more, the distribution of the polymer micelles in the tissue slices
30 demonstrated the same results. Consequently, the results indicated that the micelles
31 with smaller particle size (25 nm) could be more efficiently internalized into cells and
32 enhance permeation and retention (EPR) effect in tumor tissue. Therefore, a
33 reasonable small size of micelles may be a key factor for a high-performance
34 anti-cancer drug delivery system.

35 **Keywords**

36 MPEG-PDLLA polymer micelles, particle size, cellular uptake, biodistribution,
37 tumor-target, enhance permeation and retention (EPR) effect.

38 **Introduction**

39 Nowadays, more and more people are suffering from cancer, but the traditional
40 anti-cancer drugs have a lot of drawbacks, such as being poorly water-soluble [1,2],
41 having side effects and multidrug resistance [3,4]. Nanotechnology high lights the
42 hope for cancer therapy as a large number of nano carriers are used in clinical. [5-8].

43 In recent years, amphiphilic block copolymers have attracted significant attention
44 as a means of delivering anti-cancer drugs because amphiphilic block copolymers
45 consist of hydrophilic and hydrophobic segments, which have the ability to form nano
46 carriers and self-assemble in aqueous solutions [9-11]. Nano carriers can not only
47 transport anticancer agents, but also have same advantages such as long-circulating
48 time, high cellular uptake efficiency and preferentially reach tumor sites [12,13].
49 What's more, they have shown different cellular uptake efficiency and tumor targeting
50 abilities depending on their sizes [14-16]. There have been proved that the particle
51 sizes ranging from 25 to 50 nm are suitable for achieving high cellular uptake
52 efficiency as the cellular uptake of nano carriers are regulated by membrane tension,

53 the optimal radius for endocytosis is on the order of 25-50 nm [17-20]. Additionally,
54 nano carriers in the size range of 10-200 nm are highly recommended for tumor
55 accumulation, owing to the advantages of reducing clearance from the
56 reticuloendothelial system (RES), and increasing tumor accumulation through
57 enhance permeation and retention (EPR) effect [8,21].

58 Among amphiphilic block copolymers [22-24], monomethoxy poly (ethylene
59 glycol)-poly (D, L-lactic acid) copolymer (MPEG-PDLLA) has sparked interest as
60 drug delivery carriers [25]. MPEG-PDLLA consists of polyethylene glycol (MPEG)
61 and poly (D,L-lactide), which are FDA-approved non-cytotoxic, non-immunogenic
62 polymer and has been widely used as a long-circulating agent to improve
63 biocompatibility, stability and have a good record of offering great potential for
64 controlled release [26,27]. Samyang's proprietary polymeric micelle technology
65 utilizing PTX loaded MPEG-PDLLA ($M_n = 3765$) micelles (Genexol-PM[®]) for
66 cancer chemotherapeutic was applied in the clinic and approved in Korea in 2006 [28].
67 In additional, the study on MPEG-PDLLA is carrying on [29-32]. However, the study
68 on particle size of the nano carrier MPEG-PDLLA is still needed to develop as the
69 particle size determines the mechanism and rate of cell uptake of nano carriers and its
70 ability to permeate through tissue [33]. The particle size less than 5 nm is rapidly
71 cleared from the circulation through renal clearance or extravasation, and as particle
72 size increases to 15 μm , the accumulation occurs primarily in the liver, the spleen and
73 the bone marrow which may cause certain toxicity [34, 35]. It is necessary to study
74 the influence of particle size of nano carrier MPEG-PDLLA immediately and make
75 sure which size of MPEG-PDLLA is a promising nano carrier.

76 In this study, we aimed to evaluate the cellular uptake efficiency and tumor-target
77 ability of MPEG-PDLLA micelles with two different particle sizes 25 nm and 150 nm.
78 As the MPEG-PDLLA had no fluorescent group, we chose Cy5.5-NHS ester as a
79 fluorescent marker [36-39]. Cy5.5-NHS ester was a fluorescent marker in the near
80 infrared region (NIR) spectrum, which was suitable for small animal *in vivo* live
81 imaging instead of radioactive elements imaging [40-42]. We used two methods to get
82 MPEG-PDLLA micelles with two different particle sizes. The cellular uptake

83 efficiency of the micelles was investigated in MCF-7 cells. Then we detected the
84 biodistribution and tumor-target of the MPEG-PDLLA micelles with different particle
85 sizes by an IVIS imaging system. This study is the first time an IVIS imaging system
86 has been used to detect the biodistribution and tumor-target of MPEG-PDLLA with
87 two different particle sizes, which was more sensitive, cost-effective, easy-of-use, and
88 avoiding the use of radiopharmaceuticals. We could easily get the real-time difference
89 of biodistribution between MPEG-PDLLA with different particle sizes through the
90 IVIS imaging system, and the results could be intuitive to see whether the same nano
91 carrier with different particle sizes have different delivery efficiency, and which
92 particle size of MPEG-PDLLA micelles is suitable for delivering anti-cancer drug.

93 **Materials and Experimental Methods**

94 **Materials**

95 Monomethoxy-poly (ethylene glycol) with a molecular weight of 2000 (MPEG 200
96 0), Stannous Octoate ($\text{Sn}(\text{Oct})_2$), Dicyclohexylcarbodiimide (DCC) and N-(tert-buto
97 xycarbonyl)-L-alanine (BOC-L-AIA) were obtained from Sigma Aldrich Company. D,
98 L-lactide was purchased from Beijing Jiankai Corporation in China. Anhydrous
99 ethanol was purchased from Shanghai Aladdin company. Cy5.5-NHS ester was
100 purchased from Beijing Fanbiochemicals company. All the materials used in this
101 article were analytic grade and used as received.

102 An MCF-7 cell line was obtained from the American Type Culture Collection
103 (ATCC; Rockville, MD) and grown in RPMI DMEM media with 10 % FBS and 1 %
104 antibiotics. The cell culture was maintained in a 37 °C incubator with a humidified 5 %
105 CO_2 atmosphere.

106 Balb/cA-nu mice used for *in vivo* and *in vitro* fluorescence imaging were purchased
107 from the HFK Bio-Technology. Co., LTD (Beijing, China). Throughout the
108 experiment, the animals were housed at a temperature of 20 ± 2 °C, relative humidity
109 of 50-60 %, and with 12 h light-dark cycles. All animal care and experimental
110 procedures were conducted according to Institutional Animal Care and Use
111 guidelines.

112 **Synthesis of MPEG-PDLLA-NH₂**

113 MPEG-PDLLA copolymer (2000-1765) was synthesized by ring opening
114 polymerization. 17.65 g D, L-lactide and 20 g MPEG 2000 were put into a dried glass
115 reactor already flame-dried and purged three times by nitrogen. Then 0.3 % stannous
116 octoate (0.113 g) was added in an environment of nitrogen. The polymerization
117 started in the oil bath at 150 °C for 9 h. After the completion of the reaction, the
118 products were dissolved in 50 mL ethanol, then precipitated in 500 mL cold n-pentane
119 and filtered three times. The final copolymer was kept in a vacuum at 35 °C for 48 h
120 [43].

121 The diblock copolymer MPEG-PDLLA was converted into BOC-L-AIA as follows:
122 a nitrogen-purged flask containing 10.0 g MPEG-PDLLA and 4.0 g BOC-L-AIA
123 dissolved in 100 mL anhydrous CH₂Cl₂ was treated with a solution of 3.0 g DCC for
124 72 h at 25 °C. The dicyclohexylurea was removed by vacuum filtration. The filtrate
125 was washed with 100 mL saturated aqueous NaHCO₃ and 100 mL distilled water
126 three times. Then the copolymer was obtained by removing the organic solvents in a
127 vacuum by a rotary evaporator [44].

128 MPEG-PDLLA-NH₂ was synthesized by removing the tert-butoxycarbonyl end
129 group from MPEG-PDLLA-BOC [45] as follows: 5 g MPEG-PDLLA-BOC was
130 dissolved in 50 mL CH₂Cl₂. The solution was cooled to 0 °C and treated with 15 mL
131 trifluoroacetic acid (TFA) for 2.5 h in an atmosphere of nitrogen. TFA was then
132 removed in a vacuum, the residue was dissolved in 40 mL chloroform and washed
133 with 100 mL saturated aqueous NaHCO₃ and 100 mL distilled water three times.
134 Finally the copolymer was obtained by removing the organic solvents in a vacuum by
135 a rotary evaporator.

