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Journal Name

ARTICLE

Surface Water Retardation around Single-Chain Polymeric Nanoparticles: Critical for Catalytic Function?

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Patrick J. M. Stals,^{a,b,c,#} Chi-Yuan Cheng,^{d,#} Lotte van Beek,^{a,d} Annelies C. Wauters,^{a,d} Anja R. A. Palmans,^{a,b} Songi Han^{*d,e} and E. W. Meijer^{*,a,b}

A library of water-soluble dynamic single-chain polymeric nanoparticles (SCPN) was prepared using a controlled radical polymerisation technique followed by the introduction of functional groups, including probes at targeted positions. The combined tools of electron paramagnetic resonance (EPR) and Overhauser dynamic nuclear polarization (ODNP) reveal that these SCPNs have structural and surface hydration properties resembling that of protein enzymes.

Dynamically folded single-chain polymeric nanoparticles (SCPNs) are a captivating class of polymer architectures.¹ Intriguingly, these SCPNs – when appropriately functionalised – are effective catalysts in water, mimicking certain aspects of enzymes. In general, SCPNs consist of a conventional polymeric backbone, decorated with pendant functional groups capable of forming either dynamic covalent bonds or supramolecular non-covalent bonds. Upon exposure to a certain trigger in solution – e.g. (UV)-light,² temperature^{2e,3} or a solvent-switch,^{3a} – interactions are formed or broken between several pendant groups, leading to intramolecular crosslinked polymeric chains (Fig. 1). Although SCPNs are in general studied in organic solvents,¹ several recent studies report on these particles in water.^{3a,b,4} In a strategy developed by our group, we generate water-soluble SCPNs consisting of a hydrophobic (methacrylate) backbone decorated with hydrophilic oligo(ethylene glycol) side-chains and hydrophobic benzene-1,3,5-tricarboxamides (BTA) pendants. These supramolecular BTA moieties form helical aggregates through strong, three-fold hydrogen bonding between the amides of

adjacent BTAs, which serve as intramolecular crosslinks in the folded SCPN structure (Fig.1).^{3,5}

The amphiphilic composition of these water-soluble polymers has been reported to result in the formation of a hydrophobic pocket inside the ellipsoidally shaped SCPNs.^{3b} Crucially, it has been demonstrated that introducing a catalyst results in catalytically active SCPNs, but only after polymer folding in water has occurred. Both ruthenium-catalysed reductions⁶ and *L*-proline catalysed aldol reactions⁷ could be performed efficiently in water. In the latter, we found that there is a large dependence of the polymer architecture on the catalytic properties of the *L*-proline moieties in the SCPN. Remarkably, only an efficient aldol reaction occurred in polymers in which BTAs are present, while in derivatives where the BTA-moiety is replaced by a dodecyl-chain *no* aldol reaction was observed.⁷ To further optimise the application of bio-inspired catalysts based on SCPNs, it is important to understand the role of the local polymer dynamics and hydration properties at the boundary between a hydrophilic and hydrophobic environment of SCPNs, where the catalyst centres are located.

^a Laboratory of Macromolecular and Organic Chemistry, Eindhoven University of Technology, P.O. Box 513, NL 5600 MB Eindhoven, The Netherlands, E-mail: e.w.meijer@tue.nl

^b Institute for Complex Molecular Systems, Eindhoven University of Technology, P.O. Box 513, NL 5600 MB Eindhoven, The Netherlands.

^c DSM Coating Resins, Sluisweg 12, 5145 PE Waalwijk, The Netherlands

^d Department of Chemistry and Biochemistry, University of California, Santa Barbara, CA 93106, USA, E-mail: songi@chem.ucsb.edu

^e Materials Research Laboratory, University of California, Santa Barbara, California 93106, USA.

These authors contributed equally.