136 **Characterization of MPEG-PDLLA-NH₂**

137 The diblock copolymer was characterized by ¹H NMR spectra (Varian 400
138 spectrometer, Varian, USA), FTIR (NICOLET 200SXV, Nicolet, USA) and GPC
139 (Agilent 110 HPLC, USA) [46].

140 **Synthesis of fluorescence marker MPEG-PDLLA-Cy5.5**

141 MPEG-PDLLA-Cy5.5 was synthesized by reacting the Cy5.5-NHS ester (0.5 mg)

142 with MPEG-PDLLA-NH₂ (100 mg) dissolved in 5 mL dimethylsulfoxide at room
143 temperature for 24 h [47, 48]. Then using a dialysis bag which molecular mass cutoff
144 is 2 kDa to remove free Cy5.5-NHS and dimethylsulfoxide for 48 h. The final product
145 was free-dried and stored at - 20 °C in the dark until used.

146 **Preparation of the MPEG-PDLLA micelles**

147 In this research, we used two methods to prepare MPEG-PDLLA micelles. One was
148 a thin-film hydration method [29, 49]. Briefly, 30 mg MPEG-PDLLA and 1 mg
149 MPEG-PDLLA-Cy5.5 were dissolved together in 4 mL anhydrous ethanol to prepare
150 a polymer solution. Then a rotary evaporator was used to remove anhydrous ethanol
151 in vacuum at 37 °C for 2 h. Finally, 4 mL distilled water were added to prepare
152 micelles at 60 °C and filtered with a syringe filter (pore size: 220 nm) (Millex-LG,
153 Millipore Co., USA). All operations were conducted in the dark.

154 The other method was an ethanol injection method [50, 51]. 30 mg MPEG-PDLLA
155 and 1 mg MPEG-PDLLA-Cy5.5 were dissolved together in 4 mL ethanol. The mixed
156 polymer in anhydrous ethanol was slowly added to 4 mL distilled water and stirred at
157 60 °C to remove anhydrous ethanol for about 5 h. Then micelles were filtered with a
158 syringe filter (pore size: 220 nm) (Millex-LG, Millipore Co., USA). All operations
159 were conducted in the dark.

160 The concentration of free dye group (control group) was 1.25 µg/mL that was same
161 with the concentration of polymer micelles. The free Cy5.5 dye was hydrophobia, so
162 we used 1 mL DMSO to dissolve 1 mg Cy5.5 dye to get 1 mg/mL stock solution.
163 Then we took 1.25 µL stock solution (1 mg/mL) to dilute with saline to 1mL to get the
164 free dye.

165 **Characterization of the MPEG-PDLLA micelles**

166 The particle size of the MPEG-PDLLA polymer micelles was measured by
167 dynamic light scattering (DLS) (Nano-ZS90, Malvern, UK). The morphology of the
168 polymer micelles was observed by a transmission electron microscope (TEM)
169 (H-6009IV, Hitachi, Japan). Before using the TEM to observe, samples were placed
170 on a carbon-coated copper grid, and negatively stained by phosphotungstic acids [52].

171 **The stability of the MPEG-PDLLA micelles with Cy5.5 fluorescence marker**

172 The stability of the MPEG-PDLLA polymer micelles was measured by a
173 fluorescence spectrophotometer (Perkin Elmer, USA) [53]. We used fluorescence
174 spectrophotometer to observe the fluorescence intensity at 5 min, 30 min, 1 h, 2 h, 4 h,
175 6 h, 8 h, 12 h, 24 h, 48 h and 72 h after the polymer micelles were prepared.

176 **Cellular imaging**

177 We used MCF-7 breast cancer cells to investigate the uptake of the MPEG-PDLLA
178 polymer micelles [54-55]. First, MCF-7 breast cancer cells were seeded in 6-well
179 plates (2×10^5) and incubated for 24 h. Then RPMI DMEM media were used with 2
180 mL 1.25 $\mu\text{g}/\text{mL}$ polymer micelles and 1 % antibiotics (the RPMI DMEM media with
181 2 mL 1 % antibiotics was used as control group) to replace the RPMI DMEM media
182 with 10 % FBS and 1 % antibiotics per well. Next they were incubated for an
183 additional 30 min (1 h, 2 h, 4 h, 6 h). After removing the supernatant, the cells were
184 fixed with 70 % ETOH for 15 min and then DAPI was added for a 10 min incubation.
185 Subsequently, the cells were washed 3 times with PBS and sealed with glycerine. The
186 cellular uptake of the polymer micelles was determined using a confocal laser
187 scanning microscope (CLSM) from Germany Leica Corporation. The quantitative
188 data was analyzed by flow cytometry from USA BD Bioscience Corporation.

189 ***In vivo* and *in vitro* fluorescence imaging**

190 An *in vivo* and *in vitro* fluorescence imaging study was performed in female
191 MCF-7 tumor-bearing balb/cA-nu mice [56, 57]. Tumors were initially established by
192 injecting a mixture of 1×10^6 MCF-7 cells subcutaneously. When the tumors reached
193 a volume of 200 mm^3 , the biodistribution of the MPEG-PDLLA polymer micelles
194 was studied by injecting 100 μL 1.25 $\mu\text{g}/\text{mL}$ micelles (free cy5.5) intravenously
195 through a tail vein of mice bearing MCF-7 tumors. These were imaged at 5 min, 30
196 min, 1 h, 2 h, 4 h, 6 h, 8 h, 12 h, 24 h, 48 h and 72 h after the injection with an IVIS
197 imaging system (Perkin Elmer, USA). Dye accumulation and retention in tumors was
198 evaluated quantitatively by calculating the ROI values.

199 The tumor-bearing mice were killed at 2 h, 8 h, 24 h, 48 h and 72 h after the
200 polymer micelles were injected, and then the livers, hearts, spleens, lungs, kidneys
201 and tumors were collected for isolated organ imaging to estimate the tissue

202 distribution of the micelles. We evaluated the fluorescence intensity of tissues
203 quantitatively by calculating the ROI values.

204 **Tissue slices**

205 The livers, hearts, spleens, lungs, kidneys and tumors tissues were used to make
206 tissue slices which were harvested at 2 h, 8 h, 24 h, 48 h and 72 h after the
207 MPEG-PDLLA micelles were injected [58]. In detail, an embedding medium was
208 used to fix the tissues and get tissue slices using a frozen section machine. Then DAPI
209 was added for 5 min and they were sealed with glycerine. A confocal laser scanning
210 microscope (CLSM) from Germany Leica Corporation was used to observe the
211 fluorescence intensity of the tissues.

212 **Statistics**

213 Statistical analysis was performed using a Student's t-test or one-way analysis of
214 variance (ANOVA). All data were expressed as the mean value \pm SD. *P* values less
215 than 0.05 were considered to be statistically significant.

216 **Results and discussion**

217 **Characterization of MPEG-PDLLA-NH₂**

218 In this study, we successfully synthesized the MPEG-PDLLA-NH₂ block
219 copolymer and reacted it with the fluorescence marker cy5.5 dye. The synthesis route
220 is illustrated in Fig. 1. In detail, the MPEG-PDLLA copolymer was synthesized by
221 ring opening polymerization, then the BOC-L-AIA was converted into MPEG-
222 PDLLA. Our target product was obtained by removing the tert-butoxycarbonyl end
223 group from MPEG-PDLLA-BOC. MPEG-PDLLA, MPEG-PDLLA-BOC and MPEG
224 -PDLLA-NH₂ were characterized by a ¹H NMR spectrum, and the molecular weights
225 and polydispersity of the copolymer were determined by GPC. The molecular weight
226 data of MPEG-PDLLA, MPEG-PDLLA-BOC and MPEG-PDLLA-NH₂ are
227 summarized in Table. 1.