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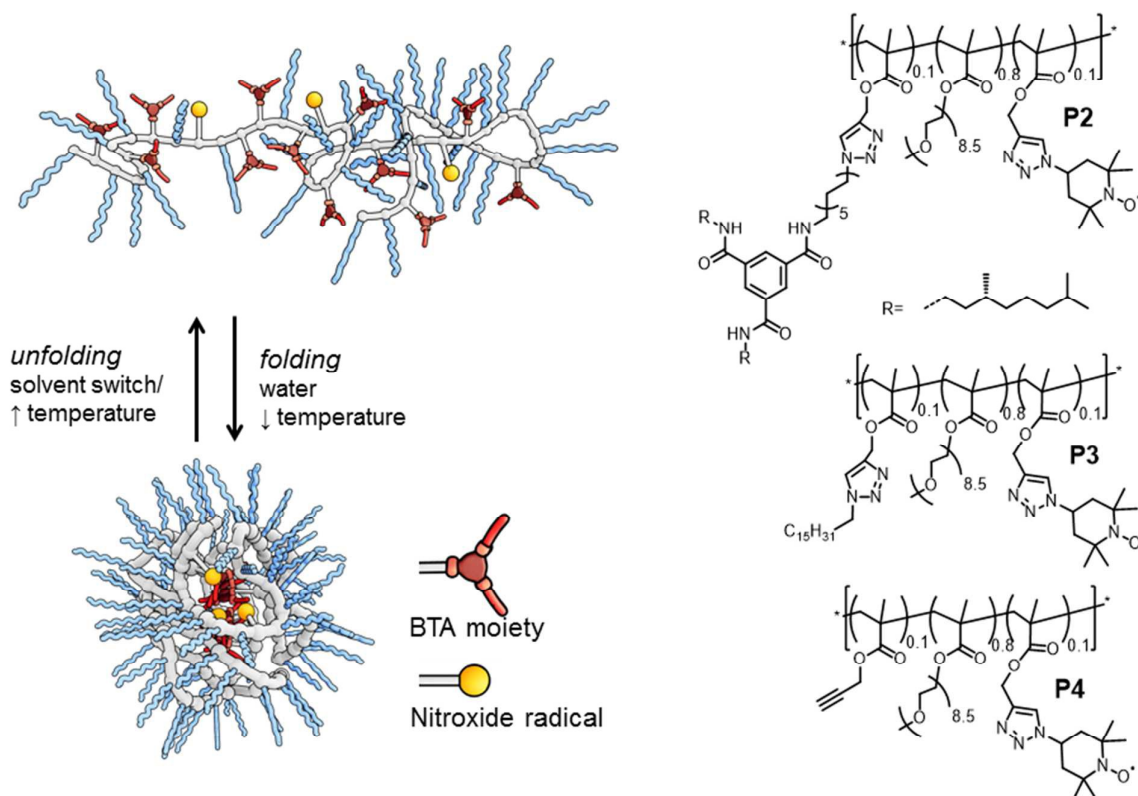


Fig. 1 Folding and unfolding of a SCNP and chemical structures of the three polymers studied

An intriguing fundamental question that is asking to be answered is: “*what is the molecular basis for the difference in catalytic function of the BTA/L-proline and the dodecyl/L-proline containing SCPNs in water?*” More and more evidence is becoming available that the properties of hydration water – both its structure and dynamics – play a dominant role in enzyme catalysis.⁸ Therefore, we propose to determine whether these distinct properties of water around our catalytic site, or more specifically around the probe mimicking the catalytic site, are correlated with the higher degree of structure formed within the SCPNs. Hence, the questions are: how is the local environment of the catalyst centre at the BTA-PEG interface different (i) when BTA is replaced with a long-chain carbon, such as a hexa- or dodecyl chain of a folded SCNP and (ii) when the BTA-based polymer is not folded up to an SCNP? For this, we employ nitroxide radical-based spin labels as analogues to tag the catalytically active *L*-proline SCPNs. This enables us to explore the local polymer backbone

dynamics via tethered spin labels by electron paramagnetic resonance (EPR) line-shape analysis and the local water translational diffusion dynamics within 0.5–1 nm of tethered spin labels by solution-state Overhauser dynamic nuclear polarization (ODNP) NMR relaxometry⁹ under ambient solution conditions at the site where catalysis would occur. Both techniques have been used.