228 From the results of the ¹H NMR spectrum in Fig. 2, we can see four peaks marked
229 with letters from a to d in Fig. 2 (A) MPEG-PDLLA, a at 3.62 ppm (CH₃O-), b at 3.63
230 ppm (-CH₂CH₂O-), c at 5.15 ppm (-COCH(CH₃)O-), d at 1.55 ppm (-CH₃). In the ¹H

231 NMR spectrum in Fig. 2 (B), the peak marked with letter e (1.39 ppm) represented the
232 tert-butoxycarbonyl group, demonstrating that the BOC-terminated MPEG-PDLLA
233 block polymer was successfully synthesized. The peak e (1.39 ppm) disappeared
234 completely in Fig. 2 (C), since we eliminated the tert-butoxycarbonyl group and got
235 MPEG-PDLLA-NH₂.

236 Analysis by GPC revealed the retention time of MPEG-PDLLA, MPEG-PDLLA-
237 BOC and MPEG-PDLLA-NH₂ in Fig. 3. Fig. 3 (A) MPEG-PDLLA demonstrated a
238 single peak with polydispersity of 1.24 and Mn of 3360. Fig. 3 (B) showed a shift to
239 a later retention time for MPEG-PDLLA-BOC, which is consistent with an increase in
240 Mn of 4147. Fig. 3 (C) MPEG-PDLLA-NH₂ revealed an almost similar shape and the
241 same position of the retention time as Fig. 3 (B), indicating that the polymeric
242 structure was kept unchanged and the molecular weight and distribution changed very
243 little.

244 The IR spectra of MPEG-PDLLA, MPEG-PDLLA-BOC and MPEG-PDLLA-NH₂
245 are showed in Fig. 4. From Fig. 4 (A), the absorption peak at 1188.76 cm⁻¹ and
246 1455.00 cm⁻¹ can be seen to belong to the MPEG block. The peak at 1755.10 cm⁻¹ was
247 characteristic of the PDLLA block. The absorption peaks at 1526.61 cm⁻¹ and 3324.82
248 cm⁻¹ were attributed to the νNH stretch vibration in Fig. 4 (B), indicating that the
249 tert-butoxycarbonyl group was added into MPEG-PDLLA block polymer successfully.
250 νNH stretch vibration was also found at 3327.90 cm⁻¹ and 1535.68 cm⁻¹ in Fig. 4 (C).

251 **Characterization of the MPEG-PDLLA micelles**

252 In this study, we used two methods to prepare the MPEG-PDLLA polymer micelles
253 with different particle sizes. The schematic illustration of the preparation of the
254 MPEG-PDLLA polymer micelles and flow diagram of the experiment is shown in Fig.
255 5.

256 We used a thin-film hydration method to prepare MPEG-PDLLA micelles. During
257 the procedure, the MPEG-PDLLA copolymer was distributed as a homogenous,
258 amorphous thin-film. Then the copolymer self-assembled to a core-shell structure in
259 the water system. The polymer micelles were obtained after filtering with a syringe
260 filter (pore size: 220 nm). As shown in Fig. 6 (A), the particle size of the

261 MPEG-PDLLA polymer micelles measured by dynamic light scattering (DLS) was
262 24.59 ± 1.16 nm. In the morphology study, the same diameter of the
263 MPEG-PDLLA polymer micelles was observed in the TEM image.

264 According to Fig. 6 (B), the particle size of MPEG-PDLLA polymer micelles
265 prepared by ethanol injection method was 150.27 ± 1.62 nm. The TEM image
266 showed the same diameter of the MPEG-PDLLA micelles.

267 **The stability of the MPEG-PDLLA micelles with Cy5.5 fluorescence marker**

268 To investigate the stability of the MPEG-PDLLA polymer micelles *in vitro* by this
269 system, we used a fluorescence spectrophotometer to observe the fluorescence
270 intensity of the polymer micelles at 5 min, 30 min, 1 h, 2 h, 4 h, 6 h, 8 h, 12 h, 24 h,
271 48 h and 72 h after the polymer micelles were prepared in Fig. 7. From the results, the
272 fluorescence intensity of free cy5.5 dye, polymer micelles A (particle size 25 nm) and
273 polymer micelles B (particle size 150 nm) followed the same trend and didn't
274 decrease. It demonstrated that the fluorescence wasn't quenched until 72h. The
275 fluorescence intensity of free Cy5.5 dye was lower than the polymer micelles A
276 (particle size 25 nm) and the polymer micelles B (particle size 150 nm), indicating
277 that the free Cy5.5 dye had been successfully loaded into the polymer micelles.

278 **Cellular imaging**

279 We investigated the cellular uptake efficiency of the MPEG-PDLLA polymer
280 micelles over time qualitatively by using a confocal laser scanning microscope
281 (CLSM). Here we compared the cellular uptake efficiency between the polymer
282 micelles A (particle size 25 nm) and the polymer micelles B (particle size 150 nm) at
283 30 min, 1 h, 2 h, 4 h, 6 h after adding the polymer micelles. The result showed that the
284 micelles A (particle size 25 nm) could more efficiently penetrate into the MCF-7 cells
285 and the cellular uptake efficiency was time-dependent. This result consisted with the
286 previous studies [17-20] as the cellular uptake of nano carriers are regulated by
287 membrane tension, the optimal radius for endocytosis is on the order of 25-50 nm.

288 Fig. 8 (A) shows the pictures of MCF-7 cells incubated with the polymer micelles
289 A (particle size 25 nm). After 0.5 hrs' incubation with the polymer micelles A (particle
290 size 25 nm), the column of Cy5.5 was almost black. It could be seen that DAPI

291 stained nuclei were circumvented by the MPEG-PDLLA polymer micelles A at 1h,
292 indicating that the polymer micelles A (particle size 25 nm) had been internalized into
293 the cytoplasm of MCF-7 cells. Also the fluorescence intensity increased with the time.

294 From Fig. 8 (B), we can also see the polymer micelles B (particle size 150 nm)
295 were internalized into the cytoplasm of MCF-7 cells and the fluorescence intensity
296 increased with the time. It was noted that the red fluorescence intensity in Fig. 8 (A)
297 was higher than that in Fig. 8 (B), demonstrating that cellular uptake was easier for
298 the polymer micelles with smaller particle size.

299 Cellular uptake of the MPEG-PDLLA polymer micelles with different particle sizes
300 at different times was studied quantitatively by measuring the percentage of
301 internalized Cy5.5 in MCF-7 cells in Fig. 8 (C). After 0.5 hrs' incubation with the
302 polymer micelles, 20.26 ± 2.81 % of the polymer micelles A (particle size 25 nm)
303 and 18.37 ± 1.02 % of the polymer micelles B (particle size 150 nm) were
304 internalized into the MCF-7 cells. After 6hrs' incubation, 56.02 ± 2.48 % of the
305 polymer micelles A (particle size 25 nm) and 48.43 ± 2.08 % of the polymer
306 micelles B (particle size 150 nm) were internalized into the MCF-7 cells respectively.
307 The results indicated that between 30min and 6h, the polymer micelles showed
308 time-dependent cellular uptake and the cellular uptake efficiency of the polymer
309 micelles A (particle size 25 nm) was higher than the polymer micelles B (particle size
310 150 nm), demonstrating the same results as Fig. 8 (A) and Fig. 8 (B).

311 ***In vivo* fluorescence imaging**

312 *In vivo* real-time biodistribution and tumor-target of the MPEG-PDLLA polymer
313 micelles in MCF-7 tumor-bearing mice were evaluated through NIR fluorescence
314 imaging with an IVIS imaging system at 5 min, 30 min, 1 h, 2 h, 4 h, 6 h, 8 h, 12 h, 24
315 h, 48 h and 72 h after being injected intravenously with the polymer micelles. The
316 diagnosis profiles of polymer micelles A (particle size 25 nm), polymer micelles B
317 (particle size 150 nm) and free Cy5.5 dye were clearly visualized by monitoring
318 real-time NIR fluorescence intensity in the whole body as shown in Fig. 9.

319 According to Fig. 9 (A), the polymer micelles A (particle size 25 nm) had a time
320 dependent biodistribution and tumor accumulation in mice bearing MCF-7 tumors.