We evaluate three polymers (Fig. 1), whose design closely resembles that of the earlier published catalytic SCPNs. We replaced the *L*-proline catalytic site in the SCPNs by the commonly used TEMPO ((2,2,6,6-tetramethylpiperidin-1-yl)oxidanyl) spin label for the current study. The polymer side-chains consist of 80% water-soluble oligo(ethylene glycol) moieties, 10% TEMPO moieties, and the remaining 10% of the polymer side-chains are BTAs (**P2**), hexadecyl chains (**P3**) or remain unfunctionalised (**P4**). Of these, only polymer **P2** is able to fold into a SCNP with a structured, helical inner compartment. **P3** likely forms small micelles due to a

hydrophobic–hydrophilic phase separation, while **P4** is thought to possess a random-coil polymer architecture.^{4b} To prevent interference from the TEMPO radical during the polymerisation process – TEMPO is widely used as a radical-mediated polymerisation agent¹⁰ – we used a post-functionalisation strategy (ESI[†]) to introduce the functional moieties on the polymeric backbone. This post-functionalisation approach has the added benefit that the same prepolymer is used in all cases, eliminating batch-to-batch differences of polymers as a variable in our experiments. In the ligation strategy, we used the Huisgen 1,3-dipolar cycloaddition reaction since this reaction is known to be highly efficient for polymer analogous reactions.¹¹ Furthermore, it was recently elucidated that the linker does not have a notable effect of the folding behaviour of BTA-containing SCPN.^{2e}

We synthesized one random prepolymer (**P1**) from oligo(ethylene glycol)methacrylate (oEGMA with $M_n = 475$ and DP = 8.5–9) and 3-(trimethylsilyl)prop-2-yn-1-yl methacrylate in conventional reversible addition fragmentation chain-transfer (RAFT) polymerisation conditions (ESI[†]). To the resulting copolymer ($M_{n,NMR} = 112$ kDa, DP_{NMR} = 267, $M_{n,SEC} = 24.6$ kDa, $D = 1.90$ (SEC in DMF relative to pEO standards)), we coupled the desired azides with an in situ silyl deprotection/click-reaction using sodium ascorbate and CuSO₄ as the catalyst. For **P2**, a 1:1 mixture of BTA-azide and TEMPO-azide was coupled to the polymeric backbone. For **P3**, we coupled a 1:1 mixture of hexadecylazide and TEMPO-azide to the polymeric backbone, while for **P4** we added an adequate amount of TEMPO-azide to functionalise 50% of the alkyne moieties on the backbone, leaving 50% as unreacted alkynes.

The successful ligation of our functional moieties to the polymeric backbone was confirmed by a combination of techniques. With size exclusion chromatography (SEC), we showed an increase in molecular weight after ligation for all polymers, while IR (ESI[†]) clearly showed a vibration at 1350 cm⁻¹, which is indicative for a nitroxide vibration.¹² Peaks at 1640 cm⁻¹ and 1450 cm⁻¹ are indicative for triazole ring vibrations.¹³ Also, in **P2**, peaks at 1660 cm⁻¹ and 1540 cm⁻¹ are indicative for the carbonyl vibration and amide II vibration originating from the presence of the amides of benzene-1,3,5-tricarboxamides.¹⁴ Lastly, EPR analysis of the polymers after dialysis to remove free spin labels showed a comparable degree of functionalisation with TEMPO for all polymers (*vide supra*). With circular dichroism (CD) spectroscopy, we evaluated the incorporation of the BTA moieties, by comparing a solution of **P2** (50 μM of BTAs based on theoretical incorporation of BTAs) with literature data for similar BTA containing SCPN at that concentration (**P5**, ESI[†]).^{3b} The CD curves of **P2** (with TEMPO) and **P5** (without TEMPO) remain unaltered, indicating that i) the TEMPO moiety is not interfering with the self-assembly of the BTAs into its helical superstructure and ii) the build-in of BTAs in the polymer was indeed roughly 10%.