321 Between 5 min and 72 h post-injection, the NIR fluorescence of the polymer micelles
322 A (particle size 25 nm) increased fluorescence signals within the tumors, although
323 decreased in the whole body, which may be due to specific targeting of tumor cells.
324 We quantified the fluorescence intensity in the tumor by the ROI value in Fig. 9 (D).
325 The ROI value showed that the fluorescence intensity at the tumor site didn't decrease
326 until 72 h.

327 From the results in Fig. 9 (B), the administration of the polymer micelles B
328 (particle size 150 nm) resulted in a fluorescence signal which gradually decreased
329 with time. Between 5 min and 24 h after injection, the difference in the fluorescence
330 intensity at the tumor site was smaller for MCF-7 tumor-bearing mice injected with
331 either the polymer micelles A (particle size 25 nm) or the polymer micelles B (particle
332 size 150 nm). However, at 48 h post-injection, the polymer micelles A (particle size
333 25 nm) showed a stronger fluorescence intensity at the tumor site than the polymer
334 micelles B (particle size 150 nm). The same results are shown in Fig. 9 (D),
335 demonstrating that the enhanced permeation and retention (EPR) effect was affected
336 by particle size.

337 In this study, we used the group of free Cy5.5 dye as control group. From Fig. 9 (C),
338 the fluorescence signal at the tumor was weaker than the whole body all the time. The
339 quantitative fluorescence intensity measured by the ROI value in Fig. 9 (D) showed
340 the same results, indicating that the tumor accumulation of fluorescence were due to
341 the polymer micelles.

342 ***In vitro* fluorescence imaging**

343 In this part, the MCF-7 tumor-bearing mice were killed at 2 h, 8 h, 24 h, 48 h and
344 72 h after the MPEG-PDLLA polymer micelles was injected intravenously, and then
345 the livers, hearts, spleens, lungs, kidneys and tumors were isolated to estimate the
346 tissue distribution of the polymer micelles using NIR fluorescence imaging with an
347 IVIS imaging system.

348 The fluorescent signals of the MPEG-PDLLA polymer micelles in the deep organs
349 were often underestimated in *in vivo* fluorescence imaging because of optical
350 impedance by soft tissues. So the *in vitro* fluorescence imaging experiment shown in

351 Fig. 10 was necessary for us to study the tissue distribution of the polymer micelles.

352 From the results of the tissue distribution of the polymer micelles A (particle size
353 25 nm) in Fig. 10 (A), a significant enhancement of fluorescence signals was
354 exhibited in tumors as the time extended, whereas the fluorescence intensity of the
355 hearts, lungs, spleens decreased as the time extended. It was noted that the
356 fluorescence intensity of the hearts showed only background to moderate signals, the
357 acute cardiotoxicity of heart may have decreased. The fluorescence intensity of the
358 livers and kidneys from mice treated with polymer micelles A (particle size 25 nm)
359 also displayed strong signals and peaked at 8h post-injection, indicating that polymer
360 micelles A (particle size 25 nm) were undergoing liver metabolism and renal excretion.
361 In short, between 8 h and 72 h post-injection, the fluorescence intensity of other
362 tissues gradually decreased with time, but the fluorescence intensity at the tumor site
363 remained at a relatively high level indicating that the polymer micelles A had a long
364 circulation time and the enhanced permeability and retention (EPR) effect of the
365 tumor. The quantitative fluorescence intensity of the tissues measured by the ROI
366 value in Fig. 10 (D) showed the same results.

367 According to Fig. 10 (B), the tissues showed almost the same results as the polymer
368 micelles A (particle size 25 nm) except the tumors. The fluorescence intensity of the
369 tumors showed a rise first, followed by a decline. The tumor tissues had the strongest
370 fluorescence intensity at 8h post-injection and then decreased rapidly, demonstrating
371 enhanced permeation and retention (EPR) effect was affected by particle size. The
372 quantitative results measured by the ROI value showed in Fig. 10 (E) consisted with
373 Fig. 10 (B).

374 In this study, we used the group of free Cy5.5 dye as a control group. From Fig. 10
375 (C) and Fig. 10 (F), the fluorescence signal of all tissues was weaker than the polymer
376 micelle groups, indicating that the fluorescence of the tissue distribution was due to
377 the polymer micelles.

378 **Tissue slices**

379 The distribution of MPEG-PDLLA polymer micelles was ever changing with time
380 after injection. In this part, we observed the fluorescence intensity by a confocal laser

381 scanning microscope (CLSM) from the German Leica Corporation. The results of the
382 images were shown in Fig. 11.

383 From the results of the tissue slice distribution of the polymer micelles A (particle
384 size 25 nm) in Fig. 11 (A), the fluorescence intensity of the tumor tissue slices rose
385 with the time and maintained the fluorescence signal until 72h post-injection. The
386 fluorescence distribution in the livers and kidneys increased generally, and then
387 decreased with time. The fluorescence signal of other tissue slices also decreased as
388 the results showed in *in vitro* tissues fluorescence imaging.

389 Fig. 11 (B) showed the tissue slice distribution of the polymer micelles B (particle
390 size 150 nm). Seen from imaging, we found that the fluorescence intensity of the
391 livers and kidneys were a bit stronger than the micelles A (particle size 25 nm), but
392 the tumor tissue had the strongest fluorescence intensity at 8h post-injection and then
393 decreased rapidly, indicating that the particle size affected the permeation and
394 retention (EPR) effect.

395 The results of the free Cy5.5 dye tissue slices were shown in Fig. 11 (C). The
396 fluorescence intensity of the tissue slices were weaker than the group of the micelles
397 A (particle size 25 nm) and the polymer micelles B (particle size 150 nm). These
398 tissue slice results were same with the results of *in vivo* and *in vitro* fluorescence
399 imaging.

400 **Conclusion**

401 In this study, we successfully synthesized the diblock polymer MPEG-PDLLA-NH₂
402 and characterized it by ¹H NMR spectra, FTIR and GPC. We used hydrophobic Cy5.5
403 free dye reacting with the MPEG-PDLLA-NH₂ to get the fluorescence marker
404 MPEG-PDLLA-Cy5.5. Then we separately used a thin-film hydration method and
405 ethanol injection method to get two different particle sizes polymer micelles. One was
406 MPEG-PDLLA polymer micelles A (particle size 25 nm), the other was
407 MPEG-PDLLA polymer micelles B (particle size 150 nm). The polymer micelles
408 were characterized by DLS and TEM and a fluorescence spectrophotometer. In
409 addition, the cellular uptake experiment on MCF-7 cells *in vivo* suggested the cellular

410 uptake was affected by particle size and time. Between 30 min and 6 h, the
411 fluorescence intensity increased with the time, and the fluorescence intensity of small
412 particle size was stronger than the large one. Furthermore, we detected biodistribution
413 and tumor-target of MPEG-PDLLA polymer micelles in MCF-7 tumor-bearing mice
414 by *in vivo* and *in vitro* fluorescence imaging and tissue slices. The results showed that
415 the fluorescence intensity of free Cy5.5 dye was weaker than the group of the
416 MPEG-PDLLA-Cy5.5 polymer micelles. It was noted that the fluorescence signal of
417 tumor tissue which injected the polymer micelles A (particle size 25 nm) was stronger
418 than the group of the polymer micelles B (particle size 150 nm), demonstrating that
419 the polymer micelles A (particle size 25 nm) accumulated more easily at the tumor
420 site than the polymer micelles B (particle size 150 nm). Therefore, MPEG-PDLLA
421 polymer micelles based on two different particle sizes demonstrated that small
422 particle sizes (25 nm) could have high cellular uptake efficiency and enhance the EPR
423 effect in tumor tissue. In short, drug delivery systems with small particle sizes may
424 have potential applications to deliver antitumor drugs. In the future, our research will
425 focus on the relationship between the particle size and cellular uptake efficiency,
426 tumor-target ability.

427 **Acknowledgement**

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430 of Sichuan University (2011SCU04B18).

431 **Competing Interests**

432 The authors have declared that no competing interest exists.

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434 **References**

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Figure Captions:

536 **Fig. 1** The synthesis route of MPEG-PDLLA-Cy5.5.

537 **Table. 1** The molecular weight data of MPEG-PDLLA, MPEG-PDLLA-BOC and
538 MPEG-PDLLA-NH₂.

539 **Fig. 2** The ¹HNMR spectra of (A) MPEG-PDLLA, (B) MPEG-PDLLA-BOC, (C)
540 MPEG-PDLLA-NH₂ in CDCl₃.

541 **Fig. 3** The retention time of (A) MPEG-PDLLA, (B) MPEG-PDLLA-BOC, (C)
542 MPEG-PDLLA-NH₂ measured by GPC.

543 **Fig. 4** The IR spectra of (A) MPEG-PDLLA, (B) MPEG-PDLLA-BOC, (C)
544 MPEG-PDLLA-NH₂.

545 **Fig. 5** The schematic illustration of the preparation of the MPEG-PDLLA polymer
546 micelles and flow diagram of the experiment.

547 **Fig. 6** The particle size distribution and TEM (inset image) of the MPEG-PDLLA
548 polymer micelles, (A) MPEG-PDLLA polymer micelles (particle size 25 nm), (B)
549 MPEG-PDLLA polymer micelles (particle size 150 nm).

550 **Fig. 7** The fluorescence intensity of the polymer micelles A (particle size 25 nm), the
551 polymer micelles B (particle size 150 nm) and free Cy5.5 Dye *in vitro* at 5 min, 30
552 min, 1 h, 2 h, 4 h, 6 h, 8 h, 12 h, 24 h, 48 h and 72 h. The data points represent mean
553 values and the bars represent standard deviation. (n = 3).

554 **Fig. 8** The cell uptake of (A) The polymer micelles A (particle size 25 nm),
555 (B) The polymer micelles B (particle size 150 nm) at 30 min, 1 h, 2 h, 4 h and 6 h.
556 (Column 1: Cy5.5 channels showing red fluorescence from the MPEG-PDLLA
557 polymer micelles distributed in the cytoplasm.

558 Column 2: DAPI channels showing blue fluorescence from nuclei.

559 Column 3: Merged channels of Cy5.5 and DAPI.)

560 (C) The quantitative data analyzed by flow cytometry. The data points represent mean
561 values and the bars represent standard deviation. (n = 3). The double star (**)
562 indicates P < 0.01.

563 **Fig. 9** *In vivo* time-dependent fluorescence image in MCF-7 tumor-bearing mice at 5
564 min, 30 min, 1 h, 2 h, 4 h, 6 h, 8 h, 12 h, 24 h, 48 h and 72 h after being injected

565 intravenously with the polymer micelles. The color bar from red to blue indicates the
566 change in fluorescence signal intensity from low to high.

567 (A) The polymer micelles A (particle size 25 nm),

568 (B) The polymer micelles B (particle size 150 nm),

569 (C) The free Cy5.5 dye,

570 (D) The quantitative fluorescence intensity measured by RIO value. The data points

571 represent mean values and the bars represent standard deviation. ($n = 3$). The double

572 star (**) indicates $P < 0.01$.

573 **Fig. 10** *In vitro* imaging of the livers, hearts, spleens, lungs, kidneys and tumors

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578 (B) The polymer micelles B (particle size 150 nm),

579 (C) The free Cy5.5 dye.

580 The quantitative fluorescence intensity of tissues measured by RIO value.

581 (D) The polymer micelles A (particle size 25 nm),

582 (E) The polymer micelles B (particle size 150 nm),

583 (F) The free Cy5.5 dye.

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585 tissue slices from MCF-7 tumor bearing mice at 2 h, 8 h, 24 h, 48 h and 72 h after

586 being injected intravenously with the polymer micelles.

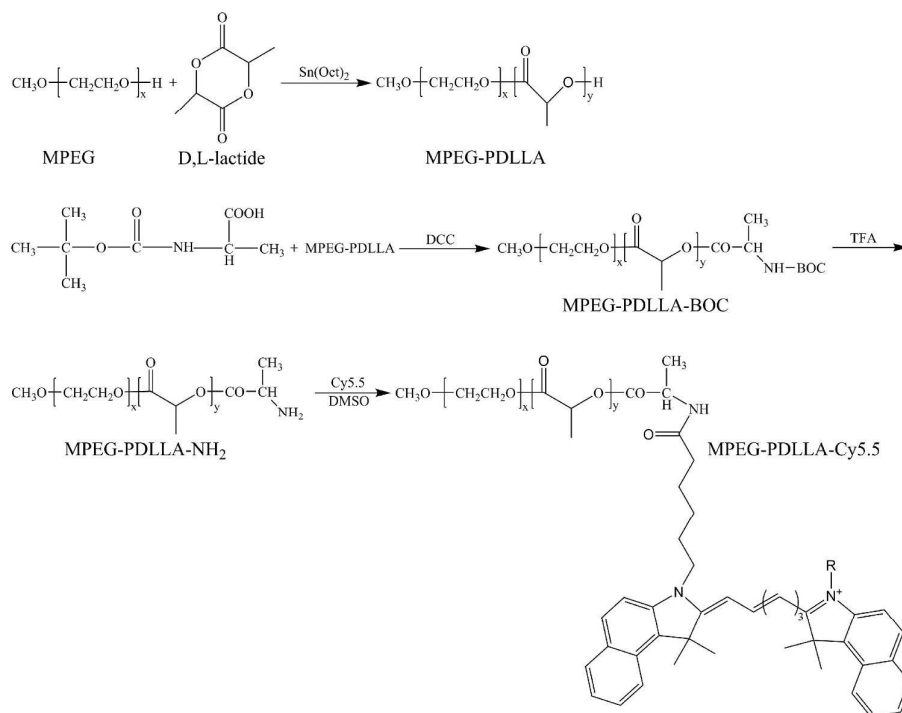
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Fig. 1 The synthesis route of MPEG-PDLLA-Cy5.5.

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608 **Table. 1** The molecular weight data of MPEG-PDLLA, MPEG-PDLLA-BOC and609 MPEG-PDLLA-NH₂

Sample	Mn ¹	Mn ²	Mn ³	Mw ³	Mw ³ /Mn ³
MPEG-PDLLA	3765	3821	3360	4152	1.24
MPEG-PDLLA-BOC	3850	4315	4147	4649	1.12
MPEG-PDLLA-NH ₂	3950	3980	4234	4902	1.16

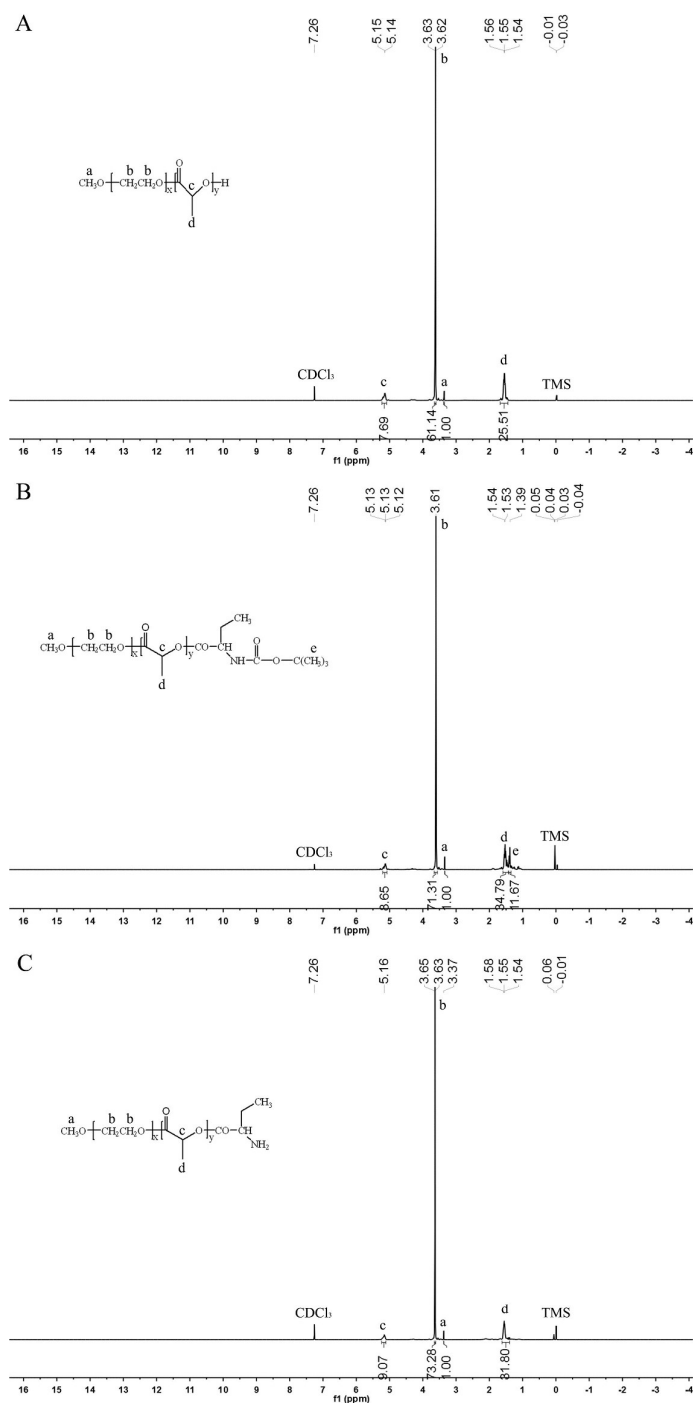
610 ¹ Theoretical molecular weight. ² Calculated from ¹HNMR data. ³ measured by GPC.