Dynamic light scattering (DLS) studies were used to verify the size of the architectures of **P2–P4** in water. At a polymer concentration of 540 μM, the polymers showed a hydrodynamic diameter (D_h) of 23±2 nm (**P2**) and 19±2 nm (**P3**), consistent with sizes that were obtained for similar systems and indicating that single-chain aggregates are formed.^{3b} Interestingly, polymer **P4**, which was expected to be ‘unfolded’ in water, did not show any scattering density, likely due to its excellent water solubility. **P4** thus lacks any particle character and has no significant density difference with the surrounding water.

The local backbone dynamics around the TEMPO spin-probe in **P2–P4** were studied by EPR, a well-known method to measure the local conformational freedom in synthetic systems.¹⁵ The EPR line-shapes of all three polymers in water were equal (ESI[†]), implying that the spin labels experience comparable mobility whether it comprises an unfolded polymer chain or a folded SCPN in water. We also found that the EPR line-shapes of these three polymers are the same upon the addition of the viscogen sucrose at 30 wt%, suggesting that the EPR line-shape is not dominated by the global tumbling of the polymer, and rather that the local spin-label mobility remains genuinely unhindered upon polymer folding. The rotational correlation time of the spin-labelled side-chain of the three polymers is ~2.5 ns, a value that is consistent with that on the solvent-exposed surface of a globular protein.¹⁶ Critically, the spin labels of folded **P2** and **P3** are unhindered in their rotational motion and are solvent-exposed.

Next, we probed the local hydration dynamics near the TEMPO spin label of SCPNs in water, i.e. near the active site using ODNP. ODNP selectively amplifies the ¹H-NMR signal of local hydration water within 0.5–1 nm of a specific spin label tethered near the molecular site of interest, by transferring polarization from electron to nearby water and relying on electron-nuclear dipolar relaxation, whose efficiency intimately depends on the translational diffusivity of local water at a 0.35-Tesla field.⁹ A detailed description of the ODNP measurements and analysis can be found in the ESI[†]. We derive the translational diffusion correlation time of water near the polymer-tethered spin label (ESI[†]), and display it as a retardation factor relative to that of bulk solvent, namely as $\tau_{polymer}/\tau_{solvent}$ in Fig. 2a. We find a retardation factor of approximately 5 and 4 near the spin label of BTA-comprising **P2** and hexadecyl-comprising **P3**, respectively. These values are on par with the 4–8-fold retarded translational water diffusion found on the surface of globular proteins,^{9a, 16} which is in contrast to the typically smaller retardation factor of 2–3 found on unfolded proteins or polymers in solution.^{9b, 16–18} The question is, what is the basis for and role of this strongly retarded water diffusion around the surface of **P2** compared to **P3**, and yet smaller values found on **P4**? For unfolded **P4**, a retardation factor of ~3 was found, which is consistent with that of an intrinsically disordered protein.¹⁷ Therefore, it

seems evident that the stronger retardation of surface water observed on **P2** is due to a more ordered packing of the folded core by BTA self-assembly in water. This ordered packing

consequently displays a more ordered polymer surface and solvation structure.