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616 **Fig. 2** The ^1H NMR spectra of (A) MPEG-PDLLA, (B) MPEG-PDLLA-BOC, (C)

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MPEG-PDLLA-NH $_2$ in CDCl_3 .

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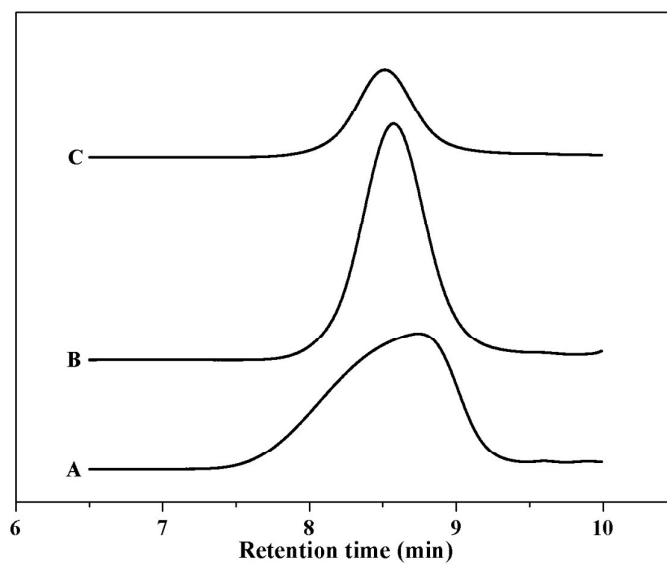
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626 **Fig. 3** The retention time of (A) MPEG-PDLLA, (B) MPEG-PDLLA-BOC, (C)

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MPEG-PDLLA-NH₂ measured by GPC.

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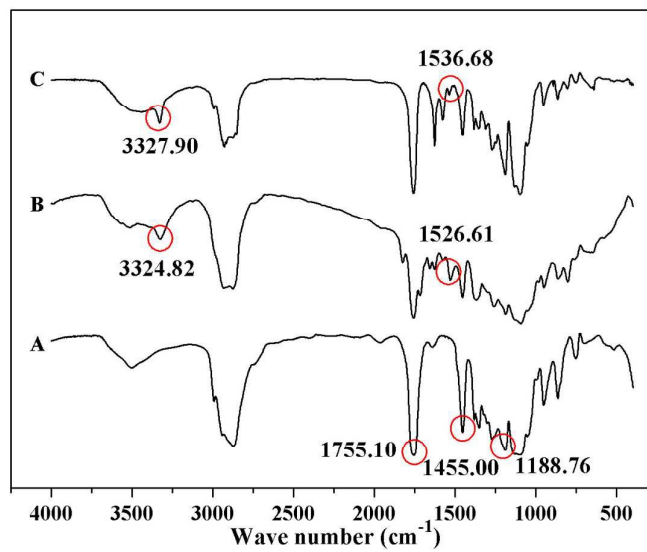
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Fig. 4 The IR spectra of (A) MPEG-PDLLA, (B) MPEG-PDLLA-BOC, (C)

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MPEG-PDLLA-NH₂.

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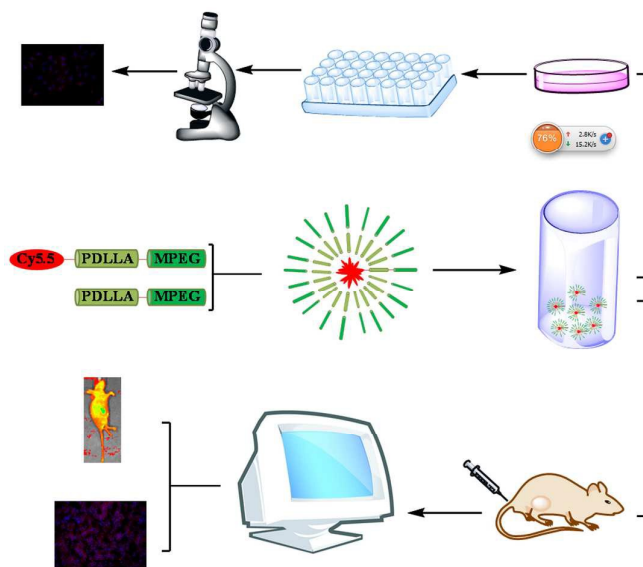
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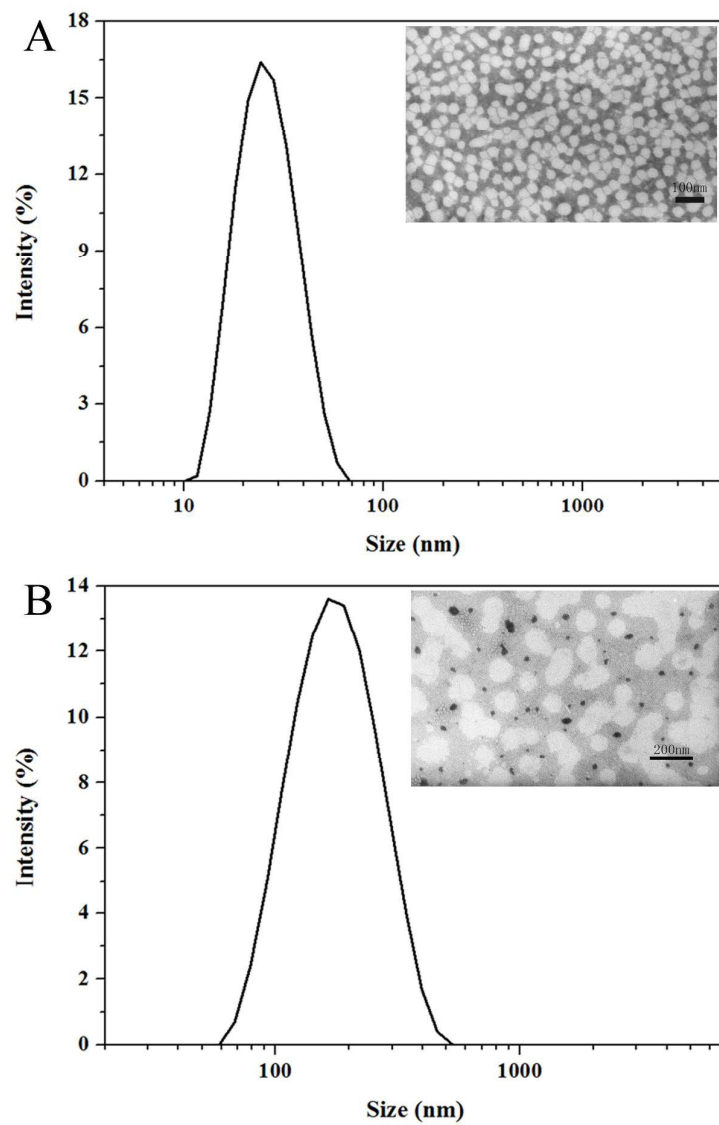
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646 **Fig. 5** The schematic illustration of the preparation of the MPEG-PDLLA polymer

647 micelles and flow diagram of the experiment.