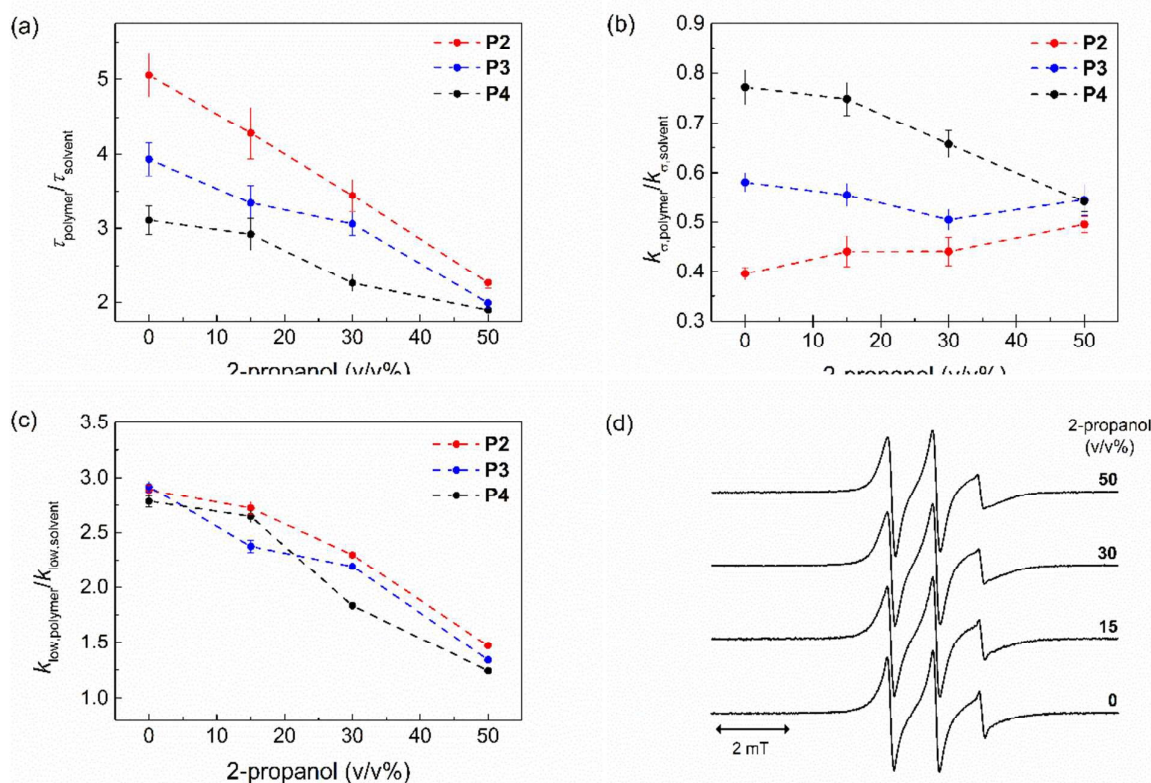


Fig 2. Local hydration dynamics of SCNPs by ODNP evaluated using the (a) translational correlation time, $\tau_{polymer}$ (b) cross-relaxivity $k_{\sigma,polymer}$, (c) slow-motion component of the self-relaxivity $k_{low,polymer}$. These values are compared with respect to those derived from the corresponding solvent condition ($\tau_{solvent}$, $k_{\sigma,solvent}$, $k_{low,solvent}$), in order to take into account the solvent viscosity. (d) EPR spectra of P2 at various 2-propanol concentrations. The $\tau_{polymer}$ value represents the translational correlation time of water molecules within 5–10 Å of the nitroxide radical-based spin label tethered on the polymer surface. The value is inversely proportional to the local diffusion coefficient of water if the distances of closest approach between the spin label and water remains constant. $k_{\sigma,polymer}$ reflects on the contribution of freely diffusing, loosely bound water at picosecond to sub-nanosecond timescale on the polymer surface. $k_{low,polymer}$ reflects on the contribution of slow or bound water at nanosecond timescale on the polymer surface.

In order to test this hypothesis, we measured the local spin label and solvation dynamics as a function of systematic unfolding of the polymers. Organic co-solvents, such as 2-propanol, have been shown to disturb any folded structure of SCPN by breaking apart the self-assembly of the BTA units.^{3a} Here, we studied the hydration dynamics of **P2–P4** SCNPs as a function of increasing 2-propanol concentration. The data (Fig. 2a) clearly illustrates that the retardation factor of local water diffusion gradually decreases with increasing 2-propanol concentration. Gratifyingly, the retardation factors of the three polymers merge to the same values of 2–2.5 at a 50 v/v% concentration of 2-propanol when the polymers are unfolded.