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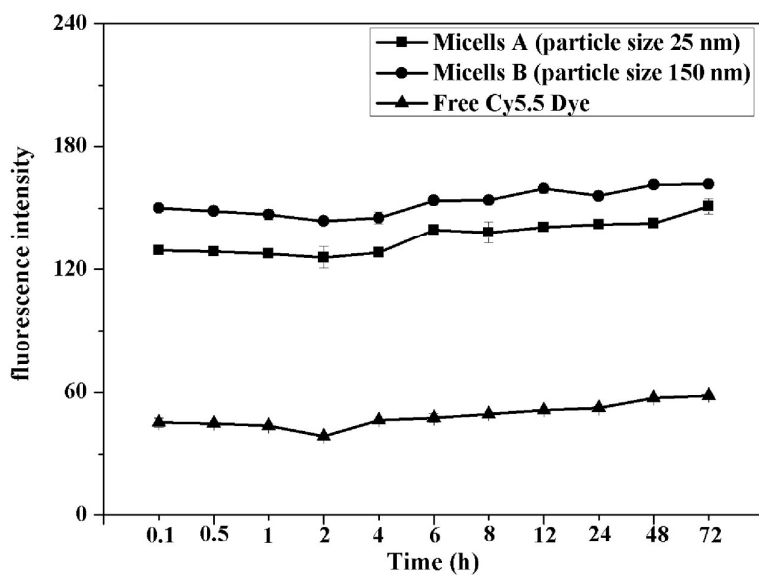
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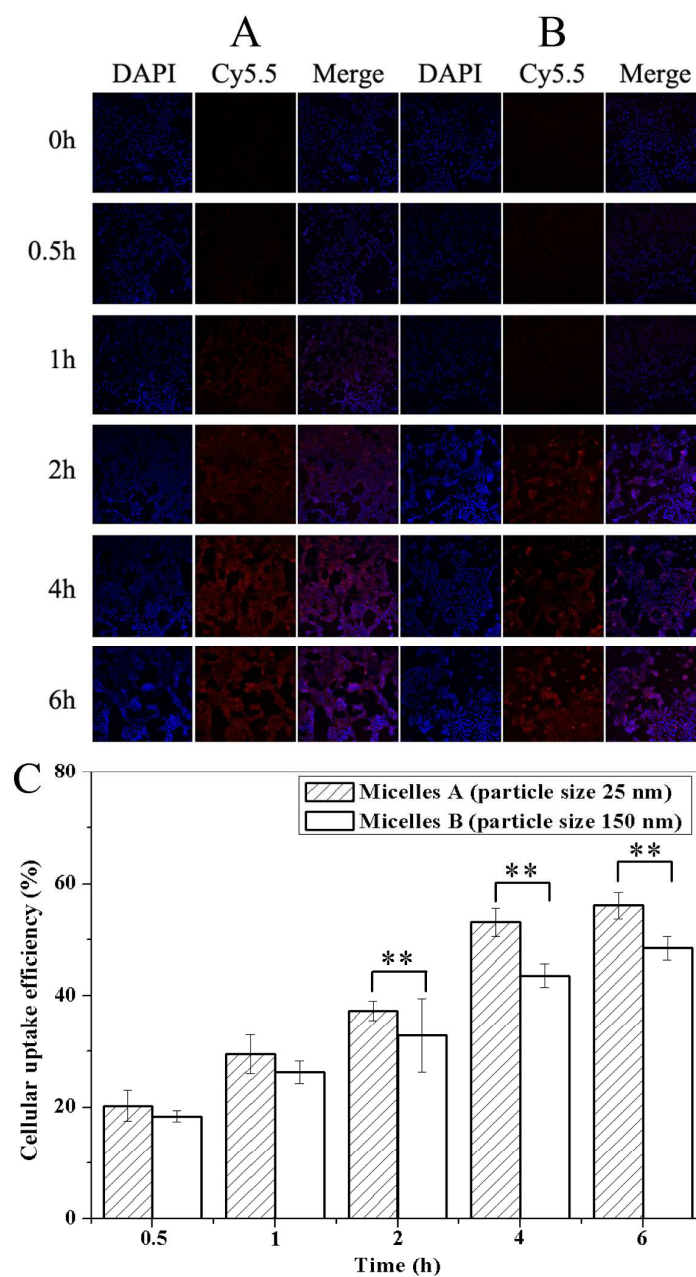
Fig. 6 The particle size distribution and TEM (inset image) of the MPEG-PDLLA polymer micelles, (A) MPEG-PDLLA polymer micelles (particle size 25 nm), (B) MPEG-PDLLA polymer micelles (particle size 150 nm).

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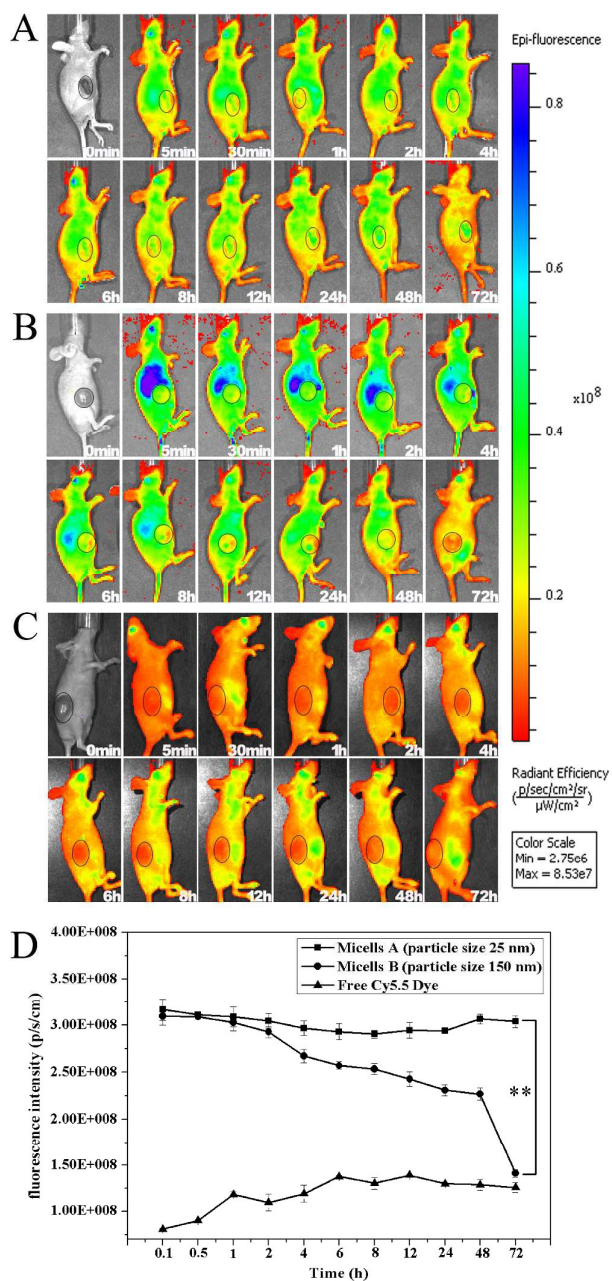
Fig. 7 The fluorescence intensity of the polymer micelles A (particle size 25 nm), the polymer micelles B (particle size 150 nm) and free Cy5.5 Dye *in vitro* at 5 min, 30 min, 1 h, 2 h, 4 h, 6 h, 8 h, 12 h, 24 h, 48 h and 72 h. The data points represent mean values and the bars represent standard deviation. (n = 3).



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676 **Fig. 8** The cell uptake of (A) The polymer micelles A (particle size 25 nm), (B) The
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 681 data analyzed by flow cytometry. The data points represent mean values and the bars
 682 represent standard deviation. (n = 3). The double star (**) indicates P < 0.01.