In order to understand the difference in the retardation factor between the folded polymers, we need to examine the role of surface water dynamics at different timescales. Either an increase in surface water diffusivity (fast, tens of picoseconds) or a decrease in bound water (slow,

nanoseconds) population can result in the decrease in the retardation factor. Thus, we separated the contribution of water into a different motional timescale of local water dynamics around the surface of SCPNs by means of ^1H NMR relaxivity at different frequencies as derived from ODNP data.^{9b,19} Here, the k_{σ} value reports on ^1H NMR relaxivity at ~ 10 GHz, modulated by fast diffusive water on the ps. timescale, where the higher the value the faster the water diffusivity and vice versa. In contrast, k_{low} reports on ^1H -NMR relaxivity at ~ 15 MHz, modulated by bound water moving on the order of the nanosecond timescale, where the higher the value the stronger the bound water contribution compared to the bulk solvent (ESI^\dagger). Crucially, we find that the difference between **P2**, **P3** and **P4** lies in the trend in $k_{\sigma,polymer}/k_{\sigma,solvent}$ (Fig. 2b) rather than in $k_{low,polymer}/k_{low,solvent}$ (Fig. 2c). Hence, the difference in the retardation factor for the diffusion correlation time for the

three polymers (Fig. 2a) is the result of the differences in the contribution of the fast diffusive dynamics of hydration water. Fig. 2b illustrates that $k_{\sigma, polymer}$ is lowest in **P2** folded in water, hence it is clear that indeed the solvent water is most retarded at the active site of **P2** – the catalyst model – folded in water.

As expected, the ratio $k_{low, polymer}/k_{low, solvent}$, which represents the contribution from the slow timescale of bound water, decreases with increasing 2-propanol concentration and eventually reaches approximately 1 for all polymers (Fig. 2c). Interestingly, there is no difference between the three polymers. This result demonstrates that 2-propanol successively dehydrates the polymer surface, while no bound hydration water remains at the SCNP surfaces in 50% 2-propanol. Finally, the EPR lineshapes (Fig. 2d) do not show any measurable changes at various 2-propanol concentrations, suggesting that differences in polymer mobility or packing, per se, is not the deciding factor for mimicking an enzyme surface.

Taken together, the local surface water diffusion at the interface between the hydrophobic pocket and hydrophilic PEG, i.e. the location of the probe – being the model for the *L*-proline catalyst⁷ – within the SCPN, is most strongly retarded in **P2** when folded in water. At the same time, there is significant contribution of bound water on the surfaces of **P2–P4** in water, whereas the spin label mobility remains high and unaltered. Retarded surface water diffusivity and the bound water population on solvent-exposed macromolecular surfaces are hallmarks of a folded protein surface.^{16–19} This study finds that surface water diffusivity at the surface of SCPNs is the only experimental signature that reliably follows the structural transition from intramolecular structural/folded to unfolded SCPNs and differentiates between the surfaces of a structured (**P2**) versus non-structured (**P3**) SCPN. Thus, hydration retardation is the only clearly different physical parameter identified between the polymer structures that have dramatically different catalytic activities. Whether the signature of a retarded hydration shell is canonically critical to catalysis, and if so why, still remains to be answered with future studies.

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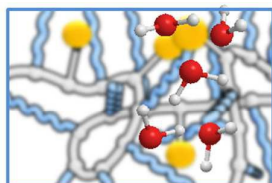
ICMS Animation Studio (Eindhoven University of Technology) is acknowledged for providing the artwork.

Notes and references

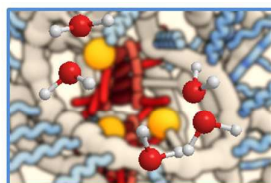
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Water retardation factor ~ 3



Water retardation factor ~ 5