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685 **Fig. 9** *In vivo* time-dependent fluorescence image in MCF-7 tumor-bearing mice at 5

686 min, 30 min, 1 h, 2 h, 4 h, 6 h, 8 h, 12 h, 24 h, 48 h and 72 h after being injected

687 intravenously with the polymer micelles. The color bar from red to blue indicates the

688 change in fluorescence signal intensity from low to high. (A) The polymer micelles A

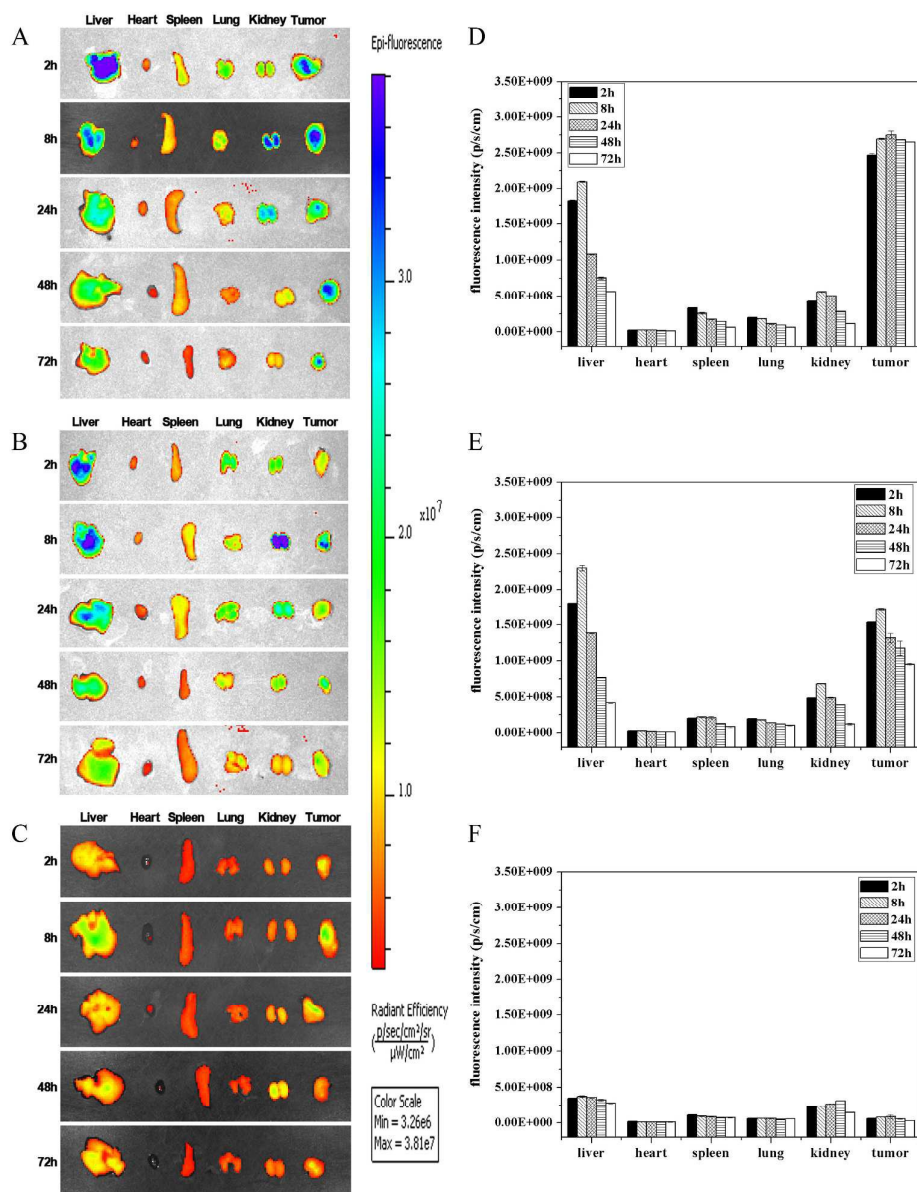
689 (particle size 25 nm), (B) The polymer micelles B (particle size 150 nm), (C) The free

690 Cy5.5 dye, (D) The quantitative fluorescence intensity measured by RIO value. The

691 data points represent mean values and the bars represent standard deviation. (n = 3).

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The double star (**) indicates $P < 0.01$.



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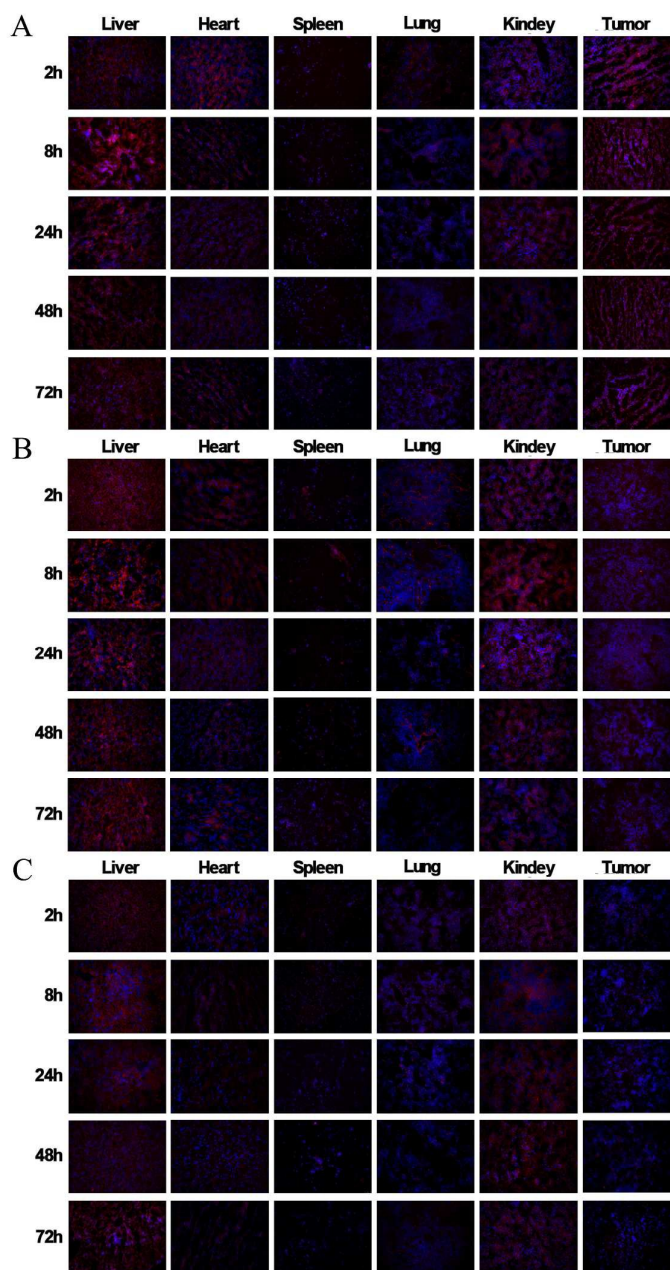
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Fig. 10 *In vitro* imaging of the livers, hearts, spleens, lungs, kidneys and tumors excised from MCF-7 tumor-bearing mice at 2 h, 8 h, 24 h, 48 h and 72 h after being injected intravenously with the polymer micelles. The color bar from red to blue indicates the change in fluorescence signal intensity from low to high. (A) The polymer micelles A (particle size 25 nm), (B) The polymer micelles B (particle size 150 nm), (C) The free Cy5.5 dye. The quantitative fluorescence intensity of tissues measured by RIO value. (D) The polymer micelles A (particle size 25 nm), (E) The polymer micelles B (particle size 150 nm), (F) The free Cy5.5 dye.

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705 **Fig. 11** Fluorescence images of the livers, hearts, spleens, lungs, kidneys and tumors

706 tissue slices from MCF-7 tumor-bearing mice at 2 h, 8 h, 24 h, 48 h and 72 h after

707 being injected intravenously with the polymer micelles. (A) The polymer micelles A

708 (particle size 25 nm), (B) The polymer micelles B (particle size 150 nm), (C) The free

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Cy5.5 dye.

Contents entry graphic

The study reported herein describes the cellular uptake efficiency and tumor-target ability of MPEG-PDLLA micelles with two different particle sizes.

